



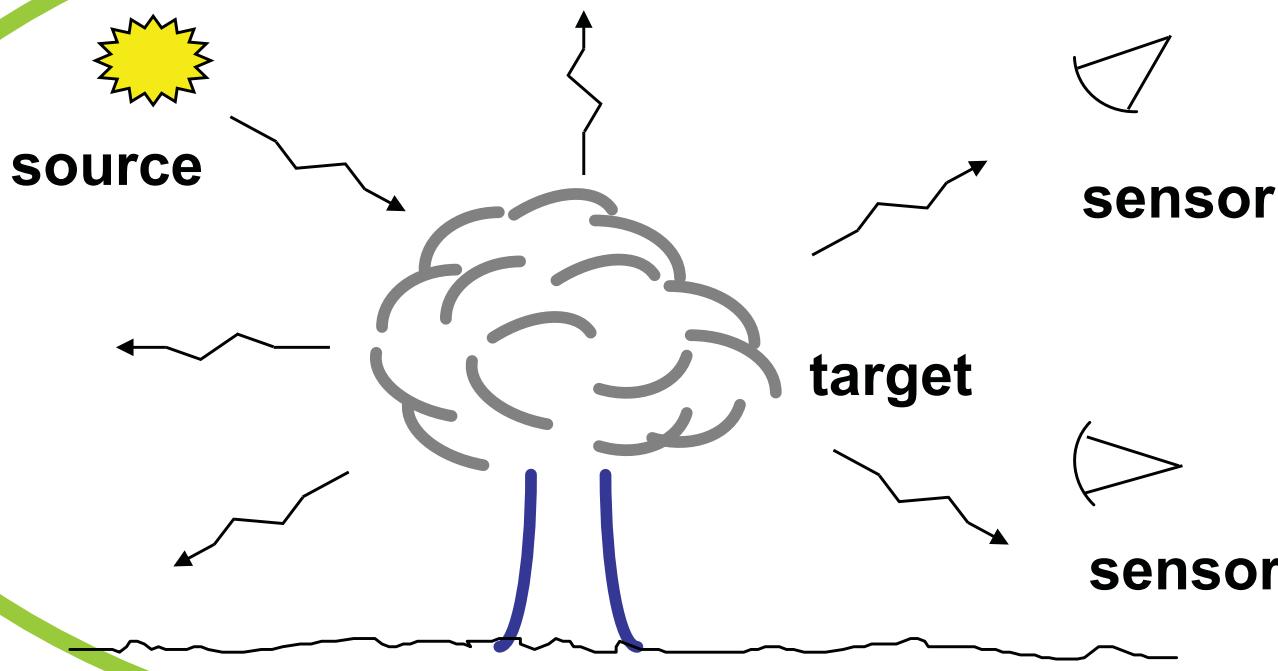
Introduction to radiative transfer theory and models (Optical Domain)

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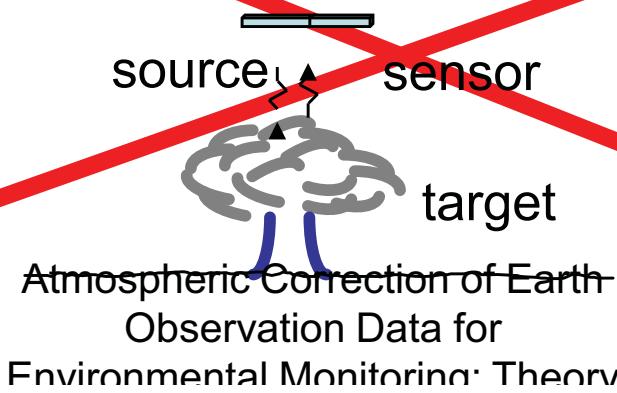
Dr. Eric Vermote
NASA GSFC Code 619
Eric.f.vermote@nasa.gov



Passive Optical Remote Sensing



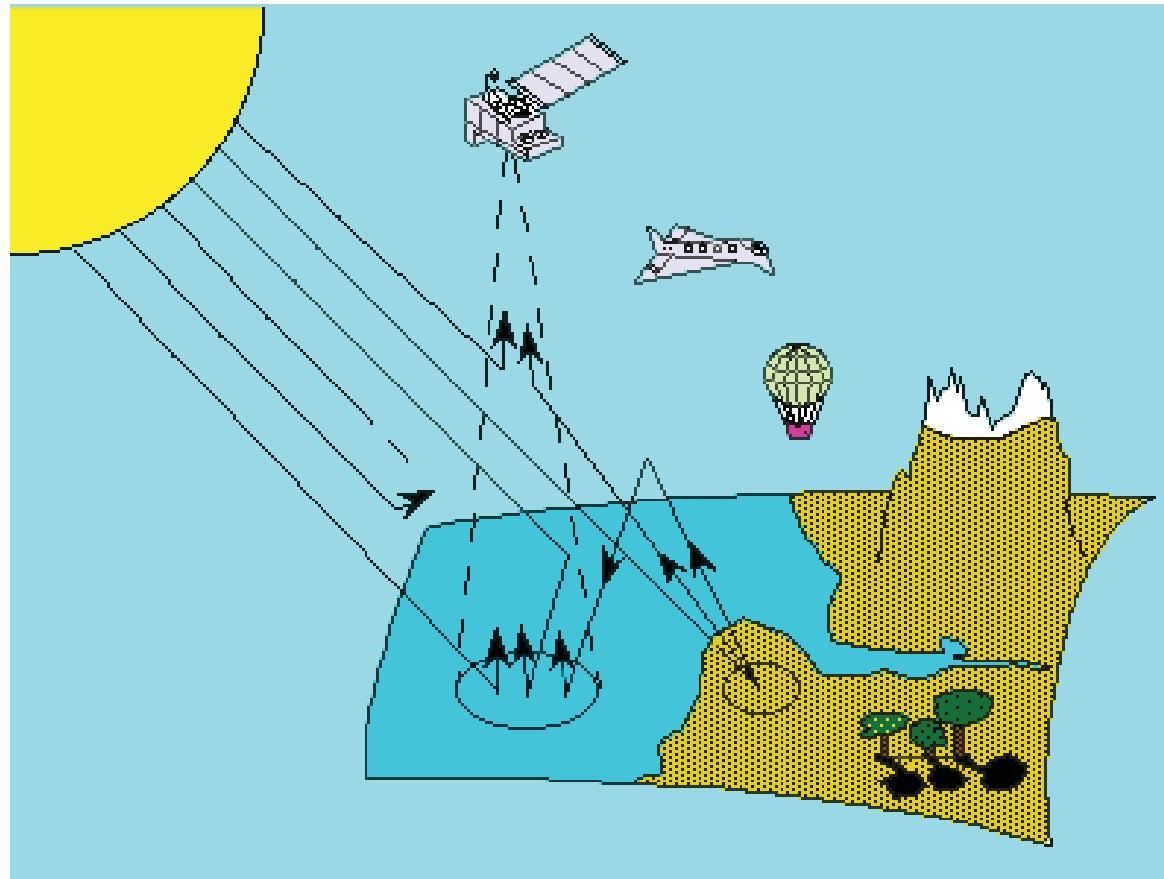
RADAR: radiation generated next to sensor

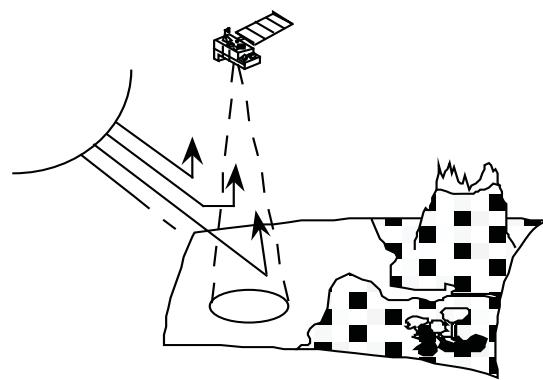


Atmospheric Correction of Earth
Observation Data for
Environmental Monitoring: Theory

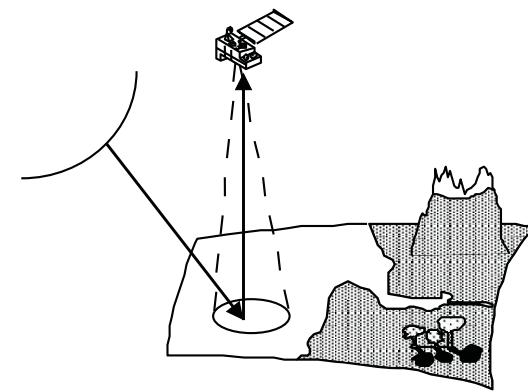


Solar Energy Paths

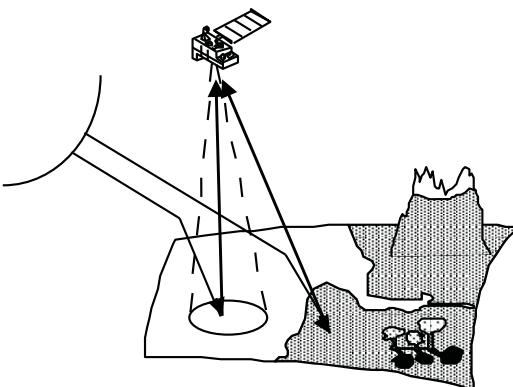




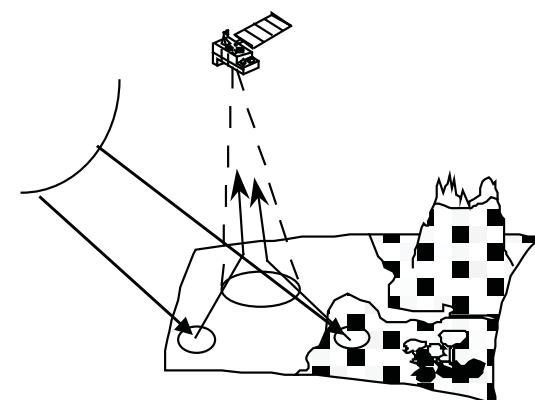
atmospheric contribution



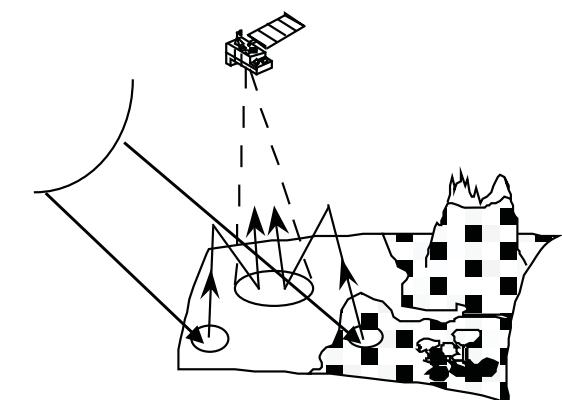
direct + direct



diffuse + direct



direct + diffuse

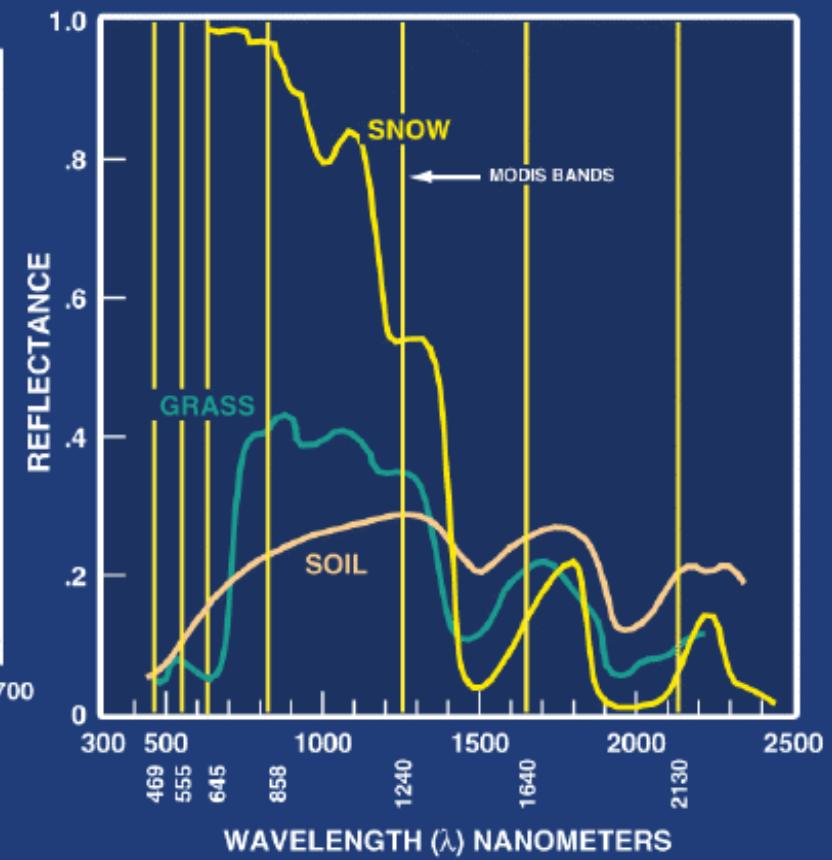
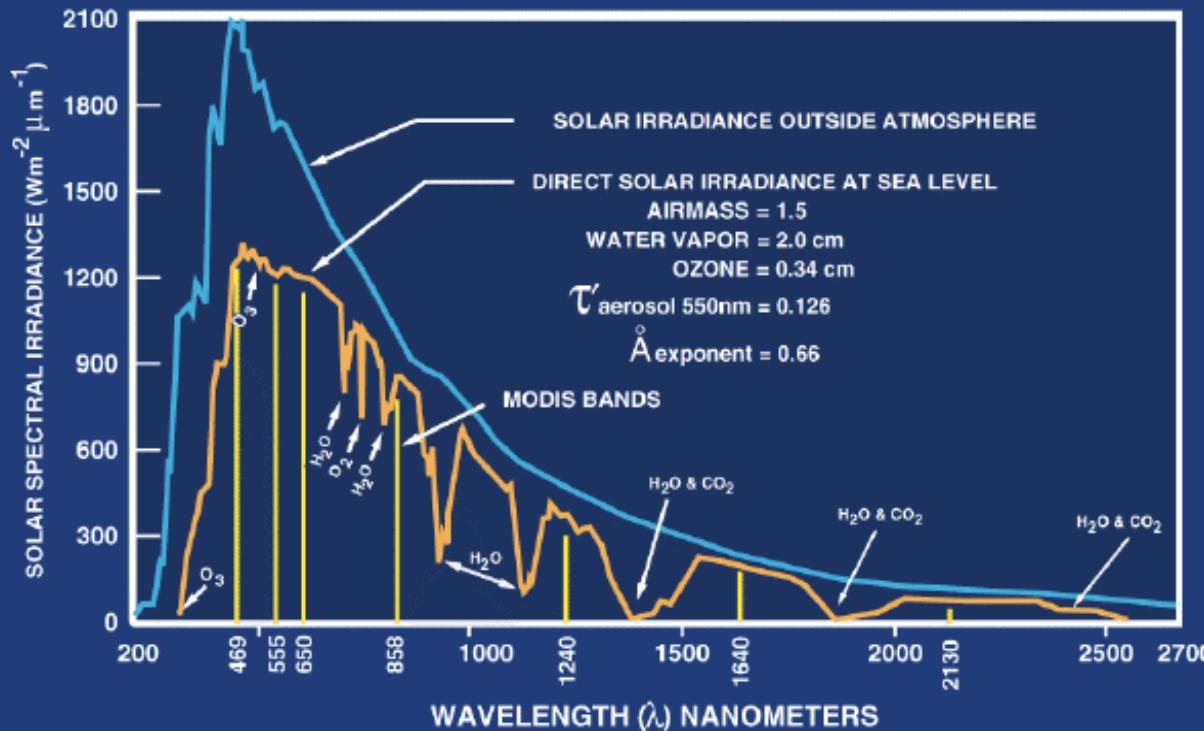


multiple scattering

Atmospheric Correction of Earth
Observation Data for
Environmental Monitoring: Theory

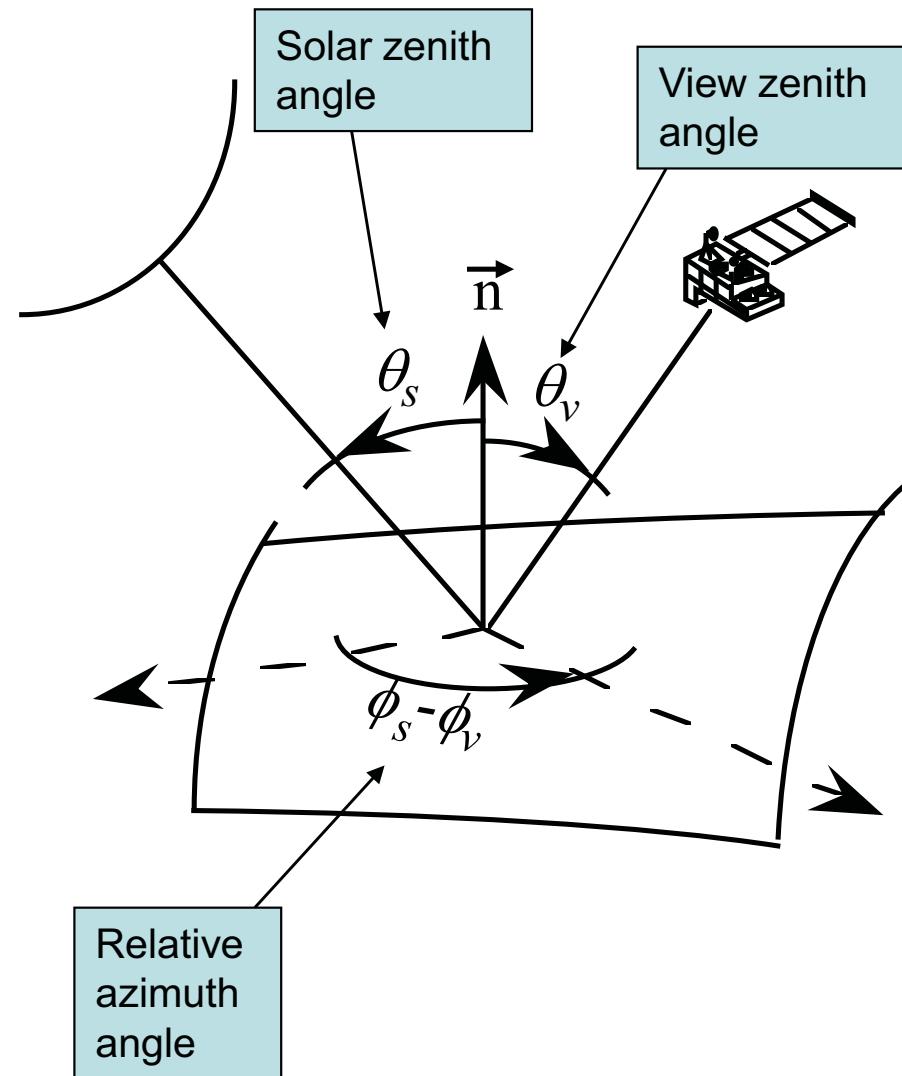


Solar (reflective) spectral domain





Observation Geometry





Solution of the Radiative Transfer in the reflective domain for non absorbing atmosphere and lambertian ground

$$\rho_{app}(\theta_s, \theta_v, \phi) = \rho_{atm}(\theta_s, \theta_v, \phi) + T_{atm}(\theta_s)T_{atm}(\theta_v) \frac{\rho_{ground}}{1 - \rho_{ground}S_{atm}}$$

Atmospheric reflectance

**Ground reflectance
(= albedo for lambertian)**

Atmospheric Transmissions

Apparent reflectance at satellite level

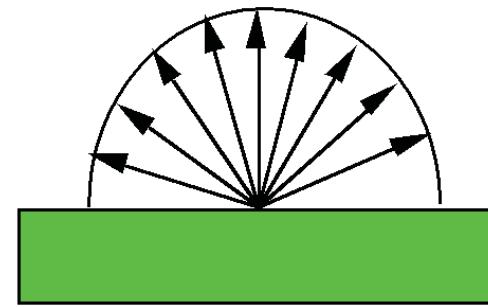
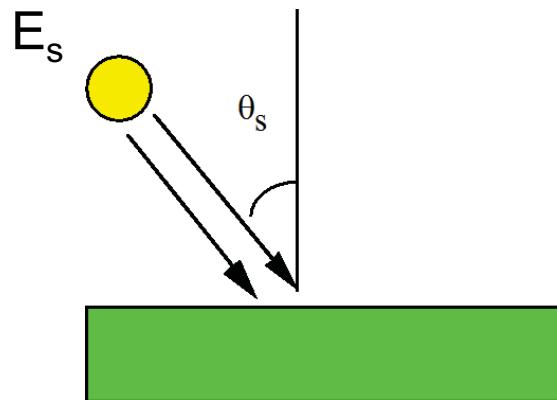
Atmosphere spherical albedo



Perfect Lambertian Reflector

Radiance of the Perfect Lambertian Reflector

$$\int_0^{\pi} \int_0^{2\pi} RPLF(\theta_s, \theta, \phi) \cos(\theta) \sin(\theta) d\theta d\phi = E_s \cos(\theta_s)$$



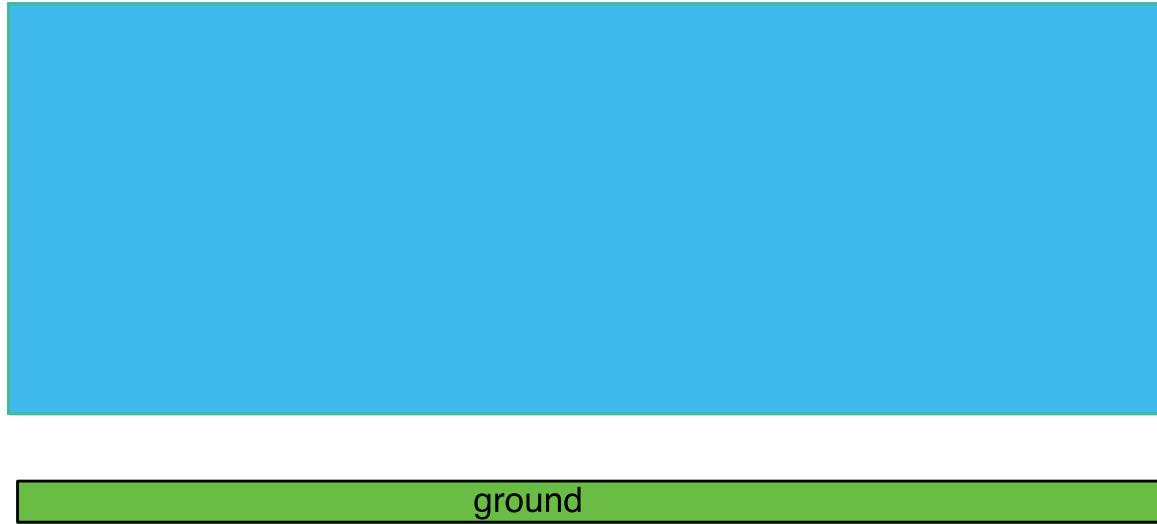
Isotropic
radiation

$$\rho_{\text{Perfect Lambertian reflector}}(\theta_s, \theta_v, \phi) = 1$$

$$\rho_{\text{Lambertian reflector}}(\theta_s, \theta_v, \phi) = \rho$$



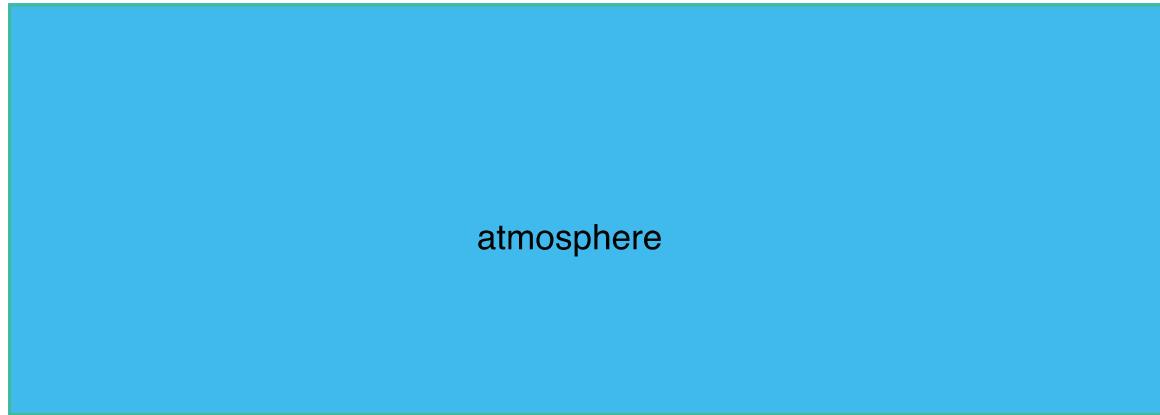
Simple Radiative Transfer Equation





SRTE (cont.)

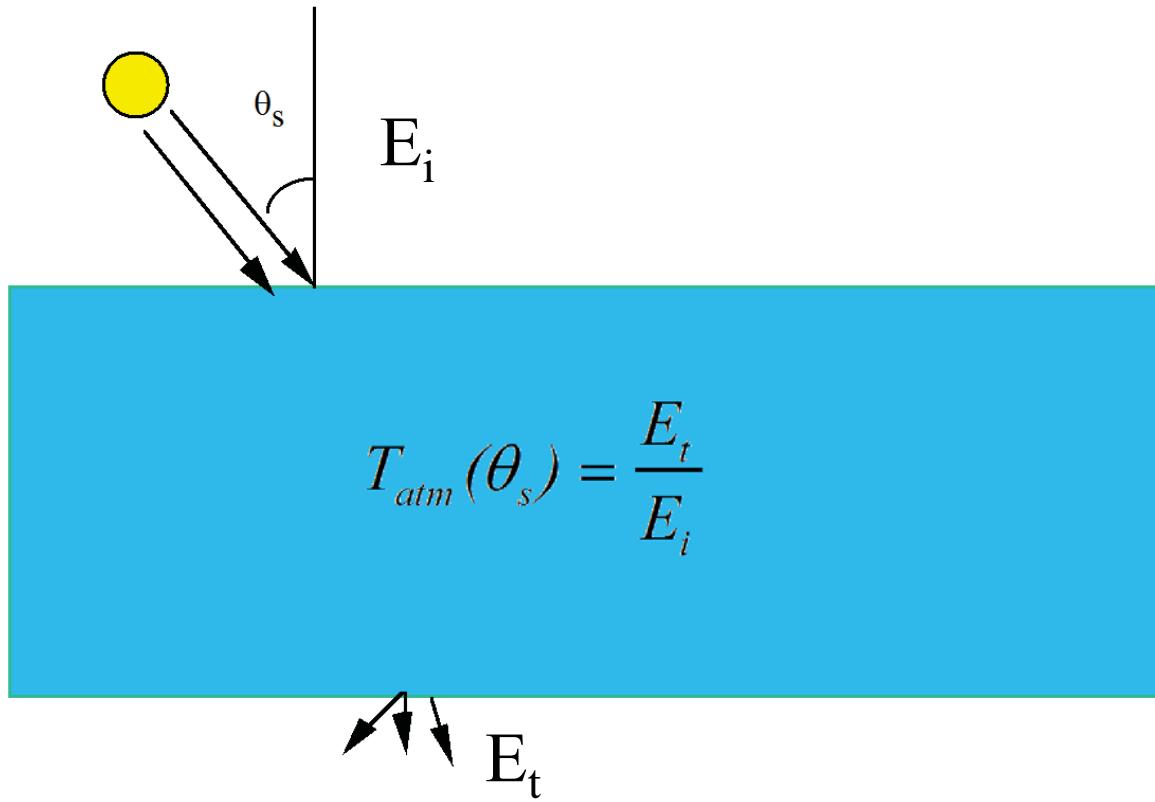
$$\rho_{atm}(\theta_s, \theta_v, \phi)$$



Absorbing ground

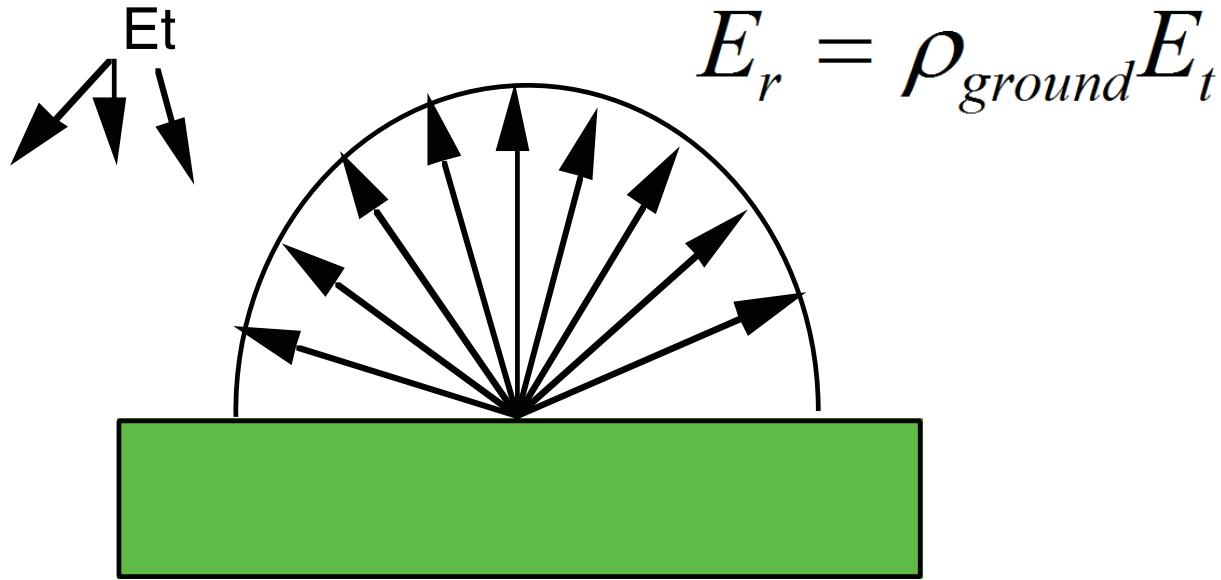


SRTE (cont.)

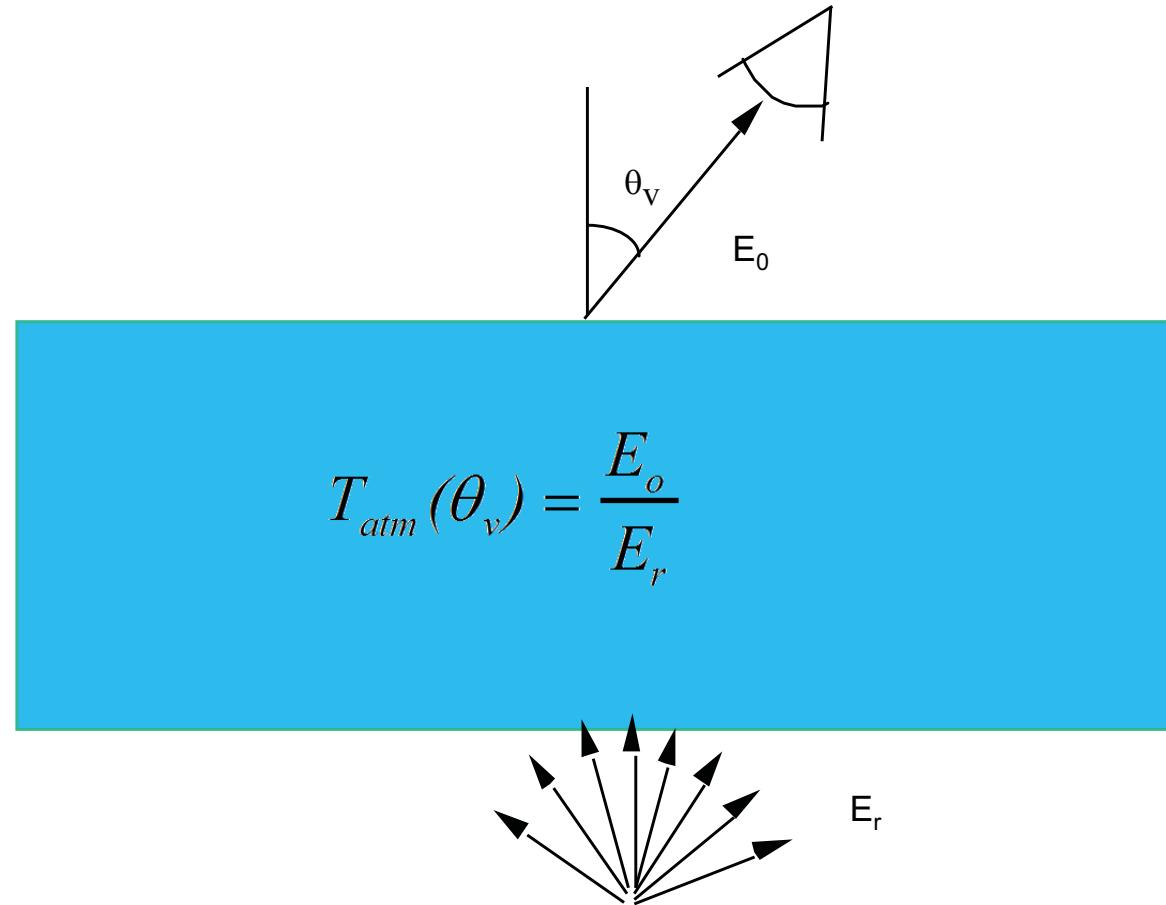




SRTE (cont.)



SRTE (cont.)





SRTE 1 interaction (cont.)



ground

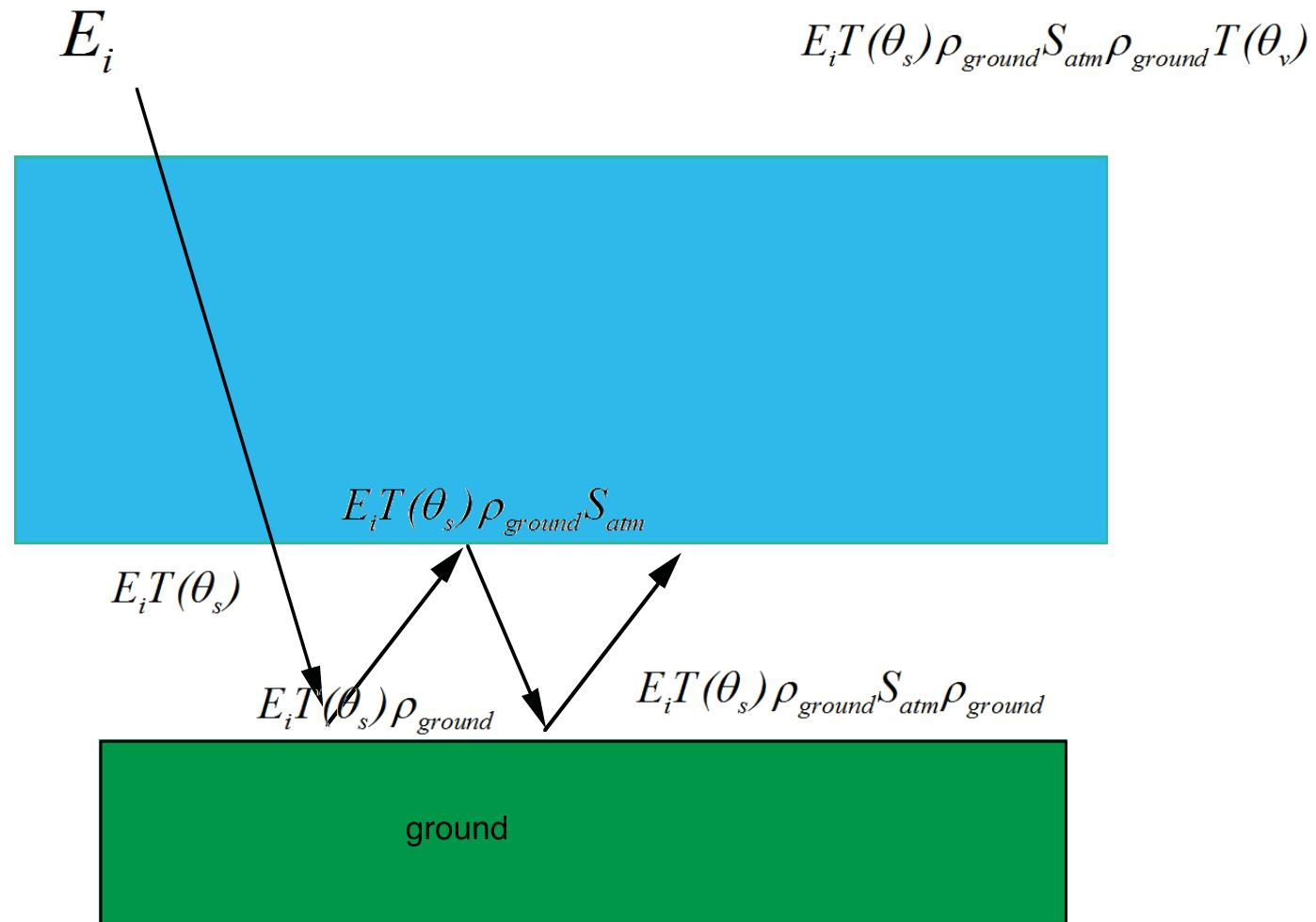
$$\rho_{app} = \rho_{atm} + \frac{E_o}{E_i}$$

$$\frac{E_o}{E_i} = \frac{T(\theta_v)E_r}{E_i} = \frac{T(\theta_v)\rho_{ground}E_t}{E_i} = T(\theta_v)\rho_{ground}T(\theta_s)$$

$$\rho_{app} = \rho_{atm} + T(\theta_v)\rho_{ground}T(\theta_s)$$



SRTE 2 interactions





SRTE Multiple Interactions

$$\rho_{app} = \rho_{atm} + T(\theta_s)T(\theta_v)\rho_{ground} \left[1 + \rho_{ground}S_{atm} + (\rho_{ground}S_{atm})^2 + (\rho_{ground}S_{atm})^3 \dots \right]$$

$$1 + r + r^2 + r^3 + \dots r^{n-1} = \frac{1 - r^n}{1 - r}$$

$\rho_{ground}S_{atm} < 1$ so when $n \rightarrow \infty$ then $(\rho_{ground}S_{atm})^n \rightarrow 0$

Therefore $\left[1 + \rho_{ground}S + (\rho_{ground}S)^2 + (\rho_{ground}S)^3 \dots \right] = \frac{1}{1 - \rho_{ground}S}$

$$\rho_{app} = \rho_{atm} + T_{atm}(\theta_s)T_{atm}(\theta_v) \frac{\rho_{ground}}{1 - \rho_{ground}S_{atm}}$$



STRE for non absorbing atmosphere and lambertian ground

$$\rho_{app}(\theta_s, \theta_v, \phi) = \rho_{atm}(\theta_s, \theta_v, \phi) + T_{atm}(\theta_s)T_{atm}(\theta_v) \frac{\rho_{ground}}{1 - \rho_{ground} S_{atm}}$$

Apparent reflectance at satellite level

Atmospheric reflectance

Ground reflectance
(= albedo for lambertian)

Atmospheric Transmissions

Atmosphere spherical albedo

```
graph TD; A["Apparent reflectance at satellite level"] --> B["Atmospheric reflectance"]; A --> C["Ground reflectance  
(= albedo for lambertian)"]; A --> D["Atmospheric Transmissions"]; D --> E["Atmospheric reflectance"]; D --> F["Atmosphere spherical albedo"]
```

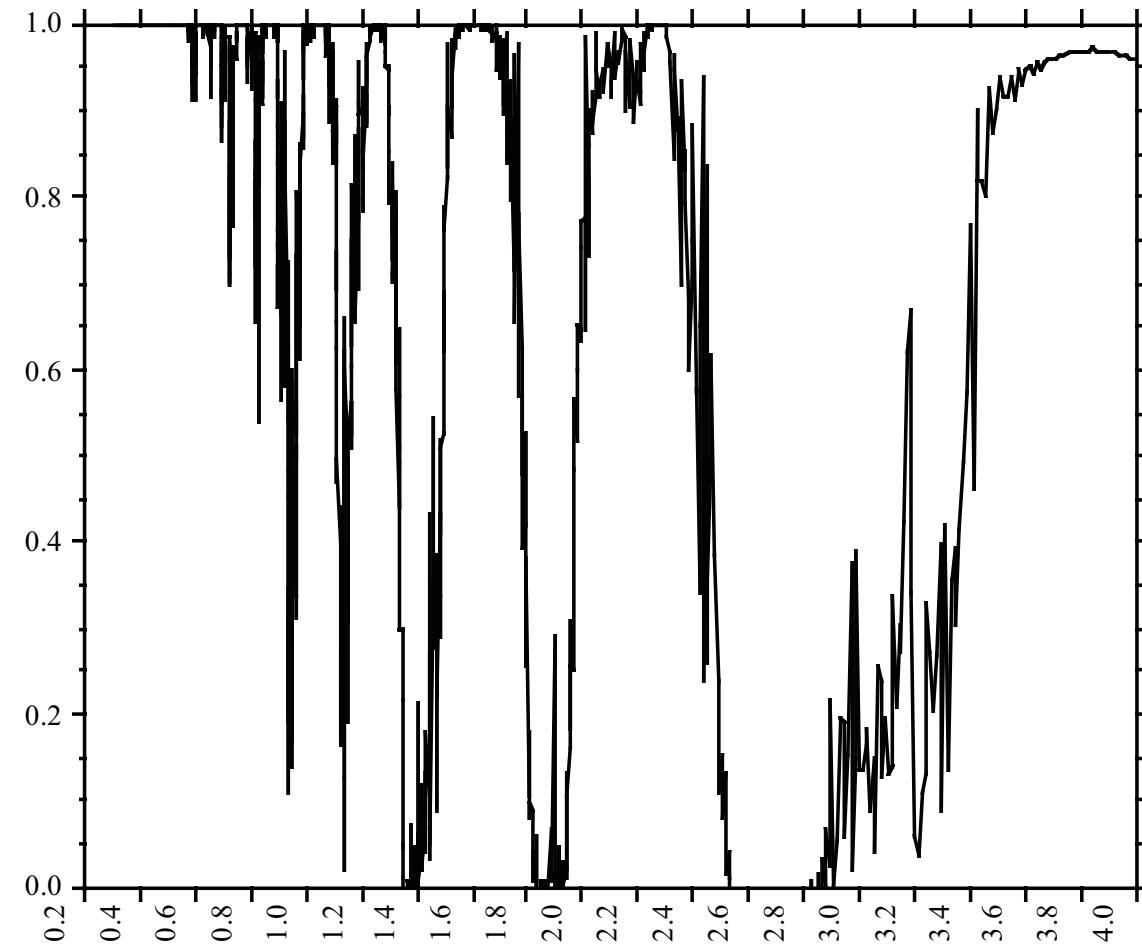


The composition of the atmosphere

Permanent Constituents		Variable constituents	
Constituent	% by volume	Constituent	% by volume
Nitrogen (N ₂)	78.084	Water Vapor (H ₂ O)	0.04
Oxygen (O ₂)	20.948	Ozone (O ₃)	12 x 10 ⁻⁴
Argon (Ar)	0.934	Sulfur dioxide (SO ₂) ^b	0.001 x 10 ⁻⁴
Carbon dioxide (CO ₂)	0.033	Nitrogen dioxide (NO ₂)	0.001 x 10 ⁻⁴
Neon (Ne)	18.18 x 10 ⁻⁴	Ammonia (NH ₃)	0.001 x 10 ⁻⁴
Helium (He)	5.24 x 10 ⁻⁴	Nitric oxide (NO)	0.0005 x 10 ⁻⁴
Krypton (Kr)	1.14 x 10 ⁻⁴	Hydrogen sulfide (H ₂ S)	0.00005 x 10 ⁻⁴
Xenon (Xe)	0.089 x 10 ⁻⁴	Nitric acid vapor	trace
Hydrogen (H ₂)	0.5 x 10 ⁻⁴		
Methane (CH ₄)	1.5 x 10 ⁻⁴		
Nitrous Oxide (N ₂ O)	0.27 x 10 ⁻⁴		
Carbon Monoxide (CO)	0.19 x 10 ⁻⁴		



Gaseous Absorption (H_2O)





Modified SRTE to account for absorption

In case of a pure molecular atmosphere (no aerosol) we can write:

$$\rho_{app}(\theta_s, \theta_v, \phi) = Tg^{othergases}(m, U_{gaz}) \left[\rho_{atm}(\theta_s, \theta_v, \phi) + Tg^{H_2O}(m, U_{H_2O}) T_{atm}(\theta_s) T_{atm}(\theta_v) \frac{\rho_{ground}}{1 - S_{atm} \rho_{ground}} \right]$$

m is the air mass = $1/\cos(\theta_s) + 1/\cos(\theta_v)$

U_{gaz} is the gaz concentration

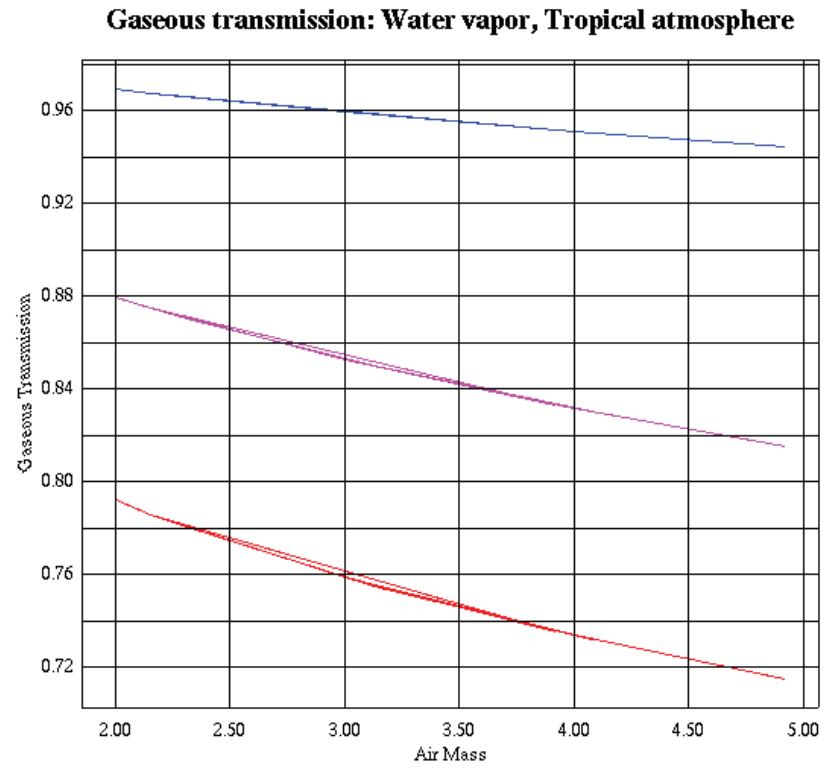
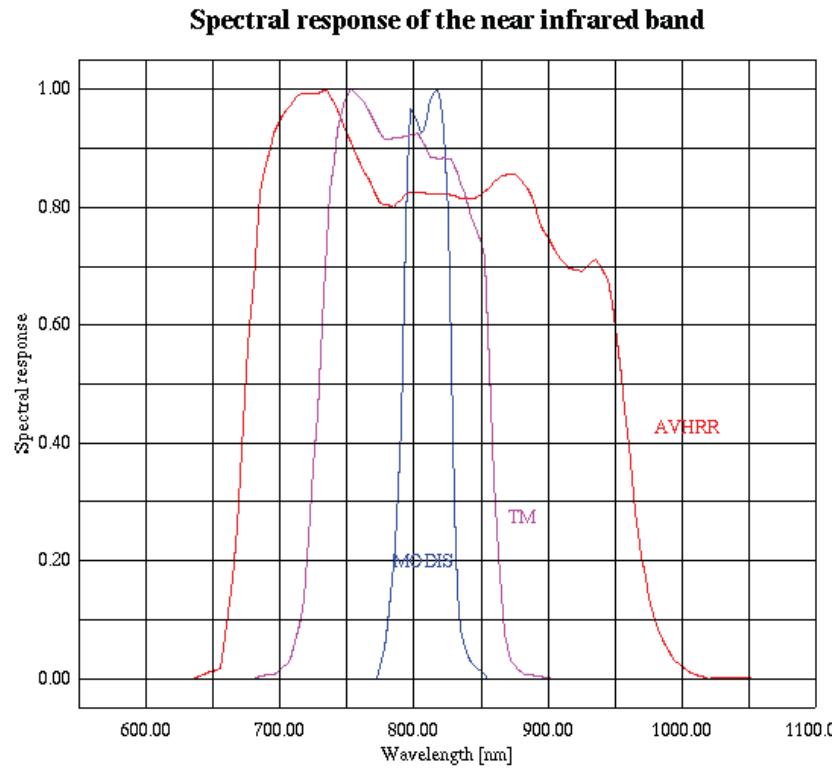


Final SRTE approximation

$$\rho_{app}(\theta_s, \theta_v, \phi) \sim Tg^{othergases}(m, U_{gaz}) \left[\rho_R(\theta_s, \theta_v, \phi) + Tg^{H_2O}(m, U_{H_2O}/2) \rho_A(\theta_s, \theta_v, \phi) \right. \\ \left. + Tg^{H_2O}(m, U_{H_2O}) T_A(\theta_s) T_A(\theta_v) T_R(\theta_s) T_R(\theta_v) \frac{\rho_{ground}}{1 - S_{R+A} \rho_{ground}} \right]$$

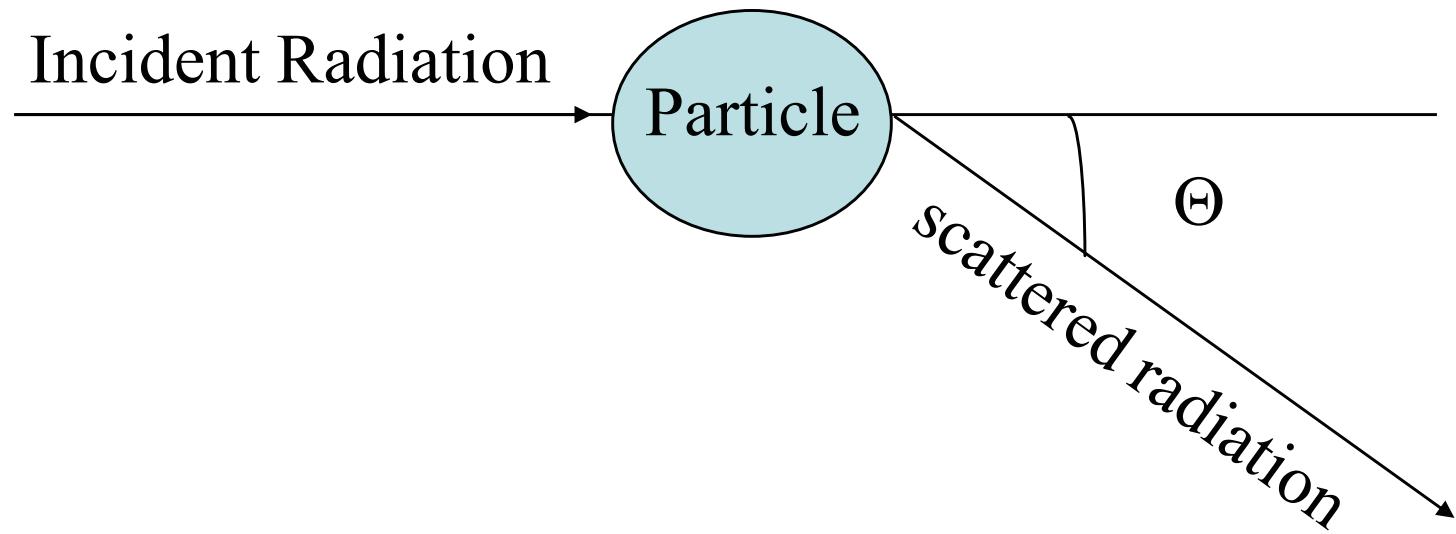


Water vapor effect for different sensors in the near infrared



Scattering angle ,

- The scattering angle, Θ , is the relative angle between the incident and the scattered radiation





Phase function

- The phase function, $P(\Theta)$, describe the distribution of scattered radiation for one or an set of particles. It is normalized such as:

$$\int \int_{0 \ 0}^{2\pi \pi} P(\Theta) d\omega = 4\pi$$

since

$$\int \int_{0 \ 0}^{2\pi \pi} P(\Theta) \sin(\theta) d\theta d\phi = 2\pi \int_0^\pi P(\theta) \sin(\theta) d\theta$$

we have

$$\int_0^\pi P(\theta) \sin(\theta) d\theta = 2$$

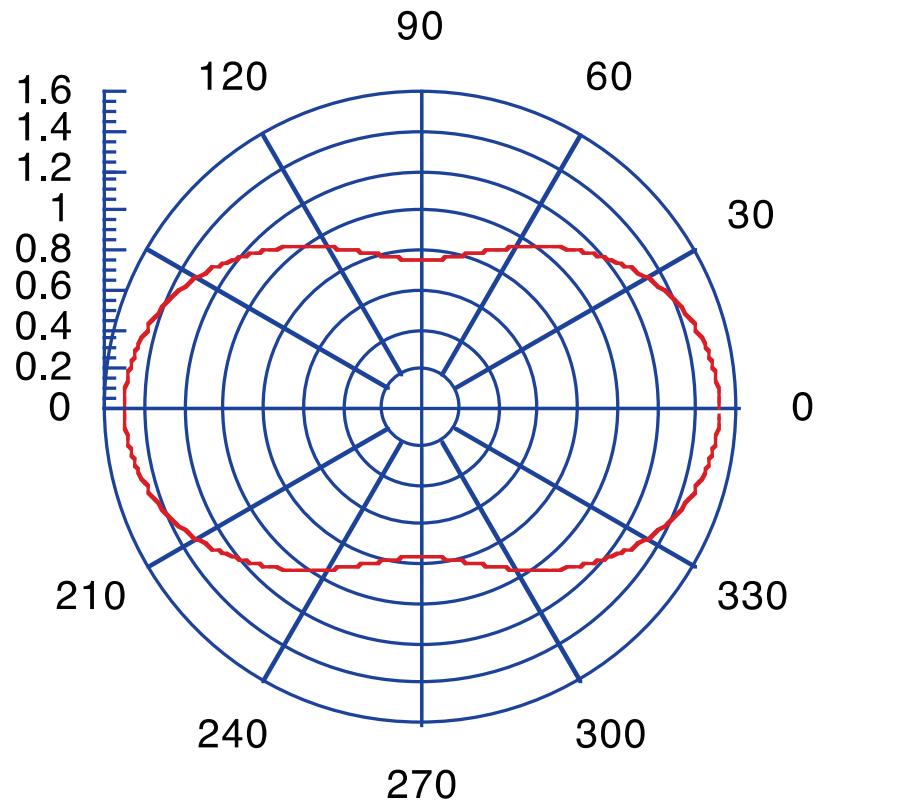


Rayleigh/molecular scattering

1/4

- Rayleigh or molecular scattering refers to scattering by atmospheric gases, in that case:

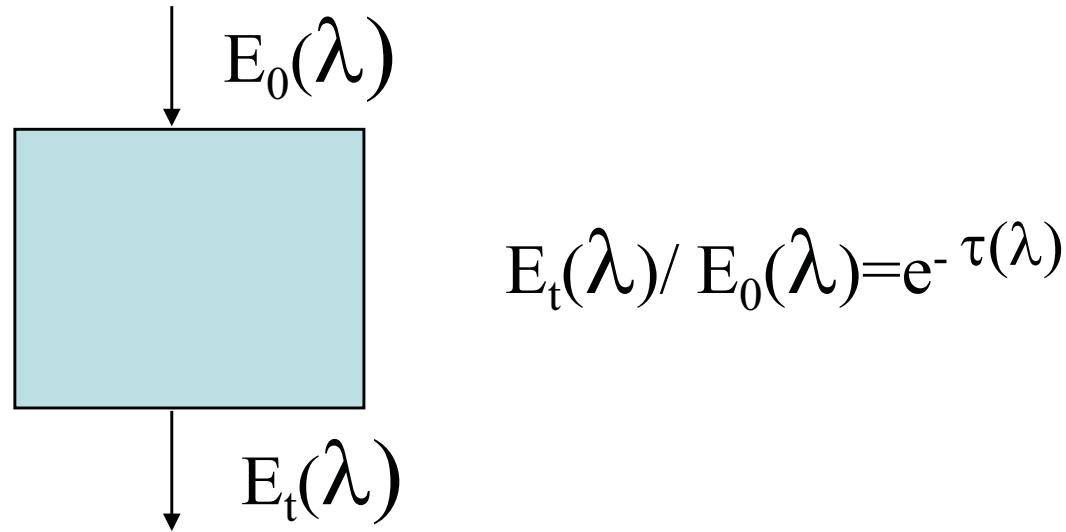
$$P(\Theta) = \frac{3}{4} (1 + \cos^2(\Theta))$$





Rayleigh/molecular scattering 2/4

- The concentration in scatterer is better described by the efficiency they scatter at a certain wavelength or the proportion of direct transmission which is related to the spectral optical thickness $\tau(\lambda)$



- For Rayleigh $\tau(\lambda)$ is proportional to λ^{-4} and for standard pressure is ~ 0.235 at $0.45 \mu\text{m}$



Rayleigh/molecular scattering

3/4

- The rayleigh reflectance, ρ_R , could be crudely approximated by:

$$\rho_R^\lambda(\theta_s, \theta_v, \phi) \sim \frac{\tau_R^\lambda P(\Theta)}{4 \cos(\theta_s) \cos(\theta_v)}$$



Rayleigh/molecular scattering 4/4

- Compute the reflectance of the sky (assumed clear no aerosol) at solar noon at 45degree latitude at vernal equinox looking straight up at $0.45\mu\text{m}$, $0.55\mu\text{m}$, $0.65\mu\text{m}$

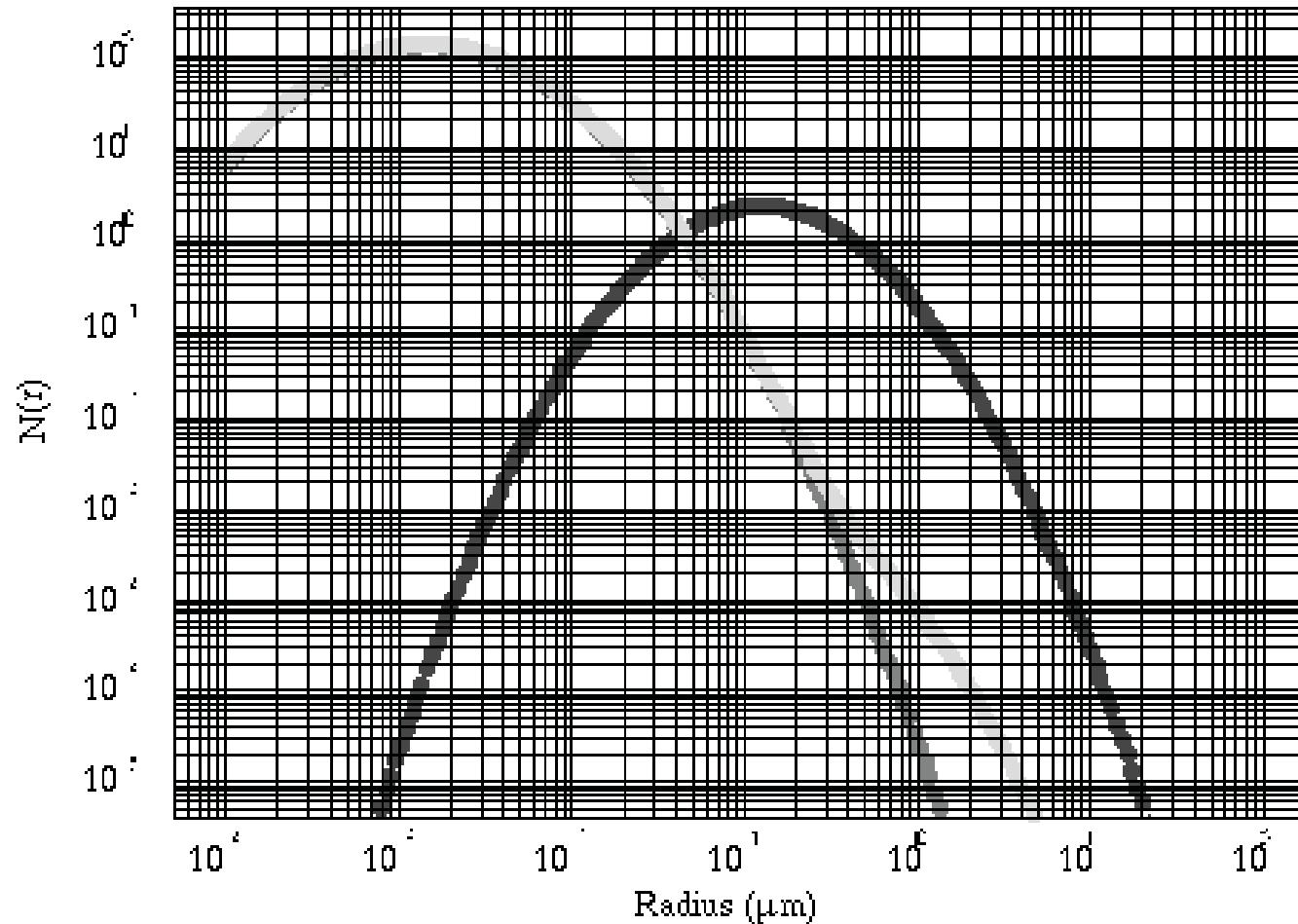
$$\rho_R^{0.45\mu\text{m}}(45^\circ, 0^\circ, \phi) = \frac{0.235P(45^\circ)}{4\cos(45^\circ)\cos(0^\circ)}$$
$$= \frac{0.235 \times 0.75(1 + \cos^2(45))}{4\cos(45^\circ)\cos(0^\circ)} = \frac{0.265}{2.8} \sim 0.1$$

$$\rho_R^{0.55\mu\text{m}} = 0.1 \times (0.45/0.55)^4 \sim 0.1 \times 0.4 = 0.04$$

$$\rho_R^{0.65\mu\text{m}} = 0.04 \times (0.55/0.65)^4 \sim 0.04 \times 0.5 = 0.02$$

Aerosol scattering 1/5

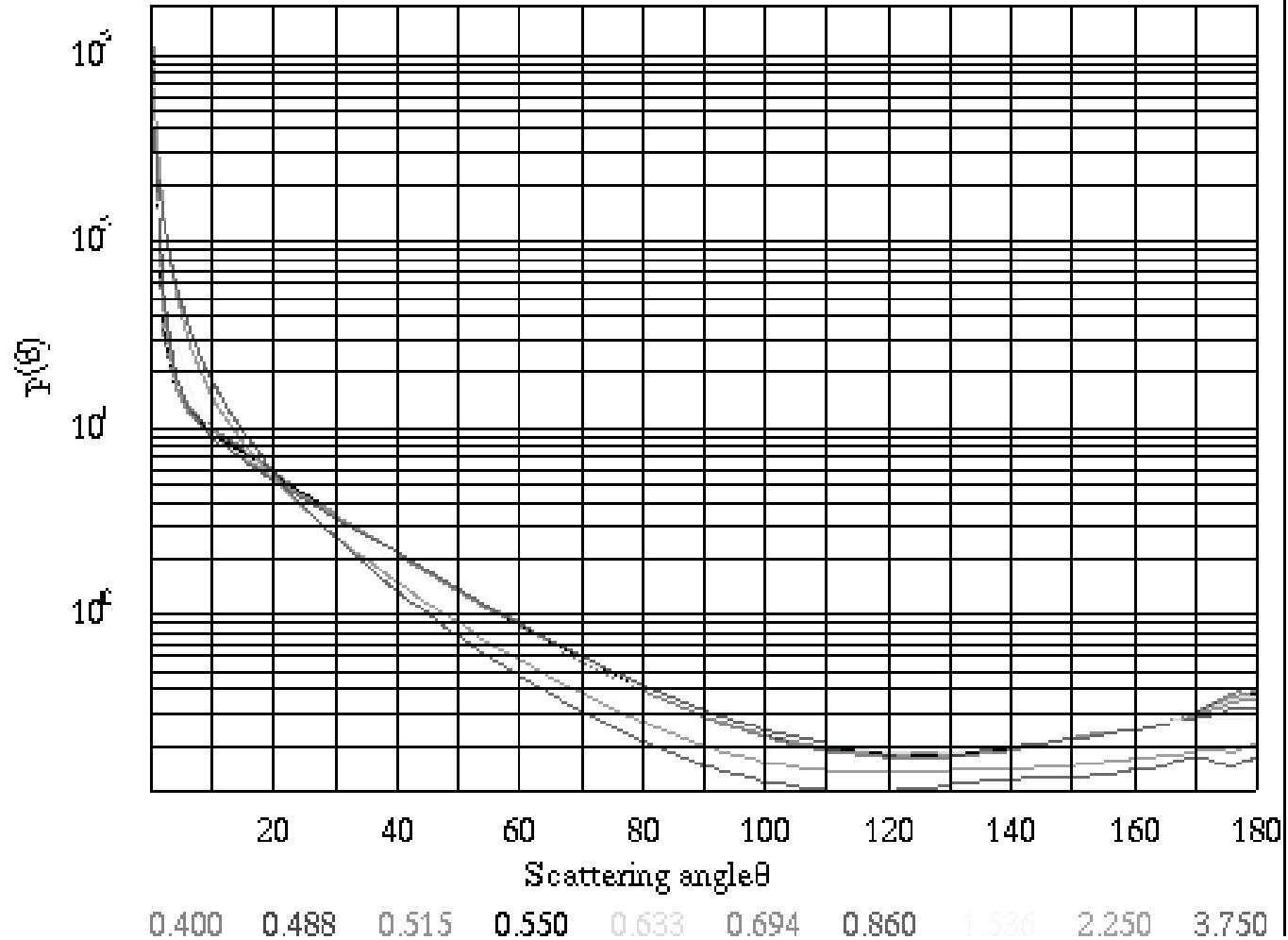
- aerosol scattering refers to scattering by particles in suspension in the atmosphere (not molecules). The MIE scattering theory could be applied to compute the aerosol phase function and spectral optical depth, based on size distribution, real and imaginary index.





Aerosol scattering 2/5

Continental aerosol phase function





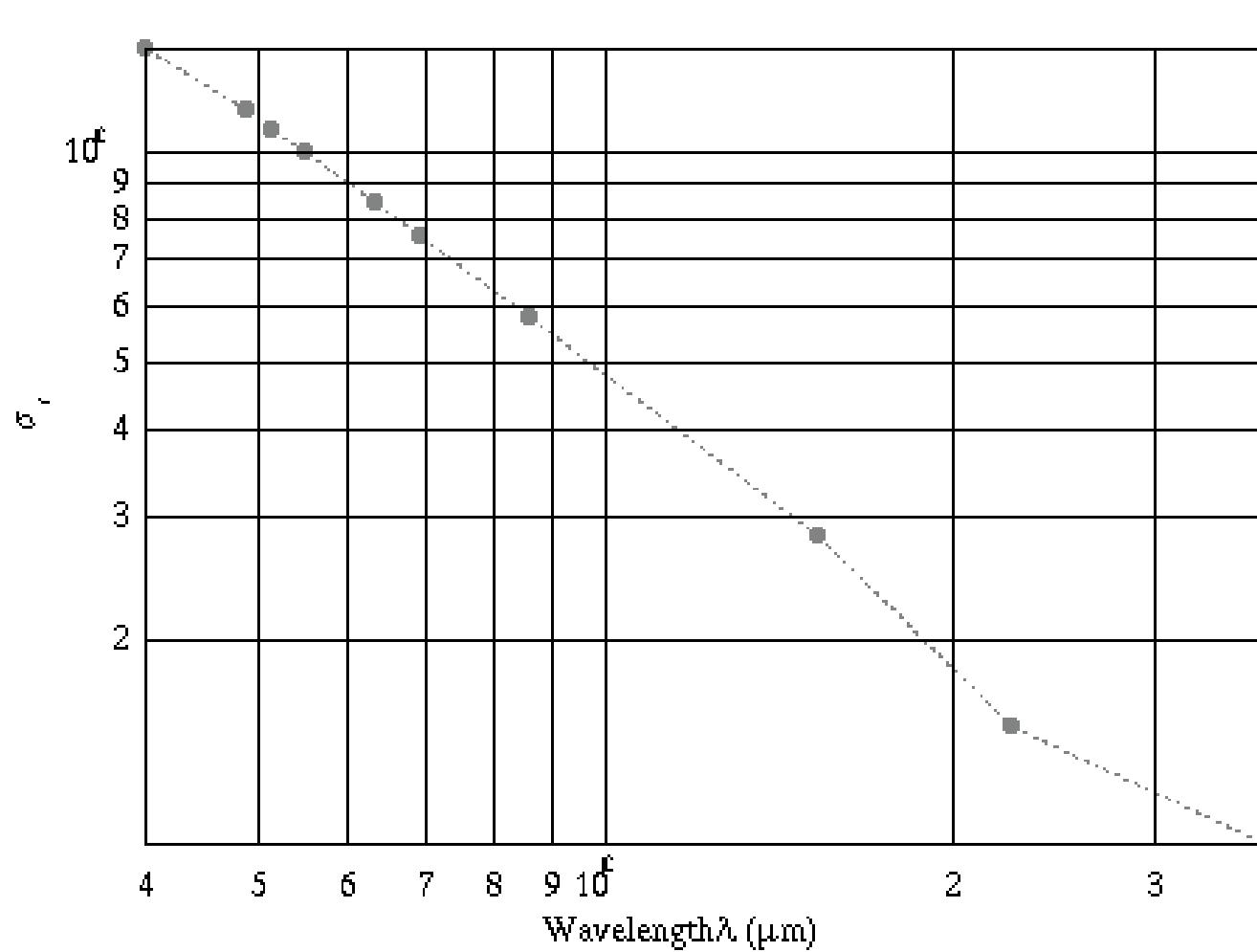
Aerosol scattering 3/5

single scattering albedo
(0.2-1.0) to account for absorbing
particles

$$\rho_A^\lambda(\theta_s, \theta_v, \phi) \sim \frac{\omega_A^\lambda \tau_A^\lambda P(\Theta)}{4\cos(\theta_s)\cos(\theta_v)}$$

Aerosol scattering 4/5

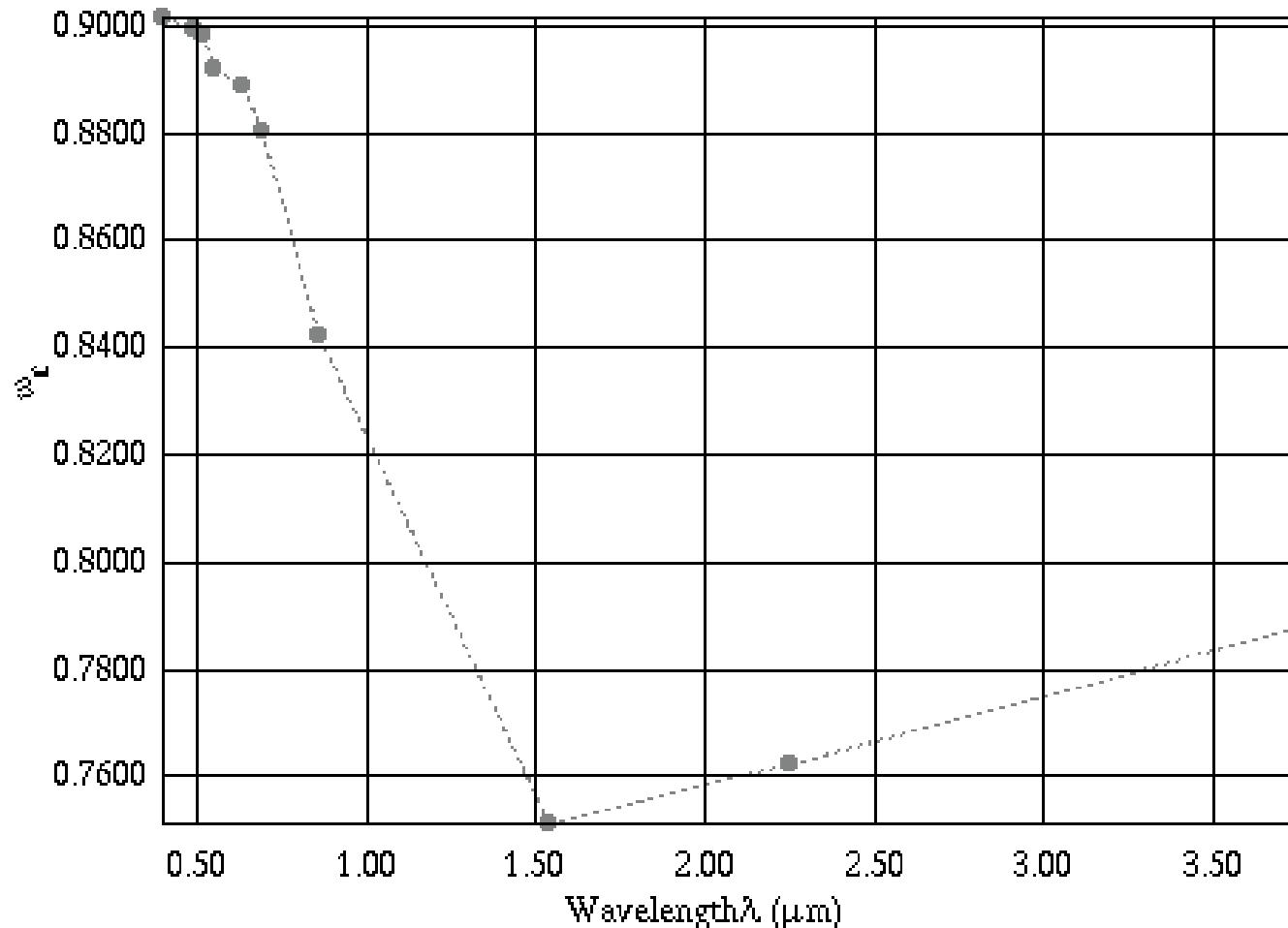
Continental aerosol optical thickness spectral variation





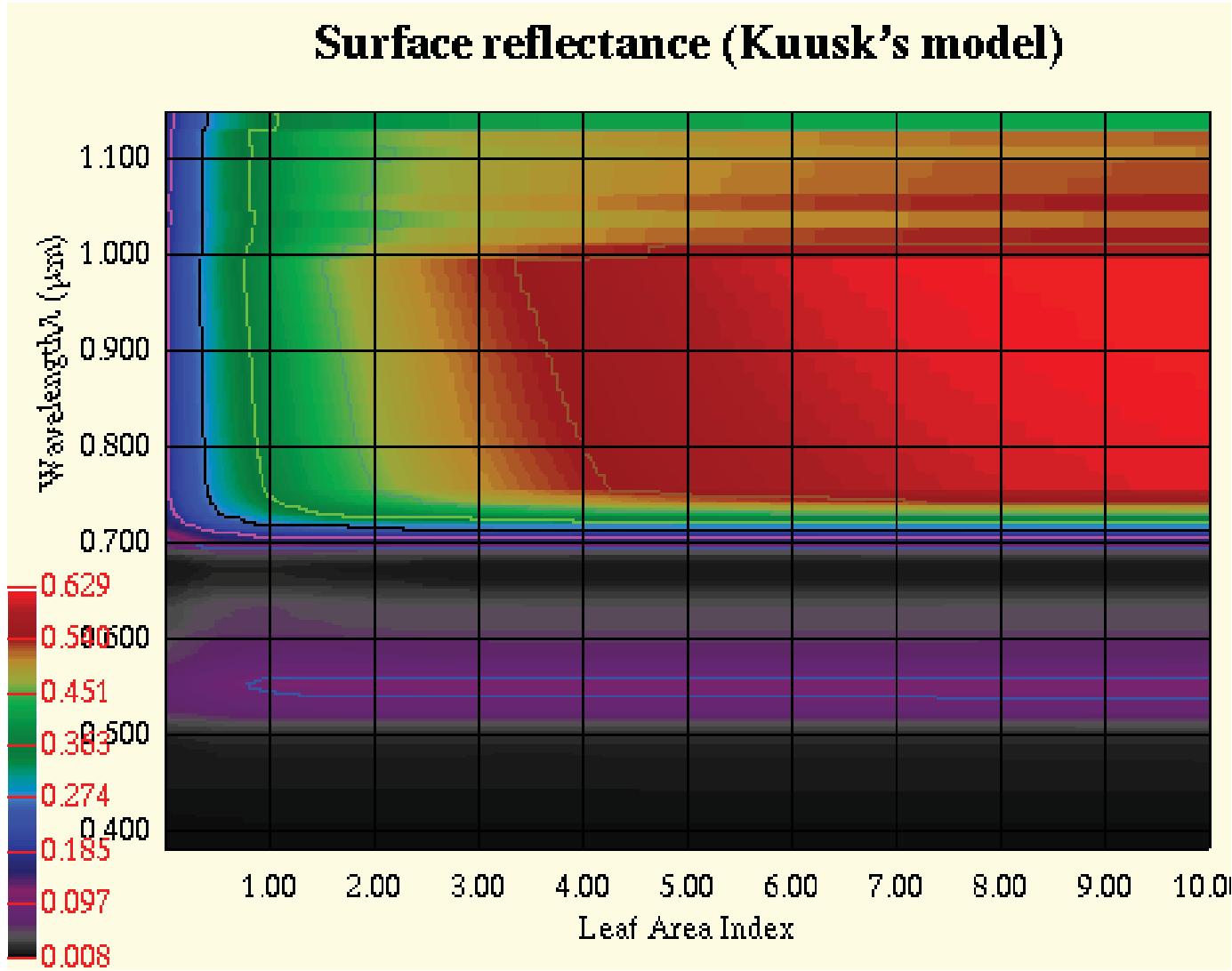
Aerosol scattering 5/5

Continental aerosol single scattering albedo spectral variation



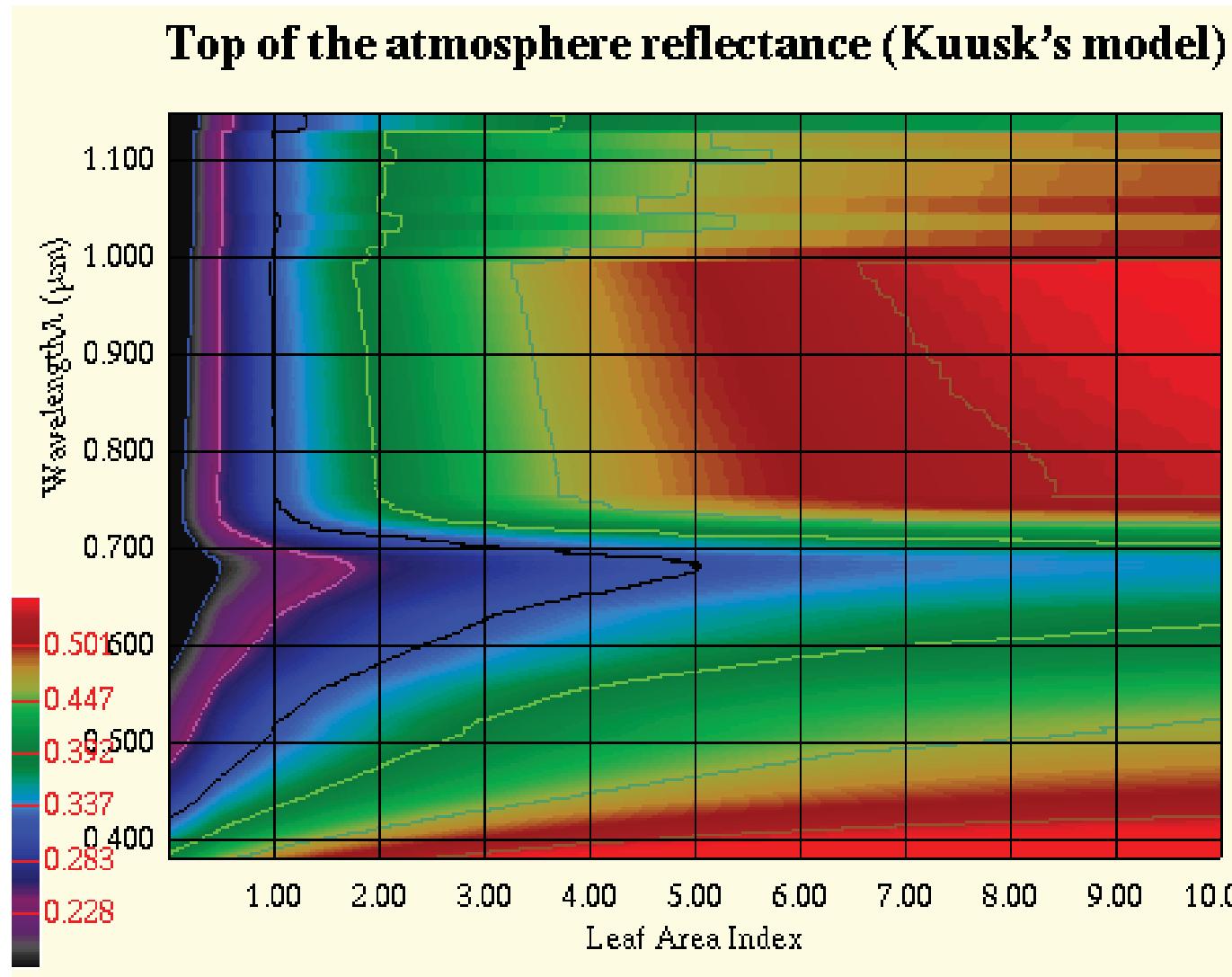


Atmospheric effect: Vegetation 1/3





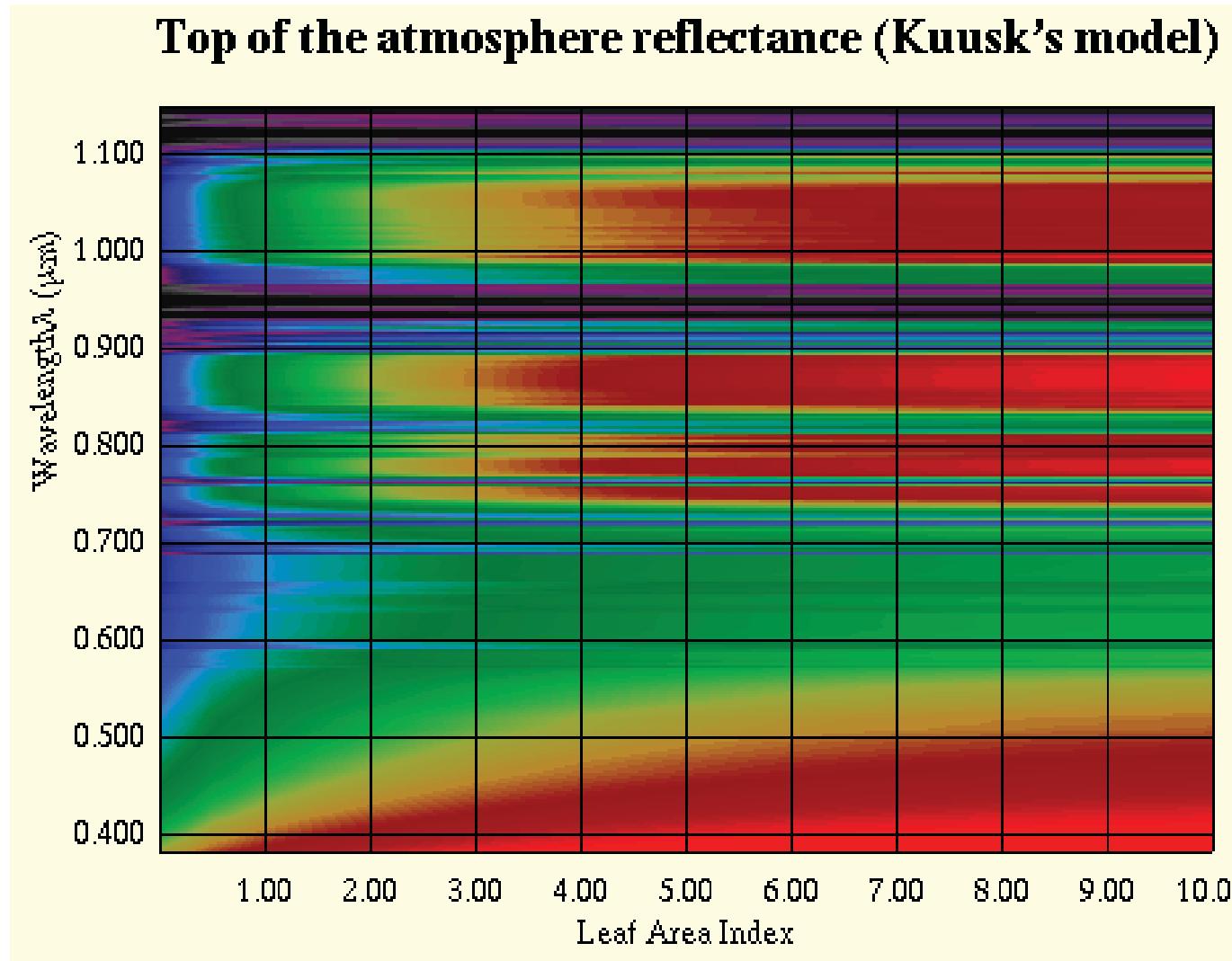
Atmospheric effect: Vegetation 2/3



No absorption, Continental aerosol



Atmospheric effect: Vegetation 3/3

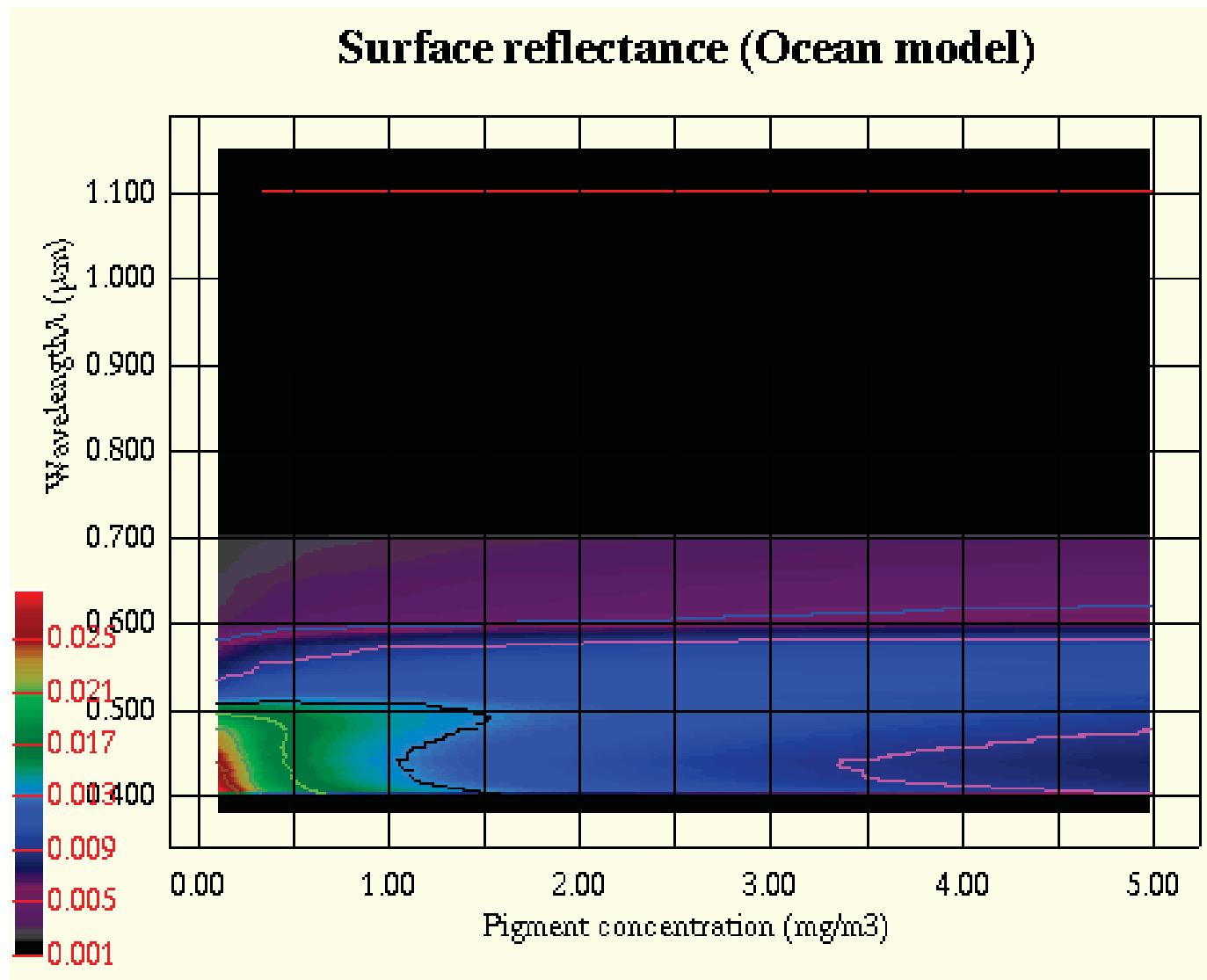


Absorption tropical atmosphere, Continental aerosol

Atmospheric Correction of Earth Observation Data for Environmental Monitoring: Theory and Best Practises

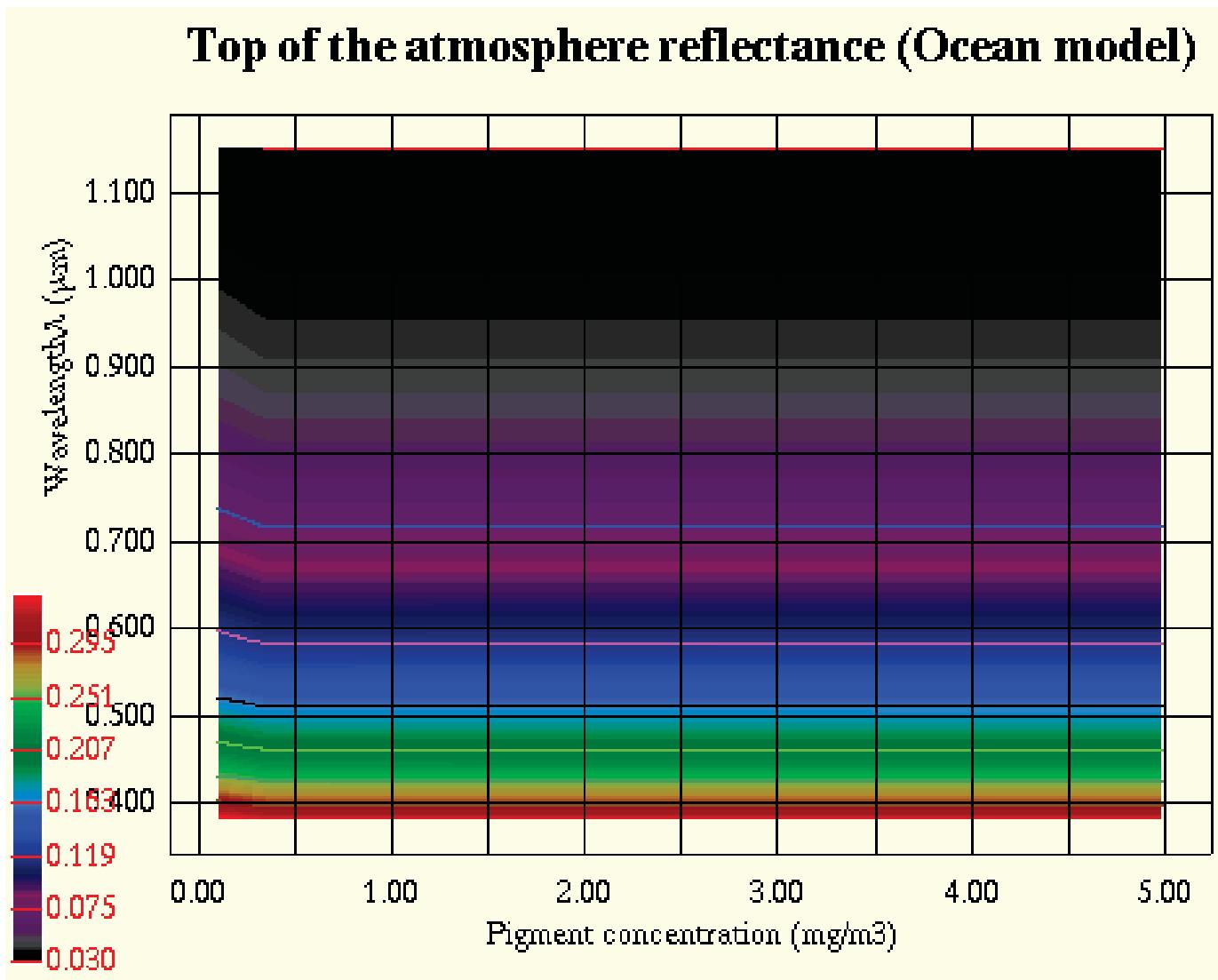


Atmospheric effect: Ocean 1/2





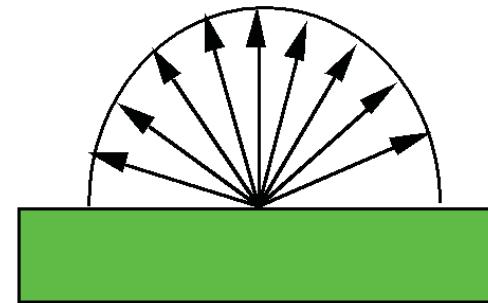
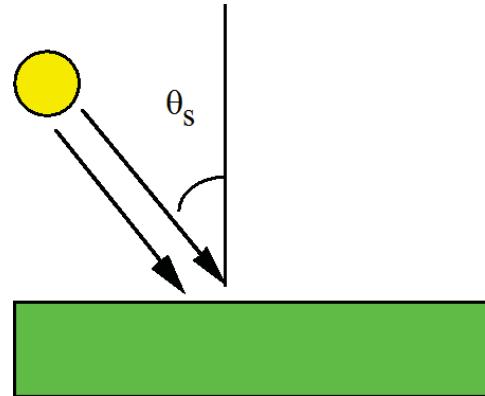
Atmospheric effect: Ocean 2/2





Perfect Lambertian Reflector

$$\int_0^{\pi} \int_0^{2\pi} RPLF(\theta_s, \theta, \phi) \cos(\theta) \sin(\theta) d\theta d\phi = E_s \cos(\theta_s)$$

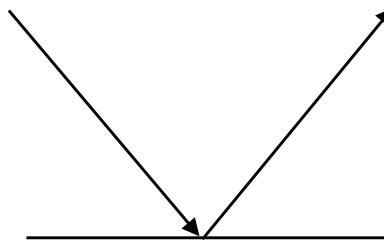


Isotropic
radiation

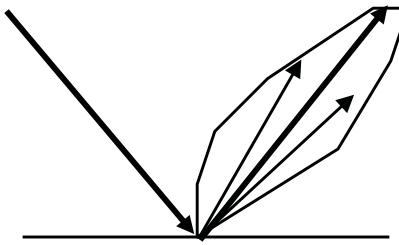
$$RPLF(\theta_s, \theta_v, \phi) = \frac{E_s \cos(\theta_s)}{\pi}$$



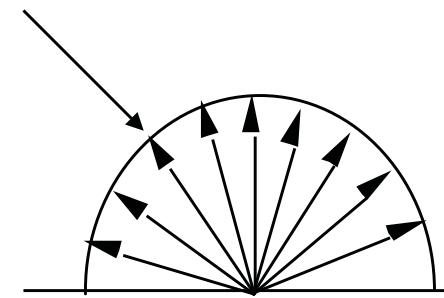
Different Types of Reflectors



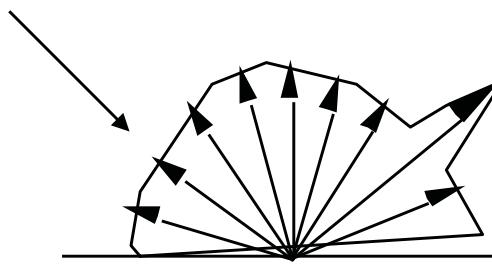
Specular reflector (mirror)



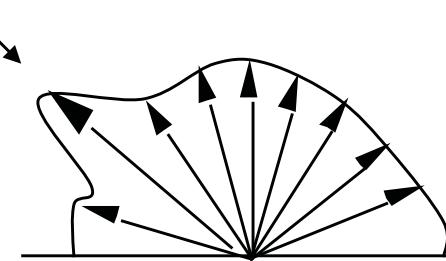
Nearly Specular reflector (water)



diffuse reflector (lambertian)



nearly diffuse reflector



Hot spot reflection



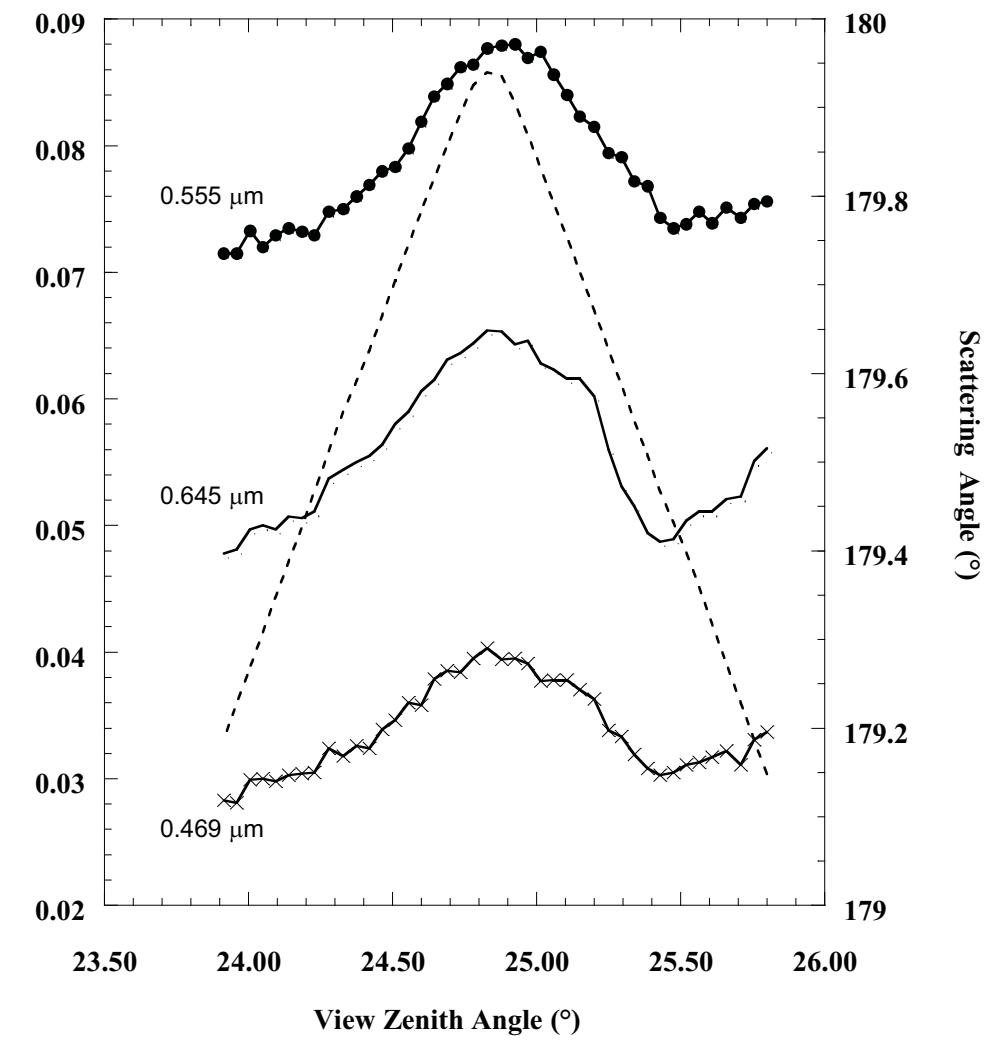
Sun glint as seen by MODIS



Gray level temperature image



MODIS data illustrating the hot-spot over dense vegetation





BRDF atmosphere coupling correction

Lambertian infinite target approximation

$$\rho_{app}(\theta_s, \theta_v, \phi) = \rho_{atm}(\theta_s, \theta_v, \phi) + T_{atm}(\theta_s)T_{atm}(\theta_v) \frac{\rho_{ground}}{1 - \rho_{ground} S_{atm}}$$

BDRF atmosphere coupling approximation

$$\begin{aligned} \rho_{app}(\theta_s, \theta_v, \phi) &= \rho_{atm}(\theta_s, \theta_v, \phi) + e^{-\tau/\mu_s} e^{-\tau/\mu_v} \rho_s(\theta_s, \theta_v, \phi) \\ &+ e^{-\tau/\mu_v} t_d(\theta_s) \bar{\rho}_s + e^{-\tau/\mu_s} t_d(\theta_v) \bar{\rho}'_s + t_d(\theta_v) t_d(\theta_s) \bar{\bar{\rho}}_s \\ &+ \frac{T_{atm}(\theta_s) T_{R+A}(\theta_v) S_{atm} (\bar{\bar{\rho}}_s)^2}{1 - S_{atm} \bar{\bar{\rho}}_s} \end{aligned}$$

$$\bar{\rho}_s(\mu_s, \mu_v, \phi) = \frac{\int_0^{2\pi} \int_0^1 \mu L_{atm}^\downarrow(\mu_s, \mu, \phi') \rho_s(\mu, \mu_v, \phi' - \phi) d\mu d\phi'}{\int_0^{2\pi} \int_0^1 \mu L_{atm}^\downarrow(\mu_s, \mu, \phi') d\mu d\phi'}$$

$$\bar{\bar{\rho}}_s(\mu_s, \mu_v, \phi) = \overline{\bar{\rho}'_s(\mu_s, \mu_v, \phi)}$$

$$\bar{\rho}'_s(\mu_s, \mu_v, \phi) = \bar{\rho}_s(\mu_s, \mu_v, \phi)$$



Adjacency effect correction

Lambertian infinite target approximation

$$\rho_{app}(\theta_s, \theta_v, \phi) = \rho_{atm}(\theta_s, \theta_v, \phi) + T_{atm}(\theta_s)T_{atm}(\theta_v) \frac{\rho_{ground}}{1 - \rho_{ground} S_{atm}}$$

adjacency effect approximation

$$\rho_{app} = \rho_{atm} + \frac{T_{atm}(\theta_s)}{1 - S_{atm}\rho_e} \left(e^{-\tau/\mu_v} \rho_s + t_{atm}^d(\theta_v) \rho_e \right)$$

$$\rho_e = \frac{1}{2\pi} \int_0^{2\pi} \int_0^\infty \rho(r, \psi) \frac{dF(r)}{dr} dr d\psi$$



Adjacency effect correction (practical implementation)

$$\rho_i = \frac{\rho_{app} - \rho_{atm}}{T_{atm}(\theta_s)T_{atm}(\theta_v)}$$

$$\rho_s^{\text{inf}} = \frac{\rho_i}{1 + S_{atm}\rho_i}$$

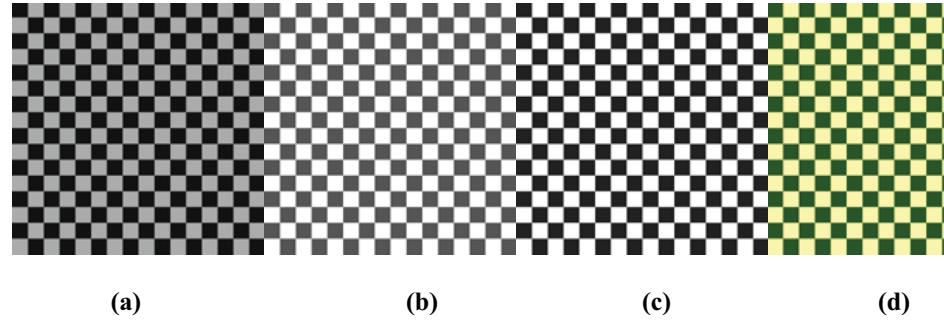
$$\rho_i = \frac{\rho_s e^{-\tau/\mu_v} + t_{atm}^d(\theta_v)\rho_e}{T_{atm}(\theta_v)(1 - S_{atm}\rho_e)}$$

$$\rho_s = \frac{\rho_i T_{atm}(\theta_v)(1 - S_{atm}\rho_e) - t_{atm}^d(\theta_v)\rho_e}{e^{-\tau/\mu_v}}$$

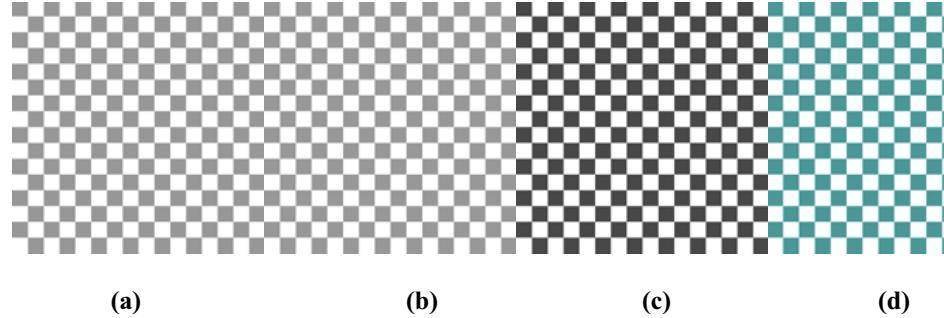
$$\rho_e = \sum_{j=-n}^n \sum_{i=-n}^n \frac{dF(r(i, j))}{dr} \rho_s^{\text{inf}}(i, j)$$



Adjacency effect correction (testing)



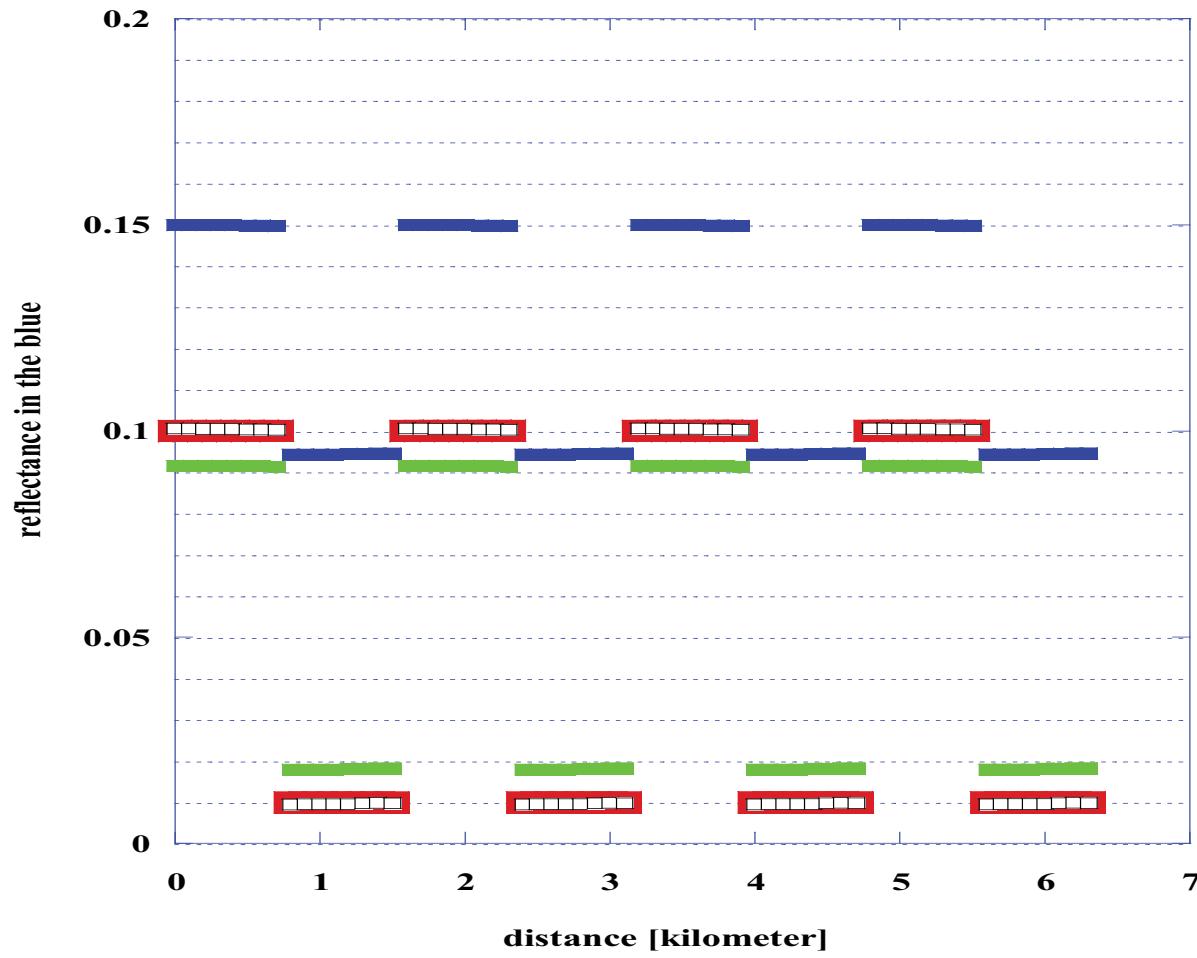
Synthetic data set for surface reflectance in the blue (a), green (b) and red (c), and in RGB (d) corresponding to bright soil (yellow squares) and dense vegetation (green squares).



Typical atmospheric effect on the synthetic surface reflectance shown above



Adjacency effect correction (testing)



Reflectance's observed over a horizontal transect on the checkerboard. The red bars are the "true" surface reflectance, the blue bars correspond to the top of the atmosphere signal including adjacency effect. The green bars correspond to the corrected data using the infinite target assumption. The open square correspond to the data corrected for the adjacency effect using the operational method developed.



Adjacency effect correction (validation)

