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## Evolution of the global aurora during positive IMF $B_z$ and varying IMF $B_y$ conditions

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**Abstract.** The DE 1 imaging instrumentation provides a full view of the entire auroral oval every 12 min for several hours during each orbit. We examined five examples of global evolution of the aurora that occurred during the northern hemisphere winter of 1981–1982 when the  $z$  component of the interplanetary magnetic field was positive and the  $y$  component was changing sign. Evolution of an expanded auroral emission region into a theta aurora appears to require a change in the sign of  $B_y$  during northward interplanetary magnetic field (IMF). Theta aurora are formed both from expanded duskside emission regions ( $B_y$  changes from positive to negative) and dawnside emission regions ( $B_y$  changes from negative to positive), however the dawnside-originating and duskside-originating evolutions are not mirror images. The persistence of a theta aurora after its formation suggests that there may be no clear relationship between the theta aurora pattern and the instantaneous configuration of the IMF.

### 1. Introduction

During intervals of northward interplanetary magnetic field (IMF), the auroral oval is contracted to higher latitudes and often exhibits broad emission regions in the dawn and dusk sectors that can spread poleward to very high latitudes. Where these regions form in local time is dependent on the sign of  $B_y$ : in the northern hemisphere an emission region forms on the duskside of the noon-midnight meridian when  $B_y$  is positive and an emission region forms on the dawnside when  $B_y$  is negative [Hones *et al.*, 1989]. When average  $B_y$  is approximately zero, emission regions often form on both the dawn and dusk sides of the auroral oval forming a “teardrop-shaped” region near the pole which is void of auroral emissions [Murphree *et al.*, 1982]; this pattern is sometimes referred to as the “horse-collar aurora” [Hones *et al.*, 1989]. It is believed that this expansion of the auroral oval results from a poleward expansion of a region of closed field lines which map to the plasma sheet boundary layer of the magnetosphere

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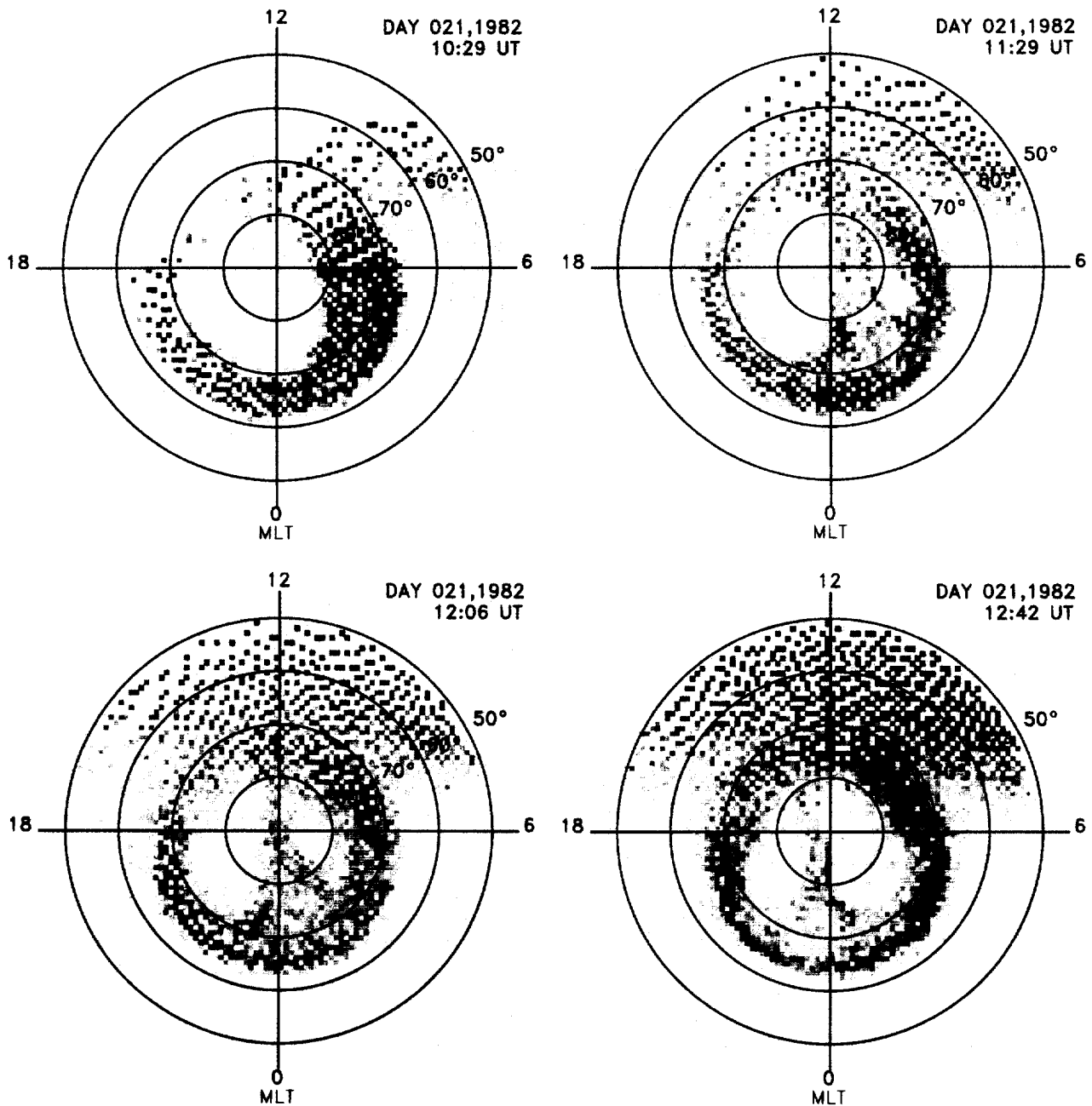
[Meng, 1981]. This view is supported by the studies of Birn *et al.* [1991] and Elphinstone *et al.* [1991] using the Tsyganenko [1987] magnetic field model. Other recent studies confirm agreement between far-ultraviolet (FUV) signatures and particle precipitation patterns [Sharber *et al.*, 1992; Lassen *et al.*, 1988].

In addition, during magnetically quiet intervals large-scale Sun-aligned transpolar arcs can be observed to extend across the polar region from the local-noon sector to the midnight sector. These patterns tend to be associated with larger IMF  $B_z$  values, and greater IMF magnitude in general, than the horse-collar pattern [Burch *et al.*, 1992]. The motion and location of the transpolar arcs is often dependent on the sign of  $B_y$ , with the transpolar arcs moving toward the duskside of the noon-midnight meridian in the northern hemisphere when  $B_y$  is positive [Huang *et al.*, 1989] and toward the dawnside when  $B_y$  is negative [Frank *et al.*, 1985; Craven and Frank, 1991]. Motion of the transpolar arc is seen to be in the opposite direction in the southern hemisphere [Craven *et al.*, 1991]. The auroral pattern made up of the transpolar arc and the oval has also been called “theta aurora” [Frank *et al.*, 1982]. Particle measurements on field lines above the transpolar arc of the theta aurora indicate that those field lines may originate in the plasma sheet boundary layer. This may occur as an isolated region surrounded by particles normally associated with the polar cap, indicating a bifurcated magnetotail [Frank *et al.*, 1982, 1986; Bythrow

*et al.*, 1985], or as part of an extended region of auroral precipitation [Eliasson *et al.*, 1987], indicating a large dawn-dusk asymmetry in the plasma sheet or a significant "distortion" in the mapping along field lines between the ionosphere and the magnetotail [Blomberg and Marklund, 1993].

Ionospheric convection patterns observed during northward IMF consist of a variety of configurations including spatially dominant one-cell patterns and multiple-

cell patterns. The number of cells appears to have a first-order dependence on the ratio of  $B_z$  to  $B_y$ , resulting in an increasing number of cells as the IMF becomes more strongly northward [Potemra *et al.*, 1984; Reiff and Burch, 1985; Heelis *et al.*, 1986; Cumnock *et al.*, 1995, and references therein]. Attempts have been made to reconcile instantaneous auroral-arc observations with theoretical models of convection [Reiff *et al.*, 1978; Chiu *et al.*, 1985; Jankowska *et al.*, 1990] and models of the



**Plate 1.** False-color images beginning at 1029 UT, 1129 UT, 1206 UT, and 1242 UT on day 21 of 1982. The images of northern hemisphere auroral luminosities (123 - 155 nm passband) taken by the DE 1 auroral imager are projected into a magnetic local time - corrected geomagnetic latitude coordinate system. The color bar extends from light orange to red to represent the lowest to highest intensities ( $\sim 1$  kR to  $\sim 55$  kR). Luminosities less than 1 kR are coded light yellow. Day and time, at the upper right in each image, denote the beginning of an image taken over a 12-min period.

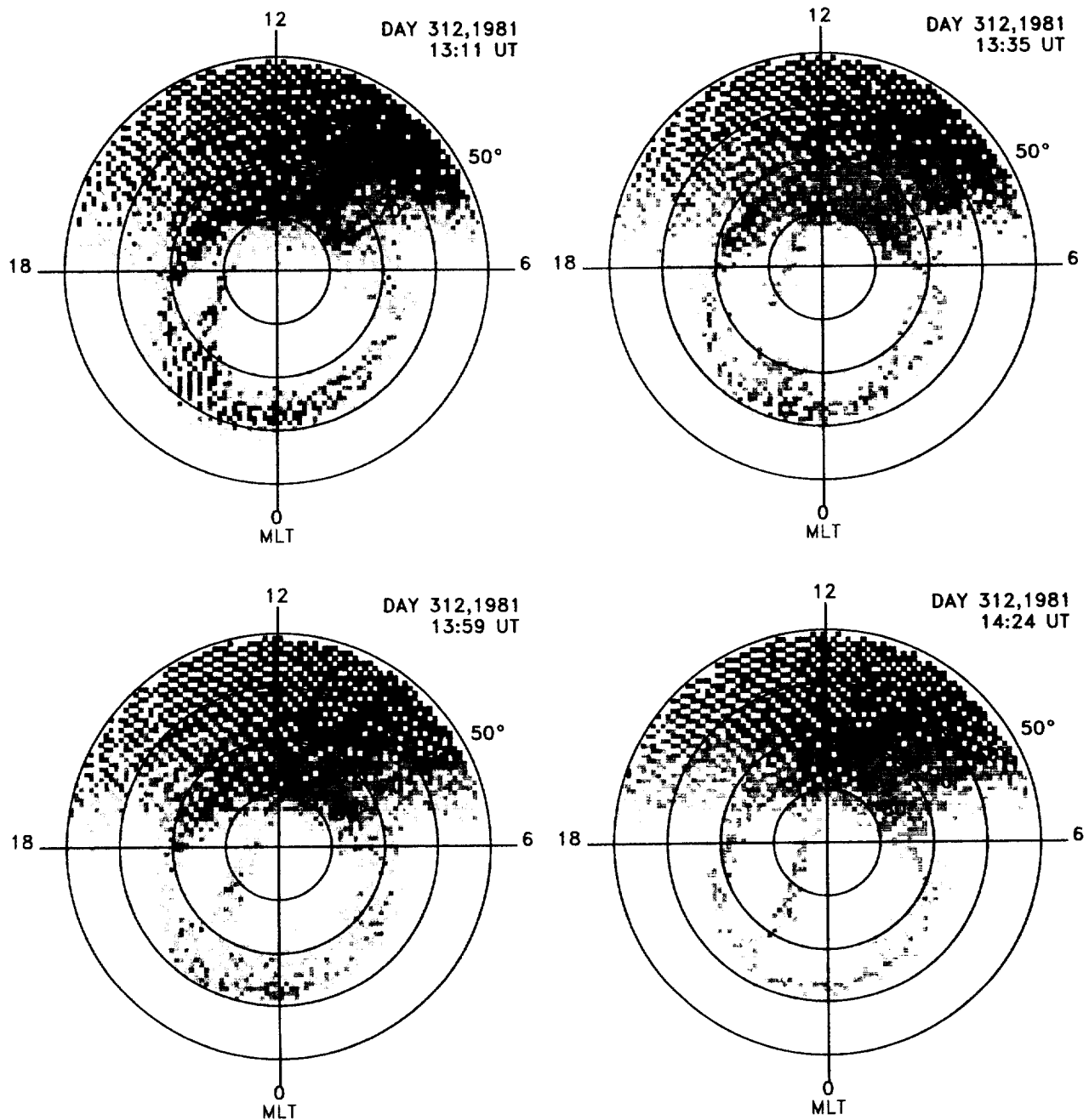


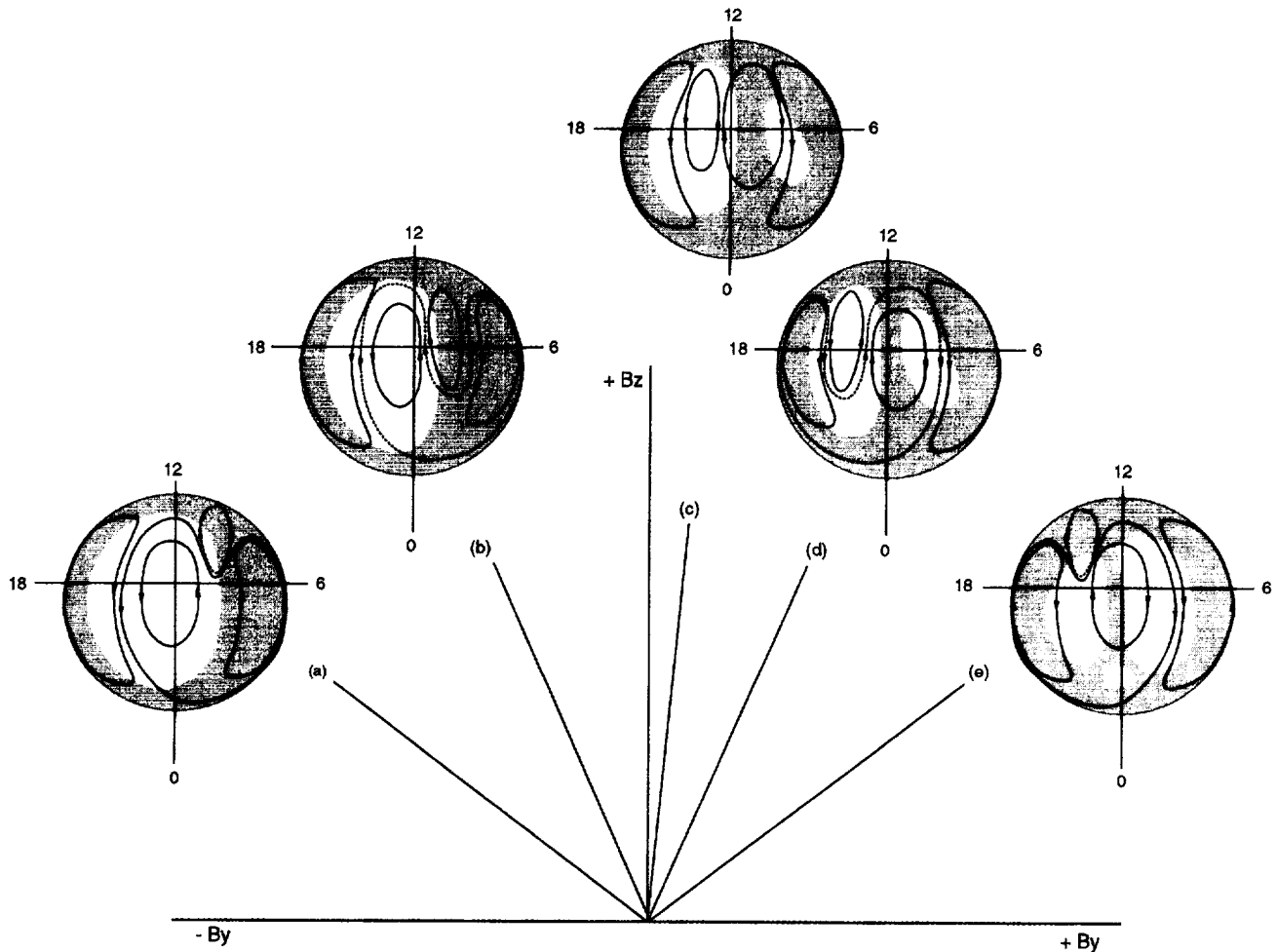
Plate 2. False-color images beginning at 1311 UT, 1335 UT, 1359 UT, and 1424 UT on day 312 of 1981; same format as Plate 1.

large-scale magnetospheric configuration [Lundin *et al.*, 1991; Weiss *et al.*, 1993, and references therein].

It has been reported that the "horse-collar aurora" sometimes evolves into the theta pattern [Hones *et al.*, 1989]. Here we examine several examples (and show two examples), from the Dynamics Explorer 1 satellite (DE 1), in which a series of images show this large-scale spatial evolution of the global auroral pattern during changing northward IMF. We reconcile large-scale changes in the global auroral pattern with the large-scale ionospheric plasma convection, consistent with observations of the influence of the IMF.

## 2. Observations

Auroral images are obtained from the DE 1 satellite, launched in August 1981, with an altitude of  $3.65 R_E$  (Earth radius) at apogee, 570 km at perigee and a  $90^\circ$  orbital inclination. An initial latitude of  $78.2^\circ N$  at apogee permits views of the northern polar region. The DE 1 imaging instrumentation provides a full view of the entire auroral oval every 12 min for typically 3 hours in each orbit and permits imaging of the aurora in the sunlit atmosphere through the use of filters in several FUV wavelength bands. For example, with fil-



**Plate 3.** A sequence of schematic aurora and global ionospheric convection patterns which are consistent with observations of the aurora seen in Plate 1 and an evolution of the convection pattern. The polar schematics are organized by IMF orientation (in the  $B_y$ - $B_z$  plane). The most significant changes in global configuration are shown as  $B_y$  changes from (a,b) negative on the left-hand side to (c-e) positive on the right-hand side. Auroral luminosities are illustrated in red; solid black lines denote lines of equipotential forming simple convection cells, and dotted black lines show another possible closure of the plasma flows indicated by equipotentials.

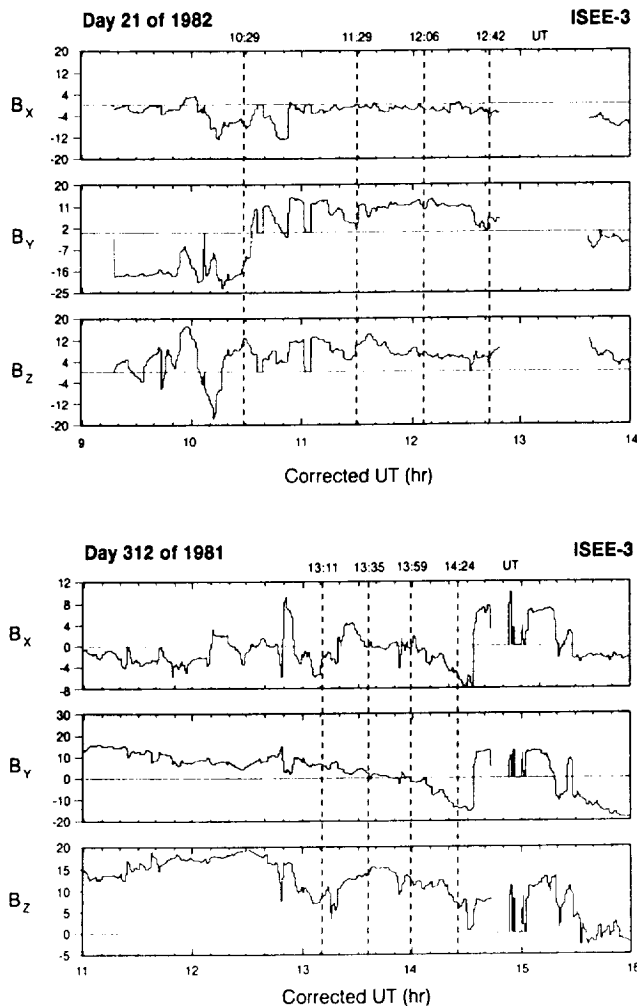
ter 2 (used in this study) at FUV wavelengths 123 to 155 nm, the principal emissions from the aurora along the oval and within the polar cap are from atomic oxygen (O I) at about 130.4 and 135.6 nm. For details see Frank *et al.* [1981] and Frank and Craven [1988]. For the satellite near apogee the spatial dimension of one pixel is about 120 km at auroral altitudes.

We examined 5 examples of global evolution of the aurora that occurred during the northern hemisphere winter of 1981-1982, but show only two of the examples which are representative of the large-scale features in all of the observations. In the first (Plate 1, day 21 of 1982) a theta aurora evolves from an expanded dawnside emission region and during the second (Plate 2, day 312 of 1981) the theta aurora originates on the duskside. What appears to be a single continuous arc (for example, Plate 1, 1242 UT and Plate 2, 1424 UT) may, because of image resolution, in fact be several iso-

lated arc structures [see Frank *et al.*, 1986]. Also note that formation, disappearance and motion of arcs can occur within the 12-min period during which an image is formed, although the scan across the arc itself takes much less time, depending on geometry.

For a few of the auroral images examined here, Dynamics Explorer 2, the low-altitude companion satellite in the same orbital plane as DE 1, provides the horizontal plasma drift from low altitudes. Variations in the plasma drifts are used to estimate a reasonable large-scale global convection pattern.

ISEE 3 provides magnetic field measurements used to determine IMF orientation prior to and during the DE 1 imaging periods. Figure 1 shows ISEE 3 interplanetary magnetic field data  $B_x$ ,  $B_y$ , and  $B_z$  (nanotesla) for the two examples illustrated in the study, day 21 of 1982 and day 312 of 1981. The times of the beginning of the northern high-latitude DE 1 images are indicated by the



**Figure 1.** ISEE 3 interplanetary magnetic field data  $B_x$ ,  $B_y$ , and  $B_z$  (nanotesla) for the two examples illustrated in the study, day 21 of 1982 and day 312 of 1981. The 1-min averages of the IMF are plotted in GSE coordinates as a function of corrected universal time (universal time plus the estimated time of propagation from ISEE 3 to the Earth's magnetopause of approximately 1 hour). At these times ISEE 3 was operating at the L1 point,  $\sim 240 R_E$  sunward of the Earth. The times at the beginning of the northern high-latitude DE 1 images are indicated by the dashed lines.

dashed lines. The 1-min averages of the IMF are plotted in GSE coordinates as a function of corrected universal time (universal time plus the estimated time of propagation from ISEE 3 to the Earth's magnetopause). During this time period, ISEE 3 was operating at the L1 point,  $\sim 240 R_E$  sunward of the earth, resulting in a time delay between an IMF measurement at ISEE 3 and that appropriate at the magnetopause of approximately 1 hour. Consequently, because of the location of ISEE 3, the IMF does not necessarily maintain the same orientation in its transit to the Earth. Hourly averages of the solar wind velocity are also available from this database.

False-color images of auroral luminosities are presented in Plate 1 and Plate 2, with the color bar extending from light orange to red to represent the lowest to highest intensities ( $\sim 1$  kR to  $\sim 55$  kR). Luminosities less than 1 kR are coded light yellow. These are not the actual satellite images, but a projection of the data onto a common coordinate system so the reader can more easily compare them. The images are plotted in magnetic local time (MLT) and corrected geomagnetic latitude (CGL) utilizing data and software provided by the National Space Science Data Center (NSSDC). Day and time, at the upper right in each image, denote the beginning of an image taken over a 12-min period.

#### Day 21, 1982 (0952-1408)

Plate 1 shows a sequence of four auroral images selected from the time interval 0952-1408 UT on Day 21 of 1982. The IMF for this time period is shown in the top three panels of Figure 1, plotted as a function of corrected UT. IMF  $B_x$  is northward throughout this time period while IMF  $B_y$  changes from negative to positive. The 15-min average of the IMF just prior to the image start time (denoted by the dashed line in Figure 1) is used in our analysis in order to characterize the IMF conditions applicable to the observed pattern. The solar wind velocity is greater than average ( $> 400$  km/s).

During the image beginning at 1029 UT,  $B_x$  is positive and  $B_y$  is negative, with the magnitude of  $B_y$  slightly greater than the magnitude of  $B_x$  ( $B_x/|B_y| \leq 1$ ). Note that the afternoon section of the auroral oval has expanded poleward (as expected for negative  $B_y$ ).

$B_y$  then changes sign from negative to positive, and by approximately 1117 UT the poleward edge of the dawnside emission region had expanded poleward to within  $2^\circ$  of the noon-midnight meridian. At the time of the image beginning at 1129 UT, the magnitude of  $B_y$  is a little less than the magnitude of  $B_x$  ( $B_x/B_y \geq 1$ ). The poleward edge of the dawnside emission region has moved farther duskward and is now located at the noon-midnight meridian. In addition to a large cleared region on the duskside, a small cleared region now exists in the dawnside emission region located at approximately  $85^\circ$  CGL near the dawn-dusk meridian.

IMF  $B_y$  continues to increase so that during the image beginning at 1206 UT the magnitude of  $B_y$  is much larger than the magnitude of  $B_x$  ( $B_x/B_y \ll 1$ ). A theta aurora has fully formed, with the transpolar arc aligned along the noon-midnight meridian. The cleared region on the duskside is still spatially larger than the cleared region on the dawnside.

By 1242 UT  $B_y$  has decreased so that the magnitude of  $B_y$  is less than the magnitude of  $B_x$  ( $B_x/B_y > 1$ ). The transpolar arc has moved to the duskside of the noon-midnight meridian and the duskside emission region has expanded weakly poleward so that the cleared region on the dawnside is now spatially larger than the

cleared region on the duskside. The transpolar arc appears to bifurcate in the nightside polar cap and to intersect the nightside oval in two places. IMF  $B_y$  changes sign from positive to negative after this image, and the transpolar arc begins to move back toward the dawnside (as expected with negative  $B_y$ ).

We also examined the images for day 28 of 1982 where similar IMF conditions existed. While we do not present the images here, they essentially follow the same progression of an enhanced dawnside emission region evolving into a theta aurora with generally similar large-scale characteristics as those shown in Plate 1.

#### Day 312, 1981 (1234-1637 UT)

Plate 2 shows a sequence of four auroral images selected from the time interval 1234-1637 UT on day 312 of 1981, which is the time period of the first reported theta aurora [Frank *et al.*, 1982]. The IMF for this time period is shown in the bottom three panels of Figure 1. IMF  $B_x$  is northward and IMF  $B_y$  changes from positive to negative. The solar wind velocity is greater than average ( $> 400$  km/s).

During the image beginning at 1311 UT,  $B_y$  and  $B_x$  are both positive with the magnitude of  $B_y$  a little less than the magnitude of  $B_x$  ( $B_x/B_y \geq 1$ ). The duskside of the auroral oval has expanded poleward (as expected for positive  $B_y$ ) with the poleward edge of the emission region located midway between the duskside equatorward edge of the auroral oval and the noon-midnight meridian.

By 1335 UT,  $B_y$  has decreased to near zero while  $B_x$  has increased, resulting in the magnitude of  $B_y$  being much less than  $B_x$  ( $B_x/B_y \gg 1$ ). The poleward edge of the duskside emission region has moved to slightly higher latitudes, and some clearing of this emission region has occurred at approximately  $85^\circ$  CGL near the dawn-dusk meridian.

During the image beginning at 1359 UT,  $B_y$  has turned weakly negative so that the magnitude of  $B_y$  is much less than  $B_x$  ( $B_x/|B_y| \gg 1$ ). The poleward edge of the transpolar arc has moved to slightly higher latitudes. A little more clearing of the emission region has occurred forming a more developed arc.

$B_y$  continues to decrease until the magnitude of negative  $B_y$  is slightly less than  $B_x$  ( $B_x/|B_y| \geq 1$ ). During the image beginning at 1424 UT a theta aurora has fully formed, with the transpolar arc located near the noon-midnight meridian. Movement of the transpolar arc is from dusk to dawn, as expected for negative  $B_y$ . The arc appears to intersect the nightside oval in two places (similar to Plate 1, 1242 UT). At about 1435 UT  $B_y$  changes sign from negative to positive and the transpolar arc moves back toward the duskside (as expected with positive  $B_y$ ).

We also examined the images for days 329 and 363 of 1981 where similar IMF conditions existed. Although we do not present the images here, they essentially follow the same progression of an enhanced duskside emission region evolving into a theta aurora with generally similar large-scale characteristics as those shown in Plate 2.

### 3. Auroral Evolution and Large-Scale Plasma Convection

The evolution of the aurora and the motion of the sun-aligned arcs may be related in a self-consistent manner to the horizontal plasma convection in the ionosphere. The theta aurora is observed to evolve as the IMF becomes more strongly northward with a corresponding change in the sign of  $B_y$ . In the ionospheric plasma flows, a similar IMF rotation produces an increasing number of plasma convection cells (e.g., evolution from a dominant one-cell pattern to reverse convection at highest latitudes [Potemra *et al.*, 1984; Reiff and Burch, 1985; Heelis *et al.*, 1986; Cumnock *et al.*, 1995, and references therein]). Although DE 2 horizontal plasma flow data were not available associated with the DE 1 imaging sequences examined here, several passes were available for various theta aurora previously published by Frank *et al.* [1986], Carlson *et al.* [1988], and Nielsen *et al.* [1990]. These references show convection patterns coincident with theta auroras. The DE 2 data indicate that the Sun-aligned arcs are colocated with regions of upward flowing currents on the duskside of the polar region and weaker upward current sheets embedded in a downward current sheet on the dawnside of the polar region. The association of large-scale auroral arcs with upward flowing current was demonstrated by Kamide and Akasofu [1976] and Burch and Heelis [1979]. Upward flowing currents may be reconciled with gradients in the plasma drift when, as the satellite moves in the dawn-to-dusk direction, either antisunward flow is decreasing or sunward flow is increasing. This associated negative divergence in the dawn-dusk component of the electric field results in a local minimum in the electrostatic potential distribution.

Plate 3 shows a sequence of aurora and global ionospheric convection patterns that are consistent with observations of the aurora, and concurrent IMF measurements, seen in Plate 1. The polar schematics are organized by IMF orientation (in the  $B_y$ - $B_x$  plane). The most significant changes in global configuration are shown as  $B_y$  changes from negative on the left-hand side (Plates 3a and 3b) to positive on the right-hand side (Plates 3c, 3d, and 3e). Auroral luminosities are illustrated in red; solid black lines denote equipotentials forming simple convection cells, and dotted black lines show another possible closure of the plasma flows indicated by equipotentials.

In Plate 3a an expanded dawnside emission region forms during negative  $B_y$  and co-exists with a three-cell convection pattern. The three-cell convection pattern consists of one negative potential cell at lower latitudes on the duskside and two positive potential cells located on the dawnside and is consistent with Frank *et al.* [1986], Figure 10 and Carlson *et al.* [1988], Figure 5. Note that the two positive potential cells on the dawnside may be surrounded by a spatially larger corotating cell. Thus positive potential dominates the electrostatic potential distribution. Note also that a spatially small negative potential cell is shown located

on the dayside to explain the later appearance of four cells [Cumnock *et al.*, 1992]. The poleward edge of the dawnside emission region lies between the two positive potential cells and thus is associated with a local minimum in the positive potential (i.e., near a convection reversal boundary between antisunward flow and sunward flow as the satellite moves along the dawn-to-dusk direction). A possible configuration for the positive  $B_y$  case is illustrated by Nielsen *et al.* [1990], Figure 5.

As the IMF becomes more strongly northward (Plate 3b), the positive potential cells become less dominant. The negative potential cell, which originated on the dayside, has moved to higher latitudes between the two positive potential cells and has bifurcated and distorted the large corotating cell. The result is that the poleward edge of the dawnside emission region has moved to higher latitudes. See also Frank *et al.* [1986], Figure 12. Placement of the poleward edge of the dawnside emission region in Plates 3a and 3b is in agreement with the predictions of Reiff *et al.* [1978], and an application of the model to Viking observations [Jankowska *et al.*, 1990].

For  $B_y$  slightly positive (Plate 3c), four isolated convection cells are shown, with the high-latitude negative potential cell spatially larger than the high-latitude positive potential cell. Clearing occurs in the dawnside emission region and is associated with increasing antisunward flow (positive electrostatic potential). The transpolar arc is shown centered in the high-latitude negative potential cell and is associated with a convection reversal boundary corresponding to an absolute minimum in the potential distribution.

As  $B_y$  becomes increasingly positive (Plate 3d), the negative potential cells may now be surrounded by a spatially larger corotating cell (dotted line) and the high-latitude positive potential cell has moved toward the duskside and become smaller. The high-latitude negative potential cell has moved to higher latitudes with the result that the transpolar arc is now located along the noon-midnight meridian. Thus a theta aurora has been fully formed.

With a further increase in  $B_y$  so that it is now greater than  $B_x$  (Plate 3e), the negative potential cells have become more dominant. Both the high-latitude negative potential cell and the transpolar arc have moved duskward. As the low-latitude negative potential cell grows and the dayside positive potential cell shrinks further, the duskside auroral oval expands poleward. The dawnside cleared region is now spatially larger than the duskside cleared region. A small cleared region appears where the transpolar arc joins the auroral oval on the nightside. As  $B_y$  further increases with respect to  $B_x$  one might expect the transpolar arc to continue its duskward movement and the duskside emission region to expand poleward. This may continue until there is no longer a cleared region located on the duskside of the polar region. Thus the configuration can relax to the state described for continually large positive  $B_y$ . Alternatively, the transpolar arc may fade as the magnitude of  $B_y$  continues to be much larger than  $B_x$ , or as the IMF turns southward. We do not illustrate the posi-

tion of  $B_y$  to negative  $B_y$  evolution (Plate 2), however we point out that this would not be a mirror image of the negative  $B_y$  to positive  $B_y$  evolution. In both cases the transpolar arc must be associated with a local minimum in the electrostatic potential distribution (i.e., upward field aligned currents).

#### 4. Summary and Discussion

We have examined five cases where an expanded auroral emission region has evolved into a theta aurora. Our observations show that the evolution of an expanded auroral emission region into a theta aurora requires a large  $B_x/|B_y|$  ratio corresponding to a change in the sign of  $B_y$  (during northward IMF). Once formed, the theta aurora can persist during various northward and even weakly southward IMF conditions. Valladares *et al.* [1994] also observed a delay in the fading of sun-aligned arcs when the IMF turns southward. In addition, four of our five examples occur when the solar wind velocity is greater than average ( $> 400$  km/s). This observation is consistent with the Gussenhoven [1982] finding of an increased occurrence of polar cap arcs with increased solar wind velocity.

Our observations suggest one major difference in the evolution of the auroral patterns depending on the initial sign of  $B_y$ . When  $B_y$  changes from negative to positive, the dawnside emission region expands to the noon-midnight meridian before the transpolar arc is fully formed; whereas when  $B_y$  changes from positive to negative, the duskside emission region only expands midway between the duskside of the auroral oval and the noon-midnight meridian before the transpolar arc is fully formed. These differences are consistent with the differing location in upward field-aligned currents on the dawn and dusk sides of the polar region [Potemra *et al.*, 1984]. Reiff *et al.* [1978] expect upward currents at the flow reversal in the center of the negative potential dusk cell and at the equatorward and poleward edges of the positive potential dawn cell, with the strongest currents located on the duskside. This predicts that sun-aligned arcs on the duskside should be the most intense but that arcs on the dawnside should span a wider range of latitudes than those on the duskside, thereby implying that the evolution of a theta aurora from an expanded duskside emission region is not a mirror image of the evolution of an expanded dawnside emission region.

Observations also suggest that arc motion depends not only on  $B_y$  but on the spatial location of the arc within the polar region. Previous observations of arc motion indicated dawn to dusk motion in the morning (evening) sector for negative (positive)  $B_y$ , and dawn to dusk (dusk to dawn) motion at highest latitudes for positive (negative)  $B_y$ . The Valladares *et al.* [1994] study has refined this picture with the finding that dawnward motion can occur in a dusk cell and duskward motion can take place in a dawn cell for the same value of  $B_y$ , but with the size of the cells dependent on the sign of  $B_y$ . This is consistent with our observations for positive  $B_y$  (Plate 1, 1206 and 1242 UT) where the transpolar arc moves from dawn to dusk while a duskside emission

region forms, expanding downward. The opposite case occurs for negative  $B_y$  (Plate 2, 1359 and 1424 UT).

The requirement of a change in the sign of  $B_y$  for an evolution of a theta aurora to occur, the persistence of a theta aurora after its formation, and the dependence of the arc motion on spatial location, suggest that there may be no clear relationship between the theta aurora pattern and the instantaneous configuration of the IMF.

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