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Polymeric Routes to Silicon Carbide and Silicon Oxycarbide CMC

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SUMMARY

An overview of two approaches to the formation of ceramic composite matrices from polymeric precursors is presented. Copolymerization of alkyl- and alkenylsilanes ($RSiH_3$) represents a new precursor system for the production of β-SiC on pyrolysis, with copolymer composition controlling polymer structure, char yield, and ceramic stoichiometry and morphology. Polysilsesquioxanes which are synthesized readily and can be handled in air serve as precursors to Si-C-O ceramics. Copolymers of phenyl and methyl silsesquioxanes display rheological properties favorable for composite fabrication; these can be tailored by control of pH, water/methoxy ratio and copolymer composition. Composites obtained from these utilize a carbon coated, eight harness satin weave Nicalon cloth reinforcement. The material exhibits nonlinear stress-strain behavior in tension.

INTRODUCTION

The use of polymeric precursors to ceramics enables the fabrication of complex-shaped ceramic matrix composites (CMCs) using low processing temperatures and technology currently available for the fabrication of resin matrix composites. Several polymeric systems are under investigation at NASA Lewis Research Center as candidates for CMC matrix precursors. Two of these will be reviewed here: the polymerization of alkyl- and alkenylsilanes as precursors to nonoxide Si-C materials, including crystalline SiC (Part I), and the synthesis of polysilsesquioxanes which yield Si-C-O ceramics on pyrolysis (Part II). The silane polymerization and subsequent composite fabrication requires handling under inert conditions. By contrast, the polysilsequioxanes are handled readily in air, making them preferable for use in developing fabrication techniques. In both polymer systems, copolymerization is used to control polymer properties as well as ceramic stoichiometry.

The Si-C-O ceramic would be of benefit as a CMC matrix for use in the intermediate (900-1200 °C) temperature range, while the route to SiC would benefit high (>1200 °C) temperature applications.

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Part I: POLYMERIZATION AND PYROLYSIS OF ALKYL- AND ALKENYLSILANES

The polymerization of alkylsilanes utilizing a dimethyltitanocene catalyst has been demonstrated by Harrod and coworkers (refs. 1 to 5) to yield a polysilane (-Si-Si- backbone) with the evolution of hydrogen. However, when an unsaturated carbon bond is present in the monomer, as is the case in vinylsilane, polymerization using the same titanocene catalyst produces a mixture of polysilane and polycarbosilane (-Si-C- backbone), with the polycarbosilane as the dominant product (refs. 6 and 7). Also, hydrogen addition across the vinyl double bond occurs during polymerization. Three polymerization mechanisms are proposed to explain this result: metathesis, dehydrogenative coupling and hydrosilation (fig. 1), with hydrosilation appearing to be the dominant reaction path.

A number of different alkyl- and alkenylsilanes have been polymerized, including methyl-, ethyl-, allyl- and vinylsilanes. We also have copolymerized methyl- and vinylsilane using several mole ratios of the two monomers, and compared the resulting ceramics with those produced from blends of the homopolymers. The polymer backbone structures, determined by ^{29}Si NMR, char yield on pyrolysis in argon to 1400 °C at 10°/min, with the final temperature maintained for 30 min, and ceramic morphology, obtained by x-ray diffraction, are summarized in table I.

Polymerization of either ethyl- or methylsilane produces polysilane exclusively. Allylsilane polymerization yields a mixture of polysilane and polycarbosilanes in a ratio of 80:20. By contrast, vinylsilane produces more of the polycarbosilane structure. Comparison of char yields for the polymers of ethyl-, allyl-, vinyl- and methylsilane shows char yield to increase with carbosilane backbone structure, with the exception of polymethylsilane which, although exclusively a polysilane, has the highest char yield of the homopolymers. One possible explanation is that the methyl group inserts in the backbone to form a polycarbosilane during pyrolysis, as has been demonstrated by Yajima (ref. 8) to occur on pyrolysis of polydimethylsilane.

Copolymerization of methyl- and vinylsilane produced polymers with mixed polysilane and polycarbosilane backbones, with the percentage of polysilane increasing with methyl/vinyl ratio. Char yields ranged from 61 to 73 percent, and increased with methyl content beyond the 68 percent attained from the polymerization and pyrolysis of methylsilane alone. Blends of methyl + ethyl, ethyl + vinyl and methyl + vinyl derived polymers exhibited backbone structures in ratios that would be predicted based upon the rule of mixtures. Of these, the methyl + vinyl polymer blend showed the highest char yield, 71 to 72 percent. However, unlike the methyl/ vinyl copolymers, the blend was insoluble, and thus not suitable for composite fabrication.

The ethylsilane derived ceramic was x-ray amorphous, while the allyl- and vinylsilane derived materials, though predominantly amorphous, exhibited minor phases of β-SiC. The methyl/vinyl copolymers were characterized by increasing degrees of crystallinity and crystallite size with increasing methyl content. The largest β-SiC crystallites (140 A) were obtained from the methyl polymer. This polymer also produced crystalline Si as a minor phase. The presence of free Si is considered to be undesirable in a CMC matrix, as it might react with fibers during processing. It also could lead to creep, as well as enhanced oxidation in the final composite. The methyl + ethyl polymer blend also showed a minor Si phase. All blends were characterized by the presence of β-SiC.

Elemental analysis of the ceramic products using combustion techniques was employed for the determination of Si and C. We consider the Si determination to be reliable. However, carbon determination is complicated by the formation of SiO_2 on combustion in air, which leads to underestimation, and dependence of the analysis on relative surface area (i.e., the amount of carbon found increases as particle size is decreased by grinding). Use of higher combustion temperatures (induction furnace method) typically increases C values, but does not eliminate the problem. Some of the polymers also exhibited considerable moisture sensitivity, particularly the polymer of ethylsilane, which would lead to oxygen incorporation and values of Si plus C of considerably less than 100 percent. Moisture sensitivity was observed to decrease as polycarbosilane formation increased.

Results of elemental analyses are summarized in table II. Stoichiometric SiC is 70 percent Si, 30 percent C by weight. On the basis of the Si determination, the methylsilane polymer and methyl + ethyl polysilane blends are closest to stoichiometric SiC; however, both also contain free Si. The methyl/vinyl copolymers and methyl + vinyl polymer blends produce ceramics containing 63-66 percent Si by weight, and thus would be candidates as precursors to SiC. Of these, the 75 methyl/25 vinyl copolymer is the precursor of choice for formation of crystalline SiC, based on a combination of solubility, ceramic stoichiometry, crystallite size and char yield (see tables I and II). The vinylsilane polymer also might be considered as a candidate for producing a carbon-rich, amorphous ceramic.

Part I: CONCLUSIONS

The present work demonstrates that dimethyltitanocene can be as a catalyst used to polymerize alkenylsilanes, in addition to its use in the polymerization of alkylsilanes, as described by Harrod and coworkers (refs 1 to 5). Photoinitiation (ref. 7) permits use of low (mM) concentrations of catalyst. Polymerization of alkylsilanes is found to produce polysilanes, whereas that of alkenylsilanes gives rise to a mixture of polysilane and polycarbosilane backbone structures.

On the basis of the results obtained here, the methyl/vinyl copolymers have been selected as candidates for composite fabrication based upon high char yield, high SiC yield, and the potential for tailoring copolymer composition to obtain either stoichiometric SiC or carbon-rich matrices. The vinylsilane derived polymers also are being investigated for composite fabrication, and would be expected to produce a carbon-rich matrix.

Future work will be focused on establishing methods for composite fabrication and controlling ceramic stoichiometry and morphology through control of copolymer composition and backbone structure. Work also is ongoing to establish an understanding of the polymerization mechanisms using radiolabelling techniques, so as to better tailor polymer structure to optimize the conversion to ceramic.

Many of the polymers produced have exhibited low viscosities. It therefore might be desirable to develop crosslinking groups to control polymer rheology during the composite fabrication process. Disilylethane is one such crosslinking group which has been synthesized successfully; its use in optimizing rheology is under study.

Part II: POLYMERIZATION AND PYROLYSIS OF POLYSILSESQUIOXANES

Homo- and copolymers of phenyl- and methylsilsesquioxanes were synthesized by
hydrolysis and condensation of their trimethoxysilane monomers in acid solution
(ref. 9).   A schematic of the reactions involved is shown in figure 2.   Synthesis
variables included pH, water to methoxy ratio and phenyl to methyl monomer ratio.

The influence of synthesis conditions on polymer structure was determine by
^{29}Si NMR, as described in detail elsewhere (ref. 9).   For a polymer having the
structure $RSi(O-)_x(OH)_{3-x}$, relative quantities of mono- (x = 1), di- (x = 2) and
trifunctional (x = 3) Si atoms can be established by peak integration.   Occurrence of
difunctional silicon (cyclic or linear polymer formation) was observed to increase
with water to methoxy ratio, and was accompanied by a decrease in branching, as shown
in figure 3.   At low water/methoxy values (<1), gelation occurs, while at higher
values (8 to 16) a polymer which is more linear, and better suited to composite
fabrication, could be obtained.

Branching (or crosslinking) also was found to decrease on increasing pH from 2
to 3 (fig. 4), as evidenced by a decrase in the number of trifunctional groups.   The
polymer formed at pH 2 was presumed to have a high cyclic content, as evidenced by
the absence of many monofunctional Si atoms.   The pH 3 material was lowest in viscos-
ity of the polymers obtained over a pH range of 2 to 4, and was most suitable for
composite processing.

The influence of copolymer composition on polymer structure is illustrated in
figure 5.   Polymethylsilsesquioxane exhibits a high degree of crosslinking or cyclic
structure.   As phenyl groups are incorporated in the polymer, the number of trifunc-
tional Si atoms decreases, and the polymer becomes more linear, and less viscous.
The char yield also has been found to decrease with increasing phenyl content; this
may be explained, at least in part, by the change in backbone structure.   The incorp-
oration of phenyl groups in the polymer also produces an increase in the carbon con-
tent of the resulting ceramic char (fig. 6).   Therefore, it is expected that polymer
rheology, and hence composite fabrication, as well as ceramic matrix properties will
be influenced by copolymer composition.

Composites have been fabricated using a carbon coated, eight harness satin
Nicalon cloth and 50 phenyl/50 methyl (mole percent) silsesquioxane copolymer.   Si-C-
O ceramic matrix composites were obtained by pyrolysis, followed by several reimpreg-
nation/pyrolysis cycles.   Eight inch long, straight sided specimens tested in tension
exhibited non-linear stress-strain behavior typical of composites having woven rein-
forcement.   A representative stress-strain curve, and typical mechanical properties,
are shown in figure 7.   Tensile fracture surfaces (fig. 8) were characterized by bun-
dle fracture and some fiber pull-out.   At the highest magnification, fibers can be
observed to bridge matrix cracks perpendicular to the tensile axis.   Closer examina-
tion of individual fibers which have pulled out from a tow (fig. 9) shows that matrix
fragments are adherent to the fiber surface, indicating that further work is needed
to optimize the fiber coating and fiber/matrix interface.

Part II: CONCLUSIONS

The polysilsesquioxane copolymers provide a facile and inexpensive route to the
formation of Si-C-O matrices, proposed for use in the intermediate (900-1200 °C)
temperature range (ref. 10).   Copolymer composition, water/methoxy ratio and pH

control polymer structure, and hence rheological behavior and composite processing. Ceramic matrix composites have been fabricated successfully which exhibit nonbrittle fracture when tested in tension at room temperature.

Future work will center on optimizing fiber coatings and coating thickness, determination of the optimum matrix composition needed to maximize composite mechanical behavior and thermo-oxidative stability, and determination of tensile properties at elevated temperatures.

ACKNOWLEDGEMENTS

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TABLE I. – INFLUENCE OF POLYMER COMPOSITION ON POLYMER STRUCTURE, CHAR YIELD AND CERAMIC MORPHOLOGY

ID	Monomers, mole ratio	Polymer structure		Char yield, wt. %	XRD
		Silane	Carbosilane		
138	Ethyl	100	0	25	Amorphous
139	Allyl	80	20	35	Amorphous, β-SiC
137	Vinyl	20	80	59	Amorphous, β-SiC (minor)
154	35 Methyl/65 vinyl	50	50	61	Amorphous, 80 A β-SiC
156	55 Methyl/45 vinyl	70	30	61	Amorphous, 90 A β-SiC
155	75 Methyl/25 vinyl	85	15	73	95 A β-SiC
161	Methyl	100	0	68	140 A β-SiC, Si (minor)
172	Methyl + ethyl	100	0	51-52	β-SiC, Si (minor)
173	Ethyl + vinyl	60	40	45-50	β-SiC
174	Methyl + vinyl (insol.)	75	25	71-72	β-SiC

TABLE II. – CONVERSION OF POLYMER TO CERAMIC

ID	Polymer	Ceramic composition, weight percent[a]			Comments
		Si	C	[b]C	
138	Ethyl	60	27	--	--------------
139	Allyl	65	27	--	--------------
137	Vinyl	58	39	--	C rich
154	35 Methyl/65 vinyl	63	31	33	--------------
156	55 Methyl/45 vinyl	66	28	32	--------------
155	75 Methyl/25 vinyl	66	27	28	Choice for SiC
161	Methyl	69	25	26	Free Si
172	Methyl + ethyl	70	27	26	Free Si
173	Ethyl + vinyl	62	32	34	--------------
174	Methyl + vinyl	64	31	30	Insoluable

[a]Stoichiometric SiC is 70 Si, 30 C by weight.
[b]Induction furnace method.

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$\xrightarrow[\text{Metathesis}]{----}$ $H_3Si - CH = CH - SiH_3$ $\longrightarrow$ $[HSi - CH_2 - CH_2 - SiH]$

(and others)

$$\begin{array}{c} CH_2 = CH_3 \\ | \\ SiH_3 \end{array} \xrightarrow[\substack{\text{Dehydrogenative} \\ \text{coupling}}]{-------}\quad \begin{array}{c} CH_2CH_3 \\ | \\ Si - [Si] - Si \\ | \\ H \end{array}$$

$$\xrightarrow[\text{Hydrosilation*}]{--------}\quad \begin{array}{c} CH \\ | \\ H_3Si - [CH - SiH_2] - R \end{array}$$

* Major reaction path

Figure 1.—Proposed polymerization mechanisms for the polymerization of vinylsilane using a titanocene catalyst.

$$RSi(OCH_3)_3 \underset{\text{Esterification}}{\overset{\substack{\text{Hydrolysis} \\ H^+}}{\rightleftharpoons}} RSi(OH)_x(OCH_3)_{3-x} + xCH_3OH$$

R = Phenyl, or methyl

$$\equiv Si-OCH_3 + HO-Si \equiv \underset{\text{Alcoholysis}}{\overset{\text{Condensation}}{\rightleftharpoons}} \equiv Si-O-Si \equiv + CH_3OH$$

$$\equiv Si-OH + HO-Si \equiv \underset{\text{Hydrolysis}}{\overset{\text{Condensation}}{\rightleftharpoons}} \equiv Si-O-Si \equiv + H_2O$$

Variables: pH, $H_2O/-OCH_3$, phenyl/methyl

Figure 2.—Reactions involved in the synthesis of polysilsesquioxanes.

Figure 3.—Influence of water/methoxy ratio on polysilsesquioxane structure.

Figure 4.—Variation in polysilsesquioxane structure with pH at which the polymer was synthesized.
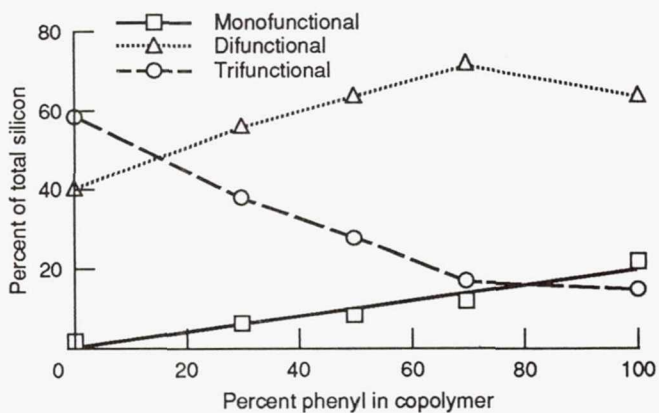
Figure 5.—Dependence of polysilsesquioxane structure on phenyl/methyl ratio of monomer reactants.
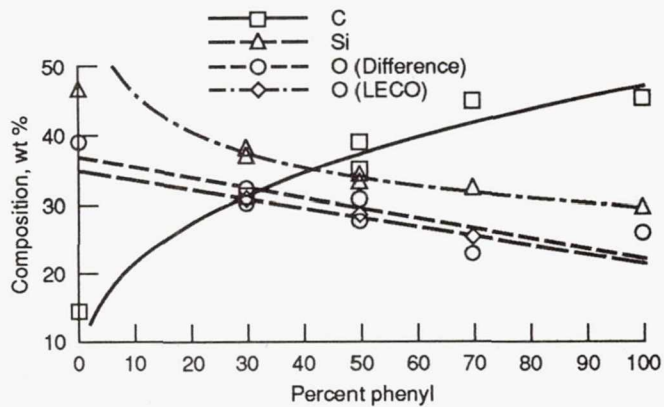
Figure 6.—Variation in ceramic composition with phenyl content of polysilsesquioxane precursor.
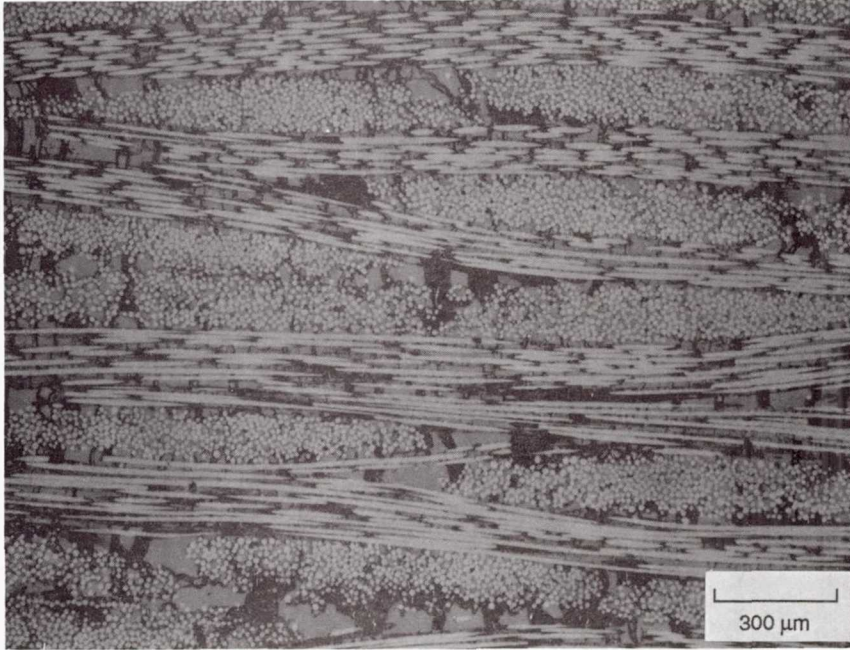
8

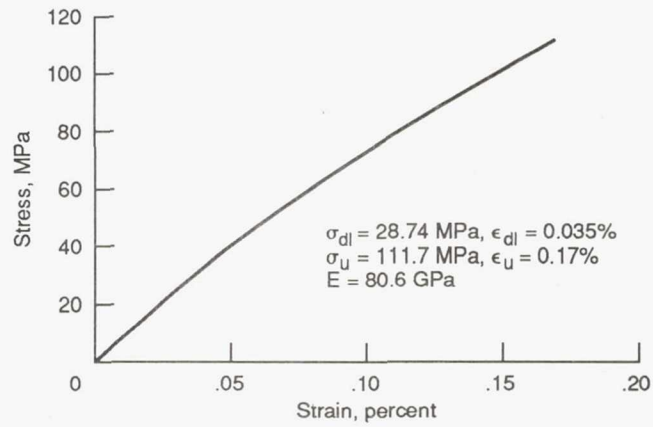Figure 7.—Polished cross-section of Nicalon/Si-C-O composite.

$\sigma_{dl} = 28.74$ MPa, $\epsilon_{dl} = 0.035\%$
$\sigma_u = 111.7$ MPa, $\epsilon_u = 0.17\%$
$E = 80.6$ GPa

Figure 8.—Typical room temperature tensile stress-strain
behavior of Nicalon/Si-C-O composite having eight
harness satin weave.
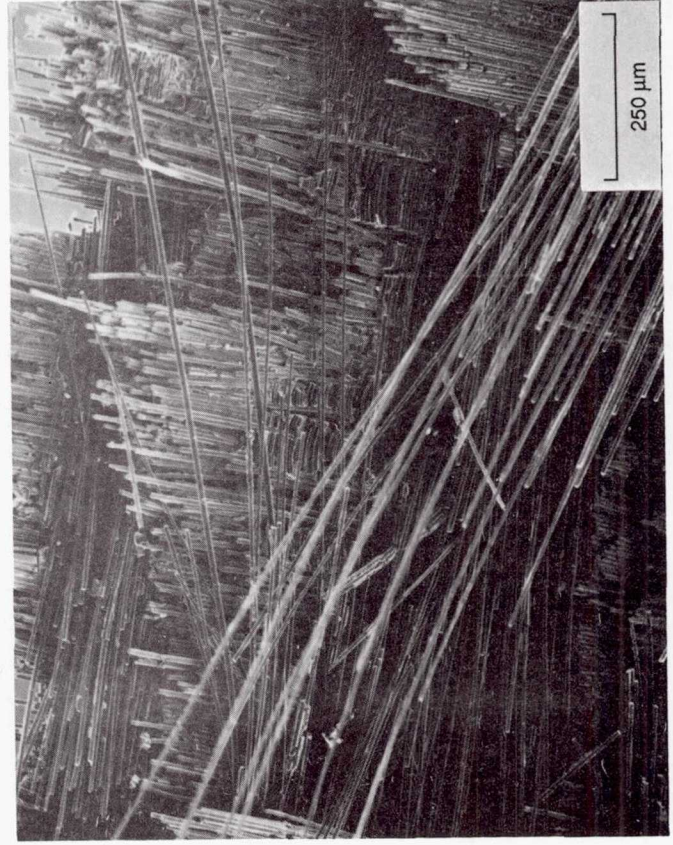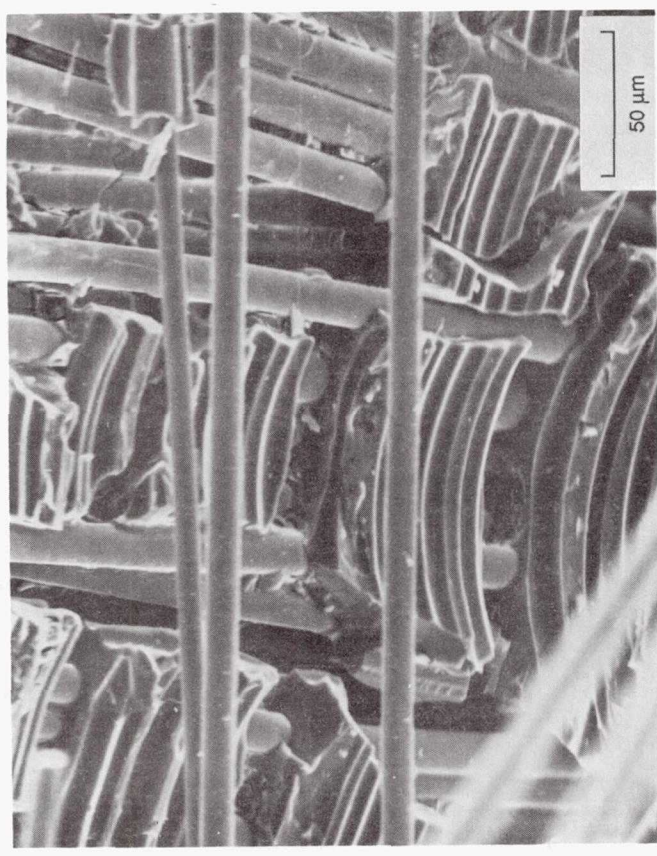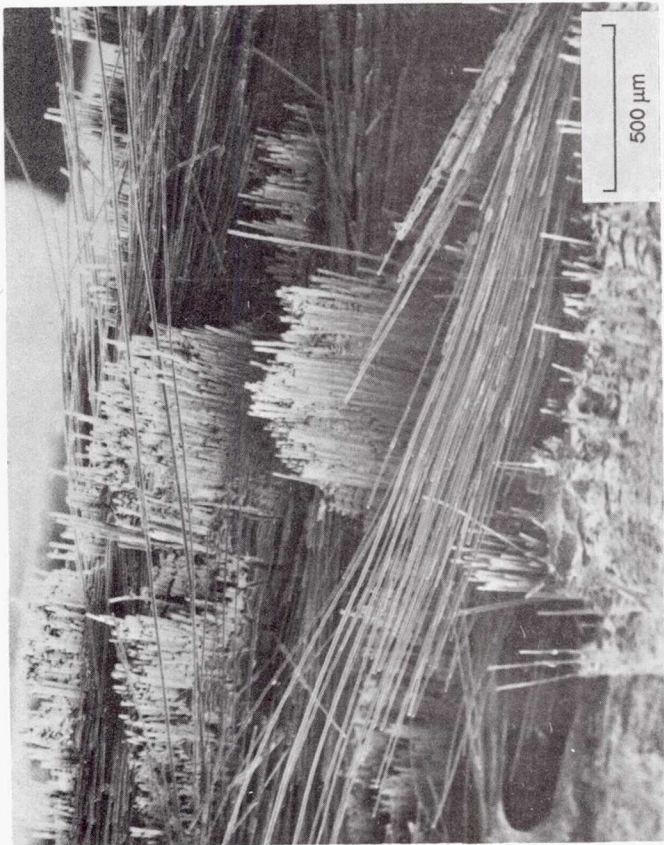
Figure 9.—Tensile fracture surface, eight harness satin weave Nicalon/Si-C-O composite.
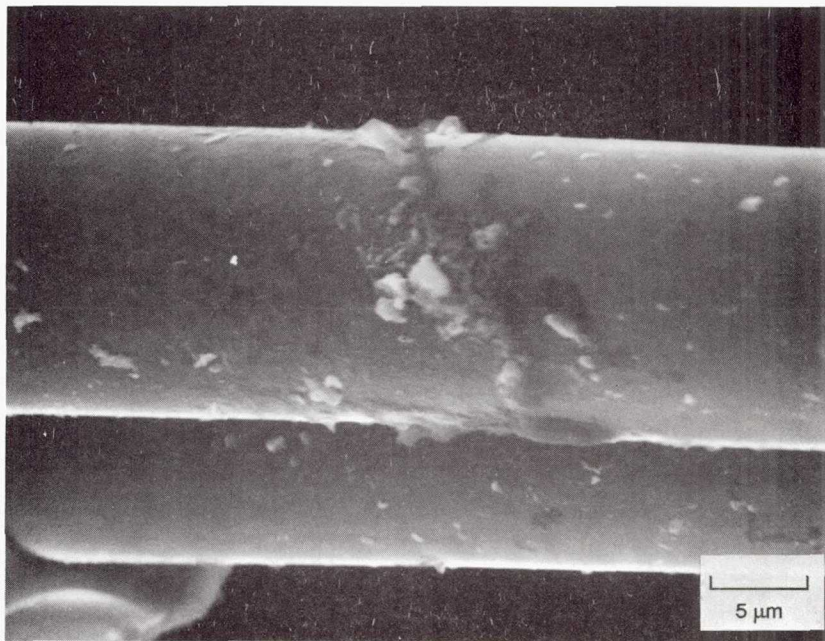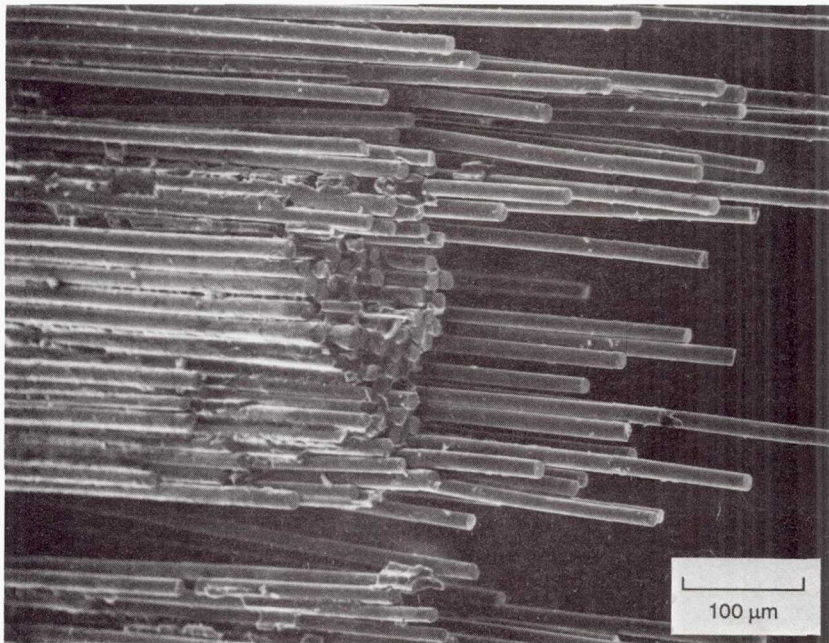
Figure 10.—Enlargement of fracture surface to show fiber bundle fracture and adhesion of matrix to fiber.

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16. Abstract

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