NASA TECHNICAL MEMORANDUM

NASA TM-75204

POSSIBILITIES FOR IMPROVING THE EFFICIENCY OF LINEAR ERROR-CORRECTING CODES

R. R. Varshamov

(NASA-TM-75204) FOSSIBILITIES FOR IMPROVING THE EFFICIENCY OF LINEAR ERROF-CORRECTING CODES (National Aeronautics and Space Administration) 9 p CSCL 09B

N78-17746

Unclas 00/63 05470

Translation of "O vożmozhnostyakh uvelicheniya moshchnosti lineynykh korrektiruyshchikh kodov", Doklady Akademii Nauk SSR, vol. 223, No.1, 1975, pp. 60-63.



POSSIBILITIES FOR IMPROVING THE EFFICIENCY OF LINEAR ERROR-CORRECTING CODES

R. R. Varshamov

Computing Center of the Academy of Sciences of the Armenian SSR and Yerivan State University

The elaboration of design methods for the construction of /60* noiseproof coding systems with a maximal data transmission rate (or equivalently with maximum efficiency of code subsets) when the main parameters of the system are specified is one of the main tasks of algebraic coding theory. Here n is the length of a code block, t is the number of detected errors, and q is the base of the code. This note presents some results which are relevant to possibilities for constructing, within Hamming distance, noiseproof systems of signals in which the efficiency of code subsets exceeds many times the efficiency of all similar known linear codes described in the literature.

The following theorem states our basic concept.

Theorem 1. Regardless of the magnitude of the number θ and method used to construct error-correcting codes correcting t symmetric errors, values of the parameters n and q can always be found (on the basis of this method) for which the following is true:

- a) new classes of codes can be constructed in which the efficiency of code subsets is more than θ times greater than the efficiency of the corresponding codes that were constructed using the original method.
- b) the error-correcting capacity of the old codes is preserved.

We will assume that a method for constructing coding systems correcting a fixed number t of errors is given if a completely defined method which allows the construction of such systems is specified for any arbitrary n and q.

^{*} Numbers in margin indicate pagination in the foreign text

The physical interpretation of Theorem 1 will be discussed using Hamming and Bose-Choudhuri-Hocquenghem codes as examples.

1. Hamming Codes. It is well known [1] that Hamming codes represent the class of linear codes correcting single symmetric errors. Although these codes are optimal in the class of linear codes, they belong to a class of codes for which a construction method has been developed. Therefore, according to the statement of theorem 1, new classes of codes allowing an improvement in the efficiency of Hamming codes (even without limit) can be constructed.

Henceforth we will denote by g(n,q) the efficiency of the optimal Hamming code of length n with base q. We will also use the notation $\epsilon_q(n) = q^{\left\lceil \log_q n \right\rceil}$ where [x] is the greatest integer not greater than x, and $\{x\} = x - [x]$.

The case $q = 3^1$

Theorem 2. For any integers n, satisfying the inequalities

$$\log_3 \sqrt[3]{2} < {\log_3 n} < 2 \log_3 (3\sqrt{5}),$$

signal systems with base 3 correcting single symmetric errors exist in which the efficiency of code subsets b(n,3) is strictly greater than the efficiency of the corresponding Hamming codes and satisfies the inequality

$$b(n, 3) > 3^{1-(\log_{5} 5n)} g(n, 3).$$

/61

This result can be stated in somewhat stronger form, namely as the following theorem,

Theorem 3. Values of the parameter n can always be found for which coding systems with base 3 correcting single errors can be constructed in which the efficiency of code subsets b(n,3) satisfies the inequality

$$b(n, 3) > 3^{1-(\log_{3}(\ln+\delta(n)))}g(n, 3),$$

where $\delta(n) = 1$ or 2.

Thus, for example, the following theorem holds:

Our method is inefficient in the case q = 2

Theorem 4. Let $m = p_1 cdots p_{\sigma}$, p_s , $s = 1, ..., \sigma$, be primes of the form 8k + 5 and g_s be a primitive root modulo p_s ,

$$Q_{s}=p_{1}\dots p_{s} \ (Q_{o}=1), \quad m_{s}=Q_{s}^{-1}m, \quad M_{s}=\frac{m-m_{s}}{4},$$

$$p_{s}'=\frac{p_{s}-1}{4}, \quad \{\log_{3}m\}>\log_{3}2.$$

Then for any integers α and α ($\epsilon_3(m) < 2n < m/2$), the set of all possible solutions of the congruence

$$\sum_{s=1}^{\sigma} \sum_{v=0}^{m_s-1} \sum_{u=1}^{p_{s'}} Q_{s-1}(g_s^{iu} + p_s v) x_{M_{s+p_s'}v+u} \equiv \alpha \pmod{m},$$

were x_u, u n², taking on arbitrarily the values 0, 1 and 2, is a code of length n with base 3, correcting single symmetric errors. Among these codes the efficiency of the optimal code is

$$b(n, 3) > 3^{1-(\log_3 m)} g(n, 3), \delta(n) = 1.$$

The following theorem is also valid.

Theorem 5. Let $m = p_1 \dots p_{0}$, where p_{s} are primes of the form 8k + 3, m > 3(2m) and q = 3.

Then for arbitrary integers α , β and n ($\epsilon_3(2n) < 2n < m$), the set of all possible solutions of the system of congruences

$$\sum_{\omega} \sum_{s=1}^{\sigma} \sum_{v=0}^{m_s-1} \sum_{u=1}^{2p_s'} Q_{s-1}(g_s^{2u} + p_s v) x_{2M_s + 2p'_s v + u} \equiv \alpha \pmod{m},$$

$$\sum_{n=1}^{n} x_{n} \equiv \beta \pmod_{2}.$$

where $x_u = 0$, 1 and 2 $(u \le n)$ and $x_u = 0$ (u > n), is a code of length n correcting single symmetric errors. Among these codes, the efficiency of the optimal code is

$$b(n, 3) > 3^{1-(\log_2 2m)}g(n, 3), \delta(n) = 2.$$

We will now consider the general case of arbitrary $q = p_{.}^{V}$ 3

 $^{2 \}quad x_{u} = 0 \text{ for all } u > n$

³ p is an odd prime

Theorem 6 ($\vee > 1$). For any integer values n, satisfying the inequalities

$$\log_q(q-1)^{-1}q \leq \{\log_q n\} \leq 1/\nu,$$

signal systems with base q correcting single symmetric errors exist in which the efficiency of code subsets b(n,q) satisfies the relation

$$b(n, q) = p^{v-1}g(n, q)$$

Theorem 7 (v = 1). For any integer values n satisfying the inequalities

$$\log_p(p-1)^{-1}p \leq \{\log_p n\} \leq \log_p p/\omega(n), \quad 2 \leq \omega(n) < 4,$$

signal systems with base p exist in which the efficiency of code subsets b(n,p) satisfies the inequality

$$b(n, p) > p^{1-(\log_p n\omega(n))}g(n, p)$$

This result can be stated in somewhat stronger form.

Theorem 8. Values of n exist such that codes with base p correcting single symmetric errors can be constructed in which the efficiency of code subsets satisfies the inequality

$$b(n, p) > p^{1-(\log_p 2n)}g(n, p)$$
.

2. Bose-Chandhuri-Hocquenghem (B. C. H.) codes. B. C. H. codes are a generalization of Hamming codes to the multi-error correcting case, and like Hamming codes, they belong to a class of codes for which a construction method exists. Therefore, according to our concept, new more efficient codes correcting multiple errors can be constructed on the basis of these codes.

Let us denote by $G_t(n,q)$ the efficiency of optimal B. C. H. codes of length n with base q correcting t symmetric errors.

The case q = 2, t = 2.

162

Theorem 9. For any integers n ($\{\log_2 n\} < \log_2(2/\sqrt{3})\}$) and q=2, codes correcting binary symmetric errors can be constructed whose efficiency $B_2(n,2)$ is strictly greater than the efficiency of the corresponding B. C. H. codes and satisfies the inequality

$$B_2(n,2) > 4^{1-(\log_2 n\sqrt{3})} G_2(n,2).$$
 (1)

Thus, for example, the following theorem holds.

Theorem 10. Let p be a prime of the form 6k-1 satisfying the inequality $\{\log_2 p\} < \log_2(2/\sqrt{3})$.

Then for any integers α , β , γ u and n ($\epsilon_2(p) \le n \le p$), the set of all possible solutions of the system of congruences

$$\sum_{u=1}^n ux_u \equiv \alpha \pmod{p},$$

$$\sum_{u=1}^n u^2 x_u = \beta \; (\bmod \; p),$$

$$\sum_{n=1}^{n} x_n = \gamma \pmod{3},$$

where $x_u = 0$ or 1, is a code of length n with base 2 correcting two symmetric errors. Among these codes, the efficiency of the optimal codes is strictly greater than the efficiency of analogous B. C. H. codes, and it satisfies inequality 1.

The case q = 3, t = 2.

Theorem 11. Let n be any integer satisfying the inequality $\{\log_3 n\} < \log_3 3/2$ and g be a primitive element of the Galois field GF $(\epsilon_3(3n))$.

Then the set of all possible solutions of the equations

163

$$\sum_{u=1}^{n} g^{-u} x_{u} = a, \quad \sum_{u=1}^{n} g^{u} x_{u} = b,$$

where $x_u \notin GF(3)$ and a, b $\notin GF(\epsilon_3(3n))$, is a code of length n with

base 3, correcting two symmetric errors, whose efficiency $B_2(n,3)$ is $B_2(n,3) = (\sqrt{3})^{1-(-1)} {}^{[\log_2 n]} G_2(n,3)$.

The case q = 4, t = 2.

Theorem 12. Suppose an arbitrary natural number n > 5 and a primitive element g of the Galois field $GF(\epsilon_4(12n))$, are given.

Then the set of all possible solutions of the equations

$$\sum_{u=1}^{n} g^{-u} x_{u} = a, \quad \sum_{u=1}^{n} g^{u} x_{u} = b,$$

where $x_u \notin GF(x)$ and $a,b,\notin GF(\mathcal{E}_4(12n))$ is a code of length n with base 4 correcting two symmetric errors, whose efficiency strictly exceeds the efficiency of the analogous B. C. H. code and satisfies the relation $B_2(n,4) = 4^{h(n)}G_2(n,4)$.

where $h(n) = [\log_4 n] - (-1)^{[4\epsilon_4(n)/3n]}$

However, on the basis of only the Bose-Chaudhuri-Hocquenghem results, one can also prove the following theorem.

Case of arbitrary p.

Theorem 13. For any arbitrary values $t \ge 2$, $p \ge 2t$ and $n \in \{\log_p n\} \le \log_p(p/\sqrt{(n)}), 1 \le \sqrt{(n)} \le 2\}$, codes of length n with base p, correcting t symmetric errors can be constructed, whose efficiency $B_t(n,p)$ is strictly greater than the efficiency of the corresponding B. C. H. codes correcting the same number of errors, and whose efficiency satisfies the inequality

$$B_t(n, p) > (p^{t-(\log_p v(n)n)})^{2t-1}G_t(n, p).$$

Note that when n approaches infinity, the quantity v(n) takes on the value 1 infinitely many times. Hence the following theorem holds.

Theorem 14. For fixed t and $p \ge 2t$, the inequality $B_t(n, p) > (p^{1-(\log_p n)})^{2t-1}G_t(n, p).$

is satisfied infinitely many times as n approaches infinity.

REFERENCES

Peterson, W. Kody, ispravlyayushchiye oshibki (Error-correcting codes). Mir Press, Moscow, 1964.

COPYRIGHT: Izdatel'stvo Nauka, DOKLADY AKADEMII NAUK SSSR 1977

1. Report No. NASA TM-75204	2. Government Accession No.	3. Recipient's Catalog No.	
4. Title and Subtitle POSSIBILITIES FOR IMPROVING THE EFFICIENCY OF LINEAR ERROR-CORRECTING CODES		5. Report Date November 1977 6. Performing Organization Code	
7. Author(s)		8. Performing Organization Report N	10.
R. R. Varshamov	of a		
	iences of the Armana. an State University	10. Work Unit No.	
9. Performing Organization Name and Leo Kanner Associa		11. Contract or Grant No. NASW -2790	
Redwood City, Cali		13. Type of Report and Period Covered Translation	be
12. Sponsoring Agency Name and Addr National Aeronauti	ics and Space Adminis	14 Sponsoring Agency Code	
tration, Washingto	on, D.C. 20546	14. Sponsoring Agency Code	
SSR, vol. 223, No. 16. Abstract Results are present	truyushchikh kodov," 1, 1975, pp. 60-63. Ited in the form of 1 cons under which it i	4 theorems specifyin	
struct new more ef	ficient single- and sting ones when the	multi-error correct-	
17. Key Words (Selected by Author(s))	Soviet work NTIS under 1 Soviet copyr	is reproduced and sold bicense from VAAP, the ight agency. No further ermitted without	
17. Key Words (Selected by Author(s)) 19. Security Classif. (of this report)	Soviet work NTIS under 1 Soviet copyr copying is p	is reproduced and sold bicense from VAAP, the ight agency. No further ermitted without	