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# Modal Decomposition of Hamiltonian Variational Equations 

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#### Abstract

Over any finite arc of trajectory, the variational equations of a Hamiltonian aystem can be separated into "normal" modes. This transformation is canonical, and the Lyapunov exponents over the trajectory arc occur as positive / negative pairs for conjugate modes, while the modal vectors remain unit vectors. This decomposition effectively solves the variational equations for any canonical, linear time-dependent system. As an example, we study the Voyager I trajectory. In an interplanetary flyby, some of the modal variables increase by very large multiplicative factors, but this means that their conjugate modal variables decrease by those same very large multiplicative factors. Maneuver strategies for this case are explored, and the minimum $\Delta v$ maneuver is found.


## 1 Introduction

A Hamiltonian dynamical system can be written as a vector set of differential equations

$$
\begin{equation*}
\dot{\mathbf{X}}=Z \frac{\partial H}{\partial \mathbf{X}} \tag{1}
\end{equation*}
$$

where $\mathbf{X}^{T}=\left(q_{i}, p_{i}\right)$ is termed the state vector, and the matrix $Z$ is

$$
Z=\left\{\begin{array}{cc}
0 & I  \tag{2}\\
-I & 0
\end{array}\right\}
$$

Introduce the small displacement $\boldsymbol{x}(t)=\mathbf{X}(t)-\mathbf{X}_{0}(t)$ from a known trajectory $\mathbf{X}_{0}(t)$. Then, to first order in small quantities, the displacement vector obeys the variational equations

$$
\begin{equation*}
\dot{\mathrm{x}}=A(t) \mathbf{x}=\left.Z \frac{\partial^{2} H}{\partial \mathrm{X}^{2}}\right|_{\mathbf{x}_{0}} \mathbf{x} \tag{3}
\end{equation*}
$$

As a set of linear equations, the variational equations are formally solved by the fundamental matrix $\boldsymbol{\Phi}\left(t, t_{0}\right)$, which satisfies

$$
\begin{equation*}
\dot{\Phi}=A(t) \Phi, \quad \Phi\left(t_{0}, t_{0}\right)=I \tag{4}
\end{equation*}
$$

Then, the general solution to (3) can be written as $x(t)=\Phi\left(t, t_{0}\right) x\left(t_{0}\right)$.

## 2 The Modal Transformation

In this section we will review the recent discovery of the modal transformation for general time dependent linear systems, Wiesel [6], and we will establish this transformation as a canonical change of coordinates.

The stability of a general trajectory of a linear system is determined by the Lyapunov exponents. These are the values

$$
\begin{equation*}
\lambda_{i}=\frac{1}{t_{f}-t_{0}} \log \frac{\left|\Phi\left(t_{f}, t_{0}\right) x_{i}\left(t_{0}\right)\right|}{\left|x_{i}\left(t_{0}\right)\right|} \tag{5}
\end{equation*}
$$

extremalized over all initial displacements $\mathbf{x}_{i}\left(t_{0}\right)$. Usually (5) includes a limit as $t_{f} \rightarrow \infty$, but not here. The restriction to finite time intervals is an absolute necessity, since prediction of chaotic systems is only possible for a finite time interval.

We wish to find the vectors $\mathbf{x}_{\mathbf{i}}\left(t_{0}\right)$, which extremalize the growth of the norm of displacement vectors, $\left|\mathbf{x}\left(t_{j}\right)\right|$ with respect to the initial displacement $\mathbf{x}\left(t_{0}\right)$. This is a constrained maximization, since in a linear system we may specify $\left|\mathbf{x}\left(t_{0}\right)\right|=1$ from the outset. Using a Lagrange multiplier $\mu$, we have the optimization problem

$$
\begin{equation*}
J=\left|\mathbf{x}\left(t_{f}\right)\right|^{2}-\mu\left(\left|\mathbf{x}\left(t_{0}\right)\right|^{2}-1\right) . \tag{6}
\end{equation*}
$$

Now, since $\mathbf{x}\left(t_{f}\right)=\Phi\left(t_{f}, t_{0}\right) \mathbf{x}\left(t_{0}\right)$, the scalar function (6) becomes

$$
\begin{equation*}
J=\sum_{i}\left\{\sum_{j} \Phi_{i j} x_{0 j}\right\}^{2}-\mu\left\{\sum_{i} x_{0 i}^{2}-1\right\} . \tag{7}
\end{equation*}
$$

Partial derivatives can now be calculated as if all components of the initial conditions $x_{0 i}$ were independent, yielding

$$
\begin{equation*}
\frac{1}{2} \frac{\partial J}{\partial x_{0 k}}=0=\sum_{i} \sum_{j} \Phi_{i j} \Phi_{i k} x_{0 j}-\mu_{i} x_{0 k} \tag{8}
\end{equation*}
$$

where $k=1,2, \ldots . N$. But this is just the component form of

$$
\begin{equation*}
\left\{\Phi^{T} \Phi-\mu_{i} I\right\} \mathbf{e}_{\mathbf{i}}\left(t_{0}\right)=0 \tag{9}
\end{equation*}
$$

That is, the $\mathbf{e}_{\mathbf{i}}\left(t_{0}\right)$ are the real, orthogonal eigenvectors of the real symmetric matrix $\boldsymbol{\Phi}^{\boldsymbol{T}} \boldsymbol{\Phi}$, or the right singular vectors of $\Phi$. Comparison to (5) shows that the Lyapunov exponents over the time interval ( $t_{0}, t_{f}$ ) are found from

$$
\begin{equation*}
\mu_{i}=\exp \left\{2 \lambda_{i}\left(t_{j}-t_{0}\right)\right\} . \tag{10}
\end{equation*}
$$

This has been recognized by Goldhirsch, Sulem and Orszag [1]. We will refer to our $\lambda_{i}$ as regional Lyapunov exponents, since they pertain to the finite time interval $\left(t_{0}, t_{f}\right)$.

A matrix $\Phi$ is symplectic if it obeys $\Phi Z \Phi^{T}=Z$, or equivalently $\Phi^{T} Z \Phi=Z$. It is well known that the fundamental matrix $\Phi$ is symplectic for a Hamiltonian dynamical system, see, e.g., Wiesel and Pohlen [7]. But then examining $\Phi^{T} \Phi$, we find

$$
\begin{align*}
\Phi^{T} \Phi Z\left(\Phi^{T} \Phi\right)^{T} & =\Phi^{T}\left(\Phi Z \Phi^{T}\right) \Phi \\
& =\Phi^{T} Z \Phi=Z \tag{11}
\end{align*}
$$

so that $\Phi^{T} \Phi$ is itself symplectic. The eigenvalues of a symplectic matrix occur as inverse pairs, $\mu_{i}, 1 / \mu_{i}$, so by (10) the regional Lyapunov exponents occur as positive / negative pairs. Since the Lyapunov exponents are also real, at most half of the modes are unstable, while the other half are stable. The proof of Liouville's theorem follows from this as a very simple consequence.

Over a finite arc of the trajectory, the regional Lyapunov exponents may be used to factor the dynamics into separate modes. The initial conditions $\mathbf{e}_{i}\left(t_{0}\right)$ introduce $N$ special solutions to the variational equations, $\mathbf{x}_{i}(t)=\Phi\left(t, t_{0}\right) \mathbf{e}_{i}\left(t_{0}\right)$, on which the average exponential rate of expansion or contraction is an extremum. But local variations in these rates can be quite large, Haubs and Haken [2], Nese [3], Sepúlveda, Badii, and Pollak [4]. We wish to use these $N$ special solutions to the variational equations as basis vectors for the entire solution set, and it would be very inconvenient for them to be anything other than unit vectors. Their instantaneous rates of change of magnitude are given by

$$
\begin{equation*}
\sigma_{i}(t)=\frac{\mathbf{x}_{i} \cdot A \mathbf{x}_{i}}{\left|\mathbf{x}_{\boldsymbol{i}}\right|^{2}} . \tag{12}
\end{equation*}
$$

Since the regional Lyapunov exponents are the average of these instantaneous rates on these $N$ extremal solutions, we have

$$
\begin{equation*}
\lambda_{i}=\frac{1}{t_{f}-t_{0}} \int_{t_{0}}^{t_{f}} \sigma_{i}(\tau) d \tau \tag{13}
\end{equation*}
$$

Then, define $N$ new functions $\mathrm{e}_{\mathrm{i}}(t)$ as the solutions to

$$
\begin{equation*}
\dot{e}_{i}(t)=A \mathbf{e}_{i}-\sigma_{i}(t) \mathbf{e}_{i} \tag{14}
\end{equation*}
$$

with initial conditions $e_{i}\left(t_{0}\right)$ on the interval $\left(t_{0}, t_{f}\right)$. They are, by (14), trivially unit vectors on the entire interval $t_{0} \leq t \leq t_{f}$, and orthonormal at $t=t_{0}$. That they must also be orthogonal at $t=t_{f}$ can be seen by realizing that $e_{i}\left(t_{f}\right)$ must also be the extremal initial conditions for exponential growth of trajectories running backwards in time. So they are the eigenvectors of the symmetric matrix $\left(\Phi^{-1}\right)^{T}\left(\Phi^{-1}\right)$, and are orthogonal. But at other times in the interval ( $t_{0}, t_{f}$ ) the $e_{i}(t)$ vectors may not be orthogonal. We note that since the new vectors remain unit vectors,

$$
\begin{equation*}
\sigma_{i}(t)=e_{i} \cdot A e_{i} \tag{15}
\end{equation*}
$$

is an alternate form of (12). The $e_{i}$ have the same direction as the special solutions $x_{i}$ throughout the time interval, differing from them only in magnitude.

Now, assemble the $\mathbf{e}_{i}(t)$ vectors by columns into the matrix $\mathcal{E}(t)$. The matrix analog of (14) is

$$
\begin{equation*}
\dot{\mathcal{E}}=A \mathcal{E}-\mathcal{E} J(t) \tag{16}
\end{equation*}
$$

where $J(t)$ is the diagonal matrix whose entries are the $\sigma_{i}(t)$. This is a relationship which is very familiar from time-periodic systems.

We wish to use the $e_{i}(t)$ vectors as the coordinate vectors for describing the solution to the variational equations. To this end, define new coordinates $y$ on the tangent space as

$$
\begin{equation*}
\mathbf{x}(t)=\mathcal{E}(t) \mathbf{y}(t) \tag{17}
\end{equation*}
$$

Since $\mathcal{E}(t)$ is a nonsingular matrix function of time, at least for $t_{0} \leq t \leq t_{\rho}$, all stability information resides within the $y$ variables. Again differentiating (17) and substituting into the variational equations (3) we have

$$
\begin{equation*}
\dot{\mathbf{y}}=\left\{\mathcal{E}^{-1} A \mathcal{E}-\mathcal{E}^{-1} \dot{\mathcal{E}}\right\} \mathbf{y} \tag{18}
\end{equation*}
$$

But using (16), this easily reduces to

$$
\begin{equation*}
\dot{\mathbf{y}}=J(t) \mathbf{y} \tag{19}
\end{equation*}
$$

So, this transformation takes the variational equations (3), and replaces them with a set of decoupled, timedependent coefficient differential equations for the variables $y$, and another set of linear equations (16) for the coordinate vectors $e(t)$. We will refer to $y$ as the modal variables for the system, and $\mathcal{E}(t)$ as the modal matrix.

The transformation (17) will be canonical if $\mathcal{E}$ is a symplectic matrix for all time. It is possible to so normalize $\mathcal{E}\left(t_{0}\right)$ at the initial time, Siegel and Moser [5], Wiesel and Pohlen [7]. Then $\mathcal{E}$ will stay symplectic if $\mathcal{E}^{T} Z \mathcal{E}=Z$ for all time. Taking a time derivative of this and substituting from (16) gives

$$
\begin{equation*}
\mathcal{E}^{T} A^{T} Z \mathcal{E}+\mathcal{E}^{T} Z A \mathcal{E}-J^{T}\left(\mathcal{E}^{T} Z \mathcal{E}\right)-\left(\mathcal{E}^{T} Z \mathcal{E}\right) J=0 \tag{20}
\end{equation*}
$$

Assuming that the modal matrix is at the moment symplectic replaces the quantities in parentheses with $Z$. For Hamiltonian systems the matrix $A=Z \partial^{2} H / \partial X^{2}$, and since $\partial^{2} H / \partial X^{2}$ is symmetric, the above reduces to

$$
\begin{equation*}
-J^{T} Z-Z J=0 \tag{21}
\end{equation*}
$$

Simple calculation will show that this is an identity for any diagonal matrix $J$, so the modal transformation (17) is a canonical transformation if $\mathcal{E}\left(t_{0}\right)$ is symplectically normalized. The modal equations of motion (19) then come from a modal variational Hamiltonian

$$
\begin{equation*}
K(\mathbf{y})=\frac{1}{2} y^{T} Z^{T} J y \tag{22}
\end{equation*}
$$

This is, of course, only a local approximation, ignoring cubic and higher order terms.

## 3 The Voyager 1 Trajectory

As an example, we have chosen to study an approximation to the Voyager I trajectory from earth past Jupiter, and onward almost to Saturn. The trajectory is only approximate, since it was constructed in the restricted problem of three bodies. But our method is general, and easily extends to more complex and realistic dynamics. The Hamiltonian function is

$$
\begin{equation*}
H=\frac{1}{2}\left(p_{x}^{2}+p_{y}^{2}+p_{z}^{2}\right)-p_{x} y+p_{y} x-\frac{1-\mu}{r_{1}}-\frac{\mu}{r_{2}}, \tag{23}
\end{equation*}
$$

where

$$
\begin{align*}
& r_{1}^{2}=(x-\mu)^{2}+y^{2}+z^{2}, \\
& r_{2}^{2}=(x+1-\mu)^{2}+y^{2}+z^{2} . \tag{24}
\end{align*}
$$

Using Voyager I's known distance of closest approach to Jupiter of $780,000 \mathrm{~km}$ and the flight time of 544 days from the earth to Jupiter, a boundary value problem was posed: starting at Jupiter the trajectory was propagated backwards, and at "launch", it should be 1 A.U. from the sun, and moving tangentially. The initial conditions for this trajectory are listed in Table I. In addition, we give a point about 100 days prior to close approach.

Table I
Launch Initial Conditions

|  |  |  |  |
| :---: | :---: | :---: | :---: |
|  | + $76277945743861 \times 10^{-2}$ | +1886728183030001 |  |
| $q_{i}$ | $+3.762779457438691 \times 10^{-2}$ | $+1.886728183030001 \times 10^{-1}$ | 0.0 |
| $p_{i}$ | -2.991922520851858 $\times 10^{0}$ | $+5.825188979188912 \times 10^{-1}$ | 0.0 |
| Intermediate Initial Conditions |  |  |  |
|  | x | y |  |
| $q_{i}$ | -8.775683982224044 $\times 10^{-1}$ | -4.272485353294678 $\times 10^{-2}$ | 0.0 |
| $\mathrm{p}_{i}$ | $-8.227825491293955 \times 10^{-1}$ | $-7,044554120116425 \times 10^{-1}$ | 0.0 |

Over this trajectory, a final time of 1.5 dimensionless time units will take the spacecraft from the earth almost to the orbit of Saturn. This is shown in Fig. 1, in the rotating reference frame usually used for the restricted problem. The regional Lyapunov exponents are $\pm 5.637879, \pm 5.08635$, and $\pm 2.251739$. Examining the eigenvectors, the first and third are modes in the orbital plane, while the second is purely an out of plane mode. This leads to amplification / contraction of initial errors by multiplicative factors of 4706, 2058, and 29.3. Over an infinite time interval we would expect two zero Lyapunov exponents, and the third mode is much the least dramatically unstable of the three. It probably corresponds to an initial displacement along the trajectory itself.

To gain further insight, adjacent trajectories have been examined in the modal space. Integrating the nominal trajectory and a nearby orbit, the difference $\mathbf{X}=\mathbf{X}(t)-\mathbf{X}_{0}(t)$ was converted into modal variables with $\mathbf{y}=\mathcal{E}^{-1} \mathbf{x}$. Initial conditions were chosen to excite only one mode at a time, and to explore the limit of the linearization inherent in our solution. A shorter arc of the trajectory, spanning $\pm 100$ days before and after flyby was chosen in order to avoid the extreme differences between initial and final modal amplitudes. Initial conditions for this arc are also given in Table I, and the limits of this portion of the trajectory are indicated in Fig. 1. Over this interval, the first two modes expand/decay by a factor of about 400, while the third mode is nearly static with an expansion/contraction factor of 1.32. The corresponding Lyapunov exponents are $\pm 20.213590, \pm 20.161805, \pm 0.935968$. The study of a shorter, less violently expanding / contracting interval makes it possible to see the entire modal behavior on graphs. It also emphasizes that neither the Lyapunov exponents nor the modal vectors $\mathcal{E}$ are invariant to changes in the trajectory arc studied.

Fig. 2 shows the behavior of the Lyapunov exponents through this time interval. That is, the figure plots the running values of $\lambda_{i}(t)$ starting 100 days before Jupiter approach, and ending 100 days afterwards. The final values are the Lyapunov exponents used to decouple the entire trajectory arc, but intermediate values show where error growth occurs. Obviously, the immediate vicinity of the close approach is a time of explosive error growth. But after the flyby some of this error growth decays again.


Figure 1: Voyager I flyby trajectory in the rotating frame of the restricted problem. Jupiter is at the cusp, while plus signs mark points $\pm 100$ days bracketing close approach.


Figure 2: Lyapunov exponents $\lambda_{i}\left(t_{f}\right)$ throughout the $\pm 100$ day interval bracketing flyby. The first and second modes (the outer curves after flyby) are superimposed at this scale.


Figure 3: Modal trajectories on the tangent space for mode 1, with Lyapunov exponents $\pm \mathbf{2 0 . 2 1 3 5 9}$.
Fig. 3 shows the behavior of the first mode over the $\pm 100$ day arc. The modal vectors for this mode lie entirely within the orbital plane of Jupiter. Since the modal equations of motion (19) are time dependent, Fig. 3 is not a true phase portrait. Rather, initial conditions were scaled by a constant factor to find where the system visibly departs from linearity. Since $y_{1}$ grows by a factor of about 400 in this interval, while $y_{4}$ shrinks by the same factor, initial conditions are virtually on the vertical $y_{4}$ axis, while all trajectories terminate nearly on the horizontal $y_{1}$ axis. The linear regime appears at the core of the figure as a symmetric region where trajectories scale linearly. Most of the loop is traversed in a very short period of time about closest approach. Over most of the time interval trajectories are slowly departing from the vicinity of the $y_{4}$ axis before flyby, or converging towards the $y_{1}$ axis after closest approach. These trajectories were calculated with initial values of $y_{2}, y_{3}, y_{5}$, and $y_{6}$ zero. These values stayed zero, confirming the success of the modal transformation.

Fig. 4 shows tangent space trajectories for the second mode. This mode lies entirely along the $z, p_{z}$ directions in phase space, and the error growth / shrinkage factor is again about 400 over this trajectory arc. The truly linear regime again appears at the core of the figure, while the outer trajectories show visible departures from linearity. Also like mode 1 , virtually all of the outer loops are traversed in a short time interval bracketing closest approach.

Mode 3 appears to span the in-track direction and the normal vector to the constant Hamiltonian surface. Since errors in these two directions are, in the long run, nearly static, the author suspects that if extended to infinity that this mode would generate the predicted pair of zero Lyapunov exponents. (A pair since in any autonomous system one Lyapunov exponent must be zero, and as a canonical system its Lyapunov exponents must occur as positive / negative pairs.) Fig. 5 shows some trajectories for this mode. The expansion / contraction of amplitude near close approach is so dramatic for this mode that all the initial and final points for this mode are at the origin on this scale plot. Actual initial modal amplitudes were of the order of $10^{-7}$, and expand briefly by over four orders of magnitude near close approach. The individual trajectories seem to leave the origin, and then virtually retrace their outward path in returning.


Figure 4: Tangent space trajectories for mode 2, the out-of-plane mode. The Lyapunov exponents are $\pm 20.16180$.


Figure 5: Tangent space trajectories for the third mode, with Lyapunov exponent $\pm 0.93596$. At this scale, all trajectories begin at and return to the origin.

## 4 Maneuvers

Since three Lyapunov exponents are positive, while three are negative, we have examined a maneuver strategy which attempts to zero the unstable modal amplitudes $y_{1}, y_{2}, y_{3}$. The stable modal amplitudes are far less important, since they are decreasing any ways. However, we can only perform impulsive changes in velocity in the physical space, and we have just stated our maneuver goals in the modal space. Just before a maneuver, we have

$$
\begin{equation*}
\mathbf{x}\left(t^{-}\right)=\mathcal{E}(t) \mathbf{y}\left(t^{-}\right) \tag{25}
\end{equation*}
$$

while just afterwards we have

$$
\begin{equation*}
\mathbf{x}\left(t^{-}\right)+\delta \mathbf{x}=\mathcal{E}(t) \mathbf{y}\left(t^{-}\right)+\mathcal{E} \delta \mathbf{y} . \tag{26}
\end{equation*}
$$

Subtracting the two equations above produces $\delta x=\mathcal{x} \delta \mathbf{y}$. Now, physically we must have $\delta x^{T}=\left(0^{T}, \delta v^{T}\right)$, since an impulsive maneuver cannot change the position vector, and since the momenta are really the components of the inertial velocity on the rotating frame axes. In partitioned form, then, the maneuver conditions become

$$
\binom{0}{\delta v}=\left\{\begin{array}{ll}
\varepsilon_{11} & \mathcal{E}_{12}  \tag{27}\\
\varepsilon_{21} & \varepsilon_{22}
\end{array}\right\}\binom{\delta y_{1-3}}{\delta y_{4-6}},
$$

in three by three vector partitions. To zero the unstable modal amplitudes we must have

$$
\begin{equation*}
\delta y_{1-3}^{T}=\left(-y_{1},-y_{2},-y_{3}\right) . \tag{28}
\end{equation*}
$$

The changes in the stable modal amplitudes are not within our control. The first three rows can be solved to yield

$$
\delta \mathbf{y}_{4-6}=\mathcal{E}_{12}^{-1} \varepsilon_{11}\left(\begin{array}{l}
-y_{1}  \tag{29}\\
-y_{2} \\
-y_{3}
\end{array}\right)
$$

Then, the second three rows give the desired maneuver as

$$
\delta \mathbf{v}=\left\{\mathcal{E}_{21}+\mathcal{E}_{22} \mathcal{E}_{12}^{-1} \mathcal{E}_{11}\right\}\left(\begin{array}{l}
-y_{1}  \tag{30}\\
-y_{2} \\
-y_{3}
\end{array}\right)
$$

An alternate strategy is suggested by the fact that only two of the modes experience significant expansion, while mode three is nearly static. Attempting to zero only the amplitudes of modes one and two enables us to minimize the velocity change required in the maneuver. Rewrite (27) as

$$
\binom{\delta y_{1-3}}{\delta y_{4-6}}=\left\{\begin{array}{ll}
\mathcal{E}_{11}^{-1} & \varepsilon_{12}^{-1}  \tag{31}\\
\mathcal{E}_{21}^{-1} & \varepsilon_{22}^{-1}
\end{array}\right\}\binom{0}{\delta \mathbf{v}} .
$$

(The $\mathcal{E}_{i j}^{-1}$ are three by three blocks of the inverse matrix $\mathcal{E}^{-1}$.) Then, we wish to minimize $\Delta v^{2}$ subject to the constraints $\epsilon_{1} \Delta v=-y_{1}$ and $\epsilon_{2} \Delta v=-y_{2}$, where the $\epsilon_{i}$ are the first and second rows of $\mathcal{E}_{12}^{-1}$. Using two Lagrange multipliers $\lambda_{i}$, the minimum amplitude maneuver is given by the solution to the five linear equations

$$
\begin{align*}
& 2 \Delta v_{i}+\lambda_{1} \epsilon_{1 i}+\lambda_{2} \epsilon_{2 i}=0, i=1,2,3, \\
& \epsilon_{1} \Delta \mathbf{v}=-y_{1},  \tag{32}\\
& \epsilon_{2} \Delta \mathbf{v}=-y_{2} .
\end{align*}
$$

To study this maneuver strategy, we have begun at 100 days before flyby with a unit error in either $y_{1}$ or $y_{2}$. Of course, this is a linear problem, and scales linearly to other initial errors. Then the error was corrected at maneuver time $t_{m}$, and the new state, including nonzero amplitudes in the modal variables $y_{4-6}$, was propagated to 100 days after the flyby. The results for $y_{1}$ are shown in Figs. 6 and 7. Fig. 6 shows the required $\Delta v$ maneuver amplitude as a function of the maneuver time in order to eliminate a unit error in $y_{1}$ at the start of the trajectory arc. As expected, the error is much cheaper to correct early in the trajectory, and becomes very expensive to correct after flyby. Fig. 7 shows the final values $y_{4-6}\left(t_{j}\right)$ as a function of the


Figure 6: Maneuver magnitude $\Delta v$ needed to correct an initial unit error in $y_{1}$ as a function of the time of the maneuver $t_{m}$. The error is vastly cheaper to correct before flyby.
time at which the maneuver is performed. Both $y_{3}$ and $y_{6}$ suffer a very large unwanted increase (again, at the final time) if the initial $y_{1}$ error is corrected any time around close approach. The conjugate variable to $y_{1}, y_{4}$, remains insensitive to $y_{1}$ corrections before close approach, since any error introduced into $y_{4}$ by an $y_{4}\left(t_{f}\right)$ shows a linear growthabe wime in which to decay. This is not true after the flyby, and the final error of plane mode $y_{5}$ is decoupled from the planar mors performed after the time of closest approach. The out

Figs. 8 and 9 show the analogous results for an initial remains at its initial value of zero. cost of correcting an out of plane error soars enormously just at the time the unstable vertical mode $y_{2}$. The drops to a lower, nearly static value. The nearly static cost the time of closest approach, and afterwards mode growth / contraction in this problem occurs very near the time of fis due to the fact that most of the amplitudes themselves become almost static. Fig. 9 shows the fine of flyby. Afterwards most of the modal maneuver time. Since the $z$ mode is decoupled from both planar modes amplitudes as a function of the initial zero values. However, the stable mode $y_{5}$ conjugate planar modes, these are not excited from their maneuvers performed after the close approach $y_{s}$ conjugate to the unstable vertical mode $y_{2}$ is excited by significantly decay if the maneuver is performed bs with the planar mode, this is due to the fact that $y_{5}$ can is performed after flyby.

## 5 Discussion and Conclusions

In this paper we have shown that the recently discovered modal separation for time dependent linear systems can be put on a canonical footing. A numerical example has been presented, showing the modal behavior and maneuver strategies for an approximation to the Voyager I flyby of Jupiter. The modal separation variables make it possible to assess the effects of initial errors and the steps taken to correct them without reference modal transformation offers.

This is just a beginning. There is no reason that the orbit determination process itself could not use the modal variables as the quantities to be determined. Also, the fact that the modal transformation is itself


Figure 7: Final modal amplitudes $y_{i}\left(t_{f}\right)$ as a function of the time $t_{m}$ a maneuver was performed to cancel an initial unit error in $y_{1}$.


Figure 8: Maneuver cost $\Delta v$ needed to cancel an initial unit error in the out of plane mode $y_{2}$.


Figure 9: Final modal amplitudes $y_{i}\left(t_{j}\right)$ as a function of the maneuver time $t_{m}$ used to correct an initial unit error in the unstable vertical mode $y_{2}$.
a canonical transformation might be used to construct a perturbation theory about the underlying reference orbit, including higher order terms in the modal Hamiltonian (22) as the perturbation source. This might significantly extend the region of validity of the linearization of the trajectory.

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