

Hurricanes and climate: the U.S. CLIVAR working group on hurricanes

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High-resolution climate models can now simulate many aspects of tropical cyclone climate, but a theory of tropical cyclone formation remains elusive.

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23 *Abstract*

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25 While a quantitative climate theory of tropical cyclone formation remains elusive,
26 considerable progress has been made recently in our ability to simulate tropical cyclone
27 climatologies and understand the relationship between climate and tropical cyclone
28 formation. Climate models are now able to simulate a realistic rate of global tropical cyclone
29 formation, although simulation of the Atlantic tropical cyclone climatology remains
30 challenging unless horizontal resolutions finer than 50 km are employed. The idealized
31 experiments of the Hurricane Working Group of U.S. CLIVAR, combined with results from
32 other model simulations, have suggested relationships between tropical cyclone formation
33 rates and climate variables such as mid-tropospheric vertical velocity. Systematic differences
34 are shown between experiments in which only sea surface temperature is increased versus
35 experiments where only atmospheric carbon dioxide is increased, with the carbon dioxide
36 experiments more likely to demonstrate a decrease in numbers. Further experiments are
37 proposed that may improve our understanding of the relationship between climate and
38 tropical cyclone formation, including experiments with two-way interaction between the
39 ocean and the atmosphere and variations in atmospheric aerosols.

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43 ***Introduction***

44 The effect of climate change on tropical cyclones has been a controversial scientific issue for
45 a number of years. Advances in our theoretical understanding of the relationship between
46 climate and tropical cyclones have been made, enabling us to better understand the links
47 between the mean climate and the potential intensity (PI) of tropical cyclones. Improvements
48 in the capabilities of climate models, the main tool used to predict future climate, have
49 enabled them to achieve a considerably improved and more credible simulation of the
50 present-day climatology of tropical cyclones. Finally, the increasing ability of such models to
51 predict the interannual variability of tropical cyclone formation in various regions of the
52 globe indicates that they are capturing some of the essential physical relationships governing
53 the links between climate and tropical cyclones.

54 Previous climate model simulations, however, have suggested some ambiguity in projections
55 of future numbers of tropical cyclones in a warmer world. While many models have projected
56 fewer tropical cyclones globally (Sugi et al. 2002; Bengtsson et al. 2007a; Gualdi et al. 2008;
57 Knutson et al. 2010), others have suggested some increase in future numbers (e.g. Emanuel
58 2013a). When future projections for individual basins are made, the issue becomes more
59 serious: for example, for the Atlantic basin there appears to be little consensus on the future
60 number of tropical cyclones (Knutson et al. 2010) or on the relative importance of forcing
61 factors such as aerosols or increases in carbon dioxide (CO₂) concentration. One reason could
62 be statistical: annual numbers of tropical cyclones in the Atlantic are small, making the
63 identification of such storms sensitive to the detection method used.

64 Further, there is substantial spread in projected responses of regional TC frequency and
65 intensity over the 21st century from downscaling studies (Knutson et al. 2007; Emanuel

66 2013a). Interpreting the sources of those differences is complicated by different projections
67 of large-scale climate, and by differences in the present-day reference period and sea surface
68 temperature (SST) datasets used. A natural question is whether the diversity in responses to
69 projected 21st century climate of each of the studies is primarily a reflection of uncertainty
70 arising from different large-scale forcing (as has been suggested by, e.g., Villarini et al. 2011;
71 Villarini and Vecchi 2013b; Knutson et al. 2013) or whether this spread reflects principally
72 different inherent sensitivities across the various downscaling techniques, even including
73 different sensitivity of responses within the same model due to, for instance, the use of
74 different convective parameterizations (eKim et al. 2012). A related set of questions relate to
75 the ability of models to generate observed changes in TC statistics when forced with a
76 common forcing dataset.

77 The preceding questions motivated the design of a number of common idealized experiments
78 to be simulated by different atmospheric general circulation models. Following on from
79 experiments described in Yoshimura and Sugi (2005), Held and Zhao (2011) have designed a
80 series of experiments using a high-resolution global atmospheric model (HIRAM): using
81 present-day climatological, seasonally-varying monthly SSTs (the “climo” experiment);
82 specifying interannually-varying monthly SSTs (“amip”); application of a uniform warming
83 of 2K added to the climatological SST values (“2K”); employing SSTs at their
84 climatological values but where the CO₂ concentration was doubled in the atmosphere
85 (“2CO₂”); and an experiment with a combined uniform 2K SST increase and doubled carbon
86 dioxide (“2K2CO₂”). The purpose of these common experiments is to determine whether
87 responses would be robust across a number of different, high-resolution climate models (see
88 Table 1). This would then establish better relationships between climate forcings and tropical
89 cyclone occurrence, a key goal in work towards the development of a climate theory of
90 tropical cyclone formation. To facilitate this goal, U.S. CLIVAR established the Hurricane

91 Working Group (HWG). Another goal of this group is to provide a synthesis of current
92 scientific understanding of this topic. The following sections summarize our understanding of
93 climate controls on tropical cyclone formation and intensity and the results of the HWG
94 experiments analyzed to date, as well as other issues such as tropical cyclone rainfall. A
95 concluding section outlines avenues for further research.

96 **Tropical cyclone formation**

97 At present, there is no climate theory that can predict the formation rate of tropical cyclones
98 from the mean climate state. It has been known for many years that there are certain
99 atmospheric conditions that either promote or inhibit the formation of tropical cyclones, but
100 so far an ability to relate these quantitatively to mean rates of tropical cyclone formation has
101 not been achieved, other than by statistical means through the use of semi-empirically-based
102 genesis potential indices (GPIs; see, for instance, Menkes et al. 2012). Increasingly,
103 numerical models of the atmosphere are being used to pose the kind of the questions that
104 need to be answered to address this issue.

105 *The ability of climate models to simulate the present-day tropical cyclone climatology*

106 A starting point for the simulation of changes in TC climatology is the ability of climate
107 models (often known as general circulation models; GCMs) to simulate the current
108 climatology of TCs in the “climo” HWG experiment or other similar current-climate
109 simulations. In the HWG climo experiment, the simulated global TC numbers range from
110 small values to numbers similar to the observed ones (Zhao et al. 2013a, Figure 1; Shaevitz et
111 al. 2014). Better results can also be obtained from higher-resolution versions of the HWG
112 models (finer than 50 km horizontal resolution), including an ability to generate storms of
113 intense tropical cyclone strength in some models (Wehner et al. 2014a). The annual cycle of
114 formation is reasonably well simulated in many regions, although there is a tendency for the

115 amplitude of the simulated annual cycle to be less than observed. A common factor in many
116 such model assessments is the poorer performance at simulating Atlantic tropical cyclone
117 formation than for other basins, although recent finer-resolution models give an improved
118 simulation (e.g. Mei et al 2014; Figure 2). Strachan et al. (2013) also found that the observed
119 inter-hemispheric asymmetry in tropical cyclone formation, with Northern Hemisphere
120 formation rates being roughly twice those in the Southern Hemisphere, was not well captured
121 by a high-resolution GCM.

122 *Why do GCMs generally produce a decrease in future global tropical cyclone numbers?*

123 Most GCM future projections indicate a decrease in global tropical cyclone numbers,
124 particularly in the Southern Hemisphere: Knutson et al. (2010) give decreases in the Northern
125 Hemisphere ranging from roughly zero to 30%, and in the Southern Hemisphere from 10 to
126 40%. Previous explanations of this result have focused on changes in tropical stability and the
127 associated reduction in climatological upward vertical velocity (Sugi et al. 2002, 2012;
128 Oouchi et al. 2006; Held and Zhao 2011) and on increased mid-level saturation deficits
129 (drying) (e.g. Rappin et al. 2010). In this argument, the tropical cyclone frequency reduction
130 is associated with a decrease in the convective mass flux and an overall related decrease in
131 tropical cyclone numbers. Zhao et al. (2013a) compare the HWG model responses for the
132 various simulations, using the Geophysical Fluid Dynamics Laboratory (GFDL) tropical
133 cyclone tracking scheme (Knutson et al. 2008; Zhao et al. 2009). They find that almost all of
134 the models show decreases in global tropical cyclone frequency for the 2K2CO₂ run of 0-
135 20%. The changes in TC numbers are most closely related to 500 hPa vertical velocity, with
136 Fig. 3 showing close agreement between changes in tropical cyclone formation and changes
137 in this variable. This was the closest association found among a suite of analyzed variables
138 that included precipitation, 600 hPa relative humidity and vertical wind shear. In addition,
139 Camargo et al. (2014) use a number of GPIs applied to the output of the GFDL HIRAM

140 model to show that in order to explain the reduction in TC frequency, it is necessary to
141 include saturation deficit and potential intensity in the genesis index. While the response of
142 the models in the other HWG experiments is more ambiguous, no model generated a
143 substantial increase in global TC frequency for any experiment. The simulated decrease in
144 global tropical cyclone frequency does not appear to be sensitive to the use of a particular
145 parameterization scheme for convection. Murakami et al. (2012) use a 60-km horizontal
146 resolution version of the MRI atmospheric GCM to demonstrate that patterns of future SST
147 change appears more important in causing future changes in tropical cyclone numbers, rather
148 than the choice of the convective parameterization used in their suite of experiments. As the
149 resolution of climate models becomes finer, the need for convective parameterization will
150 become less as microphysical representations of convective processes become more
151 appropriate. Oouchi (2013) has reported simulations of tropical cyclones using a global non-
152 hydrostatic model (NICAM) run without convective parameterization. It is anticipated that
153 this type of simulation will become increasingly important in the future (e.g. Yamada and
154 Satoh 2014).

155 The HWG experiments are atmosphere-only climate model experiments, and do not include
156 an interactive ocean. In general, however, ocean-atmosphere coupled climate models tend to
157 give similar results to uncoupled atmospheric climate models' results in their response to an
158 imposed greenhouse-induced climate change. Kim et al. (2014), using the GFDL CM2.5
159 coupled model at a horizontal atmospheric resolution of about 50 km, also note a strong link
160 in their model simulations between decreases in tropical cyclone occurrence and decreases in
161 upward mid-tropospheric vertical velocity in tropical cyclone formation regions. Like the
162 atmosphere-only models, they also simulate too few storms in the Atlantic. The response to
163 increased CO₂ in their model is a substantial decrease in tropical cyclone numbers in almost
164 all basins. Other future changes include a slight increase in storm size, along with an increase

165 in tropical cyclone rainfall. In the coordinated CMIP5 (Taylor et al. 2012) coupled ocean-
166 atmosphere model experiments, while there is a significant increase in TC intensity (Maloney
167 et al. 2013), TC frequency changes are not as robust and are dependent on tracking scheme
168 (Camargo 2013, Tory et al. 2013a, Murakami et al. 2014).

169 Not all model simulations generate a decrease in future TC numbers, however. Emanuel
170 (2013a,b) uses a downscaling method in which incipient tropical vortices are “seeded” into
171 large-scale climate conditions provided from a number of different climate models, for
172 current and future climate conditions. The number of “seeds” provided to each set of climate
173 model output is tuned so that the model in question reproduces the observed number of
174 tropical cyclones (about ninety) in the current climate. This same number of seeds is then
175 provided for the future climate conditions generated by the climate models. In contrast to
176 many models, this system generates more tropical cyclones in a warmer world when forced
177 with the output of climate models running the CMIP5 suite, even when compared with TC
178 frequency changes detected in the CMIP5 model outputs (Camargo 2013; Tory et al. 2013a;
179 Murakami et al. 2014). Analogous results are produced using climate fields from selected
180 HWG model outputs (Figure 4).

181 In the HWG experiments, simulated tropical cyclone numbers are most likely to have a small
182 decrease in the 2K2CO₂ experiment, with a clear majority of models indicating this (Fig. 3).
183 Numbers are also considerably more likely to decrease in the 2CO₂ experiment, but in the 2K
184 experiment, there is no genuine preferred direction of future numbers.

185 *Do the new generation of higher-resolution climate models simulate tropical cyclones in the*
186 *North Atlantic better? Do they simulate a similar tropical cyclone response to climate*
187 *change, thus giving more confidence in our prediction?*

188 While most models predict fewer tropical cyclones globally in a warmer world, the difference
189 in the model response becomes more significant when smaller regions of the globe are
190 considered. This appears to be a particular issue in the Atlantic basin, where climate model
191 performance has been often poorer than in other formation basins (e.g., Camargo et al. 2005,
192 Walsh et al. 2013, Camargo 2013). Since good model performance in simulating the current
193 climate has usually been considered an essential pre-condition for the skilful simulation of
194 future climate, this poses an issue for the confidence of future tropical cyclone climate in this
195 region.

196 The most recent climate models have begun to simulate this region better, however. Zhao et
197 al. (2013) note that more than one of the HWG models produced a reasonable number of
198 tropical cyclones in the Atlantic. Manganello et al. (2012), Strachan et al. (2013), Roberts et
199 al. (2014) and Zarzycki and Jablonowski (2014) show that increased horizontal resolution is
200 an important factor in improving the simulation of Atlantic tropical cyclone climatology. Best
201 results appear to be achieved at horizontal resolutions finer than 50 km. Roberts et al. (2014)
202 suggest that this may be related to the ability of the higher resolution models to generate
203 easterly waves with higher values of vorticity than at lower resolution (see also Daloz et al.
204 2012a). Even so, Daloz et al. (2014b) showed that the ability of the HWG models to represent
205 the clusters of Atlantic tropical cyclones tracks is uneven, especially for the tracks with
206 genesis over the eastern part of the basin.

207 Knutson et al. (2013) and Knutson (2013) employ the ZETAC regional climate model and
208 global HIRAM model, combined with the GFDL hurricane model, to show that in addition to
209 simulating well the present-day climatology of tropical cyclone formation in the Atlantic,
210 they are also able to simulate a reasonably realistic distribution of tropical cyclone intensity.
211 Manganello et al. (2012) showed a similar ability in a high-resolution GCM (see below for

212 more on intensity). These simulations mostly show a decrease in future numbers of Atlantic
213 storms.

214 Substantial increases in observed Atlantic tropical cyclone numbers have already occurred in
215 the past 20 years. A number of explanations of this have been suggested, ranging from
216 changes in upper-tropospheric temperatures (Emanuel et al. 2013, Vecchi et al. 2013) to the
217 “relative-SST” argument of Vecchi and Soden (2007) (that increases in TC numbers are
218 related to whether local SSTs are increasing faster than the tropical average), to changes in
219 tropospheric aerosols (Villarini and Vecchi 2013b). Camargo et al. (2013) and Ting et al.
220 (2013, 2014) show that the effect of Atlantic SST increases alone on Atlantic basin potential
221 intensity is considerably greater than the effect on Atlantic basin PI of global SST changes
222 (Fig. 5), thus suggesting that increases in local PI are likely related to whether the local SST
223 is increasing faster than the global average or not. Ting et al. (2014) show that by the end of
224 this century, the change in PI due to climate change should dominate the decadal variability
225 signal in the Atlantic, but that this climate change signal is not necessarily well predicted by
226 the amplitude in the relative SST signal. Knutson (2013) finds that that relative SST appears
227 to explain the predicted evolution of future Atlantic TC numbers reasonably well (see also
228 Villarini et al. 2011).

229 The issue of the relative importance of large-scale climate variations for tropical cyclone
230 formation in the Atlantic region is related to the ability of dynamical seasonal forecasting
231 systems to predict year-to-year tropical cyclone numbers in the Atlantic. In general, despite
232 the challenges of simulating tropical cyclone climatology in this basin, such models have
233 good skill in this region (LaRow et al. 2011; Schemm and Long 2013; Saravanan et al. 2013).
234 This skill is clearly assisted by models being well able to simulate the observed interannual
235 variability of tropical cyclone formation in this region, as shown by Emanuel et al. (2008),
236 LaRow et al. (2008), Knutson et al. (2007), Zhao et al. (2009), LaRow et al. (2011), Knutson

237 (2013), Patricola et al. (2014), Roberts et al. (2014) and Wang et al. (2014). This suggests
238 that tropical cyclone formation in the Atlantic basin is highly related to the climate variability
239 of the environmental variables in the basin rather than to the stochastic variability of the
240 generation of precursor disturbances in the basin. This also suggests that provided the
241 challenge of simulating the tropical cyclone climatology in this region can be overcome, and
242 provided that the relative contributions of the existing substantial decadal variability and the
243 climate change signal can be well quantified, simulations in this basin may achieve more
244 accurate predictions of the effect of climate change on tropical cyclone numbers.

245 While the Atlantic basin has been a particular focus of this work, the basin with the greatest
246 annual number of tropical cyclones is the northwest Pacific. The HWG simulations mostly
247 show decreases in numbers in this basin for the 2K2CO₂ experiment. This is in general
248 agreement with results from previous model simulations of the effect of anthropogenic
249 warming on tropical cyclone numbers. Some recent results for predictions in other regions of
250 the globe suggest some consensus among model predictions. For instance, Li et al. (2010),
251 Murakami et al. (2013), Murakami et al. (2014), Kim et al. (2014) and Roberts et al. (2014)
252 suggest that the region near Hawaii may experience an increase in future tropical cyclone
253 numbers.

254 *What is the tropical cyclone response of climate models to an imposed, common increase in*
255 *SST? How sensitive is the simulation of tropical cyclone variability to differences in SST*
256 *analysis?*

257 Previous work has shown that tropical cyclone numbers decrease in response to the
258 imposition of a uniform warming (Yoshimura and Sugi 2005; Held and Zhao 2011). The
259 relevant experiment here is the 2K experiment of the HWG modelling suite. In general, of

260 those HWG models that generate a substantial number of tropical cyclones, slightly more
261 models show numbers that decrease rather than increase, although the difference is not large.

262 Some insight has been previously provided into the issue of the sensitivity of GCM results to
263 the specification of the forcing SST data set. Po-Chedley and Fu (2012) conduct an analysis
264 of the CMIP5 AMIP simulations and it is noted that the HWG models participating in the
265 CMIP5 AMIP experiments used a different SST data set (HadISST, Rayner et al. 2003 – the
266 one used for the HWG experiments) than the one recommended for the CMIP5 AMIP
267 experiments (the “Reynolds” data set; Reynolds et al. 2002). These HWG models have a
268 weaker and more realistic upper tropospheric warming over the historical period of the AMIP
269 runs, suggesting that there is some sensitivity to the specification of the SST data sets. This
270 could conceivably have an effect on tropical cyclones in these models, through changes in
271 either formation rates due to changes in stability or through changes in intensity caused by
272 effects on PI.

273 *How does the role of changes in atmospheric carbon dioxide differ from the role played by*
274 *SSTs in changing tropical cyclone characteristics in a warmer world?*

275 The HWG experiments indicate that it was more likely for tropical cyclone numbers to
276 decrease in the 2CO₂ experiments than in the 2K experiments (Fig. 3). Zhao et al. (2013a)
277 show that, for several of the HWG models, decreases in mid-tropospheric vertical velocity
278 are generally larger for the 2CO₂ experiments than for the 2K experiments. For the 2CO₂
279 experiment, the decrease in upward mass flux has previously been explained by Sugi and
280 Yoshimura (2004) as being related to a decrease in precipitation caused by the decrease in
281 radiative cooling aloft, assuming that tropical precipitation rates are controlled by a balance
282 between convective heating and radiative cooling (Allen and Ingram 2002). This decrease in
283 precipitation was combined with little change in stability. In contrast, in their 2K experiment,

284 precipitation increased but static stability also increased, which was attributed to a substantial
285 increase in upper troposphere temperature due to increased convective heating. Yoshimura
286 and Sugi (2005) note that these effects counteract each other and may lead to little change in
287 the upward mass flux, thus leading to little change in tropical cyclone formation rates for the
288 2K experiment, as seen in their results. A thorough analysis of the HWG experiments along
289 these lines has yet to be performed, however.

290 The 2K and 2CO₂ may also have different effects on the intensity of storms. If fine-
291 resolution models are used, it is possible to simulate reasonably well the observed distribution
292 of intensity (see below). The model resolutions of the HWG experiments are in general too
293 coarse to produce a very realistic simulation of the observed tropical cyclone intensity
294 distribution. Nevertheless, some insight into the overall effects of these forcings on intensity
295 of storms can be obtained. First, Held and Zhao (2011) showed that one of the largest
296 differences between the results of the 2K and 2CO₂ experiments conducted for that paper
297 was that PI increased in the 2K experiments but decreased in the 2CO₂ experiment. In
298 addition, directly-simulated intense tropical cyclone (hurricane) numbers decrease more as a
299 fraction of their total numbers in the 2CO₂ experiment than they did in the 2K experiment. A
300 similar behavior is seen in the HWG experiments, although apart from the HIRAM model
301 results, in general this suppression is part of a more general suppression of storms across all
302 intensity categories rather than a preferential suppression of hurricane-intensity storms (Zhao
303 et al., 2013a). Previous model simulations at higher resolutions than employed for the HWG
304 experiments have tended to indicate an increase in the number of more intense storms (e.g.
305 Knutson et al. 2010).

306 *How does air-sea interaction modify the climate response of tropical cyclones?*

307 If the SST field from a coupled ocean atmosphere is applied as the lower boundary condition
308 for a specified-SST “time slice” AGCM run, it has been shown previously that the resulting
309 atmospheric climate differs from the original atmospheric climate of the corresponding
310 coupled ocean-atmosphere model run (Timbal et al. 1997). Thus, the presence of air-sea
311 interaction itself appears to be important for the generation of a particular climate.

312 This issue is not addressed directly through the design of the HWG experiments. Emanuel
313 (2013a,b) shows by an analysis of thermodynamic parameters associated with tropical
314 cyclone intensity that SST should not be considered a control variable for tropical cyclone
315 intensity. Nevertheless, Kim et al. (2014) show results from the GFDL coupled model
316 running at a resolution of 50 km, indicating that the inclusion of coupling does not
317 necessarily change the direction of the tropical cyclone frequency response. As a result, these
318 runs also show decreases in the global number of tropical cyclones and also under-simulated
319 current climate numbers in the Atlantic. It is noted that this might be due to a cold bias in the
320 SST simulation in the Atlantic. Daloz et al. (2012b), using a stretched configuration of
321 CNRM-CM5 with a resolution of up to 60 km over the Atlantic, also showed an
322 underestimate of tropical cyclone activity when coupling was introduced.

323 *Are the results sensitive to the choice of cyclone tracking scheme?*

324 An essential first step in the analysis of any tropical cyclone detection scheme is to select a
325 method for detecting and tracking the storms in the model output. A number of such schemes
326 have been developed over the years; they share many common characteristics but also have
327 some important differences. They fall into four main categories:

328 (1) Structure-based threshold schemes, whereby thresholds of various structural
329 parameters are set based on independent information, and storms detected with

- 330 parameter values above these thresholds are declared to be tropical cyclones (e.g.,
331 Walsh et al. 2007);
- 332 (2) Variable threshold schemes, in which the thresholds are set so that the global number
333 of storms generated by the model is equal to the current-climate observed annual
334 mean (e.g. Murakami et al. 2011);
- 335 (3) Schemes in which model output is first interpolated onto a common grid before
336 tracking (e.g., the feature tracking scheme of Bengtsson et al. 2007b; Strachan et al.
337 2013);
- 338 (4) Model-threshold dependent schemes, in which the detection thresholds are adjusted
339 statistically, depending upon the formation rate in a particular model, originally
340 developed for seasonal forecasting with basin-dependent thresholds (e.g., Camargo
341 and Zebiak 2002); and
- 342 (5) Circulation based schemes, in which regions of closed circulations and enhanced
343 vorticity with low deformation are identified based on the Okubo-Weiss-Zeta
344 diagnostic (Tory et al. 2013b).

345

346 It is possible to make arguments for and against each type of scheme, but clearly the change
347 in tropical cyclone numbers of the climate model simulations should not be highly dependent
348 on the tracking scheme used, and if the direction of the predicted change is sensitive to this,
349 this would imply that the choice of the tracking scheme is another source of uncertainty in the
350 analysis. To examine this issue, results from the HWG simulations are compared for different
351 tracking schemes. In general, after correction is made for differences in user-defined
352 thresholds between the schemes, there is much more agreement than disagreement on the sign
353 of the model response between different tracking schemes (Horn et al. 2014; Fig. 6).

354 Nevertheless, it is possible to obtain a different sign of the response for the same experiment

355 by using a different tracking scheme. In the case of CMIP5 models, changes in TC frequency
356 in future climates was clearly dependent on the tracking routine used, especially for the
357 models with poor TC climatology (see Camargo 2013, Tory et al. 2013a, Murakami et al.
358 2014). This could simply be a sampling issue caused by insufficient storm numbers in the
359 various intensity categories rather than any fundamental difference between the model
360 responses as estimated by the different tracking schemes or the effect of user-specific
361 threshold detection criteria. This may still imply that results from such simulations should be
362 examined using more than one tracking scheme.

363 *Climatological controls on formation*

364 It has been recognized for some time that one consequence of a warmer climate is an increase
365 in the typical threshold of the initiation of deep convection, a precursor of tropical cyclone
366 formation (Dutton et al. 2000; Evans and Waters 2012; Evans 2013). This threshold varies
367 within the current climate as well (Evans 2013). The search for relevant diagnostics of
368 tropical cyclone formation that can be derived from the mean climate has led to the
369 formulation of GPI parameters that statistically relate tropical cyclone formation to
370 climatological mean values of parameters that are known to influence tropical cyclone
371 formation (Gray 1979; Royer et al. 1998; Emanuel and Nolan 2004; Emanuel 2010; Tippet
372 et al. 2011; Bruyère et al. 2012; Menkes et al. 2012). GPIs usually include values of
373 atmospheric variables such as vertical wind shear, PI, mid-tropospheric relative humidity and
374 SST. Another large-scale environmental factor that should be considered is the ventilation,
375 which was shown to have an important influence in both tropical cyclogenesis and
376 intensification (Tang and Emanuel 2012). Changes in TC frequency in future climates have
377 also been related to the ventilation index for the CMIP5 models (Tang and Camargo 2014).

378 The potential of such a technique is obvious: it could serve as a diagnostic tool to determine
379 the reasons for changes in tropical cyclone numbers in a particular climate simulation,
380 without the need to perform numerous sensitivity experiments, or (ultimately) it could enable
381 the diagnosis of changes in tropical cyclone formation rate from different climates without
382 the need to run a high-resolution GCM to simulate the storms directly, similar to what was
383 done in the present climate for diagnostics of TC genesis modulation by the El Niño-Southern
384 Oscillation (Camargo et al. 2007a) and the Madden-Julian Oscillation (Camargo et al. 2009).
385 Korty et al. (2013) and Korty et al. (2012a,b) show results where the GPI is used to diagnose
386 the rate of tropical cyclone formation for a period 6,000 years before the present, showing
387 considerable changes in GPI, with mostly decreases in the Northern Hemisphere and
388 increases in the Southern Hemisphere. It is noted, however, that while GPIs appear to have
389 some skill in estimating the observed spatial and temporal variations in the number of tropical
390 cyclones (Menkes et al. 2012), there are still important discrepancies between their estimates
391 and observations. In addition, there can be similar differences between GPI estimates and
392 directly-simulated tropical cyclone numbers, which appears to be better in models with
393 higher resolution (Camargo et al. 2007b; Walsh et al. 2013; Camargo 2013). A potential
394 limitation of the GPI methodology for application to a different climate is that it is trained on
395 present-day climate. This was demonstrated in the 25km version of the CAM5 GCM, where
396 decreases in GPI estimated for the 2CO₂ experiment were consistent with the direct
397 simulation but increases in GPI estimated for the 2K and 2K2CO₂ were inconsistent with the
398 direct simulation of changes in tropical cyclone numbers (Wehner et al 2014b; see also
399 Camargo 2013 and Camargo et al. 2014).

400

401 The role of idealized simulations in understanding the influence of climate on tropical
402 cyclones is highlighted by Merlis et al. (2013). A series of idealized experiments with land

403 areas removed (so-called “aquaplanet” simulations) show that the position of the Intertropical
404 Convergence Zone (ITCZ) is crucial for the rate of generation of tropical cyclones. If the
405 position of the ITCZ is not changed, a warmer climate leads to a decrease in tropical cyclone
406 numbers, but a poleward shift in the ITCZ leads to an increase in tropical cyclone numbers.
407 With a new generation of climate models being better able to simulate tropical cyclone
408 characteristics, there appears to be increased scope for using models to understand
409 fundamental aspects of the relationship between climate and tropical cyclones.

410 *Sensitivity of results to choice of convection scheme*

411 Murakami et al. (2012) shows experiments investigating the sensitivity of the response of
412 TCs to future warming using time slice experiments. Decreases in future numbers of tropical
413 cyclones are shown for all experiments irrespective of the choice of convection scheme. Note
414 that there also appears to be a considerable sensitivity of tropical cyclone formation to the
415 specification of the minimum entrainment rate (Lim and Schubert 2013). As this is decreased
416 (equivalent to turning off the cumulus parameterization), the number of tropical cyclones
417 increases. The sensitivity of the TC frequency to other convection scheme parameters
418 (fractional entrainment rate and rate of rain reevaporation) was also shown in Kim et al.
419 (2012) with the GISS model. One issue that needs to be examined is that an increase in
420 tropical storm numbers due to changes in the convective scheme to more realistic values is
421 not necessarily accompanied by an improvement in the simulation of the mean climate state.
422 A similar issue occurs in the simulation of the intraseasonal variability in climate models,
423 where there is a systematic relationship between the amplitude of the intraseasonal variability
424 in the models and mean state biases in climate simulations (Kim et al. 2011).

425

426 **Tropical cyclone intensity**

427

428 Work in the past couple of decades has led to the generally accepted theory that the potential
429 intensity of tropical cyclones (PI) can be quantified by thermodynamic arguments based on
430 the Carnot cycle (Emanuel 1986; Emanuel 1988; Holland 1997; see also Knutson et al. 2010).
431 While the focus of the HWG has been on numerical model simulation, the use of theoretical
432 diagnostics such as PI has been an important part of efforts to understand the results produced
433 by the models.

434

435 Emanuel and Sobel (2011, 2013) outline some of the important unresolved theoretical issues
436 related to maximum tropical cyclone intensity, including the physics of air-sea interaction at
437 very high wind speeds, the existence and magnitude of super-gradient winds in the hurricane
438 boundary layer, horizontal mixing by eddies, and the radial structure and characteristics of
439 the outflow temperature (see also Wang et al. 2014; Ramsay 2014). In addition, most tropical
440 cyclones do not reach their maximum intensities (Wing et al. 2007, Kossin and Camargo
441 2009), and while factors that inhibit their intensification are well known (e.g., vertical wind
442 shear, cold ocean surfaces, dry mid-tropospheric air, and land surfaces), less certain is the
443 precise quantitative response of tropical cyclones to changes in these quantities. Ideally, there
444 should be a strong correspondence between the theoretical PI and the simulated maximum
445 intensity of storms in a model climatology of tropical cyclones.

446 *Simulation of the intensity distribution of tropical cyclones*

447 While it is clear that simply increasing the resolution does not necessarily improve intensity
448 distribution (Shaevitz et al. 2014), results from the HWG simulations indicate that a very
449 significant improvement in a GCM's ability to simulate both TC formation and intensity
450 occurs at resolutions finer than 50 km, with good results shown at 25 km (Strachan et al.
451 2013; Roberts et al. 2014; Lim and Schubert 2013; Wehner et al. 2014b; Mei et al. 2014). In

452 addition, if such high resolution is employed, it is possible to simulate reasonably well the
453 observed intensity distribution of tropical cyclones (Bender et al. 2010; Lavender and Walsh
454 2011; Murakami et al. 2012; Knutson 2013; Chen et al. 2013; Zarzycki and Jablonowski
455 2014; see Fig. 7). Manganello et al. (2012) showed that there remained some discrepancies in
456 the wind-pressure relationship between observations and even very high horizontal resolution
457 (10 km) simulations, however.

458 **Other issues**

459 *Future TC precipitation*

460 Previous work has shown a robust signal of increasing amounts of precipitation per storm in a
461 warmer world (Knutson and Tuleya 2004; Manganello et al. 2012; Knutson 2013; Kim et al.
462 2014; Roberts et al. 2014). The size of this signal varies a little between simulations, from
463 approximately 10% to 30%. Knutson (2013) shows that this increase in precipitation close to
464 the center of the storm appears to be greater than the Clausius-Clapeyron rate of 7% per
465 degree of warming, due to the additional source of moisture supplied by the secondary
466 circulation of the tropical cyclone. Over the Atlantic, Daloz et al. (2014a) showed that the
467 introduction of ocean-atmosphere coupling modifies the response in tropical cyclone
468 precipitation, with precipitation increases 10% higher in the coupled configuration.

469

470 Villarini et al. (2014) and Scoccimarro et al. (2014) have investigated the response of
471 precipitation from landfalling tropical cyclones in the HWG experiments (Fig. 8).

472 Scoccimarro et al. (2014) find that compared to the present day simulation, there is an
473 increase in TC precipitation for the scenarios involving SST increases. For the 2CO₂ run, the
474 changes in TC rainfall are small and it was found that, on average, TC rainfall for that

475 experiment tends to decrease compared to the present day climate. The results of Villarini et
476 al. (2014) also indicate a reduction in TC daily precipitation rates in the 2CO₂ scenario, of
477 the order of 5% globally, and an increase in TC rainfall rates when SST is increased, both in
478 the 2K and 2K2CO₂ runs, about 10-20% globally. A number of issues are identified for
479 future work, including the need to stratify the rainfall rate by intensity categories and an
480 examination of the extra-tropical rainfall of the former TC.

481

482 *Novel analysis techniques*

483 Strazzo et al. (2013a,b) present results in which a hexagonal regridding of the model output
484 variables and tracks enable some analysis of their interrelationships to be performed
485 efficiently. Once this is done for the HWG experiments, it is noted that one can define a
486 “limiting intensity” that is the asymptotic intensity for high return periods. The sensitivity of
487 this limiting intensity to SST is lower in the models than in the observations, perhaps a
488 reflection of the lack of high-intensity storms in most HWG model simulations. This
489 technique can also be used to establish performance metrics for the model output in a way
490 that can be easily analyzed statistically.

491 Strazzo et al. (2013a, b) and Elsner et al. (2013) use this novel analysis technique to show
492 that the sensitivity of limiting intensity to SST is 8 m/s/K in observations and about 2 m/s/K
493 in the HiRAM and FSU models (Figure 9). They speculate that the lower sensitivity is due to
494 the inability of the model-derived TCs to operate as idealized heat engine, likely due to
495 unresolved inner-core thermodynamics. They further speculate that GCM temperatures near
496 the tropopause do not match those in the real atmosphere, which would likely influence the
497 sensitivity estimates.

498

499

500

501 Gaps in our understanding and future work

502 A number of issues are identified by the HWG as requiring further investigation. The
503 influence of the inclusion of an interactive ocean clearly is a further step needed to improve
504 the realism of the results of the HWG experiments. Designing common experiments for
505 models that include air-sea interaction is challenging, but may be aided by the addition of a
506 simple slab or mixed-layer ocean with specific lateral fluxes to represent advective processes
507 as a boundary condition. The inclusion of this simplified form of air-sea interaction will
508 partially address the important issue of the inconsistency of the surface flux balance in
509 experiments that employ specified SSTs and the resulting effects on variables such as
510 potential intensity.

511 A series of systematic experiments could be devised to examine the relative role of Atlantic
512 versus global SST anomalies on the generation of tropical cyclones in the Atlantic basin (see
513 Lee et al. 2011). Some results presented at the workshop indicate some support for the
514 “relative SST” explanation of increases in tropical cyclone activity in the Atlantic in the past
515 two decades, which could be further investigated by such experiments. A related topic is the
516 relative role of future decadal and interannual variability in this basin when combined with
517 the effects of anthropogenic warming. Patricola et al. (2014) show that long-term variations
518 in TC formation in the Atlantic appear to be dominated by the Atlantic Meridional Mode
519 (e.g., Vimont and Kossin 2007) together with the El Niño – Southern Oscillation (ENSO)
520 phenomenon, as shown by Kossin et al. (2010) from analysis of observations. Thus any
521 future climate change projection would ideally need to include information on changes in the
522 periodicity and amplitude of the AMM and ENSO (see Figure 10). Similarly, a factor that is

523 not investigated in the HWG experiments is the role of changing atmospheric aerosols in the
524 Atlantic basin (e.g., Villarini and Vecchi 2013a,b). It would be possible to design a series of
525 experiments to investigate this, similar to the HWG experiments.

526 Now that there is a critical mass of HWG experiments available for analysis, there may be
527 some scope for using the experiments in an inter-comparison process, to determine if there
528 are common factors that lead to improved simulations of both the mean atmospheric climate
529 and of tropical cyclone climatology. This would be facilitated by the use of novel analysis
530 techniques associating the changes in tropical cyclone occurrence simulated in these
531 experiments with changes in fundamental climate variables, along the lines of those already
532 established by existing analysis of the HWG suite. Strong links between changes in tropical
533 cyclone formation rate and fundamental measures of tropical circulation, and stronger
534 quantification of these links, will ultimately lead to a clearer understanding of the relationship
535 between tropical cyclones and climate.

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Table 1: List of participating modeling centers, models, horizontal resolution and experiments performed.

Center	Model	Horizontal resolution (km at equator)	Experiments run
LBNL	CAM5.1	222, 111, 25	climo, amip, 2CO ₂ , 2K, 2K2CO ₂
CMCC	CMCC/ECHAM5	84	climo, 2CO ₂ , 2K, 2K2CO ₂
CNRM	CNRM	50	amip
FSU	FSU/COAPS	106	climo, amip, 2CO ₂ , 2K
NOAA GFDL	HIRAM	50	climo, amip, 2CO ₂ , 2K, 2K2CO ₂
NOAA GFDL	C180AM2	50	climo, 2CO ₂ , 2K, 2K2CO ₂
NASA-GISS/Columbia	GISS	111	climo, amip, 2CO ₂ , 2K, 2K2CO ₂
NASA GSFC	GEOS5	56	climo, amip, 2CO ₂ , 2KSST, 2K2CO ₂
Hadley Centre	HadGEM3	208	climo, 2K, 2CO ₂
Hadley Centre	HG3-N216	92	climo, 2K, 2CO ₂
Hadley Centre	HG3-N320	62	climo, 2K, 2CO ₂
JAMSTEC	NICAM	14	control and greenhouse runs
MRI	MRI-AGCM3.1H	50	amip-style, 2K, 2CO ₂ and greenhouse runs
NCEP	GFS	106	climo, amip, 2CO ₂ , 2K, 2K2CO ₂
TAMU	WRF	27	climo, amip, 2K2CO ₂
MIT	CHIPS (downscaling)	Variable	climo, 2CO ₂ , 2K, 2K2CO ₂

Figure Captions

Figure 1. Tropical cyclone formation rates from IbTracs observations and the “climo” run of the HWG experiments, using the GFDL tropical cyclone tracking scheme: relative distribution (shaded) and total annual-mean numbers. From Zhao et al. (2013).

Figure 2. (a) Observed and (b) simulated geographical distribution of the climatological TC track density (unit: days per year) during the North Atlantic hurricane season calculated at each $8^{\circ} \times 8^{\circ}$ grid. From Mei et al. (2014).

Figure 3. Comparison between changes in tropical cyclone formation for various models for the 2K (here labelled P2K) and 2CO₂ experiments versus TC genesis as weighted by changes in mid-tropospheric vertical velocity. From Zhao et al. (2013b).

Figure 4. Tropical cyclone frequency from the using the downscaling methodology of Emanuel (2013) forced by climate fields from the HWG model output, for the HWG experiments as indicated.

Figure 5. Regression of PI on AMO and climate change signals for the CMIP5 multi-model ensemble, for historical, rcp4.5 and rcp8.5 runs. From Ting et al. (2013).

Figure 6. Percentage change in TC numbers in each model for the three altered climate experiments relative to the present-day experiment, as tracked by the CSIRO, Zhao, and individual group tracking schemes, after homogenisation in duration, wind speed, and latitude of formation. Asterisks indicate statistical significance to at least the $p = 0.05$ level.

Figure 7. Comparison between North Atlantic observed and simulated wind-pressure relationships during the 1980-2002 period for the high-resolution (0.25°) CAM-SE model. From Zarzycki and Jablonowski (2014).

Figure 8. Changes in TC related precipitation amount in the 2CO₂ (blue), 2K (green) and 2K2CO₂ (red) experiments as a function of latitude. Results are shown with respect to the climo experiment. Solid thin lines represent CMCC results. Dashed thin lines represent GFDL results. The solid thick lines represent the average of the two models. Units are [%]. The amount of rainfall associated TCs is computed by considering the daily precipitation in a $10^\circ \times 10^\circ$ box around the center of the storm (right panel), and a smaller window closer to the storm center ($6^\circ \times 6^\circ$, left panel). From Scoccimarro et al. (2014).

Figure 9. The sensitivity of limiting intensity to SST ($\text{m s}^{-1} \text{ } ^\circ\text{C}^{-1}$) for observed TCs (top left panel) and three runs of the GFDL HiRAM model, indicated by the slope of the blue line. The gray shading represents the 95% confidence interval while the vertical black bars depict uncertainty, obtained through a bootstrapping technique, about the limiting intensity estimates.

Figure 10. Seasonal Accumulated Cyclone Energy (ACE; 10^4 kt^2 , denoted next to mark) of Atlantic tropical cyclones from regional climate model (RCM) simulations forced by the imposed lower boundary conditions and Pacific SST of the 1999 La Niña (filled circle) and 1987 El Niño (open circle) and Atlantic SST (corresponding August-October averaged AMM index on the x-axis), with the RCM 1980-2000 mean Atlantic ACE (dash). Each mark represents one season-long integration. From Patricola et al. (2014).

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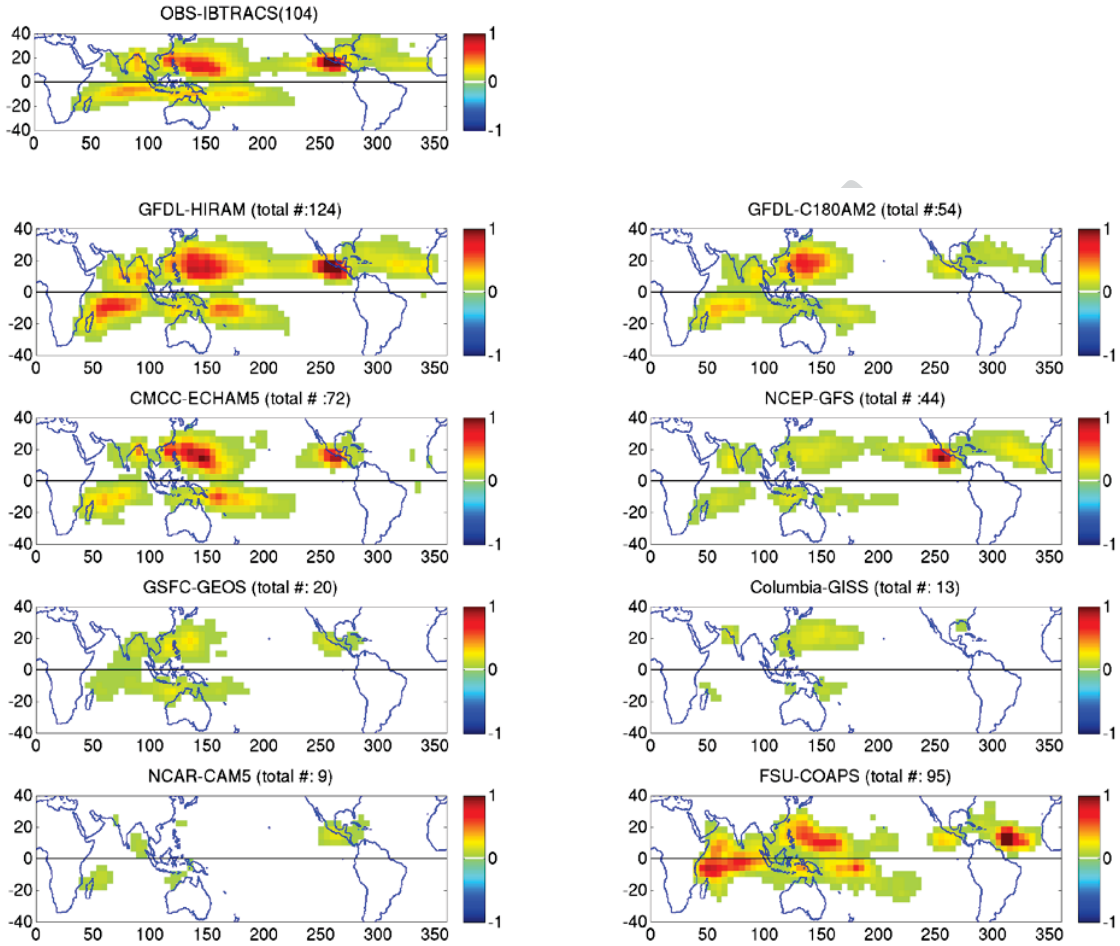


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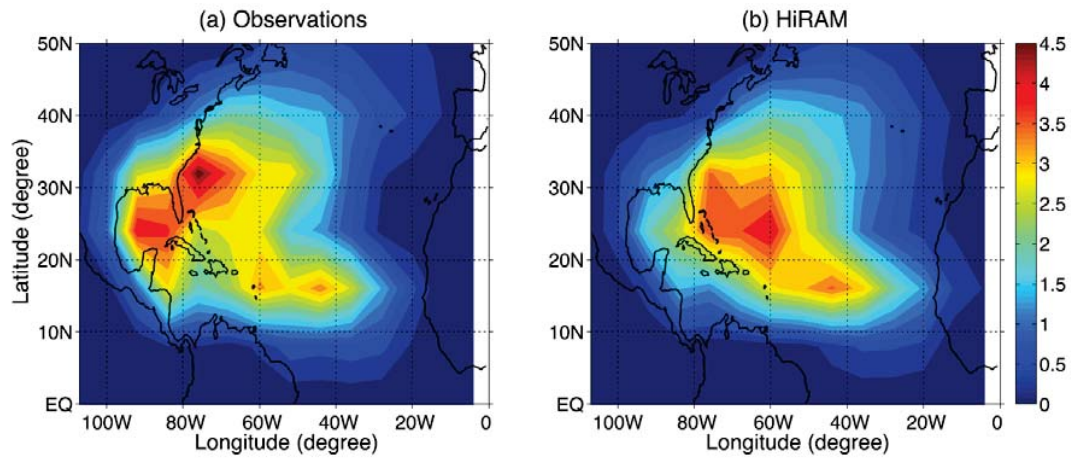


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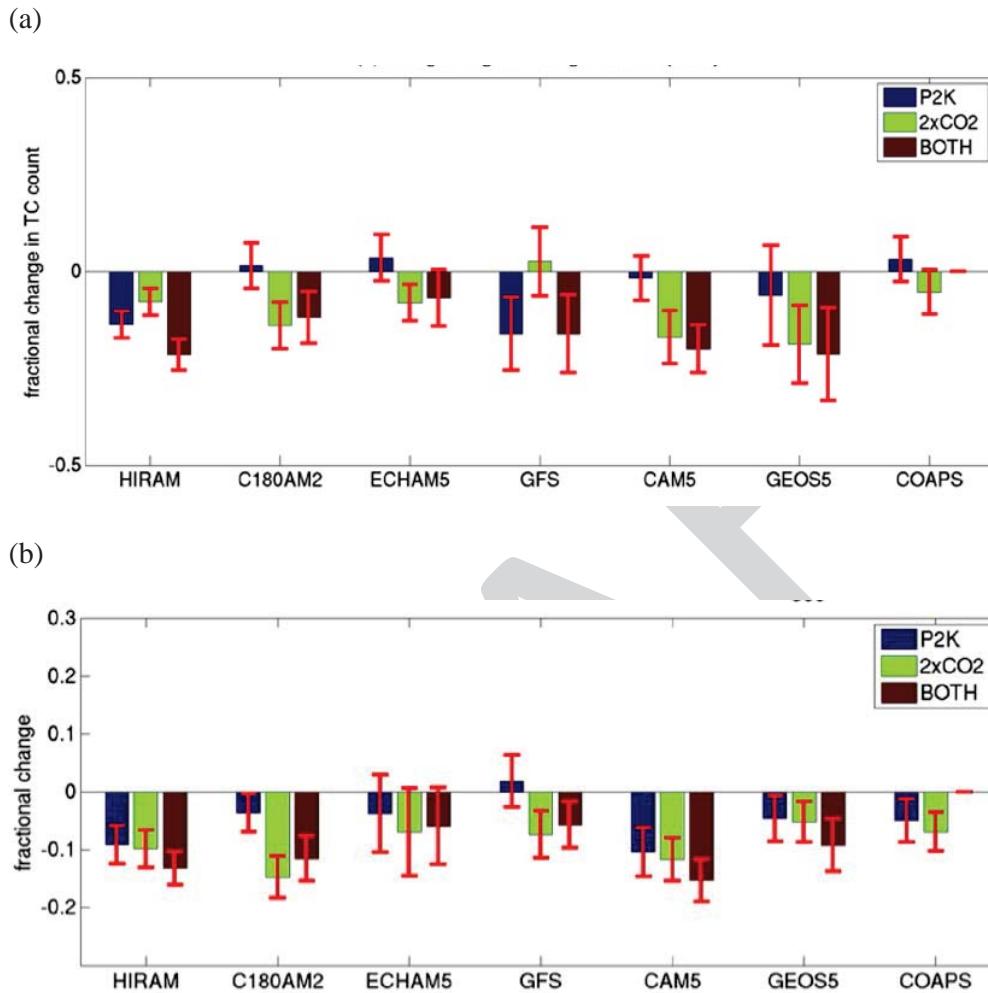


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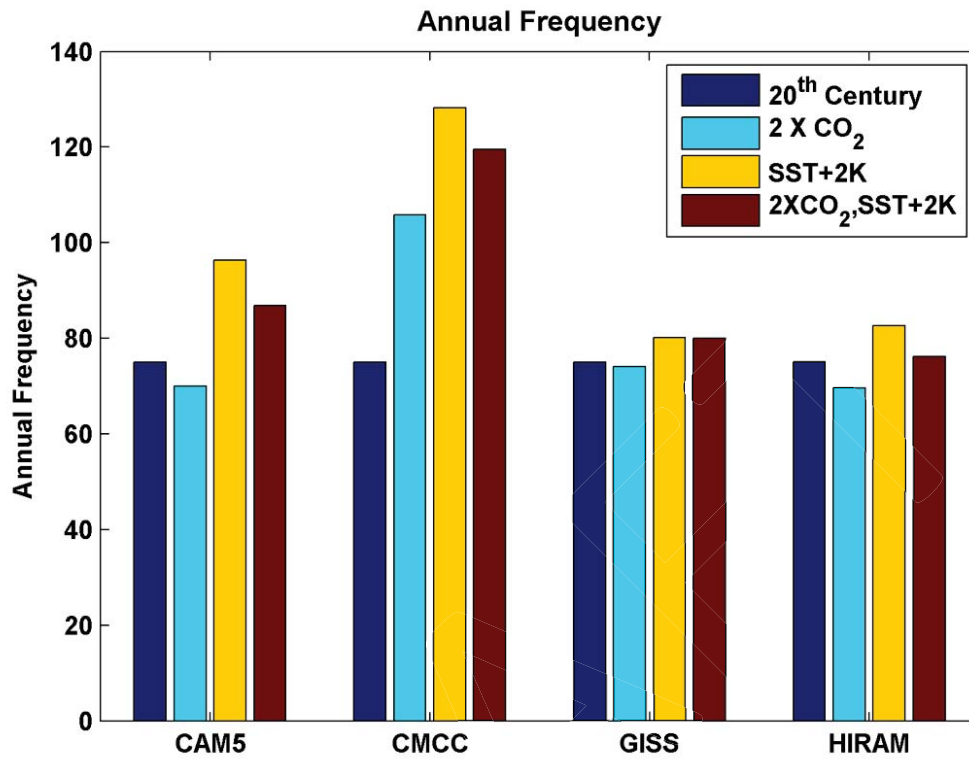


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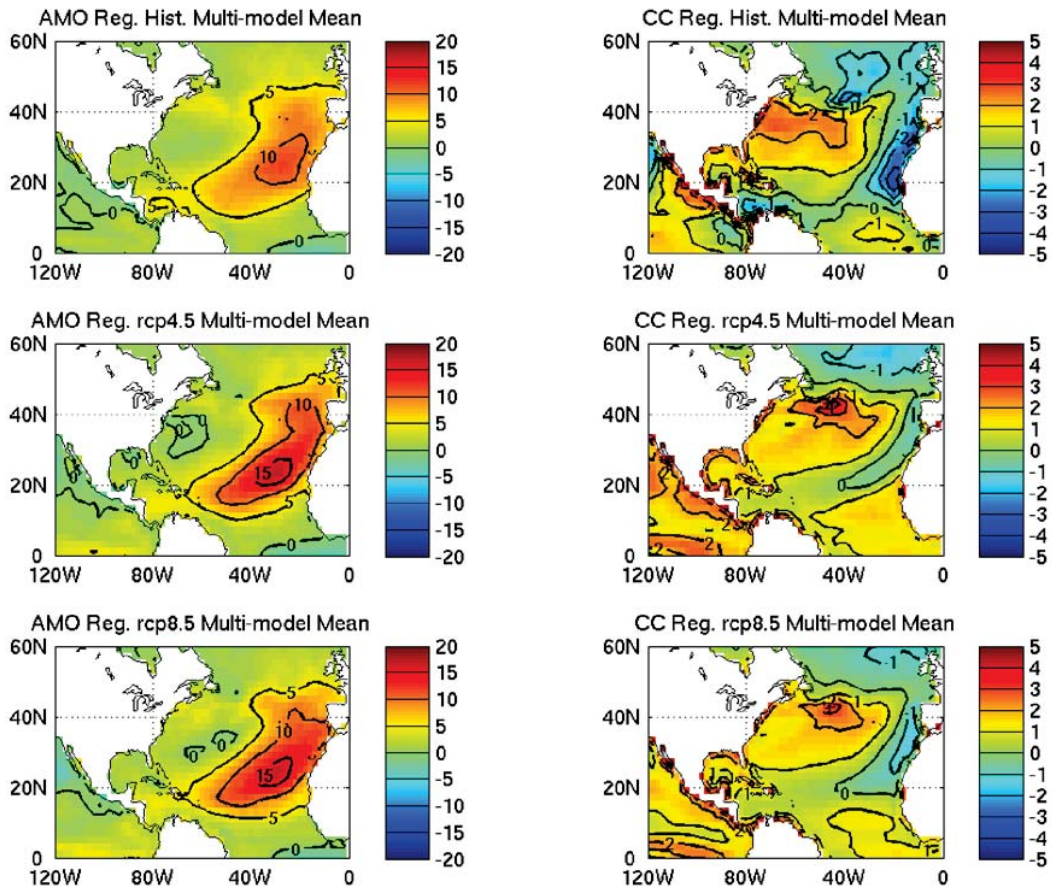
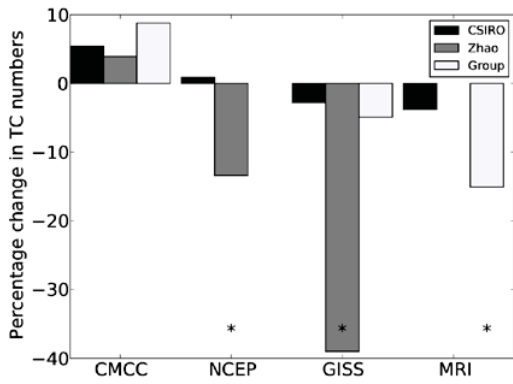
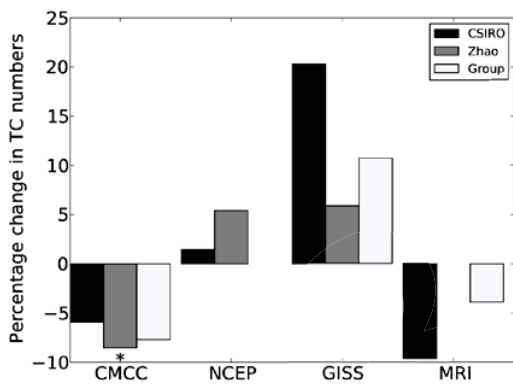


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(a)



(b)



(c)

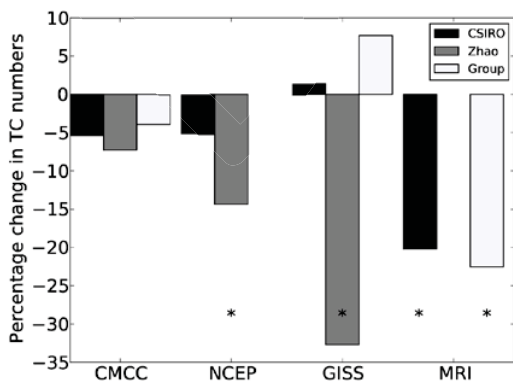


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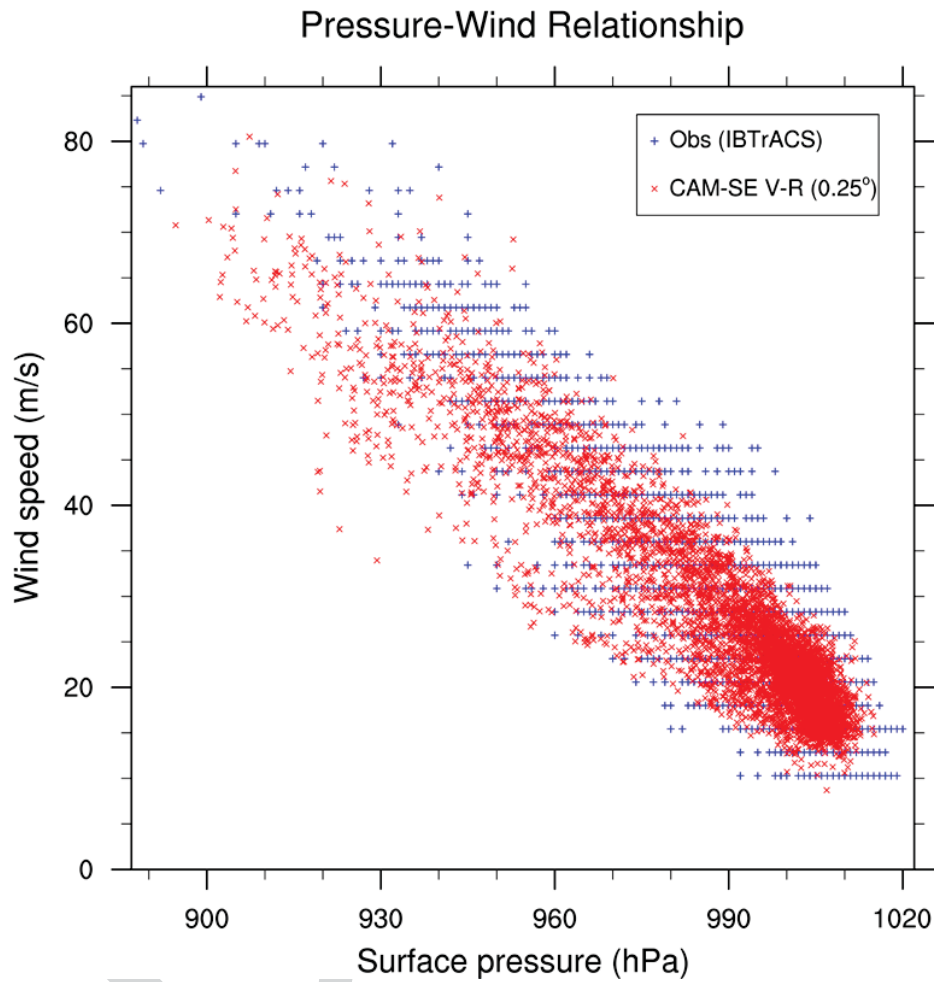


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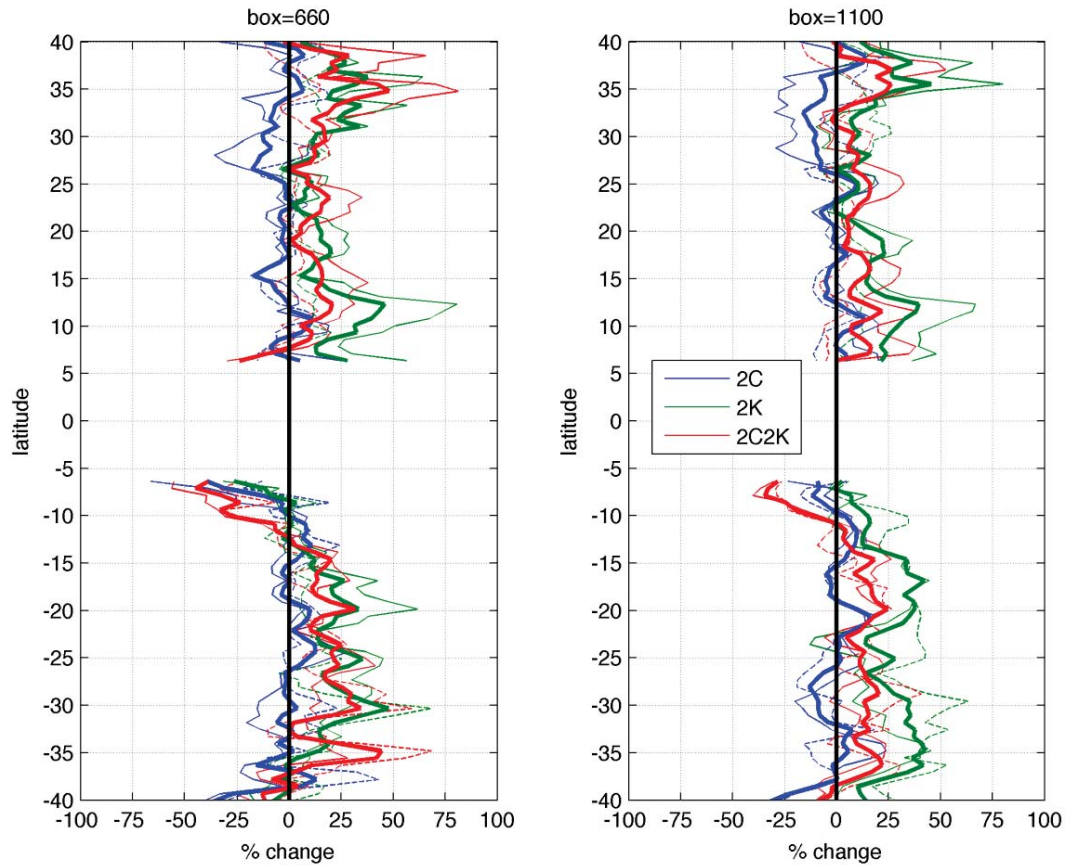


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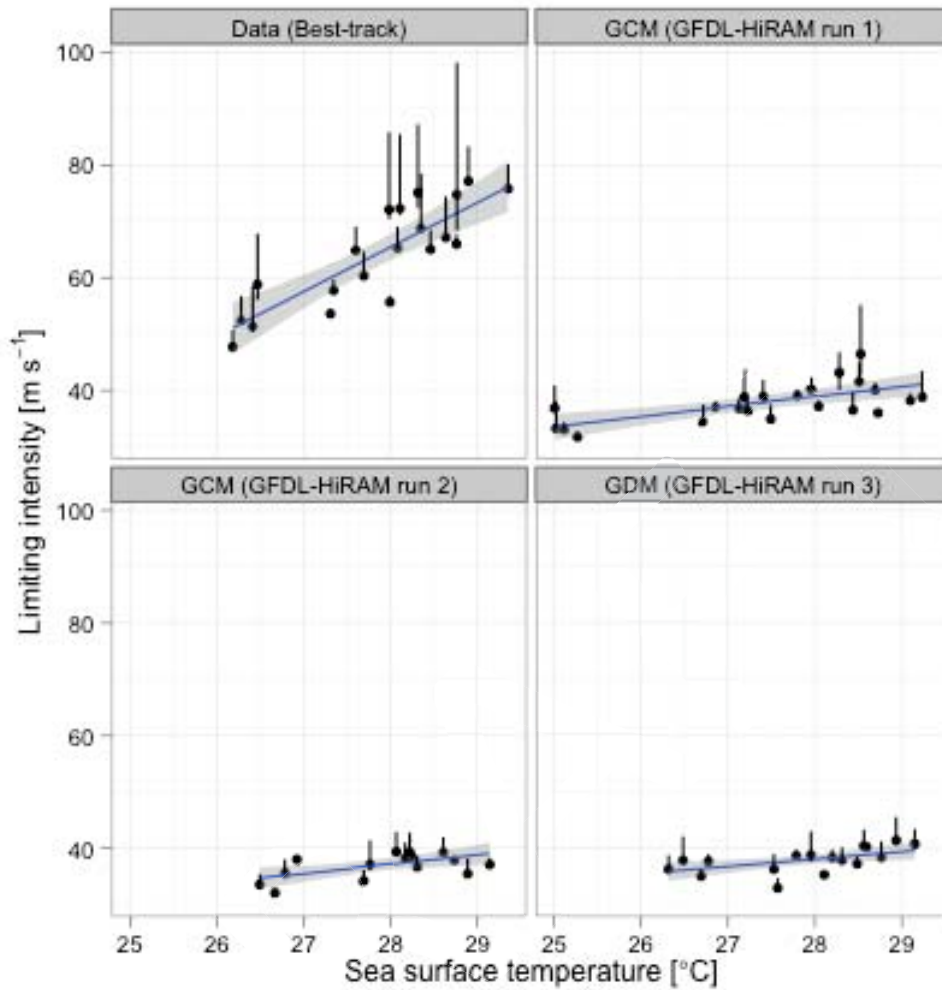


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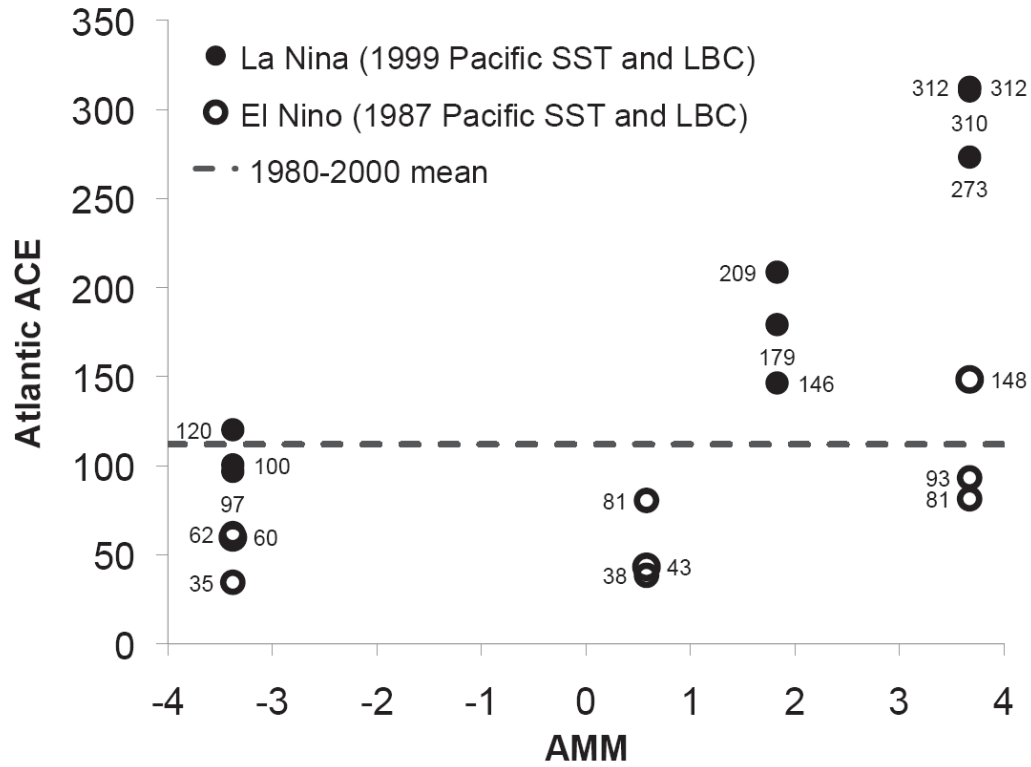


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