

Flight Deck Surface Trajectorybased Operations (STBO): Results of Piloted Simulations and Implications for Concepts of Operation (ConOps)

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NextGen Flight Deck Taxi Clearance Compliance



Problem

- Current-day flight deck operations are not able to support:
- NextGen Arrival Anticipated throughput generated by NextGen concepts such as M&S, VCSPA, etc.
- NextGen Departure Predictability required for NextGen concepts.

(re: IADS RTT ConOps 4-12-10)

2. Must work ATC concepts in parallel with flight deck concepts or be vulnerable to risk of developing concepts to which pilots cannot comply.

(i.e., IADS RTT Doc: "OV-6c NEXTGEN 2018 Scenario 07 - Peak Departures v0.1 4-13-2009")

Research Needs

- Develop/assess Surface Traffic Mgmt. Systems / Flight Deck ConOps variants
- Determine technologies/procedures for pilots to conduct NextGen taxi operations
- Assess compliance and pilot workload under NextGen IADS operations
- Define and conduct RTT IADS RTP efforts

Approach

Iterative Pilot-in-the-loop Simulations

- ConOps Definition / refinement
- Pilot compliance
- Pilot info. requirements
- Pilot acceptance

<u>Impact</u>

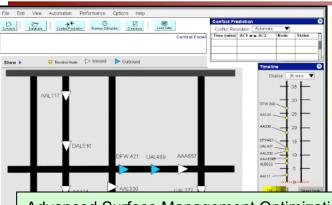
- ConOps Development
- SMS Algorithm/Parameters Development
- Flight Deck System Requirements
- Robust systems (e.g., off-nominals)

Progress

- Multiple simulations
- Defined ConOps options
- Eliminated specific candidate ConOps options

Pilot requirements for Surface Trajectory Based Operations (STBO) clearances



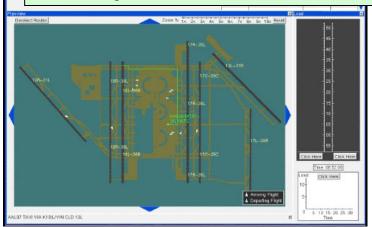


Problem: Integrating Surface
Management Optimization
(SMO) STBO clearances with
flight deck information
requirements



Advanced Surface Management Optimization (SMO) Systems and ConOps Must Incorporate Pilot Operating Requirements

- Ability to comply with speed requests
- Variance of route and time conformance
- Conceptual development (e.g., form of taxi clearances continuous, updates, etc.)
- Pilot/Aircraft non-conformance
- Rerouting





Human Factors Pilot-in-the-loop Studies to <u>Determine Pilot Operating Requirements</u>

- Speed conformance
- Route and time conformance
- Conceptual (ConOps) development
- Pilot workload, Situation awareness (SA)
- Safety impacts due to time pressure

STBO Flight Deck Issues

STBO Concepts

- Progressive taxi/route updates
- Continuous-coupled STBO clearances
- Endpoint-only STBO Clearances (push-back, departure queue)

STBO Taxi Clearance Formats

- Flight Deck speed & time displays
- Bandwidth of error-nulling (i.e., continuous vs. non-continuous checkpoint error)
- ATC STBO Clearance: Speed, Time

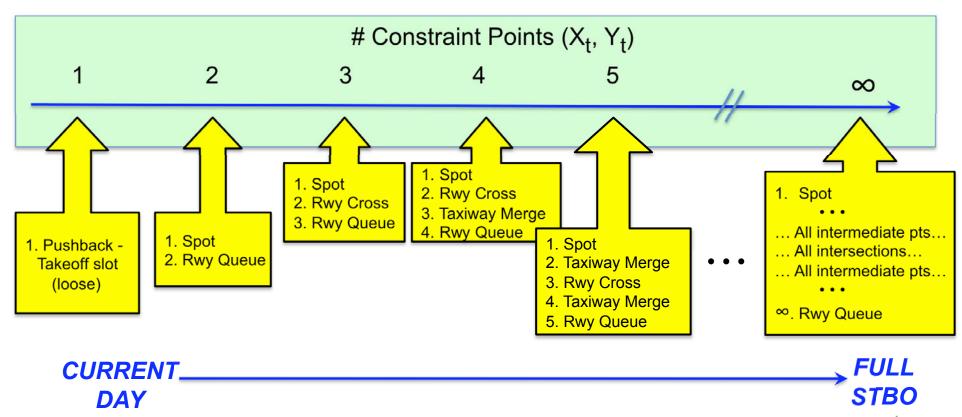
Pilot Performance Metrics

- Variance of speed, time-of-arrival error
- SA, workload, safety impacts

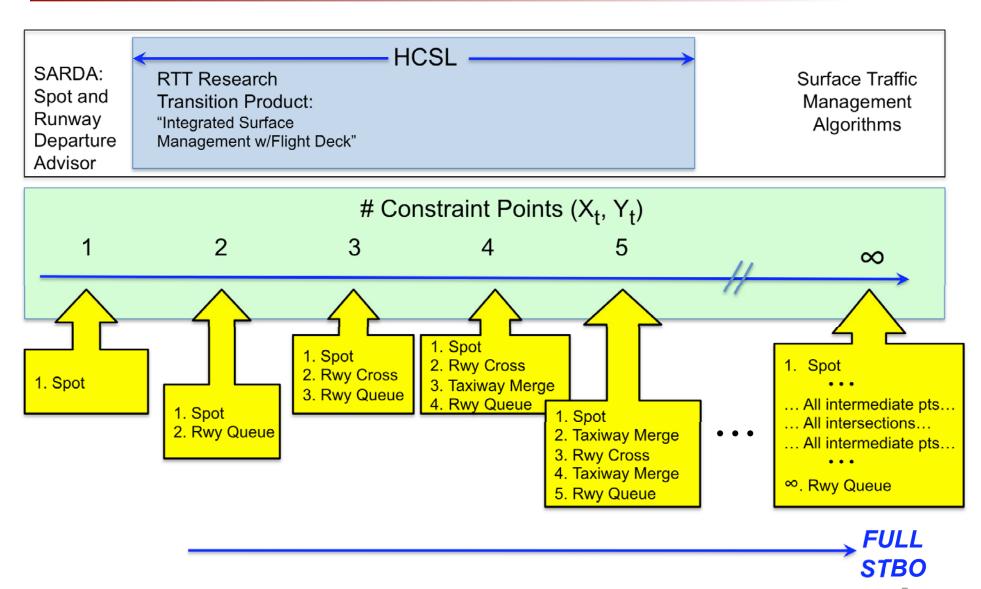
NextGen Taxi / Surface Trajectory-Based Operations (STBO)

Surface Trajectory-Based Operations (STBO) inherently different than In-Air TBO

- In-Air: More constrained due to aircraft inertia, min/max speeds, in-trail separations.
 - → More predictable, much more likely to have fully defined trajectories: X(t), Y(t)
- Taxi: Not constrained aircraft start, stop, wait, merge into queues, no min. separation
 - → Less predictable, more variants on defining STBO than in-air TBO



NextGen Taxi / Surface Trajectory-Based Operations (STBO)

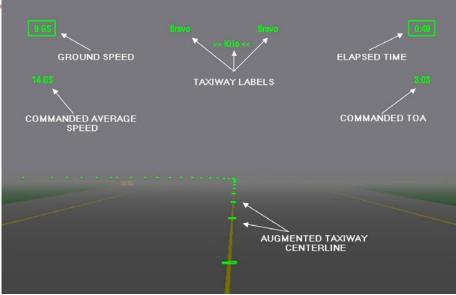




Simulation and Results

Pilot requirements for 4-D taxi clearances





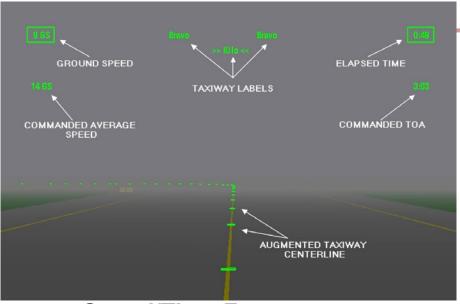
Speed/Time Format (in green)

Initial Baseline 4-D Taxi Navigation Study

(Williams, Hooev & Foyle, 2006, Proc. AIAA)

- 18 Current Captains
- Minimal display information (baseline study)
- 4-D Taxi Clearance Formats
 - Speed: Commanded average route speed + Current speed
 - Time: Commanded time to RWY + Elapsed time
 - Both: All

Pilot requirements for 4-D taxi clearances

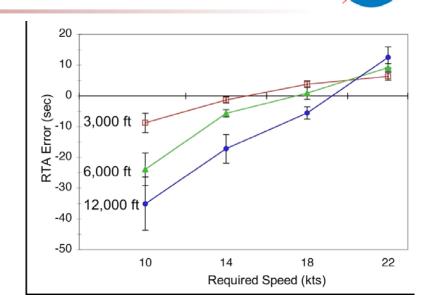


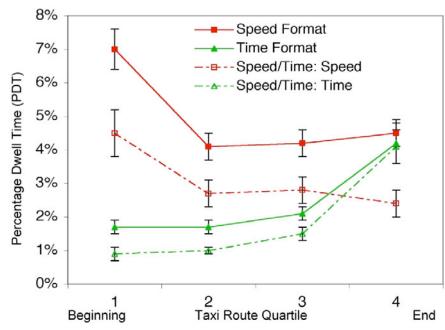
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- 18 Current Captains
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- 4-D Taxi Clearance Formats
 - Speed: Commanded average route speed + Current speed
- Time: Commanded time to RWY + Elapsed time
- Both: All
- Results
 - Less error with Both (Time and Speed together) formatted clearances
 - Eyetracking usage speed used early in route,
 then switch to using time information





HCSL Completed NextGen Taxi Sims

<u>Evaluated</u>	<u>Results</u>	<u>Findings</u>	ConOps Implications
Taxi <i>information</i> needed: Speed, time, both? (18 CAs)	TOA Error (secs) O 25 Speed Lime Both	Need both Speed (A/C control) and Time (RTA) information to meet RTAs	 Need FD displays Need RTA in taxi clearance Customers: FAA, avionics/EFB mfg.
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Simulation and Results

Pilot requirements for 4-D taxi clearances

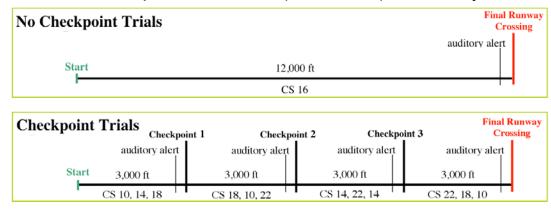


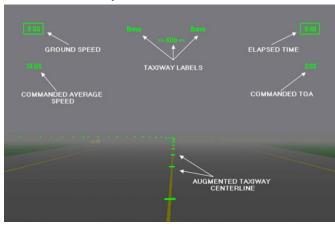
Initial Baseline 4-D Taxi Navigation Study (Expt #1) (Williams, Hooey & Foyle, 2006, Proc. AIAA)

- Less error with Both (Time and Speed together) formatted clearances
- Eyetracking usage speed used early in route, then switch to using time information

Baseline 4-D Taxi Navigation - Updating/adjusting 4-D taxi clearances study (Expt #2)

- Scenario: ATC Taxi clearance Segmented ATC clearances w/ "time checkpoints" due to:
 - 1) changing conditions; or
 - 2) imperfect aircraft Time of arrival (TOA) compliance at checkpoints
- 17 Current Commercial Transport Captains
- Minimal display information (follow-on to first baseline study)
- 4-D Taxi Clearance Format:
 - Both: Commanded average <u>SPEED + TIME</u> to runway crossing (plus current readout)
- 6 experimental trials: 3 w/checkpoints & 3 no checkpoints
- Time checkpoints on EMM (white bars) & auditory tone 75 ft before checkpoint





Pilot requirements for 4-D taxi clearances

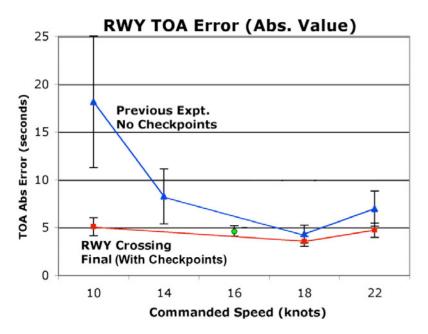


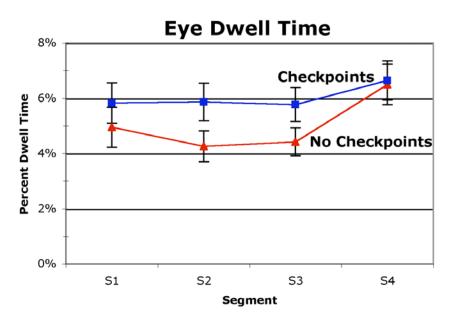
TOA Absolute Error (Left panel)

 For slower commanded taxi speeds, time checkpoints improve Runway (Time of Arrival) TOA accuracy

Eve Dwell Time (Right panel)

- Overall, pilots looked at display information more during checkpoint trials than non-checkpoint trials (24% vs 20% of trial)
- Middle-of-route checkpoints (Segments S2 & S3) --> more visual attention (% Dwell Time) on display
 - Pilots received new updated checkpoint information 4 times as often
 - Visual workload increased
 - Possible traffic awareness issues





HCSL Completed NextGen Taxi Sims

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Simulation and Results

AP.2.S.09 - "NextGen Time-based Taxi Clearances" Pilot-inthe-loop simulation



Experiment Goal

Characterize the distribution of pilots' Time of Arrival (TOA) performance to inform the development of Surface Traffic Management (STM) algorithms.

Compare three STM system concepts (# traffic flow points; within-subjects factor):

- 1) One single traffic flow point to ensure on-time arrival at the destination runway;
- 2) Occasional (three) traffic flow points to enable traffic sequencing at important intersections and
- 3) Frequent (five) traffic flow points to enable dynamic system re-optimizations and very close coordination

Compare two NextGen Time-based Taxi Ops implementations (Between-subjects factor):

- 1) Speed Clearances: Current-day Avionics without Speed Error Nulling
- 2) Speed & Time (Checkpoint) Clearances: Advanced Avionics with Speed Error Nulling

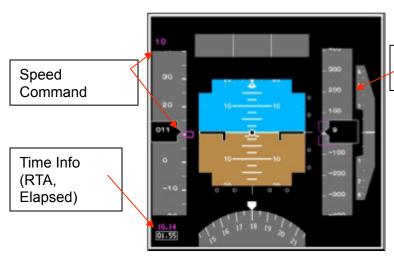
Experiment Overview

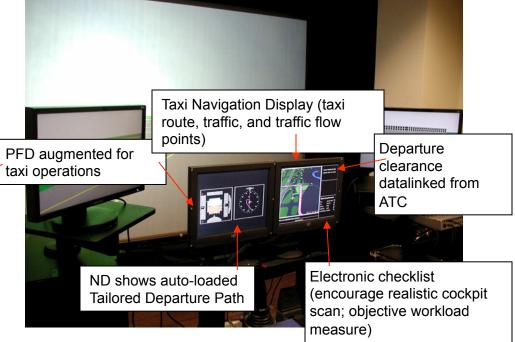
16 Pilots (Commercial Transport, CA & FO)

32 departure taxi trials ('spot' to runway)

Medium-fidelity simulator; DFW airport

Questionnaires; SME debriefs





Time of Arrival Error

Speed Effect:

- Slow speeds (10 kts): A/C early
- Fast speeds (18, 22 kts): A/C late
- 14 kts (negligible error)

Traffic Flow Point Effect:

- TOA error larger for 1 traffic flow point than for 3 and 5

Next-Gen Implementation Effect:

- TOA Error larger for "no error nulling"
- Reduced spread of TOA Error distribution with "error nulling"

Workload

- Error-nulling avionics increased time to verify/accept departure clearance (~ 1 sec for nominal clearance; 12 sec for off-nominal clearance with error)
- 2-3 speed/checkpoint updates recommended by pilots
- 5 updates viewed as too many for:
 Error nulling: 88%; 7 of 8
 No Error nulling: 0%: 0 of 6
 (p<.001, Performance/workload trade-off)

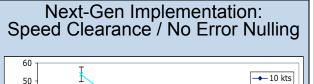
Structured Interview Results

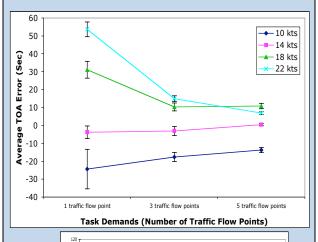
<u>Safety</u>: "eyes in" vs "eyes out" <u>NextGen Implementation</u>:

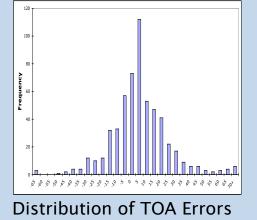
- PFD appropriate and intuitive
- Taxi navigation display should show traffic and taxi hold instructions
- Increased cockpit coordination (i.e., "callouts" for speed & traffic)

AP.2.S.09: "NextGen Time-based Taxi Clearances" Pilot-in-the-loop simulation

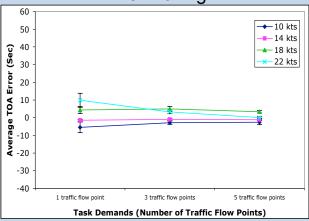


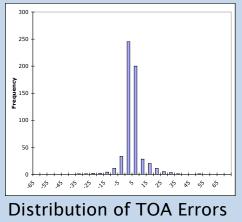












Average TOA Error = Actual TOA - Commanded TOA
Positive Error = Aircraft was late / too slow
Negative Error = Aircraft was early / too fast
(plotted with +/- 1 standard error)

Results inform STM Algorithm Development

Departure clearance operations under NextGen surface operations conditions



Compared to "current-day" baseline taxi,

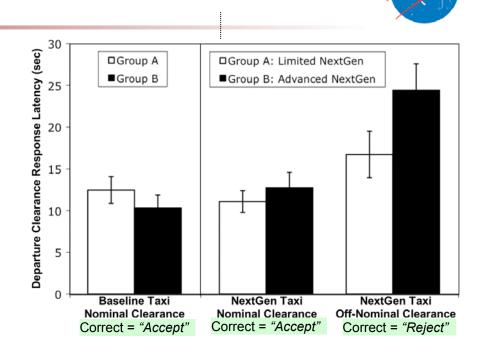
Advanced NextGen (error-nulling avionics) had longer latencies to:

- Correctly accept correct clearances
- Correctly reject incorrect clearances

Compared to Limited NextGen (speed commands only), Advanced NextGen (error-nulling avionics) had longer latencies to:

- Correctly reject incorrect clearances

May be indicative of increased workload in Advanced NextGen implementation



Structured Interview Results

- Datalinked direct upload (vs. manual FMS loading): Potential flightdeck workload savings
- "Tailored Departures / Unique Dynamic RNAV/RNP Departures": Clear advantages for system efficiency (re: Wx, winds, traffic) and individual aircraft efficiency (e.g., flight time, fuel savings)
- Need for verification of route (e.g., "NA227-123456), especially vs. SIDs implementations
- · Issues:
 - How does flightdeck "back up" tailored departure routes in case of equipment failure, FMS dumping route, etc. (vs. Current SIDs with hard copy, FULL route information)
 - How does crew do pre-departure route briefing? (vs. Current SIDs with heading based turns, speeds, etc.)

HCSL Completed NextGen Taxi Sims

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Simulation and Results

Milestone AP.2.S.11

Assess System Performance of Varying Options for 4D Taxi Clearance Information to Provide a Scientific Basis for Future Systems Requirements for Mature Surface Automation and Arrival/Departure Seamless Airspace Transition



ConOps: "ATC Voice Taxi Clearances with Speed Commands"

Pilots: 18 commercial transport Captains (current or recent retirees)

Scenario: DFW Taxi out to take off – Ramp parking spot to runway through take-off roll (up to 80 kts)

Concept Scope

<u>Trajectory-Based Surface Operations</u>

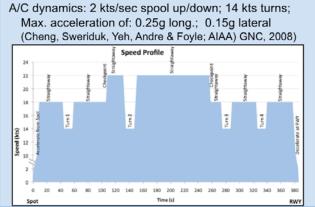
Taxi out operations with:

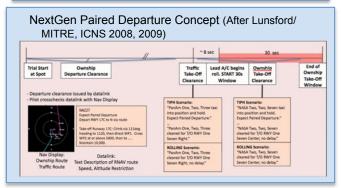
- ATC *voice speed commands*
- Pilots required <u>speed range compliance</u> of +/- 1.5 kts
- Pilot acceleration profile control requirement
- Pilot crosscheck of dynamic RNAV routes datalinked to cockpit (waypoints/crossing restrictions)

NextGen Paired Departures

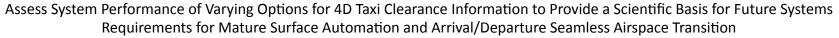
- Closely spaced parallel paired departures (MITRE/ Lunsford; ICNS 2008, 2009)
- Ownship informed of paired departure via datalink, paired aircraft's route depicted on Navigation Display







Milestone AP.2.S.11

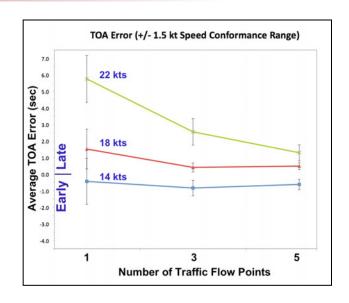


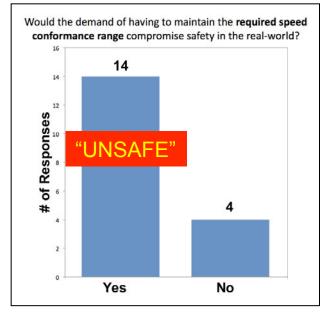


- Time of Arrival (TOA) Error to traffic flow points is improved compared to previous study (40-60 secs TOA error, Foyle et al, 2009) - because of defined aircraft acceleration and speed range requirements ...BUT...
- Workload and safety level were <u>unacceptable</u>
- Likely due to increased requirements of taxi task (Acceleration profile, speed range requirement)
- 14 of 18 pilots responded that speed conformance range restriction would compromise safety (p = .018)
- Rated more difficult than current actual taxi operations (p = .042)
- Eyes-in time 18-24% compared to 8% baseline
- Responded that they were "frequently" focused on the PFD speed tape when needed to attend to the taxiway

IMPACT

- ConOps of ATC providing taxi clearances with speed (via ATC DST) is not workable
- Need for RTA in taxi clearance; flight deck displays





HCSL Completed NextGen Taxi Sims

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Taxi <u>inform</u> needed	<u>nation</u>	(secs) 25	• Need both Speed (A/C	Need FD display	ys clearance
time, bo (18 CAs)	Cond	clusion: What do w	<u>re know re: Con</u> (Ops?	B mfg.
Intermed <u>checkp</u>	•	rface Traffic Managment riability) of taxi speed, ro			As in taxi
2) ATC Clearance: Recommend 1 ≤ # intersection constraint points ≤ 4) Develop.		
	3) AT	C Clearance: Time (RTA	s) necessary but not	sufficient	orithm ed,
ATC spec comma Avionic: (16 CA/F	4) ATC Clearance/Flight Deck: Taxi clearances with speed not safe/workable with current-day flight deck				
ATC spec	5) Flight Deck: Need flight-deck display (avionics/EFB) capability				B mfg., ances will
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Next Steps: HCSL NextGen Taxi Sims

Conclusion: What do we know re: ConOps?

- Surface Traffic Management System ← Sim data (TOA error, variability) of taxi speed, route length, # constraint points
- 2) ATC Clearance: Recommend 1 ≤ # intersection constraint points ≤ 4
- 3) ATC Clearance: Time (RTAs) necessary but not sufficient
- 4) ATC Clearance/Flight Deck: Taxi clearances with speed not safe/workable with current-day flight deck
- 5) Flight Deck: Need flight-deck display (avionics/EFB) capability

Overall Research Objectives

Expand ConOps to address:

- Flight Deck Avionics/EFBs
- Traffic management

Specific Plan

- FY11 Simulations
 - Sim #1 Timing/format parameters for Data Comm vs. Voice trades for taxi re-routing
 - Sim #2 Initial look at RTT RTP "Integrated Surface Management w/ Flight Deck"
 - a) Evaluate Flight Deck Display concepts x Traffic Flow concepts
 - b) Increase scenario complexity (traffic conditions, ATC-revised Rwy RTAs)
- FY12 sims Advanced flight deck concepts to enable SMO re-optimizations
- FY13 SMS / Flight Deck Integration sims
 - a) Evaluate Flight Deck concept elements (# Constraint Points + Flightdeck + Traffic) defined in previous sims with actual SMS algorithms (informed by sims)
- FY14-15 sims Develop RTT RTP "Integrated Surface Management w/ Flight Deck"

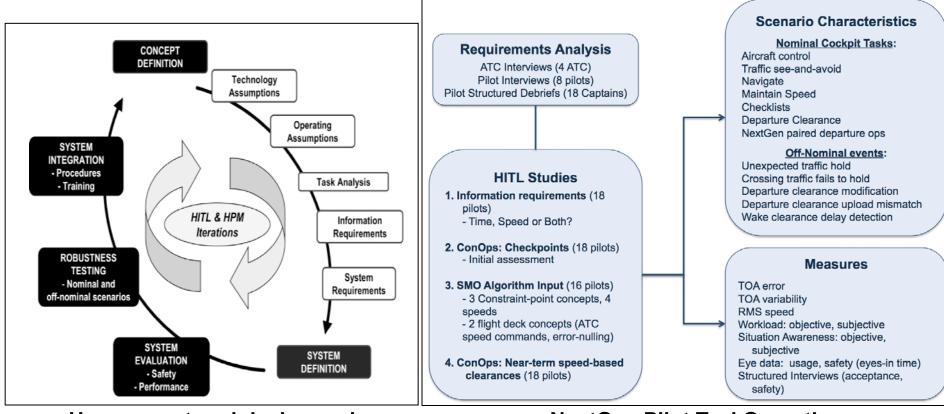


Backup Slides



Research Approach





Human-centered design and evaluation process

(from Foyle & Hooey, 2008)

NextGen Pilot Taxi Operations HITL Research Approach

Off-nominal Methodology Papers:

Foyle & Hooey (2003). ISAP Conference. Newman & Foyle (2003). ISAP Conference. Foyle, Newman & Hooey (2005). NATO Conference.



Research Focus: Pilot requirements for Surface Trajectory Based Operations (STBO) clearances



Objective

STBO to enable NextGen flight deck operations to support:

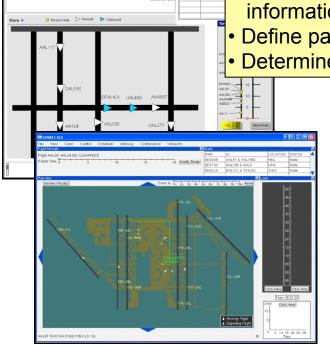
- NextGen Arrival Anticipated throughput generated by NextGen concepts such as M&S, VCSPA, etc.
- NextGen Departure Predictability required for NextGen concepts (e.g., Rwy; Merge; Flow) (ref: IADS RTT ConOps 4-12-10)

Must work ATC concepts in parallel with flight deck concepts

 Otherwise, vulnerability to risk of developing concepts to which pilots cannot comply (ref: IADS RTT Doc: "OV-6c NEXTGEN 2018 Scenario07 / Peak Departures v0.1 4-13-2009")

Goals:

- Integrate Surface Traffic Management (STM) systems' STBO clearances with flight deck information requirements
- Define parameters for flight deck and STM system
- Determine ConOps for STBO







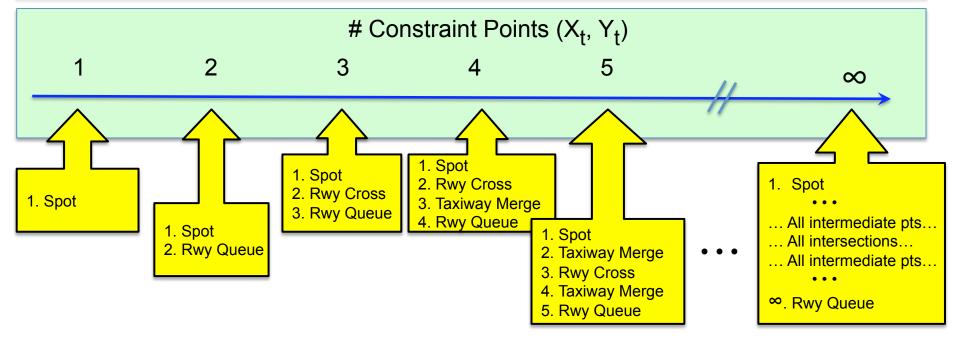
NextGen Taxi / Surface Trajectory-Based Operations (STBO)



SARDA:
Spot and
Runway
Departure
Advisor

HCSL

Surface Traffic
Management
Management
Management w/Flight Deck"





Flight Deck Simulations and Results

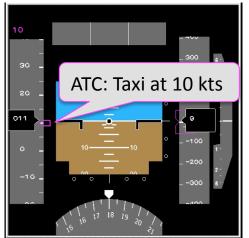
Experiment 1: Commanded Speed – Without Speed Profiles or Conformance

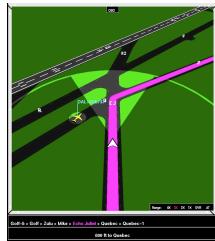


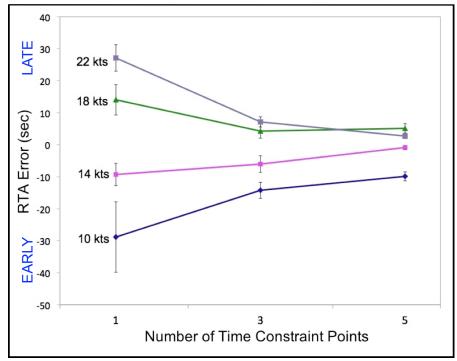
Foyle, Hooey, Kunkle, Schwirzke & Bakowski, 2009, ICNS

Objective: "Minimum Flight Deck Equipage" ConOps Evaluation

- 1) ATC provides 'A/C required speed' in taxi clearance (either automated or ATC Decision Support Tool)
- 2) Pilots not required to follow specific acceleration/deceleration speed profiles (only "be aggressive")
- 8 Current or recently retired pilots: 6 CAs; 2 FOs
- STBO Taxi Clearances manipulated:
 - Speed: Taxi clearance included required speed
 - # Intermediate Time Constraint Points
- Results
- More RTA error with 1 time constraint point
- Less RTA error with 3 or 5 time constraint points
- Slower required speeds → early arrival; Faster required speeds → late arrival



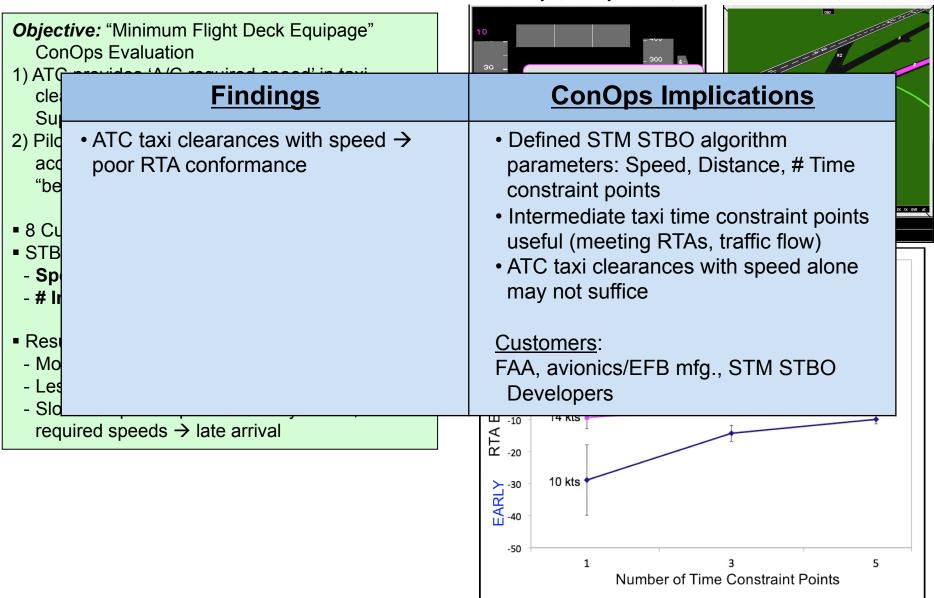




Experiment 1: Commanded Speed – Without Speed Profiles or Conformance



Foyle, Hooey, Kunkle, Schwirzke & Bakowski, 2009, ICNS



Experiment 2: Commanded Speed – With Speed Profiles/Conformance Range



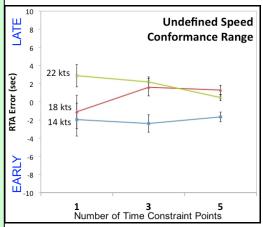
Objective: "Minimum Flight Deck Equipage" ConOps Evaluation

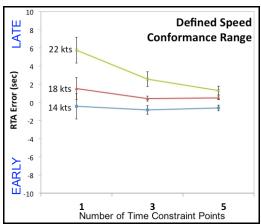
- ATC provides 'A/C required speed' in taxi clearance (either automated or ATC Decision Support Tool)
- Pilots required to follow specific acceleration/ deceleration speed profiles (2 kts/sec accel./ decel.)
- 3) Investigated speed conformance tolerance
- 18 Current/recently retired pilots: 13 CAs; 5 FOs
- STBO Taxi Clearances manipulated:
- Speed: Taxi clearance included required speed
- # Intermediate Time Constraint Points
- Speed Conformance Range:
 Undefined (tested first) / Defined (+/- 1.5 kts);
 Current-Day Baseline

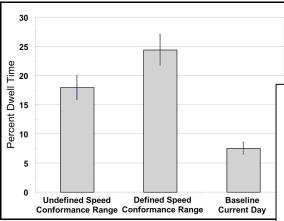
Results

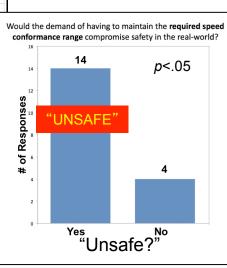
- Improved RTA error (because of defined aircraft acceleration and speed range requirements
 BUT...
- Visual workload and safety level were unacceptable

Bakowski, Foyle, Kunkle, Hooey & Jordan, 2011, ISAP



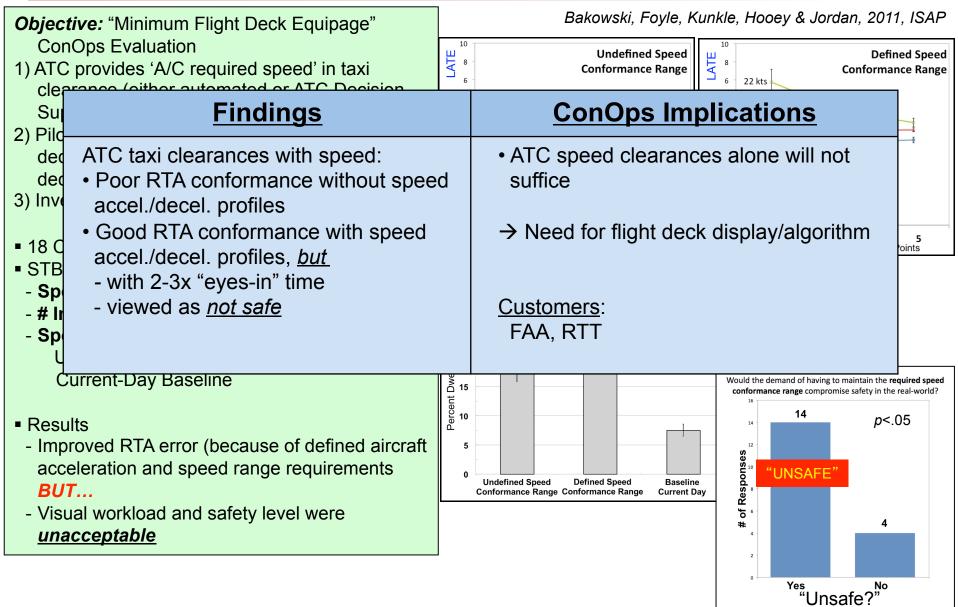






Experiment 2: Commanded Speed – With Speed Profiles/Conformance Range





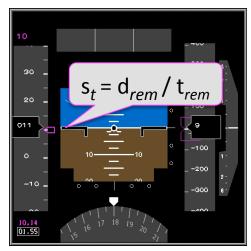
Experiment 3: Error-nulling algorithm/display



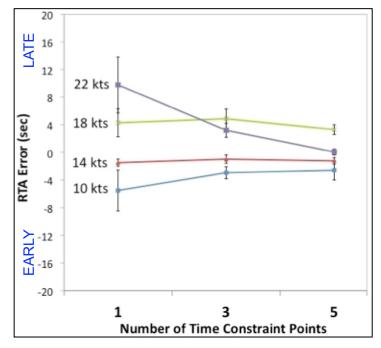
Foyle, Hooey, Kunkle, Schwirzke & Bakowski, 2009, ICNS

Objective: "Flight Deck Equipage" ConOps Evaluation

- 1) ATC provides taxi clearance with RTA
- 2) Flight deck equipage (Avionics or EFB, electronic flight bag)
- 8 Current or recently retired pilots: 7 CAs; 1 FO
- Displays (PFD; Taxi Nav. Display, TND)
 - PFD: RTA time-to-go; Elapsed time;
 Algorithm: Speed required to meet RTA (Enables strategic usage)
- TND: Route; Time constraint point
- STBO Taxi Clearances manipulated:
 - Speed
 - # Intermediate Time Constraint Points
- Results
 - Display/algorithm with speed recalculation
 → good RTA conformance



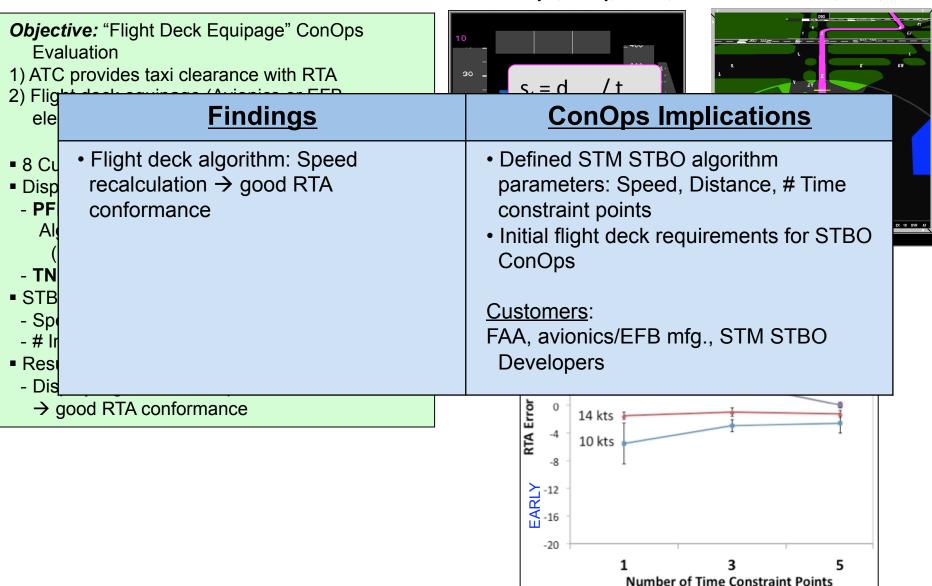




Experiment 3: Error-nulling algorithm/display

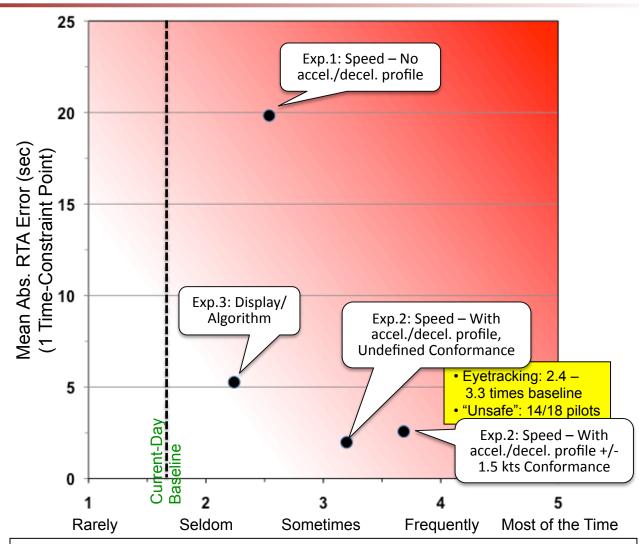


Foyle, Hooey, Kunkle, Schwirzke & Bakowski, 2009, ICNS



Cross-Studies: Usage/Safety Implications





"How often did you find yourself **focusing on the PFD Speed or Time** display, when you **should have been paying attention to the external taxiway environment?**"

Summary / Overall ConOps Implications



Summary Findings	ConOps Implications	
 STBO clearances with speed are not viable solution Taxiing Captain cannot "tightly control/track" speed, navigate, and maintain 	Requirement for <u>human-centered</u> * flight deck display/algorithm for STBO	
separation • Only flight deck algorithm/display condition → Good RTA conformance AND appropriate visual workload /	Customers: FAA, avionics/EFB mfg., STM STBO Developers	
safety	*Human-centered designed systems (Foyle, 2009): - Are intuitive and "natural" - Have readily accessible information	
Caveat: Flight deck algorithm/display Needs to allow "strategic operation", not "tight control/tracking"	- Support human capabilities (e.g., perceptual processing) - Mitigate human limitations (e.g., memory) - Have features supported by "human factors design principles trace" - Enable appropriate task usage strategies	

Next Steps:

- STBO human-centered flight deck displays
- Operational issues: Datalink coordination between STM system and flight deck
 - Integration with SARDA (Spot and Runway Departure Advisor)



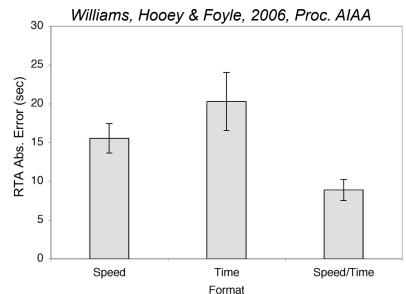
Backup Slides

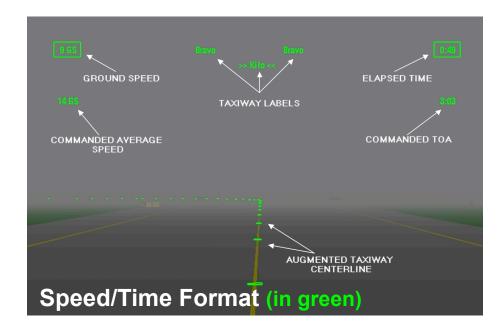
Preliminary Experiment: Pilot information requirements for STBO taxi clearances

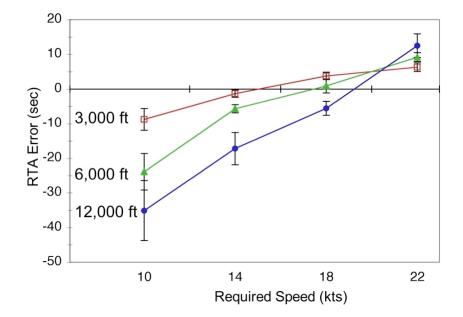


Objective: Initial Baseline 4-D Taxi Navigation Study

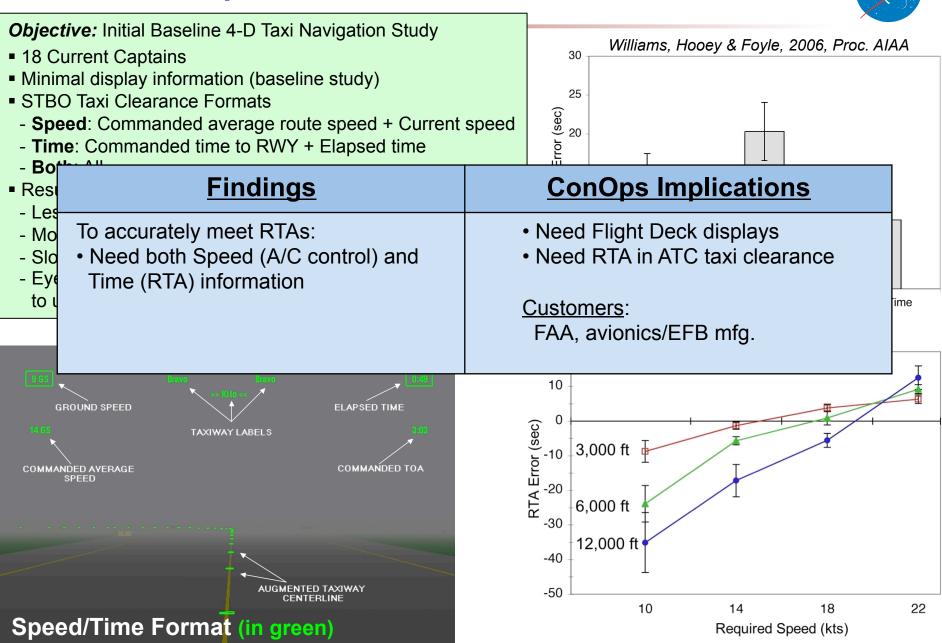
- 18 Current Captains
- Minimal display information (baseline study)
- STBO Taxi Clearance Formats
 - Speed: Commanded average route speed + Current speed
 - **Time**: Commanded time to RWY + Elapsed time
 - Both: All
- Results
 - Less RTA error with Both Time and Speed clearances
 - More RTA error with longer routes
 - Slower speeds → early arrival; Faster speeds → late arrival
 - Eyetracking usage speed used early in route, then switch to using time information







Preliminary Experiment: Pilot information requirements for STBO taxi clearances



Cross-Studies: Usage/Safety Implications



"How often did you find yourself focusing on the PFD Speed or Time display, when you should have been paying attention to the external taxiway environment?"

