## **EFFECTS** OF **NEUTRAL GAS** RELEASES ON **ELECTRON BEAM INJECTION** FROM **ELECTRICALLY TETHERED** SPACECRAFT

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**Abstract.** The presence of **high neutral** densities at low **altitudes** and/or during thruster firings is known to modify the spacecraft potential during **active** electron beam injection. Twodimensional (three velocity) particle simulations are used to investigate the ionization processes including the neutral density required, the modification of the **spacecraft** potential, beam profile **and spatial** distribution of the return current into the **spacecraft.** Three processes **are** identified (i) beam-induced **ionization,** (ii) vehicle-induced ionization and (iii) beam plasma discharge. Only in the first two cases does the beam propagate away with little distortion.

## **Intro** duction

During active injection of **electron** beams from **spacecraft in** low earth orbit, thepresence of high neutral densities at low **altitudes** and/or during thruster firings, can modify the **spacecraft** charging, beam propagation and induced wave emissions **(e.g.,** Gurnett et al., 1988; Gilchrist et al., 1989; Winckler et **al.,** 1989). The presence of such neutrals is important because they can be partially ionized, providing enhanced plasma and thereby enhanced return currents **and** better neutralization of the spacecraft charge. The ionization can be produced by **a** variety of processes (e.g. **Linson,** 1982; Winglee, **1989), including** (i) beam-induced **ionization** where the beam **produces** the ionization directly, (ii) **vehicle-induced** ionization where the **spacecraft** is at **sufficiently** high potentials to accelerate the return current electrons to ionizing energies, and (iii) beam-plasma discharge where there is **rf** breakdown of the neutrals **via** electrons **accelerated** by high frequency electric fields associated with a beam plasma instability.

Observations of the change in the **spacecraft** potential associated with thruster firings during the recent **CHARGE** 2 mission were reported by **Gilchrist** et al. (1989). In this experiment, a detachable payload (hereafter daughter) was ejected from the main beam-emitting payload (hereafter mother). The daughter was electrically connected to the mother through a conducting tether wire, the aim being to generate controlled VLF emissions. Thruster firings from both the mother **and** daughter were **seen** to reduce the **spacecraft** potential, with the current collected by **the** daughter increasing during daughter thruster firings while decreasing during mother thruster firings; the **spacecraft** potential was **smallest** for mother thruster firings.

The purpose of this paper is to investigate the effects of ionization of neutrals during thruster firings under **similar** conditions to **CHARGE** 2 with the aim of identifying (i) the dominant processes responsible for the ionization, (ii) required neutral density **around** the mother or daughter to prevent **strong** charging, (iii) the **spatial** distribution of the currents **into** the **spacecraft** and (iv) the changes in the beam properties as the neutral density is increased.

#### **Simulation** Model

In order to **investigate**the **ionization**of the neutrals and the **change in** the **spacecraft**potential**self-consistently**with the **dynamics** of the beam-plasma **interaction,**two-dimensional (three velocity) relativistic electromagnetic particle simulations with collisional processes included were used (cf.**Winglee,** 1989). A **schematic** of the **simulation model** is**shown** in Figure 1. The **mother** and daughter payloads are **indicated** by the black rectanglesand are of **equal size**of dimensions  $4\Delta \times 16\Delta$ , with the system size being  $512\Delta \times 128\Delta$ , where  $\Delta$  is a plasma Debye length (i.e.,  $v_{Te}/\omega_{pe}$ ) which is of the order of 10 cm in the present case. The two payloads are assumed to be electrically connected with their potentials being kept equal. The beam is injected at 45 degrees to the ambient magnetic field (which is in the x direction) with a parallel velocity 10 times the ambient electron thermal velocity (i.e.,  $v_{xb} = 10v_{T_e}$ ) and a beam width of  $2\Delta$ . This beam width is the **minimum** beam width that **can** be **easilysimulated** and representssome initial**expansion** of the beam within the first few tens of centimeters, due to the opening or cone angle of the gun and/or to beam-plasma interactions.As a resultof the largebeam width assumed **in** the **simulations,**the beam density relative to the ambient density is assumed to be 4 with the total beam current being **similar**to the maximum beam **current emitted** during CHARGE **2,** i.e.**about** 10OmA. The **ratio** of the electron cyclotron frequency  $\Omega_e/\omega_{pe}$  is taken to be 2, similar to the plasma conditions during CHARGE **2.** These parameters are also **similar**to those used in previous **simulations** by **Winglee** and Pritchett(1988) and **Winglee** (1989).





The effects of neutrals and their ionization are incorporated into the simulations as follows. A region of neutrals with a **given** density is **specified**on the **simulation grid.** These neutrals (assumed to be molecular nitrogen) **can** be placed around the **mother** or daughter. A dense neutral region is also placed at near the right hand boundary representing the lower ionosphere. The ionization**cross-section**as given by **Banks** and Kockarts (1973) has the **feature**that itincreases rapidly once the **electronenergy** is above a few tens of **eV,** reaching a maximum at about **100** eV and then decreasing approximately inversely proportional to v. For numerical simplicity, the rise in cross-section at low energies is approximated by a sharp cutoff at 100 eV (i.e.,  $v \simeq 3.3 v_{Te}$ ). This cutoff **excludes collisional** processes **by nonaccelerated ambient plasma electrons which are assumed** to be **in equilibrium with the ambient neutrals. This cutoff** has **the effect of underestimating the number of** low **energy electrons** produced **by ionizing processes. This approximation** is **not restrictive** since **these low energy electrons** have a large **scattering cross-section which reduces their mobility and** hence their **contributions to any return currents.**

All **electrons with** higher **energies above 100 eV are then** binned in **levels of speeds relative to** 3.3vr,, **with the cross-section** decreasing **inversely with bin number. The required number of (primary) electrons determined from the collision cross-section** is **then** chosen **randomly** from **each** bin. **The velocity of the** primary is **reduced by about** a **third and a secondary electron and** ion are **added** to **the system with the secondary electron** having **a velocity one third of the** initial **velocity of the** primary with a differential **scattering** cross-section **as** given **by Mott** and **Massey (1965).**

#### **Beam Injection into Collisionless Plasma**

In **the** absence **of** any **neutrals, the** mother and daughter payloads are **subject to strong** charging and **the beam** is **strongly distorted by** the formation **of** a stagnation **region or virtual cathode (cf. Winglee** and **Pritchett,** 1988). **The** charging **of the spacecraft is illustrated** in **Figure 2 which shows the time** histories **of (a) the spacecraft potential** and **(b)** the **relative current collected by** the mother and daughter payloads. The  $v_x - x$  phase space of the beam electrons at five different **times during the simulation** are **shown** in Figure **3.**



Figure 2. Time histories of (a) the spacecraft potential and (b) the relative current collected by the mother and daughter**payloadsforinjection**into**a collisionless plasma.**At latetime the **local** plasma density becomes depleted, leading to a decrease in the ambient plasma return current **collected,particularly**by **the** daughter,**and** the**chargingof**the**spacecraft**up tothe **parallel**beam **energy.**

At early times before the ambient plasma has had sufficient time to respond (i.e.,  $\Omega_{\epsilon}t \lesssim 30$ ), the return**current**is**much** smallerthan the **emitted**beam **current**so that the **spacecraft**rapidly



Figure 3. The  $v_x$  - x phase space of the beam electrons for five times during the simulation shown in Figure 2. Stagnation regions or virtual cathodes, close in to the spacecraft, are present at both early and late times.

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charges up to about 0.3 of the parallel beam energy. At this stage most of the return current into **the spacecraft** is collected by **the mother (Figure 2b) and consists of** beam **electrons reflected (i.e.** *u* **<** 0) by **the** formation **of** a **stagnation region (Figure 3a).** At intermediate **times (i.e.,**  $30 \lesssim \Omega_{\epsilon} t \lesssim 120$ , the plasma is able to respond to the beam injection and supply a return current **to match the** beam current, **with mother** and daughter **collecting** comparable amounts **of current** and with little change in the spacecraft potential. The beam phases spaces in Figures 3b and c **show that while the** bulk **of the** beam is able **to propagate away** from **the spacecraft, their average energy** is **reduced** by **more than** 33\_ **and they** are **dispersed in velocity** and **coordinate space.**

Due **to the** inflow **of plasma** into **the spacecraft, the plasma** becomes **locally** depleted. **As** a result, the plasma return current decreases at later times (i.e.,  $\Omega_{\epsilon}t \gtrsim 120$ ) and the spacecraft **potential** increases **until** it approaches **the parallel** beam **energy. At this stage, the mother collects** most **of the return current which** again comprises **of primarily** beam **electrons reflected within** a **stagnation region (Figures 3e).**

### **Beam-Induced Ionization**

**If neutrals are injected into the beam region (e.g. during a thruster firing), enhanced return currents can be produced** by **beam-induced ionization, leading to** a **reduction in the spacecraft** charging and **beam distortion. The effects of** this **enhanced return current is illustrated in Figures** 4 and 5 which show the same quantities as in Figures 2 and 3 except that a neutral cloud has been included about the mother with a density  $5 \times 10^{11}$  cm<sup>-3</sup> (and collision frequency  $\nu_n = 0.01 \Omega_e$ ), a **width in** *y* **of 46A** and **extending 50A behind the spacecraft** and **100A forward of the spacecraft. This neutral density is** about **the minimum required to prevent the** spacecraft from **charging** to the **beam parallel energy, with the collision period (i.e., 1/u\_) being comparable to the the spacecraft charging time in the collisionless case (cf. Winglee, 1989)..**



**Figure 4.** As **in Figure 2 except that** a **neutral** cloud around the **mother** payload has been added. **The** spacecraft **potential** on **average is reduced** by one **half to one third** and the **return current** becomes **localized to** the **mother.**



Figure 5. The parallel beam phase space for four times during the simulation shown in Figure 4. Due to the enhanced return current associated with the ionization of the neutrals, the beam is able to propagate outwards with little distortion until it reaches the neutral cloud boundary at  $x/\Delta = 200.$ 

**The introduction of** the neutrals **around** the **mother** has the **following effects:**

- **(i) The ionization is predominantly due to direct ionization** by **the** beam **particles. As** a **result, the return current becomes localized to the near vicinity of the beam region. This effect is** seen **in Figure 4b where the mother on average collects the** bulk **of the return current into the spacecraft.**
- (ii) The average **spacecraft** potential as **seen** in Figure 4a is reduced by **about** one third to onehalf of that for the collisionless case in Figure 2a (larger reductions are produced if higher neutral densities are assumed).
- (iii) A well defined beam is **seen** in the phase **spaces** in Figure 5 to propagate outward with little distortion until it reaches the neutral cloud boundary at  $x/\Delta = 200$  where strong beam distortion again occurs. This beam distortion is due to the fact that the plasma outside the **neutral** cloud cannot **support** the beam current as in the collisionless case and large ambipolar electric fields develop which decelerate the beam electrons **and accelerates** the ions outwards.

The change in potential and localization of the return current to the mother are consistent with the observations for mother-thruster firings during CHARGE 2.

## **Beam Plasma Discharge**

In **the previous** example, the presence of **high** density **neutrals** in the beam region allows the enhancement of the return current which is able to neutralize the **spacecraft** charge **and** allow the beam to propagate with little distortion. Any instabilities in the beam appear relatively weak and there is **no** rf breakdown of the neutrals by high frequency instabilities associated with the beam plasma interaction. In other words, the above interaction does not represent beam plasma discharge (BPD). This lack of BPD appears to be due to the beam width being narrow compared with a plasma Debye length which restricts the number of modes than can go **unstable** in the beam region.

However, wider beams are **not subject** to this restriction and BPD can be excited. As an example, Figures 6 and 7 **show** the **spacecraft** potential and parallel beam phase **space** for the **same parameters** as **in Figures 4** and **5 except** that **the** beam **is twice** as **wide** and **the neutral** density has been increased by a factor of 2 to compensate for the increased charging rate. It is **seen** in Figure 6 that the **spacecraft** potential averaged over the duration of the **simulation** for the wider beam is about twice as high as that for the narrow beam. Superimposed on the overall increase in **spacecraft** potential are enhanced high frequency oscillations associated with the growth of instabilities made possible by the increased beam width.

These enhanced high frequency oscillations which have a frequency near the ambient plasma frequency are associated with the beam-plasma interaction and can lead to beam distortion. This is **seen** in Figure 7 where there is enhanced **short-scale** turbulence in the beam phase **space (par**ticularly at late time as in Figures 7c **and** 7d). As a result of this turbulence there is trapping of electrons (as **evidenced** by the **vortices in** the phase spaces) leading to local beam plasma discharge **and** dispersion of the beam electrons in velocity **space.** This beam dispersion or distortion occurs closer in toward the **spacecraft** for the wide beam case **(e.g.** compare Figures 5d and 7d).

# **Spacecraft Potential with BPD**



**Figure 6. The spacecraft potential for** injection **of** beams **with widths** of **2A (denoted** narrow) and **4A (denoted** wide). **The** neutral **density for the** wide **beam** case is **twice** as **large** as **the** wide **beam** case **in order to** compensate **for** the higher charging **rate. The** potential **for the wide beam** case is **on** average twice as high and subject **to large-amplitude** high-frequency **oscillations** which can produce local **beam** plasma **discharge.**

## **Vehicle-Induced Ionization**

**During certain**thruster**firings,**neutralsneed not enter the **beam region.** In the present application, this occurs during thruster firings from the daughter. The ionization in this case is produced by return current electrons being accelerated by the spacecraft potential to energies greater than a few tens of volts. This vehicle-induced ionization tends to be less efficient than the beam-induced ionizationbecause the highest**energy** return **currentelectrons**arethose **close**in to the spacecraft and moving toward it so that their chance of multiple ionizing collisions before impacting on the spacecraft is small. As a result, higher neutral densities are required to produce the same change in spacecraft potential.

The effects of vehicle-induced ionization on the spacecraft potential is illustrated in Figure **8** which **shows** the time historyofthe spacecraftpotential**for**the same **size**neutral**cloud** as inthe previous cases except that it is centered around the daughter rather than the mother. It is seen that,**for**the lowest neutral**density,**the spacecraft**charges**up to the beam **energy** whereas, **for** beam-induced ionization, this density was sufficient to prevent strong spacecraft charging; neutral densitiesnearly**eight**times higher **are required**beforethe spacecraftpotential**can** be **maintained** at levels**significantlysmaller**than the beam **energy.**



Figure 7. The  $v_x - x$  phase space corresponding the wide beam case in Figure 6. The enhanced high frequency oscillations seen in the spacecraft potential appear as short scale vortices in the phase space, which cause the beam to become dispersed in velocity space, and beam plasma discharge can occur in association with these vortices.



**Figure 8. As in Figure 4 except that the** neutrals **are around the** daughter **payload** instead **of** the mother. Three different neutral densities are considered with their collision frequency  $\nu_n$ corresponding to  $0.01\Omega_e$ ,  $0.02\Omega_e$  and  $0.08\Omega_e$ . The lowest neutral density indicated is the same as in **Figure 4 which was sufficient to reduce the spacecraft potential to average levels much smaller than the parallel beam energy. Due to the** lower **efficiency of vehicle-induced ionization, neutral densities nearly 8 times higher are required to produce the same change** in **potential.**

**The enhancement of the return current appears as an** increase **in the current** *collected* by **the daughter and a decrease** in **that collected** by **the mother** (Figure **8a). Both the change** in **spacecraft potential and the relative amount of current collected** by **the mother and** daughter **payloads are consistent with the observations from CHARGE 2** during daughter-thruster firings (Gilchrist **et** al., **1982). In particular while thruster** firings **from the daughter were observed to reduce the spacecraft potential, the minimum potential was still higher than that** during **mother thruster** firings. **This higher potential arises from the requirement that** it be **sufficiently high to accelerate electrons to** ionizing **energies and the efficiency for vehicle-induced** ionization associated **with** daughter-thruster **firings is smaller for than** beam-induced **ionization** associated **with mother-thruster firings.**

**The evolution of the** beam **phase space during** beam injection **at the** highest **neutral density** in **Figure 8** is **shown** in **Figure 9. Similar to the case of beam-induced** ionization **the beam** is **able to propagate** into **the plasma with** little distortion **until a** distance **along the magnetic field equivalent** to the end of the neutral cloud (i.e.,  $x/\Delta \simeq 200$ ). At this point the ambient plasma is unable to **support the beam current** as in **the collisionless case** and **large ambipolar electric fields** develop **which** decelerates **the beam electrons and accelerates ambient plasma ions outwards.**

#### **Summary**

**In summary, the effects of neutral** gas **releases on active beam injection has been studied through two-dimensional electromagnetic simulations with collisional processes** included. Neutrals



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Figure 9. The beam phase space for the high neutral density case in Figure 8.

**are important since**their**partialionizationcan increase**the local**plasma density and provide** enhanced return currents to the spacecraft, thereby reducing the amount of spacecraft charging and associated distortion of the beam. It has been shown that the ionization can be produced by **(i)**directbeam-induced **ionization,(ii)**vehicleinduced **ionizationand (iii)**beam-plasma discharge.

In the **cases** where beam-induced or vehicle-inducedionization**are** providing the return current into the spacecraft, the beam is able to propagate away from the spacecraft with little distortionuntilit**reaches**the neutral**cloud**boundary atwhich pointstrong**ambipolar electricfields** develop, causing beam distortion. Vehicle-induced ionization, however, requires high densities to produce the **same** drop in**potential**but **it**has the **advantage** that the physicsof the **actual**beamplasma interaction is not modified by the presence of a collisional plasma in the beam region. This latter effect could be important for active experiments where the electron beam is used to **investigate**beam-plasma **interactionsand/or** to produce **controlled**wave generation.

Another advantage of using vehicle-induced ionization to neutralize the spacecraft charge is that beam-plasma **discharge**willnot be **excited.**BPD tends to be **excited**when there**are** neutrals in the beam region**and** the beam **is**unstableto wave modes which **can** trap **electrons**in the beam region and produce enhanced ionization. This trapping tends to preferentially occur for wide beams associated with injection by guns with a large opening angle and/or injection into weak magnetic **fields**where the beam **can expand** through the beam-plasma interaction.

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