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AN ANALYSIS OF THE HUBBLE SPACE TELESCOPE FINE GUIDANCE SENSOR FINE LOCK MODE*

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ABSTRACT

There are two guiding modes of the Hubble Space Telescope used for the acquisition of astronomical data by one of its six scientific instruments. The more precise one is called Fine Lock. Command and control problems in the on-board electronics has limited Fine Lock to brighter stars, $V < 13.0$ mag, instead of fulfilling its goal of $V = 14.5$ mag. Consequently, the less precise guiding mode of Coarse Track (~ 40 milli-arc seconds) has to be used fairly frequently. Indeed, almost half of the celestial hemisphere has stars too faint to support a Fine Lock guidance mode. Hence, some of the scientific observations to have been made with the Hubble Space Telescope will be compromised. In this paper I report on the only realistic or extensive simulations of the Fine Lock guidance mode. The theoretical analysis underlying the Monte Carlo experiments and the numerical computations clearly show both that the control electronics are severely under-engineered and how to adjust the various control parameters to successfully extend Fine Lock guiding performance back to $V = 14.0$ mag and sometimes beyond.

1. INTRODUCTION

This paper is complementary to Taff (1990a) in which the Coarse Track mode of the Hubble Space Telescope Fine Guidance Sensors was analyzed. The motivation for that paper was the desire to considerably shorten Guide Star acquisition times—thereby significantly enhancing the efficiency of Hubble Space Telescope operations—without a loss of scientific information. The key issue was a realistic estimate of the Coarse Track guiding mode pointing stability, methods to improve upon it, and whether or not this level of pointing stability would compromise the scientific content of some Hubble Space Telescope observations.

The real Optical Telescope Assembly—because of manufacturing errors, wavefront calibration errors, and the tilt and decenter of the secondary mirror—is seriously degrading the guiding performance of the Fine Guidance Sensors. Instead of a 17–20 milli-arc second Coarse Track pointing precision, we more typically experience a 40 milli-arc second (mas) pointing instability. Instead of Fine Lock to $V = 14.5$ mag, operationally successful Fine Lock stops at $V = 13.0$ mag. The implication of a thirteenth magnitude Fine Lock limit is, in effect, no Hubble Space Telescope observations, with Fine Lock, beyond a galactic latitude limit of $|b| = 30^\circ$. This means excluding half of the celestial hemisphere and most of the extra-galactic part of the sky. Thus, a complete investigation of the Fine Lock algorithm was undertaken in an attempt to rapidly make the maximum improvements. This paper summarizes that effort.

The next section of the main text addresses the limiting magnitude issue in more depth. With a clearer understanding of the importance of the Fine Lock limiting magnitude, I then briefly review the Fine Guidance Sensor electro-optical system (§3) and the principles of Fine Guidance Sensor guidance operation (§4). Section 5 summarizes a theoretical analysis of Fine Lock and extensive

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computer simulations of Fine Lock. The latter are based on empirical Fine Guidance Sensor Transfer Functions.

2. GUIDE STAR PHOTOMETRIC STATISTICS

The operational problem faced during the latter half of 1990 was the unreliability of the Fine Lock process of the Hubble Space Telescope (HST) Fine Guidance Sensors (FGSs) operating under the Pointing Control System.¹ Without now going into the detail which will be provided in Section 4, the Fine Lock mode is the ultimate in the control of the spacecraft. The successful attainment and maintenance of this state is crucial for reaching some of the scientific goals of the HST mission for only with the pointing stability of Fine Lock (~ 7 milli-arc seconds) can some of the instrumental modes function optimally. This became even more important when the spherical aberration in the primary mirror degraded the pointing stability of the Coarse Track mode by a factor of two, from ~ 20 milli-arc seconds to ~ 40 mas. The Guide Star Catalog, a catalog of stars from which guiding targets for the FGSs are to be chosen, typically reaches $V = 14.5$ mag for this was the specified limit of a successfully achieved and maintained Fine Lock state. Unfortunately, the real performance of the hardware and Perkin-Elmer Corp.'s utilization of it limited a stable Fine Lock state to $V < 13.0$ mag and Fine Lock was routinely unobtainable beyond $V = 13.0$ mag. These poor results, the improvement of which is the main subject of this paper, would have placed severe limitations on the scientific operational capabilities of the HST.

To understand how a significantly brighter limiting magnitude for Guide Stars affects the scientific mission of the HST we must understand how the Guide Star Catalog was created. The stellar density goals were ~ 500 stars per square degree, uniformly over the entire celestial sphere, to a fixed limiting magnitude. Our location in the Milky Way, the spiral nature of the Galaxy, and the underlying galactic luminosity distribution all conspire to prevent one from attaining this goal. As the constructors of the Guide Star Catalog moved towards the galactic poles they were forced to go fainter and fainter to maintain a constant stellar density. Not knowing, in advance, where the General Observers who would use the HST might want to point it, allowance had to be made for all-sky coverage with a uniform areal density. The apparent magnitude distributions for three galactic latitudes are shown in Fig. 1 wherein the faintward shift at higher galactic latitudes can be seen. However, since the Guide Star Catalog is not a complete catalog, the limiting magnitude does not decrease as rapidly with increasing absolute value of galactic latitude as the true stellar density decreases. Figure 2 provides an integrated (over galactic latitude) apparent magnitude distribution for the entire Guide Star Catalog. There is a displacement of ~ 0.6 mag between the two celestial hemispheres because of a color term between the $\sim V$ sensitivity of the northern hemisphere Schmidt plates and the $\sim J$ sensitivity of the southern hemisphere Schmidt plates used to construct the Guide Star Catalog.

To achieve Fine Lock in two of the three FGSs, which is what is necessary for the Pointing Control System to be satisfied, we require two stars brighter than the limiting magnitude of Fine Lock guidance. If, instead of being able to avail ourselves of the full $V = 14.5$ mag limit of the Guide Star Catalog we are forced to retreat to $V = 13.0$ mag, then the *a priori* probability of being able to achieve Fine Lock—solely because of the lack of suitable Guide Stars—drops to 0.44 of the nominal level. Moreover, almost all the lost portion of the celestial sphere is beyond 30° from the galactic equator. An increase in the improvement in the Fine Lock guiding process to $V = 13.5$ mag raises this probability to 0.60. Thus, the rate at which sky coverage is regained is a slowly varying function

¹ The Pointing Control System logic implemented by Lockheed Missiles Corp. discards three-quarters of the photons acquired by the telescope. This egregious procedure reduces the effective limiting magnitude by 1.5 mag from the one otherwise attainable. This represents a separate, additional problem not dealt with herein.

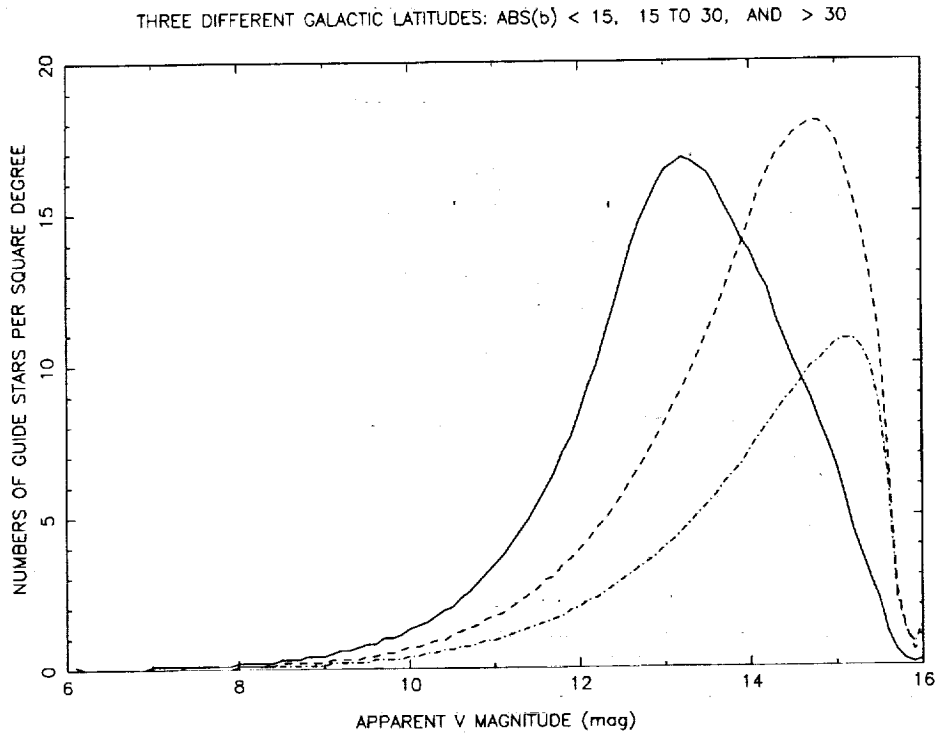


figure 1. Guide Star Catalog number distributions vs. apparent magnitude at three galactic latitudes.

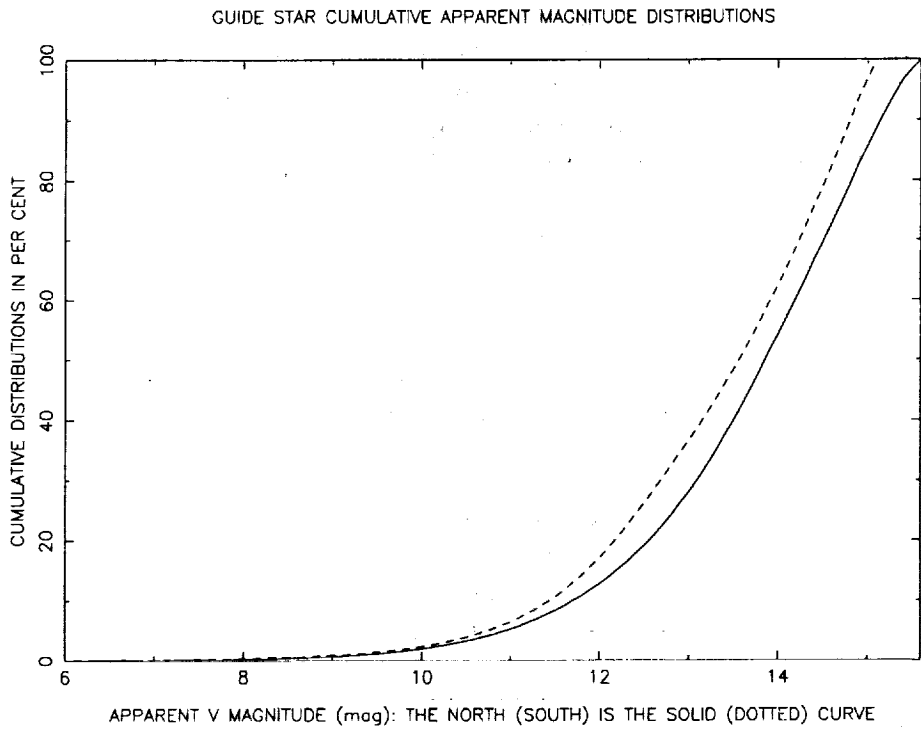


figure 2. Guide Star Catalog cumulative numbers vs. apparent magnitude.

of the limiting magnitude primarily because the current limiting magnitude is so bright. Finally retrieving $V = 14.0$ mag performance, as I suggest can be routinely done, brings us back to 79% of the $V = 14.5$ mag level.

3. THE DESIGN AND FUNCTIONING OF AN FGS

3.1 Optical System

The optical train of a Fine Guidance Sensor (FGS) is displayed in Fig. 3 (see also Taff 1990b). The Optical Telescope Assembly (OTA) system of the HST is a Ritchey-Chretien Cassegrain design. Before the prime focus of the OTA is a plane pickoff mirror which deflects light into the FGS. The FGS total field-of-view is defined by this mirror. Light diverging from the pickoff mirror hits an off-axis aspheric mirror which nearly collimates the beam. A collimated beam is required for sensing wavefront tilt at the Koester's prism (see below). The beam then travels to the first "Star Selector" known as Star Selector A. It rotates about its optical shaft encoder axis; the angle of rotation is denoted by θ_A .

A ray striking Star Selector A, parallel to its rotation axis, will be (nominally) deviated by 406.4 arc minutes. Star Selector A acts in concert with a second star selector, B (whose rotation angle is θ_B). It too accomplishes a (nominal) 6.77 degree deviation. Together they move the $5'' \times 5''$ instantaneous field-of-view of an FGS about its total field-of-view.

In between the two star selectors is a five element corrector group; this corrector group is placed just before the first pupil and its function is to provide better collimation. Also, this refractive group corrects for field curvature and astigmatism (which are characteristics of the Ritchey-Chretien design of the OTA). In addition, it corrects for *design* spherical aberration, coma, and the small amounts of astigmatism found in the collimating asphere. (The corrector group rotates with Star Selector A as one mechanical assembly.) The corrector group does *not* correct for the mis-shapen primary mirror *nor* does it correct for improper tilt or decenter of the secondary mirror nor for mechanical displacements of FGS optical components.

The polarizing beam splitter after the filter wheel divides the light into two equal intensity beams in mutually orthogonal directions. Each beam is also plane polarized. Two beams—hence two Koester's prisms—are required since a Koester's prism only senses wavefront tilt in one axis. The light bundle is next incident onto the face of a Koester's prism. Within the prism it is divided by a dielectric beam splitter which performs a wavefront division of the incident ray. These two channels are denoted by A and B. The dielectric coating retards the transmitted beam by a quarter of a wavelength while the reflected light is unaffected.

Located beyond the Koester's prism is a set of duplex reimaging optics, one for the A and one for the B channels. The first part of each unit, the doublet, images the star onto the field stop. The lens/field stop assembly is located in the back focal plane of the doublet. The lens produces the pupil image on the sensitive surface of the photocathode tube. The $5''$ by $5''$ (object space) field stop provides the boundaries for the FGS instantaneous field-of-view. There is a photomultiplier tube for each channel of each Koester's prism, hence, four photomultiplier tubes reside in each FGS. The response of the photomultiplier tube is similar to that of the S-20 tube.

3.2 The FGS Transfer Function and the Fine Error Signal

3.2.1 The Transfer Function

Before discussing the algorithms contained in the Fine Guidance Electronics (FGE), it is important to describe the FGS Transfer Function. Figure 4 shows two situations. In the top picture there exists zero tilt in the wavefront at the face of the prism. That is, a combination of re-positioning the spacecraft and the Star Selectors has placed the target Guide Star onto the combined optical axis. Therefore, each photomultiplier tube for this prism senses the same amount of light. In the

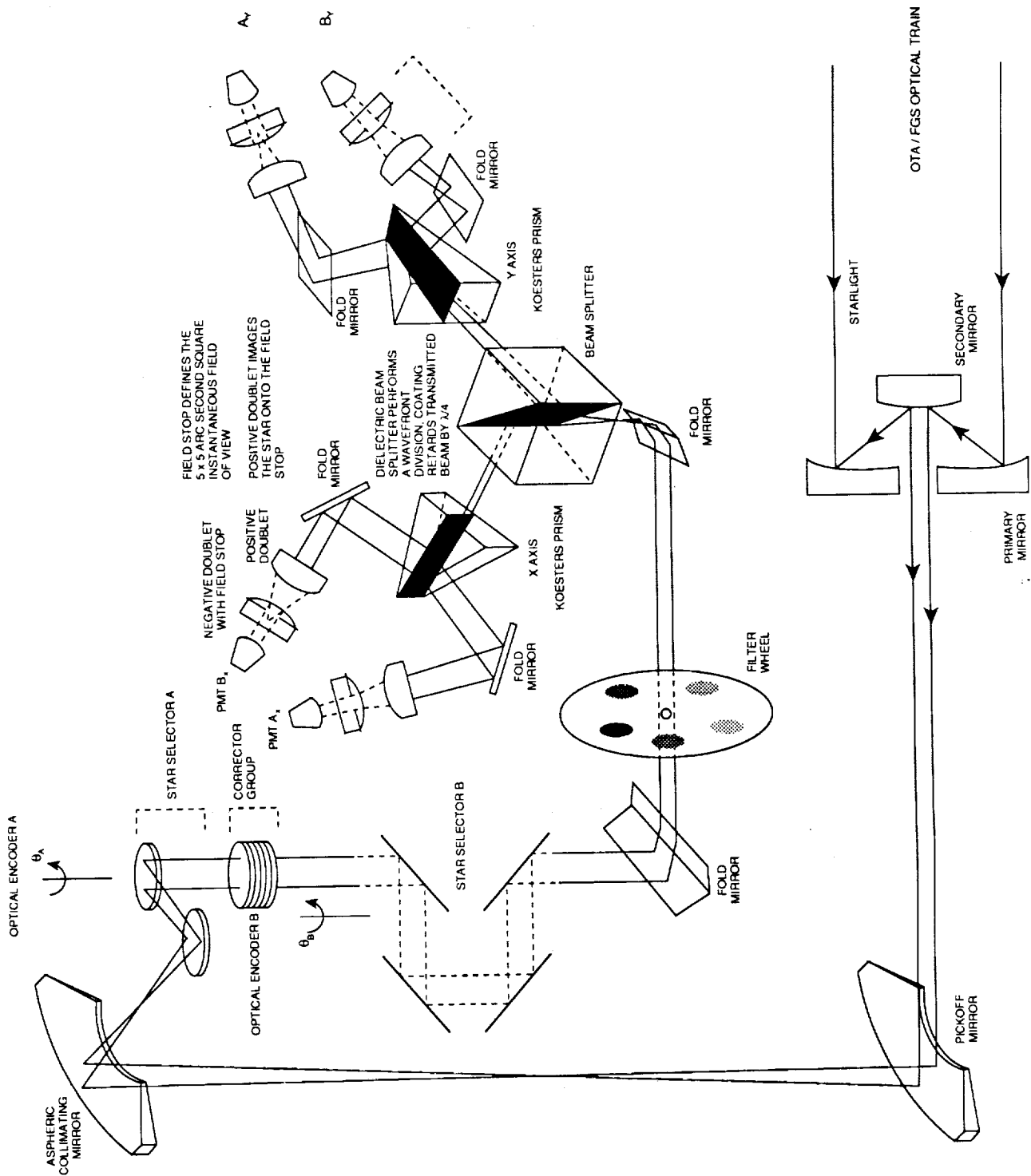


Figure 3. FGS Optical Train Schematic.

lower part of the figure the wavefront has a quarter wave tilt. As the beam exits the left side of the Koester's prism constructive interference occurs. (The wavefront which is transmitted through the beam splitter is additionally retarded by $\lambda/4$.) The right side will experience destructive interference. Hence, the counts for the left side photomultiplier tube are greater than those in the right side photomultiplier tube. A graph of the counts versus tilt angle is known as the Transfer Function (TF). See Fig. 5.

In more mathematical detail, for a monochromatic ray of light with angular frequency ω , the resultant of one component of its electromagnetic field will be of the form

$$I \cos(\omega t - \phi) + I \cos\left(\omega t - \phi + \frac{\pi}{2}\right) = 2I \cos\frac{\pi}{4} \cos\left(\omega t - \phi + \frac{\pi}{4}\right)$$

where ϕ is the initial phase. The resultant intensity is proportional to $2I^2$. More generally, with some angle of incidence of the ray normal with respect to the Koester's prism face of θ at a distance r out from the optical axis, the resultant component of the electromagnetic field has the form

$$I \cos(\omega t - \phi + 2\pi\delta_{L,R} \theta r/\lambda) + I \cos\left(\omega t - \phi + 2\pi\delta_{L,R} \theta r/\lambda + \frac{\pi}{2}\right).$$

The wavelength associated with ω is λ and $\delta_{L,R}$ is ± 1 depending on whether the ray passed through the lefthand or righthand side face of the Koester's prism. Ignoring the temporal modulation, the net energy is proportional to

$$E_{L,R} = 2I^2 \cos^2\left(2\pi\delta_{L,R} \theta r/\lambda + \frac{\pi}{4}\right).$$

The FGE combines the counts from the A and B channels of the Koester's prism to form the TF (see Fig. 5). That is,

$$S = \frac{A - B}{A + B} \quad (1)$$

in which A and B are the counts in the A and B channels. In terms of $E_{L,R}$, A and B are proportional to

$$e_{L,R} = \int_0^R 2I^2 \cos^2\left(2\pi\delta_{L,R} \theta r/\lambda + \frac{\pi}{4}\right) dr = \int_0^R E_{L,R} dr$$

where R is the radius of the primary mirror. Thus,

$$S = \frac{e_L - e_R}{e_L + e_R} = \sin^2 z/z, \quad z = 2\pi\theta R/\lambda. \quad (2)$$

This is the Green's function for the FGS optical system and we may build up a theoretical TF by integrating it over a hypothetical stellar spectrum, angular disc with limb darkening, actual photomultiplier responsivity, and so on (see Taff 1991).

3.2.2 Fine Error Signal

Once the FGS is locked onto a star, the Fine Error Signal is used to update the Star Selector positions so that the wavefront maintains zero tilt at the face of the Koester's prism. This process maintains the high precision pointing required for HST guiding. As can be seen from Fig. 5, the core part of the TF is approximately linear from -10 to $+10$ mas. While the slope of the TF does change with stellar color index, this does not represent a significant variation.

The Fine Error Signal is defined in the FGE as

$$R_x = K_{1x} * S_x + K_{0x}, \quad R_y = K_{1y} * S_y + K_{0y} \quad (3)$$

where S_x and S_y are the FGE TFs for the x and y axes ala Eq. (1). K_{1x} and K_{1y} are the signal gains for each axis and are dependent upon stellar magnitude and background brightness [see Eq. (10)].

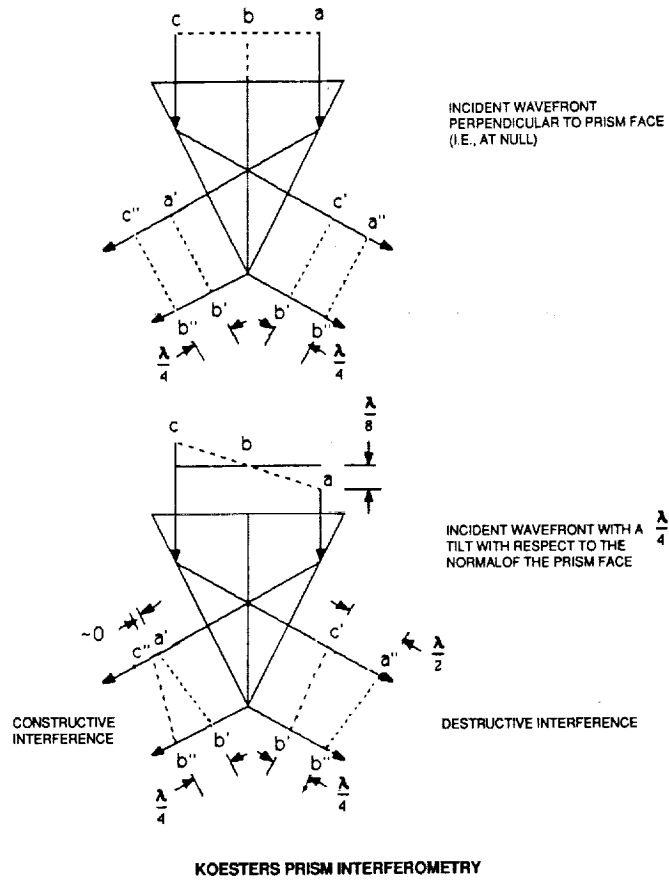


Figure 4. Koester's prism interferometry without wavefront tilt (top) and with $\lambda/4$ tilt (bottom).

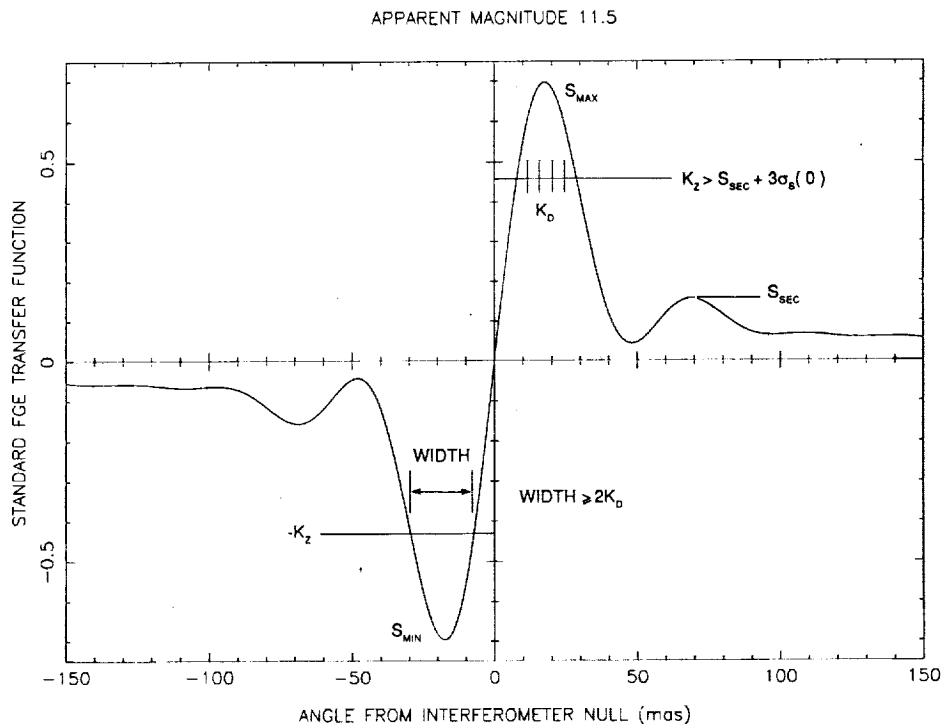


Figure 5. Theoretical Transfer Function (TF).

K_{0x} and K_{0y} are offsets whose initial purpose should be the equalization of the probability of a successful threshold detection whether one approaches the null of the interferometer from the left or the right [see Eq. (11)]. Values for these, and other, parameters can be changed via uplink telemetry.

The 'FGE Transfer Function' differs from that in Eq. (1) in two respects. First, to reduce sensitivity to photon noise, a mean value of $A + B$ is used to normalize S . This average is taken over the first sixteen samples after the Coarse Track phase has successfully terminated and just before the commencement of the 'walkdown' (the walkdown is described in §4.3). In addition, the mean, over these same 16 samples, of $A - B$ is computed, call it Δ_{AB} , and subtracted from the numerator of S in Eq. (1), as in Eq. (4) below. This adjusts for any A vs. B photomultiplier tube bias.

4. FGS GUIDANCE OPERATION

4.1 Search Mode

Search mode is entered into when the spacecraft's main computer issues a Search/Track "On" command to the Fine Guidance Electronics (FGE). The FGE will generate the appropriate Star Selector servo commands, at a 40 hertz rate, to move the $5'' \times 5''$ instantaneous field-of-view of the FGS in an outward spiral (there is nominally a 30% overlap in coverage from one spiral line to the next). The purpose of Search mode is to search for a specific target (*i.e.*, the Guide Star in this scenario). Success is based upon the photomultiplier tube count rate exceeding a lower limit threshold.

4.2 Coarse Track Mode

Once the target Guide Star has been detected in Search mode, the FGE will command the Star Selectors such that the instantaneous field-of-view will circle about the target at a once per second rate. The nominal nutation circle radius is 2.706 arc seconds; the number of nutations is variable. The FGE algorithm for Coarse Track updates the position of that center every 25 milliseconds nominally for 12 complete circuits (although only every fourth sample is accepted by the Pointing Control System; there is no integration). Coarse Track produces an error signal based on the combined photomultiplier tube counts it senses in each of the four quadrants of the nutation circle (see Taff 1990a for a fuller explanation). This signal then produces a new estimate for the center of nutation. The objectives of Coarse Track are to stabilize the still-drifting spacecraft (after a slew) and to determine the star's position to approximately 20 mas. Then a transition-stage, known as the 'walkdown', is used to reach the Fine Lock state.

4.3 Fine Lock Mode

The geometry of the approach to Fine Lock mode is shown in Fig. 6. The orthogonal intersection of the interferometers is commanded to a position K_B arc seconds away from the target position (and midway in between them) which was determined in Coarse Track. Thus, approach can only occur along a diagonal and there is no provision for anisotropy (*e.g.*, a K_{bx} and a K_{by}). The star selector encoders will be commanded by the FGE to approach the target position in at most K_5 steps, with each step being K_D arc seconds in length (no K_{dx} nor K_{dy}). The process of stepping down to the star colloquially referred to as the 'walkdown.'

The number of walkdown steps may vary up to 765. The nominal walkdown step size was 0.009 arc seconds (it is now 6.5 mas). When the target Guide Star is "detected" in one of the interferometer axes, the step size for that axis is halved to prevent overshoot. Detection occurs when the interferometer signal exceeds a predetermined threshold (K_Z ; no K_{zx} nor K_{zy}) for three consecutive 0.025 second samples (this is colloquially referred to as the 'three-hit algorithm'). Once a Guide Star is acquired, then the FGE control system will position the Star Selectors such that it will be simultaneously maintained in the linear region (at or near the null) of the interferometer axes (Sec. 3.2.2).

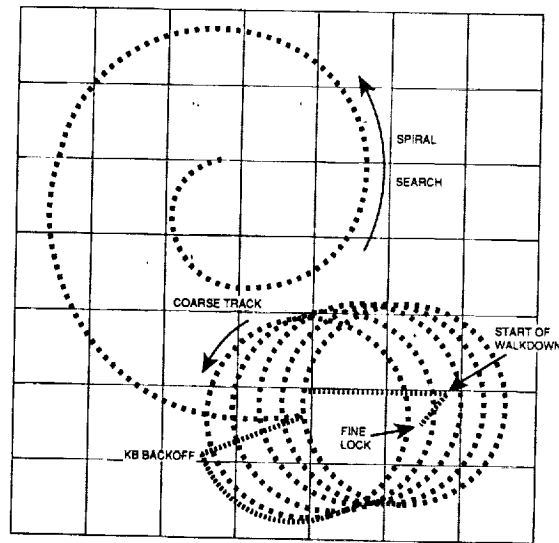


Figure 6. Schematic Coarse Track/Fine Lock Process

4.4 Discussion

To obtain the Fine Lock state on both axes of the two orthogonal Koester's prisms there are seven parameters available to us. (There should be at least two sets of seven for minimal flexibility.) The septuplet consists of K_5 (or K_B ; $K_5 K_D = K_B / \sqrt{2}$), K_D , K_Z , K_{1x} and K_{1y} , and K_{0x} and K_{0y} . After defining these parameters and illustrating the role(s) they play in the Fine Lock process, provide a theoretical analysis of maximizing the probability of reaching the Fine Lock state. Note that being in the Fine Lock state as far as the FGE is concerned refers to a successful passage through the 'three-hit' algorithm. It does not necessarily say anything regarding the location of the Guide Star with respect to the optical axis. For instance, on a faint Guide Star we might 'lock' onto noise with the star still far away from the interferometer null(s). (This has, in fact, happened with the real 'Ss.) Whenever the 'three-hit' algorithm is satisfied, the Fine Lock condition, in the sense that the event flag in the FGE is reset, is established.

Remembering the general discussion of FGS operations (for guidance) given above, after the Coarse Track state has been maintained for K_Y (~ 12) circuits of the nutation circle the position of the photocenter has been reliably ascertained and the spacecraft drift stabilized. The FGE then commands the star selector servos to place the star a certain distance away from the photocenter's position (*i.e.*, the backoff distance K_B) and at an orientation 45° with respect to the interferometer axis. (An orientation of 225° is also possible.) Once at this point we commence the 'walkdown' process towards the photocenter and, if we are successful at passing the 'three-hit' algorithm, an actual Fine Lock state. K_5 is the maximum number of steps that can be taken on the 'walkdown'. K_5 must be large enough to ensure that we can pass through both the Transfer Function extrema. Because of the reduction of the step size once the threshold test has been successfully passed (by ϕ_0 , see the K_D discussion below), K_5 must be larger than (total distance to the other side of the

Transfer Function)/(step size/2). (Any bias between the Coarse Track photocenter position and the interferometer null positions should have been incorporated into the original offset from the Coarse Track photocenter position, *i.e.*, in K_B . Such a systematic difference could arise for a number of reasons not dealt with herein. These causes need not maintain—and indeed have not maintained—symmetry with respect to the x and y axes. Therefore, there should have been provision for a K_{5x} and a K_{5y} as well as a K_{bx} and K_{by} .)

You might wonder at the need for a K_5 at all. Given that the Coarse Track/Fine Lock offset vector has been well-determined (which is not yet the case at the beginning of 1991), we might adjust the Coarse Track backoff from the interferometer nulls to be between the two maxima (or minima for the other polarity) of the TF. This is the place marked S_{SEC} in Fig. 5. Even if this were done we still need a maximum number of attempts at passing the ‘three-hit’ algorithm else we might never exit from the ‘walkdown’ state. Claiming that this is a Guide Star, and that therefore something is known regarding the star’s surroundings and its apparent V magnitude, does not vitiate the argument. The photometric precision of the Guide Star Catalog is only ± 0.4 mag and there is a color term between the northern and southern celestial hemispheres [*i.e.*, $J = V + 0.72(B - V)$]. This has been adjusted for, on the average, by assuming that the typical Guide Star is a K or M dwarf (so $J \simeq V + 0.6$ mag). A less common spectral type will not be correctly handled with respect to the color index term.

The need for a parameter which fulfills the function of K_5 does not address the issue of why the ‘walkdown’ commences so far from the interferometer nulls. The alleged reason is that the TF cannot merely be $(A - B)/(A + B)$ for this does not incorporate different sensitivities or responsivities between the photomultiplier tubes on the A and B channels. This bias, say Δ_{AB} , is subtracted from the difference term in the numerator so that

$$S = \frac{A - B - \Delta_{AB}}{\langle A + B \rangle}. \quad (4)$$

(Remember that S is normalized by the *mean* value of the first 16 samples, hence the angular bracket in the denominator.) Δ_{AB} is calculated at the start of the ‘walkdown’. Thus, this position must be far enough from the interferometer nulls that the uncorrected value of the TF [*i.e.*, $(A - B)/(A + B)$] would almost vanish were the two photomultiplier tubes perfectly matched. (Sixteen 0.025 sec samples are used to compute Δ_{AB} .) By this mechanism the photomultiplier tube mismatch is always made local—in time in case of aging of the tubes, in place on the celestial sphere in case of a variation in the celestial background, and for this particular star in case it has an atypical color index. Even more importantly, this procedure allows for the failure of one of the photomultiplier tubes without destroying the capability of obtaining and maintaining Fine Lock. However, the real reason a large K_B is necessary is that the field stops in front of the photomultipliers have become displaced. The net instantaneous field-of-view is the intersection of the four stops. This causes a significant Coarse Track/Fine Lock bias, up to one arc second.

As we step towards the interferometer nulls from the Coarse Track backoff position, we do so with steps of size K_D . (Perkin-Elmer Corp. originally used a value of 9 mas but it was since reduced, as a result of this analysis, to near the optimum of 6 mas.) The smaller K_D is the longer it will take to execute the ‘walkdown’, the larger K_5 must be, and the more danger there is that the position-to-rate converter, the piece of software in the FGE that actually computes the settings for the star selector encoders, will stall. Remember that the star selectors are being commanded to move on the surface of a sphere across a domain that has the shape of the FGS total field-of-view. Hence, the geometry is non-planar and there is the possibility that a desired *linear* step will result in a very small projected step. Since the position-to-rate converter is inhibited from taking very small steps—it has a ‘least significant bit criterion’ of 3 bits (nominally; this is also adjustable)—too small a value of K_D may bring about this situation. Thus, the same portion of the TF will be repeatedly sampled. This is actually a good thing to occur when we have a faint star and we are trying to satisfy the ‘three-hit’

algorithm. Since the step we take is halved whenever we pass the above-threshold query after not having passed it during the last 25 milli-second photon integration period, the possibility of a stall increases as we decrease K_D just where, with respect to the TF, we want it to. Hence, the decision to lower K_D from its initial value when the poor performance of the Fine Lock process became apparent, especially on fainter stars.

There are other bounds on K_D . In particular, the above-threshold portion of the TF must be at least $2K_D$ wide and should preferably be $4K_D$ across. Table 1 gives the two solutions to the equation $S(x) = K_Z$, for the $S > 0$ portion of the curve (the theoretical curve has odd parity) for a variety of values of K_Z expressed as a percentage of the maximum value of the TF. To understand the reasoning behind the $2K_D$ and $4K_D$ lower bounds to the width of the above-threshold portion of the TF (see Fig. 5 again), consider the first step into the above-threshold portion of the curve from the right. The largest this advance could be is K_D . Suppose that this is the case and that we pass the K_Z threshold test. Then the step size would be halved and we would penetrate $K_D/2$ further. Suppose that once more the K_Z threshold test is satisfied. We would take one more $K_D/2$ step, presumably pass the K_Z threshold once again—now satisfying the ‘three-hit’ algorithm—and then enter the null maintenance logic in the FGE. The total distance we traversed was $2K_D$. More realistically we might want the width of the above-threshold portion of the TF to be large enough to allow for one failing of the K_Z test and still guarantee overall success at the ‘three-hit’ algorithm. In the worst circumstances this requires an additional minimum distance of $2K_D$ whence the $4K_D$ realistic lower limit. For the nominal (*i.e.*, Perkin-Elmer Corp.) threshold setting the width of the above-threshold portion of the theoretical TF was 22.4 mas or just less than $2.5K_D$ for a K_D of 9 mas. Since we can assume that the entrance into the above-threshold portion of the TF is randomly and uniformly distributed, $4K_D$ becomes $3.5K_D$ in the mean or 21 mas (= 2.3 the Perkin-Elmer corp. value of K_D).

Table 1. Fine Lock Values

X_L (mas)	X_U (mas)	$K_z \cdot S_{MAX}$	K_z	Width (mas)
5.68	31.93	0.356	0.5	26.25
6.99	29.92	0.427	0.6	22.93
8.44	27.89	0.498	0.7	19.45
10.12	25.71	0.570	0.8	15.59
12.26	23.13	0.641	0.9	10.87

In addition, in the presence of excessive spacecraft jitter, we want both K_D and K_Z to be as small as possible. The reason is, once the jitter per axis becomes comparable to K_D itself we have too high probability of being thrown outside the above-threshold portion of the TF by a bodily movement of the spacecraft. Thus, we will (on the average) fail the K_Z threshold test more often when the jitter is larger. Within the FGE the only method we have of countering this is to maximize the number of opportunities we can have to exceed the threshold. Lowering the threshold K_Z widens the above-threshold portion of the curve and lowering K_D maximizes the number of chances of testing against the threshold. Finally, if optical imperfections in the OTA or the FGSs cause the empirical TF to narrow with respect to the theoretical one, once again our only means of combating this within the FGE is to reduce K_D . Of course neither spacecraft jitter nor optical defects have to be symmetrical with respect to the faces of the Koester’s prisms, so there should have been a provision for a K_{dx} and a K_{dy} .

K_Z is the much talked about threshold value. Since the most likely place to falsely declare the 'Fine Lock' state is when we traverse the secondary peaks in the TF (at the place marked S_{SEC} in Fig. 5), the optimum value of K_Z which will prevent this is $|S(X_{SEC})| + 3\sigma_S(x=0)$. I have used the value of the standard deviation of the TF at the null because it is largest there. Such a three sigma criterion, built on the highest possible non-peak pedestal, ought to safely prevent a satisfaction of the 'three-hit' algorithm almost everywhere during the 'walkdown' process. Uncritically using this value can not be done because it gives no weight to how much of the above-threshold portion of the TF peak we will cut off. As discussed in detail above, the minimum width of the above-threshold portion of the TF is $3.5K_D$. A simplified model of the photon-noise induced variation in the TF predicts that the standard deviation of the TF counts per axis from the star, the sky background, and the dark current noise in the photomultiplier tubes is ≈ 0.05 hence, the lower limit to K_Z can be safely met. Finally, because the imperfections in the OTA and the FGSs are not required to affect the x and y axis TFs in an identical manner, there should have been a provision for a K_{zx} and a K_{zy} .

The remaining two K-factors are used to adjust the instrumental TF, given in Eq. (4), into one that will allow the FGE to succeed in the task of achieving the 'Fine Lock' state as per Eqs. (3). The first one I shall discuss removes any bias. Suppose that owing to optical imperfections, movements of the optical elements as a consequence of the exigencies of launch, deployment, or out-gassing, or so on the positive peak of the instrumental TF is larger in magnitude than the negative peak of the instrumental TF on the same axis. Then, we would not have an equal chance of passing the 'three-hit' algorithm as we approached the interferometer null from the left and right sides. The purpose of K_{0x} and K_{0y} is to offset any such bias so that the TF the FGE has to deal with is symmetrical with respect to the probability of 'three-hit' algorithm passage. Thus, S in Eq. (4) becomes

$$S = \frac{A - B - \Delta_{AB}}{\langle A + B \rangle} + K_0 \quad (5)$$

Of course there is now a S_x and a S_y because there is provision for a K_{0x} and a K_{0y} . The simplistic value of K_0 is clearly the peak-to-peak distance minus half the absolute value of one of the extrema, viz.

$$K_0 = [S_{MAX} - S_{MIN}] - S_{MAX}/2. \quad (6)$$

This is the correct value for K_0 when we are trying to maintain the fine lock state with the Guide Star at the null of the interferometer. The reason is that this value of K_0 makes it equally difficult to climb over either extrema of the TF. This value for K_0 does *not* equalize the probability of success at the 'three-hit' algorithm [see §5.1, particularly just above Eq. (11)].

The two remaining K-factors, K_{1x} and K_{1y} , unfortunately are forced to serve quadruple roles! Their first two functions are to correct the instrumental TF for the effect visible in Fig. 7. Because of the addition of the two channel photon counts in the denominator of S , as in Eq. (4) or (5), while they are subtracted in the numerator, the 'noise' component of the signal—namely the sky background and the dark current noise in the photomultiplier tubes—is compounded in the denominator but is eliminated in the numerator (on the average). Thus, as the Guide Star we are attempting to attain the 'Fine Lock' state on gets fainter, the instrumental TF naturally has a decreased fringe visibility. With K_z fixed as a percentage of S_{MAX} , we may never pass the 'three-hit' algorithm for a fainter star. The initial purpose of K_1 is to boost the instrumental TF so that the K_z threshold can be successfully passed even for fainter stars. Thus, K_1 must be a function of the apparent magnitude of the star as well as be different for each axis and each FGS. Whence, the final FGE version of the TF is given by

$$Q = K_1 \left\{ \frac{A - B - \Delta_{AB}}{\langle A + B \rangle} \right\} + K_0 \quad (7)$$

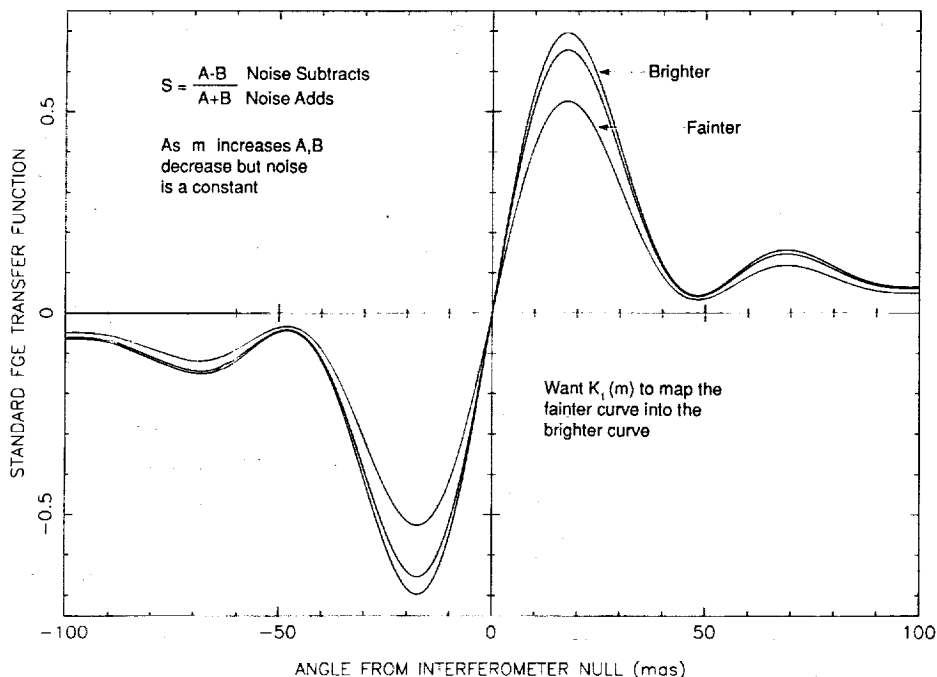


Figure 7. Decrease in fringe visibility with apparent magnitude.

Knowing what K_1 is supposed to do, it is easy to compute its value (although this is not the Perkin-Elmer Corp. procedure). The other three roles of K_1 are more fully discussed in the next section. Briefly, K_1 is used during the walkdown to prevent a false lock, it is used in the Fine Lock state to prevent a loss of lock, and it is simultaneously used in the Fine Lock state to minimize spacecraft pointing errors.

Finally, with definitions for K_0 and K_1 we can refine the constraint on K_Z discussed above and shown in Fig. 5. The real version of this is

$$|K_1(m)[S_{SEC} + 3\sigma_S(0)] + K_0| < K_Z$$

to prevent a false fine lock during the walkdown. Conversely, the constraint

$$|K_1(m)K_Z + K_0| > K_Z$$

must also be satisfied else passing the “three-hit” algorithm will not occur. Perkin-Elmer Corp. always uses a zero value for K_0 and $K_1(m)$ given by Eqs. (9) and (10) is always near 1.1, so these refinements have little practical effect.

5. ANALYSIS

5.1 Theory

Let us start with the ‘walkdown.’ We need to be far away from the secondary maximum (minimum; we shall assume that the polarity is such that we are approaching from the right in Fig. 5) of the TF in order for $\Delta_{AB} = \langle A - B \rangle$ to have meaning. From Fig. 5 any value of $K_B \gtrsim 200$ mas will

do (*i.e.*, less than half the Perkin-Elmer Corp. value). (Actually we can start as close as $K_B = 50$ mas if we use K_0 to remove the bias.) Until we encounter the maximum of the TF we are only interested in not falsely locking. The place a false lock is most likely to occur is at the secondary maximum; hence, a threshold value of K_Z in excess of $|S_{SEC}| + 3\sigma_S(0)$ is desirable.

Throughout, and especially during passage through the maximum (minimum), we want to correct for the effect in Fig. 7. The proper role of $K_1 = K_1(m)$ then, is to map the fainter curve into the brighter curve. Specifically, imagine that we have a reference TF S obtained on a very bright star of magnitude m_S . We can assume that we have used both ends of the empirical curve to obtain a statistically secure value for $\Delta_{AB} = \langle A - B \rangle$. Similarly we can use the entire curve to obtain a noise-free estimate of $C = \langle A + B \rangle$; whence

$$S = \frac{A - B - \Delta_{AB}}{C}. \quad (8)$$

Now A is composed of both reference star photon counts A_S and 'noise' counts. The latter arise from the sky background and the dark current noise. Symbolize the sum of the latter two components, which we can not easily separate, by D . Therefore,

$$A = A_S + D, \quad B = B_S + D.$$

Thus, on the average, we may recover A_S and B_S from Eq. (8),

$$A_S = C(1 + S)/2 + SD/2, \quad B_S = C(1 - S)/2 - SD/2.$$

Now, for a Guide Star of apparent magnitude m , A_S and B_S become α and β where

$$\alpha = \kappa A_S, \quad \beta = \kappa B_S \text{ with } \kappa = \text{dex}[-0.4(m - m_S)].$$

Similarly $C_S = A_S + B_S$ becomes $\gamma = \kappa C_S$ and S becomes σ ,

$$\sigma = \frac{\alpha - \beta}{\gamma + D}.$$

Clearly, then, the optimal value for $K_1(m)$ is just

$$K_1(m)\sigma = S \quad (9)$$

for *this* value of K_1 transforms the faint star TF into the (bright) reference star TF *everywhere*. The solution for K_1 may be written as

$$K_1 = 1 + D(1/\kappa - 1)/C. \quad (10)$$

For real photomultiplier tube performance and realistic values of C ($\simeq 4$ per photomultiplier tube per 0.025 sec), K_1 ranges from 1.017 to 1.18 as m ranges from 12.5 mag to 15.0 mag.

Before we leave the 'walkdown' and the possibility of false lock, if a real TF has a bias, then it has to be counteracted. Perkin-Elmer's theoretical value of K_0 was given in Eq. (6) and it only adjusts the extrema (in practice they always set K_0 equal to zero). As this value has to offer an equal probability of success at the 'three-hit' algorithm, this is too simplistic. In order to understand this I must digress a bit further.

Irregular bodily motions of the spacecraft, that is jitter, are deadly to the probability of success of the 'three-hit' algorithm. When compounded by too large a value of K_D , too high a value of K_Z , or an instrumentally narrowed set of above-threshold widths W_+ and W_- , the problem becomes even more acute. (W_{\pm} are the linear widths of the above-threshold portions of the TF on the positive and

negative sides of the interferometer null.) Now, with a jitter amplitude $\gtrsim 1.5 K_D$ or $\gtrsim W_+/2$ or $V_-/2$, there is a reasonably high probability of being thrown out of the above-threshold portion of the TF even though the Star Selector encoders have been properly commanded to keep us within the desirable portion of the curve. Once on the shoulders of the extrema of the TFs the probability of passing the K_Z threshold test is much diminished, hence no Fine Lock state.

One cure for this is to double our chances of achieving Fine Lock by attempting to pass the 'three-hit' algorithm criteria on both extrema of the TF. [Indeed the real FGE accepts above-threshold crossings from both extrema sequentially (should this occur).] Hence, the desire to have both halves of the curve be symmetrical with respect to this point. Clearly the sense in which they need to be made symmetrical is that the two widths be made equal; $W_+ = W_-$ and not that $S_{MAX} = |S_{MIN}|$. Thus, the implicit criterion defining K_0 is just

$$W_+ = W_- \quad (11)$$

So far we have fixed K_0 , K_1 , and K_B . K_D should be as small as possible such that once it is halved, it will not cause the Star Selector servos to stall (K_{11} determines the least significant bit criterion in the position-to-rate converter). K_Z must be determined by actually simulating the 'walkdown' and threshold-crossing process with an overall figure of merit, for the entire procedure, in mind. $K_Z \simeq 0.45$ is typically optimal.

This brings us to another essential point not considered by Perkin-Elmer Corp. In assessing the performance of a complicated electro-optical system such as an FGS, especially in a situation wherein the device is remotely located and it is (effectively) impossible to repair or alter it, the maximum amount of flexibility must be built in *after* a thorough analysis of the entire system's operation has been conducted. Such an analysis should include all of the obvious things which might go wrong as well as a few of the things which can not possibly (sic) go wrong. In the end, the non-linear optimization has to be decided on by an overall figure of merit. None of this is evident in Perkin-Elmer Corp. documentation. As a specific example consider the Fine Lock process we have been discussing. There are four different functions to successfully perform during the Fine Lock process: (i) Avoid a false lock during the 'walkdown'; (ii) Achieve success at the 'three-hit' algorithm and do these two things reliably for $V \simeq 14.5$ mag stars; (iii) Once Fine Lock is achieved maintain a position near the interferometer null, that is avoid a loss of lock; and (iv) Maximize the pointing system's stability. Perkin-Elmer makes one value of K_1 try to perform all four of these things with no thought given to an overall optimization. (In fact, they choose K_1 solely to satisfy the no loss-of-lock criterion.)

5.2 Numerical Experiments

Late in 1990 a single bright star (Ungren 62, $V = 9.55$ mag) was placed in nine different locations in each FGS field-of-view and five transfer scans obtained. From these nonets two curves per axis per FGS were selected; one as "typical" and one as "unusual". For this set of a dozen single axis TFs a detailed simulation of the walkdown, three-hit algorithm, and null maintenance aspects of Guide Star acquisitions were simulated. Some generalities followed, to wit: (1) Spacecraft jitter, above 5 mas per axis on a 0.025 second timescale, is deadly to three-hit algorithm satisfaction. Fortunately real spacecraft jitter does not have high frequency components of this amplitude. All further simulations were performed with a real spacecraft jitter file rather than a 40 Hz Gaussian as previously. (2) K_B needs to be as small as the Coarse Track/Fine Lock bias will allow. A larger value of K_B just increases the probability of a lock on photon noise during the walkdown stage. (3) K_D should be just larger than twice the position-to-rate converter stall value. This greatly helps the probability of success at the three-hit algorithm while only minimally extending the time interval necessary to accomplish the walkdown stage. (4) $K_0 = K_0(K_Z)$ is a complex function whose utilization has still not been thoroughly explored. (5) Real TFs are asymmetrical and the inability to have even a quartet

of directional options will diminish the probability of fulfilling the scientific goals of the HST mission and (6) Large, rapidly fluctuating jitter is deadly to the maintenance of fine lock, especially for fainter stars.

Before the large set of numerical experiments mentioned above were conducted, theoretical TFs such as those shown in Fig. 5, were used to test and debug the tripartite simulator software. Even before I had real TFs and real jitter files, the above list of generalities was plainly evident. Thus only a very limited subset of all the results so far obtained are presented below.

Table 2 shows the results of a more focused set of numerical experiments ($K_D = 6$ mas, $K_0 = 0$ real spacecraft jitter). There are twelve sets of results in Table 2, four for each FGS. The origin of these quartets are two doublets, one for each axis (i.e., x or y) in each FGS. Each component of the doublet is chosen from among the nine points in the aforementioned engineering test. After manual evaluation of the TFs at each of those nine places two were selected; one being close to the pre-launch theoretical expectation and one being typically realistic for that axis in that FGS. The full FGE software simulator, with a real jitter file, was executed on each of the dozen curves and the various probabilities of success were computed. In no case, for reasonable values of the K factors, was a loss of lock ever encountered for a (simulation) time duration of 10 minutes (i.e., $40 \times 60 \times 10$ executions of the null maintenance logic given a randomly placed start in between the extrema of the TF). Thus the probability of a loss of lock, with this jitter file, is zero and will not be further discussed. A more indepth analysis of the jitter file shows it to be a little more quiet than is typical, hence it is still premature to say that we know how to prevent a loss of lock during non-terminator crossing induced disturbances. (One reason this jitter file was chosen was that it was a very long one—this selection effect biased it towards being unusually uneventful too.)

Table 2 contains the probability of success of the three-hit algorithm during threshold crossing P_t , the probability of success of the three hit-algorithm during the walkdown stage, P_w , and the overall probability of success

$$P_{tot} = (1 - P_w) * P_t * (1 - P_{lol})$$

where P_{lol} is the loss of lock probability. There are two rows per Guide Star apparent V magnitude, one for the highest value of P_{tot} and one for the second highest value (as the K factors were varied). By giving both of these one can evaluate the sensitivity of the optimal state with regard to perturbations. Each apparent magnitude also has two columns, one for each direction of approach that the FGE allows.

Perusing Table 2 one can rapidly conclude that guidance on fainter Guide Stars than we are currently using is eminently probable if one controls the FGS in a rationally determined manner. There is also a marked asymmetry in some of the results so that the direction of approach is an important variable and should not merely be left at the pre-launch (default) value. The asymmetry in the TF is a non-linear combination of primary mirror misfiguring, secondary mirror misplacement (both in tilt and in decenter), and in individual FGS mechanical and optical defects. None of this, beyond the existence and magnitude of the primary mirror spherical aberration, is understood nor capable of even being modeled (at the moment; the model I have suggested for the optical aberrations Perkin-Elmer Corp. refuses to even numerically attempt). In particular, if one starts at the outer edge of the FGS in Radial Bay #1 and moves across its field-of-view towards the FGS in Radial Bay #2 there is a continuous change in the shape of the TFs which carries over to the next FGS, through its field-of-view, and then into the next FGS. This marked, field dependent, shape deformation can only arise in the OTA and can not be *spherical* aberration!

What does the software simulator have to say about the current K factor settings? These results are in Table 3 along with the my best overall success probabilities for the same apparent magnitudes. (remember that Table 3 includes a significantly reduced value of K_D so that the probability of false lock during the walkdown stage is a little increased and that the probability of a successful lock

Table 2. FGE Simulator Results

<i>V</i>	<i>K_Z</i>	<i>K₁</i>	Left <i>P_t</i>	<i>P_w</i>	<i>P_{tot}</i>	<i>K_Z</i>	<i>K₁</i>	Right <i>P_t</i>	<i>P_w</i>	<i>P_{tot}</i>
<i>FGS1-X1</i>										
13.25	0.45	0.90	100.0	0.0	100.0	0.55	0.90	99.5	0.5	99.0
	0.55	1.00	99.5	0.0	99.5	0.55	1.00	99.5	1.0	98.5
14.00	0.55	0.80	93.0	3.5	89.7	0.65	1.00	95.5	3.5	92.1
	0.65	1.00	92.5	4.5	88.3	0.55	0.80	92.0	2.5	89.7
14.75	0.65	0.80	79.5	16.5	66.4	0.65	0.80	68.0	28.0	49.0
	0.65	0.90	87.5	36.5	55.6	0.75	0.90	63.0	27.0	46.0
<i>FGS1-X2</i>										
13.25	0.65	1.00	98.0	2.5	95.6	0.65	1.00	99.5	7.5	92.0
	0.55	0.90	100.0	4.5	95.5	0.65	0.90	94.5	3.0	91.7
14.00	0.65	0.80	84.5	8.0	77.7	0.65	0.80	82.5	19.0	66.8
	0.75	0.90	76.5	7.0	71.1	0.65	0.90	95.5	32.0	64.9
14.75	0.75	0.80	53.0	38.5	32.6	0.75	0.80	61.0	44.0	34.2
	0.65	0.80	78.0	67.5	25.4	0.75	0.90	75.0	74.0	19.5
<i>FGS1-Y1</i>										
13.25	0.45	0.80	100.0	0.0	100.0	0.45	0.80	100.0	0.0	100.0
	0.55	0.80	100.0	0.0	100.0	0.45	0.90	100.0	0.0	100.0
14.00	0.55	0.90	100.0	1.5	98.5	0.55	0.80	99.5	1.0	98.5
	0.65	1.00	98.5	0.5	98.0	0.55	0.80	98.0	0.5	97.5
14.75	0.65	0.90	90.0	10.5	80.6	0.65	0.90	93.0	9.0	84.6
	0.65	0.80	80.0	1.0	79.2	0.75	1.00	89.0	9.0	81.0
<i>FGS1-Y2</i>										
13.25	0.45	0.80	100.0	0.0	100.0	0.45	0.80	100.0	0.0	100.0
	0.55	1.00	100.0	0.0	100.0	0.55	1.00	100.0	0.0	100.0
14.00	0.55	0.80	97.0	0.0	97.0	0.55	0.90	100.0	3.0	97.0
	0.65	1.00	97.5	1.5	96.0	0.65	1.00	96.5	0.0	96.5
14.75	0.55	0.80	95.0	17.5	78.4	0.65	0.90	87.5	33.0	58.6
	0.75	1.00	80.5	7.5	74.5	0.75	1.00	77.5	24.5	58.5
<i>FGS2-X1</i>										
13.25	0.55	0.80	93.5	93.0	6.5	0.55	0.80	94.0	85.0	14.1
	0.45	0.80	98.5	98.5	1.5	0.55	0.90	99.5	97.5	2.5
14.00	0.55	0.80	93.5	99.5	0.5	0.45	0.80	100.0	100.0	0.0
	0.45	0.80	100.0	100.0	0.0	0.45	0.90	100.0	100.0	0.0
14.75	0.45	0.80	100.0	100.0	0.0	0.45	0.80	100.0	100.0	0.0
	0.55	0.80	99.5	100.0	0.0	0.55	0.80	100.0	100.0	0.0

Table 2. Continued

<i>V</i>	<i>K_Z</i>	<i>K₁</i>	Left <i>P_t</i>	<i>P_w</i>	<i>P_{tot}</i>	<i>K_Z</i>	<i>K₁</i>	Right <i>P_t</i>	<i>P_w</i>	<i>P_{tot}</i>
<i>FGS2-X2</i>										
13.25	0.55	0.80	98.0	1.5	96.5	0.55	0.80	99.5	1.5	98.0
	0.65	1.00	98.0	2.0	96.0	0.65	1.00	100.0	4.0	96.0
14.00	0.65	0.80	85.0	10.5	76.1	0.65	0.80	83.5	17.0	69.3
	0.65	0.90	93.0	38.0	57.7	0.65	0.90	90.0	34.5	59.0
14.75	0.65	0.80	85.5	74.5	21.8	0.65	0.80	76.5	70.0	23.0
	0.65	0.90	91.5	91.5	7.8	0.65	0.90	84.0	90.0	8.4
<i>FGS2-Y1</i>										
13.25	0.65	0.80	91.5	1.0	90.6	0.65	0.80	89.0	7.0	82.8
	0.65	0.90	94.5	6.0	88.8	0.65	0.90	98.5	16.0	82.7
14.00	0.75	0.80	72.0	11.5	63.7	0.65	0.80	90.0	40.5	53.6
	0.65	0.80	87.5	32.5	59.1	0.75	0.80	64.0	21.5	50.2
14.75	0.75	0.80	64.0	83.5	10.6	0.75	0.80	63.5	89.5	6.7
	0.75	0.90	78.5	96.5	2.7	0.65	0.80	85.0	97.0	2.6
<i>FGS2-Y2</i>										
13.25	0.55	0.80	95.0	17.0	78.9	0.65	0.80	81.0	9.0	73.7
	0.75	1.00	85.5	8.0	78.7	0.65	0.90	89.0	24.5	67.2
14.00	0.75	0.80	61.0	29.0	43.3	0.75	0.80	61.5	25.5	45.8
	0.75	0.90	75.0	52.5	35.6	0.75	0.90	73.5	38.0	45.6
14.75	0.75	0.80	68.0	88.0	8.2	0.75	0.80	63.0	77.5	14.2
	0.75	0.90	78.0	95.5	3.5	0.75	0.90	68.0	95.5	3.1
<i>FGS3-X1</i>										
13.25	0.55	0.90	100.0	0.0	100.0	0.45	0.80	100.0	0.0	100.0
	0.55	0.80	99.5	0.0	99.5	0.55	0.80	99.5	0.0	99.5
14.00	0.55	0.80	98.0	6.5	91.6	0.65	0.90	93.0	2.0	91.1
	0.65	0.90	93.5	2.0	91.6	0.55	0.80	97.5	8.0	89.7
14.75	0.75	0.90	75.5	14.0	64.9	0.65	0.80	88.5	24.5	66.8
	0.65	0.80	75.0	14.5	64.1	0.75	0.90	82.5	20.0	66.0
<i>FGS3-X2</i>										
13.25	0.45	0.80	100.0	0.0	100.0	0.55	0.80	100.0	0.5	99.5
	0.45	0.90	100.0	0.0	100.0	0.65	1.00	99.5	0.0	99.5
14.00	0.55	0.80	98.0	2.0	96.0	0.55	0.80	97.5	2.0	95.6
	0.65	0.90	97.0	1.5	95.5	0.65	1.00	98.0	3.0	95.1
14.75	0.65	0.80	76.5	15.0	65.0	0.65	0.80	86.0	6.0	80.8
	0.65	0.90	86.0	29.0	61.1	0.65	0.90	90.5	14.0	77.8

Table 2. *Continued*

V	K_Z	K_1	Left			Right				
			P_t	P_w	P_{tot}	K_Z	K_1	P_t	P_w	P_{tot}
<i>FGS3-Y1</i>										
13.25	0.45	0.80	99.5	0.0	99.5	0.45	0.90	100.0	0.5	99.5
	0.65	1.10	98.5	0.0	98.5	0.45	0.80	99.5	0.5	99.0
14.00	0.55	0.90	95.0	6.5	88.8	0.45	0.80	98.0	14.0	84.3
	0.65	1.10	96.0	7.5	88.8	0.55	0.90	89.0	7.5	82.3
14.75	0.55	0.80	77.0	29.5	54.3	0.55	0.80	75.0	28.5	53.6
	0.65	0.90	72.0	22.5	55.8	0.55	0.90	80.0	50.0	40.0
<i>FGS3-Y2</i>										
13.25	0.45	0.80	100.0	0.0	100.0	0.45	0.80	100.0	0.0	100.0
	0.55	0.90	100.0	0.0	100.0	0.45	0.90	100.0	0.0	100.0
14.00	0.65	1.20	100.0	0.0	100.0	0.55	0.90	99.0	1.0	98.0
	0.75	1.20	100.0	0.0	100.0	0.55	1.00	99.5	1.5	98.0
14.75	0.55	0.80	94.0	7.5	90.0	0.55	0.80	90.5	18.5	73.8
	0.65	1.00	93.0	8.0	85.6	0.65	0.90	84.5	10.0	76.1

luring the threshold crossing stage is dramatically increased). Two things stand out in Table 3; Perkin-Elmer Corp.'s K_1 is much too large and, as a consequence, their probability of false lock during the walkdown often reaches certainty. The real cause of this is that the TFs which led to the $P_w = 100\%$ values are double humped; they are so deformed that to speak of a maximum and secondary maximum, as illustrated in Fig. 5 is very misleading. The height of the 'secondary' peak is sometimes more than half that of the primary. Thus, when an excessively large value of K_1 is used, it becomes almost a certainty that lock will occur on the secondary peak (as long as the direction of approach is such that this must be encountered; since it is rare to have both secondary extrema pronounced, this is another reason to vary the direction of approach with each FGS). Remember too that the K_1 values in Table 3 are real whereas those in Table 2 are relative to the nominal value of K_1 given by Eq. (10). As the nominal value is typically 1.1, Perkin-Elmer Corp.'s values of K_1 are 2-3 times larger than the ones I would suggest.

This has severe operational consequences. First of all, when viewed as a successful or unsuccessful lock it gets counted as a successful one. It is rarely a stable one because jitter has a much easier job driving the FGE over the secondary hump. When this happens we are so far away from the true null that automatic recovery will almost never succeed. Similar remarks apply to a photon-noise induced loss of lock from the secondary null. A second negative consequence of locking on the secondary peak is that the telescope is mis-pointed by the distance between the two nulls. This is fatal to astrometry and fairly important for general target acquisition since the FGS to Science instrument aperture alignment will be thrown off. This can easily amount to 50 mas. All of the above, easily predictable, consequences have frequently occurred with the real spacecraft. Now there is a straightforward, unified, explanation for them.

Table 3. FGE P-E Simulator Results

V	K_Z	K_1	P_t	P_w	P_{tot}	LGT P_{tot}
13.00	0.42	1.86	100.0	15.5	84.5	99.0
14.00	0.42	2.04	97.5	71.5	27.8	92.2
13.00	0.42	1.86	0.0	100.0	0.0	91.7
14.00	0.42	2.04	0.0	100.0	0.0	66.8
13.00	0.42	1.56	100.0	1.5	98.5	100.0
14.00	0.42	1.62	100.0	17.0	83.0	100.0
13.00	0.42	1.56	100.0	2.0	98.0	100.0
14.00	0.42	1.62	97.0	18.0	79.5	97.0
13.00	0.42	1.98	0.0	100.0	0.0	14.1
14.00	0.42	2.22	0.0	100.0	0.0	0.0
13.00	0.42	1.98	0.0	100.0	0.0	98.0
14.00	0.42	2.22	0.0	100.0	0.0	69.3
13.00	0.42	2.46	0.0	100.0	0.0	82.3
14.00	0.42	2.70	0.0	100.0	0.0	53.6
13.00	0.42	2.46	0.0	100.0	0.0	73.7
14.00	0.42	2.70	0.0	100.0	0.0	45.8
13.00	0.42	1.50	97.5	3.5	94.0	100.0
14.00	0.42	1.68	90.5	24.5	68.3	91.1
13.00	0.42	1.50	0.0	100.0	0.0	99.5
14.00	0.42	1.68	0.0	100.0	0.0	95.6
13.00	0.42	1.62	11.5	2.5	11.2	99.0
14.00	0.42	1.74	13.0	21.5	10.2	82.3
13.00	0.42	1.62	100.0	1.5	98.5	100.0
14.00	0.42	1.74	99.5	32.0	67.7	98.0

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