

Comparing Evaporative Sources of Terrestrial Precipitation and Their Extremes in MERRA Using Relative Entropy

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1 **Abstract:**

2 A quasi-isentropic back trajectory scheme is applied to output from the Modern Era
3 Retrospective-analysis for Research and Applications and a land-only replay with
4 corrected precipitation to estimate surface evaporative sources of moisture
5 supplying precipitation over every ice-free land location for the period 1979-2005.
6 The evaporative source patterns for any location and time period are effectively
7 two-dimensional probability distributions. As such, the evaporative sources for
8 extreme situations like droughts or wet intervals can be compared to the
9 corresponding climatological distributions using the method of relative entropy.
10 Significant differences are found to be common and widespread for droughts, but
11 not wet periods, when monthly data are examined. At pentad temporal resolution,
12 which is more able to isolate floods and situations of atmospheric rivers, values of
13 relative entropy over North America are typically 50-400% larger than at monthly
14 time scales. Significant differences suggest that moisture advection may be key to
15 precipitation extremes. Where evaporative sources do not change significantly, it
16 implies other local causes may drive the extremes.

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18

19 **1. Introduction**

20 Rain or snow falling over any particular location is composed of condensed water
21 vapor that entered the atmosphere as surface evaporation from a range of upstream
22 locations. Surface and atmospheric conditions along the paths of moisture
23 advection determine the ultimate sources of evaporative moisture, which generally
24 have a combination of oceanic and terrestrial origins. Knowledge of the sources of
25 moisture supplying precipitation over a particular location could be used to
26 understand how upstream surface changes may affect local hydrology, and
27 potentially to aid prediction (e.g., Dirmeyer and Kinter 2010; Bagley et al. 2012,
28 Spracklen et al. 2012).

29 There are three basic approaches to estimating the connection between surface
30 evaporation from specific locations and subsequent precipitation of that water. The
31 simplest but most limited in capabilities is the bulk method, which relies on regional
32 atmospheric moisture budgets at relatively long time scales, typically one month
33 (Brubaker et al. 1993). This approach is most often used to estimate precipitation
34 recycling as derived by Budyko (1974) – the portion of precipitation over a given
35 area that originated as evaporation within the same area (e.g., Eltahir and Bras
36 1994, Gong and Eltahir 1996, Trenberth 1999). Burde et al. (1996) showed that this
37 method has an implicit assumption of one-dimensionality that causes errors, which
38 can be accounted for in a two-dimensional derivation, further refined by Burde and
39 Zangvil (2001). These approaches typically use global atmospheric reanalyses as
40 the source of the necessary meteorological fields. Dominguez et al. (2006)
41 recognized the lack of water budget closure in reanalyses could affect the bulk

42 estimates, but decided the impact was small over the United States. Bisselink and
43 Dolman (2008) came to the same conclusion for Europe. This approach has been
44 extended to differentiate solely between terrestrial and oceanic moisture sources
45 (e.g., Gimeno et al. 2010; Goessling and Reick 2011). van der Ent (2010) developed a
46 backtracking model based on the vertically-integrated moisture transport and the
47 constraints of atmospheric water balance, but still in the Eulerian framework. Keys
48 et al. (2012) developed an interesting variant on that approach to estimate the
49 "precipitation-sheds" of regions, to assess their potential vulnerability to changing
50 evapotranspiration with land use changes.

51 Another approach is to include water vapor tracers directly within a three-
52 dimensional model of the atmosphere. This approach typically accomplishes the
53 tracing in a Lagrangian framework, providing a highly accurate set of trajectories for
54 water vapor parcels. Druyan and Koster (1989) tagged water vapor evaporation
55 from specific regions in a global model to compare moisture sources during wet and
56 dry conditions over the Sahel. Bosilovich and Sun (1999) performed a similar
57 analysis with a regional model over the central United States. Numaguti (1999)
58 performed a continental-scale tracer analysis over Eurasia, while Bosilovich and
59 Schubert (2002) performed a global study of evaporative sources of precipitation
60 using tracers in a global atmospheric model. Stohl and James (2004) used a
61 dispersion model driven by reanalyses to examine a case study of moisture
62 transport over Europe, and a one-year global assessment. Stohl and James (2005)
63 applied the method to diagnose oceanic moisture sources to major river basins.

64 A variation on the tracer approach is to use water isotopes as the markers
65 (Henderson-Sellers et al. 2004). Terrestrial versus oceanic sources can be
66 distinguished in this manner. Kurita et al. (2004) were able to trace the changing
67 sources of precipitable water across Russia from isotope measurements. Salati et al.
68 (1979) did this for the Amazon basin, and Tian et al. (2001) measured the
69 northward penetration of the South Asian monsoon circulation over Tibet using
70 isotopic measurements, among many other regional studies. An atmospheric
71 isotope model was employed by Yoshimura et al. (2004) in a study of moisture
72 sources for the Asian monsoon.

73 The bulk approaches mentioned above suffer several shortcomings, including errors
74 introduced by the coarse temporal and vertical resolution of the methodology and
75 data, lack of water budget closure in reanalysis data sets, and neglect of nonlinear
76 processes and asymmetrical moisture advection. Tracers embedded within
77 atmospheric models can have "perfect" tracking of water vapor at the full spatial
78 and temporal resolution. However, they tend to add great expense to the
79 simulations, especially in terms of computer memory use and data storage, as each
80 additional evaporative source requires another full three-dimensional state variable
81 to be carried in the model and integrated forward in time. Also, calculations from
82 these methods are subject to all the systematic errors of the model, which can often
83 be substantial for quantities like precipitation and evaporation (Kanamitsu et al.
84 2002). Models can be constrained by data assimilation, but the assimilation of
85 humidity violates conservation and closure of the water budget, which cannot be
86 handled elegantly by tracers.

87 A compromise is to calculate the tracers a posteriori from atmospheric analyses at a
88 high spatial and temporal resolution that resolves the synoptic-scale fluctuations in
89 water vapor, and even the diurnal cycle, as well as the vertical structure of moisture
90 in the atmosphere, but may not use data from every time step of the atmospheric
91 model producing the analysis. When using reanalyses as the source of the
92 meteorological forcing data, the issue of lack of closure of the water budget still
93 arises, but is less severe, particularly when observed precipitation data are used to
94 constrain the model simulations. This method has been used to independently
95 validate other methods of estimating precipitation recycling and its variability
96 (Brubaker et al. 2001; Sudradjat et al. 2003; Dirmeyer and Brubaker 2007), define
97 regional links between tropical moisture and mid-latitude floods (Dirmeyer et al.
98 1999; Reale et al. 2001; Sudradjat 2002; Turato et al. 2004; Dirmeyer and Kinter
99 2009, 2010, Wei et al. 2012), quantify links between nations through the
100 atmospheric branch of the hydrologic cycle (Dirmeyer et al. 2009), estimate remote
101 impacts of tropical land use change (Bagley et al. 2012) and study the fate of
102 evaporated irrigation water (Tuinenburg et al. 2012; Wei et al. 2013).

103 In this paper, we present results of this type of approach, the quasi-isentropic back-
104 trajectory scheme of Dirmeyer and Brubaker (2007) applied globally at a higher
105 spatial resolution than it has been previously, using NASA reanalysis products. In
106 addition to determining sources of evaporated moisture supplying precipitation and
107 estimating recycling ratios, differences in the distribution of sources during
108 droughts and wet intervals are quantified.

109 Section 2 describes the data sets used, the back-trajectory technique that estimates
110 the distribution of evaporative sources for moisture supplying precipitation over
111 any location, and a robust statistical method to compare distributions of evaporative
112 sources. The basic distributions of moisture sources are presented in Section 3.
113 Section 4 investigates how source regions vary in the cases of precipitation
114 extremes. Discussion is presented in Section 5.

115

116 **2. Data and Methods**

117 The global meteorological analysis used for this study is the Modern Era
118 Retrospective-analysis for Research and Applications (MERRA; Rienecker et al.
119 2011). MERRA uses the Goddard Earth Observing System (GEOS-5) atmospheric
120 model and data assimilation system at a resolution of $2/3^\circ$ longitude by $1/2^\circ$
121 latitude, overlying the Catchment land model (Koster et al. 2000; Ducharne et al.
122 2000). MERRA placed an emphasis on improved simulation of the global hydrologic
123 cycle, assimilating instantaneous precipitation rates (Decker et al. 2011).
124 Nevertheless, model biases and discontinuities from changing satellite platforms
125 introduce precipitation errors (Robertson et al. 2011), which affect the surface
126 water budget.

127 Reichle et al. (2011) used the replay feature of GEOS-5 to generate a land-only
128 analysis called "MERRA-Land", where precipitation from the observationally based
129 Global Precipitation Climatology Project (GPCP; Huffman et al. 2009, Xie et al. 2003)
130 is used to correct MERRA precipitation. This results in estimates of evaporation and

131 other surface quantities that are more consistent with observations. Dirmeyer
132 (2011) showed that MERRA-Land surface flux data produce estimates of land-
133 atmosphere coupling that are much more consistent with a wide range of other data
134 sets than MERRA.

135 These data are used to drive the quasi-isentropic back trajectory scheme that
136 estimates the distribution of surface evaporation that supplies precipitation as a
137 function of space and time (Brubaker et al. 2001; Dirmeyer and Brubaker 2007). It
138 is a Lagrangian method that traces the advection of moisture back in time from
139 precipitation events, and uses upstream evaporation and precipitable water
140 estimates to determine the probabilistic distribution of surface evaporation
141 supplying the precipitated water vapor to the atmosphere. Data are aggregated in
142 time over 5-day and monthly intervals, with a spatial distribution for every land
143 surface grid point. On a high-resolution global grid such as MERRA, this generates a
144 very large set of two dimensional source fields. The source fields for a set of grid
145 boxes, delineating a river basin, nation, or any arbitrary land area, can be easily
146 calculated by aggregation from the resolution grid.

147 The spatial pattern of surface evaporative sources is effectively a two-dimensional
148 probability distribution. There exist objective quantitative methods to determine
149 the degree of similarity between two probability distributions. Relative entropy,
150 which also goes by other names such as information divergence and Kullback
151 Leibler divergence, is such a quantification (cf. DelSole and Tippett 2007). The
152 relative entropy between two distributions p and q on a discrete spatial grid is
153 defined as (Kleeman 2002):

154
$$RE_{p,q} = \sum_i p(i) \log \frac{p(i)}{q(i)}$$

155 where i is the index of the grid points (in our case it is two dimensional but it applies
156 over any number of dimensions) and each of the distributions are defined as having
157 a sum total of unity. Thus, we must normalize any spatial distributions before
158 applying this method. For two identical distributions, $RE=0$. Any deviations will
159 increase the value of RE . $RE_{p,q} \neq RE_{q,p}$, but the ranking is preserved with either
160 choice of p and q , and RE is invariant to linear and nonlinear transforms.

161 What is not straightforward, however, is quantification of a statistical significance in
162 the difference between two distributions when they do not share identical or well-
163 described low-order moments (DelSole and Tippett 2007). For example, the
164 significance in the shift between two normal distributions can be quantified, but if
165 one or both distributions are non-normal, or otherwise do not share basic shape
166 parameters, significance can only be determined by Monte-Carlo bootstrap
167 techniques. We have used such an approach, which is described in the next section
168 along with some characteristics of the climatology of evaporative sources.

169

170 **3. Evaporative Sources**

171 Figure 1 shows the total evaporative source supplying precipitation over land
172 (Antarctica and other ice-covered points excluded) aggregated from monthly data
173 for each season. Two sets of estimates are used; one based on MERRA with
174 precipitation corrected by GPCP and MERRA-Land estimates of evaporation (top
175 panels), as well as one based solely on the original MERRA output. The bottom

176 panels show the difference between evaporative source estimates with MERRA
177 alone minus the estimates where MERRA-Land is used for terrestrial evaporation
178 and precipitation estimates. Looking first at the top panels, there is a clear
179 seasonality to both the terrestrial and oceanic sources of evaporation supplying
180 precipitation over land. The strongest sources tend to be in low latitudes over land
181 and adjacent open oceans, although a number of areas in the northern mid-latitudes
182 become prominent in JJA. The strength of oceanic sources correlate strongly to the
183 distance to shore, but there are exceptions (e.g., the closed 30 kg m^{-2} contour in the
184 North Pacific during JJA).

185 The bottom panels of Fig 1 show that MERRA evaporation (and precipitation) over
186 land is generally much higher than GPCP observations suggest should be the case.
187 Yet, MERRA has a very strong cross-equatorial gradient in terrestrial precipitation,
188 with erroneously dry conditions over much of South America and Africa. These
189 systematic errors greatly impact the estimates of evaporative sources – motivating
190 our use of MERRA-Land for our calculations. The fraction of evaporated moisture
191 feeding precipitation over the land areas in the top panels is 52%, 62%, 50% and
192 45% during MAM, JJA, SON and DJF respectively. When the MERRA output with its
193 precipitation biases is used to estimate evaporative sources, the terrestrial
194 percentages increase to 55%, 64%, 52% and 46%.

195 Figure 2 gives a notion of how regional evaporative sources appear. The mean
196 surface evaporative sources supplying precipitation to four sections of the
197 conterminous United States are presented, normalized to indicate the percentage of
198 the total supplied from each MERRA grid box. This and all future figures are based

199 on the estimates using the corrected precipitation and MERRA-Land evaporation.
200 The source into the western U.S. is seen to have a long fetch stretching from the
201 subtropical North Pacific, indicative of the "atmospheric rivers" that supply much of
202 the moisture for winter rain and snow (e.g., Dettinger et al. 2011). There is also a
203 great deal of moisture supplied from terrestrial evapotranspiration over northern
204 California, Oregon and Washington, according to this analysis.

205 The Great Plains and eastern Rockies have an evaporative source that mainly comes
206 from the western Gulf of Mexico, as well as terrestrial areas in the southern part of
207 the region, and sporadic moister localities across the inter-mountain West. The
208 region of the Pacific off the coast of Baja California is also a moisture source prior to
209 the onset of the North American monsoon (cf. Brubaker et al. 2001).

210 The bottom panel of Fig 2 shows the evaporative source supplying precipitation
211 over the states of the Mississippi Basin mainly east of the Great Plains. This area
212 also shows a major oceanic source from the Gulf of Mexico, but with more extent
213 into the northern Caribbean Sea (cf. Dirmeyer and Kinter 2010), and little moisture
214 coming from the west. There is again a substantial terrestrial source over the
215 southern and central portions of the area.

216 The top panel also shows the evaporative source for the East Coast, which shows
217 much more of a source from the open Atlantic than does the Mississippi Basin area.
218 Again, little moisture supplying precipitation comes from the west – most comes
219 from the south. Recycling over each area, defined as the fraction of the total
220 evaporative moisture source that lies within the boundaries of the region, are 12%,
221 22%, 19% and 14% for the West, Great Plains, Mississippi Valley and East Coast

222 respectively. For the same regions, oceanic moisture sources account for 81%, 48%,
223 46% and 53% of precipitation.

224 Figure 3 shows for each season the fraction of precipitation at each grid point that
225 originated from evaporation over land. The pattern of mean low-level flow is
226 evident in the patterns. In mid-latitudes, where winds are predominantly from the
227 west, the west coasts receive predominantly moisture of oceanic origin, and the
228 eastern sides of continents have much more moisture of terrestrial origin. The
229 pattern is reversed at low latitudes. The global mean fractions of terrestrial sources
230 of moisture supplying terrestrial precipitation are 46%, 50%, 40% and 38% during
231 boreal spring, summer, fall and winter respectively.

232 There are also some pronounced regional seasonal cycles. Large areas of central
233 Asia into Siberia receive more than 90% of their precipitation from land
234 evaporation during spring and summer. The region around Ethiopia and Sudan has
235 a large oscillation between oceanic sources in the winter and spring, and terrestrial
236 sources during summer into fall. Much of southern Africa has a similar variation,
237 but six months out of phase. The general east-west gradient over North America is
238 maintained through out the year, but fluctuates from a predominance of marine
239 sources in winter to a much larger portion of continental sources in summer. Most
240 of Eurasia also shows the same annual cycle as North America.

241 Very strong gradients are evident across the steep terrain of the Himalayas, with
242 large terrestrial proportions over the Tibetan Plateau, and predominant oceanic
243 sources to the south. This gradient extends northeastward across China. There are
244 also fairly strong gradients along the Rift Valley of Africa, but curiously there is little

245 apparent signature of the Rocky Mountains or Andes except in the immediate
246 vicinity of the Altiplano.

247 The distance from each terrestrial grid point to the central moment of the
248 climatological evaporative source is shown in Fig 4. This gives an indication of the
249 average distance water vapor travels in the air before falling as rain or snow at that
250 location. Arid regions far from open ocean typically have the largest values, with
251 substantial areas of the Sahara (all seasons) and south-central Asia (all except JJA)
252 showing values in excess of 4000km. The shortest fetches are found in subtropical
253 regions during the local rainy season. Parts of subtropical South America, southern
254 Africa and northwestern Australia show typical distances of less than 500km, as
255 does a band across the Sahel to Ethiopia, particularly during JJA. These areas show
256 some persistence of the relatively short transport distances even in the dry season.
257 Surprisingly, the distances are somewhat longer in the deep tropics. The effect of
258 the annual cycle of winds and terrestrial evaporation are evident over the middle
259 and high latitudes of the Northern Hemisphere. Distances are shorter in the
260 summer when land evapotranspiration is greatest and winds are lighter.

261 The remainder of the paper discusses the quantification of evaporative sources
262 associated with hydrologic extremes. To do so requires quantification of the
263 deviation of an evaporative source from its climatology. The method of relative
264 entropy was described in Section 2. Figure 5 shows the average value of the relative
265 entropy calculated between the climatological pattern of evaporative sources and
266 each of the 27 individual years, on a monthly basis then aggregated to seasonal,
267 calculated for every land grid point. Small values suggest there is very little

268 variation in the probability distribution, represented by the normalized evaporative
269 source like those depicted in Fig 2 for area totals. Small values predominate over
270 the tropics, monsoon regions during the wet season, and humid regions in general.
271 Large values are most likely over arid regions, suggesting a great deal of interannual
272 variability in moisture sources there.

273 However, there is not a one-to-one correspondence between precipitation and the
274 interannual variability in moisture sources. For example, northern Australia shows
275 very large RE values during MAM and a minimum during SON, even though DJF is
276 the wet season and JJA is the core of the dry season. Likewise, over the western
277 Great Plains there is a clear maximum in relative entropy, and thus peak year-to-
278 year variability in moisture sources, during SON, which is a period of transition from
279 a late spring peak to a winter minimum in total precipitation.

280

281 **4. Extremes**

282 The relative entropy statistic provides a handy measure of the difference between
283 any two patterns of evaporative sources. As an example of the application of the
284 relative entropy calculation, the differences between the global estimates of
285 evaporative sources of terrestrial precipitation shown in Fig 1 result in relative
286 entropies of 0.30, 0.76, 0.37 and 0.14 for MAM, JJA, SON and DJF respectively. This
287 quantifies what can be seen by eye, that the largest differences appear during JJA,
288 and the two estimates for DJF are rather similar on a global scale.

289 Here, we use relative entropy to determine the difference from the climatological
290 pattern of evaporative sources at each land grid point for specific climatic
291 categories, namely the months and years with the greatest or least precipitation.
292 With this approach, we may determine whether changes in the sources of moisture
293 supplying precipitation (i.e., changes in circulation, moisture advection, and remote
294 evaporation) are significant contributors to precipitation extremes. For locations
295 where the answer is negative, other causes may be at play, such as local conditions
296 like atmospheric stability, convective potential or land surface feedbacks, or
297 possibly other large-scale factors not discernable from our back-trajectory analysis.

298 Figure 6 shows the relative entropy calculated at each point between the
299 evaporative source averaged over just the three driest years, based on GPCP
300 precipitation, and the 27-year climatological evaporative source. The calculation is
301 performed on the lower-resolution GPCP data grid, and only differences significant
302 at the 90% confidence level are shown. Significance is estimated by a Monte Carlo
303 method, where the mean evaporative source and that for each of the 27 individual
304 years, for each season, are used to calculate relative entropies, and the mean and
305 standard deviation of the 27 values are calculated at each point. This is then
306 compared to the same calculations made for each combination of 3 years, a sample
307 size of 2,925, but only for a subsample of 100 points and seasons (computational
308 expense is otherwise prohibitive). Assuming the variability of relative entropy is
309 normally distributed, and that a linear transform can be used for the means and
310 standard deviations between the combinations of 27 taken three at a time and
311 combinations of 27 taken one at a time, we scale the significance thresholds.

312 The largest values of relative entropy are over arid and semi-arid regions,
313 suggesting when these areas suffer drought, there are large changes in the pattern
314 of evaporative moisture sources. Humid areas show smaller values, and thus
315 smaller absolute variations in the patterns of evaporative moisture sources.
316 However, the areas of significant variations are widespread and not limited to any
317 specific climate regime. Nevertheless, some general patterns emerge. During DJF,
318 there is little significant connection between changing moisture sources and
319 drought across the Southern Hemisphere. Summer is also the season with the least
320 coverage of significant moisture transport across most of the Northern Hemisphere.
321 At high latitudes in winter, changing moisture sources are significant. This is
322 consistent with the strong limitations on precipitable water in the Clausius
323 Clapeyron relationship; in cold regions and seasons, precipitation is strongly
324 correlated with temperature, which is often tied to prevalent wind direction. We
325 also see that monsoon regions in North America and Asia, and to a lesser extent
326 Africa, show significant relative entropies in the transition seasons, where late
327 onsets or early retreats of monsoon rains seem to be connected to circulation
328 anomalies.

329 The same relative entropy calculations were performed for the three wettest years
330 at each location, shown in Fig 7. Here we find few points with statistically
331 significant changes in evaporative sources. The reason for this is straightforward.
332 Whereas droughts are the result of a deficit of precipitation over an extended period
333 of time, typically several months or more, floods often result from one or a few very
334 heavy precipitation events occurring over brief periods of days. A wet month or

335 season could be the result of an anomalous moisture source, like that brought by
336 "atmospheric rivers", which lasts only a few days. These events can easily become
337 lost when monthly or seasonal statistics are calculated.

338 Seasonal mean values of relative entropy were recalculated using the original
339 pentad-by-pentad estimates of evaporative sources over a portion of North America.
340 The results for the three wettest cases, based on pentad GPCP precipitation data, are
341 shown in Fig 8. No significance test has been applied. In all seasons, changes in the
342 evaporative source are a larger factor in flood events over the mountainous areas of
343 the West and over much of Canada than the rest of the United States. Spring is the
344 peak season for circulation changes to be associated with floods over the eastern
345 U.S. Over the North American monsoon region, relative entropy peaks during spring
346 and reaches a minimum in fall.

347 The impact of the choice of time scale is clearly evident in Fig 9. The figure shows
348 the natural logarithm of the ratio of the relative entropy at each point – pentad
349 versus monthly. Positive values dominate, indicating that changes in the
350 evaporative source regions are more of a factor in precipitation anomalies on
351 pentad intervals than monthly. Overall, the discrepancy is greatest during JJA,
352 especially over the monsoon region and the southeastern United States. During SON
353 there is a peak along the coastlines of the southern Gulf of Mexico, coincident with
354 the season of frequent tropical storm landfall in that region. The minimum impact is
355 during DJF for most regions, although over the Northwest the discrepancies are
356 large in all seasons.

357

358 **5. Discussion**

359 The quasi-isentropic back trajectory scheme of Brubaker et al. (2001) has been
360 applied to data from MERRA. Estimates of the surface evaporative sources of
361 moisture supplying precipitation over land for the period 1979-2005 are presented.
362 Results are consistent with previous analyses (e.g., Brubaker et al. 2001; Dirmeyer
363 and Brubaker 2007, Dirmeyer and Kinter 2010), but the higher spatial resolution of
364 the MERRA data set reveals more structure. Systematic biases in MERRA
365 precipitation have a large effect on the surface water budget, which also impact the
366 estimates of evaporative sources. Thus, precipitation estimates have been corrected
367 using gridded data from GPCP, and evaporation data come from the MERRA-Land
368 offline replay also driven by observationally-based precipitation.

369 The methodology allows for estimates of moisture recycling and the partitioning of
370 local precipitation between terrestrial and oceanic sources, as well as estimates of
371 the distance over which moisture typically travels in the atmosphere prior to falling
372 as precipitation over any location. Recognizing that the two-dimensional
373 distributions of evaporative moisture source are tantamount to probability
374 distributions, we have used the statistical metric of relative entropy to compare
375 evaporative sources for any region under different conditions; namely cases of
376 drought or flood based on local precipitation anomalies.

377 We find that changes in local and remote surface evaporative sources of moisture
378 supplying precipitation over land are more a factor behind droughts than wet
379 anomalies over most regions of the globe. Examining results grouped by season,
380 much of the globe shows significant differences in evaporative moisture sources for

381 the driest ~10% (3 out of 27) of the time periods. On the other hand, very little area
382 appears to pass significance tests for comparable wet anomalies. Some of this
383 discrepancy is due to the difference in time scales underpinning droughts and floods
384 – when pentad data are considered instead of monthly data, relative entropy
385 estimates for floods increase.

386 The finding that droughts are more strongly tied to changes in evaporative sources
387 than floods seems to run counter to previous findings with this method linking
388 floods to anomalous advection of moisture (e.g., Dirmeyer et al. 1999; Reale et al.
389 2001; Turato et al. 2004; Dirmeyer and Kinter 2009, 2010), and more generally the
390 link between "atmospheric rivers" and extreme precipitation events. It may be that
391 our screening for the wettest years conflates such cases with extreme rainfall events
392 that have more localized causes. In this study, we have not tried to identify specific
393 cases of atmospheric rivers as those previous studies did. Rather, we conditioned
394 the data based simply on a ranking of precipitation amounts.

395 To try to discern whether there is more of a change in moisture transport for
396 droughts than floods, we revisit the metric shown in Fig 4 – mean distance to the
397 evaporative source. Distances to the center of mass of evaporative sources have
398 been calculated for the three wettest and driest years for each month, the same
399 cases as in Figs 5 and 6, and then averaged for seasons. The differences in the mean
400 distances (wet minus dry), expressed as a percentage of the 27-year climatological
401 mean distance to evaporative source, are shown in Fig 10. On average, distances to
402 moisture sources are greater during droughts than wet periods – as much as 12%
403 during SON for the global mean. Distances are actually greater, averaged globally,

404 for both extremes, but the differences from climatology for wet cases are less than
405 half that for droughts on average. The figure shows that there are significant
406 regional variations. Semi-arid and arid regions, where precipitable moisture often
407 has a relatively long path, generally have more distant fetches during droughts than
408 wet intervals (blue shading). This may reflect the near-total lack of evaporation in
409 the drought regions, meaning any moisture must come from relatively farther away.
410 When conditions are wet, there can be excess local evaporation. Indeed,
411 calculations of the difference between wet conditions and climatology (not shown)
412 indicate moisture transport distances are shorter over arid zones.

413 Previous studies have suggested that floods over the eastern United States may tap
414 more distant sources of moisture from the Caribbean Sea (e.g., Brubaker et al. 2001;
415 Dirmeyer and Kinter 2010), and Fig 10 supports that conclusion. Yet we do not see
416 a similar signal for California and the West coast in winter, where long "atmospheric
417 rivers" are often implicated in floods. Other red shaded regions also tap more
418 distant moisture sources during wet periods than dry.

419 Significant changes in evaporative moisture sources found in this analysis during
420 times of drought could have several causes. The calculation of evaporative sources
421 depends not only on the atmospheric circulation (horizontal wind field), but also on
422 column integrated precipitable water and surface evaporation rates, which are used
423 to determine the contribution of each upstream grid box to the overall moisture
424 supply for downstream precipitation. In this study, we have not attempted to
425 differentiate between these dynamical and hydrological factors, and even in Fig 10
426 they remain conflated.

427 Furthermore, a lack of significant contribution to precipitation extremes from
428 changing moisture transport, estimated using relative entropy, does not indicate
429 what other phenomena may be the cause. Alternative factors may include local
430 increases in atmospheric stability (buoyancy or shear), regional changes such as
431 large-scale subsidence, increased entrainment of dry air at the top of an otherwise
432 favorable boundary layer, or a change in coupled land-atmosphere feedbacks caused
433 by anomalous land surface conditions. Further diagnoses would be helpful to better
434 understand the combination of events that contribute to precipitation extremes
435 around the globe.

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438

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581 **Figure Captions:**

582 Figure 1. Top panels: total seasonal evaporative source (kg m^{-2}) for all precipitation
583 over ice-free land based on MERRA atmospheric data with MERRA-Land
584 evaporation and precipitation estimates. Bottom panels: Difference when all data
585 from MERRA are used instead.

586 Figure 2: 27-year mean evaporative source for precipitation over the outlined
587 states, expressed as the fraction of total originating from each MERRA grid box
588 (normalized so the global sum for each equals 1). The two contours represent 50
589 and 100 parts per million, shading indicates greater than 200 and 300 parts per
590 million.

591 Figure 3: Fraction of precipitation originating as evaporation over land.

592 Figure 4: Distance to the center of mass of the mean evaporative source distribution
593 for each land grid point (km) for DJF (top) and JJA (bottom).

594 Figure 5: The average relative entropy at each point calculated between the
595 climatological evaporative moisture source and the source for each of the 27
596 years. Large values indicate greater interannual variability in the source of
597 moisture supplying local precipitation.

598 Figure 6. Relative entropy between the climatological evaporative source
599 distribution and the evaporative source distribution for the three driest years,
600 calculated for each ice-free land grid point using monthly data and then averaged
601 for each season. Regions with climatological rainfall rates less than 0.1 mm/day

602 are masked. Areas where the relative entropy is not significant at the 90%
603 confidence level are shaded dark grey.

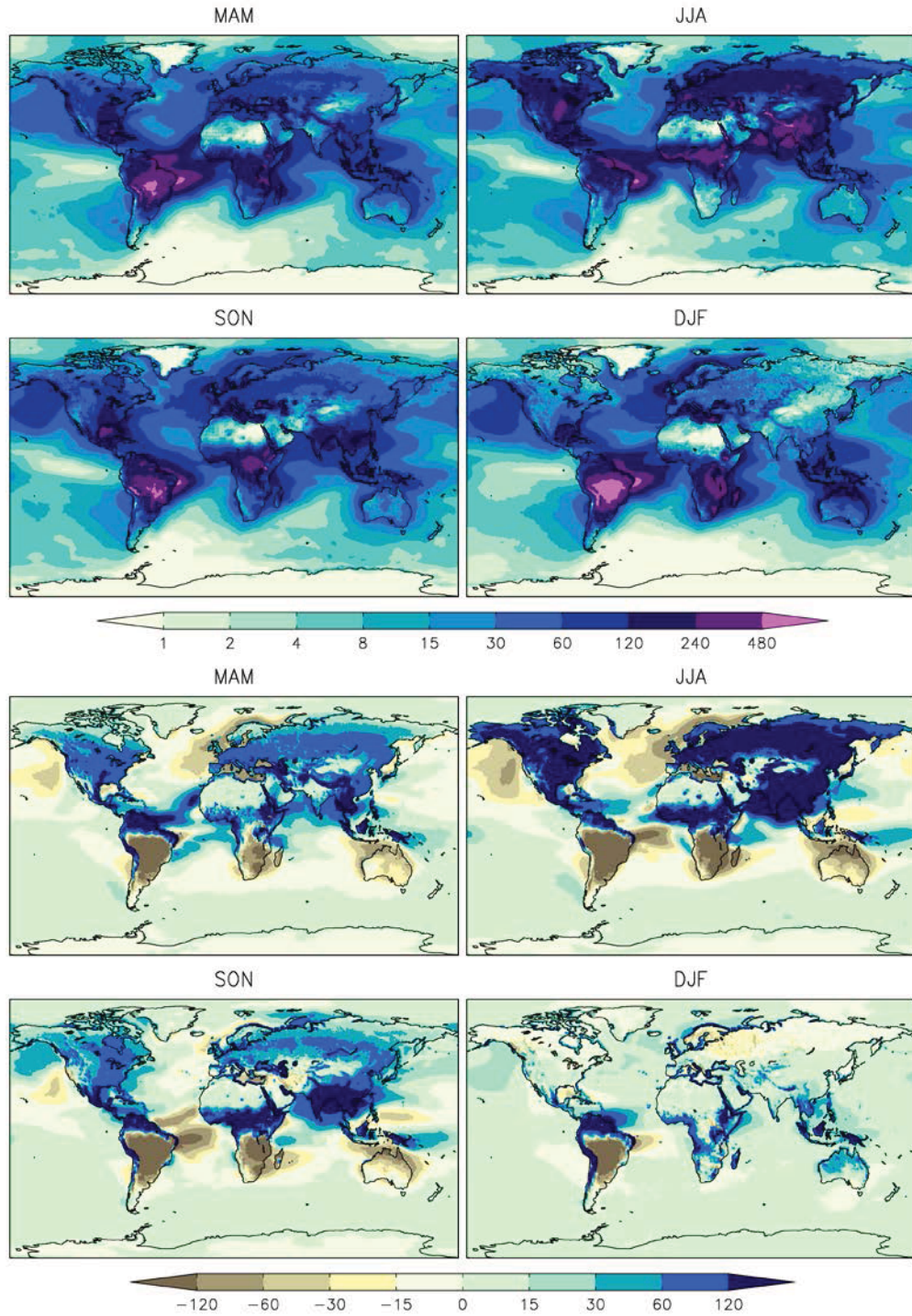
604 Figure 7. As in Fig 6, for the wettest three years.

605 Figure 8. As in Fig 7, but calculated using pentad instead of monthly data. No
606 significance screening has been applied.

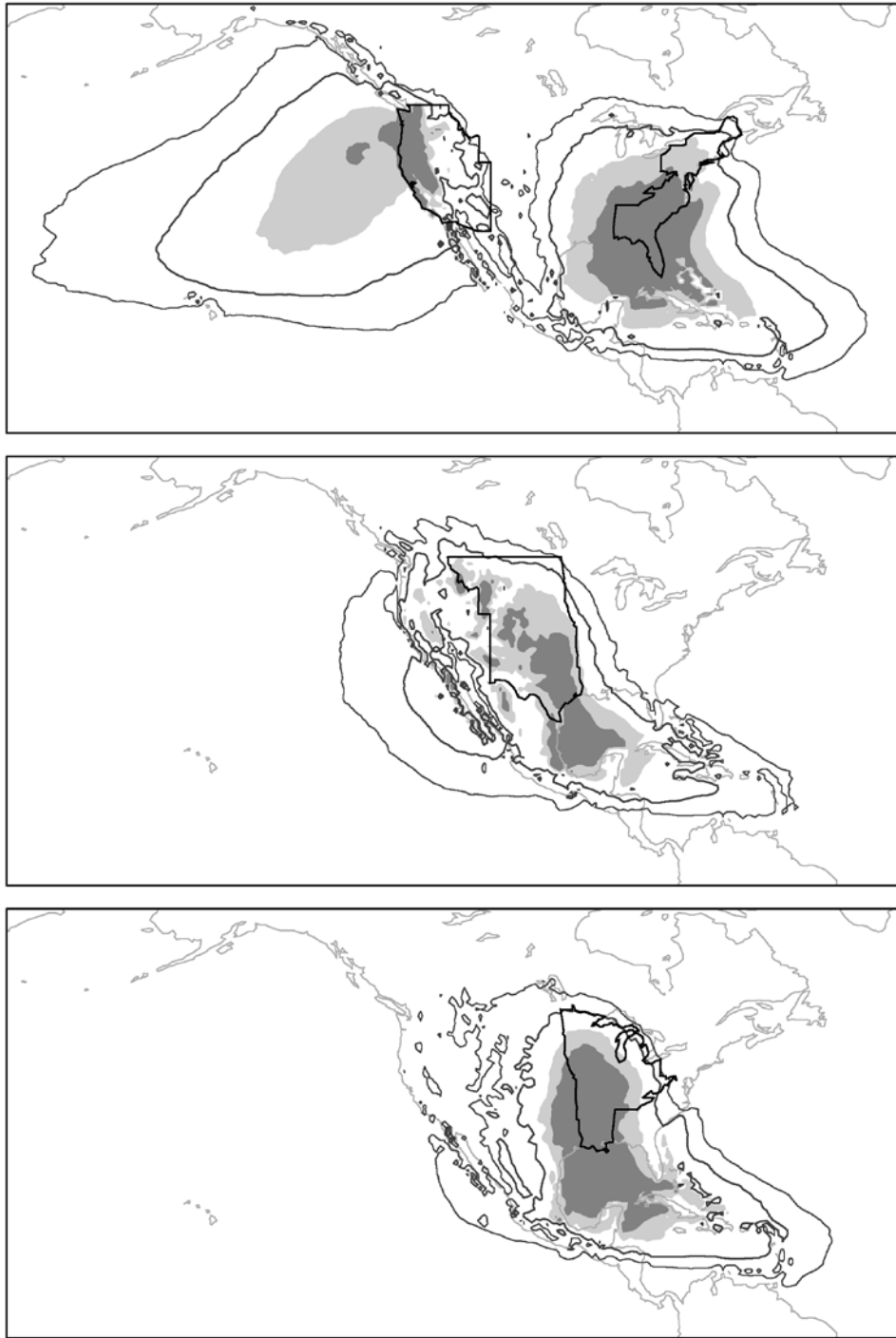
607 Figure 9. The natural logarithm of the ratio of the relative entropy calculated with
608 pentad data over that calculated with monthly data.

609 Figure 10: Difference (three wettest minus three driest years) in the average
610 distance between precipitation at each land point and the evaporative source
611 supplying that precipitation, expressed as a percentage of the climatological
612 average distance. Positive values indicate dry conditions have closer moisture
613 sources than wet.

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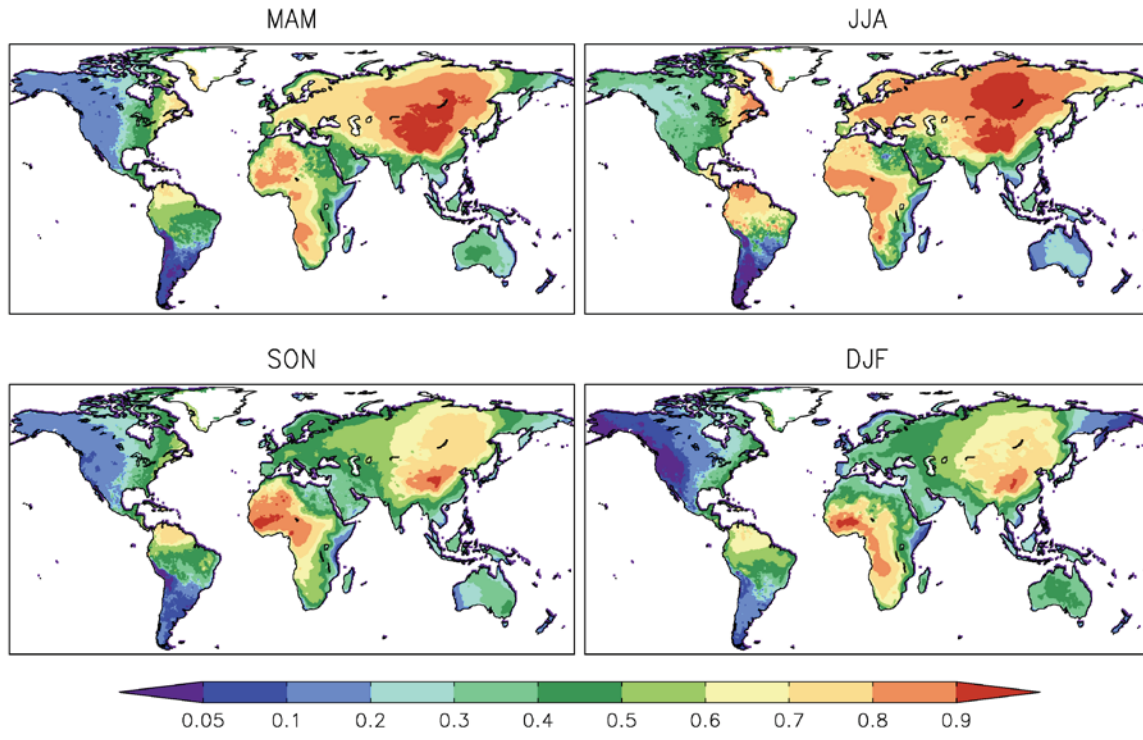


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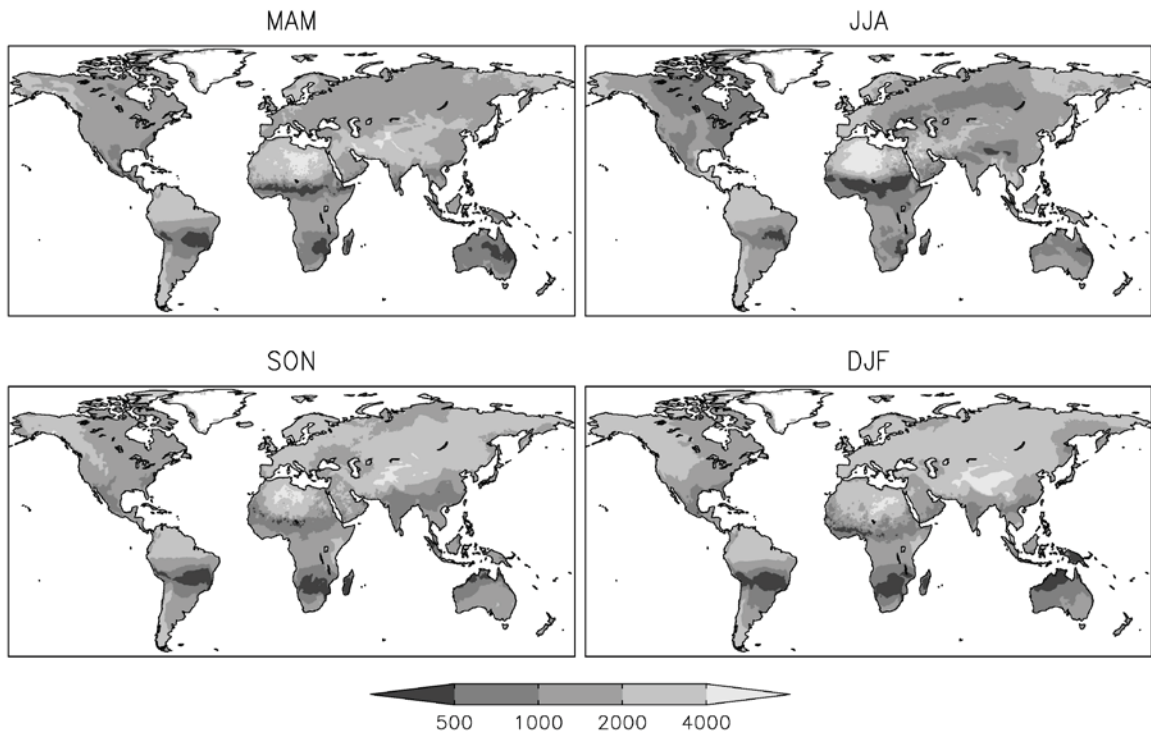
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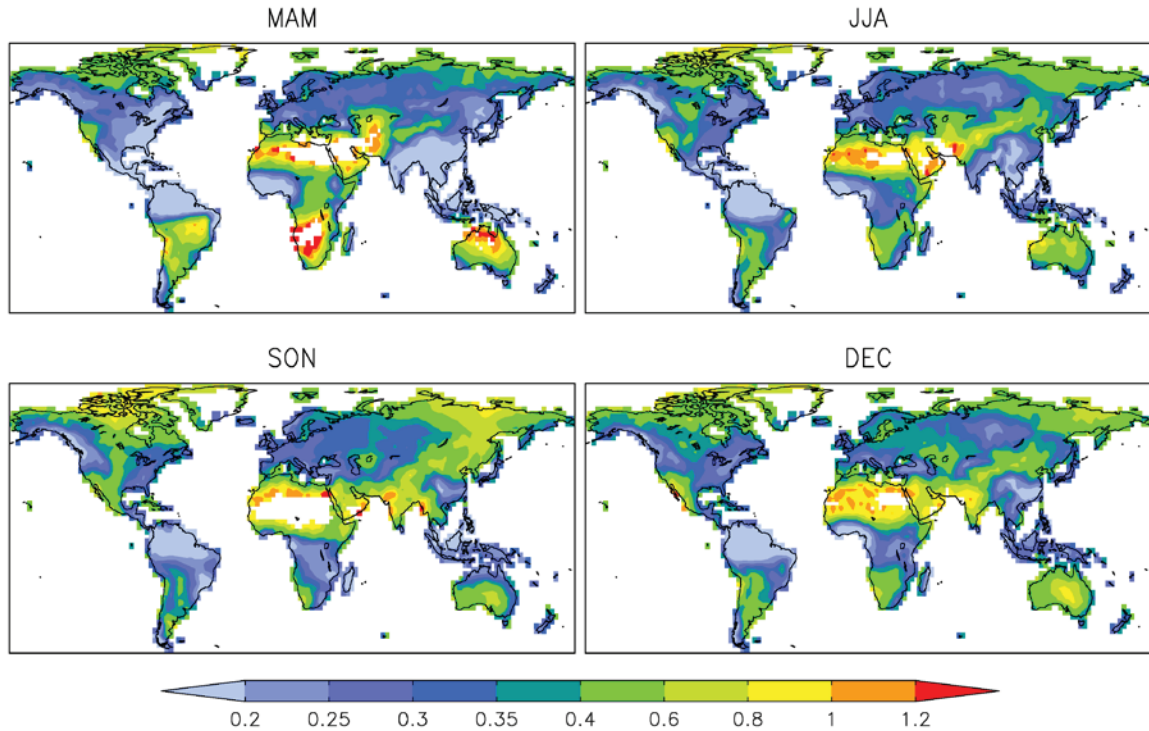


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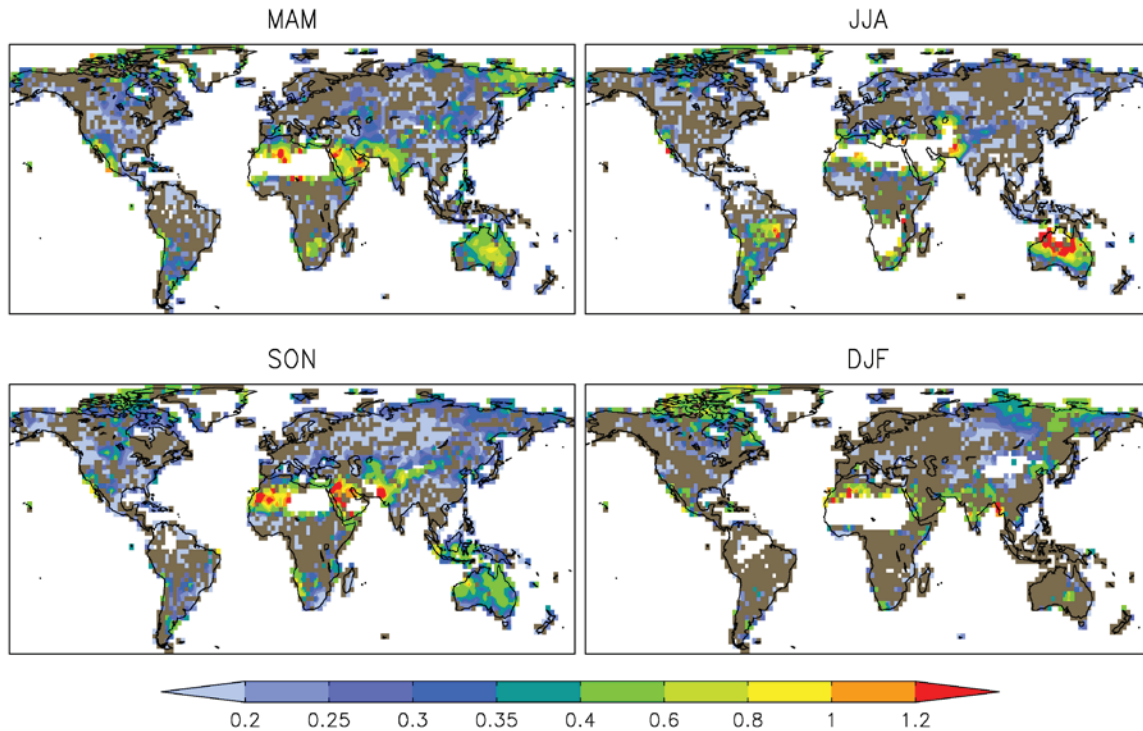
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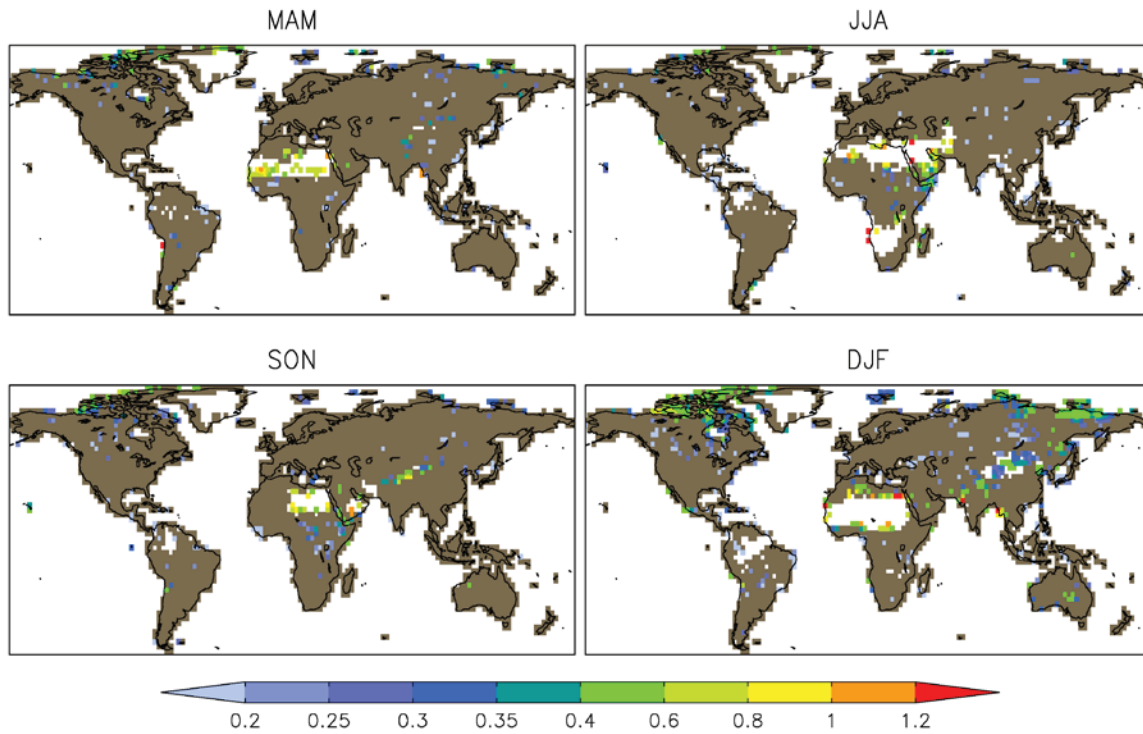
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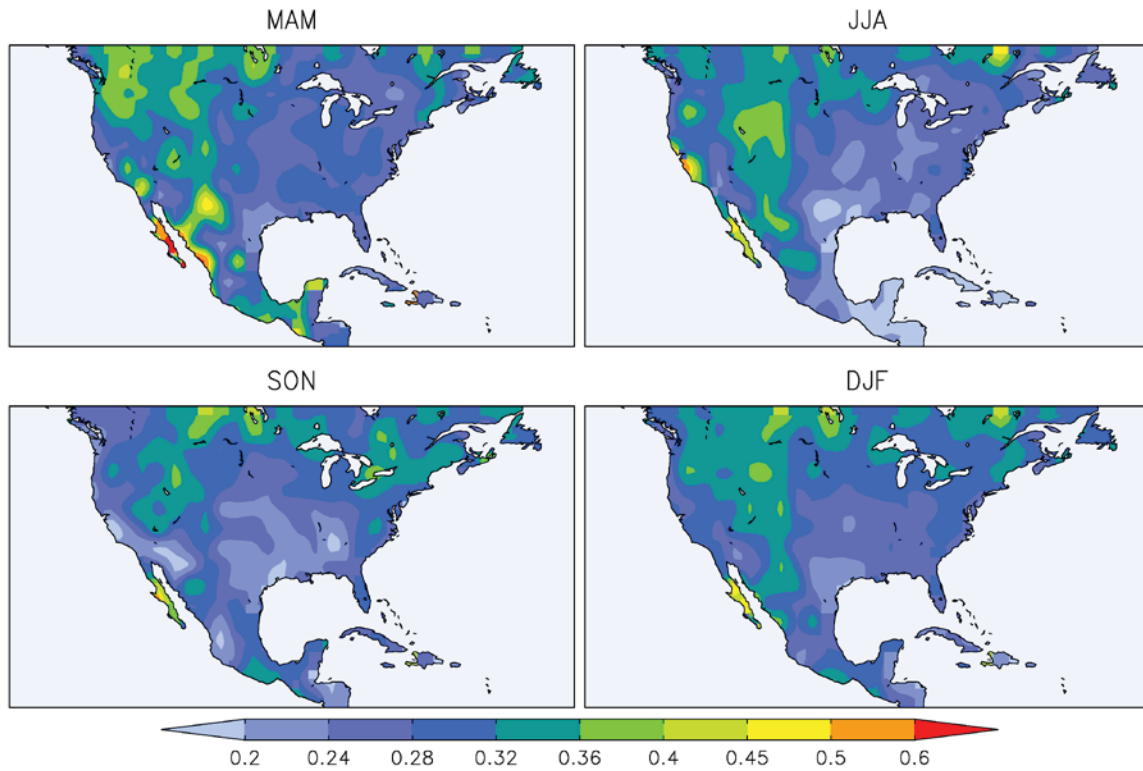
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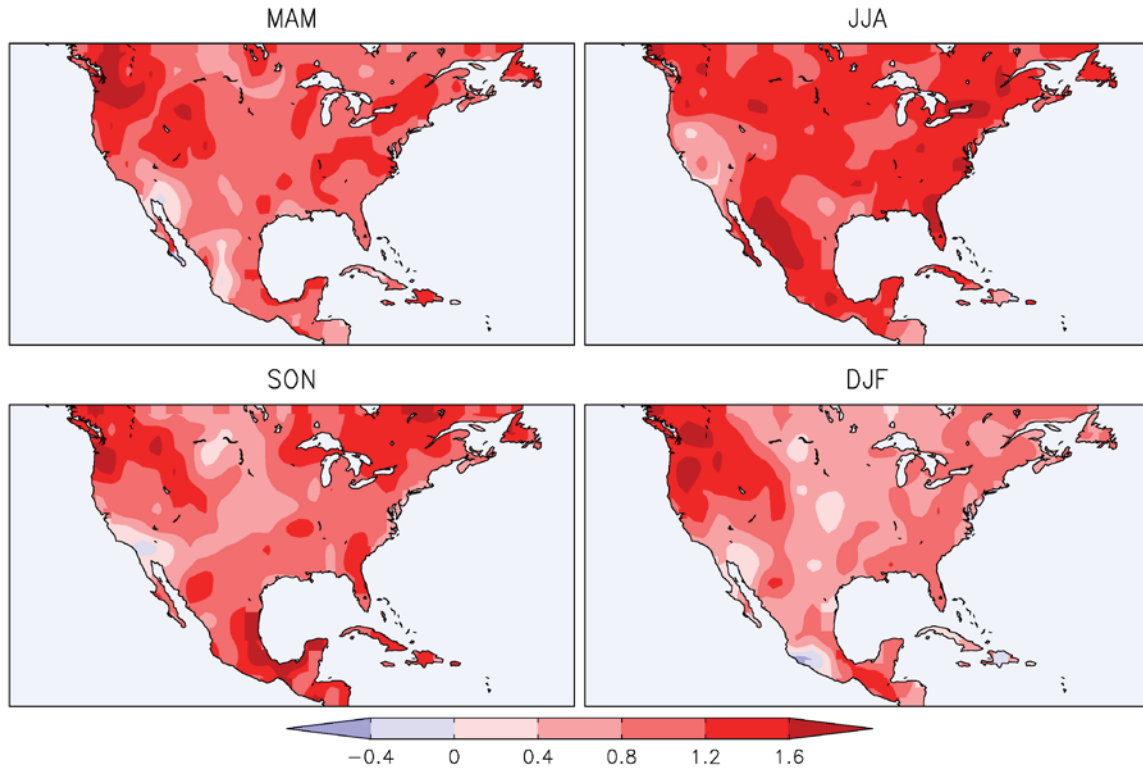
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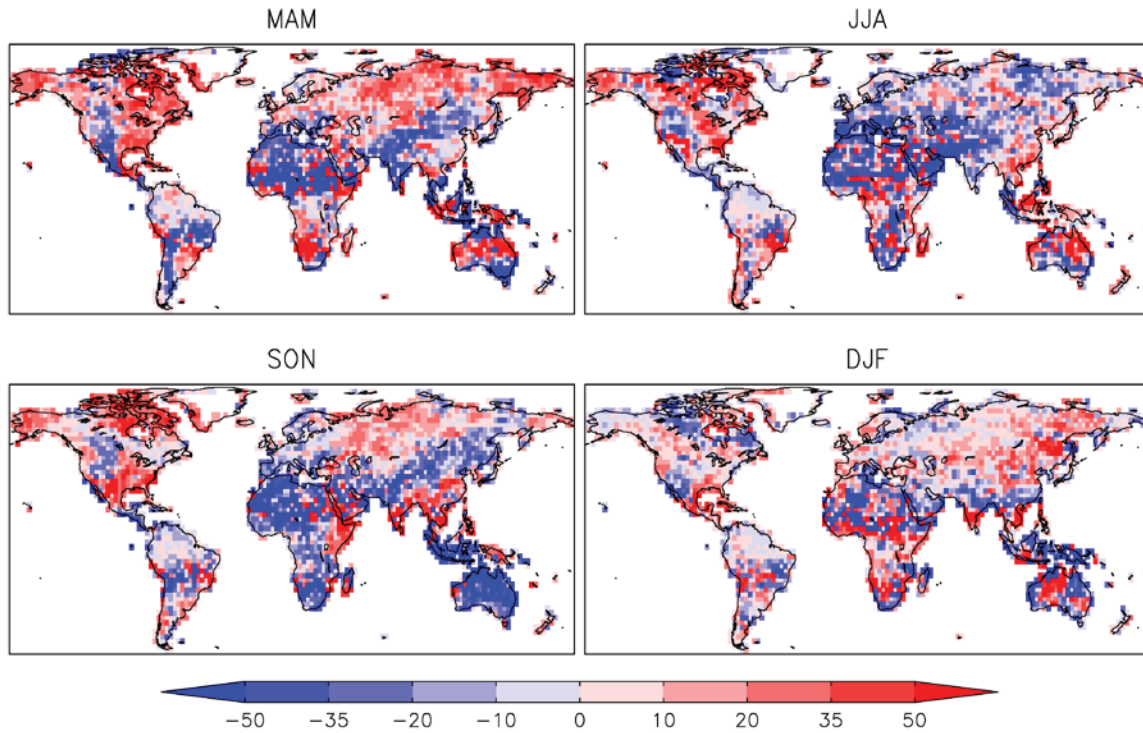
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