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TWO-ELECTRON BOND-ORBITAL MODEL II.

Chuping Huang\*, John A. Moriarty<sup>†</sup>, and A. Sher\*

Department of Physics

College of William and Mary

Williamsburg, Virginia 23185

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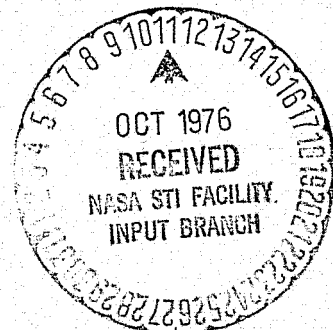
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## ABSTRACT

The two-electron bond-orbital model of tetrahedrally-coordinated solids is generalized and its application extended. All intrabond matrix elements entering the formalism are now explicitly retained, including the direct overlap  $S$  between the anion and cation  $sp^3$  hybrid wavefunctions. Complete analytic results are obtained for the six two-electron eigenvalues and eigenstates of the anion-cation bond in terms of  $S$ , one-electron parameters  $V_2$  and  $V_3$ , and two-electron correlation parameters  $V_4$ ,  $V_5$  and  $V_6$ . Refined formulas for the dielectric constant and the nuclear exchange and pseudodipolar coefficients, as well as new expressions for the valence electron density, polarity of the bond and the cohesive energy, are then derived. A scheme for evaluating the basic parameters of the model is established, in which  $V_2$  is fit to the optical-absorption peak of group-IV elements in the manner of Harrison and Ciraci and the remaining quantities are calculated using Hartree-Fock free-atom wavefunctions and term values. For the twenty group-IV and III-V semiconductors, we find  $V_5 \sim V_6 \sim 0$  but  $V_4/V_2 \sim 1/2$ , leading to significant correlation effects in most properties. The theory gives a good account of the experimentally observed trends in all properties considered and approximate quantitative agreement is achieved for the pseudodipolar coefficient. Good agreement is also obtained for the  $E_2$  optical-absorption peak, the dielectric constant, the nuclear exchange coefficient and the cohesive energy of the binary compounds by scaling to experiment for the group-IV elements. Our calculations on the cohesive energy suggest that the intrabond overlap energy,

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discarded by Harrison and Ciraci, is an essential source of positive cohesion and probably rules out any major role by the interbond van der Waals interaction suggested by them. The valence electron density is found to be dominated by the polarity and the shape of the  $sp^3$  hybrids. The preliminary indication is that the long-range tails of the free-atom  $s$  and  $p$  wavefunctions must be contracted to account for the observed bond density in  $Si$ .

## I. INTRODUCTION

The description of the valence bands of tetrahedrally-coordinated solids in terms of  $sp^3$  hybrid wavefunctions has been considered at various times by a number of different workers. In recent papers, Harrison<sup>1</sup> and Harrison and Ciraci<sup>2</sup> (hereafter referred to as HC) have presented a unified and greatly extended version of such a theory, which they call the bond-orbital model. One of the major contributions of their work was the direct treatment of a wide range of physical properties in addition to the band structure. In this model the band structure becomes a separate question<sup>3</sup> and a large number of properties can be calculated with only a knowledge of the local properties of the anion-cation bond. Formally, this bond is equivalent to a two-electron diatomic molecule. Harrison<sup>1</sup> and HC, as well as previous solid-state workers, have treated this molecule in the usual one-electron, molecular-orbital approximation. As a step toward providing a more complete treatment of the bond, we introduced in the first paper<sup>4</sup> of this series (hereafter referred to as Paper I) a direct two-electron formalism. Our two-electron bond-orbital model was developed as an extension of the method of Falicov and Harris<sup>5</sup> for treating the hydrogen molecule. In Paper I we considered the simplest special cases of the theory and applied the results to the calculation of the dielectric constant and the nuclear exchange and pseudodipolar coefficients. In this paper we generalize our two-electron bond-orbital model into a full quantitative theory and extend its application to several additional physical properties, attempting to assess the importance of two-electron correlation in each case.

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The simplicity of any bond-orbital approach rests with three approximations. First, the appropriate anion and cation  $sp^3$  hybrids are assumed to form a complete set for the description of the bond. If the  $s$  and  $p$  wavefunctions making up these hybrids are atomic-like states, this set is technically undercomplete, although the choice of states can be optimized. In a one-electron description, one then has a simple two-state eigenvalue problem and the ground-state or bond orbital is a symmetric linear combination of two  $sp^3$  hybrids. In a two-electron description, a six-state eigenvalue problem must be solved, but an exact analytic solution is still possible for the two-electron bond-orbital, as well as all of the excited states. In either case, the bond orbitals obtained are then assumed to be orthogonal to one another in the solid. This is approximately true because the four  $sp^3$  hybrids sharing a common atomic site are orthogonal by construction.<sup>1</sup> Finally, all matrix elements linking the ground state of one bond to the excited states of its neighbors are discarded. The only interbond matrix elements permitted, and the ones which give rise to the band structure,<sup>2,3</sup> are those connecting neighboring bond orbitals through the Hamiltonian. Then, because the valence band is full, one can make a unitary transformation from extended Bloch states to the localized bond-orbitals in calculating both the total energy and the total valence-electron density of the solid. These latter quantities are thus given, respectively, as just a sum of the total energies and a sum of the total electron densities of the individual bonds and are exactly independent of the remaining interbond terms. Thus physical properties which depend only on the total energy or electron density can be calculated entirely in terms of intrabond matrix elements.

The work begun in Paper I is extended here in several major ways. We first obtain a complete solution of the two-electron problem. The direct overlap

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matrix element between anion and cation hybrids and the two-center Coulomb exchange and transfer integrals, all of which were dropped in Paper I, are now included without approximation. In Sec. II exact analytic results for the singlet and triplet eigenstates and eigenvalues are obtained. We then proceed to develop a full formal theory of several important physical properties. In addition to a refined treatment of the dielectric constant and indirect nuclear interactions, we consider the valence electron density, the polarity of the bond and the cohesive energy. In Sec. III a procedure for quantitatively evaluating the basic parameters of the theory is established and application of the formal results of Sec. II is made to twenty tetrahedrally-bonded solids.

## II. FULL THEORY INCLUDING OVERLAP

### A. Eigenvalues and Eigenstates

When the overlap between anion and cation hydrids is retained, the second-quantization formalism employed in Paper I loses its simplicity and elegance. Thus in this paper we introduce a spacial representation. As in Paper I, it is possible here to proceed with a basis set of the six two-electron states  $|a\uparrow a\uparrow\rangle$ ,  $|c\uparrow c\uparrow\rangle$ ,  $|a\uparrow c\uparrow\rangle$ ,  $|a\downarrow c\downarrow\rangle$ ,  $|a\uparrow c\downarrow\rangle$ , and  $|c\uparrow a\downarrow\rangle$ . However, both of the physics and the mathematics are simplified if a basis set of three singlet and three triplet states is chosen from the outset. Then the six-by-six Hamiltonian matrix block diagonalizes into two three-by-three blocks. One of these two blocks, that associated with the triplets, is also diagonal with all three diagonal elements equal.

We begin by defining three orthonormal triplet states in the coordinate representation:

$$\begin{aligned}
 |1\rangle &= \frac{1}{\sqrt{1-S^2}} |a\uparrow c\uparrow\rangle = \Phi_A(\vec{r}_1, \vec{r}_2) \sigma_{\uparrow}(1) \sigma_{\uparrow}(2) \\
 |2\rangle &= \frac{1}{\sqrt{1-S^2}} |a\downarrow c\downarrow\rangle = \Phi_A(\vec{r}_1, \vec{r}_2) \sigma_{\downarrow}(1) \sigma_{\downarrow}(2) \\
 |3\rangle &= \frac{1}{\sqrt{1-S^2}} (|a\uparrow c\downarrow\rangle - |c\uparrow a\downarrow\rangle) = \frac{1}{\sqrt{2}} \Phi_A(\vec{r}_1, \vec{r}_2) [\sigma_{\uparrow}(1) \sigma_{\downarrow}(2) + \sigma_{\uparrow}(2) \sigma_{\downarrow}(1)]
 \end{aligned} \tag{1}$$



where  $\Phi_A(\vec{r}_1, \vec{r}_2)$  is the anti-symmetric spatial function

$$\Phi_A(\vec{r}_1, \vec{r}_2) = \frac{1}{\sqrt{2(1+S^2)}} [\phi_a(\vec{r}_1)\phi_c(\vec{r}_2) - \phi_c(\vec{r}_1)\phi_a(\vec{r}_2)] \quad (2)$$

In Eqs. (1) and (2)  $\phi_a(\vec{r})$  and  $\phi_c(\vec{r})$  are respectively the anion and cation hybrid wavefunctions,  $S$  is the overlap integral between them:

$$S = \int \phi_a^*(\vec{r}) \phi_c(\vec{r}) d\vec{r} \quad (3)$$

and  $\sigma_\uparrow$  and  $\sigma_\downarrow$  are the usual one-electron spin functions. We next define three normalized singlet states:

$$\begin{aligned} |4\rangle &= |\uparrow\uparrow\downarrow\downarrow\rangle = \phi_a(\vec{r}_1)\phi_a(\vec{r}_2)\Sigma_A \\ |5\rangle &= |\uparrow\downarrow\uparrow\downarrow\rangle = \phi_c(\vec{r}_1)\phi_c(\vec{r}_2)\Sigma_A \\ |6\rangle &= \frac{1}{\sqrt{2(1+S^2)}} (|\uparrow\uparrow\downarrow\downarrow\rangle + |\uparrow\downarrow\uparrow\downarrow\rangle) = \frac{1}{\sqrt{2(1+S^2)}} [\phi_a(\vec{r}_1)\phi_c(\vec{r}_2) + \phi_c(\vec{r}_1)\phi_a(\vec{r}_2)]\Sigma_A \end{aligned} \quad (4)$$

where  $\Sigma_A$  is the anti-symmetric spin function

$$\Sigma_A = \frac{1}{\sqrt{2}} [\sigma_\uparrow(1)\sigma_\downarrow(2) - \sigma_\uparrow(2)\sigma_\downarrow(1)] \quad (5)$$

The singlets are automatically orthogonal to the triplets because of the orthogonality of  $\sigma_\uparrow$  and  $\sigma_\downarrow$ , but the singlets are not mutually orthogonal to one another:

$$\begin{aligned} \langle 4|5\rangle &= S^2 \\ \langle 4|6\rangle &= \langle 5|6\rangle = S \left( \frac{2}{1+S^2} \right)^{1/2} \end{aligned} \quad (6)$$

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The two-electron Hamiltonian operator has the form

$$H(\vec{r}_1, \vec{r}_2) = H_0(\vec{r}_1) + H_0(\vec{r}_2) + \frac{e^2}{|\vec{r}_1 - \vec{r}_2|} \quad (7)$$

where  $H_0$  is the sum of a kinetic energy operator  $T$  and an external potential  $V_{ext}$ :

$$H_0(\vec{r}) = T(\vec{r}) + V_{ext}(\vec{r}) \quad (8)$$

The quantity  $V_{ext}$  includes bare-ion potentials from the anion and the cation plus the potential associated with all other bonds in the crystal. Because the full Hamiltonian  $H$  has no spin-operator dependence, all matrix elements coupling singlet and triplet states vanish, and the secular determinant has the simple block structure

$$\begin{vmatrix} E_T - E & & 0 \\ & \langle i | H | j \rangle - \langle i | j \rangle E_T & \\ 0 & & i, j = 4, 5, 6 \end{vmatrix} = 0 \quad (9)$$

where  $E_T = \langle 1 | H | 1 \rangle = \langle \Phi_A | H | \Phi_A \rangle$ . We may write out the matrix elements entering Eq. (9) explicitly in terms of familiar one- and two-center integrals. Following HC and Paper I, we define<sup>6</sup> the one-electron expectation values

$$\epsilon_{n\sigma} = \int \phi_{n\sigma}^*(\vec{r}) \epsilon(\vec{r}) \phi_{n\sigma}(\vec{r}) d\vec{r} \quad , \quad n = a, c \quad (10)$$

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and the (positive) transfer or hopping integral

$$V_{ab} = - \int \phi_a(\vec{r}) H_0 \phi_b(\vec{r}) d\vec{r} \quad (11)$$

All of the two-center Coulomb integrals have the form

$$I(n, n' | m, m') = \int \phi_n(\vec{r}_1) \phi_{n'}^*(\vec{r}_2) \frac{e^2}{|\vec{r}_1 - \vec{r}_2|} \phi_m(\vec{r}_1) \phi_{m'}(\vec{r}_2) d\vec{r}_1 d\vec{r}_2, \quad (12)$$

$n, n', m, m' = a, c$

The Coulomb repulsive energy of two electrons on the same ion site is

$$U_n = I(n, n | n, n), \quad n = a, c, \quad (13)$$

while the corresponding energy with one electron on each site is

$$K = I(a, c | a, c). \quad (14)$$

The exchange energy is

$$J = I(a, c | c, a), \quad (15)$$

and finally the transfer energy (analogous to  $V_{2v}$ ) is

$$H_n = I(n, n | a, c), \quad n = a, c. \quad (16)$$

In Paper I we neglected, in addition to the overlap matrix element  $S$ , the quantities  $J$  and  $H_n$ . It is easily seen from Eq. (12) that  $J$  and  $H_n$ , unlike  $U_n$  and  $K$ , will vanish as  $S \rightarrow 0$ , so that the theory of Paper I becomes rigorous in that limit. In the real semiconductors of interest, of course, the overlap is large,  $S \sim 0.6$ , and  $J$  and  $H_n$  will have magnitudes comparable to  $U_n$  and  $K$ . Our calculations, which are discussed in Sec. III, show that for homopolar solids like the group-IV elements

$$U_n > K > H_n > J \quad (17)$$

with  $J \sim \frac{1}{2} U_n$ . Similar trends exist for the binary compounds, where in addition

$$U_a > U_c \quad \text{and} \quad H_a > H_c \quad (18)$$

because the anion hybrid is usually less spatially extended than the cation hybrid. In our calculations Eq. (18) holds for all III-V compounds except  $\text{Bi}$  and  $\text{BiAs}$  where the inequalities are reversed, as discussed in Sec. III.

In order to simplify the analysis and to make contact with HC and Paper I, it is also useful to define the following combinations of the above quantities:

$$\begin{aligned} \epsilon_n &\equiv \epsilon_{n0} + K, & n = a, c \\ V_2^{\circ} &\equiv V_{20} - \frac{1}{2} (H_a + H_c) + \frac{1}{2} S (\epsilon_a + \epsilon_c) \\ V_3^{\circ} &\equiv \frac{1}{2} [ (\epsilon_c - \epsilon_a) - \frac{1}{2} (U_a - U_c) ] \\ V_4^{\circ} &\equiv \frac{1}{2} [ \frac{1}{2} (U_a - U_c) - K ] \\ V_5^{\circ} &\equiv \frac{1}{2} [ \frac{1}{2} S (U_a - U_c) - (H_a - H_c) ] \end{aligned} \quad (19)$$

$$V_0' = \frac{1}{2} (\epsilon_a + \epsilon_c) - J - S^2 K$$

Because of the overall charge neutrality of the solid, its energy levels will only depend on absolute differences of the one- and two-center Coulomb integrals defined in Eqs. (10) - (16). The energies defined in Eq. (19) correctly reflect this fact. For example, the addition of  $K$  to  $\epsilon_{a0}$  has the effect of screening the bare-ion potential arising from the cation and gives  $\epsilon_a$  a magnitude close to a Hartree-Fock expectation value, as shown by Eq. (28) of Paper I. Then  $\frac{1}{2} (\epsilon_a + \epsilon_c)$  is the center of gravity of the one-electron band structure and the term  $\frac{1}{2} S (\epsilon_a + \epsilon_c)$  removes exactly the dependence of  $V_{20}$  on the zero of energy. The energies  $V_2^0$  and  $V_3^c$  are conceptually similar to the one-electron HC parameters which we denote as  $V_2^{HC}$  and  $V_3^{HC}$ . The quantities  $\frac{1}{2} (H_a + H_c)$  and  $\frac{1}{2} (U_a - U_c)$  are appropriate average values of the electron-electron interaction, which physically screens  $V_{ext}$  and reduces the magnitudes of  $V_2^0$  and  $V_3^c$ . More specifically,  $V_2$  and  $V_3^c$  are derivable from the one-electron Hamiltonian

$$H_{one}(\vec{r}) = H_c(\vec{r}) + \frac{e^2}{2} \int \frac{[\phi_a^*(\vec{r}')\phi_a(\vec{r}') + \phi_c^*(\vec{r}')\phi_c(\vec{r}')] d\vec{r}'}{|\vec{r} - \vec{r}'|} - \frac{1}{2} (\epsilon_a + \epsilon_c) \quad (20)$$

by the tight-binding formulas

$$V_2^0 = - \langle \phi_a | H_{one} | \phi_c \rangle$$

$$V_3^0 = \frac{1}{2} [\langle \phi_c | H_{one} | \phi_c \rangle - \langle \phi_a | H_{one} | \phi_a \rangle] \quad (21)$$

We emphasize, however, that  $V_2^0$  and  $V_3^c$  enter the present theory only as definitions. All two-electron correlation corrections to the screening are included exactly through the remaining parameters  $V_4^c$ ,  $V_5^c$  and  $V_6^c$ . The quantity  $V_4^c$  is a simple generalization of the correlation term defined in Eq. (21a) of Paper I, while  $V_5^c$  and  $V_6^c$  appear as a result of the finite values of  $S$ ,  $J$  and  $H_n$ . Interestingly, even for large values of  $S$  our calculated values of  $V_5^c$  and  $V_6^c$  always turn out to be negligible and the dominant correlation parameter is  $V_4^c$ , as we assumed in Paper I. Finally, we should point out the symmetry properties of our new quantities. The energies  $V_2^c$ ,  $V_4^c$  and  $V_6^c$  involve symmetric combinations of  $\mathcal{E}_n$ ,  $U_n$ , and  $H_n$ , as well as the symmetric quantities  $V_{20}$ ,  $S$ ,  $K$  and  $J$ . Consequently,  $V_2^c$ ,  $V_4^c$  and  $V_6^c$  are covalent energies which depend primarily on bond length and not on the polarity of the bond. The energies  $V_3^c$  and  $V_5^c$ , on the other hand, are antisymmetric in  $\mathcal{E}_n$ ,  $U_n$  and  $H_n$  and thus vanish identically for homopolar solids. We may already anticipate that for negligible  $V_5^c$  and  $V_6^c$  the effect of two-electron correlation will be to increase the covalency and decrease the polarity of the bond in binary compounds, because  $V_4^c > 0$ .

The eigenvalue  $E_T$  of Eq. (9) associated with the degenerate triplet states can be evaluated immediately:

$$E_M = E_T = \mathcal{E}_\alpha + \mathcal{E}_c - K + (2SV_2^c + V_6^c)/(1-S^2), \quad M = I, II, III. \quad (22)$$

The eigenvalues associated with the three singlet states may be written as

$$E_M = \mathcal{E}_\alpha + \mathcal{E}_c - K + E_M^0, \quad M = IV, V, VI, \quad (23)$$

where  $E_M^0$  is a solution of the determinantal equation

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$$\begin{vmatrix} 2V_1^0 - V_6^0 - E_M^0 & 2SV_1^0 - V_6^0 - S^2 E_M^0 & -V_2^0 - SV_3^0 - V_6^0 - SL_M^0 \\ 2SV_2^0 - V_6^0 - SL_M^0 & 2V_4^0 + 2V_3^0 - E_M^0 & -V_5^0 + SV_3^0 + V_6^0 - SL_M^0 \\ -V_2^0 - SV_3^0 - V_6^0 - SL_M^0 & -V_2^0 + SV_3^0 + V_5^0 - SE_M^0 & \frac{1}{2}[-2SV_2^0 - V_6^0 - (1+S^2)E_M^0] \end{vmatrix} = 0 \quad (24)$$

Equation (24) is, of course, just a cubic equation in  $E_M^0$  and reduces to Eq. (20) of Paper I in the limit  $S = V_5^0 = V_6^0 = 0$ . As in Paper I, the physical content of the solutions of Eq. (24) is most transparent in the two special cases where this equation factorizes. These cases are: (1)  $V_3^0 = V_5^0 = 0$ , which is the appropriate solution for the group-IV elements, and (2)  $V_4^0 = V_5^0 = V_6^0 = 0$ , which is the limit of no two-electron correlation. Following Paper I, we denote the former case as the Falicov-Harris limit (hereafter referred to as the FH limit) and the latter as the Harrison limit.

In the FH limit the energy eigenvalues and the splittings between them may be expressed as

$$\begin{aligned} E_{I, II, III} &= 2\varepsilon - K + 2SV_2 + V_6 - 2S^2(V_4 - V_6)/(1-S^2) \\ E_{IV} &= 2\varepsilon - K + 2SV_2 + 2V_4 + V_6 - 2S^2(V_4 - V_6)/(1-S^2) \\ E_{V, VI} &= 2\varepsilon - K + 2SV_2 + V_4 - V_6 \pm (4V_2^2 + V_4^2)^{\frac{1}{2}} \end{aligned} \quad (25)$$

and

$$\begin{aligned} E_{IV} - E_{VI} &= 2V_6/(1-S^2) + V_4(1-3S^2)/(1-S^2) + (4V_2^2 + V_4^2) \\ E_{IV} - E_I &= 2V_4 \\ E_I - E_{VI} &= 2V_6/(1-S^2) - V_4(1+S^2)/(1-S^2) + (4V_2^2 + V_4^2) \end{aligned} \quad (26)$$

where we have dropped the subscripts  $a$  and  $c$  on  $\mathcal{E}$  and have introduced the re-normalized variables

$$\begin{aligned}
 V_2 &= [V_2^c + S(V_4 - V_3)] / (1 - S^2) \\
 V_3 &= V_3^c / (1 - S^2)^{1/2} \\
 V_4 &= V_4^c / (1 - S^2) \\
 V_5 &= V_5^c / (1 - S^2)^{1/2} \\
 V_6 &= V_6^c / (1 - S^2)
 \end{aligned} \tag{27}$$

which facilitate comparisons with HC and Paper I and which will be used in the remainder of this paper. The renormalization factors of  $(1 - S^2)^{1/2}$  and  $(1 - S^2)$  are precisely the same as those used by HC in defining  $V_3^{HC}$  and  $V_2^{HC}$ , respectively. The term added to  $V_2^c$  may be viewed as the subtraction of the constant  $V_4 - V_3$  from  $H_{on\epsilon}$  in Eq. (20). The qualitative ordering of the energy levels in Eq. (25) is the same as given in Fig. 1 of Paper I, with  $E_{VI}$  the ground state energy and the triplet levels and the first excited singlet  $E_{IV}$  separated by  $2V_4$ . Note that overlap has shifted all six levels upward in energy by an amount  $2SV_2$ . This term, however, will contribute only to properties which depend on the absolute position of the levels, such as the cohesive energy. For  $V_6 = 0$  the triplet energies and  $E_{IV}$  are also shifted downward from the center of gravity by  $2S^2V_4 / (1 - S^2)$ . This means that if one fits  $E_{IV} - E_{VI}$  to the principal optical absorption gap for a fixed  $V_4$ , as we did in Paper I and as we do in Sec. III below, one requires a larger value of  $V_2$  than with  $S = 0$ .

In the Harrison limit the energy levels and splittings are found to be

$$\begin{aligned}
 E_{I, II, III} &= E_{IV} = \mathcal{E}_a + \mathcal{E}_c - K + 2SV_2 \\
 E_{V, VI} &= \mathcal{E}_a + \mathcal{E}_c - K + 2SV_2 \pm 2(V_2^2 + V_3^2)^{1/2}
 \end{aligned} \tag{28}$$



and

$$E_{IV} - E_{VI} = E_I - E_{II} = 2(V_2^2 + V_3^2)^{1/2} \quad (29)$$

$$E_I - E_{IV} = 0$$

Again all levels are shifted by the amount  $2SV_2^r$  which is consistent with the shift of  $SV_2$  in the one-electron energy levels of HC. Otherwise, overlap has not altered the formal structure of the eigenvalues and Eqs. (28) and (29) are completely equivalent to the HC results, as discussed in Paper I.

We next consider the calculation of the eigenfunction of the Hamiltonian H. It is evident from Eq. (9) that the three triplet basis states are already eigenstates of H:

$$\begin{aligned} |I\rangle &= |1\rangle \\ |II\rangle &= |2\rangle \\ |III\rangle &= |3\rangle \end{aligned} \quad (30)$$

The singlet eigenstates can be written as a linear combination of the three singlet basis states:

$$|M\rangle = \sum_{i=4,5,6} a_{iM} |i\rangle, \quad M = IV, V, VI \quad (31)$$

The ground state  $|VI\rangle$  is the two-electron bond orbital. Setting

$$a_{iM} = A_{iM} / D, \quad (32)$$

where  $D$  is the normalization constant

$$D = \left[ A_{4M}^2 + A_{5M}^2 + A_{6M}^2 + 2S^2 A_{4M} A_{6M} + 2S \frac{V_3}{V_5} (A_{4M} + A_{5M}) A_{6M} \right]^{\frac{1}{2}}, \quad (33)$$

the coefficients  $A_{iM}$  are obtained in the usual way and may be expressed as

$$\begin{aligned} \begin{Bmatrix} A_{4M} \\ A_{5M} \end{Bmatrix} &= \frac{1}{\sqrt{4S^2}} \left\{ [(-2SV_2 - V_6)(1-S^2) + 2S^2(V_4 - V_6) - S^2 E_M^0] [-V_2(1-S^2) \right. \\ &\quad + S(V_4 - V_6) \pm (SV_3 + V_5)(1-S^2)^{\frac{1}{2}} - S E_M^0] - [2V_4(1-S^2) \pm 2V_3(1-S^2)^{\frac{1}{2}} \\ &\quad \left. - E_M^0] [-V_2(1-S^2) + S(V_4 - V_6) \mp (SV_3 + V_5)(1-S^2)^{\frac{1}{2}} - S E_M^0] \right\} \quad (34) \end{aligned}$$

$$\begin{aligned} A_{6M} &= \left[ 2V_4(1-S^2) - E_M^0 \right]^2 - 4V_3^2(1-S^2) - [(2SV_2 - V_6)(1-S^2) \\ &\quad + 2S^2(V_4 - V_6) - S^2 E_M^0] \end{aligned}$$

In the general case, once the roots of the cubic equation (9) are found, they can be inserted into Eq. (34) to find the  $A_{iM}$ .

In the FH limit,  $A_{4M} = D = 0$  for  $M = \text{IX}$  and the general solution Eq. (34) cannot be used. In this case, the proper coefficients can be shown to be

$$\begin{Bmatrix} a_{4\text{IV}} \\ a_{5\text{IV}} \end{Bmatrix} = \pm 1 / \sqrt{2(1-S^2)} \quad (35)$$

$$a_{6\text{IV}} = 0$$

For  $M = \text{V}, \text{VI}$ , on the other hand, Eq. (25) may be used directly in Eq. (34) to obtain

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$$a_{4M} - a_{5M} = 4 [2(1+s^2) \pm SQ] / D_0 \quad (36)$$

$$a_{6M} = 4 \sqrt{\frac{1+s^2}{2}} [X(1-s^2) \mp Q(1+s^2) - 8S] / D_0$$

with

$$D_0 = [X(X+X)]^{\frac{1}{2}} [4 \pm S(Q+X)] (1-s^2), \quad (37)$$

where we have defined

$$X = -V_4 - V_2 \quad (38)$$

and

$$Q = (X^2 + 16)^{\frac{1}{2}} \quad (39)$$

Note that all explicit dependence on  $V_6$  has cancelled out in this limit.

In the Harrison limit the coefficients are most conveniently expressed in terms of Harrison's polarity and covalency parameters<sup>1,2</sup>  $\alpha_p$  and  $\alpha_c$ , respectively:

$$\alpha_p = V_2 / (V_2' + V_3')^{\frac{1}{2}} \quad (40)$$

and

$$\begin{aligned} \alpha_c &= V_2 / (V_2' + V_3')^{\frac{1}{2}} \\ &= (1 - \alpha_p^2)^{\frac{1}{2}} \end{aligned} \quad (41)$$

One finds

$$\left\{ \begin{array}{l} a_{4M} \\ a_{5M} \end{array} \right\} = \frac{1}{\sqrt{2}} [ \pm \alpha_c (1-s^2)^{\frac{1}{2}} + S \alpha_p ] / (1-s^2) \quad (42)$$

$$a_{6M} = -\frac{2}{\sqrt{2}} \sqrt{\frac{1+s^2}{2}} \alpha_p / (1-s^2)$$

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and

$$\left\{ \begin{array}{l} a_{AV} \\ a_{VM} \end{array} \right\} = \frac{1}{2} \left[ \pm \alpha_p (1-s^2)^{-1/2} (\alpha_c + s\theta) \right] / (1-s^2) \quad (43)$$

$$a_{MM} = \sqrt{\frac{1+s^2}{2}} \left[ \alpha_c + s\theta \right] / (1-s^2),$$

where  $\theta = +1$  for  $M = V$  and  $\theta = -1$  for  $M = M$ . It is straightforward to show that all of the above results for the FH and Harrison limits are equivalent to those of Paper I in the limit  $S = V_c = 0$ .

## B. Physical Properties

The full effects of two-electron correlation and overlap on a wide range of physical properties can be assessed using the eigenvalues and eigenstates obtained above. We shall concentrate here, however, on only a small select number of such properties. For the purposes of comparison with HC and Paper I, we derive generalized formulas for the dielectric constant  $\epsilon$  and the nuclear exchange and pseudodipolar coefficients  $\Gamma'_e$  and  $\Gamma'_{pd}$ , respectively. As an extension of the method, we also consider the valence-electron density, polarity of the bond and the cohesive energy.

### 1. Dielectric Constant

As in Paper I, the quantities  $\epsilon$ ,  $\Gamma'_e$  and  $\Gamma'_{pd}$  are most conveniently calculated in perturbation theory. Following HC and Paper I, we apply an electric field  $\vec{E}$  in the  $+x$  direction and consider the energy shift  $\Delta E$  induced in an isolated bond lying in the  $[111]$  direction. The origin of coordinates is chosen at the center of the bond and, in analogy with Eqs. (34) - (41) of Paper I, the shift in the total energy (per unit volume) of the crystal to second-order in  $E$  is just

$$\sum_{\text{bonds}} \chi_i = \sum_{\text{bonds}} (\vec{P}_0 \cdot \vec{E}) = \chi \cdot \vec{E}, \quad (44)$$

where the polarization is

$$\vec{P}_0 = -(\sqrt{3}/d) \langle G | \mathcal{U}_E^0 | M \rangle \vec{e} \quad (45)$$

and the electric susceptibility is

$$\chi = -Ne^2 \sum_{M, M'} \frac{\langle G | \mathcal{U}_E^0 | M \rangle \langle M' | \mathcal{U}_E^0 | G \rangle}{E_M - E_{M'}} \quad (46)$$

Here  $\mathcal{U}_E^0(\vec{r}_1, \vec{r}_2) = \chi_1 + \chi_2$ ,  $|G\rangle = |M\rangle$  is the ground state,  $N$  is the average valence-electron density, and  $\vec{d}$  is the vector distance from the anion to the cation. Note that the sum in Eq. (46) runs only over the two excited singlet states, because  $\mathcal{U}_E^0$  cannot couple  $|G\rangle$  to the triplet states. Using Eqs. (4) and (31), one can derive a general formula for  $\langle G | \mathcal{U}_E^0 | G \rangle$  in terms of the expansion coefficients  $a_{iM}$ :

$$\begin{aligned} \langle G | \mathcal{U}_E^0 | M \rangle = & (d/\sqrt{3}) \left[ \frac{2}{\sqrt{1+s^2}} \left\{ (a_{5G} a_{5M} - a_{4G} a_{4M}) \right. \right. \\ & \left. \left. + \frac{1}{2} s \sqrt{\frac{2}{1+s^2}} \left[ (a_{5G} - a_{4G}) a_{6M} + (a_{5M} - a_{4M}) a_{6G} \right] \right\} \right. \\ & - (\delta_c - \delta_x) \left\{ (a_{5G} a_{5M} + a_{4G} a_{4M}) + a_{6G} a_{6M} / (1+s^2) \right\} \\ & \left. + \frac{1}{2} s \sqrt{\frac{2}{1+s^2}} \left[ (a_{5G} + a_{4G}) a_{6M} + (a_{5M} + a_{4M}) a_{6G} \right] \right. \quad (47) \\ & \left. - 2\delta_{ca} \left\{ s \left[ (a_{4M} a_{5M} + a_{5G} a_{4G}) + a_{6G} a_{6M} / (1+s^2) \right] \right. \right. \\ & \left. \left. + \frac{1}{2} s \sqrt{\frac{2}{1+s^2}} \left[ (a_{5G} + a_{4G}) a_{6M} + (a_{5M} + a_{4M}) a_{6G} \right] \right\} \right. \end{aligned}$$

where we have defined

$$\delta_{cn} = \left( \frac{4\pi}{l} \right) \left[ \int \left( 1 - (s_x + s_c) \right) \chi_n \phi_n^*(r) \phi_n(r) d\vec{r} \right], \quad n = A, B, \quad (48)$$

$$\gamma = \left[ 1 - (s_x + s_c) \right] / (1 - s^2)^{1/2} \quad (49)$$

and

$$\delta_{ca} \equiv - \left( \frac{4\pi}{l} \right) \int \phi_c^*(\vec{r}) \times \phi_a(\vec{r}) d\vec{r} \quad (50)$$

In Eq. (48)  $\chi_n$  is the  $\chi$  coordinate measured from the center  $n$ . The quantity  $[1 - (s_x + s_c)]$  is the  $\gamma'$  defined in Eq. (16) of HC and Eq. (36) of Paper I. The renormalization factor of  $(1 - s^2)^{1/2}$  is again such that our  $\gamma$  is precisely the same as that defined by HC. Note that  $\delta_{nd}$  is just the center of gravity of the hybrid electron density  $\phi_n^*(\vec{r})\phi_n(\vec{r})$ . A new overlap term  $\delta_{ca}$ , not considered in either HC or Paper I, has also appeared. For homopolar solids  $\delta_{ca} = 0$  and  $\delta_c = \delta_a$  by symmetry; for binary compounds one usually has  $\delta_c > \delta_a$  and  $\delta_{ca} > 0$  because of the greater spatial extent of the cation hybrid.

In the FH and Harrison limits,  $F_o^z$  and  $\mathcal{U}$  are given by particularly simple expressions. In the former case  $\delta_{ca} = \delta_c = \delta_a = 0$  and one finds, as in Paper I, that only the matrix element  $\langle G | \mathcal{U}_E^0 | IV \rangle$  is non-zero. Thus  $F_o^z = 0$ , as expected by symmetry. Using Eqs. (35) and (36), it is also straight-forward to show that

$$\epsilon = 1 + \pi \chi = 1 + \frac{1}{3} \pi N (\gamma e d)^2 (1/\sqrt{\epsilon} \beta), \quad (51)$$

with

$$\beta = \frac{1}{6} Q(Q+X) [Z + X(1-3S^2) + Q(1-S^2)] / (1-S^2), \quad (52)$$

where we have defined

$$Z \equiv Z \sqrt{V_1/V_2}. \quad (53)$$

Equation (51) has the same analytic structure as obtained in HC and Paper I, and the factor  $\beta$  reduces to Eq. (46) of Paper I in the limit  $S = Z = 0$ . In addition,  $\beta = 1$  for  $X = Z = 0$  and  $\beta > 1$  for  $Z \geq 0$  and  $X \geq 0$ . A further simplification is also possible. In HC the quantity  $V_2^{-1/2}$  is determined by fitting  $E_{IV} - E_{VI}$  to the principal optical-absorption peak. If one uses the same standard to fix  $V_2$ , then one has, comparing Eq. (26) and Eq. (29) with  $V_3 = 0$ .

$$Z \sqrt{V_1^{-1/2}} = \frac{1}{2} V_2 [Z + X(1-3S^2) + Q(1-S^2)] / (1-S^2), \quad (54)$$

and the effect of the two-electron correlation on the HC result can be expressed in terms of the simpler factor

$$\beta' = V_2 \beta / V_2^{-1/2} = \frac{1}{6} Q(Q+X), \quad (55)$$

which depends only on  $X$  and not on either  $S$  or  $Z$  explicitly. Our calculations suggest  $X \sim 1$  for the group-IV elements, in which case  $\beta' \sim 1.3$ . In addition, if the quantity  $\gamma'$  is determined by fitting  $\epsilon$  to experiment, then the fitted  $\gamma'$  will be larger than the HC value by a factor of  $(\beta')^{1/2}$ .

In the Harrison limit  $\langle G | \mathcal{U}_\epsilon^0 | IV \rangle$  and  $\langle G | \mathcal{U}_\epsilon^0 | G \rangle$  are finite and  $\langle G | \mathcal{U}_\epsilon^0 | V \rangle$  vanishes. Using Eqs. (29), (42), and (43) one finds in this case

$$\vec{p} = \left( \gamma^{eff} \alpha_p + \left[ \gamma - (\alpha_p/\alpha_c)(\alpha_c/\alpha_A) + 2(\alpha_c/\alpha_c - S) \delta_{cA} \right] / (1-S^2) \right) e \vec{I} \quad (56)$$

and

$$\epsilon = 1 + \frac{1}{3} \pi N (\gamma^{eff} e d)^2 V_2 / (V_1 + V_2)^{3/2}, \quad (57)$$

where we have defined

$$\gamma^{eff} = \gamma + (\alpha_p/\alpha_c) \left[ \gamma - (\alpha_c/\alpha_A) - 2\delta_{cA} \right] / (1-S^2)^{1/2}. \quad (58)$$

Equations (56)-(58) reduce to the HC results in the limit  $\delta_c = \delta_A$  and  $\delta_{cA} = 0$ , as was implicitly assumed in their work. Setting  $S = \delta_{cA} = 0$ , one recovers Eqs. (48) and (51) of Paper I for  $\vec{p}_0$  and  $\epsilon$ , respectively.

We should mention at this point that the vanishing of the matrix element  $\langle G | U_c^i | V \rangle$  only appears to be an exact result in the FH and Harrison limits. However, our calculations suggest that the additive term  $|\langle G | U_c^i | V \rangle|^2 / (E_G - E_V)$  in Eq. (46) makes a negligibly small contribution to  $\chi$  in real materials. Thus even in the general case, the correlation correction to  $\chi^{HC}$  is essentially a multiplicative factor as in Eq. (55).

## 2. Electron Density and Polarity

The total valence-electron density in the solid is just a sum of all the individual bond densities. The bond electron density may be obtained from the two-electron ground state  $|G\rangle$  in the following way. If the spatial part of the singlet basis state  $|i\rangle$  is written as  $\psi_i(\vec{r}, \vec{r}')$ , then the electron density associated with the ground state is from Eq. (31) just

$$\rho(\vec{r}) = 2 \sum_{i,j \in \{4,1,6\}} a_{iG}^* a_{jG} \int \psi_i^*(\vec{r}, \vec{r}') \psi_j(\vec{r}, \vec{r}') d\vec{r}' \quad (59)$$

The factor of 2 arises because there are two electrons per bond, i.e.,

$$\int \rho(\vec{r}) d\vec{r} = 2. \quad \text{Also note that } \int \psi_i(\vec{r}, \vec{r}') d\vec{r}' = 1$$



is symmetric in  $\vec{r}$  and  $\vec{r}'$ , so that it does not matter over which coordinate one integrates in Eq. (59). Using Eq. (4), it is simple to show that

$$f(r) = u_a^2 \phi_a(\vec{r}) + u_c^2 \phi_c(\vec{r}) + 2u_{ac}^2 \phi_a(\vec{r}) \phi_c(\vec{r}) \quad (60)$$

with

$$u_a^2 = 2\alpha_{4G}^2 + a_{4G}^2 / (1+S^2) + 2\sqrt{\frac{2}{1+S^2}} a_{4G} a_{6G} \quad (61)$$

$$u_c^2 = 2\alpha_{5G}^2 + a_{6G}^2 / (1+S^2) + 2\sqrt{\frac{2}{1+S^2}} a_{5G} a_{6G} \quad (62)$$

and

$$u_{ac}^2 = 2S\alpha_{4G} a_{6G} + S a_{6G}^2 / (1+S^2) + \sqrt{\frac{2}{1+S^2}} (a_{4G} + a_{6G}) a_{6G} \quad (63)$$

where we have noted that  $\phi_a(\vec{r})$  and  $\phi_c(\vec{r})$  are real. In the FH limit one finds using Eq. (36)

$$\begin{aligned} u_a^2 = u_c^2 &= 16 \left\{ Q(Q-8S)(1+S^2) + 32S^2 - [4S(Q+X) - XQ](1-S^2) \right\}^{1/2} (1-S^2) / D_4^2 \\ u_{ac}^2 &= 16 \left\{ Q(8-QS)(1+S^2) - 32S - [4(Q-X) + SXQ](1-S^2) \right\}^{1/2} (1-S^2) / D_6^2 \end{aligned} \quad (64)$$

In the Harrison limit, on the other hand, one is led from Eq. (43) to the results

$$\left\{ \begin{array}{l} u_a^2 \\ u_c^2 \end{array} \right\} = \left[ (1-S\alpha_c) \pm \alpha_p (1-S^2)^{1/2} \right] / (1-S^2) \quad (65)$$

and

$$u_{ac}^2 = u_a u_c = (\alpha_c - S) / (1-S^2) \quad (66)$$

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For  $X = 0$  in Eq. (64) or  $\alpha_p = 0$  and  $\alpha_c = 1$  in Eqs. (65) and (66),  $u_a^2 - u_c^2 = u_{ac}^2 (1 + S)^{-1}$ , as one might expect.

We tentatively define a polarity associated with the electron density as

$$\alpha_p^G \equiv \frac{1}{2} (u_a^2 - u_c^2) (1 - S^2)^{-\frac{1}{2}} \quad (67)$$

From Eq. (65) one clearly has  $\alpha_p^G = \alpha_p$  in the Harrison limit, so that Eq. (67) is consistent with the HC concept of polarity. In the limit of no overlap, both  $S = 0$  and  $\phi_a(\vec{r})\phi_c(\vec{r}) = 0$  and this definition is relatively unambiguous. In the case of large overlap, on the other hand, the definition of  $\alpha_p^G$  seems less compelling. Ultimately, an arbitrariness arises from the innumerable ways one can divide up the electron density in a periodic solid. Nevertheless, there need be no additional uncertainty in any calculation, so long as  $\alpha_p^G$  enters solely as a definition.

The concept of polarity is also intimately connected with the polarization of the bond  $\vec{P}_0$ . Using Eq. (47) with  $M = G$  in Eq. (45), one has in the general case

$$\vec{P}_0 = z_p e d, \quad (68)$$

where

$$z_p = \gamma \alpha_p^G + \frac{1}{2} (\delta_c - \delta_a) (u_a^2 + u_c^2) + 2 \delta_{ca} u_{ac}^2 \quad (69)$$

The quantity  $z_p e$  is the effective charge associated with the dipole  $\vec{P}_0$ . It would follow, therefore, that  $z_p$  is also a reasonable measure of polarity. However, this definition has the disadvantage of depending on the additional parameters  $\gamma$ ,  $\delta_a$ ,  $\delta_c$  and  $\delta_{ca}$ . In HC the quantities  $\delta_c - \delta_a$  and  $\delta_{ca}$  are set to

zero and  $\gamma$  is fitted to experiment through the dielectric constant Eq. (57). The fitted  $\gamma$  thus adsorbs local-electric-field corrections to  $\epsilon$  as well as corrections to the bond-orbital model itself. Consequently, it is not appropriate to use their  $\gamma$  in any definition of polarity. On the other hand, one may calculate  $\alpha_p^V$  directly and compare it to  $\alpha_p^H$ , and we do this in Sec. III.

We finally point out that one may, as we did in Paper I, define a polarity for each of the states  $|M\rangle$  by an obvious generalization of Eqs. (59)-(67). It readily follows from Eqs. (35) and (42) that  $\alpha_p^M = 0$  for all three singlet states in the FH limit and that  $\alpha_p^{I2} = 0$  and  $\alpha_p^V = \alpha_p^G$  in the Harrison limit. These results are in agreement with those obtained in Paper I.

### 3. Indirect Nuclear Interactions

To obtain generalized expressions for  $\Gamma_e$  and  $\Gamma_{pd}$ , we again consider the magnetic interaction between an electron with spin  $\frac{1}{2}\hbar\sigma^z$  and a nucleus with spin  $I$ . In analogy with Paper I, this interaction couples only singlet and triplet states and to second-order gives rise to an energy shift out of the ground state of

$$\Delta E = \frac{1}{E_G - E_I} \sum_{M=J, I, H} \langle G | U_A | M \rangle \langle M | U_A | G \rangle, \quad (70)$$

where

$$U_A(r_1, r_2) = \sum_{i=1,2} \sum_{N=a,c} \vec{I}_N \cdot \vec{A}_N(\vec{r}_i) \cdot \sigma(\vec{r}_i) \quad (71)$$

and

$$\vec{A}_N(r) = \mu_B \hbar \gamma_N \left[ \frac{8\pi}{3} \delta(\vec{r}) \vec{1} + \frac{1}{r^3} (3\hat{r}\hat{r} - \vec{1}) \right]. \quad (72)$$

In Eq. (72)  $\mu_B$  is the Bohr magneton,  $\gamma_n$  is the gyromagnetic ratio and  $\vec{r}$  is the electron coordinate measured relative to the nucleus  $n$ . The triplet states, as given by Eq. (1), have the form  $\Phi_A(\vec{r}_1, \vec{r}_2)_{M}$  and the sum over  $M$  in Eq. (70) can be immediately accomplished by using the sum rule

$$\sum_{M=1, T, E} \langle \sum_A | \vec{\sigma}(i) | \sum_{-M} \rangle \langle \sum_M | \vec{\sigma}(i') | \sum_A \rangle = (-1)^{i+i'} \vec{1} \quad (73)$$

Then using Eqs. (2) and (31) and noting that  $\Phi_A(\vec{r}_1, \vec{r}_2)$  is antisymmetric in  $\vec{r}_1$  and  $\vec{r}_2$ , one may express  $\Delta E$  in terms of the expansion coefficients  $\alpha_{ij}$ :

$$\Delta E = -\frac{1}{E_0} \sum_{n, n' = a, c} \theta_n \theta_{n'} \vec{I}_n \cdot \vec{Q}_n \cdot \vec{Q}_{n'} \cdot \vec{I}_{n'} \quad (74)$$

where  $\theta_a = +1$  and  $\theta_c = -1$  and we have set

$$\vec{Q}_n = \theta_n \left\{ \langle \phi_a | \vec{A}_n | \phi_a \rangle - \langle \phi_c | \vec{A}_n | \phi_c \rangle + (b_1 b_2)^{1/2} \left[ \langle \phi_a | \vec{A}_n | \phi_c \rangle + \langle \phi_c | \vec{A}_n | \phi_a \rangle - 2 \langle \phi_a | \vec{A}_n | \phi_c \rangle \right] \right\} / (1 - S^2)^{1/2}, \quad (75)$$

$$b_1 = \frac{1}{2} (\alpha_{aa} - \alpha_{cc}) \quad (76)$$

$$b_2 = \frac{1}{2} S (\alpha_{aa} + \alpha_{cc}) + \alpha_{ac} / \sqrt{1 - S^2}$$

and

$$E_v^{-1} = \frac{2b_2^2}{E_1 - E_0} \quad (77)$$

Equation (74) is a generalization of Eq. (62) of Paper I. In addition to  $S$ , four new overlap matrix elements have appeared in  $\Delta E$ , namely,  $\langle \phi_a | \vec{A}_n | \phi_c \rangle$

$\langle \phi_n | \overset{\leftrightarrow}{A}_c | \phi_n \rangle$ ,  $\langle \phi_n | \overset{\leftrightarrow}{A}_c | \phi_n \rangle$ , and  $\langle \phi_n | \overset{\leftrightarrow}{A}_n | \phi_n \rangle$ . It is not hard to show that all matrix elements of  $\overset{\leftrightarrow}{A}_n$  have the form

$$\langle \phi_n | \overset{\leftrightarrow}{A}_{n''} | \phi_{n''} \rangle = \frac{1}{2} \mu_B \hbar r_n \left[ \frac{1}{3} \delta_{nn''}^{\prime\prime} \overset{\leftrightarrow}{\Pi} + \frac{2}{3} \delta_{nn''}^{\prime\prime} \overset{\leftrightarrow}{\Pi} \right], \quad n, n', n'' = a, c \quad (78)$$

with

$$\overset{\leftrightarrow}{\Pi} = 3 \hat{r}_{ac} \hat{r}_{ac} - \overset{\leftrightarrow}{\mathbb{1}}, \quad (79)$$

and where we have defined

$$\delta_{nn''}^{\prime\prime} \equiv 16 \pi \langle \phi_n | \delta(r_{n'}) | \phi_{n''} \rangle \quad (80)$$

and

$$\rho_{nn''}^{\prime\prime} \equiv \frac{5}{3} \langle \phi_n | \left( \frac{3Z_{n'}^2}{r_{n'}^5} - \frac{1}{r_{n'}^3} \right) | \phi_{n''} \rangle. \quad (81)$$

In Eq. (79)  $\hat{r}_{ac}$  is a unit vector directed from the anion to the cation and in Eqs. (80) and (81) the electron coordinates  $\vec{r}_{n'}$  are to be measured from the center  $n'$ . Also, the right-hand side of Eq. (81) is written for the Z axis in the direction of  $\vec{r}_{ac}$ . More explicit expressions for  $\delta_{nn''}^{\prime\prime}$  and  $\rho_{nn''}^{\prime\prime}$  in terms of the  $s$  and  $p$  components of the  $s p^3$  hybrids are given in Sec. III and the Appendix. As expected, the non-overlap terms  $\delta_{nn}^{\prime\prime}$  and  $\rho_{nn}^{\prime\prime}$  immediately reduce to Eqs. (64) and (65) of Paper I.<sup>6</sup> Furthermore, the tensor  $\overset{\leftrightarrow}{Q}_n$  clearly has the same form as Eq. (78) with  $\delta_{nn''}^{\prime\prime}$  replaced by  $\delta_{nn}$

$$\delta_{nn} = \theta_n \left[ \left(1 + s \frac{b_1}{b_2}\right) \delta_{aa}^{\prime\prime} - \left(1 - s \frac{b_1}{b_2}\right) \delta_{cc}^{\prime\prime} - \frac{b_1}{b_2} \delta_{ac}^{\prime\prime} \right] / (1 - s^2)^{1/2} \quad (82)$$

and  $\mathcal{P}_n$  replaced by

$$\mathcal{Q}_n = \mathcal{Q}_n \left[ (1 + \frac{b_1}{b_2}) \mathcal{P}_n'' - (1 - S \frac{b_1}{b_2}) \mathcal{P}_n'' - 2 \frac{b_1}{b_2} \mathcal{P}_n'' \right] / (1 - S^2)^{1/2} \quad (83)$$

Writing out  $\vec{\mathcal{Q}}_n \cdot \vec{\mathcal{Q}}_{n'}$  in terms of  $\mathcal{S}_n$  and  $\mathcal{P}_n$  and noting that  $\vec{\pi} \cdot \vec{\pi} = 2\vec{I} + \pi$ , we arrive at the final results

$$\Delta E = -\frac{1}{2} \sum_{n, n'=a, c} \theta_n \theta_{n'} \vec{I}_n \cdot \left[ \Gamma_e^{nn'} \vec{I} + \Gamma_{pd}^{nn'} \vec{\pi} \right] \cdot \vec{I}_{n'} \quad (84)$$

where

$$\Gamma_e^{nn'} = \mu_B^2 \hbar^2 \gamma_n \gamma_{n'} \left[ \frac{1}{18} \mathcal{S}_n \mathcal{S}_{n'} + \frac{1}{25} \mathcal{P}_n \mathcal{P}_{n'} \right] / E_0 \quad (85)$$

and

$$\Gamma_{pd}^{nn'} = \mu_B^2 \hbar^2 \gamma_n \gamma_{n'} \left[ \frac{1}{10} (\mathcal{S}_n \mathcal{P}_{n'} + \mathcal{S}_{n'} \mathcal{P}_n) + \frac{2}{50} \mathcal{P}_n \mathcal{P}_{n'} \right] / E_0 \quad (86)$$

Equations (84)-(86) have the same analytic structure as the corresponding results obtained in Paper I, with all effects of two-electron correlation and overlap being absorbed into the quantities  $\mathcal{S}_n$ ,  $\mathcal{P}_n$  and  $E_0$ . Again we identify  $\Gamma_e \equiv \Gamma_e^{ac}$  and  $\Gamma_{pd} \equiv \Gamma_{pd}^{ac}$  as the nuclear exchange and pseudodipolar coefficients, respectively. We normally expect  $\mathcal{S}_n$  and  $\mathcal{P}_n$  and consequently  $\Gamma_e$  and  $\Gamma_{pd}$  to be positive quantities, as is observed experimentally, provided

$$0 \leq b_1/b_2 \leq S \quad (87)$$

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$$\begin{aligned} \delta_{nn}'' &> \delta_{nn'}'' > \delta_{nn}'' \\ \delta_{nn}'' &> \delta_{nn'}'' > \delta_{nn}'' \end{aligned} \quad (88)$$

for  $n'/n$ . The lower limit in Eq. (87) is exact for homopolar solids, where  $a_{4M} = a_{5M}$ . We find Eqs. (87) and (88) obeyed for all group-IV and III-V binary compounds.

In the FH limit, Eqs. (82) and (83) simplify to

$$\begin{aligned} \delta_n &= \Theta_n [\delta_{aa}'' - \delta_{cc}''] / (1-s^2)^{\frac{1}{2}} \\ \delta_n' &= \Theta_n [\delta_{aa}'' - \delta_{cc}''] / (1-s^2)^{\frac{1}{2}} \end{aligned} \quad (89)$$

The coupling constant  $E_o^{-1}$  can be shown to be in this case

$$E_o^{-1} = \frac{1}{4V_2} \xi, \quad (90)$$

where

$$\xi = 64(Q+X-4S)^2(1-s^2) / \left\{ Q(Q+X)[4-s(Q-X)]^2 [zZ - X(1+s^2) + Q(1-s^2)] \right\}, \quad (91)$$

which reduces to Eq. (72) of Paper I in the limit  $S=Z=0$ . Also,  $\xi > 1$  for  $Z > 0$  and/or  $X > 0$ , and  $\xi = 1$  for  $X = Z = 0$ . If  $V_2^{HC}$  and  $V_2$  are related by Eq. (54), then the HC value of  $E_o^{-1}$  is enhanced by the factor

$$\xi' = V_2^{HC} \xi / V_2 = \frac{1}{4} [2Z + X(1-3s^2) + Q(1-s^2)] \xi / (1-s^2), \quad (92)$$

which unlike  $\beta'$  is a function of  $S$  and  $Z$  as well as  $X$ . In Fig. 1 we have plotted  $\xi'$  vs  $X$  for  $Z=0$  and several values of  $S$ . Clearly,  $\xi'$  is a sensitive

function in the region of physical interest:  $S \sim 0.6$ ,  $\chi \sim 4.0$ . This sensitivity results primarily from the energy denominator in Eq. (77), which can vanish for sufficiently large  $\chi$ .

In the Harrison limit

$$\delta_n = \theta_n \left\{ \left[ (1-S^2)^{1/2} + S(\alpha_p/\alpha_c) \right] \delta_{na}'' - \left[ (1-S^2)^{1/2} - S(\alpha_p/\alpha_c) \right] \delta_{cc}'' - 2(\alpha_p/\alpha_c) \delta_{ac}'' \right\} / (1-S^2), \quad (93)$$

with a similar expression for  $\theta_n$ , and

$$E_v^{-1} = \frac{1}{4} V_2^2 / (V_2^2 + V_3^2)^{3/2}. \quad (94)$$

Equation (94) agrees with Eq. (73) of Paper I, but note that for  $\delta_{cc}^a = \delta_{aa}'' - \delta_{ac}'' = \delta_{ca}^c = 0$  and  $S \neq 0$ . We do not recover our previous formulas for  $\Gamma_e^{nn'}$  and  $\Gamma_{pd}^{nn'}$ .

Contrary to our original expectations, all terms involving  $S$  cannot simply be absorbed into  $V_2$  and  $V_3$ . Both  $\Gamma_e^{nn'}$  and  $\Gamma_{pd}^{nn'}$  are multiplied instead by a factor of  $[1 - S^2(\alpha_p/\alpha_c)^2 / (1-S^2)] / (1-S^2)$  in this limit.

#### 4. Cohesive Energy

To obtain the cohesive energy, one needs both the binding energy of the (two) bond electrons in an anion-cation pair and the binding energy of the (eight) valence electrons in separated atoms. The latter can be written as

$$E_{\text{atoms}} = \begin{cases} 2(\epsilon_{sa}^{HC} + \epsilon_{sc}^{HI}) + (2 + \Delta Z) \epsilon_{pa}^{HF} + (2 - \Delta Z) \epsilon_{pc}^{HF} & \Delta Z = 0, 1, 2 \\ 2 \epsilon_{sa}^{HF} + \epsilon_{sc}^{HF} + 5 \epsilon_{pa}^{HF} & \Delta Z = 3 \end{cases} \quad (95)$$

where  $\Delta Z = 0$  for group IV,  $= 1$  for III-V,  $= 2$  for II-VI and  $= 3$  for



I-VII elements. Also in Eq. (95)  $\epsilon_{sn}^{(1)}$  and  $\epsilon_{pn}^{(1)}$  are defined as the binding energies of the s and p electrons of atom n. We use the superscript HF here because in practice it is convenient to take  $\epsilon_{sn}^{HF}$  and  $\epsilon_{pn}^{HF}$  to be Hartree-Fock free-atom eigenvalues, although in principle this need not be assumed. The corresponding binding energy of two bond electrons is just our ground-state energy  $E_G$  plus the electrostatic energy of the compensating nuclei:

$$E_{bond} = E_G + e^2/d = \epsilon_a^{HF} + \epsilon_c^{HF} + E_{el} + E_G^0 \quad (96)$$

where we have defined the hybrid energies

$$\epsilon_n^{HF} = \frac{1}{4} (\epsilon_{sn}^{HF} + 3 \epsilon_{pn}^{HF}) \quad n = a, c \quad (97)$$

and the electrostatic overlap energy

$$E_{el} = -K + e^2/d + \Delta \epsilon_a + \Delta \epsilon_c \quad (98)$$

with

$$\Delta \epsilon_n = \epsilon_n - \epsilon_n^{HF} \quad n = a, c \quad (99)$$

Both Harrison<sup>1</sup> and HC discarded  $E_{el}$  in their treatments of the cohesive energy. However, for the case of large overlap this is not justified, since we expect  $\Delta \epsilon_n$  to be small and negative and  $K$  to be significantly larger than  $e^2/d$ .

Using Eqs. (95) and (96), the cohesive energy (per atom pair) is just

$$\begin{aligned} E_{coh} &= E_{atoms} - 4 E_{bond} \\ &= -4 E_G^0 - 4 E_{el} - E_{pro} - E_{trans} \quad (100) \end{aligned}$$

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where  $E_{plc}$  is the promotion energy defined by Harrison<sup>1</sup>

$$E_{plc} = \begin{cases} (2 + \Delta z) V_1 + (4 - \Delta z) V_1'' & \Delta z = 0, 1, 2 \\ 8 V_1'' + V_1'' & \Delta z = 3 \end{cases} \quad (101)$$

with

$$V_1'' \equiv \frac{1}{4} (\epsilon_{pn}^{HI} - \epsilon_{pn}^{HF}), \quad n = a, c, \quad (102)$$

and  $E_{trans}$  is the transfer energy

$$E_{trans} = \Delta z (\epsilon_c^{HF} - \epsilon_a^{HF}) \quad (103)$$

Both Harrison<sup>1</sup> and HC took the transfer energy to be  $2\Delta z V_3$ . From Eqs. (19), (27) and (99), however, one can see that this is only formally valid here if  $u_a = u_c$ ,  $\Delta \epsilon_a = \Delta \epsilon_c$  and  $S = 0$ . In the FH limit with  $E_{trans} = 0$  and  $E_{plc} = 8 V_1''$ , one has

$$E_{coh} = 4 [(4V_2^2 + V_4^2)^{1/2} - 2S V_2 - V_4 + V_6] - 4 E_{el} - 8 V_1'' \quad (104)$$

In the Harrison limit, on the other hand, one obtains

$$E_{coh} = 4 [2(V_2^2 + V_3^2)^{1/2} - 2S V_2] - 4 E_{el} - E_{plc} - E_{trans} \quad (105)$$

For  $E_{el} = 0$  and  $E_{trans} = 2\Delta z V_3$ , this result agrees with Eq. (34) of HC.

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Physically, we may interpret the various contributions to  $E_{coh}$  as follows. The promotion energy is the energy required to promote each valence electron from its atomic  $s$  or  $p$  orbital to an  $sp^3$  hybrid orbital. The transfer energy is the energy required to transfer  $\Delta z$  electrons from the anion to the cation at infinite separation, so that the four hybrid orbitals on each atom contain one electron. The atoms are then brought together and bond orbitals are formed from the overlapping hybrids. Occupation of the bond orbitals returns an energy  $-(E_{\zeta}^0 + E_{\alpha\ell})$  per bond. Equation (100) thus correctly includes all intrabond contributions to the cohesive energy. To be sure, there are neglected interbond contributions, as there are to all properties one calculates with the bond-orbital model, but we certainly expect these to be of lesser importance. In HC the possible importance of a van der Waals interaction between the bonds was argued, but this was introduced in an ad hoc fashion to explain their calculated negative values of  $E_{coh}$ . In contrast, our calculations suggest that there is no fundamental difficulty in understanding the cohesive energy of tetrahedrally-bonded solids in terms of Eq. (100) alone, although the precise results one obtains are somewhat sensitive to the details of the calculation.

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### III. QUANTITATIVE APPLICATIONS

#### A. Parameters of the Two-electron Model

We now consider a detailed application of the formalism described above to real semiconductors. Our analysis will be considerably more complete than that given in Paper I, as we seek to understand quantitatively both the relative importance of the various parameters which enter the two-electron theory and the effect of overlap and correlation on the predictions of the one-electron theory. We must first establish a procedure for evaluating the basic parameters of the two-electron model. We are guided here both by the experience of HC and the fundamental limitations of a bond-orbital approach. One must recognize the approximate nature of any bond-orbital theory, so that the difficult task of a complete first-principles analysis is not really warranted. On the other hand, one can reasonably expect the theory to produce correct orders of magnitude and significant trends for a wide range of physical properties. Thus it seems desirable to fit only enough parameters to provide a proper scaling to experiment.

Of the various parameters which enter our two-electron model, one may separate out those which depend self-consistently on the full Hamiltonian of the crystal, and consequently are difficult to calculate accurately, and those which depend only on the specification of the hybrid wavefunctions. In the former category are the quantities  $V_{20}$ ,  $\Delta \epsilon_A$  and  $\Delta \epsilon_C$ . Both  $V_{20}$  and  $\Delta \epsilon_A + \Delta \epsilon_C$  enter the covalent energy  $V_2$ , while the polar energy  $V_3$  depends upon the

difference  $\Delta \epsilon_c - \Delta \epsilon_a$ . Following HC, we fit  $V_2$  to the principal optical-absorption peak  $E_2$  for each of the group IV elements. From Eq. (54) with  $V_2 \cdot r$

$$V_2 = \frac{1}{2} \left\{ \left[ 2 V_2^{HC} - V_4 (1 - S^2) / (1 - S^2) \right]^2 - V_4^2 \right\}^{1/2}, \quad (106)$$

which is easily evaluated once  $S$  and  $V_4$  are specified. It is then assumed that  $V_2$  is constant for an isoelectronic sequence (e.g., Ge, GaAs, etc.) and that values for skew compounds are given by the appropriate geometric means of the group-IV values. The quantity  $V_3$  was fitted by HC to the experimental dielectric constant of each binary compound through Eq. (57). This is less convenient in the present case because  $V_3$  enters our theory through the rather complicated cubic equation (24). We have chosen instead to make the assumption

$$\Delta \epsilon_c - \Delta \epsilon_a = 0 \quad (107)$$

and then to calculate  $V_3$  as

$$V_3 = \frac{1}{2} \left[ (\epsilon_c^{HC} - \epsilon_a^{HC}) - \frac{1}{2} (U_a - U_c) \right] / (1 - S^2)^{1/2}. \quad (108)$$

Since  $|\Delta \epsilon_n / \epsilon_n|$  is small, one may reasonably expect Eq. (108) to be a good approximation. From Eq. (18) it is clear that the term  $\frac{1}{2} (U_a - U_c)$  will reduce the magnitude of  $V_3$ , so that normally  $V_3 < \frac{1}{2} (\epsilon_c^{HF} - \epsilon_a^{HF})$ , as was found empirically by HC. Interestingly, the two exceptions to Eq. (18), namely BP and  $\beta\text{As}_3$ , are the compounds for which HC inferred negative values of  $V_3^{HC}$  from their fitting procedure. From Table I it can be seen that the quantity  $\frac{1}{2} (\epsilon_c^{HF} - \epsilon_a^{HF})$  does become much smaller for BP and  $\beta\text{As}_3$  than for the rest of the III-V compounds.

However, this effect is offset by the change in sign of the term  $-\frac{1}{2} (U_n U_i)$ , so that normal positive values of  $V_s$  result from Eq. (108).

The remaining parameters  $V_q$ ,  $V_i$  and  $V_b$  are functions of the one-center integrals  $U_n$  and the two-center integrals  $S$ ,  $K$ ,  $H_n$  and  $J$ . All of these integrals depend only on the hybrid wavefunctions  $\phi_n(\vec{r})$ , so that we also choose to calculate these quantities directly. In the spirit of the bond-orbital model, we construct our hybrids from the appropriate Hartree-Fock free-atom  $s$  and  $p$  wavefunctions. We shall not entertain the very difficult question of exactly which atomic orbitals constitute an optimum basis set, but rather we treat our choice as an additional assumption to be tested. Choosing  $\hat{\Gamma}_{ac} = \hat{z}$ , one can write

$$\phi_n(\vec{r}_n) = \frac{1}{2\sqrt{4\pi}} \left[ R_{sn}(r_n) + 3\theta_n R_{pn}(r_n) \frac{z_n}{r_n} \right], \quad (110)$$

where  $\vec{r}_n$  is the electron coordinate measured relative to the center  $n$  and  $R_{sn}(r_n)$  and  $R_{pn}(r_n)$  are assumed to be positive as  $r_n \rightarrow \infty$ . Free-atom Hartree-Fock  $s$  and  $p$  radial wavefunctions,  $r_n R_{sn}(r_n)$  and  $r_n R_{pn}(r_n)$ , as well as the corresponding term values  $\epsilon_s^{HF}$  and  $\epsilon_p^{HF}$ , have been calculated and tabulated by Mann<sup>7</sup> for the entire Periodic Table. The use of such atomic tables is convenient, but it does restrict one to consideration of compounds formed out of group III, IV and V elements, since the  $p$  states of elements in groups I and II are unoccupied and are not usually calculated. The details of evaluating  $U_n$ ,  $S$ ,  $K$ ,  $H_n$  and  $J$  in terms of the hybrids defined by Eq. (110) are discussed in the Appendix. Briefly,  $U_n$  can be written as a sum of the  $F$  and  $G$  integrals defined and calculated by Mann<sup>7</sup>, as shown in Eq. (77) of Paper I. As a test of our numerical procedures, we have also evaluated these

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F and G integrals directly from Mann's wavefunctions. Our calculated values of  $U_n$  are listed in Table I and are to be compared with those given in Table I of Paper I, which were inferred from Mann's tables. The agreement is better than 0.1%. The two-center integrals  $S$  and  $H_n$ , on the other hand, are most easily calculated by expanding the  $sp^3$  hybrid from one site in terms of spherical harmonics centered on the second site. Similarly  $K$  can be evaluated by expanding the Coulomb potential arising from one hybrid electron density about the second site. In both cases, this procedure leads to a finite series of one-dimensional integrals. This same type of expansion method applied to the exchange integral  $J$ , however, leads to an infinite series of terms, which must be truncated. Tests of our procedures in the case of hydrogen  $1s$  orbitals, where exact results are known<sup>8</sup>, suggest that we calculate  $S$ ,  $H_n$  and  $K$  to an accuracy of better than 1%, but that we may underestimate  $J$  by a few percent.

Values of  $S$ ,  $K$  and  $V_4$  that we have computed for the twenty group-IV elements and group-IV and III-V binary compounds are listed in Table II, together with values of  $V_2$  obtained from Eq. (106) and  $V_3$  obtained from Eq. (108). The two-center Coulomb integral  $K$  decreases with increasing bond length, as expected. The overlap integral  $S$ , on the other hand, tends to be constant, although AlN, GaN and InN have somewhat smaller values than the rest. For the solids listed in Table II, one has approximately  $K \sim (1+S)e^2/d \sim 1.6 e^2/d$ . The constancy of  $S$  and the simple dependence of  $K$  on bond length give  $V_4$  its expected covalent behavior. The large magnitude of  $S$ , however, alters the relationship of  $V_2$  and  $V_2^{HC}$  anticipated in Paper I. For  $S=0$  Eq. (106) demands that  $V_2 < V_2^{HC}$ , but in our case  $S^2 > \frac{1}{3}$  and we calculate  $V_2 > V_2^{HC}$ . For the group-IV elements  $\chi = 2 V_4/V_2 = 0.77$  for C,  $= 1.08$  for Si,  $= 1.10$  for Ge, and  $= 1.13$  for Sn.

As mentioned in Sec. II, our calculated values of  $V_5$  and  $V_6$  always turn out to be small in comparison with  $V_3$  and  $V_4$ , respectively. Specifically, we find

$$0.11 \geq V_5 \geq -0.02 \text{ eV} \quad (111)$$

$$|V_5 / V_3| < 0.075$$

and

$$0.27 \geq V_6 \geq -0.02 \text{ eV} \quad (112)$$

$$|V_6 / V_4| < 0.11$$

for all twenty solids considered here. In view of the large overlap of the  $\underline{p}^3$  hybrids, the uniform smallness of  $V_5$  and  $V_6$  is indeed remarkable, and we have found no simple explanation for these results. The magnitudes of  $V_5$  and  $V_6$  are actually comparable to the numerical uncertainty in these quantities. For the sake of conceptual simplicity we shall set  $V_5 = V_6 = 0$  in our subsequent analysis. Note that with  $V_2$  fit to experiment and  $V_5$  and  $V_6$  set to zero, values for the integrals  $H_{\nu}$  and  $J$  are no longer required.

Having established values for the basic parameters of our two-electron model, we may quantitatively solve the cubic equation (24) for the singlet-state eigenvalues. We have done this and the values of  $E_M^0$ , defined by Eq. (23), are given in Table III, together with the triplet-state eigenvalues

$$E_T^0 = 2S V_2 - 2S^2 V_4 / (1 - S^2) \quad (113)$$

for the twenty solids under consideration. The corresponding eigenstate expansion coefficients  $a_{4M}$ ,  $a_{5M}$  and  $a_{6M}$ , as calculated from Eqs. (32)-(34), are listed in Table IV. Also, in Table III we compare our theoretical values for



the principal optical-absorption peak  $E_2 = E_{IV} - E_G$  with both the HC predictions,  $E_2^{HC} = 2 [(V_2^{HC})^2 + (V_3^{HC})^2]$ , and the experimental  $E_{2A}$  and  $E_{2B}$  sub-peaks as given by Phillips.<sup>9</sup> Because  $E_2$  for the group-IV elements represents a fitting parameter, only the results for the binary compounds offer a test of the theory. Both our  $E_2$  and  $E_2^{HC}$  for these latter materials agree with the available experimental data to within 12%. Our  $E_2$  tend to agree best with  $E_{2B}$  and  $E_2^{HC}$  with  $E_{2A}$ , but the differences do not appear to be significant. It is important to stress, however, that our  $E_2$ , in contrast to  $E_2^{HC}$ , include no direct experimental data for the binary compounds.

### B. Computed Properties

With the information given in Tables I-IV, we may systematically study the physical properties discussed in Sec. II. We begin with the dielectric constant, for which we need the additional quantities  $\gamma$ ,  $\delta_a$ ,  $\delta_c$ , and  $\delta_{ca}$ . All of these parameters are readily calculable from the hybrid wavefunctions (110) and the values we have obtained are given in Table V. For the group-IV elements, the dielectric constant is given directly in terms of  $\gamma$ ,  $V_2^{HC}$  and  $\beta'$  by Eqs. (51) and (55). From Table II we calculate  $\beta' = 1.23$  for C,  $= 1.35$  for Si,  $= 1.36$  for Ge, and  $= 1.37$  for Sn. For the binary compounds, the coupling matrix elements  $\langle G | U_E^0 | M \rangle$  must be computed from Eq. (47). As remarked in Sec. II, the term  $|\langle G | U_E^0 | M \rangle|^2 / (E_G - E_{IV})$  dominates the sum in Eq. (46) for the susceptibility  $\chi$ . We find

$$|(E_G - E_{IV}) / (E_G - E_V)| |\langle G | U_E^0 | V \rangle / \langle G | U_E^0 | IV \rangle|^2 < 0.01 \quad (114)$$

in all cases. Finally, we scale our calculated values of  $\chi$  for the group-IV elements in the manner of HC. Specifically, we replace  $\gamma$  in Eq. (51) by  $\lambda_n \gamma$

and determine a  $\lambda_n$  for each row of the Periodic Table by fitting  $\epsilon$  to experiment. We obtain  $\lambda_n = 2.02$  for C,  $= 2.22$  for Si,  $= 2.56$  for Ge, and  $= 3.11$  for Sn. For the binary compounds, we multiply our calculated  $\chi$  by  $\lambda_a \lambda_c$ , where  $\lambda_a$  and  $\lambda_c$  are the appropriate values of  $\lambda_n$  for the anion and cation rows. Again as in the optical-absorption calculation and in contrast to HC, we do not use any direct experimental data for the binary compounds in determining the dielectric constant for these materials. In Fig. 2 we have plotted our theoretical dielectric constants versus the best available experimental values<sup>10</sup> for the twenty materials under consideration here. The agreement with experiment is within 12% for the binary compounds and, together with our results for  $E_2$ , lends strong support to our method of determining parameters.

We next consider the valence-electron density and the polarity of the bond. We have made a full evaluation of the single-bond electron density, as given by Eq. (60), in the cases of Ge and GaAs. These results are plotted in Figs. 3 and 4, respectively. There is a broad peak in the electron density at the center of the bond in Ge and a somewhat sharper peak near the As site in GaAs. In both cases the bond is elongated perpendicular to the bond axis. The remaining peaks and valleys in the core regions result from the oscillations in the s and p atomic wavefunctions due to their orthogonality to the inner core states. We have also repeated these calculations in the Harrison limit using Eqs. (65) and (66) with  $\alpha_c = \alpha_c^{HC}$  and  $\alpha_p = \alpha_p^{HC}$ , the HC values of the covalency and the polarity. Interestingly, the results obtained are very close to those of the full calculations; in the bonding region the differences in both cases are on the order of 1%. This is consistent with the fact that we calculate  $\alpha_p^G = \alpha_p^{HC}$  for GaAs as well as Ge (see Table V) and suggests that the electron-

density coefficients  $u_a$ ,  $u_c$  and  $u_{ac}$  are determined primarily by the polarity. As can be seen from Eqs. (65) and (66), the latter is exactly true in the Harrison limit.

To obtain the total electron density in the crystal, one must superimpose the individual single-bond densities. We have done this along a bond axis in both  $\text{Ge}$  and  $\text{GaAs}$  and the results are plotted in Figs. 5 and 6. The density near the center of the bond is increased only slightly by the overlap, but there is a significant increase in electron density in the back-bonding regions. For comparison we have also plotted in Figs. 5 and 6 the corresponding results of Walter and Cohen<sup>11</sup> obtained via the local-empirical-pseudopotential method.<sup>12</sup> Their calculated densities are qualitatively similar to ours in the bonding and back-bonding regions, although clearly they find a higher electron density in the center of the bond than we do.

An accurate experimental determination of the valence-electron density has been made in the case of  $\text{Si}$ , although not in either  $\text{Ge}$  and  $\text{GaAs}$ . It has been pointed out<sup>14</sup> that the Walter and Cohen calculation for  $\text{Si}$  yields the magnitude of the central peak to within 7% of experiment. In view of Fig. 5, it is of interest to consider what modifications in our  $\underline{s}p^3$  hybrids are required to increase  $\rho(\vec{r})$  in the center of the bond. From Eqs. (60), (65), (66) and (110), the electron density at the midpoint of the bond in a group-IV element is to a good approximation

$$\rho(d/2) = \frac{1}{4\pi} [R_s(d/2) + 3R_p(d/2)]^2 / (1+S). \quad (115)$$

Free-atom wavefunctions lead to a value of 0.063 a.u. for  $\rho(d/2)$  in  $\text{Si}$ , as compared with the value of 0.102 a.u. found experimentally.<sup>13</sup> However, by smoothly contracting the tails of  $R_s(r)$  and  $R_p(r)$  beyond  $r = d/2$ , one can

easily increase  $\rho(d/2)$  to the required height<sup>15</sup>, as we have verified in a computer experiment. It seems clear, therefore, that valuable information about the shape of the hybrids can be extracted from an accurate knowledge of the electron density in the bonding region. This matter will be pursued elsewhere.

Our defined polarity  $\alpha_p^G$  has been evaluated for each of the sixteen binary compounds considered here and these results, together with the corresponding values of  $\alpha_p^{HC}$ , are given in Table V. For the non-nitrate Al, Ga and In compounds, we find  $\alpha_p^G$  approximately constant (0.51 to 0.56), which is roughly in accord with  $\alpha_p^{HC}$  (0.44 to 0.54). For the corresponding nitride compounds, however, we consistently calculate  $\alpha_p^G \sim \frac{1}{2} \alpha_p^{HC}$ . In addition, we find  $\alpha_p^G < \alpha_p^{HC}$  for SiC and BN and  $\alpha_p^G > \alpha_p^{HC}$  for BP and BAs. These trends can be qualitatively understood in terms of our computed values of  $V_3$  and  $V_4$ . Generally speaking,  $\alpha_p^G$  increases with increasing  $V_3$  and decreases with increasing  $V_4$ . We calculate  $V_3 < V_3^{HC}$  and  $V_3 < V_4$ , and consequently relatively small values of  $\alpha_p^G$ , for SiC and all of the nitride compounds. For the remaining compounds, on the other hand, we obtain  $V_3 > V_3^{HC}$  with  $V_4 \cong V_3$  for BP and BAs and  $V_3 < V_4$  for the others.

For comparison with  $\alpha_p^G$  and  $\alpha_p^{HC}$ , we have also listed in Table V our calculated values of  $Z_p$ , as defined by Eq. (69). Generally, there does not seem to be a simple correlation between  $Z_p$  and either  $\alpha_p^G$  or  $\alpha_p^{HC}$ . We find  $Z_p \cong \alpha_p^G$  for SiC and the nitrides, but  $Z_p < \alpha_p^G$  for the rest. Interestingly, however, there is a qualitative correlation between  $(\delta_c - \delta_a)$  and  $\alpha_p^{HC}$ . In particular, the trend of decreasing  $\alpha_p^{HC}$  with increasing mean atomic number in the B, Al, Ga and In series (except for AlSb) are all reflected in

$(\delta_c - \delta_a)$ . In this regard, note that  $(\delta_c - \delta_a)$  measures the relative spatial extent of the cation and anion hybrids, while  $\alpha_p^G$  measures the relative weighting of these hybrids in the electron density.

Let us now move on to consider the nuclear exchange and pseudodipolar coefficients. To evaluate Eqs. (85) and (86) for  $\Gamma_e$  and  $\Gamma_{pd}$ , one needs values for the matrix element  $S_{nn''}$  and  $P_{nn''}$ . Equation (80) for  $S_{nn''}$  is easily evaluated in terms of the hybrids defined by Eq. (110):

$$S_{nn''} = \begin{cases} [R_{Sn}(0)]^2 & n = n' = n'' \\ R_{Sn}(0) [R_{Sn'}(d) + 3R_{pn'}(d)] & n = n' \neq n'' \\ [R_{Sn}(d) + 3R_{pn}(d)]^2 & n = n'' \neq n' \end{cases} \quad (116)$$

For free-atom wavefunctions  $R_{Sn}(0) \gg [R_{Sn}(d) + 3R_{pn}(d)]$  and consequently

$S_{nn''} \gg S_{nn'} \gg S_{nn}$ . Specifically, we find

$$|S_{nn'} / S_{nn''}| < 0.10 \quad (117)$$

$$|S_{nn'} / S_{nn}| < 0.01$$

in all cases. The situation for  $P_{nn''}$  is slightly more complicated. Only  $P_{nn''}$  has a simple formula in terms of the hybrids:

$$P_{nn''} = \int_0^\infty R_{pn}^2(r) \frac{dr}{r} \quad (118)$$

To evaluate the overlap terms one must use the wavefunction-expansion techniques discussed in the Appendix. Specific formulas for  $P_{nn''}$  and  $P_{nn'}^{\prime}$  are given there. Quantitatively, we find

$$|P_{nn'}'' / P_{nn}''| < 0.27$$

$$|P_{nn'}'' / P_{nn}''| < 0.18$$

(119)

although the upper limits are only approached in the case of  $BN$ . Typically the ratios are much smaller. For completeness we have included all four overlap terms,  $S_{nn}''$ ,  $S_{nn'}''$ ,  $P_{nn}''$  and  $P_{nn'}''$ , in calculating  $\Gamma_e$  and  $\Gamma_{pd}$ . Values of  $\Gamma_e$  and  $\Gamma_{pd}$  have been determined both in the Harrison limit, using  $V_2^{HC}$  and  $V_3^{HC}$  to evaluate Eqs. (93) and (94), and with the full theory, using the data listed in Tables III and IV to evaluate  $b_1$ ,  $b_2$  and  $E_0$ . These results are presented in Table VI together with the best available experimental data. Note that the values of  $\Gamma_e$  and  $\Gamma_{pd}$  in the Harrison limit are larger (except for  $I_nN$ ) than the corresponding ones given in Table II of Paper I. This is due primarily to the appearance of the overlap matrix element  $S$  in Eq. (93), as was discussed in Sec. II. Also, as expected from Fig. 1, the effect of two-electron correlation is to enhance  $\Gamma_e$  and  $\Gamma_{pd}$  in all cases. The magnitude of the enhancement, however, shows rather complicated trends depending both on bond length and polarity. In the group-IV elements,  $\xi' = 2.04$  for  $C$ ,  $= 3.30$  for  $Si$ , and  $Ge$ , and  $= 3.53$  for  $Sn$ .

We have not included any additional scaling factors in the theoretical numbers listed in Table VI. This does not appear to be important in the case of  $\Gamma_{pd}$ , but clearly we overestimate the magnitudes of  $\Gamma_e$ , although the trends are correct. We have made an approximate least-squares fit to the six non-zero experimental values of  $\Gamma_e$  using a functional form

$$\Lambda_u \Lambda_c \Gamma_e$$

(120)

where  $\Lambda_a$  and  $\Lambda_c$  are constants which depend only on row number in the Periodic Table and the  $\Gamma_e$  are the full-theory values given in Table VI. We have thereby determined scaling factors  $\Lambda_n$  appropriate to each row:  $\Lambda_n = 0.11$  for the S; row,  $= 0.37$  for the  $G_c$  row and  $= 0.64$  for the  $S_n$  row. As with the scaling factor  $\lambda_n$  in the dielectric constant,  $\Lambda_n$  increases with increasing atomic number. Note, however, that  $\lambda_n > 1$  while  $\Lambda_n < 1$ .

In Paper I we noted the following relationship between the dielectric constant and the nuclear exchange coefficient in the Harrison limit (without overlap):

$$\epsilon - 1 = c \Gamma_e / d, \quad (121)$$

where  $c$  is independent of  $V_2$  and  $V_3$  and depends only on intra-atomic parameters. [See the discussion pertaining to Eq. (74) of Paper I.] This motivated us to plot experimental values of  $(\epsilon - 1)$  against the known experimental values of  $\Gamma_e / \Gamma_{pd} d^4 = \Gamma_e / (\gamma_a \delta_c h^2 d)$ , and we found a rather striking linear relationship in the series  $I_n P$ ,  $I_n As$  and  $I_n Sb$ . Since that time, experimental determinations of  $\Gamma_e$  and  $\Gamma_{pd}$  for  $G_a P$ , as well as new measurements on  $G_a As$ , have been made by Cueman and Soest<sup>16</sup>, allowing them to make a similar plot for the  $G_a$  series. They have found a good linear relationship for that series too; both sets of experimental data are shown in Fig. 7. We are now in a position to make a meaningful theoretical plot of  $(\epsilon - 1)$  vs.  $\Gamma_e / \Gamma_{pd} d^4$ . Using our calculated values of  $\epsilon$  from Fig. 3 and our full-theory values of  $\Gamma_e$  from Table VI, we have done this in Fig. 8 for all the III-V compounds. Approximate linear trends can be seen in the  $I_n$  and  $G_a$  series, as well as the  $A_e$  series, for the heaviest three compounds. To examine the origin of this

behavior, we repeated the procedure in the Harrison limit. In this case, the linear behavior was improved slightly in the  $I_n$  series, worsened slightly in the  $G_a$  series, and was destroyed in the  $A_q$  series. Keeping only the most dominant terms in  $\sum_{nn}''$  and  $\rho_{nn}''$ , one has in the Harrison limit

$$\hbar^2 \gamma_a \gamma_c c \sim \left[ \frac{\lambda_a \lambda_c}{\sum_{aa}^a \sum_{cc}^c \beta'} \right] \left[ \frac{18\sqrt{3} (\gamma' c)^2 (1-S^2)^2}{(1-S^2) - S^2 (\alpha_p^{HC} / \alpha_c^{HC})^2} \right], \quad (122)$$

where by construction  $(\lambda_a \lambda_c / \beta')^{1/2} \gamma'$  is the HC fitted value of  $\gamma'$ . Now for the heavier  $G_a$  and  $I_n$  compounds  $\beta'$ ,  $\gamma'$ ,  $S$ ,  $\alpha_p^{HC}$  and  $\alpha_c^{HC}$  are rather constant. This implies that for a given cation series

$$\lambda_a / \sum_{aa}^a \sim \text{constant}, \quad (123)$$

Evaluation of Eq. (123) gives 0.0155 for  $Sb$ , 0.0163 for  $As$ , and 0.0311 for  $P$ . The higher value for  $P$  is consistent with Fig. 8, where the points for  $A_q P$ ,  $G_a P$  and  $I_n P$  all lie above the straight line defined by the corresponding  $As$  and  $Sb$  compounds.

A comparison of Table II of Paper I and Table VI shows that our full treatment of overlap and two-electron correlation has had only a modest impact on the important ratio  $\Gamma_{pd} / \Gamma_e$ . Moreover, in no case has that ratio been increased significantly, which, as pointed out in Paper I, is necessary to simultaneously reconcile  $\Gamma_{pd}$  and  $\Gamma_e$  with experiment. It now seems clear that  $\Gamma_{pd} / \Gamma_e$  is dominated by the ratio  $\rho_{nn}'' / \sum_{nn}''$ , which in turn is a direct property of the  $\underline{s}$  and  $\underline{p}$  wavefunctions which make up the hybrid. Interestingly, we have found in the case of  $S_i$  that the latter ratio can be increased if the tails of the free-atom wavefunctions are contracted in the manner necessary to account for the electron

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density in the center of the bond. This matter bears further investigation in the case of binary compounds.

As our final application, we turn to the cohesive energy. For the group IV elements, it is instructive to rewrite Eq. (104) as

$$E_{coh} = 8(1-S) V_2^{Hc} - 4 E_{corr} - 4 E_{\delta c} - E_{pro} \quad , \quad (124)$$

where  $-4 E_{corr}$  is the explicit contribution of two-electron correlation to the cohesive energy. Using Eq. (106) and comparing Eqs. (104) and (124), one has (with  $V_6 = 0$ )

$$E_{corr} = 2(1-2S^2) V_4 / (1-S^2) - 2S (V_2^{Hc} - V_2) \quad . \quad (125)$$

As desired,  $E_{corr} = 0$  for  $V_4 = 0$ . Unfortunately, a complete evaluation of Eq. (124) requires the uncertain quantity  $\Delta \xi_a + \Delta \xi_c$ . Because an accurate evaluation of  $\Delta \xi_n$  is quite difficult, we calculate only the remaining terms. Specifically, we replace  $E_{\delta c}$  in Eq. (124) with

$$E_{\delta c}^0 = -K + e^2/d \quad (126)$$

and use our calculated values of  $V_1^n$ ,  $V_2$ ,  $V_4$ ,  $S$  and  $K$  to evaluate  $E_{\delta c}$  and its components. The results are listed in Table VII together with the experimental values of the cohesive energy. Note that our calculated  $E_{\delta c}$  is less than experiment but positive in each case. This is consistent with small negative values of  $\Delta \xi_n$ , as we expect theoretically. Also note that  $-4 E_{\delta c}^0$  makes a large positive contribution and  $-4 E_{corr}$  a smaller, but non-negligible, negative contribution to  $E_{coh}$ . We may contrast this with the calculation of  $E_{coh}$  made by HC. They, of course, neglected both  $E_{\delta c}$  and  $E_{corr}$ . Quantitatively, these omissions were partly compensated for by their use of smaller values of  $S$  (a constant value of 0.5) and a scaling factor of 0.8 multiplying  $E_{pro}$ . Their prescription gives a rather fortuitous value of  $E_{coh} = 11.1 \text{ eV}$  for C, but negative values of  $-2.72$  for Si,  $-3.94$  for Ge, and  $-3.01$  for Sn.

We have determined empirical values of  $\Delta \xi_n$  for each group-IV element by fitting to the experimental cohesive energy:

$$\Delta \xi_n^{fit} = (E_{coh} - E_{coh}^{exp}) / B. \quad (127)$$

As can be seen from Table VII, the magnitudes of the  $\Delta \xi_n^{fit}$  are reasonable. The irregular variation from element to element is questionable and no doubt partly reflects the fact that our theoretical model is best for a large-band-gap material like C. In any case, we have used Eq. (107) to extend the calculation of  $E_{coh}$  to the binary compounds. We have assumed that  $\Delta \xi_c + \Delta \xi_a$  is a covalent quantity and have used the appropriate arithmetic mean of the group-IV values for each compound together with a direct calculation of the remaining terms in Eq. (100). The results are plotted against the known experimental cohesive energies in Fig. 9. The comparison is not as favorable as with  $E_2$  and  $\epsilon$ , but positive values are found in all cases except  $I_n N$ .

#### IV. CONCLUSIONS

We have presented here the full formal theory of the two-electron bond-orbital model, extended its application, and extensively compared and contrasted it with Harrison's one-electron bond-orbital model. Both the analytic formulas given in Sec. II and the parameters listed in Tables I-V can be used to treat many additional physical properties, including those considered by Harrison and co-workers with the one-electron model. Our work here has shown that two-electron correlation effects are significant in the dielectric constant, the nuclear exchange and pseudodipolar coefficients, and the cohesive energy, but perhaps not in the valence electron density, where the polarity and the shape of  $sp^3$  hybrid wavefunctions are the dominant quantities. In  $\epsilon^{-1}$ ,  $\Gamma_e$  and  $\Gamma_{fd}$ , however, the effect of correlation is essentially multiplicative and is hidden to some extent if scaling factors, a la HC, are used to achieve quantitative agreement with experiment. Nevertheless, it is encouraging that we have been successful here by actually fitting less parameters to experiment than did HC. An interesting and potentially important area for additional work is in the optimization of the  $sp^3$  hybrid wavefunctions and in the impact this has on calculated parameters such as  $V_3$ ,  $V_4$ ,  $S_{nn}^n$  and  $P_{nn}''$ .

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## APPENDIX

We present here useful formulas for quantitatively evaluating the two-electron integrals  $U_{nn}$  and  $K$  and the other two-center integrals  $S$ ,  $\delta_{ca}$ ,  $P_{nn}''$  and  $P_{nn}'''$  discussed in Secs. II and III. Central to the evaluation of  $U_{nn}$  and  $K$  is the standard expansion

$$\frac{1}{|\vec{r} - \vec{r}'|} = 4\pi \sum_{l,m} \frac{1}{2l+1} \frac{r_{<}^l}{r_{>}^{l+1}} Y_{lm}^*(\vec{r}') Y_{lm}(\vec{r}), \quad (\text{A1})$$

where  $Y_{lm}(\vec{r})$  is the usual spherical harmonic and  $r_{<}$  ( $r_{>}$ ) is the lesser (greater) of  $r$  and  $r'$ . We choose the  $z$  axis to be in the bond direction such that the cation is located a distance  $+d \hat{z}$  from the anion. Then only the  $m=0$  component of Eq. (A1) (as well as any other similar expansion) will contribute to the integrals of interest, and one may work in terms of the Legendre polynomials  $P_l$ :

$$Y_{l0}(\vec{r}) = \sqrt{\frac{2l+1}{4\pi}} P_l(\cos\theta). \quad (\text{A2})$$

In terms of the  $P_l$ , the  $s p^3$  hybrid wavefunctions take the form

$$\phi_n(\vec{r}) = \frac{1}{2\sqrt{4\pi}} [R_{sn}(r) P_0(\cos\theta) + 3\theta_n R_{pn}(r) P_1(\cos\theta)], \quad (\text{A3})$$

where  $P_0(x) = 1$ ,  $P_1(x) = x$ , and  $\theta_a = +1$  and  $\theta_c = -1$ , as above.

Using Eqs. (A1) and (A3), the Coulomb potential arising from an  $\underline{s}p^3$ -hybrid electron density can be written

$$V_n(\vec{r}) = \int \phi_n(\vec{r}') \frac{e^2}{|\vec{r} - \vec{r}'|} \phi_n(\vec{r}') d\vec{r}'$$

$$= \frac{1}{4} \left[ Z_{ss}^0(r) + 9 Z_{pp}^0(r) + 2 \theta_n Z_{sp}^1(r) P_1(\cos\theta) + \frac{6}{5} Z_{pp}^2(r) P_2(\cos\theta) \right] \frac{e^2}{r} \quad (A4)$$

where

$$Z_{\ell\ell'}^k(r) = \int_0^r R_{\ell n}(r') \frac{r'^k}{r^k} R_{\ell'n}(r') r'^2 dr'$$

$$+ \int_r^\infty R_{\ell n}(r') \frac{r'^{k+1}}{r^{k+1}} R_{\ell'n}(r') r'^2 dr' \quad (A5)$$

From Eqs. (A3) and (A4), one then has

$$U_n = \int \phi_n(\vec{r}) V_n(\vec{r}) \phi_n(\vec{r}) d\vec{r}$$

$$= \frac{1}{16} \left[ F_{ss}^0 + 9 F_{pp}^0 + 6 F_{sp}^0 + 4 G_{sp}^1 + \frac{36}{25} F_{pp}^2 \right], \quad (A6)$$

where  $F_{\ell\ell'}^k$  and  $G_{\ell\ell'}^k$  are the integrals defined by Mann<sup>7</sup>:

$$F_{\ell\ell'}^k = \int_0^\infty R_{\ell n}(r) \frac{e^2 Z_{\ell\ell'}^k(r)}{r} R_{\ell'n}(r) r^2 dr \quad (A7)$$

$$G_{\ell\ell'}^k = \int_0^\infty R_{\ell n}(r) \frac{e^2 Z_{\ell\ell'}^k(r)}{r} R_{\ell'n}(r) r^2 dr \quad (A8)$$

To evaluate  $K$ , one can expand  $V_c(\vec{r})$  in spherical harmonics centered on the anion. This type of expansion technique is discussed in detail by Löwdin.<sup>17</sup> Again only the  $m=0$  component of the expansion is required and one can write

$$V_c(\vec{r}-\vec{d}) = \frac{1}{4} \sum_{k=0}^{\infty} B_c^k(d,r) P_k(\cos\theta), \quad (\text{A9})$$

where  $\vec{r}$  is measured from the anion and

$$B_c^k(d,r) = \beta_{sso}^k(d,r) + 9\beta_{ppo}^k(d,r) - 2\beta_{spi}^k(d,r) + \frac{6}{5}\beta_{pp2}^k(d,r) \quad (\text{A10})$$

with

$$\beta_{\alpha\alpha'k}^k(d,r) = \frac{2k+1}{2dr} \int_{|d-r|}^{d+r} \frac{e^2 z_{\alpha\alpha'}^{k'}(r')}{r'} P_{k'}\left(\frac{r^2-r'^2-d^2}{2dr'}\right) P_k\left(\frac{r^2-r'^2+d^2}{2dr}\right) r' dr' \quad (\text{A11})$$

Then one finds

$$\begin{aligned} K &= \int \phi_a(\vec{r}) V_c(\vec{r}-\vec{d}) \phi_a(\vec{r}) d\vec{r} \\ &= \frac{1}{16} \int_0^{\infty} \left\{ [R_{sa}(r)]^2 B_c^0(d,r) + R_{sa}(r) R_{pa}(r) B_c^1(d,r) \right. \\ &\quad \left. + [R_{pa}(r)]^2 \left[ 9B_c^0(d,r) + \frac{6}{5} B_c^2(d,r) \right] \right\} r^2 dr. \end{aligned} \quad (\text{A12})$$

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To compute the remaining integrals, one must use an expansion analogous to Eq.

(A9) for the  $\underline{sp}^3$  hybrid wavefunction:

$$\phi_{n'}(\vec{r} + \theta_n \vec{d}) = \frac{1}{2} \sum_{k=0}^{\infty} \sqrt{\frac{2k+1}{4\pi}} A_n^k(d, r) P_k(\cos \theta) \quad (A13)$$

where

$$A_n^k(d, r) = \alpha_{sn}^k(d, r) + \sqrt{3} \theta_n \alpha_{pn}^k(d, r) \quad (A14)$$

with

$$\alpha_{ln}^k(d, r) = \frac{2\pi}{dr} \sqrt{\frac{2k+1}{4\pi}} \sqrt{\frac{2l+1}{4\pi}} \int_{|d-r|}^{(d+r)} R_{ln}(r') P_l\left(\frac{r^2-r'^2-d^2}{2dr'}\right) P_k\left(\frac{r^2-r'^2+d^2}{2dr'}\right) r' dr' \quad (A15)$$

Formulas for  $S$ ,  $\delta_{ca}$  and  $\mathcal{P}_{nn}''$  in terms of the  $A_n^k(d, r)$  follow immediately:

$$S = \frac{1}{4} \int_0^{\infty} [R_{sa}(r) A_c^0(d, r) + \sqrt{3} R_{pa}(r) A_c^1(d, r)] r^2 dr \quad (A16)$$

$$\delta_{ca} = \frac{1}{2} S - \frac{1}{4d} \int_0^{\infty} \left\{ \frac{\sqrt{3}}{3} R_{sa}(r) A_c^1(d, r) + R_{pa}(r) \left[ A_c^0(d, r) + \frac{2\sqrt{5}}{5} A_c^2(d, r) \right] \right\} r^3 dr \quad (A17)$$

and

$$\mathcal{P}_{nn}'' = \int_0^{\infty} \left\{ \frac{\sqrt{5}}{6} R_{sn}(r) A_n^2(d, r) + \theta_n R_{pn}(r) \left[ \frac{\sqrt{3}}{3} A_n^1(d, r) + \frac{3\sqrt{7}}{14} A_n^3(d, r) \right] \right\} \frac{dr}{r} \quad (A18)$$

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Finally, a formula for  $\mathcal{P}_{nn}''$  may be derived by making two expansions of the form A13:

$$\mathcal{P}_{nn}'' = \frac{1}{8} \sum_{k,k'} (2k+1)^{1/2} (2k'+1)^{1/2} \int_0^\infty A_n^k(d,r) A_n^{k'}(d,r) \frac{dr}{r} \quad (\text{A19})$$

$$\times \int_{-1}^1 P_2(x) P_k(x) P_{k'}(x) dx .$$

In this case an infinite, but rapidly convergent, series is obtained. For each value of  $k'$  there are one to three values of  $k$  which give finite contributions to the series. In our calculations we have accumulated terms up to  $k=3$  and  $k'=3$ .

We omit results for the remaining Coulomb integrals  $H_n$  and  $J$ , as these quantities subsequently drop out of analysis given in Sec. III. A formula for  $H_n$  can be developed by using Eq. (A4) and a single expansion of the form A13. In the case of  $J$  a double expansion as in Eq. (A19) is required and an infinite series is obtained.

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TABLE I. Intra-atomic parameters for the group-III, -IV and -V elements obtained from the free-atom Hartree-Fock term values and wavefunctions of Mann (Ref. 7). Energies are given in eV, while  $\Delta_{nn}^n$  and  $\rho_{nn}^n$  are given in a.u.

| Element | $-\epsilon_n^{\text{HF}}$ | $V_1^n$ | $U_n$ | $\Delta_{nn}^n$ | $\rho_{nn}^n$ |
|---------|---------------------------|---------|-------|-----------------|---------------|
| B       | 9.68                      | 1.26    | 13.95 | 17.7            | 0.776         |
| Al      | 6.96                      | 1.25    | 9.27  | 29.6            | 1.09          |
| Ga      | 7.14                      | 1.47    | 9.37  | 87.2            | 2.89          |
| In      | 6.56                      | 1.19    | 8.43  | 123             | 4.46          |
| C       | 13.14                     | 2.08    | 17.62 | 35.0            | 1.66          |
| Si      | 9.38                      | 1.80    | 11.34 | 48.1            | 2.03          |
| Ge      | 9.28                      | 1.96    | 10.96 | 120             | 4.73          |
| Sn      | 8.33                      | 1.57    | 9.63  | 160             | 6.75          |
| N       | 16.92                     | 3.09    | 21.22 | 60.5            | 2.02          |
| P       | 11.95                     | 2.42    | 13.27 | 7.13            | 3.27          |
| As      | 11.46                     | 2.48    | 12.36 | 157             | 6.85          |
| Sb      | 10.11                     | 1.97    | 10.66 | 200             | 9.23          |

TABLE II. Intrabond parameters for the group-IV elements and the group-IV and III-V binary compounds. The quantity  $V_2$  was obtained by fitting to experiment, while  $S$ ,  $K$ ,  $V_3$  and  $V_4$  were calculated using Hartree-Fock free-atom term values and wavefunctions, as described in the text. All energies are given in eV; the bond length  $d$  is given in Å.

| Material | $d$  | $S$   | $K$   | $V_2$ | $V_3$ | $V_4$ |
|----------|------|-------|-------|-------|-------|-------|
| C        | 1.54 | 0.648 | 14.70 | 6.54  | 0.0   | 2.52  |
| Si       | 2.35 | 0.668 | 9.83  | 2.53  | 0.0   | 1.36  |
| Ge       | 2.44 | 0.659 | 9.46  | 2.42  | 0.0   | 1.33  |
| Sn       | 2.80 | 0.661 | 8.37  | 1.99  | 0.0   | 1.12  |
| SiC      | 1.88 | 0.627 | 11.95 | 4.07  | 0.40  | 2.08  |
| BN       | 1.57 | 0.608 | 14.18 | 6.54  | 2.27  | 2.70  |
| BP       | 1.97 | 0.663 | 11.65 | 4.07  | 1.74  | 1.75  |
| BAs      | 2.07 | 0.656 | 11.14 | 3.98  | 1.71  | 1.77  |
| AlN      | 1.89 | 0.517 | 11.27 | 4.07  | 2.33  | 2.71  |
| AlP      | 2.36 | 0.633 | 9.58  | 2.53  | 1.93  | 1.41  |
| AlAs     | 2.43 | 0.645 | 9.34  | 2.47  | 1.93  | 1.26  |
| AlSb     | 2.66 | 0.659 | 8.68  | 2.24  | 1.63  | 1.14  |
| GaN      | 1.94 | 0.513 | 11.13 | 3.98  | 2.25  | 2.83  |
| GaP      | 2.36 | 0.629 | 9.58  | 2.47  | 1.84  | 1.44  |
| GaAs     | 2.45 | 0.637 | 9.28  | 2.42  | 1.83  | 1.25  |
| GaSb     | 2.65 | 0.654 | 8.70  | 2.19  | 1.54  | 1.15  |

TABLE II (cont'd.)

|      |      |       |       |      |      |      |
|------|------|-------|-------|------|------|------|
| InN  | 2.15 | 0.470 | 10.16 | 3.61 | 2.25 | 2.99 |
| InP  | 2.54 | 0.602 | 8.96  | 2.24 | 1.86 | 1.18 |
| InAs | 2.61 | 0.617 | 8.75  | 2.19 | 1.86 | 1.33 |
| InSb | 2.81 | 0.644 | 8.24  | 1.99 | 1.59 | 1.12 |

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Table III. Relative singlet and triplet eigenvalues  $E_M^0 = E_M - (c_a + c_c - K)$  for the twenty solids considered in the text, where  $E_G^0 = E_{IV}^0$  and  $E_T^0 = E_{I,II,III}^0$ . Also listed are the theoretical predictions of the  $E_2$  optical-absorption peak both from the present work,  $E_2 = E_{IV}^0 - E_G^0$ , and from the work of Harrison and Ciraci (Ref. 2),  $E_2^{HC}$ . All energies are in eV.

| Material        | $E_G^0$ | $E_T^0$ | $E_{IV}^0$ | $E_V^0$ | Theory                   |                   | Experiment <sup>a</sup> |          |
|-----------------|---------|---------|------------|---------|--------------------------|-------------------|-------------------------|----------|
|                 |         |         |            |         | $E_2 = E_{IV}^0 - E_G^0$ | $E_2^{HC}$        | $E_{2A}$                | $E_{2b}$ |
| C               | -2.32   | 4.82    | 9.87       | 24.32   | 12.2 <sup>b</sup>        | 12.2 <sup>c</sup> | 12.2                    | 12.2     |
| Si              | -0.50   | 1.19    | 3.91       | 9.98    | 4.41 <sup>b</sup>        | 4.40 <sup>c</sup> | 4.40                    | 4.40     |
| Ge              | -0.50   | 1.15    | 3.81       | 9.54    | 4.31 <sup>b</sup>        | 4.30 <sup>c</sup> | 4.3                     | 4.3      |
| Sn              | -0.38   | 0.89    | 3.13       | 7.88    | 3.52 <sup>b</sup>        | 3.52              |                         |          |
| SiC             | -1.25   | 2.33    | 6.56       | 15.63   | 7.80                     | 7.98              | 8.3                     |          |
| BN              | -3.33   | 4.78    | 9.95       | 24.87   | 13.3                     | 13.4              |                         |          |
| BP              | -1.81   | 2.65    | 6.04       | 16.22   | 7.85                     | 7.32              | 6.9                     |          |
| BA <sub>s</sub> | -1.78   | 2.55    | 5.96       | 15.89   | 7.73                     | 7.24              |                         |          |
| AlN             | -2.46   | 2.23    | 6.69       | 17.08   | 9.32                     | 9.07              |                         |          |
| AlP             | -1.72   | 1.32    | 3.80       | 11.28   | 5.52                     | 4.99              |                         |          |
| AlAs            | -1.80   | 1.39    | 3.64       | 10.96   | 5.44                     | 4.85              |                         |          |
| AlSb            | -1.46   | 1.20    | 3.30       | 9.83    | 4.76                     | 4.68              | 4.25                    | 4.6      |
| GaN             | -2.26   | 2.06    | 6.92       | 16.90   | 9.18                     | 9.26              |                         |          |
| GaP             | -1.59   | 1.22    | 3.76       | 11.02   | 5.35                     | 5.11              | 5.27                    | 5.74     |
| GaAs            | -1.71   | 1.38    | 3.60       | 10.65   | 5.30                     | 4.93              | 4.85                    | 5.33     |
| GaSb            | -1.36   | 1.14    | 3.26       | 9.57    | 4.61                     | 4.31              | 4.1                     | 4.5      |

Table III. (cont'd.)

|      |       |      |      |       |      |                   |      |     |
|------|-------|------|------|-------|------|-------------------|------|-----|
| InN  | -2.13 | 1.70 | 6.61 | 15.96 | 8.74 | 8.54              |      |     |
| InP  | -1.56 | 1.02 | 3.48 | 10.41 | 5.04 | 4.84              | 4.8  | 5.1 |
| InAs | -1.64 | 1.07 | 3.31 | 10.12 | 4.95 | 4.58              | 4.5  | 5.0 |
| InSb | -1.39 | 0.98 | 2.97 | 9.01  | 4.36 | 4.09 <sup>c</sup> | 4.08 |     |

<sup>a</sup>Reference 9

<sup>b</sup>Fit to  $E_2^{HC}$ , as described in the text.

<sup>c</sup>Fit to experiment  $E_{2A}$



Table IV. Expansion coefficients entering Eq. (31) for the singlet eigenstates  $M = VI$  (the ground state),  $M = IV$  and  $M = V$  of the twenty semiconductors considered in the text.

| Material | M=VI     |          |          | IV       |          |          | V        |          |          |
|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|
|          | $a_{4M}$ | $a_{5M}$ | $a_{6M}$ | $a_{4M}$ | $a_{5M}$ | $a_{6M}$ | $a_{4M}$ | $a_{5M}$ | $a_{6M}$ |
| C        | 0.167    | 0.167    | 0.736    | 0.928    | -0.928   | 0        | -1.44    | -1.44    | 2.33     |
| Si       | 0.100    | 0.100    | 0.841    | 0.950    | -0.950   | 0        | -1.53    | -1.53    | 2.47     |
| Ge       | 0.103    | 0.103    | 0.838    | 0.940    | -0.940   | 0        | -1.49    | -1.49    | 2.39     |
| Sn       | 0.097    | 0.097    | 0.847    | 0.942    | -0.942   | 0        | -1.50    | -1.50    | 2.41     |
| SiC      | 0.198    | 0.084    | 0.781    | 0.990    | -0.817   | -0.200   | -1.30    | -1.43    | 2.15     |
| BN       | 0.402    | 0.020    | 0.653    | 1.11     | -0.574   | -0.642   | -1.06    | -1.48    | 1.97     |
| BP       | 0.465    | -0.061   | 0.635    | 1.23     | -0.512   | -0.865   | -1.21    | -1.71    | 2.33     |
| BAs      | 0.459    | -0.058   | 0.641    | 1.22     | -0.508   | -0.855   | -1.17    | -1.68    | 2.27     |
| AlN      | 0.448    | -0.015   | 0.658    | 1.10     | -0.357   | -0.814   | -0.680   | -1.32    | 1.38     |
| AlP      | 0.643    | -0.120   | 0.494    | 1.26     | -0.218   | -1.24    | -0.889   | -1.65    | 1.92     |
| AlAs     | 0.680    | -0.121   | 0.451    | 1.27     | -0.202   | -1.30    | -0.920   | -1.70    | 2.00     |
| AlSb     | 0.659    | -0.131   | 0.480    | 1.30     | -0.238   | -1.28    | -1.00    | -1.75    | 2.14     |
| GaN      | 0.428    | -0.017   | 0.678    | 1.10     | -0.358   | -0.800   | -0.675   | -1.31    | 1.36     |
| GaP      | 0.619    | -0.121   | 0.520    | 1.25     | -0.231   | -1.21    | -0.886   | -1.63    | 1.89     |
| GaAs     | 0.655    | -0.113   | 0.476    | 1.26     | -0.224   | -1.25    | -0.904   | -1.66    | 1.95     |
| GaSb     | 0.632    | -0.128   | 0.508    | 1.29     | -0.259   | -1.24    | -0.998   | -1.72    | 2.11     |

Table IV. (cont'd.)

|      |       |        |       |      |        |        |        |       |      |
|------|-------|--------|-------|------|--------|--------|--------|-------|------|
| InN  | 0.420 | -0.010 | 0.695 | 1.07 | -0.310 | -0.789 | -0.563 | -1.26 | 1.16 |
| InP  | 0.626 | -0.118 | 0.516 | 1.22 | -0.179 | -1.22  | -0.761 | -1.55 | 1.67 |
| InAs | 0.667 | -0.122 | 0.471 | 1.24 | -0.163 | -1.28  | -0.796 | -1.60 | 1.76 |
| InSb | 0.674 | -0.134 | 0.466 | 1.28 | -0.188 | -1.31  | -0.911 | -1.69 | 1.98 |

Table V. Calculated parameters related to the dielectric constant and the polarization of the bond, as discussed in the text. The quantity  $\alpha_P^{HC}$  is the value of polarity assigned by Harrison and Ciraci (Ref. 2).

| Material | $\delta_c$ | $\delta_a$ | $\delta_c - \delta_a$ | $2\delta_{ca}$ | $Z_P$ | $\alpha_P^G$ | $\alpha_P^{HC}$ | $\gamma$ |
|----------|------------|------------|-----------------------|----------------|-------|--------------|-----------------|----------|
| C        | 0.279      | 0.279      | 0.0                   | 0.0            | 0.0   | 0.0          | 0.0             | 0.581    |
| Si       | 0.265      | 0.265      | 0.0                   | 0.0            | 0.0   | 0.0          | 0.0             | 0.633    |
| Ge       | 0.257      | 0.257      | 0.0                   | 0.0            | 0.0   | 0.0          | 0.0             | 0.646    |
| Sn       | 0.259      | 0.259      | 0.0                   | 0.0            | 0.0   | 0.0          | 0.0             | 0.643    |
| SiC      | 0.331      | 0.229      | 0.102                 | 0.098          | 0.14  | 0.08         | 0.39            | 0.565    |
| BN       | 0.334      | 0.228      | 0.116                 | 0.053          | 0.24  | 0.27         | 0.41            | 0.539    |
| BP       | 0.274      | 0.275      | -0.001                | -0.040         | 0.20  | 0.35         | 0.0             | 0.602    |
| BAs      | 0.261      | 0.275      | -0.014                | -0.057         | 0.19  | 0.35         | 0.0             | 0.615    |
| AlN      | 0.390      | 0.189      | 0.201                 | 0.110          | 0.34  | 0.34         | 0.59            | 0.492    |
| AlP      | 0.312      | 0.229      | 0.083                 | 0.054          | 0.39  | 0.53         | 0.47            | 0.593    |
| AlAs     | 0.303      | 0.234      | 0.069                 | 0.037          | 0.40  | 0.55         | 0.44            | 0.606    |
| AlSb     | 0.277      | 0.251      | 0.026                 | 0.004          | 0.36  | 0.54         | 0.54            | 0.628    |
| GaN      | 0.363      | 0.184      | 0.179                 | 0.114          | 0.33  | 0.32         | 0.62            | 0.528    |
| GaP      | 0.299      | 0.229      | 0.070                 | 0.050          | 0.38  | 0.51         | 0.52            | 0.607    |
| GaAs     | 0.288      | 0.233      | 0.055                 | 0.034          | 0.38  | 0.54         | 0.50            | 0.621    |
| GaSb     | 0.266      | 0.252      | 0.014                 | -0.001         | 0.34  | 0.52         | 0.44            | 0.638    |
| InN      | 0.372      | 0.166      | 0.206                 | 0.156          | 0.36  | 0.31         | 0.64            | 0.523    |
| InP      | 0.315      | 0.213      | 0.102                 | 0.096          | 0.41  | 0.52         | 0.58            | 0.591    |
| InAs     | 0.307      | 0.218      | 0.089                 | 0.080          | 0.42  | 0.56         | 0.53            | 0.604    |
| InSb     | 0.285      | 0.237      | 0.048                 | 0.044          | 0.39  | 0.56         | 0.51            | 0.625    |

TABLE VI. Nuclear exchange and pseudodipolar coefficients,  $\Gamma_e$  and  $\Gamma_{pd}$ , for the twenty solids considered in the text in units of the direct dipole-dipole interaction coefficient  $\Gamma_{dd}$ . The theoretical results refer to our calculations done in both the Harrison limit and with the full two-electron formalism described in the text.

| Material        | $\Gamma_e/\Gamma_{dd}$ |       | Expt.             | $\Gamma_{pd}/\Gamma_{dd}$ |        | Expt. | $\Gamma_{pd}/\Gamma_e$ |       | Expt. |
|-----------------|------------------------|-------|-------------------|---------------------------|--------|-------|------------------------|-------|-------|
|                 | Harrison               | Full  |                   | Harrison                  | Full   |       | Harrison               | Full  |       |
| C               | 0.043                  | 0.087 |                   | 0.0068                    | 0.0139 |       | 0.160                  | 0.160 |       |
| Si              | 0.846                  | 2.79  |                   | 0.130                     | 0.427  |       | 0.153                  | 0.153 |       |
| Ge              | 6.00                   | 19.8  |                   | 0.860                     | 2.84   |       | 0.143                  | 0.143 |       |
| Sn              | 19.7                   | 69.5  |                   | 3.04                      | 10.7   |       | 0.154                  | 0.154 |       |
| SiC             | 0.120                  | 0.435 |                   | 0.0187                    | 0.0681 |       | 0.155                  | 0.157 |       |
| BN              | 0.022                  | 0.055 |                   | 0.0034                    | 0.0076 |       | 0.154                  | 0.137 |       |
| BP              | 0.161                  | 0.203 |                   | 0.0254                    | 0.0386 |       | 0.158                  | 0.190 |       |
| BA <sub>s</sub> | 0.404                  | 0.568 |                   | 0.0628                    | 0.103  |       | 0.155                  | 0.180 |       |
| AlN             | 0.077                  | 0.273 |                   | 0.0087                    | 0.0322 |       | 0.113                  | 0.118 |       |
| AlP             | 0.412                  | 0.684 |                   | 0.0619                    | 0.102  |       | 0.150                  | 0.150 |       |
| AlAs            | 1.20                   | 1.54  |                   | 0.170                     | 0.214  |       | 0.142                  | 0.139 |       |
| AlSb            | 1.56                   | 3.15  | ~0.0 <sup>a</sup> | 0.233                     | 0.469  |       | 0.149                  | 0.149 |       |

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TABLE VI (cont'd.)

|      |       |       |                    |        |       |                   |       |       |      |
|------|-------|-------|--------------------|--------|-------|-------------------|-------|-------|------|
| GaN  | 0.185 | 0.917 |                    | 0.0218 | 0.107 |                   | 0.118 | 0.117 |      |
| GaP  | 0.913 | 1.97  | 0.14 <sup>b</sup>  | 0.140  | 0.303 | 0.20 <sup>b</sup> | 0.154 | 0.154 | 1.43 |
| GaAs | 2.69  | 4.26  | 0.73 <sup>b</sup>  | 0.388  | 0.623 | 0.65 <sup>b</sup> | 0.144 | 0.146 | 0.89 |
| GaSb | 5.07  | 8.80  | 1.89 <sup>a</sup>  | 0.768  | 1.36  |                   | 0.151 | 0.154 |      |
| InN  | 0.277 | 2.01  |                    | 0.0325 | 0.246 |                   | 0.117 | 0.122 |      |
| InP  | 1.50  | 4.00  | -0.55 <sup>c</sup> | 0.228  | 0.608 | -1.0 <sup>c</sup> | 0.152 | 0.152 | -1.8 |
| InAs | 4.71  | 8.72  | 2.06 <sup>a</sup>  | 0.673  | 1.24  |                   | 0.143 | 0.143 |      |
| InSb | 9.18  | 15.6  | 5.28 <sup>a</sup>  | 1.38   | 2.33  |                   | 0.150 | 0.149 |      |

<sup>a</sup> R. K. Sundfors, Phys. Rev. 185, 458 (1969).

<sup>b</sup> Reference 16

<sup>c</sup> M. Engelsberg and R. W. Norberg, Phys. Rev. B 5, 3395 (1972).

Table XII. Cohesive energy per atom pair and its components for the group - IV elements in eV. The quantities  $E_{\text{coh}}^0$  and  $E_{\text{coh}}$  are our calculated values of the cohesive energy without and with the correlation energy  $E_{\text{corr}}$ , respectively, as discussed in the text. The quantity  $\Delta\epsilon_n^{\text{fit}}$  is the value of  $\Delta\epsilon_n$  necessary to fit  $E_{\text{coh}}$  to  $E_{\text{coh}}^{\text{expt}}$ .

| Material | $8(1-s)V_2^{\text{HC}}$ | $-4 E_{\text{corr}}$ | $-4 E_{\text{ol}}^0$ | $-E_{\text{pro}}$ | $E_{\text{coh}}^0$ | $E_{\text{coh}}$ | $E_{\text{coh}}^{\text{expt}}$ | $\Delta\epsilon_n^{\text{fit}}$ |
|----------|-------------------------|----------------------|----------------------|-------------------|--------------------|------------------|--------------------------------|---------------------------------|
| C        | 17.18                   | -7.88                | 21.44                | -16.64            | 22.0               | 14.1             | 14.7                           | -0.075                          |
| Si       | 5.84                    | -3.84                | 14.84                | -14.40            | 6.28               | 2.44             | 9.28                           | -0.855                          |
| Ge       | 5.87                    | -3.87                | 14.24                | -15.68            | 4.43               | 0.56             | 7.74                           | -0.898                          |
| Sn       | 4.77                    | -3.23                | 12.92                | -12.56            | 5.13               | 1.90             | 6.24                           | -0.542                          |

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## FIGURE CAPTIONS

- Fig. 1 Correlation enhancement factor  $\xi'$  defined by Eq. (92) for the nuclear exchange and pseudodipolar coefficients of homopolar solids as a function of  $\chi = 2V_4/V_2$  with  $V_6 = 0$ .
- Fig. 2 Two-electron bond-orbital-model values of the dielectric constant  $\epsilon$  for sixteen binary compounds plotted against the best available experimental values (Ref. 10). No experimental data exists for BP,  $BA_3$  or  $IN_3$  and the estimates of Van Vechten (Ref. 10) have been used instead.
- Fig. 3 Single-bond electron density for Ge as calculated from Eq. (60) using free-atom Hartree-Fock wavefunctions and the  $a_{i,q}$  given in Table IV.
- Fig. 4 Single-bond electron density for GaAs as calculated from Eq. (60) using free-atom Hartree-Fock wavefunctions and the  $a_{i,q}$  given in Table IV. The As nucleus is on the right.
- Fig. 5 Profile of the total valence electron density in Ge along a bond axis, obtained by superimposing single-bond densities (Fig. 3) in the solid. The corresponding local-empirical-pseudopotential calculation of Walter and Cohen (Ref. 11) is shown for comparison (dashed line). The small arrows indicate the positions of the nuclei.
- Fig. 6 Profile of the total valence electron density in GaAs along a bond axis, obtained by superimposing single-bond densities

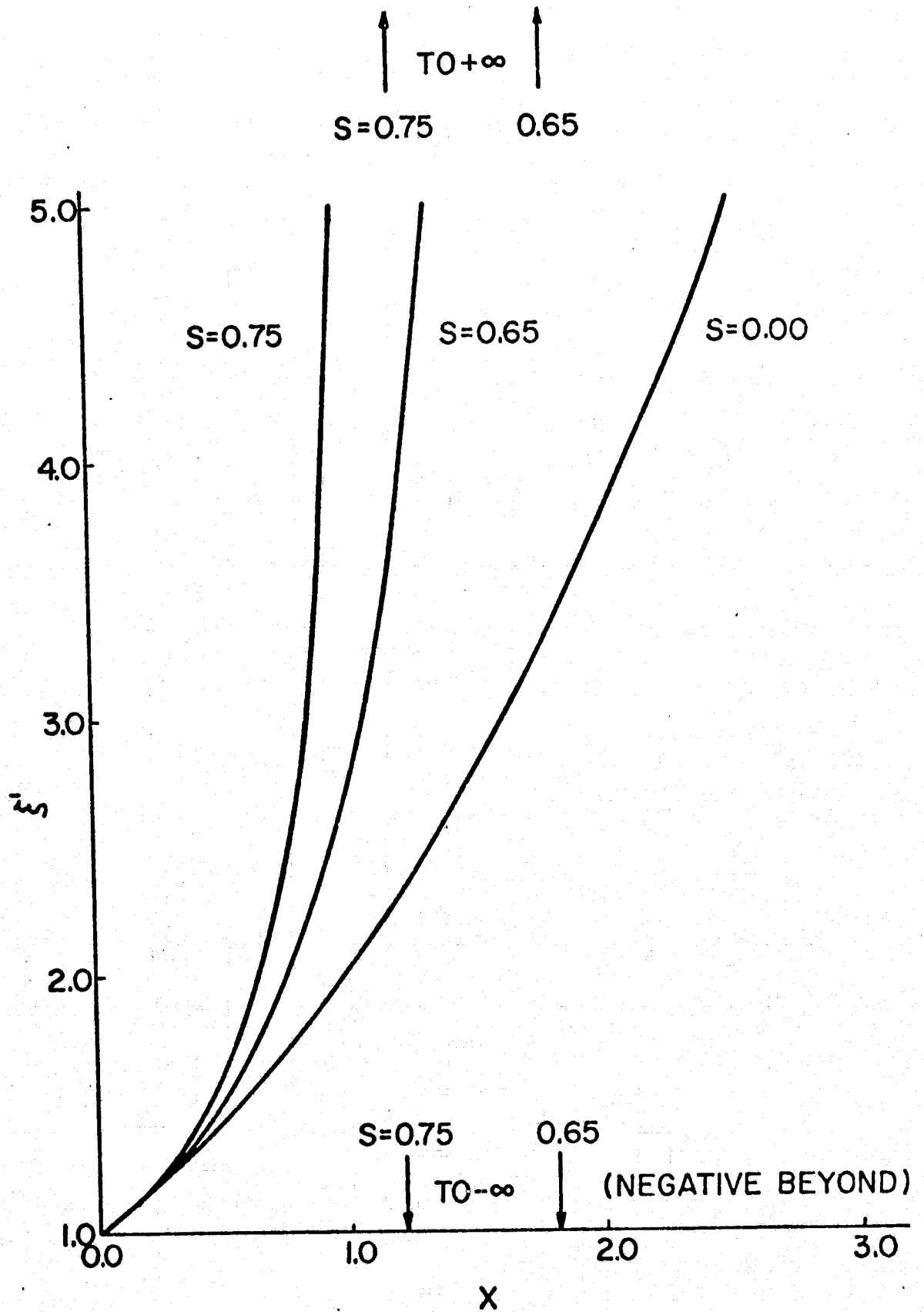
(Fig. 4) in the solid. The corresponding local-empirical-pseudopotential calculation of Walter and Cohen (Ref. 11) is shown for comparison (dashed line). The small arrows indicate the positions of the nuclei with  $A_5$  on the right.

Fig. 7 Experimental values of  $\epsilon^{-1}$  vs. experimental values of  $\Gamma_e/\Gamma_{d,d} d^4$  for the seven III-V compounds on which data is available. The linear trends in the In and Ga series are to be compared against the theoretical predictions shown in Fig. 8.

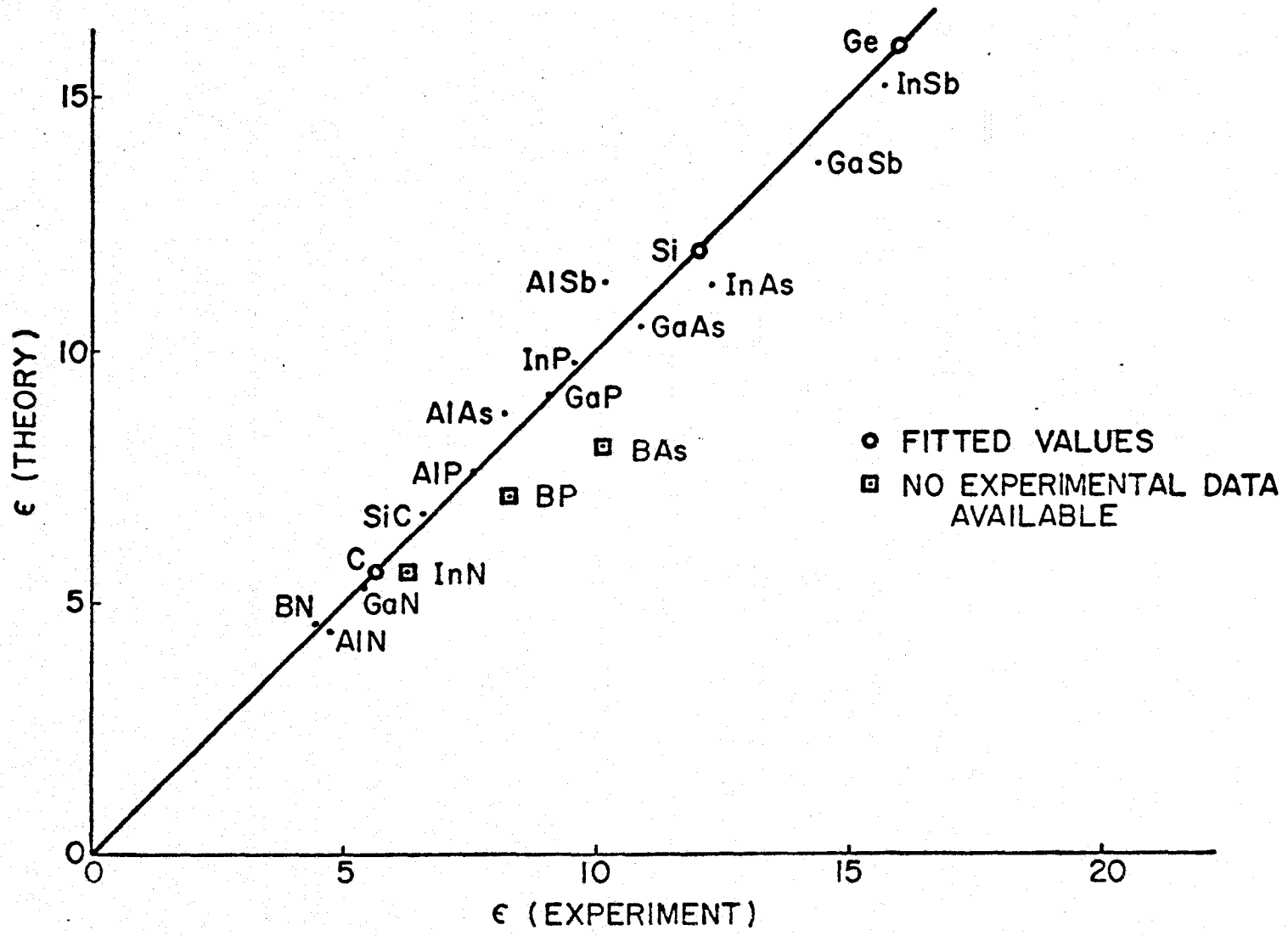
Fig. 8 Theoretical values of  $\epsilon^{-1}$  vs. theoretical values of  $\Gamma_e/\Gamma_{d,d} d^4$  for fifteen III-V compounds, as calculated from the two-electron bond-orbital model. The corresponding experimental results, as known, are plotted in Fig. 7.

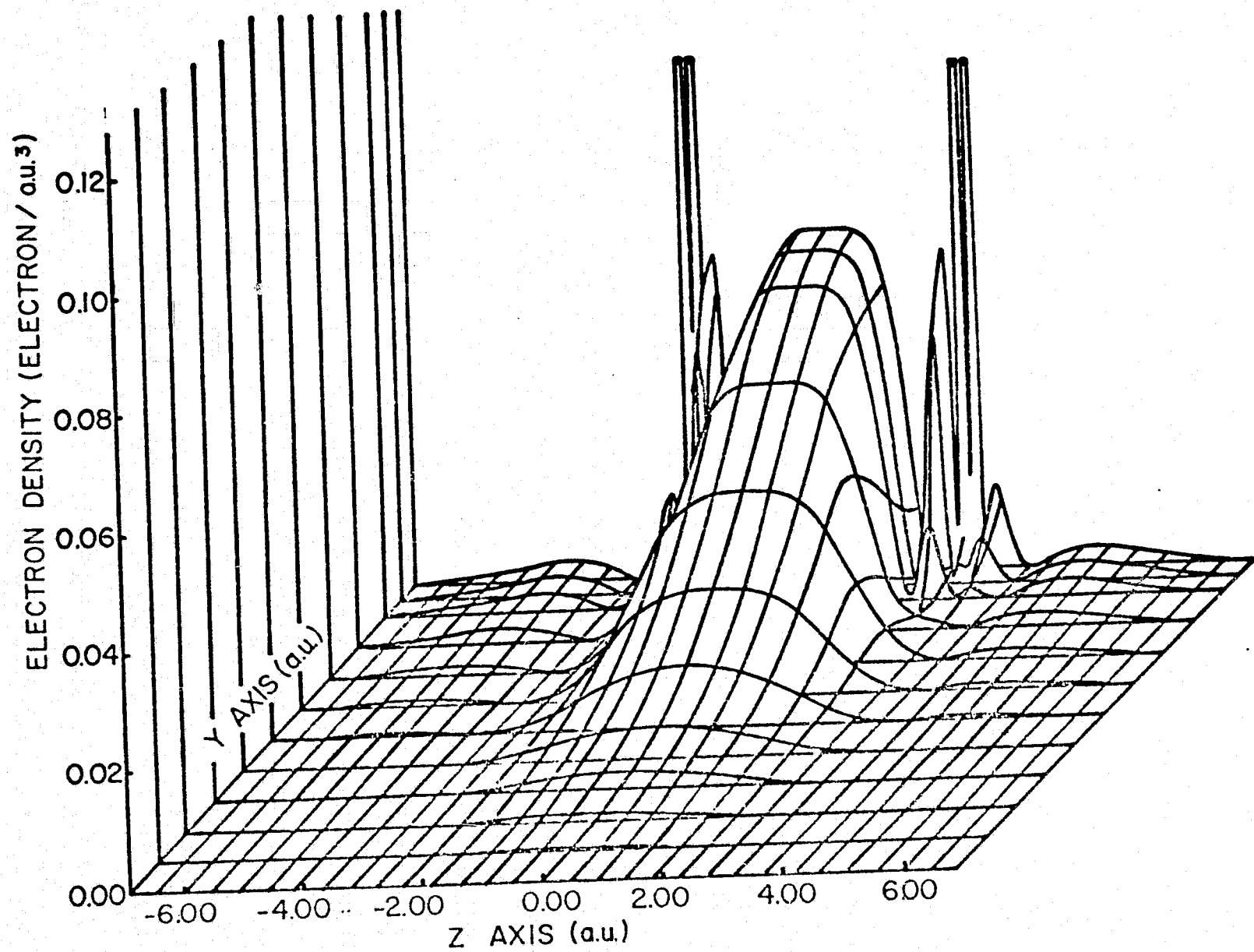
Fig. 9 Two-electron bond-orbital-model values of the cohesive energy  $E_{coh}$  for sixteen binary compounds plotted against the known experimental values. References to the experimental data are given in the caption of Fig. 11 of Ref. 2.





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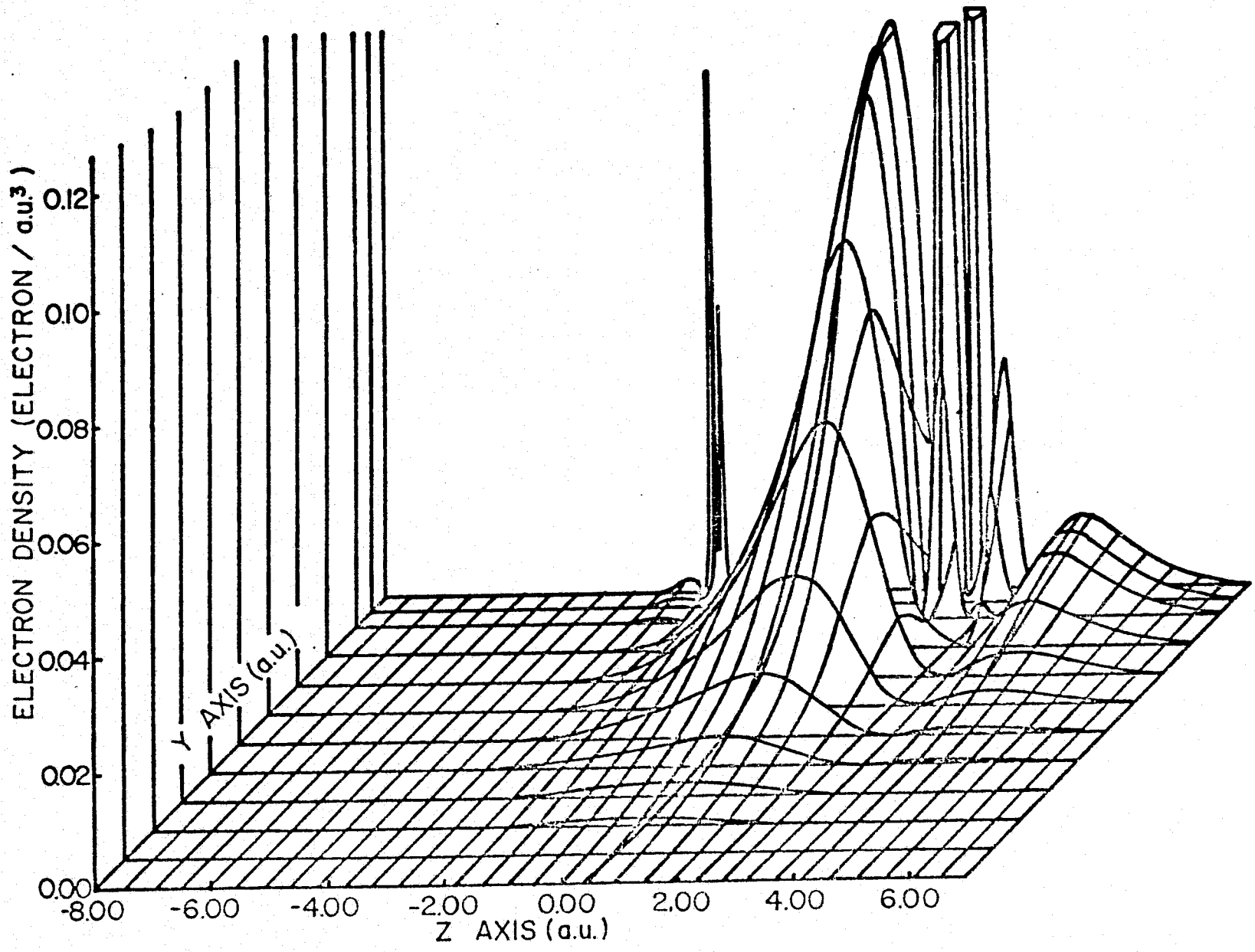


Fig. 4

