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Instrument Characterizatio for Ocean Color Remote Sens g

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- Phd in 2000, 'BRDF of Urban Areas'
- Joined SIMBIOS in 2000, then OBPG
- SIMBIOS Radiometric Intercomparisons
- MODIS and SeaWiFS calibration and characterization analysis
- VOST: VIIRS prelaunch and on-orbit characterization
- ORCA: instrument design support, PI of Instrument Incubator Program; candidate for PACE mission
- ACE, CLARREO, and HyspIRI: mission definition support
- MERIS Quality Working Group (ESA)
- Instrument development for GeoCAPE
- NASA civil servant since 2010



Overview:

- 1. Ocean color requirements
- 2. Sensor requirements
- 3. Sensor characterization prelaunch
- 4. Sensor characterization on-orbit



Calibration: convert dn to radiance L for ideal conditions

L = f(dn)

L = gain * dn

Characterization: what adjustments need to be made for non-ideal conditions:

L = g(P, S, T, etc.) * f(dn)



g can depend on polarization, neighboring bright targets, temperature, etc.

Derivation of ocean color products

Measurement of TOA Radiances (calibration and characterization)

Conversion to water-leaving radiances (atm. corr., vic. cal., glint, etc.)



Derivation of ocean color products (chlorophyll concentration, attenuation coef., fluorescence line height, etc.)

1. Ocean color requirements

- Basic quantity: normalized water-leaving radiance nLw
- Some oceanographic variables can be expressed as function of nLw (chlorophyll concentration, suspended matter, attenuation coef., etc.)





1. Ocean color requirements

- Only 1%-15% of TOA signal is scattered within ocean
- If 5%, then 1% error in TOA signal leads to 20% relative error in nLw





How can we achieve high radiometric accuracy?

- 1) Design and specifications
- 2) Prelaunch characterization and calibration
- 3) On-orbit monitoring



1. Ocean color requirements

- Historically: 5% goal for nLw at 443nm
- Requires better than 0.5% absolute calibration accuracy, unattainable from space (MODIS: about 2% in reflectance)
- Vicarious calibration (MOBY) adjusts absolute radiance level, so only relative calibration errors are important for ocean color
- Current relative accuracy is about 0.5% or more, goal for future missions probably about half that



2. Sensor requirements

• Ocean color requirements lead to sensor requirements, e.g. ACE Science Traceability Matrix (STM)





- Sensor requirements should be
 - 1) strict enough to ensure quality of data product
 - 2) achievable at reasonable cost
 - 3) testable

Specific calibration and characterization issues:

- **Polarization** (Meister et al., Applied Optics, 2005 (cover article); Kwiatkowska et al., Applied Optics, 2008; Waluschka et al., SPIE, 2007)
- **Straylight** (Meister et al., SPIE, 2008; Meister et al., ISPRS, 2005; Zhong et al., SPIE, 2007)
- **Gain trending, lunar** (Barnes et al., Applied Optics, 2004; Sun et al., SPIE, 2008; Eplee et al., SPIE, 2008; Patt et al., SPIE, 2005)
- **Gain trending, solar diffuser** (Meister et al., SPIE, 2008; Meister et al., SPIE, 2005)
- **Response versus scan** (Franz et al., JARS, 2008; Kwiatkowska et al., Applied Optics, 2008)
- **Striping** (Meister et al., SPIE, 2007, Meister et al., SPIE, 2006, Xiong et al., SPIE, 2007)
- **Linearity** (Meister et al., SPIE, 2007)
 - **Absolute calibration** (Meister et al., Metrologia, 2003; et al.; Meister et al., NASA-TM, 2003, Meister et al., NASA-TM, 2002; Johnson et al., Metrologia, 2003)
 - Temperature (Eplee et al., SPIE, 2007)
 - Crosstalk, Spectral response, Sensor noise, Field-of-view, etc.

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Sensor requirements not strict enough: VIIRS

• Straylight contaminates high contrast scenes:





MODIS Aqua: masking 2-3km away from cloud, removes about 50% of the ocean pixels

Sensor requirements not strict enough: VIIRS

• VIIRS structured scene (straylight) spec

Band	Center Wavelength (nm)	Angular separation from bright target (milliradian)	Maximum allowed ratio of scattered radiance to typical radiance
M1	412	6	0.01
M2	445	6	0.01
МЗ	488	6	0.01
M4	555	6	0.01
M5	672	12	0.02
M6	746	12	0.02
M7	865	12	0.02

TABLE 20. Structured Scene requirements

- Cloud size is 12mrad x 12mrad
- 12mrad ~ 10km, 6mrad ~ 5km
 - SeaWiFS would pass VIIRS spec in the NIR (SeaWiFS has correction, VIIRS will not; VIIRS straylight performance much better than SeaWiFS, comparable to MQBIS)



3. Sensor Characterization: Overview

- Polarization: setup documentation (MODIS and VIIRS)
- MODIS striping:
 1) horizontal (detectors)
 2) vertical (subframes)



Linear Polarization: Electric Field Vector

- There are two types of polarization: linear and circular
- TOA radiances are partly (0-70%) linearly polarized
- Prelaunch characterization: send 100% linearly polarized into sensor, varying polarization angle from 0°-180° (15° steps for MODIS Aqua)





MODIS Polarization Characterization: Setup documentation

Solid line: Correct polarization Correction

Dashed line: Previous polarization correction







Impact of MODIS Polarization Characterization



nLw ratios MODIS/SeaWiFS for northern pacific



Fig. from Meister et al., 2005, Applied Optics 17



MODIS Aqua detector striping, nLw 412nm, before correction:



After correction:



MODIS subframe striping correction

• Subframes not linear versus radiance, prelaunch and on-orbit:



Figures from Meister et al., SPIE, 2007

• nLw 645nm (before/after correction):



MODIS Relative Spectral Response:



- Issues: detector dependence (real and smile correction), source intensity (low and not well known)

- Problem: how to calibrate sensor several hundred miles away ?
- Solution 1: carry calibration sources (solar diffuser, blackbody, spectral targets)
- Solution 2: use natural sources (moon, deserts, clouds, atmospheric absorption lines)



Sensor calibration: SeaWiFS

- SeaWiFS optics based on a telescope design, with well protected half-angle mirror
- SeaWiFS optics + detectors have degraded consistently => one analytical function sufficient to model sensor degradation
- Error of individual lunar measurements (~1%) does not affect calibration accuracy of SeaWiFS





SeaWiFS Lunar Image



First step: Sum all lunar pixels (radiance to irradiance)

Monthly SeaWiFS lunar irradiance measurements



Lunar Calibration

- Application to space-based instruments requires using a photometric model
 - to accommodate unrestricted observation (illumination and view) geometry
- Currently, the radiometric quantity utilized is spatially-integrated irradiance
 - improved signal-to-noise through summation of pixels
 - enhanced freedom in model development
- USGS lunar irradiance model was built from database of spatially resolved images of the Moon acquired by the RObotic Lunar Observatory (ROLO)
 - 6+ years in operation, >85,000
 individual Moon images
 (many ×10⁵ star images)
 - twin telescopes, 32 wavelength bands, 350–2450 nm





USGS campus Flagstaff, AZ

Using the Moon — Lunar Irradiance Model

- described in: H.H. Kieffer and T.C. Stone "*The Spectral Irradiance of the Moon*", Astronomical Journal <u>129</u>, 2887-2901 (2005 June)
- empirically-derived analytic function in the geometric variables of phase and libration, for disk-equivalent reflectance
 A:

$$egin{aligned} &\ln A_k = \sum \limits_{i=0}^3 a_{ik}g^i + \sum \limits_{j=1}^3 b_{jk} \Phi^{2j-1} + c_1 heta + c_2 \phi + c_3 \Phi heta + c_4 \Phi \phi \ &+ d_{1k} e^{-g/p_1} + d_{2k} e^{-g/p_2} + d_{3k} \cos((g-p_3)/p_4) \end{aligned}$$

- $g = ext{phase angle} \ heta = ext{observer selenographic latitude} \ \phi = ext{observer selenographic longitude}$
 - $\Phi = {\rm selenographic \ longitude \ of \ the \ Sun}$



Example of ROLO input file: Sirad

```
SECTION = Observation Info
Instrument = SeaWiFS
User = Gene Eplee
Process = multimoon
Version = 2002 a \text{pr} 03
Run Time = 2005Jan05 14:00:00
BEGIN FREE
   This is ROLO exchange SCT MOF Irradiance file.
   Prelaunch calibration with best time correction applied.
   The irradiances are integrated over pixels above 1% of maximum.
Col 0 = Obs index Col 1 + = Irradiance in bands
Units are: uW / m^2 / nm
Format = (i2, 8f8.4)
-1 1 2
                3
                                              8
                   4
                            5
                                  6
                                        7
-2 412. 443.
                  490.
                         510.
                                555.
                                       670.
                                               765.
                                                     865.
C END
1 10.23306 12.39135 14.35401 14.27165 15.17209 14.61134 12.98814 10.44421
   9.78679 11.85517 13.73272 13.66103 14.54734 14.01934 12.44731 10.01145
   9.91586 12.00497 13.88532 13.80062 14.66388 14.08408 12.49814 10.04028
```

Example of ROLO input file: Sgeom

SECTION = Observation Info

Instrument = SeaWiFS

User = Gene Eplee

Process = multimoon

Version = 2002apr03

Run_Time = 2005Jan05 14:00:00

BEGIN_FREE

This is ROLO exchange SCT MOF Geometry file.

The Moon_Y_Size is defined by the 1% of maximum pixels.

Col_0 = Obs_index Col_1 = Image_time Col_2,3,4 = Spacecraft_X,Y,Z Col_6 = Moon_Y_Size <mrad> [Col_7=Miss_Frac Col_8=Clip_angle] Format = (i2,a19,3f7.1,f6.3.f7.4,f4.1)

C_END

1 1997-11-14T22:50:09 4122.0 5570.3 1480.1 31.931 0.0000 0.0

2 1997-12-14T12:18:26 945.1 6757.2 1912.9 31.517 0.0000 0.0

3 1998-01-13T01:44:52 -2527.0 6418.3 1631.4 30.775 0.0000 0.0



Example of ROLO output file: Lgeom

SECTION = Observation info! ------ Begin a sectionInstrument = SeaWiFS! Instrument makeing the observationUser = Gene Eplee! Person submitting the calibration requestSource_Date = 2005Jan05 14:00:00! Run Date/Time of primary input fileProcess = 2004jun24T12:14 & multimoon ! Name of process that generated this fileVersion = 2005jul24! Processing versionRun_Time = 2006Mar21 08:52:49! Local Date/Time of these calculationsBEGIN_FREE! Begins a free-form section describing the table section

This is a ROLO exchange for: LCT MOF geometry

GUIDE to columns below:

Col Key units Description

0 Row - Observation Count

1 TDB-2451545 day Dynamical barycentric Days -2451545.

2 SunLon degree Selenographic longitude of the Sun

3 SunLat degree Selenographic latitude of the Sun

4 SC_Lon degree Selenographic longitude of spacecraft

5 SC_Lat degree Selenographic latitude of spacecraft

6 SC_Dist. km Distance of spacecraft from center of Moon

7 Sun_M_Dist AU Heliocentric range of the Moon

8 DistFac - Factor to correct irradiance to standard distances

9 PhaseAng degree Signed phase angle

10 Moon_mrad mrad Angular Diameter of the Moon from SC

11 Axis_Ang degree Position Angle of lunar axis, ccw from N

Format = (I3,1x,f13.6,1x,f8.2,1x,f5.2,1x,f6.2,1x,f6.2,1x,f8.1,1x,F9.7,1x,f9.6,1x,F8.3,1x,f8.4,1x,f8.3)

Row TDB-2451545 SunLon SunLat SC_Lon SC_Lat SC_Dist. Sun_M_Dist DistFac PhaseAng Moon_mrad Axis_Ang C_END End of label section

- $1 \quad -777.547791 \quad -0.40 \quad 1.42 \quad 4.46 \quad 6.16 \quad 361263.7 \quad 0.9915820 \quad 0.868439 \quad 6.780 \quad 9.6185 \quad -13.242 \quad -13.24$
- 2 -747.986450 0.03 1.53 5.27 6.31 371926.9 0.9867886 0.911584 7.085 9.3427 -0.551

3 -718.426453 0.64 1.18 4.93 4.61 383036.8 0.9860848 0.965479 5.485 9.0717 11.964



Example of ROLO output file: Lirad

SECTION = Observation info ! ----- Begin a section ! Instrument makeing the observation Instrument = SeaWiFSUser = Gene Eplee ! Person submitting the calibration request Process = 2004jun24T12:14 & multimoon ! Name of process that generated this file Version = 2005jul24! Processing version Run Time = 2006Mar21 08:52:49 ! Local Date/Time of these calculations Lunar model = 311g = [coeff=r311g adjust=r311g05]!! Corrections: **BEGIN FREE** ! Begins a free-form section describing the table section This is a ROLO exchange for: LCT MOF Irradiance See matching Geometry file for comments GUIDE to columns below: Headers: Row-1=band Row-2=Nominal wavelength Row-3=Effective wavelength for the Moon Table : Col 0=count Col 1=oversample factor. Remaining columns are % disagreement, with a row for each observation (Spacecraft Irradiance/ROLO Irradiance -1.) in percent. Format = (i3, f8.4, 12f8.3)2 3 -1 1 4 5 6 8 7 412. 443. 490. 510. 555. 670. 765. 865. -2 -3 414.50 444.71 491.91 510.20 556.40 668.37 766.85 863.61 C END End of label section 1 3.3198 0.006 1.245 4.170 3.263 4.037 5.621 8.087 4.590 2 3.3734 0.047 1.290 4.180 3.314 4.239 5.835 8.138 4.649 3 3.3924 -0.991 0.331 3.235 2.353 3.158 4.714 7.136 3.635



How to determine the apparent size of the moon:



How to determine the apparent size of the moon:



Apparent size of the moon as a function of time:



Lunar irradiances after ROLO and oversampling correction:



SeaWiFS temperature correction

- SeaWiFS band 8 calibration temperature dependence on-orbit differs from prelaunch measurements
- Successfully corrected using lunar data
- Prelaunch Tvac different from on-orbit temperature environment
- Additional change since 2005 could be related to SeaWiFS orbit drift



Fig. from Eplee et al., SPIE, 2007

Lunar irradiances after noise correction:



VIIRS On-Orbit Calibration:



Slides provided by G. Eplee, SAIC

Lunar Calibration Data



M4 Lunar Calibration Image Sequence







M4 Lunar Image Unaggregated M6 Lunar Image Aggregated

Lunar Calibrations

Cal Date	Cal Type	Bands	Gains	Phase
Jan 4	Roll	M3-M7	High, Low	-55.4
Jan 5	Serendipitous	M1-M3	High, Low	-44.5
Feb 3	Roll	M6,M8-M11	High, Low	-56.2
Feb 3	Roll	M1-M5,M7	High, Low	-55.4
Mar 4	Serendipitous	M3,M5-M11	High, Low	-48.9
Apr 2	Roll/Sector Rot	M1-M11	High	-51.2
May 2	Roll/Sector Rot	M1-M11	High	-50.9
May 31	Roll/Sector Rot	M1-M11	High	-53.0
Jun 28	Serendipitous	M8, M9, M11	High, Low	-66.7
Jun 28	Serendipitous	M5-M7,M10	High , Low	-65.7
Jun 29	Serendipitous	M1-M4	High, Low	-64.8
Oct 25	Roll/Sector Rot	M1-M11	High	-51.0
Nov 23	Roll/Sector Rot	M1-M11	High	-50.7

Lunar Data Analysis

Analysis methodology:

- Calibrate lunar radiances, compute disk-integrated lunar radiances
- Use IFOV to convert radiances to irradiances: rectangular pixels
- Band aggregation is accounted for by oversampling correction
- ROLO Model is used to compute lunar residual time series

Observations:

- Radiometric response degradation is strongest in the red (Bands M5-M7)
- Degradation in blue (Band M1) from "yellowing" of optics is observed

Concerns:

- Limited amount of low-gain calibration data
- Is observational noise low enough to allow a detector-specific calibration?

The following plots show the High Gain, Mirror Side 0 data.

Lunar Time Series



Solar Calibration Data



Solar diffuser provides spatially homogeneous light, opposite of lunar image



Solar Diffuser Data Analysis

Analysis methodology:

- F-factor time series starting on January 2 are used for calibration
- SDSM-derived BRDF corrections are applied to F-factors
- Corrected F-factors are smoothed, then interpolated to a daily time basis
- Striping corrections are applied to corrected F-factors
- F-factors are interpolated between daily LUT entries in Ocean PEATE code

Observations:

- Radiometric response degradation is strongest in the red, ~zero in the blue
- Size of uncorrected F-factors for bands M1-M3 is \sim size of BRDF corrections

Concerns:

- NIR Degradation Anomaly for bands M5-M7
- BRDF Corrections for bands M1-M3
- Normalization of F-factor on January 2, at start of stable operations

The following plots show the High Gain, Mirror Side 0 data.

SDSM Time Series



Solar Time Series



Solar Time Series



Solar / Lunar Cal Comparison

Comparison methodology:

- Lunar and solar observations are at the same AOI on the half-angle mirror
- Determine F-factor at time of 1st lunar calibration
- Use lunar trend for each band to predict F-factor at the of subsequent calibrations
- Comparison of predicted lunar-derived F-factors with solar-derived F-factors

Observations:

• Lunar trends imply a BRDF overcorrection which decreases with wavelength

Concerns:

- Observational scatter in the lunar calibrations at least a year of observations is required to assess the size of the scatter.
- Alternative F-factors are just now becoming practical

Solar / Lunar Comparison



Solar / Lunar Comparison



- Ocean color requires relative accuracy better than 0.5% (goal for future sensors: 0.2%)
- This goal requires accuracy focused approach for 1) sensor design and specifications
 2) prelaunch sensor characterization
 - 3) on-orbit monitoring
- NASA OBPG believes lunar measurements are most accurate for long term tending (depends on sensor design)
- In the past, each sensor had its own issues with regard to calibration/characterization, I expect that to continue for future sensors

Backup slides



Solar / Lunar Comparison



Sensor requirements should

1) ensure quality of data product

2) be achievable at reasonable cost

3) testable



Orientation of polarization angle relative to MODIS leaving Polarization Source Assembly (PSA) not documented by Raytheon





Setup reconstructed with help of E. Waluschka

Fig. from Meister et al., 2005, Applied Optics 55





Sensor requirements not strict enough: VIIRS

- Straylight masking influences global coverage
- Plot below shows reduction in coverage for masks around clouds for the 3 MODIS Aqua granules
- More straylight => larger mask => less coverage





- SeaWiFS characterization: mixture of piece-part and system level characterization
- MODIS: system level characterization (double monochromator)
- VIIRS: system level characterization (double monochromator and laser)
- If well characterized, OOB is manageable



VIIRS Relative Spectral Response:





- Advantages: bright source, well calibrated
- Disadvantage: not continuous (delta lambda=0.1nm), flood illumination (crosstalk) 59

SeaWiFS RSR OOB for 870nm

- SeaWiFS spec: ratio out-of-band RSR to in-band RSR up to 5%
- Actual band 8 value : 3.7%





Spectral Response on-orbit (X. Xiong, MST 2010):



$$f(t, \alpha, \gamma) = \frac{1}{Y_{Moon}(\alpha, \gamma)} \arctan\left(\frac{D_{Moon}}{R_{Inst-Moon}(t)}\right)$$



Lunar images may be oversampled:

MODIS band 1: (image from presentation by J. Butler)





Sensor calibration: MODIS

- MODIS optics based on an (unprotected) rotating mirror
- Scan angle dependent degradation adds complexity to calibration approach





Fig. from Franz et al., 2008, Applied Optics

Sensor calibration: MODIS

- MODIS uses two calibration sources: solar diffuser (SD) and moon (through space view (SV) port)
- Interpolation over $\triangle AOI=40^{\circ}$ and 10% gain change problematic, extrapolation even more





	Start	SV	Nadir	SD	End
Scan Pixel	1	23	677.5	979	1354
Scan Angle	-55.0	-53.2	0.0	24.5	55.00
Mirror AOI	10.5°	11.4°	38.0°	50.3°	65.5°

Table from Franz et al., 2008, Applied Optics

Lunar Time Series



Lunar Calibrations

Scheduled / Serendipitous Calibrations

• Moon below horizon for 3 months during the year

Lunar residuals from the USGS ROLO Photometric Model of the Moon

Comparison of Solar / Lunar Calibrations

• Same Angle of Incidence on Half-Angle Mirror

Alternate derivation of F-factor from lunar calibration time series

• Compensates for uncertainties in diffuser BRDF correction



Solar Calibrations

SDSM time series (H-factor): BRDF change:

Solar Diffuser time series (F-factor)

BRDF-corrected F-factor:



OBPG produces different levels of data products, starting from level 0 (uncalibrated DN):

1) Level 1: calibrated radiances

2) Level 2: ocean color products (snapshot, no spatial averaging)

3) Level 3: ocean color products averaged over time and space (8-day, monthly, etc.)

