

CR 137963  
NAS2-7613

(NASA-CF-137963) ROTOR DYNAMIC STATE AND  
PARAMETER IDENTIFICATION, FROM SIMULATED  
FORWARD FLIGHT TRANSIENTS, PART 1  
(Washington Univ.) 61 p HC A04/MF AC1

N77-10003

Unclas  
07984

CSSL 01A G3/02

ROTOR DYNAMIC STATE AND PARAMETER  
IDENTIFICATION FROM SIMULATED  
FORWARD FLIGHT TRANSIENTS

Part I of Third Yearly Report under Contract NAS2-7613

Prepared for the Ames Directorate, USAAMRDL  
at Ames Research Center, Moffett Field, California

by

K. H. Hohenemser

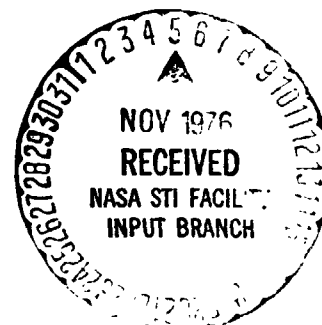
D. Banerjee

S. K. Yin

Department of Mechanical Engineering

Washington University  
School of Engineering and Applied Science  
St. Louis, Missouri 63130

June 1976



CR-137963

ROTOR DYNAMIC STATE AND PARAMETER  
IDENTIFICATION FROM SIMULATED  
FORWARD FLIGHT TRANSIENTS

Part I of Third Yearly Report under Contract NAS2-7613

Prepared for the Ames Directorate, USAAMRDL  
at Ames Research Center, Moffett Field, California

by

K. H. Hohenemser

D. Banerjee

S. K. Yin

Department of Mechanical Engineering

Washington University  
School of Engineering and Applied Science  
St. Louis, Missouri 63130

June 1976

Preface to Third Yearly Report under Contract NAS2-7613

Work under Contract NAS2-7613 started on July 1, 1973. The research goals for a 3 year period stated in this contract are:

- (a) Assess analytically the effects of fuselage motions on stability and random response. The problem is to develop an adequate but not overly complex flight dynamics analytical model and to study the effects of structural and electronic feedback, particularly for hingeless rotors.
- (b) Study by computer and hardware experiments the feasibility of adequate perturbation models from non-linear trim conditions. The problem is to extract an adequate linear perturbation model for the purpose of stability and random motion studies. The extraction is to be performed on the basis of transient responses obtained either by computed time histories or by model tests.
- (c) Extend the experimental methods to assess rotor wake-blade interactions by using a 4-bladed rotor model with the capability of progressing and regressing blade pitch excitation (cyclic pitch stirring), by using a 4-bladed rotor model with hub tilt stirring, and by testing rotor models in sinusoidal up or side flow.

Seven reports on the work under Contract NAS2-7613 have been submitted, references 1 to 7. Reference 1 completes research goal (a). References 2, 4, 6, 7 pertain to research goal (b). It is incomplete to date. References 3 and 5 pertain to research goal (c). It also is incomplete to date. Reference 3 presents the results of extensive frequency response tests. Reference 5 presents dynamic downwash

## II

measurements in hovering during harmonic rotor excitation. Three manuscripts for publication have been prepared. The first has been published as reference 8. Two further manuscripts covering part of the material in references 3 and 7 have been submitted to journals.

The extensive rotor state and parameter identification work with computer simulated transients was found to be very useful for the subsequent data processing of the measured transients. It allowed to sort out possible inadequacies of the applied identification algorithm and of the inputs from possible inadequacies of the measurements and of the applied mathematical rotor model. Rotor state and parameter identifications from measured transients are presently complete for hovering conditions using cyclic pitch stirring transients. They are presented in reference 7. The corresponding work for forward flight conditions and for hub tilt stirring is planned to be completed in FY 1977 during an authorized extension of the research contract. It is also planned to refine the analytical rotor model used for the state and parameter identifications to include blade flexibility.

Rotor state and parameter identification from transients is still a field where little experience is available. As elaborated in Chapter 4 of reference 4 it takes four important ingredients to perform a successful state and parameter identification from transients; a suitable input, a suitable instrumentation for measuring key state variables, an adequate mathematical model of the system, and an efficient criterion function for the estimation algorithm. In all four respects considerable work had to be done in order to finally establish a combination of these four ingredients that led to success.

### III

The lessons learned in this effort are hoped to pave the way for a wider application of rotor dynamic perturbation state and parameter identifications from transients about non-linear trim conditions, both in rotor wind tunnel testing and in rotor flight testing.

Rotor Dynamic State and Parameter Identification From  
Simulated Forward Flight Transients

K. H. Hohenemser, D. Banerjee, and S. K. Yin

Abstract

State and parameter identifications from simulated forward flight blade flapping measurements are presented. The transients are excited by progressing cyclic pitch stirring or by hub stirring with constant stirring acceleration. Rotor dynamic inflow models of varying degree of sophistication are used from a one parameter inflow model (equivalent Lock number) to an eight parameter inflow model. The maximum likelihood method with assumed fixed measurement error covariance matrix is applied. The rotor system equations for both fixed hub and tilting hub are given. The identified models are verified by comparing true responses with predicted responses. An optimum utilization of the simulated measurement data can be defined. From the numerical results it can be anticipated that brief periods of either accelerated cyclic pitch stirring or of hub stirring are sufficient to extract with adequate accuracy up to 8 rotor dynamic inflow parameters plus the blade Lock number from the transients.

Table of Contents

	Page
Notation	1
Introduction	3
Single Blade and Multiblade Coordinates	6
Inflow Model with One Time Constant	9
Rotor System Equations, Fixed Hub	12
Rotor System Equations, Tilting Hub	15
Numerical Results for Cyclic Pitch Stirring Transients	18
Verification by Response Comparisons	23
Inflow Model with Three Time Constants	24
Optimal Data Utilization	26
Numerical Results for Hub Stirring Transients	28
Conclusions	30
References	32
Figure Captions	33
Figures	34

Notation

B	blade tip loss factor
F, F'	rotor state matrices of size 8 x 8 and 11 x 11 respectively
G <sub>v</sub> , G <sub>u</sub>	matrices relating induced flow and control variables respectively to the rates of states
J	criterion function
L	rotor induced flow gain matrix
M, N <sub>v</sub> , N <sub>u</sub>	matrices relating the state, induced flow and control variables respectively to the rotor thrust and moment coefficients
M	information matrix
P <sub>1</sub> , ... P <sub>5</sub>	rotor induced flow parameters
P	blade natural flapping frequency
R	measurement error covariance matrix
a	blade section lift slope
C <sub>T</sub>	rotor thrust coefficient, positive up
C <sub>M</sub>	rotor pitching moment coefficient, positive nose-up
C <sub>L</sub>	rotor rolling moment coefficient, positive to right
t	non-dimensional time (period of revolution 2π)
t <sub>k</sub>	time it takes kth blade to move from rear position to present position
u	control vector
v	total mean rotor flow velocity (non-dimensional), or noise vector
x	rotor state vector
y	rotor measurement vector
α <sub>k</sub>	hub tilting angle at kth blade, positive up



## Notation (continued)

$\alpha_I$	nose down hub tilting angle	
$\alpha_{II}$	left hub tilting angle	
$\beta_k$	flapping angle of kth blade, positive up	
$\beta_I$	nose down cyclic flapping angle	
$\beta_{II}$	left cyclic flapping angle	
$\beta_d$	blade differential coning angle	
$\gamma$	blade Lock number	
$\theta_k$	pitch angle of kth blade, positive nose up	
$\theta_I$	nose down cyclic pitch angle	
$\theta_{II}$	left cyclic pitch angle	
$\theta_o$	collective pitch angle	
$\mu$	rotor advance ratio	
$\bar{\lambda}$	total mean rotor inflow velocity	} non-dimensional positive down
$\bar{v}$	rotor mean induced velocity	
$v_o$	mean perturbation induced velocity	
$v_I$	perturbation cyclic induced velocity at blade tip, down at rear	
$v_{II}$	perturbation cyclic induced velocity at blade tip, down at right	
$\sigma$	rotor solidity ratio, or standard deviation	

Superscripts

•	time differentiation
^	estimated value
T	transposed matrix
*	equivalent

Subscripts

m	measured variable
E	empirical

## Introduction

This report covers the extension of computer simulation work presented in references 2 and 4. Reference 2 refers to rotor dynamic state and parameter identifications in forward flight (.4 advance ratio) using the concept of an equivalent Lock number to approximate rotor dynamic inflow effects. Two analytical models were used; a complete single blade representation in the rotating frame of reference, and a simplified multiblade representation omitting periodic terms and omitting multiblade accelerations. Two parameters were identified from the simulated noise polluted blade flapping measurements; the equivalent Lock number, and the collective pitch angle. Two transient inputs were studied; a rectangular normal flow pulse, and a wave shaped normal flow pulse. The simulated measurements with computer generated noise pollution were first preprocessed by either a digital filter that took out the high frequency noise or by a Kalman filter that used estimates of the unknown parameters. The parameters were identified by integrating a set of differential equations that sequentially minimized the system equation error. The unknown parameters could be particularly well retrieved for the wave shaped normal flow pulse. This was true both for the single blade model with periodic coefficients and for the approximate multiblade model with omitted periodic terms and accelerations.

Reference 4, Chapter 2, refers to rotor state and parameter identifications both in forward flight and in hovering using cyclic pitch stirring transients as inputs. The forward flight model was limited to the concept of equivalent Lock number, either in the form of

a single blade representation with periodic coefficients, or in the form of a multiblade representation with constant coefficients. The simulated measurements were again polluted by computer generated noise. The method used in reference 2 was modified in two ways; first, instead of the sequential equation error minimization, a global equation error minimization was used that is computationally more efficient; second, the Kalman filter to preprocess the measurements was used in an iterative way, being updated whenever new parameter estimates were available. The iterated equation error estimation with updated Kalman filter was compared with a version of the so called maximum likelihood method where system noise is not modeled. It was found that despite somewhat more computer CPU time per iteration, the maximum likelihood method was superior because of more rapid convergence and because of more meaningful parameter covariances.

The maximum likelihood method was then applied to the problem of rotor dynamic state and parameter identification from cyclic pitch stirring transients in hovering using a time delayed rotor inflow. Now 3 parameters were assumed to be unknown; the blade Lock number, the inflow gain, and the inflow time constant. Two inputs were assumed. At time zero a step input in cyclic pitch, and at time  $t = 70$  the beginning of cyclic pitch stirring with constant stirring acceleration. The identification process was started at  $t = 70$ . The transient from the step input had not completely subsided when cyclic pitch stirring began. It was found necessary to include the initial values of the blade flapping deflection and of the rotor inflow as unknowns in the parameter identification scheme, leading to 7 unknown parameters.

Though this required 6.3 CPU seconds per iteration, (IBM 360/65 computer) the second iteration was found to be almost converged, so that the total computer effort was moderate. For the tests there is no step input of cyclic pitch, so that initial value identifications can be omitted.

Reference 4, Chapter 3 develops a method of estimating the optimum transient data length that proved to be later very useful in all state and parameter identifications. In the maximum likelihood method one needs the inverse of the so-called information matrix that theoretically gives lower bounds to the parameter covariances. A differential equation for the inverted information matrix was derived and it was found that its integration results in the definition of a data length beyond which no improvement to the parameter covariances can be expected. If less data are used in the identification, a rapid increase in the parameter covariances occurs. Thus a rational way was found to avoid errors from insufficient data length and to avoid unnecessary computer effort and a degradation of accuracy from meaningless additional data.

As mentioned before, the forward flight studies in references 2 and 4 were limited to the concept of equivalent Lock number. What remains is to apply the concept of a time delayed rotor inflow to forward flight conditions and to also consider rotor hub stirring transients mentioned in research goal (c). Computer simulations were performed to study the feasibility of these types of application of the maximum likelihood method. The present report describes this work.

### Single Blade and Multiblade Coordinates

As before, the numerical analysis is performed for an advance ratio of .4. Since now dynamic rotor inflow that couples the motions of the various blades is included, a multiblade representation is called for. The relations between single blade and multiblade variables are:

$$\text{Blade flapping angle: } \beta_k = \beta_0 + \beta_d(-1)^{k-1} + \beta_I \cos t_k + \beta_{II} \sin t_k$$

$$\text{Blade pitch angle: } \theta_k = \theta_0 - \theta_I \sin t_k + \theta_{II} \cos t_k \quad (1)$$

$$\text{Induced flow: } v_k = v_0 + v_I(r/R)\cos t_k + v_{II}(r/R)\sin t_k$$

The subscript I refers to forward cyclic flapping, cyclic pitch and cyclic induced flow (the inflow is down in the rear), the subscript II refers to left cyclic flapping, cyclic pitch and cyclic induced flow (the inflow is down to the right).  $\beta_d$  represents differential coning for the 4-bladed rotor, whereby one pair of opposing blades cones up, the other pair cones down. Though a linear distribution of the induced flow over the radius is defined in Eq. (1), this assumption is not required for the parameter identification process. Different inflow distributions merely produce different values in the identified parameters but do not change the form of the equations.

For the tests the constant collective blade pitch  $\theta_0$  is known. Also known are the cyclic blade pitch variables  $\theta_I(t)$ ,  $\theta_{II}(t)$  as function of time. The only measured state variables are the 4 blade flapping angles  $\beta_{1m}$ ,  $\beta_{2m}$ ,  $\beta_{3m}$ ,  $\beta_{4m}$ . As can be derived from Eq. (1) the multiblade flapping variables are related to the single blade flapping variables by the transformation

$$\begin{bmatrix} \beta_0 \\ \beta_I \\ \beta_{II} \\ \beta_d \end{bmatrix} = (1/4) \begin{bmatrix} 1 & 1 & 1 & 1 \\ 2 \cos t & -2 \sin t & -2 \cos t & 2 \sin t \\ 2 \sin t & 2 \cos t & -2 \sin t & -2 \cos t \\ 1 & -1 & 1 & -1 \end{bmatrix} \begin{bmatrix} \beta_1 \\ \beta_2 \\ \beta_3 \\ \beta_4 \end{bmatrix} \quad (2)$$

with its inverse

$$\begin{bmatrix} \beta_1 \\ \beta_2 \\ \beta_3 \\ \beta_4 \end{bmatrix} = \begin{bmatrix} 1 & \cos t & \sin t & 1 \\ 1 & -\sin t & \cos t & -1 \\ 1 & -\cos t & -\sin t & 1 \\ 1 & \sin t & -\cos t & -1 \end{bmatrix} \begin{bmatrix} \beta_0 \\ \beta_I \\ \beta_{II} \\ \beta_d \end{bmatrix} \quad (3)$$

For the computer simulations it was assumed that there is additive noise in the single blade flapping measurements. Thus the measurement equations are

$$\begin{bmatrix} \beta_{1m} \\ \beta_{2m} \\ \beta_{3m} \\ \beta_{4m} \end{bmatrix} = \begin{bmatrix} 1 & \cos t & \sin t & 1 \\ 1 & -\sin t & \cos t & -1 \\ 1 & -\cos t & -\sin t & 1 \\ 1 & \sin t & -\cos t & -1 \end{bmatrix} \begin{bmatrix} \beta_0 \\ \beta_I \\ \beta_{II} \\ \beta_d \end{bmatrix} + \begin{bmatrix} v_1 \\ v_2 \\ v_3 \\ v_4 \end{bmatrix} \quad (4)$$

The measurement noise covariance  $R$  was assumed to be given and not changed during the iterations. The innovation is given by (see Chapter 2 of reference 4)

$$v = \begin{bmatrix} \beta_{1m} - \hat{\beta}_1 \\ \beta_{2m} - \hat{\beta}_2 \\ \beta_{3m} - \hat{\beta}_3 \\ \beta_{4m} - \hat{\beta}_4 \end{bmatrix} \quad (5)$$

In order to determine the estimates  $\hat{\beta}_1, \hat{\beta}_2, \hat{\beta}_3, \hat{\beta}_4$  from the estimates for the multiblade coordinates  $\hat{\beta}_0, \hat{\beta}_I, \hat{\beta}_{II}, \hat{\beta}_d$  one needs Eq. (3).

For the state and parameter identifications from test data a slightly different procedure was selected, as discussed in reference 7. The measurements  $\beta_{1m}, \beta_{2m}, \beta_{3m}, \beta_{4m}$  were first transformed to multiblade coordinates by using Eq. (2). These multiblade variables  $\beta_{0m}, \beta_{Im}, \beta_{IIIm}, \beta_{dm}$  were then considered in the estimation algorithm as measured quantities with additive noise:

$$\begin{bmatrix} \beta_{0m} \\ \beta_{Im} \\ \beta_{IIIm} \\ \beta_{dm} \end{bmatrix} = \begin{bmatrix} \beta_0 + v_0 \\ \beta_I + v_I \\ \beta_{II} + v_{II} \\ \beta_d + v_d \end{bmatrix} \quad (6)$$

The innovation vector is then given by

$$v = \begin{bmatrix} \beta_{0m} - \hat{\beta}_0 \\ \beta_{Im} - \hat{\beta}_I \\ \beta_{IIIm} - \hat{\beta}_{II} \\ \beta_{dm} - \hat{\beta}_d \end{bmatrix} \quad (7)$$

The measurement error covariance was not considered given but was updated in each iteration. The procedure used for the state and parameter identifications from test data has the advantage of saving for each iteration the execution of the transformation Eq. (3). Also in this procedure suitable weights are applied to the test data in the

form of the measurement error covariance determined from the preceding iteration.

### Inflow Model with One Time Constant

We adopt here the rotor inflow model of references 9 and 10.

Eq. (33) of reference 10, written in our notation, reads

$$\frac{1}{\sigma a} \begin{bmatrix} k_m & 0 & 0 \\ 0 & -k_I & 0 \\ 0 & 0 & -k_I \end{bmatrix} \begin{bmatrix} \dot{v}_O \\ \dot{v}_I \\ \dot{v}_{II} \end{bmatrix} + [L_E]^{-1} \begin{bmatrix} v_O \\ v_I \\ v_{II} \end{bmatrix} = \frac{1}{\sigma a} \begin{bmatrix} C_T \\ C_M \\ C_L \end{bmatrix} \quad (8)$$

Rotor thrust and moment coefficients  $C_T$ ,  $C_M$ ,  $C_L$  are from aerodynamic contributions only.  $L_E$  is the empirical L-matrix defined in reference 9. The theoretical values of  $k_m$  and  $k_I$ , using potential flow around a solid disk are given in reference 10 as  $k_m = .849$ ,  $k_I = .113$ . The components of the L-matrix as well as  $k_m$  and  $k_I$  will be identified from rotor transient tests. From momentum theory one obtains according to reference 10

$$[L]^{-1} = \frac{1}{\sigma a} \begin{bmatrix} 2v & 0 & 0 \\ 0 & -v/2 & 0 \\ 0 & 0 & -v/2 \end{bmatrix} \quad (9)$$

with

$$v = \frac{\mu^2 + \bar{\lambda}(\bar{\lambda} + \bar{v})}{(\mu^2 + \bar{\lambda}^2)^{1/2}} \quad (10)$$

where  $\bar{\lambda}$  and  $\bar{v}$  are the trim values, about which the rotor inflow perturbations  $v_O$ ,  $v_I$ ,  $v_{II}$  are taken. Note that an induced flow



trim value is only defined with respect to the axial induced flow. For the pitch stirring rotor model we have prior to the start of the pitch stirring transient a cyclic pitch trim condition equal to the amplitude of the cyclic pitch stirring. This amplitude is considered to be a linear perturbation variable and not part of a non-linear trim condition.

For steady conditions at advance ratio .4 one obtains from reference 9

$$\begin{bmatrix} v_O \\ v_I \\ v_{II} \end{bmatrix} = \frac{1}{\mu} \begin{bmatrix} .5 & 0 & 0 \\ 0 & -2.0 & -1.0 \\ 0 & 1.0 & -3.0 \end{bmatrix} \begin{bmatrix} C_T \\ C_M \\ C_L \end{bmatrix} \quad (11)$$

In reference 9 cyclic induced inflow was assumed with constant distribution over the radius. To adjust for the linear distribution assumed in reference 10 and in Eq. (1) the empirical L-matrix given in Eq. (11) is changed to

$$L_E = \frac{1}{\mu} \begin{bmatrix} .5 & 0 & 0 \\ 0 & -2.7 & -1.3 \\ 0 & 1.3 & -4.0 \end{bmatrix} \quad (12)$$

After inversion of this matrix, division by  $k_m$  and  $k_I$ , insertion of  $\mu = .4$  and rounding off the numbers, one obtains Eq. (8) in the form

$$\begin{bmatrix} \dot{v}_O \\ \dot{v}_I \\ \dot{v}_{II} \end{bmatrix} + \begin{bmatrix} 1.00 & 0 & 0 \\ 0 & 1.2 & -.4 \\ 0 & .4 & .8 \end{bmatrix} \begin{bmatrix} v_O \\ v_I \\ v_{II} \end{bmatrix} = 1.2 \begin{bmatrix} C_T \\ -7.5 C_M \\ -7.5 C_L \end{bmatrix} \quad (13)$$

The rotor moment coefficients  $C_M$  and  $C_L$  are here assumed with their usual sign, positive when the moments from the flow on the rotor are nose-up and to the right respectively.

Three types of state and parameter identification from computer simulated measurements were performed. In the most general case there are 8 unknown inflow parameters in Eq. (13):

$$\begin{bmatrix} \dot{v}_O \\ \dot{v}_I \\ \dot{v}_{II} \end{bmatrix} + \begin{bmatrix} P_1 & 0 & 0 \\ 0 & P_2 & P_3 \\ 0 & P_4 & P_5 \end{bmatrix} \begin{bmatrix} v_O \\ v_I \\ v_{II} \end{bmatrix} = \begin{bmatrix} \tau_O C_T \\ -\tau_I C_M \\ -\tau_{II} C_L \end{bmatrix} \quad (14)$$

The 5 parameters  $P_1$  to  $P_5$  represent inflow gain constants, while  $\tau_O$ ,  $\tau_I$ ,  $\tau_{II}$  are time constants. In this form no assumption is made about the relations between the 3 time constants.

In the second case the theoretical relations  $\tau_I = \tau_{II} = 7.5 \tau_O$  from reference 10 are used. This reduces the number of unknown inflow parameters from 8 to 6:

$$\begin{bmatrix} \dot{v}_O \\ \dot{v}_I \\ \dot{v}_{II} \end{bmatrix} + \begin{bmatrix} P_1 & 0 & 0 \\ 0 & P_2 & P_3 \\ 0 & P_4 & P_5 \end{bmatrix} \begin{bmatrix} v_O \\ v_I \\ v_{II} \end{bmatrix} = \tau \begin{bmatrix} C_T \\ -7.5 C_M \\ -7.5 C_L \end{bmatrix} \quad (15)$$

In the third case only diagonal terms are retained in the inflow gain matrix so that  $P_4 = P_3 = 0$ . This further reduces the number of unknown parameters from 6 to 4. The inflow gain parameters and the inflow time constants will depend on the trim condition and on the rotor advance ratio  $\mu$ .

#### Rotor System Equations, Fixed Hub

The rotor system equations are written in the form

$$\dot{x} = Fx + G_v v + G_u u \quad (16)$$

with the state vector  $x$  given by

$$x^T = [\beta_o \dot{\beta}_o \beta_I \dot{\beta}_I \beta_{II} \dot{\beta}_{II} \beta_d \dot{\beta}_d] \quad (17)$$

$$\text{with the induced flow vector given by } v^T = [v_o v_I v_{II}] \quad (18)$$

$$\text{and with the control vector given by } u^T = [\theta_o \theta_I \theta_{II}] \quad (19)$$

Finally we have a set of equations that gives the aerodynamic thrust and moment coefficients in terms of state vector  $x$ , inflow vector  $v$  and control vector  $u$ :

$$\begin{bmatrix} C_T / (a\sigma/2) \\ C_M / (a\sigma/2) \\ C_L / (a\sigma/2) \end{bmatrix} = Mx + N_v v + N_u u \quad (20)$$

The matrices  $F$ ,  $G_v$ ,  $G_u$ ,  $M$ ,  $N_v$ ,  $N_u$  are respectively of size  $8 \times 8$ ,  $8 \times 3$ ,  $8 \times 3$ ,  $3 \times 8$ ,  $3 \times 3$ ,  $3 \times 3$ . For moderate advance ratio neglecting reversed flow effects and assuming 4 straight constant chord blades hinged at the rotor center, these 6 matrixes are:

F-Matrix ( $F_1 = B^4 \gamma / 8$ ;  $F_2 = B^3 \gamma \mu / 12$ ,  $F_3 = B^2 \gamma \mu^2 / 16$ )

0	1	0	0	0	0	0	0	0
-P2	-F1	0	0	0	0	-F2	-2F3 sin 2t	0
0	0	0	1	0	0	0	0	0
-2F2	0	1-P2-F3 sin 4t	-F1	-F1-F3(1-cos 4t)	-2	-2F2 cos 2t	-2F2 cos 2t	-2F2 sin 2t
0	0	0	0	0	1	0	0	0
0	-2F2	F1-F3(1-cos 4t)	2	1-P2+F3 sin 4t	-F1	-2F2 sin 2t	-2F2 sin 2t	F2 cos 2t
0	0	0	0	0	0	0	0	1
-2F3 sin 2t	0	-2F2 cos 2t	-F2 sin 2t	-2F2 sin 2t	F2 cos 2t	-P2	-P2	-F1

Gv - Matrix

C	0	0	0	0	0	0	0	0
-B3 γ/6	0	-F2	0	0	0	-2F2	0	0
0	0	0	0	0	0	0	0	0
0	-F1	0	0	F3 sin 4t	0	F1+F3(1-cos 4t)	0	0
0	0	0	0	0	0	0	0	0
-B2 γμ/4	0	-F1	0	-F1-F3(3+cos 4t)	-F3 sin 4t	-F3 sin 4t	0	0
0	0	0	0	0	0	0	0	0
0	-F2 sin 2t	F2 cos 2t	-2F3 cos 2t	2F2 cos 2t	2F2 sin 2t	2F2 sin 2t	0	0

Gv - Matrix for Pitch Stirring

0	0	0	0	0	0	0	0	0
F1+2 F3	0	-2F2	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
0	0	F3 sin 4t	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
4F2	0	-F1-F3(3+cos 4t)	-F1-F3(3+cos 4t)	-F1-F3(3+cos 4t)	-F3 sin 4t	-F3 sin 4t	0	0
0	0	0	0	0	0	0	0	0
-2F3 cos 2t	-2F3 cos 2t	2F2 cos 2t	2F2 cos 2t	2F2 cos 2t	2F2 sin 2t	2F2 sin 2t	0	0

M - Matrix ( $M_1 = B^2 \mu^2 / 8$ ,  $M_2 = B^3 \mu / 3$ )

0	$-B^3/3$	0	0	0	$-B^2 \mu / 4$	0	$-(B \mu^2 / 2) \sin 2t$	0
$M_2$	0	$M_1 \sin 4t$	$B^4 / 4$	$B^4 / 4 + M_1 (1 - \cos 4t)$	0	$M_2 \cos 2t$	$M_2 \cos 2t$	$M_2 \sin 2t$
0	$M_2$	$-B^4 / 4 + M_1 (1 - \cos 4t)$	0	$-M_1 \sin 4t$	$B^4 / 4$	$M_2 \sin 2t$	$M_2 \sin 2t$	$-M_2 \cos 2t$

$N_u$  - Matrix

$-B^2 / 2$	0	$-B^2 \mu / 4$
0	$B^4 / 4$	0
$B^2 \mu / 2$	0	$B^4 / 4$

$N_{\mu}$  - Matrix

$(B^3 / 3) + B \mu / 2$	$-B^2 \mu / 2$	0
0	$-M_1 \sin 4t$	$-B^4 / 4 - M_1 (1 - \cos 4t)$
$-2B^3 \mu / 3$	$B^4 / 4 + M_1 (3 + \cos 4t)$	$M_1 \sin 4t$

When inserting Eq.(8) into Eq. (20) the rotor thrust and moment coefficients are eliminated and one obtains a system equation of the form

$$\dot{x} = F' x + Gu \quad (21)$$

where the state vector now includes the inflow variables

$$x^T = [\beta_0 \dot{\beta}_0 \beta_I \dot{\beta}_I \beta_{II} \dot{\beta}_{II} \beta_d \dot{\beta}_d v_o v_I v_{II}] \quad (22)$$

where the state matrix  $F'$  is of size 11 x 11, and where the control matrix  $G$  is of size 11 x 3. Note that in the state and parameter identifications only 4 out of the 11 state variables are measured.

In addition to the inflow parameters the rotor system equations have as parameters the advance ratio  $\mu$  that can be considered known, the Lock number  $\gamma$  and the tip loss factor  $B$ . In principle both  $\gamma$  and  $B$  could be identified from the transient test results. We usually set  $B = .97$  and then identified  $\gamma$ . The system equations also contain the blade natural frequency when rotating  $P$  that could be easily identified from transient test data. In many identifications we assumed  $P$  as a known quantity. The assumptions made in deriving the system equations are less restrictive than they may appear. Only the form of the equations is used in the state and parameter identification procedure. All parameters are left open and are adapted to the test results in such a way that the quadratic differences between predicted and actual measurements are minimized.

#### Rotor System Equations, Tilting Hub

As defined in Research Goal (c) transient rotor testing toward establishing dynamic rotor wake-blade interactions is to be performed both with cyclic pitch stirring and with hub tilt stirring. A second

rotor model with the capability of hub tilt stirring is being built. The rotor system equations on which the state and parameter identifications will be based are described in the following.

The second of Eqs. (1) is now replaced by

$$\alpha_k = \alpha_I \cos t_k + \alpha_{II} \sin t_k \quad (23)$$

where  $\alpha_I$  and  $\alpha_{II}$  are the forward and left hub tilting angle respectively. The blades are again assumed straight and elastically hinged at the rotor center. The blade flapping angles  $\beta_1 \dots \beta_4$  and the associated multiblade angles  $\beta_I, \beta_{II}$  are defined not with respect to the hub but rather with respect to the space fixed reference rotor plane for zero hub tilting angle. Hub tilting  $\alpha_I$  and  $\alpha_{II}$  has the same effect as cyclic pitch application  $\theta_I$  and  $\theta_{II}$ , except for the elastic blade restraining moments that are opposite those from  $\beta_I$  and  $\beta_{II}$ . Thus the control vector is now given by

$$u^T = [\theta_0 \ \alpha_I \ \alpha_{II}] \quad (24)$$

instead of by Eq. (19), and elastic restraint terms must be added to the  $G_u$  - Matrix, since the elastic pitching and rolling moments are now proportional to  $\beta_I - \alpha_I$  and  $\beta_{II} - \alpha_{II}$  respectively instead of proportional to  $\beta_I$  and  $\beta_{II}$ .

$G_u$  - Matrix for Hub Stirring

0	0	0
$F_1 + 2 F_3$	$-2F_2$	0
0	0	0
0	$P^2 - 1 + F_3 \sin 4t$	$F_1 + F_3(1 - \cos 4t)$
0	0	0
$4F_2$	$-F_1 - F_3(3 + \cos 4t)$	$P^2 - 1 - F_3 \sin 4t$
0	0	0
$-2F_3 \cos 2t$	$2F_2 \cos 2t$	$2F_2 \sin 2t$

In all other respects Eqs. (16) to (22) including the expressions for the remaining 5 matrices remain unchanged, though the flapping angles are now defined differently as compared to the hub-fixed case.

The measurement equations (4) are now different since the blade flapping angles are measured with respect to the hub. For the computer simulations we have instead of Eq. (4) the measurement equations:

$$\begin{bmatrix} (\beta_1 - \alpha_1)_m \\ (\beta_2 - \alpha_2)_m \\ (\beta_3 - \alpha_3)_m \\ (\beta_4 - \alpha_4)_m \end{bmatrix} = \begin{bmatrix} 1 & \cos t & \sin t & 1 \\ 1 & -\sin t & \cos t & -1 \\ 1 & -\cos t & -\sin t & 1 \\ 1 & \sin t & -\cos t & -1 \end{bmatrix} \begin{bmatrix} \beta_o \\ \beta_I - \alpha_I \\ \beta_{II} - \alpha_{II} \\ \beta_d \end{bmatrix} + \begin{bmatrix} v_1 \\ v_2 \\ v_3 \\ v_4 \end{bmatrix} \quad (25)$$

The innovation is given, instead of by Eq. (5), by

$$v = \begin{bmatrix} (\beta_1 - \alpha_1)_m - (\hat{\beta}_1 - \alpha_1) \\ (\beta_2 - \alpha_2)_m - (\hat{\beta}_2 - \alpha_2) \\ (\beta_3 - \alpha_3)_m - (\hat{\beta}_3 - \alpha_3) \\ (\beta_4 - \alpha_4)_m - (\hat{\beta}_4 - \alpha_4) \end{bmatrix} \quad (26)$$



For the state and parameter identifications from test data a somewhat different procedure will be followed, whereby the single blade measurements are first transformed into multiblade measurements:

$$\begin{bmatrix} \hat{c}_{om} \\ (\beta_I - \alpha_I)_m \\ (\beta_{II} - \alpha_{II})_m \\ \beta_{dm} \end{bmatrix} = (1/4) \begin{bmatrix} 1 & 1 & 1 & 1 \\ 2\cos t & -2\sin t & -2\cos t & 2\sin t \\ 2\sin t & 2\cos t & -2\sin t & -2\cos t \\ 1 & -1 & 1 & -1 \end{bmatrix} \begin{bmatrix} (\beta_1 - \alpha_1)_m \\ (\beta_2 - \alpha_2)_m \\ (\beta_3 - \alpha_3)_m \\ (\beta_4 - \alpha_4)_m \end{bmatrix} \quad (27)$$

The innovation vector is then defined by

$$v = \begin{bmatrix} \beta_{om} - \hat{\beta}_o \\ (\beta_I - \alpha_I)_m - (\hat{\beta}_I - \alpha_I) \\ (\beta_{II} - \alpha_{II})_m - (\hat{\beta}_{II} - \alpha_{II}) \\ \beta_{dm} - \hat{\beta}_d \end{bmatrix} \quad (28)$$

The measurement covariance has been assumed given for the computer simulations. It will be updated for each iteration for the state and parameter identifications from transient test results, as explained before.

#### Numerical Results for Cyclic Pitch Stirring Transients

For the numerical examples presented in the following we assumed as given the product of lift slope and blade solidity ratio  $a\sigma = 2\pi/10$ , the advance ratio  $\mu = .4$ , the tip loss factor  $B = .97$  and the blade flapping frequency  $P = 1.20$ . The Lock number is assumed given as  $\gamma = 5.0$  for some of the identification runs and assumed unknown in other runs. The control variables  $\theta_I, \theta_{II}$  are assumed given. In previous experience it was found that a moderate noise pollution of the

control variables had no substantial effect on the results of the state and parameter identification. For the cases presented here  $\theta_I$  and  $\theta_{II}$  were used in the rotor system equations without noise pollution. The 4 single blade simulated flapping angle measurements were polluted with computer generated Gaussian zero mean noise with a standard deviation of  $\sigma_{\theta k} = .1$ . A variety of values for the pitch stirring acceleration were studied. It was found that the slower accelerations required a longer time span to yield the same accuracy of the identified parameters as the faster accelerations. Here only the case of a cyclic pitch stirring acceleration of  $\dot{\omega} = -.10/\pi$  is presented, using a data length of 12 time units from the start of the transient. Later it was found that this data length does not provide optimal data utilization and that for better results a data length of 18 time units should have been used for  $\dot{\omega} = -.10/\pi$ .

In preceding studies it was found that better rotor inflow parameter identification can be achieved for progressing cyclic pitch stirring as compared to regressive stirring. The reason for this experience probably is that for regressive stirring the blade natural frequency is resonance excited and that at the resonance frequency the dynamic rotor inflow is theoretically zero. All presented cases are for progressing cyclic pitch stirring accelerations.

It was first attempted to solve the problem in the same way as described in Chapter 2 of reference 4 by including the initial values of the flapping angles and of the inflow variables as further unknowns to be identified. This adds another 7 unknowns in the identification

routine and led to difficulties. It was then decided to use in the identification algorithm perturbations from the initial conditions before on-set of cyclic pitch stirring. Thus the initial values for all state variables are zero and need not be identified.

Fig. 1 shows the cyclic pitch stirring input for a progressing stirring acceleration of  $\dot{\omega} = -.10/\pi$ . The amplitude of cyclic pitch stirring is  $\pm 1.5^\circ$ . Since the initial value is zero, the maximum excursion of  $\theta_{II}$  is from 0 to  $-3^\circ$ . Fig. 2 shows the flapping response of blade number 1 with the computer generated noise pollution corresponding to  $\sigma_{\beta_1} = .10$ . The polluted values are used in the state and parameter identification. Five different analytical models will be discussed here.

- (a) Dynamic inflow neglected, Lock number  $\gamma = 5$ .
- (b) Quasistatic dynamic inflow, equivalent Lock number  $\gamma^*$  identified.
- (c) Diagonal L-matrix and inflow time constant identified,  $\gamma = 5$ .
- (d) Full L-matrix and inflow time constant identified,  $\gamma = 5$ .
- (e) Full L-matrix and inflow time constant and  $\gamma$  identified.

The inflow model given by Eq. (15) is used. In the following table the given values (0 or 5), of the parameters are noted. If no value is given, the parameter is identified.

Model	$P_1$	$P_2$	$P_3$	$P_4$	$P_5$	$\tau$	$\gamma$
a	0	0	0	0	0	0	5.0
b	0	0	0	0	0	0	
c			0	0			5.0
d							5.0
e							

The results of the parameter identifications based on the analytical models b, c, d, e are given in the following tables:

Model (b), 4.1 CPU sec/Iteration

		$\gamma^*$
Initial Estimate		2.50
Iteration	1	3.87
	2	4.08
	3	4.09
$M^{-1/2}$ /Ident. Value		.01

Model (c), 9.7 CPU sec/Iteration

		$P_1$	$P_2$	$P_5$	$\tau$
Initial Estimate		.90	1.08	.88	1.08
Iteration	1	.26	.55	-.13	.38
	2	.36	.62	.11	.53
	3	.49	.85	.30	.68
	4	.54	.95	.36	.74
	5	.54	.96	.37	.74
$M^{-1/2}$ /Ident. Value		.30	.17	.19	.12

Model (d), 13.3 CPU sec/Iteration

		$P_1$	$P_2$	$P_3$	$P_4$	$P_5$	$\tau$
True Value		1.00	1.20	-.40	.40	.80	1.20
Initial Estimate		.90	1.08	-.44	.36	.88	1.08
Iteration	1	.74	.74	-.71	.16	.61	1.09
	2	.83	.90	-.72	.29	.76	1.19
	3	.81	.86	-.68	.25	.76	1.16
	4	.81	.87	-.69	.26	.75	1.16
	5	.81	.87	-.69	.26	.75	1.16
$M^{-1/2}$ /Ident. Value		.57	.63	.70	1.98	.77	.51

Model (e), 16 CPU sec/Iteration

		P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>5</sub>	$\tau$	$\gamma$
True Value		1.00	1.20	-.40	.40	.80	1.20	5.00
Initial Estimate		.90	1.08	-.44	.36	.88	1.08	4.00
Iteration	1	1.01	.63	-.89	.35	.59	1.05	4.85
	2	.86	.95	-.85	.38	.81	1.22	4.96
	3	.79	.81	-.66	.23	.70	1.10	4.97
	4	.82	.87	-.71	.27	.75	1.17	4.99
	5	.81	.85	-.70	.27	.74	1.14	4.98
M <sup>-1/2</sup> /Ident. Value		.60	.76	.76	<del>2.06</del>	.86	.67	.08

For models (b) and (c) no true values of the unknown parameters are available, since these models are different from that which generated the simulated measurements. For models (d) and (e) the true parameter values are known. One cannot expect that P<sub>1</sub> P<sub>2</sub> P<sub>3</sub>  $\tau$  for Model (c) are the same as for Model (d) or (e). Apparently the much lower value for  $\tau$  in Model (c) has to make up for the omission of the off-diagonal terms in the L-matrix. The parameters of Models (d) and (e) are almost the same. Thus identification of  $\gamma$  does not affect the accuracy of the other parameters, but it does increase the CPU time per iteration from 13.3 to 16 seconds. The relative parameter standard deviations M<sup>-1/2</sup>/Ident. Value are rather large, indicating that not sufficient data length has been used. We will see later that a substantial reduction in M<sup>-1/2</sup> is possible for greater data length.

### Verification by Response Comparisons

The identified models have first been verified by comparing the responses for the transients used in the identification. The comparison in Fig. 3 is between the exact responses - solid lines in Fig. 3 and subsequent figures - with the response from the identified model - dot lines in Fig. 3 and subsequent figures-. Fig. 3a is for Model (a) without rotor inflow using the cyclic pitch stirring acceleration  $\dot{\omega} = -.10/\pi$ . It is seen that substantial errors of Model (a) occur in the  $\beta_I$  response, smaller errors in the  $\beta_O$  response and insignificant errors in the  $\beta_d$  and  $\beta_{II}$  responses. These errors are the basis for the inflow parameter identifications.

Fig. 3b is for Model (b) using the equivalent Lock number concept. The errors in the  $\beta_O$  and  $\beta_d$  responses are now insignificant, while the  $\beta_I$  and  $\beta_{II}$  responses show relatively small errors. Thus the equivalent Lock number concept appears to be quite useful for this case.

Fig. 3(c) is for Model (c) with given Lock number and 4 identified inflow parameters; the diagonal L-matrix and one time constant. All 4 response variables show negligible errors. Figs. 3(d) and 3(e) are for Models (d) and (e). Though more inflow parameters have been used, the response errors are noticeable though still very small. One can then conclude that Model (b) identifying only  $\gamma^*$  and using 4.1 CPU seconds per iteration may be adequate in some cases, that Model (c) identifying 4 inflow parameters and using 9.7 CPU seconds per iteration is excellent, and that the inclusion of the off-diagonal terms in the L-matrix is not necessary in this particular case. A sixth model not

considered here would probably be useful in state and parameter identifications from test transients, namely Model (c) including  $\gamma$  as unknown parameter. The Lock number was found in all cases easy to identify accurately. Theoretical values for  $\gamma$  are not always reliable since they involve the blade elastic mode shape, the lift slope and the exact blade mass distribution.

The next step in the verification of the identified models is to compare responses in transients that have not been used for the parameter identification. Figs. 4 and 5 show the true responses to a collective and to a longitudinal cyclic unit step input respectively as compared to the responses of Models (a), (b) and (e). Again Model (b) is a substantial improvement over Model (a) that omits rotor dynamic inflow effects. Model (e) gives almost the correct responses. Presumably Model (c) with or without identification of  $\gamma$  would show the same excellent agreement with the assumed "true" model. Though the inflow parameters  $P_1$  to  $P_5$  and  $\tau$  in the various models deviate to a certain extent from the true values, the responses predicted by Models (c), (d), (e) are in very good agreement with the responses of the true model not only for the cyclic pitch stirring transient but also for quite different transients.

#### Inflow Model with Three Time Constants

Particularly at high advance ratio the time constants for pitch and roll could be different, since different participating air masses might be anticipated. It, therefore, appeared of interest to study a case where 3 instead of 1 time constant are assumed to be unknown. This is a further extension of Model (e). The complete L-matrix in

addition to  $\gamma$  was identified. The parameters were defined somewhat differently from Eq. (15), namely by

$$\begin{bmatrix} \dot{v}_0 \\ \dot{v}_I \\ \dot{v}_{II} \end{bmatrix} + \mu \begin{bmatrix} \tau_0 & 0 & 0 \\ 0 & \tau_I & 0 \\ 0 & 0 & \tau_{II} \end{bmatrix} \begin{bmatrix} L_{11} & 0 & 0 \\ 0 & L_{22} & L_{23} \\ 0 & L_{32} & L_{33} \end{bmatrix} \begin{bmatrix} v_0 \\ v_I \\ v_{II} \end{bmatrix} = \begin{bmatrix} \tau_0 & 0 & 0 \\ 0 & \tau_I & 0 \\ 0 & 0 & \tau_{II} \end{bmatrix} \begin{bmatrix} C_T \\ -C_M \\ -C_L \end{bmatrix} \quad (29)$$

The following table gives the results of 3 iterations. The value of the criterion function

$$J = \sum_{i=1}^N (Y_{im} - \hat{Y}_i)^T R^{-1} (Y_{im} - \hat{Y}_i) \quad (30)$$

is given in the last column. Contrary to the preceding cases the assumed measurement standard deviation for all simulated measured variables is  $\sigma = .05$ , ( $R^{-1} = 400 I$ ) instead of  $\sigma = .1$ , and the time used for the identification is 18 instead of 12. Same as before the rotor advance ratio is  $\mu = .4$ , and the cyclic pitch stirring acceleration is  $\dot{\omega} = -.1/\pi$ , taken in the progressing sense. Also, same as before, the time interval for the numerical integrations is .1.

Model (e) with 3 Time Constants, 45 CPU sec/Iteration

	$L_{11}$	$L_{22}$	$L_{23}$	$L_{32}$	$L_{33}$	$\tau_0$	$\tau_I$	$\tau_{II}$	$\gamma$	J
True Value	1.00	.42	-.17	.08	.17	1.18	8.8	8.8	3.2	
Initial Est.	.83	.33	-.14	.06	.14	1.35	7.0	7.0	4.5	8720
Iteration 1	1.16	.29	-.06	.08	.16	1.15	3.8	8.1	3.1	951
2	.97	.35	-.12	.06	.18	1.42	4.6	8.5	3.2	716
3	1.00	.37	-.11	.06	.17	1.43	4.8	8.5	3.2	
Error/True Value	0	.12	.35	.25	0	.21	.45	.03	0	
$M^{-1/2}$ /Ident. Value	.12	.08	.71	.18	.11	.13	.42	.10	.01	



In comparison to the case for Model (e) with one time constant there is better convergence and there are smaller parameter errors. The relative predicted parameter error ( $M^{-1/2}/\text{Identified Value}$ ) shows the same trend as the actual relative error,  $\gamma$  having the best and  $L_{23}$  and  $\tau_I$  the worst accuracy. The predicted parameter errors are much smaller than for the case of Model (e) presumably because of the smaller simulated measurement error ( $\sigma = .05$  instead of  $.1$ ) and the longer data length ( $t = 13$  instead of  $12$ ). The CPU time per iteration is almost 3 times the value for Model (e) with one time constant. In all the cases presented here the initial estimates are not drastically different from the true values of the parameters. It was found that very much larger errors in the initial estimates can be tolerated without affecting the quality of the convergence or of the final estimates.

A somewhat different presentation for verifying the identified model is given for this case as compared to the preceding cases. In Figs. 6a to 6d the blade flapping response computed with the identified model (solid lines) is compared to the simulated measurements (crosses). The good fit of the analytical model is evident. Figs. 7a to 7c show the dynamic inflow variables  $v_0$ ,  $v_I$ ,  $v_{II}$  computed with the identified model. Particularly  $v_{II}$  shows very substantial fluctuations.

#### Optimum Data Utilization

In reference 4 Chapter 3 a differential equation for the inverted information matrix  $M^{-1}$  is developed that allows to compute the Cramer-Rao lower bound for the parameter covariances vs. the duration of the transient used in the parameter identification process. For the

example given in reference 4 it was found that there exists an optimum data length beyond which no improvement in the parameter errors can be expected. It is of interest to find out whether or not such an optimum data length can also be defined for the much more complex cases studied in this report.

The case presented here refers to Model (e) with one time constant, the dynamic rotor inflow being represented by Eqs. (13) and (15). The advance ratio is again  $\mu = .4$ . The simulated measurement standard deviation is  $\sigma = .05$ . The transient is a progressing cyclic pitch stirring excitation with an acceleration  $\dot{\omega} = -.1/\pi$ . The true Lock number is  $\gamma = 5$ . Fig. 8 shows the predicted parameter standard deviations (Cramer-Rao lower bounds) divided by the parameter values vs. the duration of the transient used in the identification process. The Lock number  $\gamma$  shows the lowest relative error, the parameter  $P_1$  ultimately the highest. It is remarkable that all 7 parameters reach their asymptotic relative error at about the same  $t = 18$ . Thus using a transient time of  $t = 12$  as was done here for the identification of Model (e) with one time constant (but not of Model (e) with three time constants), does not give optimal data utilization. On the other hand Fig. 8 shows that extending the data length used for the parameter identifications much beyond  $t = 18$  would be wasteful of computer time and would not result in better accuracies of the parameter estimates.

### Numerical Results for Hub Stirring Transients

As an alternative to cyclic pitch stirring transients hub stirring transients have been considered, and the rotor equations for this case have been presented (Eqs. (23) to (28)). The question is whether the accuracy of the parameter identifications is affected when using hub stirring instead of cyclic pitch stirring. For blades articulated at the rotor center cyclic pitch stirring and hub stirring are identical and lead to identical flapping responses. For rotors with off-set hinges or for hingeless rotors there are, however, differences in the two modes of transient excitation.

Model (e) with one time constant and the rotor inflow defined by Eqs. (13) and (15) has been assumed for the study. The advance ratio is  $\mu = .4$ . The stirring acceleration is  $\dot{\omega} = -.1/\pi$  in the progressing sense. Contrary to the preceding analysis for Model (e) the time step has been increased from  $\Delta t = .1$  to  $.2$ . The duration of the transient has also been increased from  $t = 12$  to  $24$  so that the computer time remains the same.

Model (e), Hub Stirring,  $\Delta t = .2$ ,  $t = 24$ , 16 CPU sec/Iteration

		P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>5</sub>	$\tau$	$\gamma$
True Value		1.00	1.20	-.40	.40	.80	1.20	5.00
Initial Estimate		.50	.60	-.20	.20	.40	1.80	4.00
Iteration	1	1.14	.57	-.50	.50	.60	1.11	4.81
	2	.94	1.04	-.65	.60	.82	1.37	5.03
	3	.90	1.17	-.59	.40	.81	1.35	5.06
	4	.88	1.15	-.56	.33	.78	1.32	5.06
	5	.88	1.16	-.56	.33	.78	1.32	5.06
$M^{-1/2}$ /Ident. Value		.25	.26	.30	.75	.24	.18	.02

To compare with the equivalent cyclic pitch stirring case, an analysis of this case was made on the same basis, that is using a time step  $\Delta t = .2$  and a duration  $t = 24$ .

Model (e), Cyclic Pitch Stirring,  $\Delta t = .2$ ,  $t = 24$ , 16 CPU sec/Iteration

	$P_1$	$P_2$	$P_3$	$P_4$	$P_5$	$\tau$	$\gamma$
True Value	1.00	1.20	-.40	.40	.80	1.20	5.00
Initial Estimate	.50	.60	-.20	.20	.40	1.80	4.00
Iteration 1	.96	.91	-.43	.44	.68	.94	4.77
2	.72	1.26	-.46	.40	.86	1.16	4.96
3	.75	1.26	-.42	.34	.84	1.16	4.97
4	.75	1.26	-.42	.34	.84	1.17	4.98
5	.75	1.26	-.42	.34	.84	1.17	4.98
$M^{-1/2}$ /Ident. Value	.27	.16	.27	.27	.22	.26	.04

Note that in both cases the errors in the initial estimates are much larger than in the preceding cases, yet good convergence is obtained. In comparing the hub stirring with the cyclic pitch stirring case, there are only insignificant differences in the parameter errors and in the rates of conversion. The predicted parameter errors are also rather close except for a larger predicted error for  $P_4$  in the case of hub stirring. In both cases the predicted and actual errors for the Lock number  $\gamma$  are quite small. In comparison to the previous analysis for Model (e) with one time constant and  $\Delta t = .1$ ,  $t = 12$ , both the predicted and actual parameter errors are much smaller despite identical computer CPU time.

### Conclusions

Rotor state and parameter identifications at .4 rotor advance ratio have been performed based on simulated blade flapping measurements for an analytical rotor model that assumes straight blades flexibly hinged at the rotor center. Reversed flow, stall and compressibility effects were omitted, but periodic coefficients in the equations of motion for forward flight conditions were retained. All state and parameter identifications were performed with brief periods of accelerated cyclic pitch or hub stirring. The following conclusions can be drawn from the study.

1. The maximum likelihood method with a fixed error covariance matrix is well suited for the problem and gives good convergence in all cases.
2. Using a model with an identified equivalent Lock number substantially improves the predicted flapping responses in comparison to those with neglected rotor dynamic inflow, but still leaves some errors in the responses.
3. An identified dynamic rotor inflow model with 4 parameters that include one time constant gives almost perfect response predictions.
4. The addition of 4 more inflow parameters including 2 further time constants and of the Lock number does not affect the rate of convergence or the accuracy of the estimates, though it requires much more computer CPU time per iteration.
5. Cyclic pitch stirring and hub stirring transients are equally suitable for the parameter identifications.

6. The accuracies with which the various parameters can be identified are quite different from each other, the Lock number having the highest accuracy. Despite unavoidable inaccuracies in some of the parameters, even relatively large errors in such parameters have little effect on the responses.
7. There is a clearly defined optimal data length that can be computed. More data do not bring improved accuracy of the estimates, while a shorter data length leads to rapidly increasing errors in the estimates.
8. The time step used for the numerical integrations is not a critical quantity. Both  $\Delta t = .1$  and  $.2$  appear to be satisfactory.

**References**

1. Hohenemser, K. H. and Yin, S. K., "Methods Studies Toward Simplified Rotor-Body Dynamics", Part I of First Yearly Report under Contract NAS2-7613, June 1974.
2. Hohenemser, K. H. and Yin, S. K., "Computer Experiments in Preparation of System Identification from Transient Rotor Model Tests", Part II of First Yearly Report under Contract NAS2-7613, June 1974.
3. Hohenemser, K. H. and Crews, S. T., "Experiments with a Four-Bladed Cyclic Pitch Stirring Model Rotor", Part III of First Yearly Report under Contract NAS2-7613, June 1974.
4. Hohenemser, K. H., Banerjee, D., and Yin, S. K., "Methods Studies on System Identification from Transient Rotor Tests", Part I of Second Yearly Report under Contract NAS2-7613, June 1975.
5. Hohenemser, K. H. and Crews, S. T., "Additional Experiments with a Four-Bladed Cyclic Pitch Stirring Model Rotor". Part II of Second Yearly Report under Contract NAS2-7613, June 1975.
6. Hohenemser, K. H., Banerjee, D., and Yin, S. K., "Rotor Dynamic State and Parameter Identification from Simulated Forward Flight Transients", Part I of Third Yearly Report under Contract NAS2-7613, June 1976.
7. Hohenemser, K. H. and Crews, S. T., "Rotor Dynamic State and Parameter Identification from Hovering Transients", Part II of Third Yearly Report under Contract NAS2-7613, June 1976.
8. Hohenemser, K. H. and Yin, S. K., "On the Use of First Order Rotor Dynamics in Multiblade Coordinates", 30th Annual National Forum of the American Helicopter Society, May 1974, Preprint No. 831.
9. Ormiston, R. A. and Peters, D. A., "Hingeless Rotor Response with Non-Uniform Inflow and Elastic Blade Bending", J. of Aircraft, Vol. 9, No. 10, Oct. 1972, pp. 730-736.
10. Peters, D. A., "Hingeless Rotor Frequency Response with Unsteady Inflow", Rotorcraft Dynamics, NASA SP 352, February 1974.
11. Hohenemser, K. H. and Yin, S. K., "Some Applications of the Method of Multiblade Coordinates", J. American Helicopter Soc., Vol. 17, No. 3, July 1972.

Figure Captions

- Fig. 1 Cyclic Pitch Stirring Inputs with Zero Initial Values for Progressing Stirring Acceleration  $\dot{\omega} = -.10/\pi$ .
- Fig. 2 Single Blade Flapping Response with Simulated Measurement Errors,  $\sigma_{\beta k} = .1$ .
- Fig. 3 Cyclic Pitch Stirring Response Comparisons.
- Fig. 4 Response Comparisons for Collective Pitch Unit Step Input.
- Fig. 5 Response Comparisons for Longitudinal Cyclic Pitch Unit Step Input.
- Fig. 6 Identified Flapping Responses Using 3 Time Constants.
- Fig. 7 Identified Rotor Dynamic Inflow Using 3 Time Constants.
- Fig. 8 Relative Parameter Standard Deviation vs. Duration of Transient.



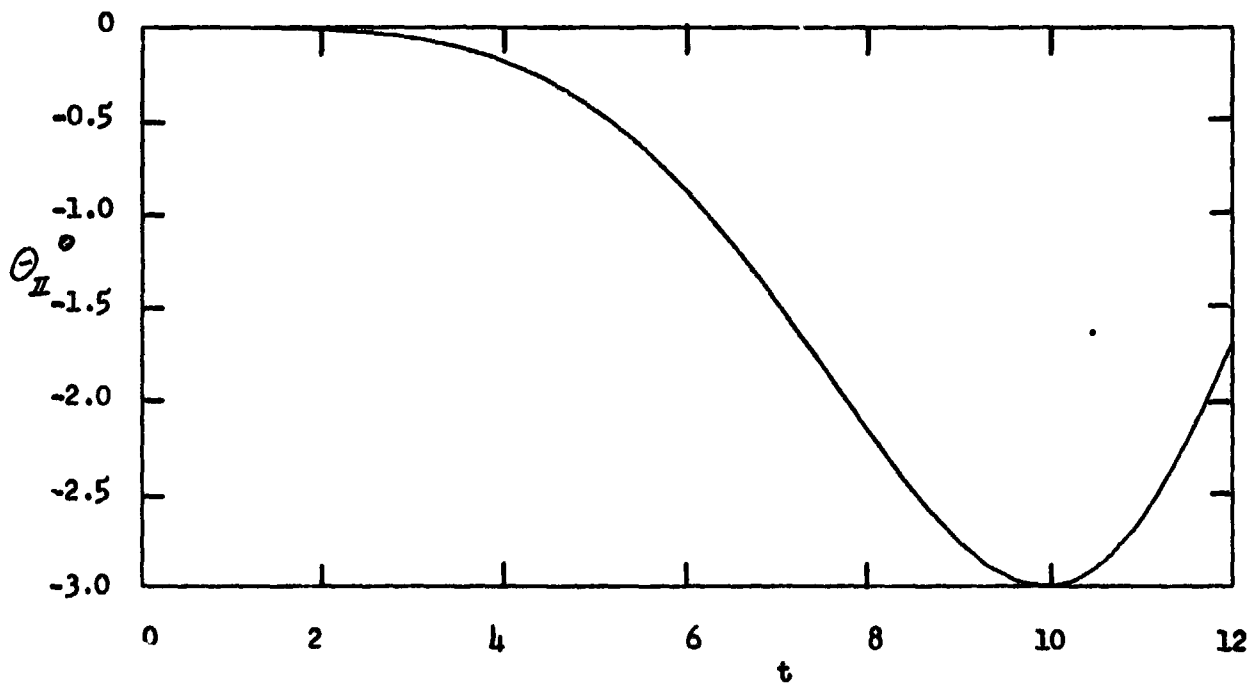
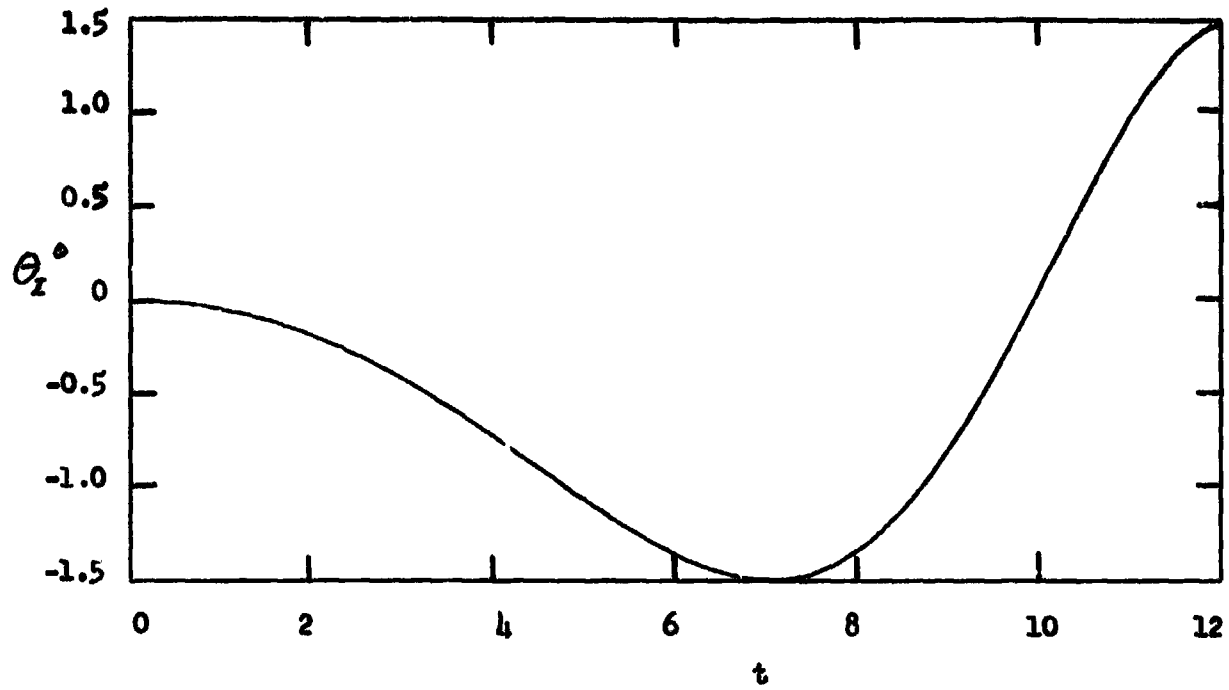


Fig. 1

REPRODUCIBILITY OF  
ORIGINAL PAGE IS POOR

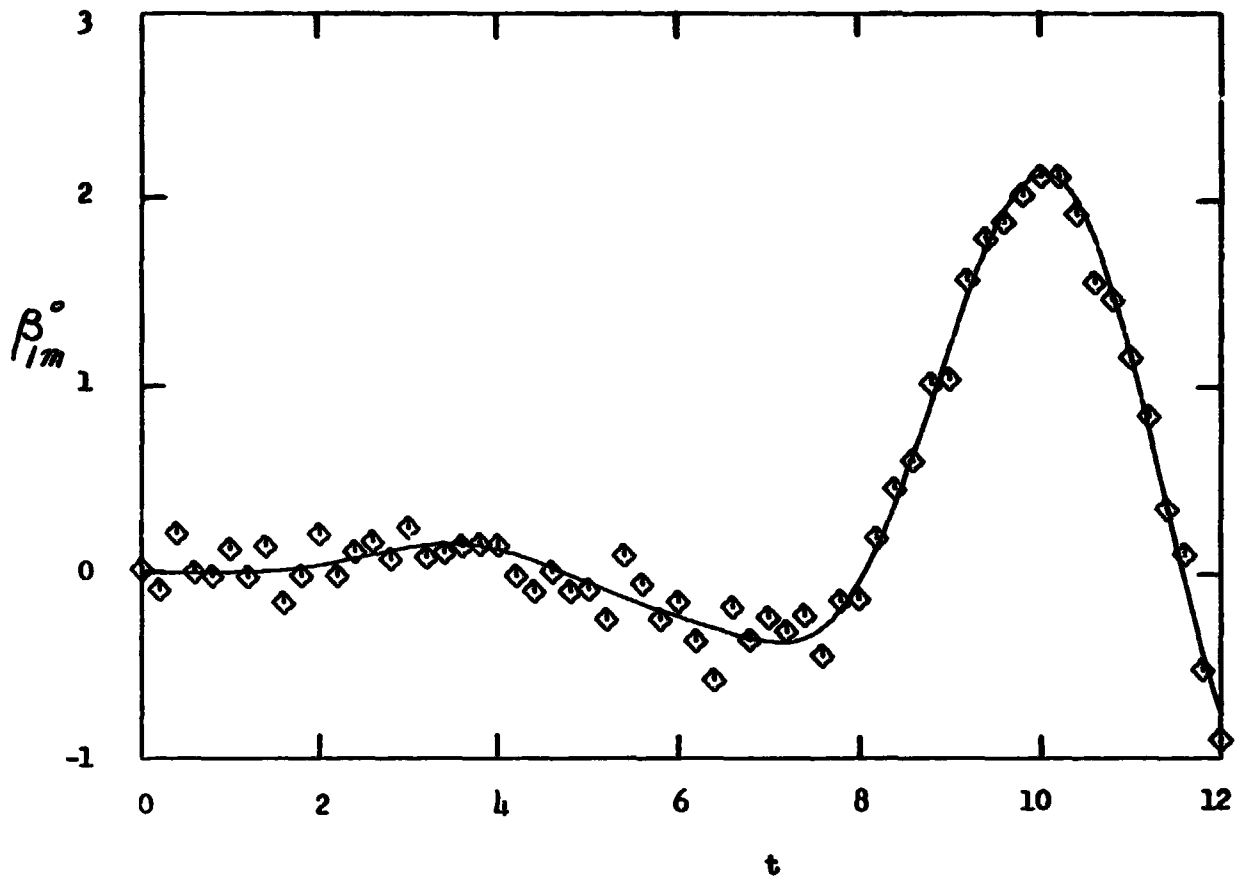


Fig. 2

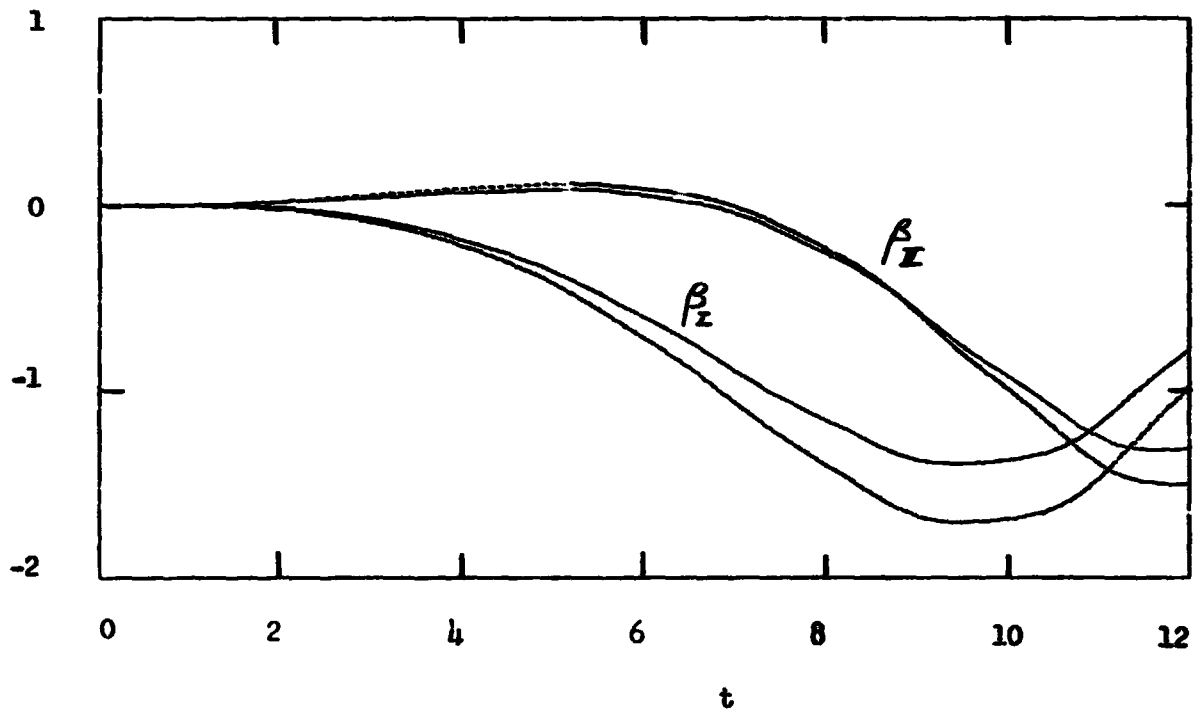
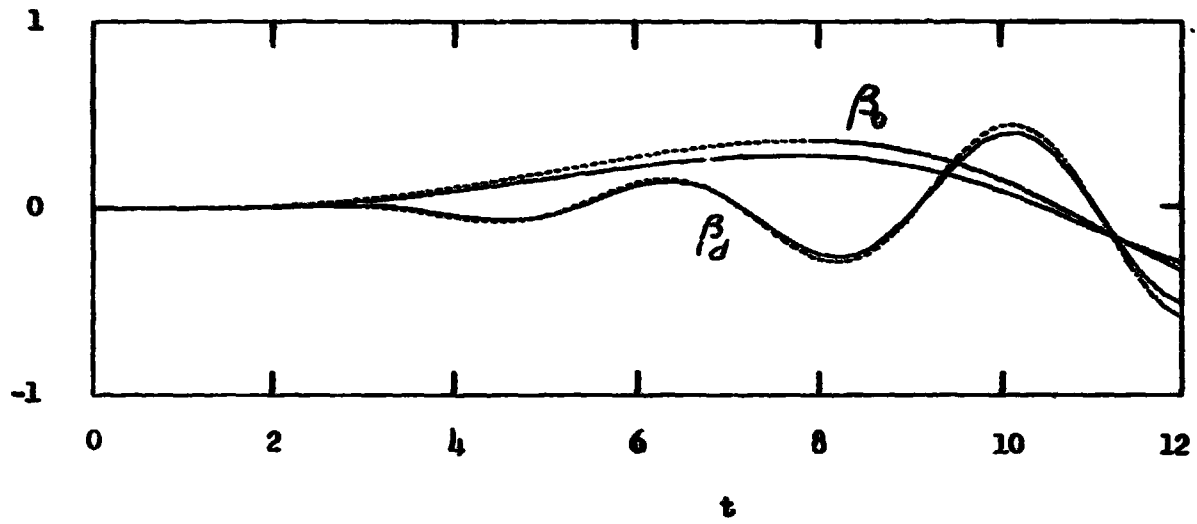


Fig. 3a, Model (a)

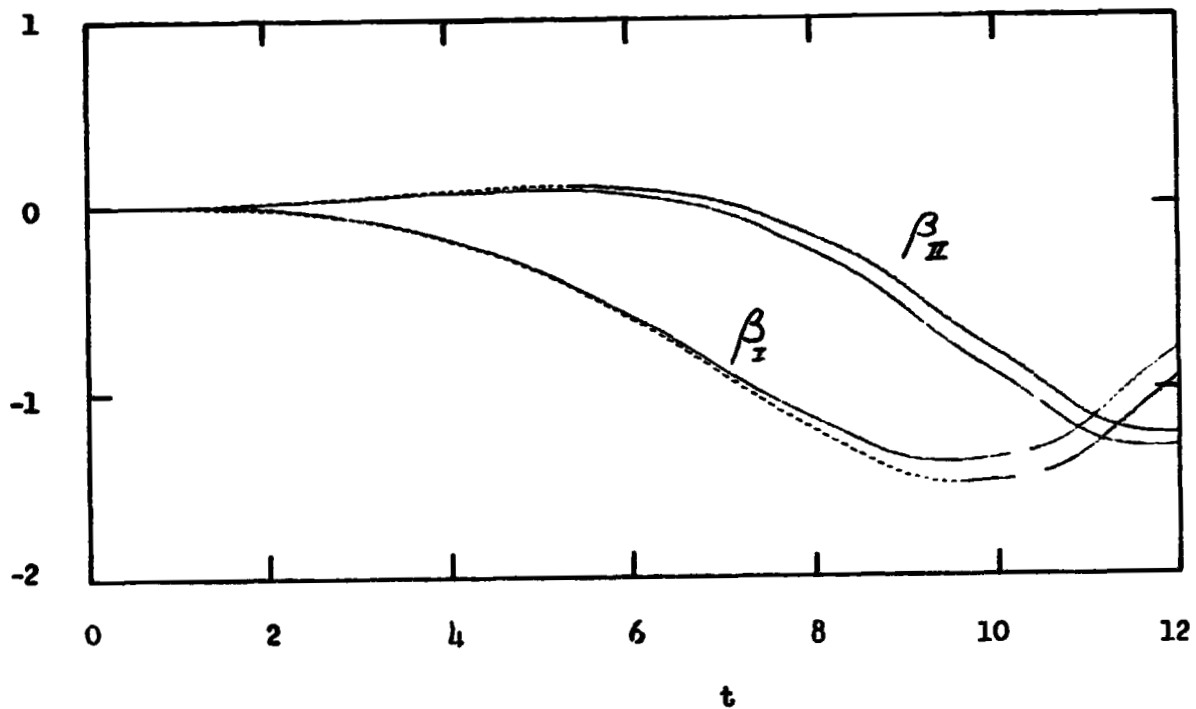
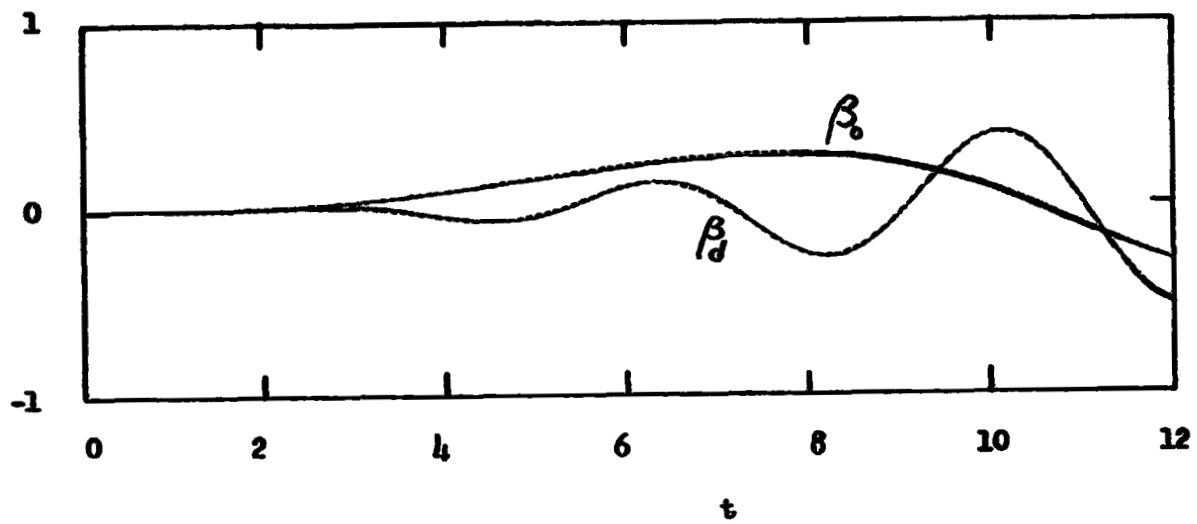


Fig. 3b, Model (b)

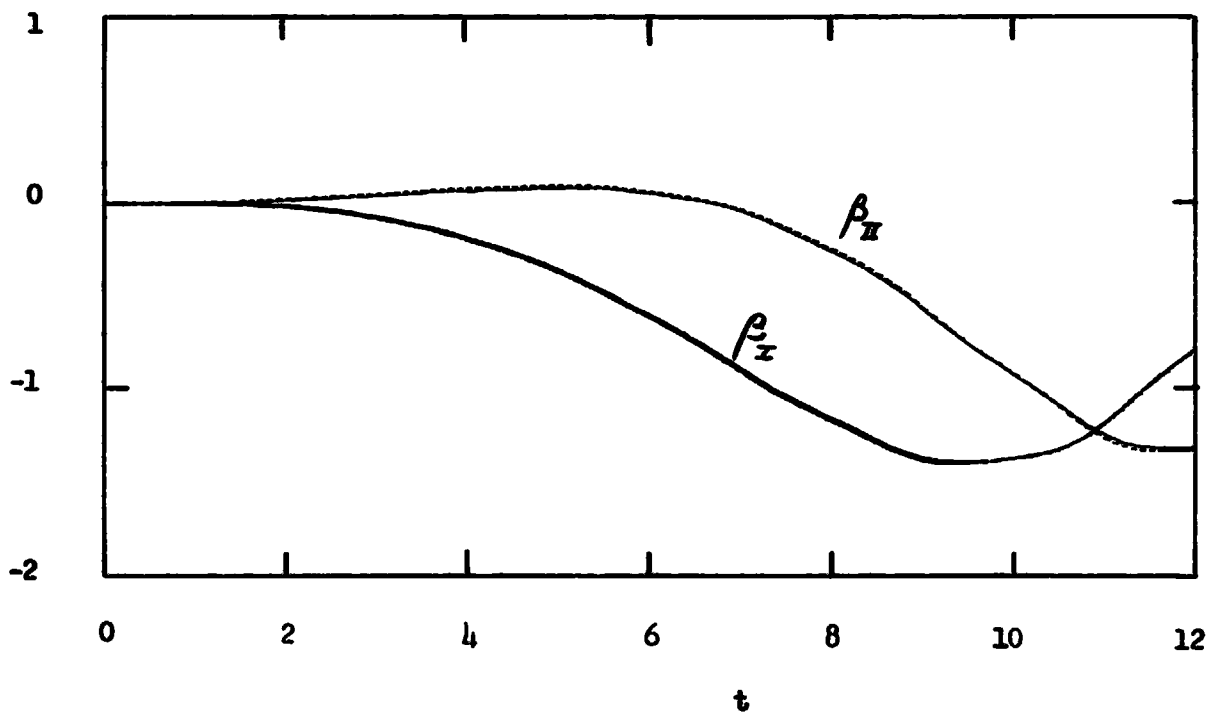
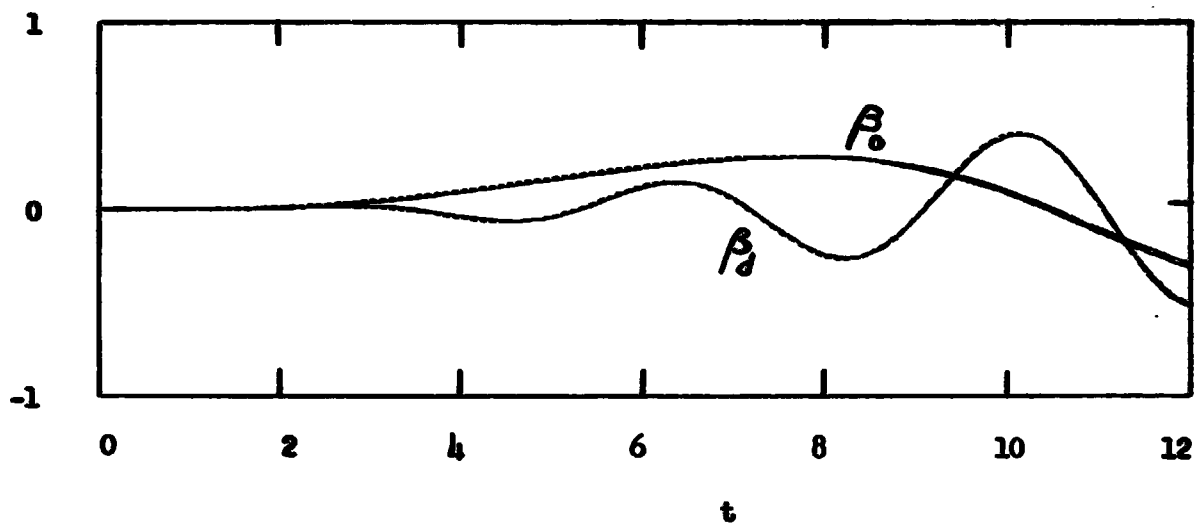


Fig. 3c, Model (c)

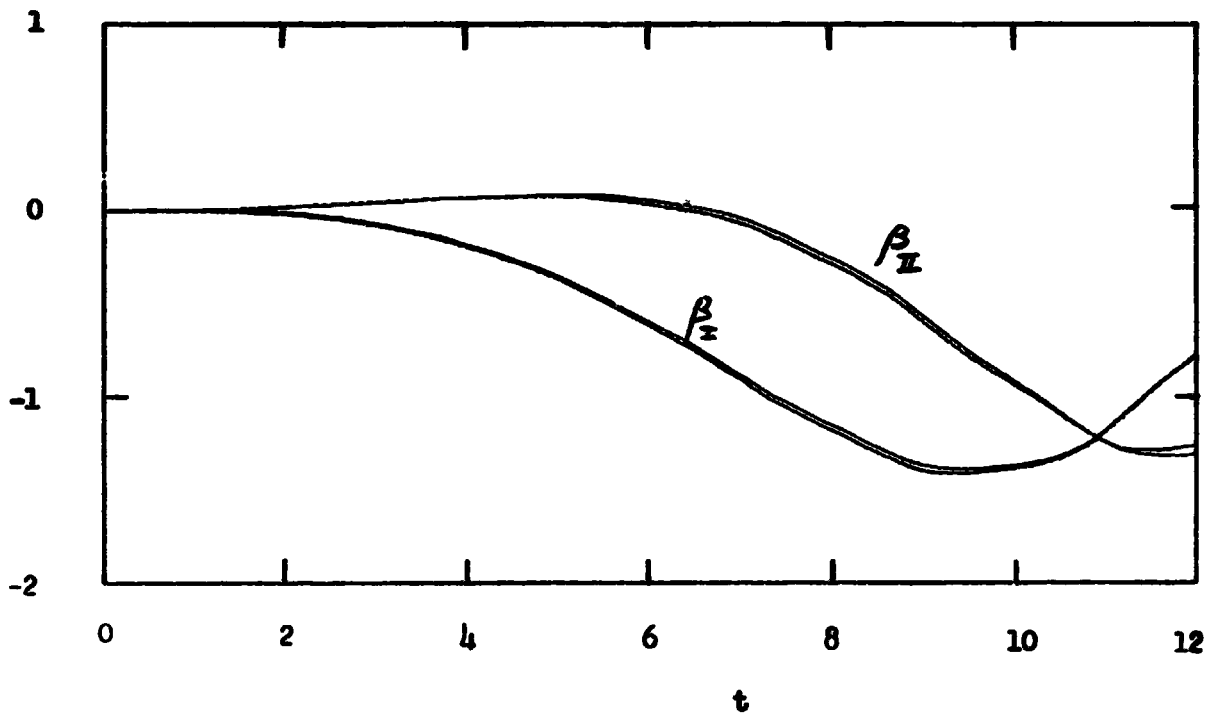
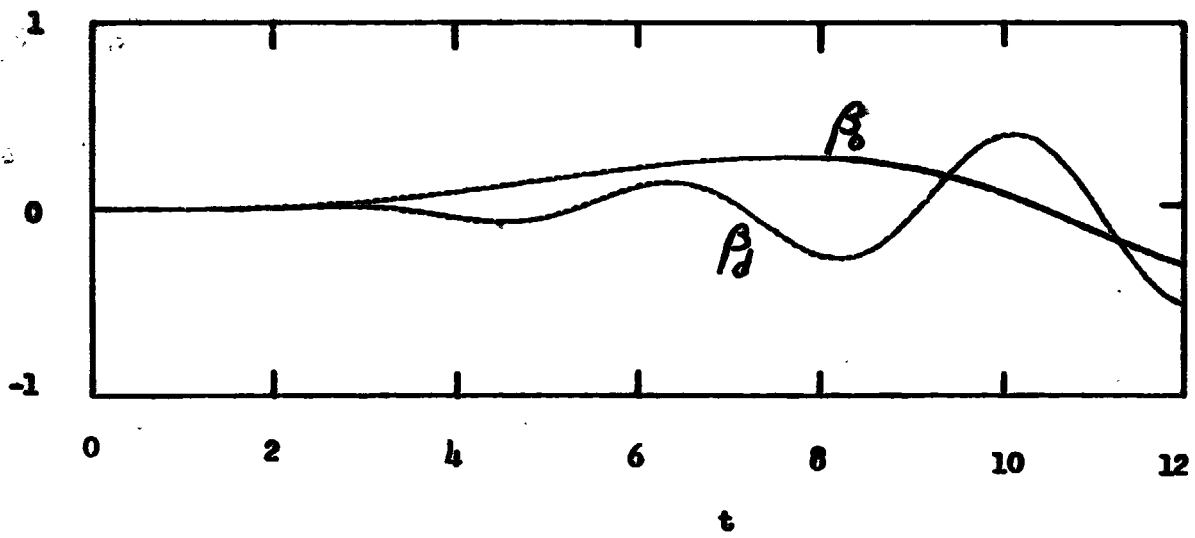


Fig. 3d, Model (d)

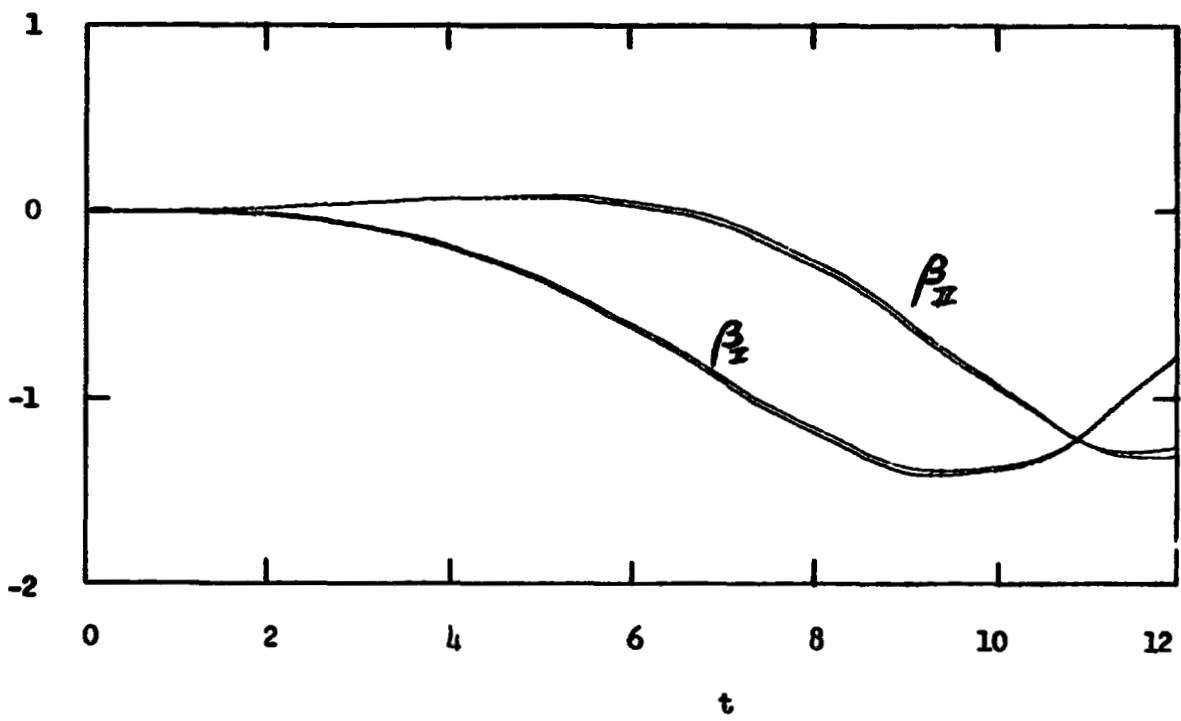
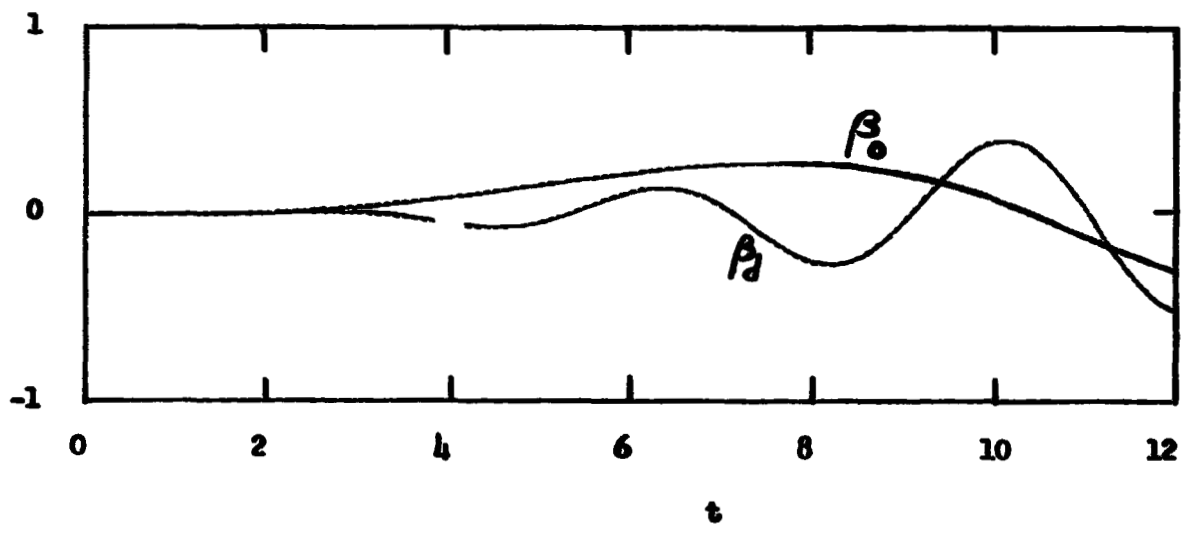


Fig. 3e, Model (e)

REPRODUCIBILITY OF THE ORIGINAL PAGE IS POOR

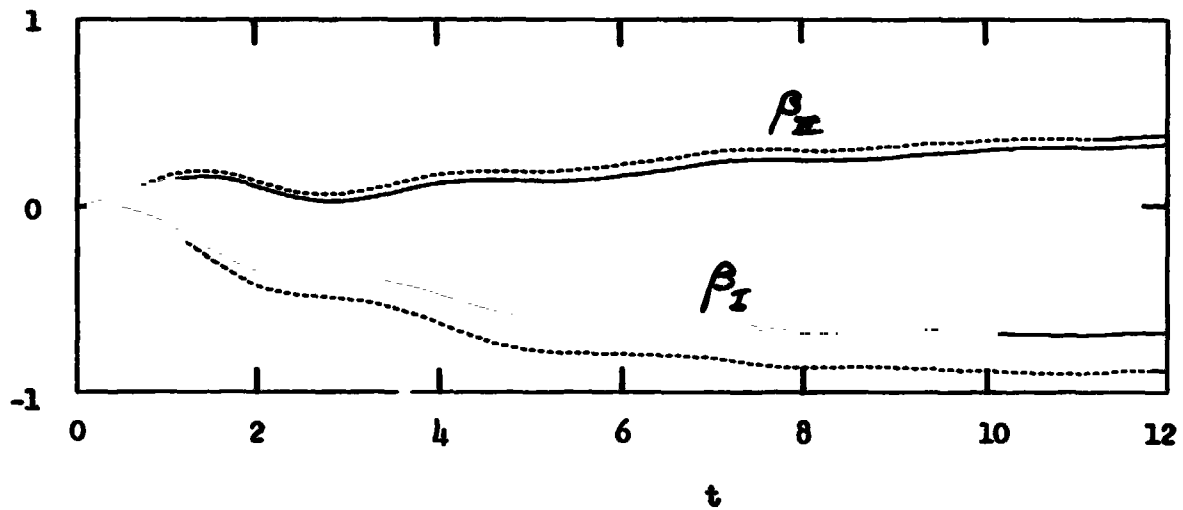
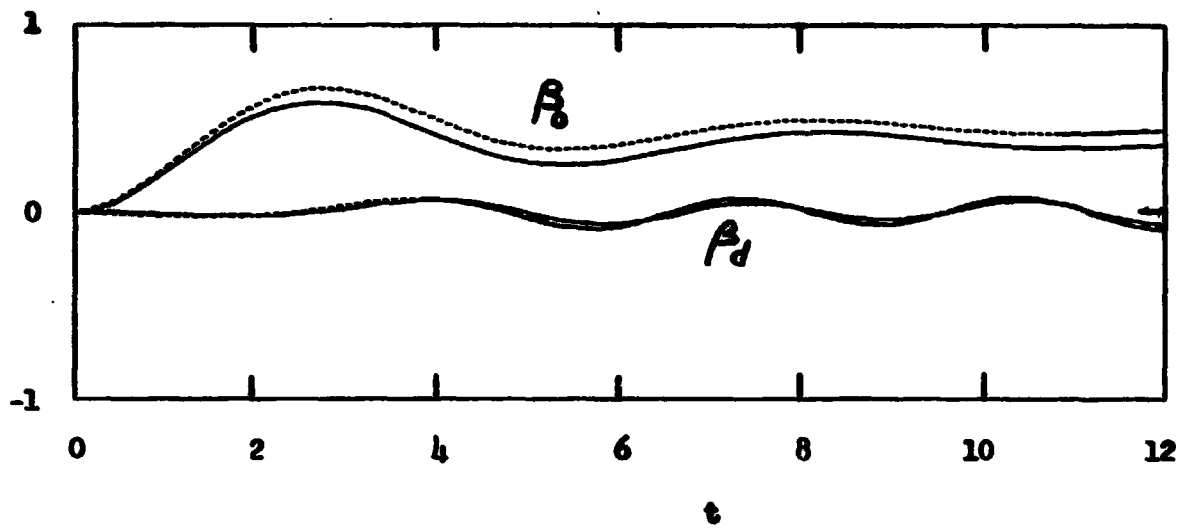


Fig. 4a,  $\theta_0 = u(t)$ , Model (a)



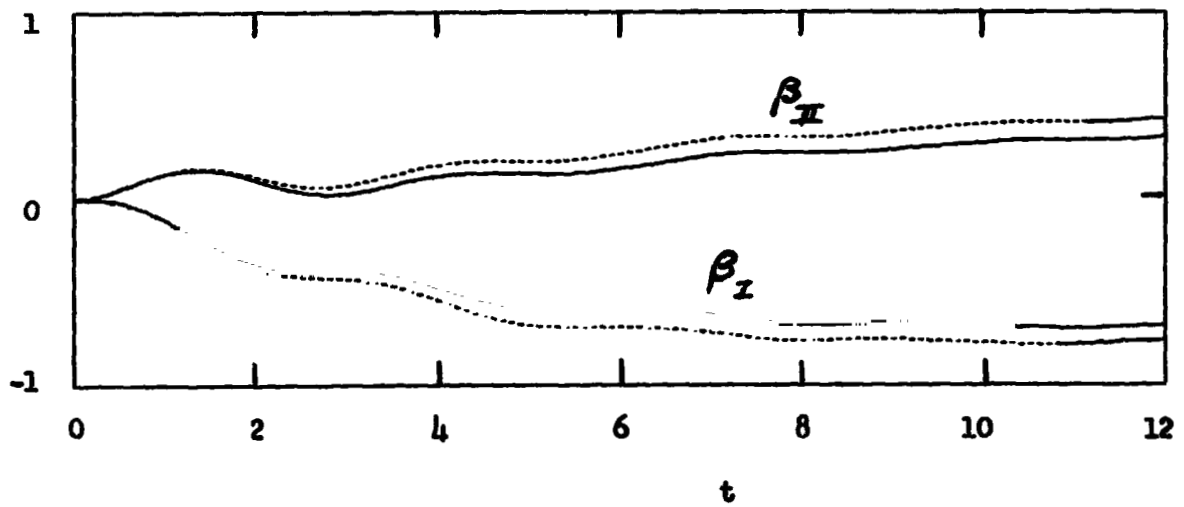
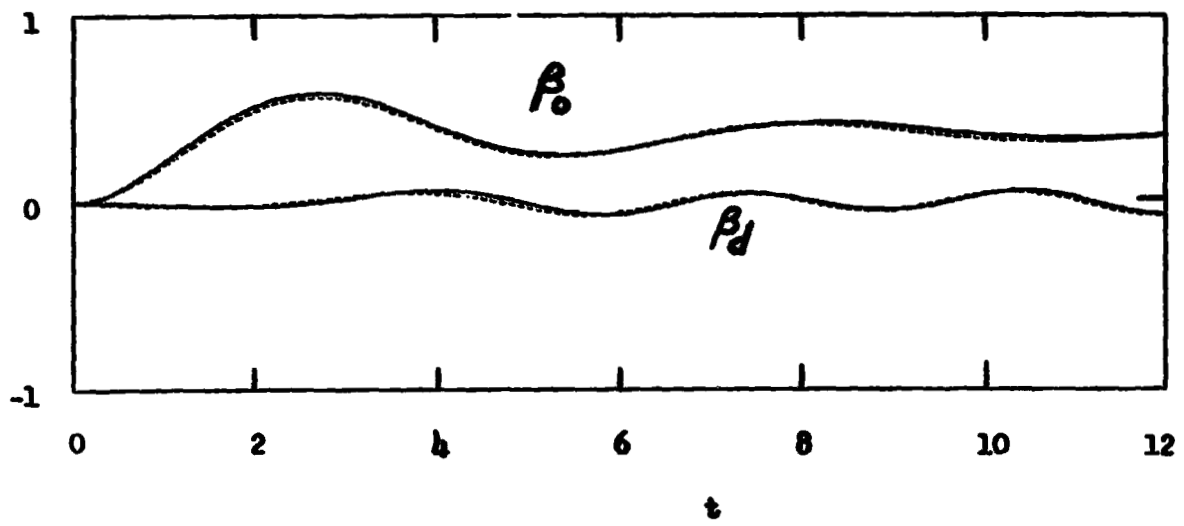


Fig. 4b,  $\theta_0 = u(t)$ , Model (b)

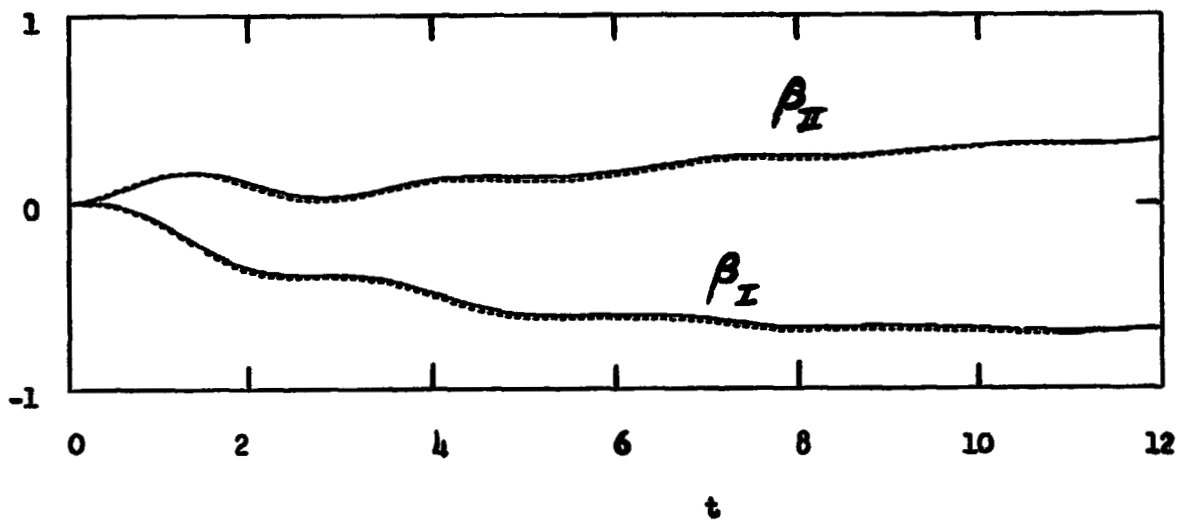
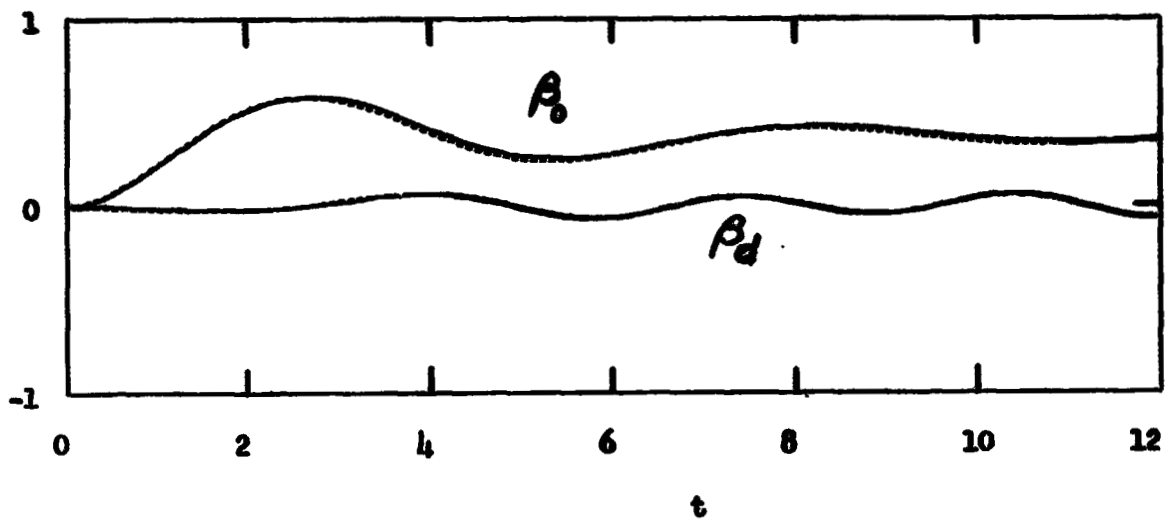


Fig. 4c,  $\theta_0 = u(t)$ , Model (e)

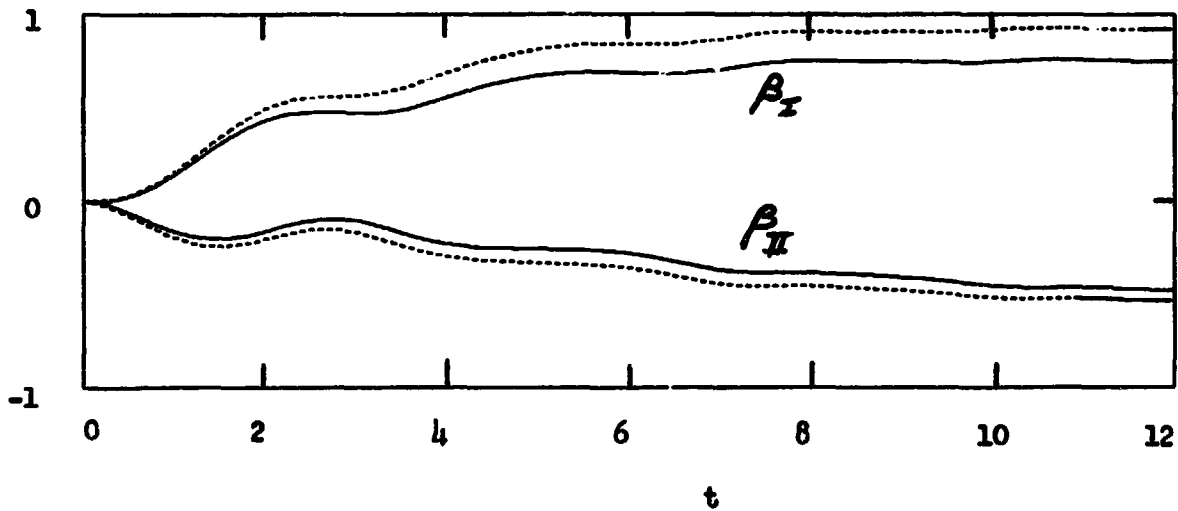
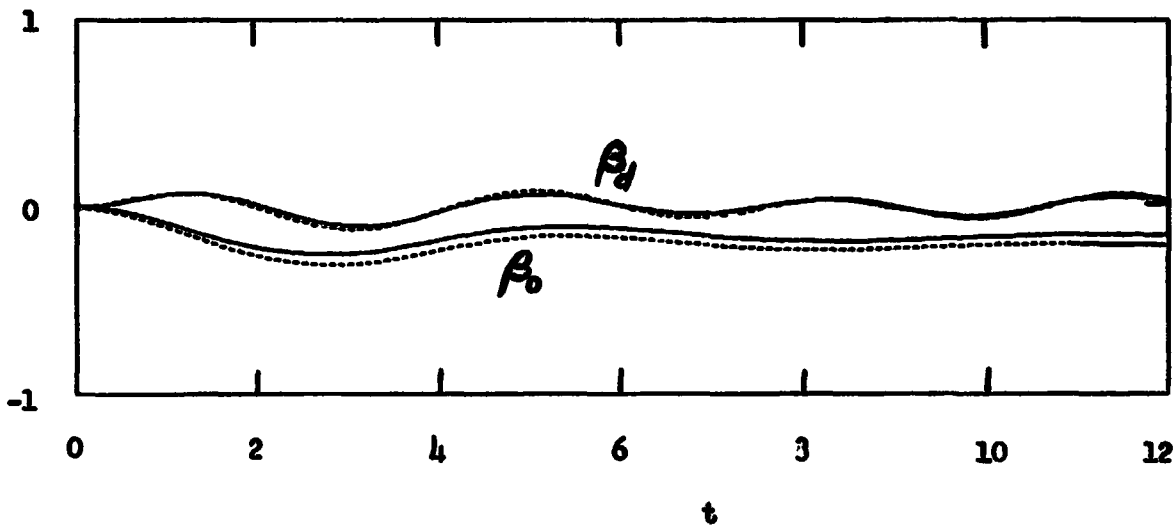
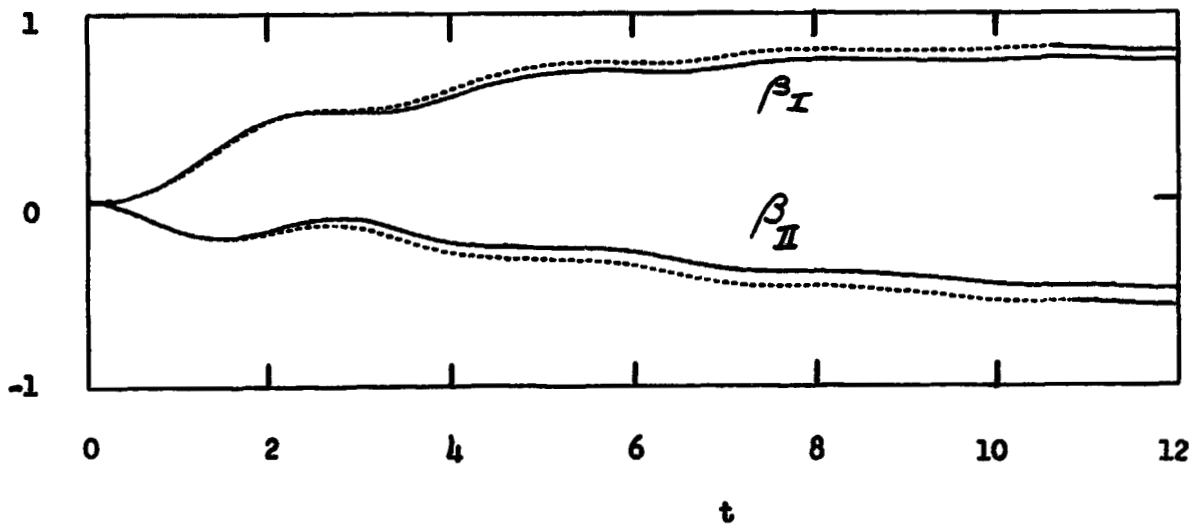
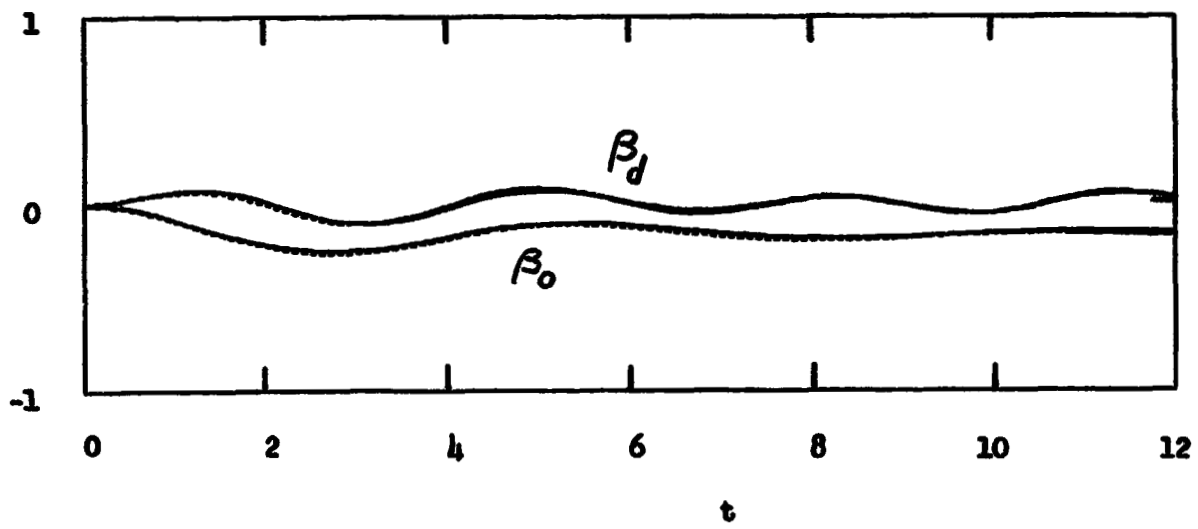


Fig. 5a,  $\theta_I = u(t)$ , Model (a)

Fig. 5b,  $\theta_I = u(t)$ , Model (b)

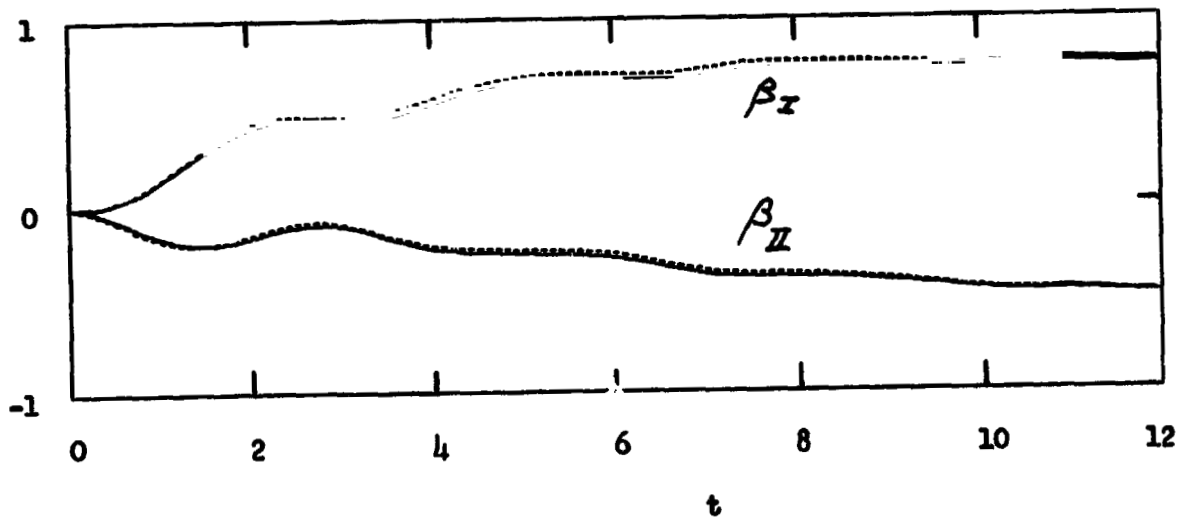
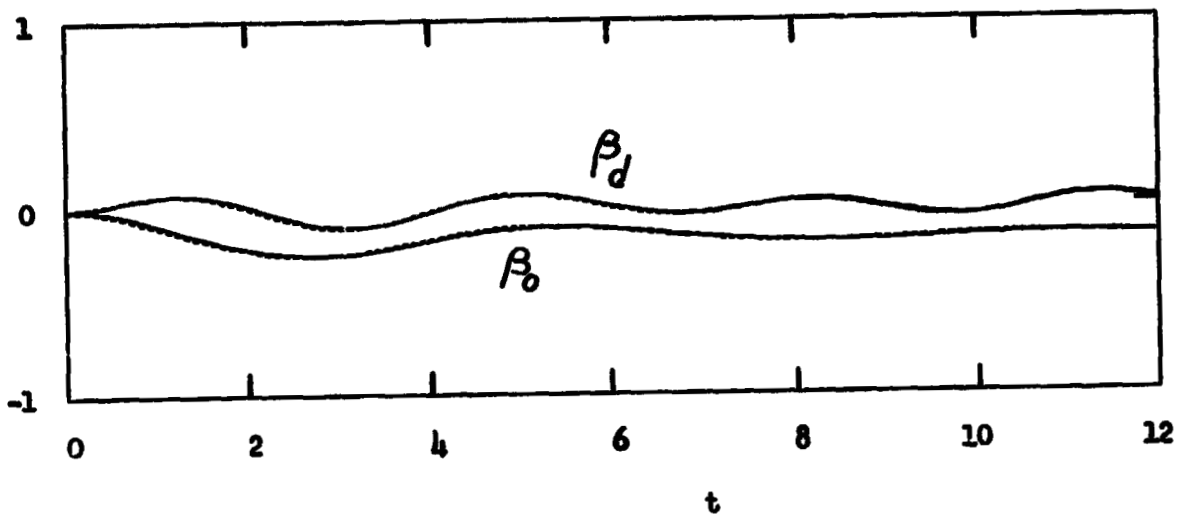


Fig. 5c,  $\theta_I = u(t)$ , Model (e)

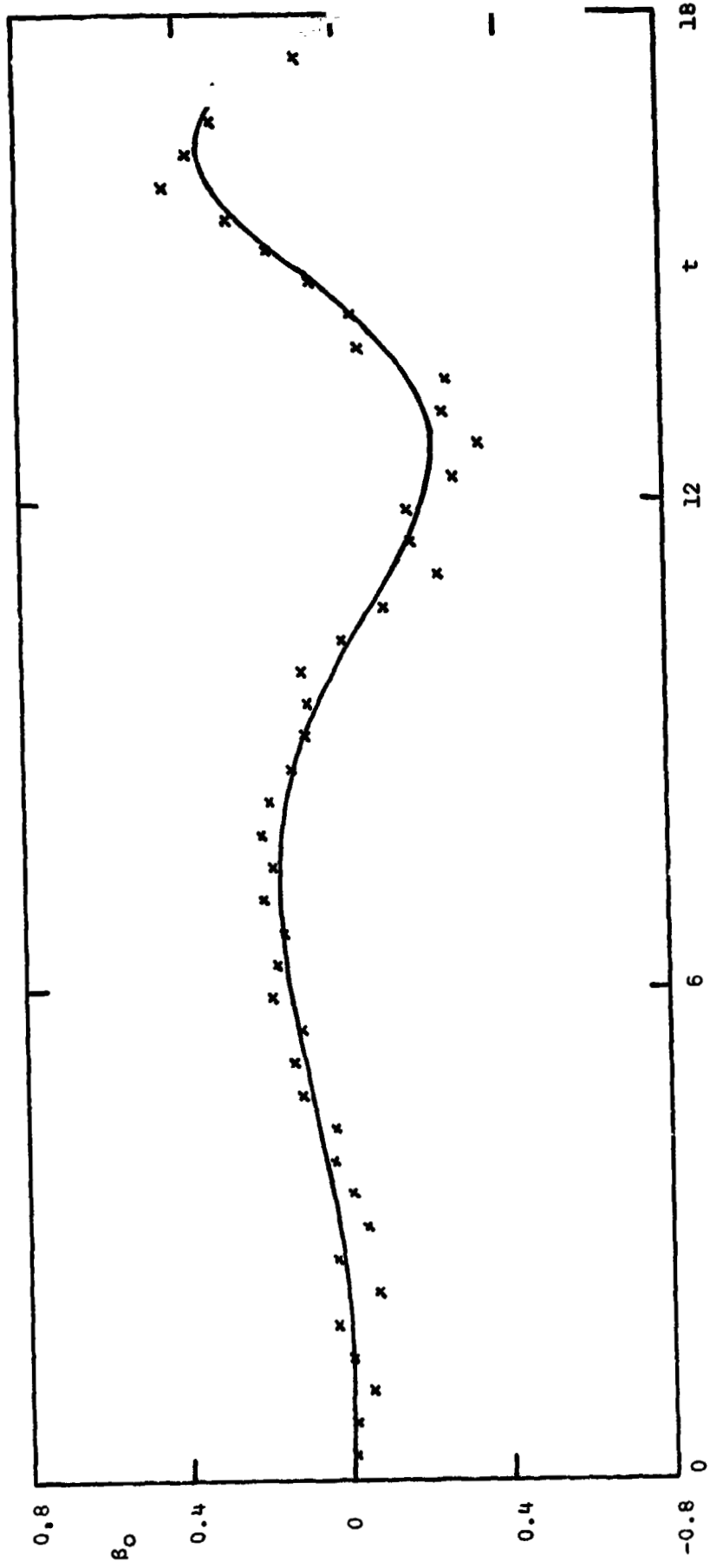


Fig. 6a

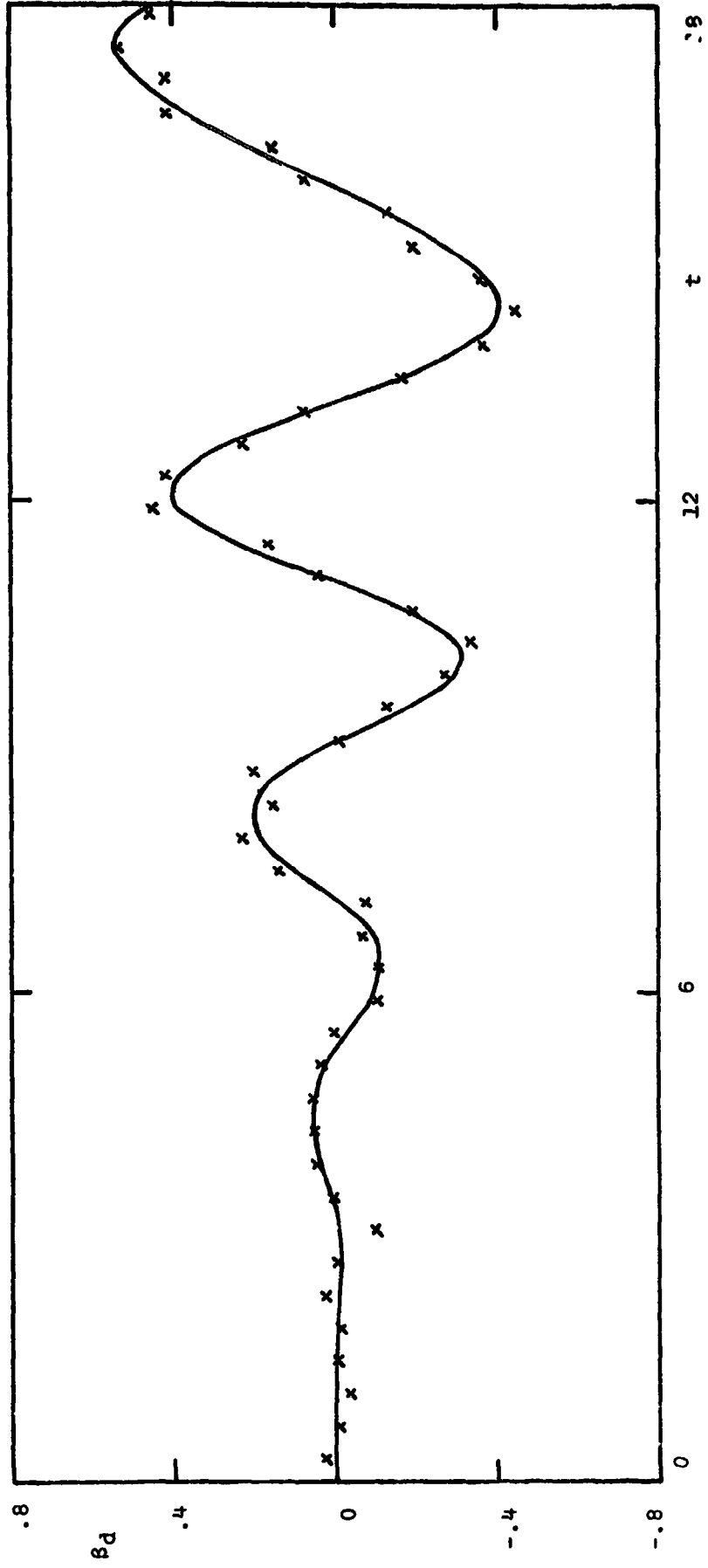


Fig. 6b

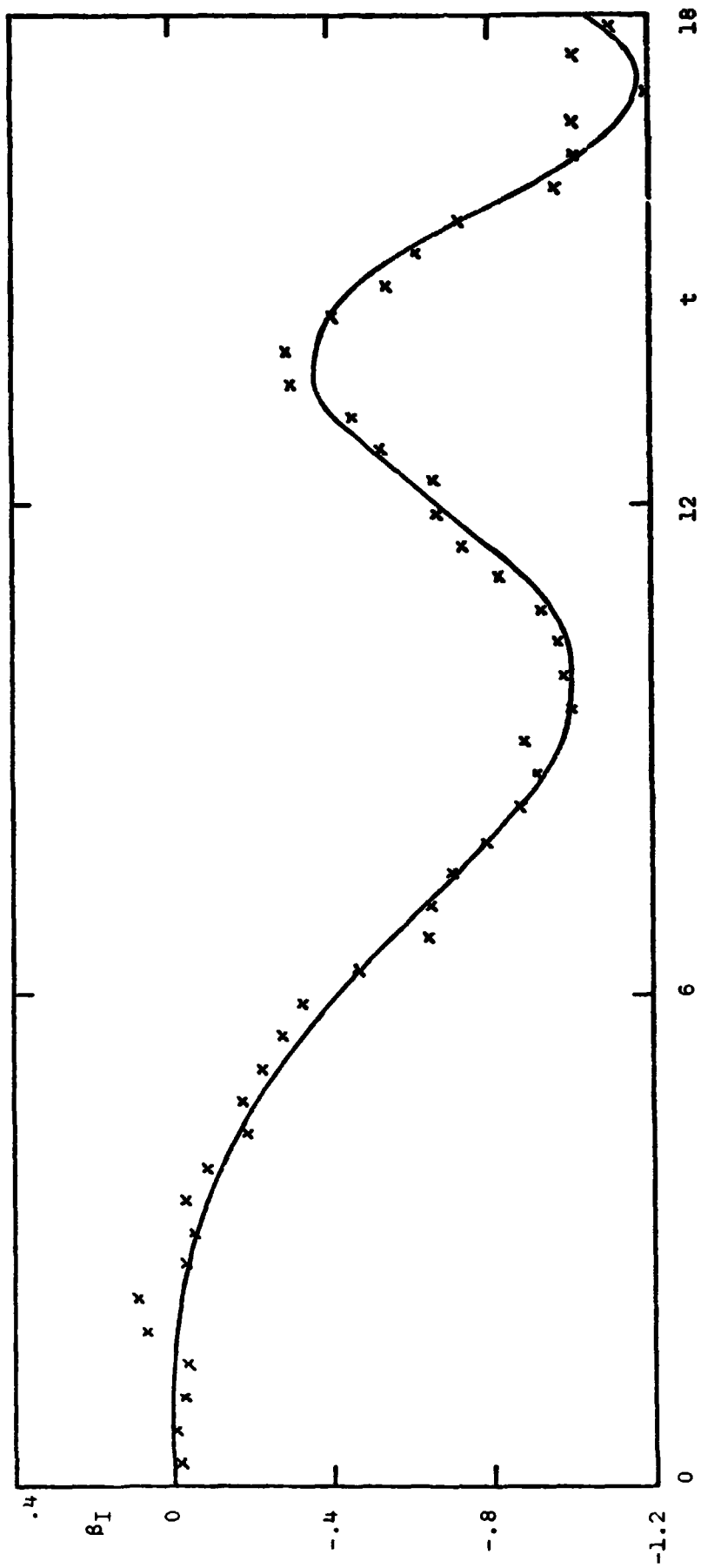


Fig. 6c

REPRODUCIBILITY OF 1.  
ORIGINAL PAGE IS P. 53



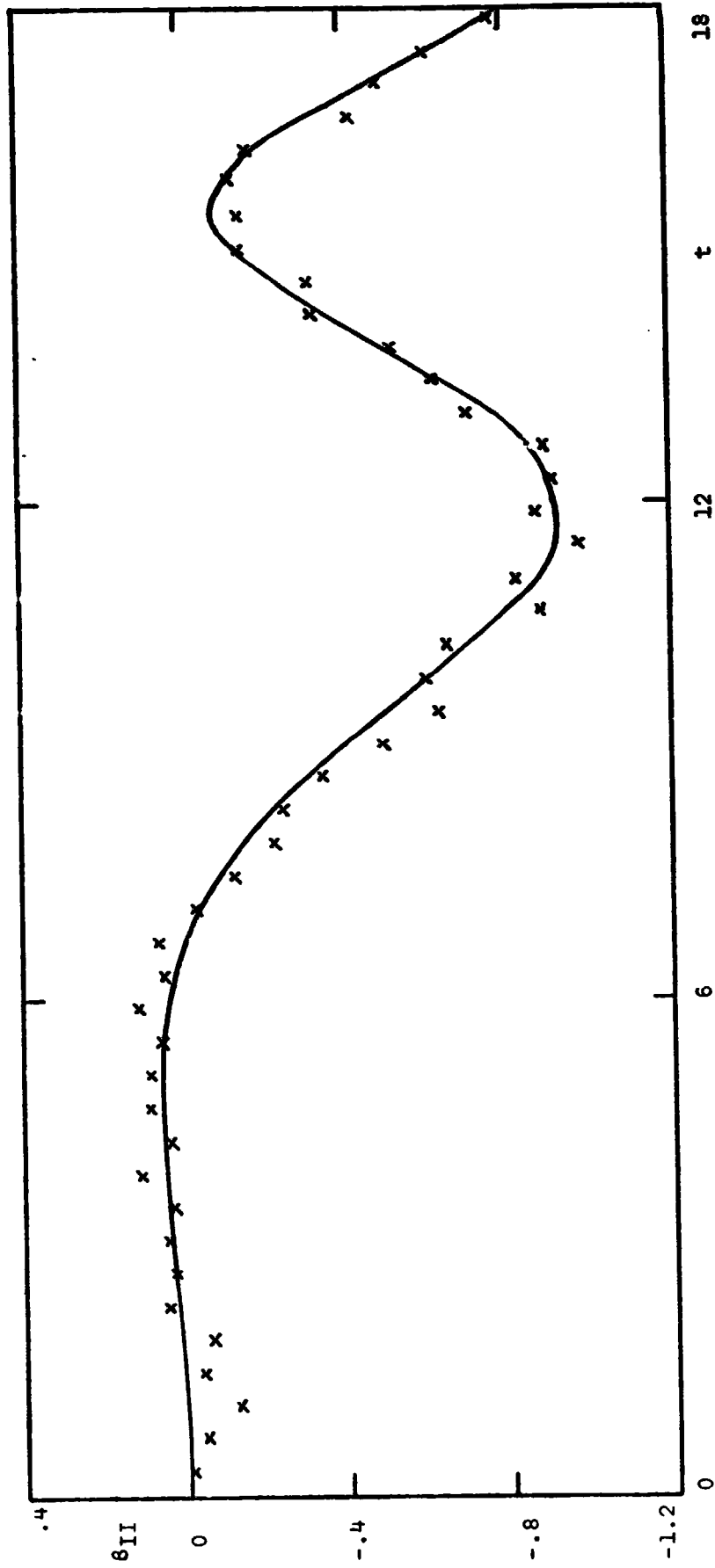


Fig. 6d

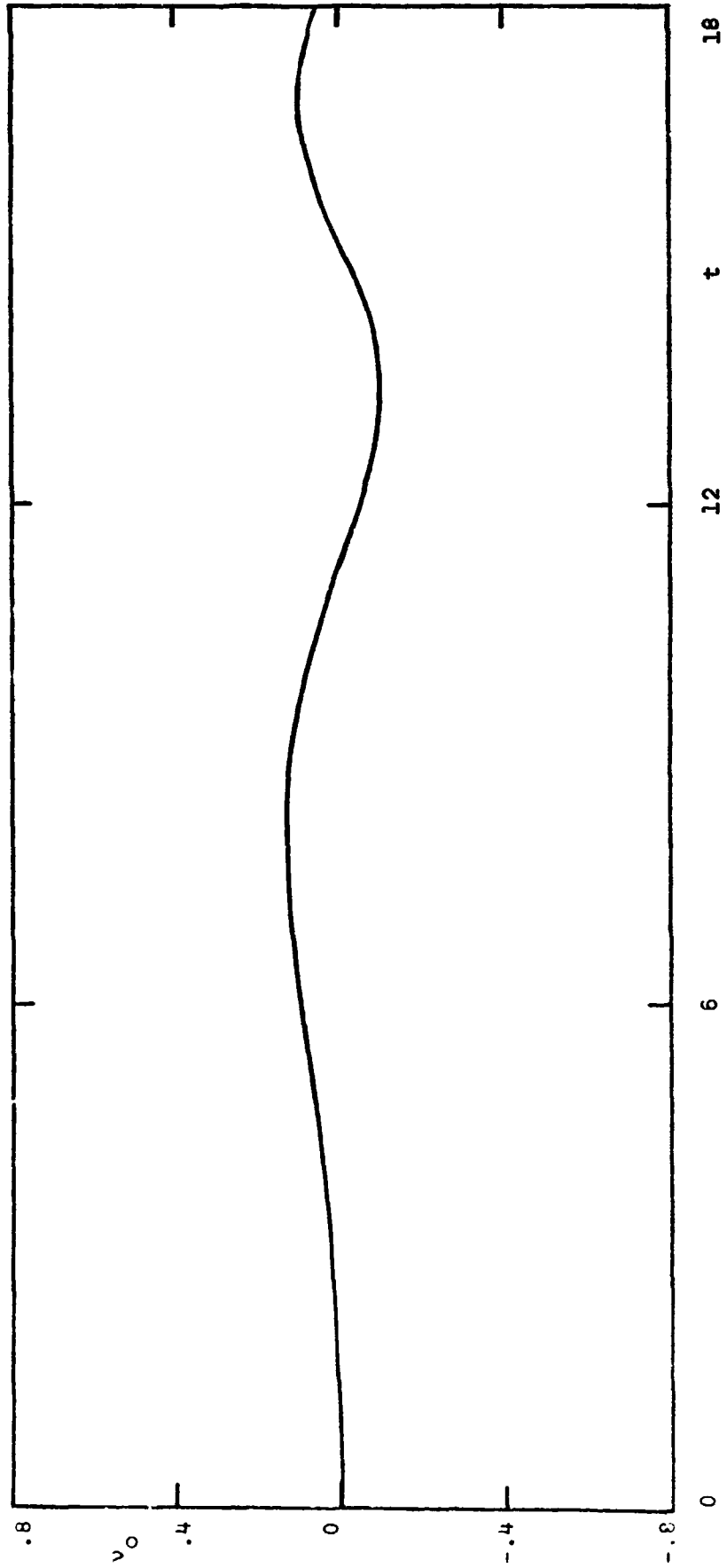


Fig. 7a

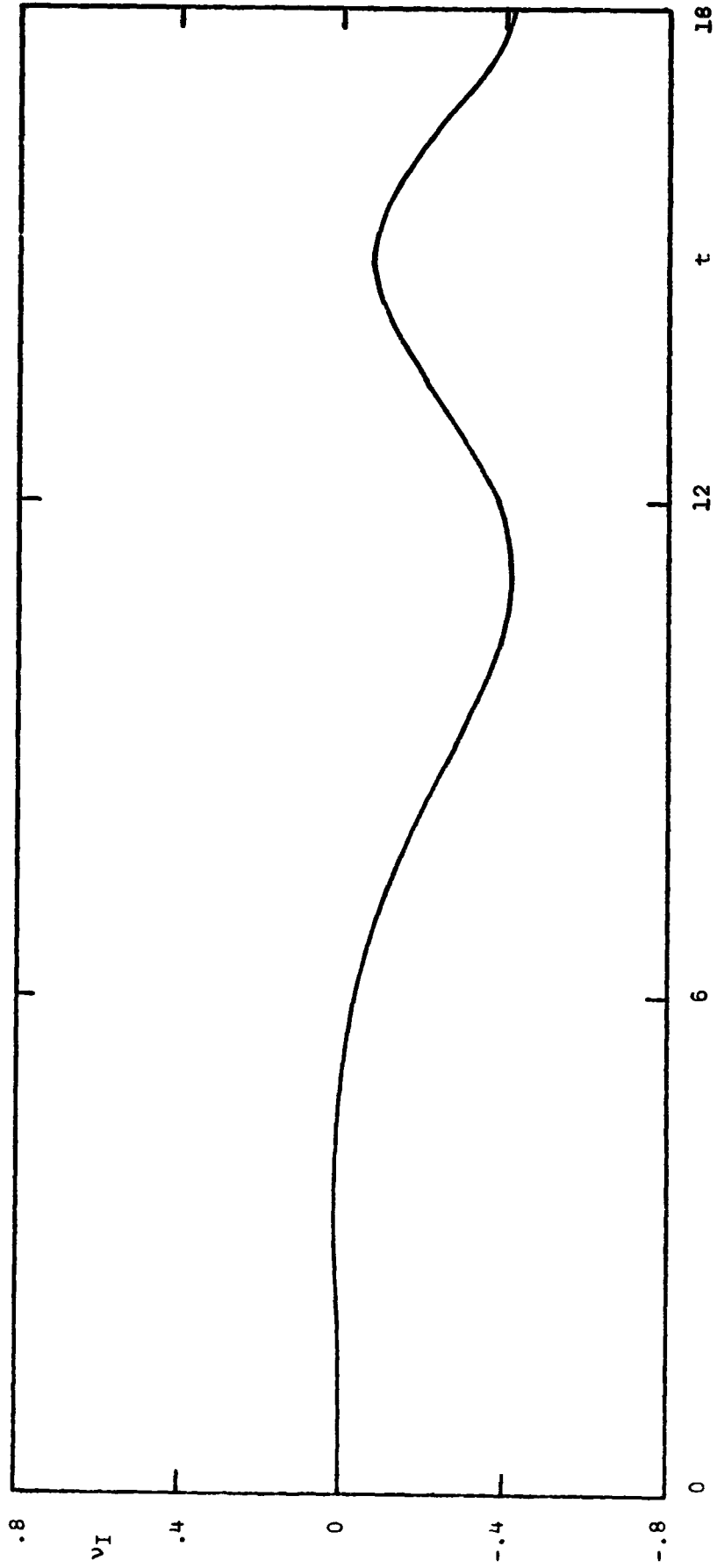


Fig. 7b

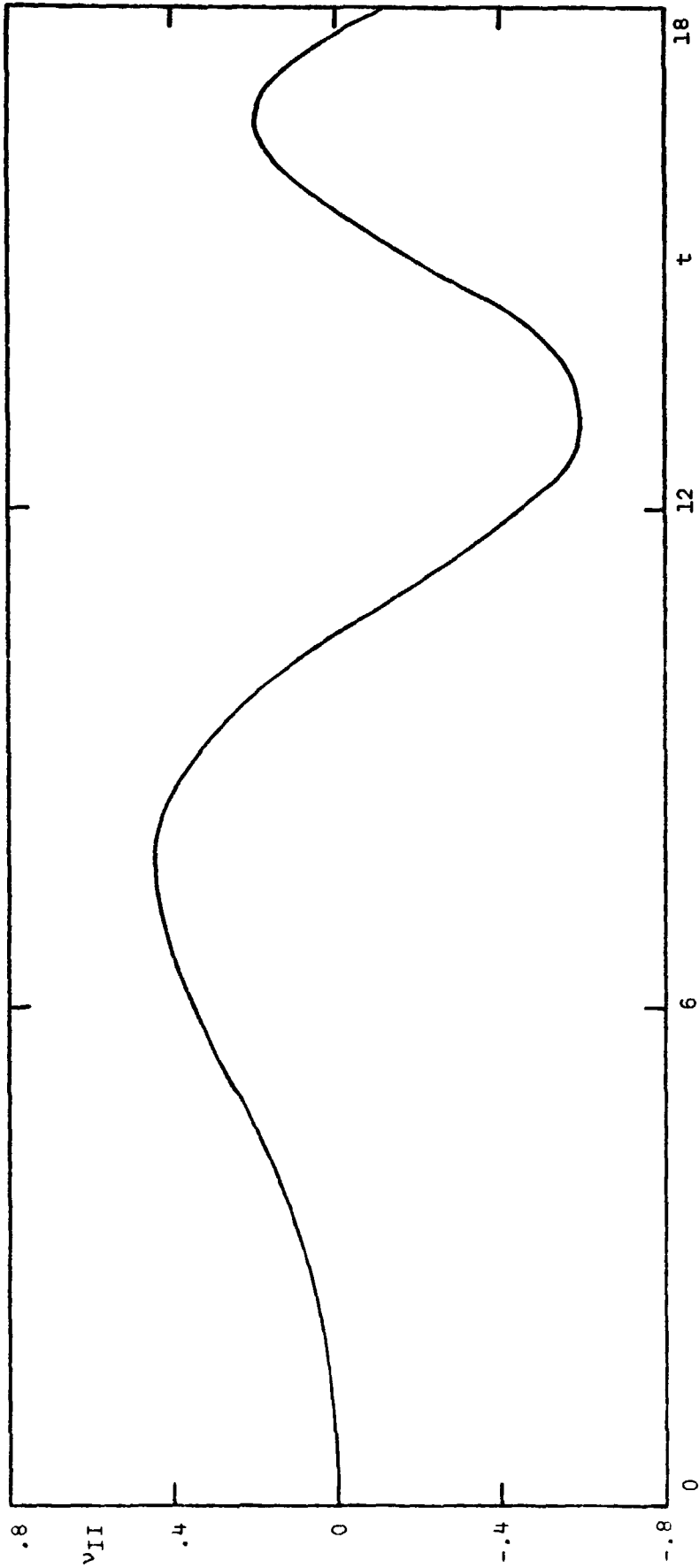


Fig. 7c

REPRODUCIBILITY OF  
ORIGINAL PAGE IS

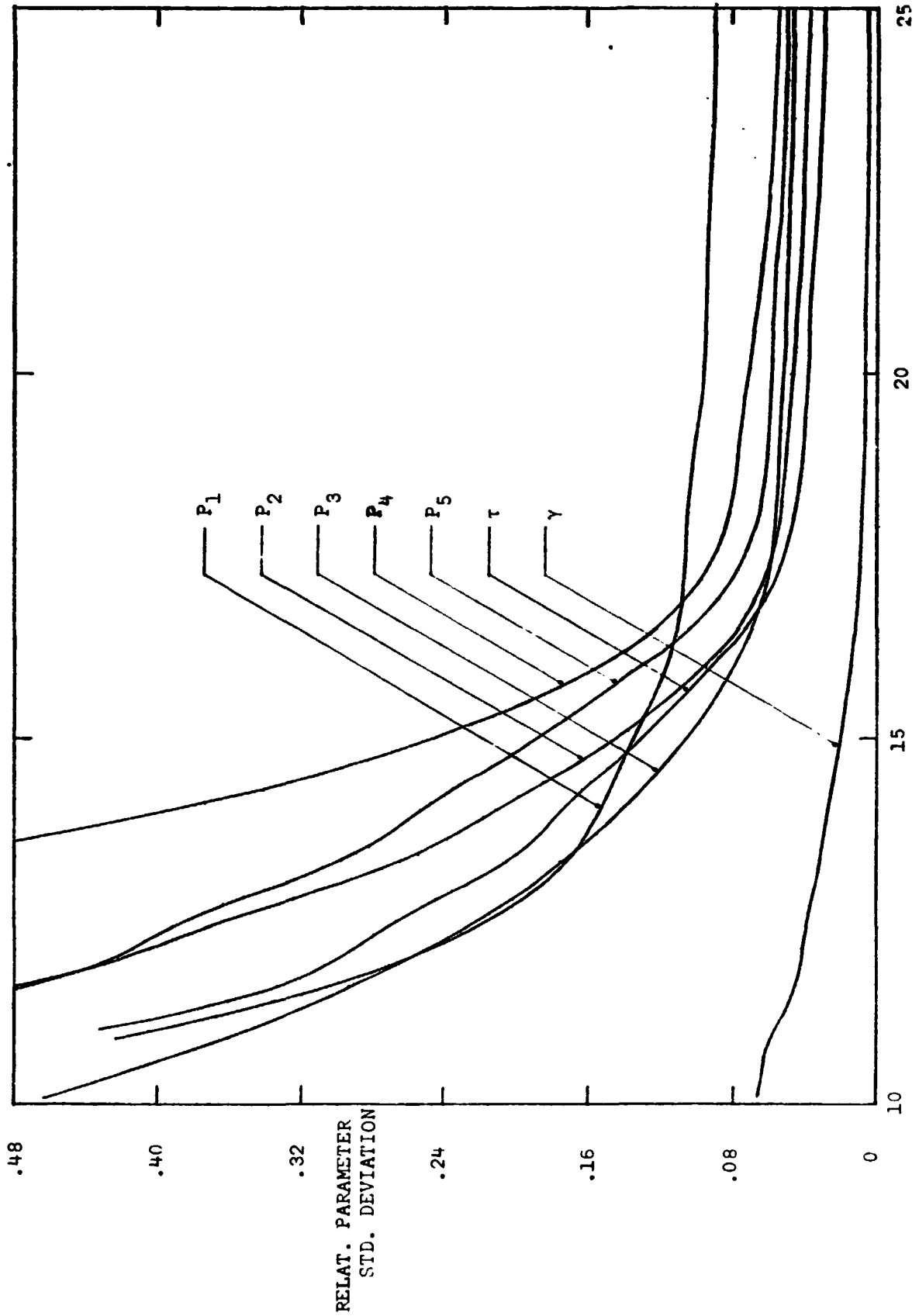


Fig. 8