

1 **Robust Hadley Circulation changes and increasing global dryness due to**
2 **CO₂ warming from CMIP-5 model projections**

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Abstract

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In this paper, we investigate changes in the Hadley Circulation (HC) and their connections to increased global dryness under CO₂ warming from CMIP5 model projections. We find a strengthening of the ascending branch of the HC manifested in a “deep-tropics squeeze” (DTS), *i.e.*, a deepening and narrowing of the convective zone, increased high clouds, and a rise of the level of maximum meridional mass outflow in the upper troposphere (200-100 hPa) of the deep tropics. The DTS induces atmospheric moisture divergence, reduces tropospheric relative humidity in the tropics and subtropics, in conjunction with a widening of the subsiding branches of the HC, resulting in increased frequency of dry events in preferred geographic locations worldwide. Among water cycle parameters examined, global dryness has the highest signal-to-noise ratio. Our results provide scientific bases for inferring that the observed trend of prolonged droughts in recent decades is likely attributable to greenhouse warming.

36 **Significance Statement**

37 In spite of increasing research efforts, global warming signals of the Hadley
38 Circulation (HC) and its dynamical linkages to water cycle changes remain largely unknown.
39 Here, we find from model projections, robust signals of both strengthening and weakening
40 components of the HC induced by CO₂ warming. These changes in the HC drive a pattern
41 of global dryness featuring widespread reduction of tropospheric humidity, and increased
42 risks of drought over subtropics and tropical land. We also find that global warming signal
43 in increased dryness is the most detectable among numerous water cycle quantities
44 examined. Our results provide scientific bases for inferring that the observed trend of
45 prolonged droughts in recent decades is likely attributable to greenhouse warming.

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48 **Introduction**

49 The Hadley Circulation (HC), the zonally averaged meridional overturning motion
50 connecting the tropics and mid-latitude, is a key component of the global atmospheric
51 general circulation. How the HC has been, or will be changed as a result of global warming
52 has tremendous societal implications on changes in weather and climate patterns, especially
53 the occurrences of severe floods and droughts around the world (1, 2). Recent studies have
54 suggested that the global balance requirement for water vapor and precipitation weakens the
55 tropical circulation in a warmer climate (3, 4). So far the most robust signal of weakening
56 of tropical circulation from models appears to coming from the Walker circulation, but not
57 from the HC, possibly because of the large internal variability in the latter (5, 6).

58 Observations based on reanalysis data have shown weak signals of increasing, decreasing or
59 no change in HC strength in recent decades, with large uncertainties depending on the data
60 source and the period of analyses (7-10). Meanwhile, studies have also shown that even
61 though water vapor is increased almost everywhere as global temperature rises, increased
62 dryness is found in observations, and in model projections especially in many land regions
63 around the world (11-13). Reduction in mid-tropospheric relative humidity and clouds in
64 the subtropics and midlatitude under global warming have also been noted in models and
65 observations suggesting the importance of cloud feedback and circulation changes (14-16).
66 Even though robust global warming signals have been found in changing rainfall
67 characteristics (2, 17, 18), in the widening of the subtropics, and in the relative contributions
68 of circulation and surface warming to tropical rainfall from climate model projections and
69 observations (19-24), identifying and understanding the dynamical linkages between HC

70 circulation changes and global patterns of wetting and drying have yet to be demonstrated.
71 In this paper, we aim at establishing a baseline understanding of the dynamics of changes in
72 the HC, and relationships with increased global dryness under global warming using
73 monthly outputs from CMIP-5 (Coupled Model Inter-comparison Project) projections. The
74 baseline developed here hopefully will provide guidance for future observational studies in
75 the detection, and attribution of climate change signals in atmospheric circulation and in the
76 assessment of risk of global droughts.

77 **Methodology**

78 To establish the baseline response of the HC to global warming, we used monthly
79 outputs from a 140-year integration of 33 CMIP5 models forced by 1% increase per year
80 CO₂ emission (*SI Method and Data*). The control, also referred to as climatology, is defined
81 as the first 27 years of the model simulation. By the mid-point of the integration, *i.e.*, 27-
82 years centered at year-70 of the integration, the CO₂ level is nearly doubled, and by the last
83 27-year, the mean CO₂ level is nearly tripled (TCO₂) compared to the control mean. In this
84 work, we only focused on the forced response, as represented by the Multi Model Mean
85 (MMM) of monthly data, defined as the average of all 33 models interpolated on a common
86 grid resolution of 2.5 by 2.5 degree latitude-longitude, and 17 vertical levels. Anomalies
87 are defined as the MMM differences between TCO₂ and the control. The uncertainties of
88 the MMM are estimated from the spread of the individual model means about the MMM,
89 based on calculation of the mean square errors.

90 **Results**

91 Consistent with previous studies (3, 4, 17, 24), we find that in response to a 1% per year
92 CO₂ increase, rainfall increases at a muted rate of $1.5 \pm 0.1\% \text{ K}^{-1}_{[1]}$, much slower than that
93 for saturated water vapor as governed by the Clausius-Clapeyron relationship ($\sim 6.5\% \text{ K}^{-1}$).
94 In the following, the responses of various quantities related to the HC, rainfall, and tropical
95 convection, global dryness and their inter-relationships are discussed.

96 **Rainfall and vertical motions**

97 First, we examine the relationship between zonally averaged rainfall and vertical
98 motions (Fig. 1). Both the climatological MMM rainfall and 500 hPa pressure velocity
99 (Fig. 1a, b) show double maxima in the tropics, consistent with the observed off-equatorial
100 positions of the ITCZ (Inter-Tropical Convergence Zones) (25). Both show the well-known
101 double-ITCZ model bias, *i.e.*, excessive rainfall and too strong rising motions in the
102 southern hemisphere deep tropics (26). The MMM anomalous rainfall shows pronounced
103 increase between 10°S and 10°N, a slight drying in the subtropics, and increased rainfall in
104 the extratropics of both hemispheres (Fig. 1a). The anomalous pressure velocity profile
105 (Fig. 1b) shows wavelike perturbations that generally vary inversely with the climatology,
106 featuring enhanced rising motion coinciding with increased rainfall in the deep tropics.
107 Strong compensating anomalous sinking motions are found centered near 10°S and 10°N.
108 Subsiding motions in the subtropics appears to be weakened. Comparing Fig. 1c and d, a
109 structural change in the vertical motion field can be perceived as a shift of the ITCZs of both
110 hemispheres toward the equator, in the form of a narrowing and strengthening of anomalous
111 ascent throughout the troposphere in the equatorial region, flanked on both sides by equally
112 strong descent centered near 10°S and 10°N. The climatological equatorial minimum
113 appears to be filled in by a “squeeze” of the ascending branch of the HC toward the equator

114 from both hemispheres. This “deep–tropics squeeze” (DTS) appears to be coupled to
115 positive anomalies, *i.e.*, weakened sinking motions, near the center of the climatological
116 subsiding branches of the HC. A widening of the subtropics is achieved via the DTS
117 together with a poleward extension (marked by zero-wind contours) of the sinking branch of
118 the HC, and poleward shift of the Ferrel and polar cells in both hemispheres (21, 22). These
119 changes in the HC and related global signals are robust in the sense that more than two-third
120 (25/33) of the models agree on the sign of the anomalies (grid points highlighted by a green
121 dot in Fig. 1d) almost everywhere. Time-series of zonally averaged mean vertical motion
122 clearly show steadily increasing rising motion in the ascending branch of the HC in the deep
123 tropics, throughout the entire 140-years integration (For details, see Fig. S1 and
124 discussions).

125 **Tropical Convection**

126 To better understand the nature of the DTS, we examine the changes in tropical
127 convection and the large-scale tropical circulation. Here, as a proxy for tropical
128 convection, monthly outgoing longwave radiation (OLR) is used. Based on a comparison of
129 observations between monthly OLR from NOAA AVHRR, and daily brightness
130 temperature from TRMM (For details see Fig. S2 and discussions in Supporting
131 Information), and findings from previous studies (27-29), we identify a high monthly OLR
132 ($>270\text{Wm}^{-2}$) with low clouds; a moderate OLR ($270 - 220\text{Wm}^{-2}$) with middle clouds, and a
133 low OLR ($<220\text{Wm}^{-2}$) with high clouds associated with deep convection. We have
134 computed the MMM climatological probability distribution functions (pdf) of OLR and
135 their changes due to global warming. The climatological OLR pdf (Fig. 2a, b) indicates a
136 weak bimodal distribution of convection in the deep tropics, with an abundance of low to

137 middle clouds, as well as high clouds associated with deep convection ($OLR < 220 \text{ Wm}^{-2}$).
138 Near the equator (Fig. 2a), the anomalous OLR profile indicates a shift toward deeper
139 convection, as evident in the pronounced increase in the frequency of lower OLR (colder
140 cloud top) and decrease in higher OLR (warmer cloud top) by 5-15%. At 10°S - 10°N
141 (Fig.2b), similar shift toward deeper convection can be seen, though the signal is weaker (<
142 10%) compared to near the equator, due to suppression of deep convection by the
143 anomalous subsidence near 10°S and N (See Fig. 1). In conjunction with deepening clouds,
144 the anomalous ascent near the equator (Fig. 2c) is enhanced at all levels, most pronounced
145 (up to ~30-40% increase) at upper levels, signaling an upward shift of maximum ascent
146 from the lower to mid- troposphere (700-400 hPa) to the upper troposphere (300-150 hPa).
147 Averaged over 10°S - 10°N (Fig. 2d), the enhanced ascent in the upper troposphere remains
148 strong (~ 30%), but the anomalous vertical motion below 300 hPa is slightly negative due to
149 strong anomalous sinking motions found near 10°S and 10°N , associated with the DTS.

150 **Meridional outflow and relative humidity**

151 The DTS is closely linked to changes in meridional winds of the HC (Fig. 3a). Here, the
152 most prominent feature is a vertical dipole wind anomaly in the tropics, with opposite signs
153 in each hemisphere, *i.e.*, a quadruple pattern, with enhanced outflow away from the equator
154 in the 200-100 hPa layer, and increased inflow between 400-200hPa, toward the equator.
155 Comparing to the control, this indicates a rise in the maximum outflow region in the upper
156 branch of the HC from its climatological maximum level near 200 hPa to 150 hPa. Note
157 that at 200hPa, the anomaly is near zero. A conventional measure of the strength of the HC
158 based on mass outflow at 200hPa (9) would have yielded no significant change in the HC.
159 An examination of the anomalous meridional wind profiles for each model (Fig. S3)

160 indicates that the rise of the maximum outflow region of the HC under global warming is
 161 very robust, with all 33 models showing the characteristic quadruple pattern, albeit with
 162 varying magnitudes. Time-height cross-sections of the MMM meridional wind profile at
 163 10° S and 10° N (Fig. S4) shows clearly a steady rise of the region of maximum outflow as
 164 the atmospheric CO₂ loading increases. The meridional outflow mass flux at the upper
 165 troposphere (200-100hPa) out of the 10°S-10°N zone is estimated to be intensifying at a rate
 166 of $+9.8 \pm 0.7$ [21] % K⁻¹, consistent with an enhancement of the upward motions in the
 167 ascending branch of the HC. The effect of the rise in the region of maximum outflow is also
 168 evident in the meridional mass streamfunction and zonal winds profile (Fig. S5), reflecting a
 169 rise of the center of mass of the entire HC, a poleward expansion of the subtropical
 170 subsidence zone (Fig S5a), in conjunction with an upward shift of the westerly zonal wind
 171 maxima in the subtropics and midlatitudes (Fig. S5b). The rise in the region of maximum
 172 outflow of the HC is also consistent with the increase in tropopause height in the tropics
 173 under global warming reported in past studies (30-31). Note that even though the strongest
 174 meridional divergent wind is in the upper troposphere, the strongest moisture convergence is
 175 confined to the lower and mid-troposphere (Fig. S6), where most of the atmospheric
 176 moisture is concentrated.

177 The roles of atmospheric moist processes and surface evaporation in contributing to the
 178 changes in precipitation anomalies are evaluated from the following moisture budget
 179 analysis:

$$\langle \bar{P} \rangle = \langle \bar{E} \rangle + \text{ADV} + \text{CONV} + \text{TRS} \quad \text{Eq (1)}$$

181 where $\langle \rangle$ denote vertical average, the $\overline{(\)}$ denotes monthly mean, and $(\)'$ deviation from
 182 the mean; \overline{P} and \overline{E} are monthly mean precipitation and surface evaporation, and $ADV =$
 183 $-\langle \overline{V} \cdot \nabla \overline{q} \rangle$, $CONV = -\langle \overline{q} \nabla \cdot \overline{V} \rangle$, and $TRS = -\langle \overline{V'} \cdot \nabla q' \rangle - \langle \overline{q'} \nabla \cdot V' \rangle$
 184 represents respectively the contribution from moisture advection, dynamic convergence, and
 185 transients on shorter time scales. Here, the transients are computed as the residual from Eq
 186 (1). Each term in Eq (1) has been computed for the control and for the anomaly. In the
 187 control (Fig.3b), clearly surface evaporation in the tropics and subtropics contributes to a large
 188 portion of the moisture available for precipitation. However the structure of the precipitation
 189 profile in the tropics and subtropics are dominated by CONV, and to a smaller extent by ADV.
 190 The effect of TRS appears to be largely in transporting available precipitable water from the
 191 subtropics to higher latitudes. Under global warming (Fig 3c), anomalous evaporation
 192 contributes ~10-15% of the increased precipitation in the deep tropics, but remains relative
 193 constant in latitude, except falling off sharply in the southern hemisphere extratropics.
 194 Precipitation anomaly in the deep tropics associated with DTS is dominated by CONV.
 195 Between 10°-30° latitudes, both CONV and ADV contribute substantially to the precipitation
 196 deficit. The contribution from TRS is relatively small in the tropics, but large outside the
 197 tropics (>30° latitudes), and dominant at higher latitudes (>50° latitudes). In the northern
 198 hemisphere extratropics, precipitation anomalies are contributed almost equally by
 199 evaporation and TRS, with decreasing contributions from ADV and CONV at higher latitudes.
 200 In the southern hemisphere extratropics, TRS contributes to large fraction (> 50%) of the
 201 precipitation changes. The TRS has been identified with increased eddy heat and momentum
 202 fluxes associated a poleward shift of the storm tracks (21, 32-33[3]). A more detailed

203 discussion of regional contributions by the various processes in Eq. (1) can be found in
204 Supplementary Information (Fig. S7)

205 The aforementioned changes in HC, and related changes in moisture balance have strong
206 influence on the relative humidity (RH) of the troposphere. The zonally averaged RH
207 anomalous pattern (Fig. 3d) shows a 5-10% reduction, *i.e.*, increased relative dryness,
208 throughout most of the troposphere, except in the lower and mid-troposphere of the deep
209 tropics, and in the lower troposphere of the extratropics and the polar region. This pattern of
210 RH anomaly has been reported in previous studies in the context of cloud radiation feedback
211 and vertical mixing under global warming (15, 34). In this work, we emphasize the physical
212 connection of the RH pattern to changes in the HC. The anomalous RH pattern stems from
213 the different rates of response of moisture convergence and temperature as a function of
214 height and latitude. As a result of CO₂ induced warming, both tropospheric temperature and
215 moisture increase everywhere (Fig. S8). In the deep tropics, below 400hPa, RH is
216 enhanced because of strong CONV (Fig. 3c). However, in the layer from 400-150 hPa, RH
217 is reduced. This is due to faster warming rate in the upper troposphere compare to the lower
218 troposphere, as a result of the moist adiabatic constraint. (See Fig. S6a). Here, high RH air
219 transported from below by CONV, encounters regions of warmer temperature in the upper
220 troposphere, resulting in a deficit of RH. Near 10° S and 10° N, the upper troposphere RH
221 deficit is strongly enhanced by increased subsidence associated with negative CONV and
222 ADV (see Fig. 3c), as evident in the two RH minima in the upper troposphere which
223 coincide with the regions of maximum anomalous downward motion at 10° S and N (See
224 discussion for Fig. 1). In the subtropical mid-to-lower troposphere, the widening of the
225 subsidence zone associated with the DTS brings more dry air from above, increasing the RH

226 deficit. This is reflected in the expanding region of reduced RH from the mid troposphere
227 to the surface in the poleward flank of the climatological dry zones (regions with $RH < 40$),
228 where the RH deficit is at a maximum. The increased RH near the tropopause and lower
229 stratospheric is associated with the cooling of the lower stratosphere from increased
230 longwave radiative loss to space under global warming (35-36). Even a small increase in
231 moisture due to enhanced vertical transport will result in large increase in RH in these
232 regions.

233 The association of DTS with the RH changes in the mid and lower troposphere is further
234 examined by regression analysis. The regression map of the 200-150hPa mass outflow at
235 $10^{\circ}S$ and $10^{\circ}N$ with the 500 hPa RH field (Fig. 4a) shows a quasi-zonally symmetry pattern,
236 indicating positive mass outflow of the HC is associated with increased RH in a narrow
237 swath in the deep tropics along the equator, with the most pronounced signal over the near
238 equatorial regions of the central and eastern Pacific, the Indian Ocean and the Atlantic.
239 Elsewhere globally, RH is mostly reduced, with strong signals found at the poleward flank
240 of the climatology subtropical dry zones ($RH < 40$ in Fig. 4a). The RH deficit is especially
241 pronounced over the southern hemisphere appearing as continuous belt around 30° - $60^{\circ}S$.
242 Significant RH reduction is also found over the western Indian Ocean/eastern Maritime
243 continent in connection with increased subsidence associated with a weakened
244 climatological Walker circulation (See also Fig. 4c). At 850hPa (Fig. 4b), the RH
245 regression pattern displays more regional characteristics. Over the longitude sector ($160W$
246 $- 0W$), the “squeeze” by the RH deficit zones in the subtropics of both hemispheres toward
247 the strongly increased RH narrow regions of the equatorial central and eastern Pacific and
248 the equatorial Atlantic is very pronounced. The 850 hPa RH deficit pattern corresponds

249 well with regions of large fractional rainfall reduction and enhanced subsidence in the
250 expanded descending branch of the HC (Fig. 4c). Fig. 4c also shows that the DTS is not
251 apparent in the rainfall pattern over the tropical western Pacific and Indian Ocean, where
252 widespread anomalous subsidence dominates, reflecting a weakening of the Walker
253 Circulation under global warming (4-5). The RH 500 hPa and 850 hPa anomaly patterns
254 between TCO₂ and control have also been computed, and are found to be very similar to Fig.
255 4a and b. At the action centers in the polar flank of the subtropical descending zones, the
256 maximum RH deficits are approximately 8-10% [4](See Fig. S9)

257 **DTS and global dryness**

258 To further explore the relationship of HC changes and increased global dryness, we
259 define an extreme dry month at any grid point as a month where the monthly rainfall is less
260 than 0.1 mm/day, and compute the global dryness index (GDI) as the frequency of the
261 occurrence of dry months at every grid point within 60°S-60°N, for all simulated years. The
262 0-0.1 mm/day range corresponds well with the driest bin in the monthly rainfall pdf of the
263 CMIP-5 models (17). The results shown here are not sensitive to a reasonable range of
264 threshold values used. As shown in Fig. 5a, the climatological GDI pattern matches well
265 with regions of low RH in the climatological 850hPa RH field (Fig. 4b), which can be
266 identified with major regions of deserts, and arid zones around the world. The dominant
267 pattern of anomalous GDI is obtained using Empirical orthogonal function (EOF)
268 decomposition. The principal component of the first EOF which explains a large fraction
269 of the variance (>48%), shows a steady increase in GDI (Fig. 5b) as the CO₂ burden in the
270 atmosphere increases. [5]Region of negative GDI in the tropics appears as a narrow tongue
271 in the equatorial Pacific, coinciding well with regions of RH surplus, and maximum rainfall

272 increase (Fig. 4). Regions of increased GDI are concentrated in preferred geographic
273 locations, *i.e.*, the polar flank of the climatological subtropics of Southern Europe and
274 western Asia, South Africa, Australia, and southern Chile; marginal convective zones over
275 the tropical land regions of southwestern North America, central and northern South
276 America and northeastern Brazil. The concentration of pronounced GDI over land regions
277 are likely related to positive feedback from atmosphere-land interactions, arising from large
278 scale dynamical forcing associated with changes in the HC (37-38). The strong east-west
279 asymmetry in the GDI is likely related to changes in rainfall, wind and moist stability in the
280 tropics associated with a weakened Walker Circulation and an altered land-sea thermal
281 contrasts between the western and eastern hemisphere (38). These aspects of research are
282 outside the scope of this paper, and are subjects of ongoing investigations.

283 As a summary analysis, the temporal changes of aforementioned key circulation
284 parameters related to DTS, and global dryness expressed in percentage change relative to
285 the control as a function of CO₂ loading are shown in Fig. 5c. Relevant statistics of each
286 parameter, including climate sensitivity, R² values with DTS outflow are shown in Table 1.
287 All changes appear to be quasi-linear with respect to the CO₂ increase, with high linear
288 regression R² value in the range from 0.87-0.99, except for precipitation which has R² =0.55.
289 The responses seem to fall into three groups. First is the rapid response group consisting of
290 the 150-200 hPa meridional mass outflow, and the 250 hPa vertical motion in the ascending
291 branch of the HC in 5° S-5°N, which increases at a rate of 13.2 % ±1.34 K⁻¹, and 9.9 %
292 ±1.31 K⁻¹ respectively with respect to increase in global mean surface temperature. Second
293 is the slower response group with positive trend, including width of the subsidence region
294 (2.3±0.3% K⁻¹), precipitation (3.6 ± 0.3% K⁻¹) and increased high clouds as indicated by

295 frequency of $OLR < 220 \text{ W m}^{-2}$ ($2.4 \pm 0.26\% \text{ K}^{-1}$) in the deep tropics, and the GDI
296 ($3.6 \pm 0.45\% \text{ K}^{-1}$). Third is the slower response group with negative trends, showing
297 decreasing mid-tropospheric RH in the subtropics ($-3.1\% \pm 0.17 \text{ K}^{-1}$), and an apparent
298 overall weakening ($-2.4\% \pm 0.26 \text{ K}^{-1}$) of the HC according to the conventional measure, *i.e.*,
299 the maximum value of the meridional mass streamfunction in the subtropics (6). The
300 fractional variance of the aforementioned variables explained by DTS mass outflow in the
301 upper troposphere as shown by the R^2 values in Table 1 are uniformly high in the range 0.83
302 – 0.98, indicating strong coherence with the HC outflow, except for precipitation which has
303 $R^2=0.52$, indicating much less coherence.

304 Fig. 5c offers additional information regarding the detectability of global warming
305 signals in HC and water cycle. To estimate detectability, we first construct the 27-year
306 running mean (not show) of all the variables examined so far. The global warming signal is
307 then obtained as the difference of the 27-year running mean with respect to the mean of the
308 first 27 years of the integration, for each quantity we have so far examined. The noise is
309 computed based on the inter-model variability from the MMM. We define the detectability
310 level (DL) as the level of CO_2 in the atmosphere (in percentage) with respect to the control
311 (pre-industrial), at which the signal first becomes statistically significant at the 99%
312 statistical confidence based on a Student's t-test. The DL is meaningful only because of the
313 quasi-linear nature of the responses. Based on the experimental design of 1% per year
314 increase of CO_2 , a lower DL represents a more robust signal (higher signal-to-noise ratio)
315 detectable earlier at weaker CO_2 forcing compared to a higher DL. From Fig. 5c and Table
316 1, in order of increasing DL, the lowest (most detectable) is at 1.18 times of pre-industrial
317 CO_2 , for subtropical 500 RH deficit. The next lower DL group in the range of 1.23-1.27

318 consists of GDI, the upper tropospheric outflow and cloudiness change (OLR) in the deep
319 tropics. This is followed by the next higher DL group at 1.28-1.30 associated with the
320 overall weakening of the HC, enhanced ascent in the rising branch of the HC, and the
321 widening of the subsidence zone. The highest DL (least detectable signal) is found at 1.56
322 for precipitation in the deep tropics. This is not surprising, since tropical precipitation has
323 the least coherent variability with the DTS signal (lowest R^2 value) and is likely the most
324 difficult to detect due to its inherent noisy nature. Noting that the current climate is at about
325 1.40 times of pre-industrial CO_2 loading, the DL's estimated here seem to be in broad
326 agreement with numerous published reports of observations of strong signals of mid-
327 tropospheric RH deficit, upper tropospheric moistening, widening of the subtropics and
328 expansion of global dry lands over subtropical land (11-13, 37-40_[6]). Nonetheless, it is
329 important to point out that the DL cannot be equated with actual detectability, because of the
330 presence of strong interannual to multi-decadal scale natural variability in the real world.
331 The DL computed here is for MMM, where the natural variability has been minimized.
332 Additionally, estimating detectability from observations has its own practical limitations
333 from lack of long-term reliable data. Hence, actual detectability of global warming signal in
334 the HC and water cycle is likely to be at higher CO_2 level than estimated here. At best, DL
335 can only provide *relative* detectability of the different parameters examined in this paper.

336

337 **Concluding remarks**

338 In this work, we report new findings regarding robust responses of the HC and their
339 physical linkages to global dryness. Based on analyses of outputs of 33 CMIP5 coupled
340 models, we find both strengthening and weakening signals in the HC responses to a

341 prescribed 1% per year increase in CO₂ emission. The strengthening is associated with a
342 deep-tropics-squeeze (DTS), manifested in the near equatorial regions in the form of a
343 deepening and narrowing of the convective zone, enhanced ascent, increased high clouds,
344 suppressed low clouds, and increased meridional mass outflow ($13.2\% \pm 1.34K^{-1}$) in the
345 upper troposphere (200-150 hPa), away from the deep tropics. The DTS is coupled to an
346 upward shift of the region of maximum outflow of the HC, a widening of the subtropical
347 subsidence zone, and weakened return inflow of the HC in the lower troposphere. These
348 changes in the large-scale circulation are closely linked to an overall deficit in relative
349 humidity in the upper troposphere of the tropics, and in the middle and lower troposphere of
350 the subtropics, and likely to cloud radiative feedback processes (16, 34). Increasing
351 tropospheric and surface dryness is found at the poleward flank of the climatological dry
352 zones of Africa-Eurasia, and over subtropical land of southwest North America and Mexico
353 and northeastern Brazil. Our results further show that among the various atmospheric water
354 cycle quantities associated with changes in the HC, global warming signal in tropospheric
355 dryness is most likely to be among the first to be detected, manifesting in increased risks of
356 drought in subtropical and tropical land regions.

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361

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468

469 **Figure Legends**

470 Figure 1 Latitudinal profile of MMM (a) rainfall, and (b) 500 hPa vertical motion.

471 Climatology is indicated by red line and anomaly by black line. Open circles indicate
472 where more than 75% (25/33) models agree in the sign of the anomalies. Latitude-

473 height profile of MMM 500 hPa vertical motion for (c) climatology and (d) anomaly.

474 The width of the subsidence zones are indicated by the vertical blue lines. Grid points
475 where more than 25 models agree in the sign of the anomaly are indicated by green

476 dots. Rainfall is in unit of mm day^{-1} , and vertical motion is in unit of negative Pa s^{-1} .

477 Different unit scales are used for climatology and anomalies.

478 Figure 2 MMM outgoing longwave radiation (OLR) probability distribution function as a

479 function of OLR flux (in Wm^{-2} on y-axis) averaged over (a) $5^{\circ}\text{S}-5^{\circ}\text{N}$, and (b) $10^{\circ}\text{S}-$

480 10°N . Vertical profile of mean vertical motion averaged over (c) $5^{\circ}\text{S}-5^{\circ}\text{N}$, and (d)

481 $10^{\circ}\text{S}-10^{\circ}\text{N}$. Climatology is indicated by green line and anomaly by blue line. The

482 model spread is shown as yellow shading. The magnitudes of the anomalies have been

483 doubled to enhance clarity. Vertical motion is in unit of negative Pa s^{-1} . OLR pdf is

484 non-dimensional.

485 Figure 3. Latitude-height cross-section of a) anomalous meridional zonal mean winds (ms^{-1})

486 and d) anomalous humidity (%). The climatological mean is shown in contour, and

487 anomaly in color. Latitudinal profiles of components of moisture budget for b) the

488 control and c) the anomaly. See text for explanation of symbols. Units in mm day^{-1} .

489 Figure 4. Spatial pattern of regression of meridional mass flux in the upper troposphere

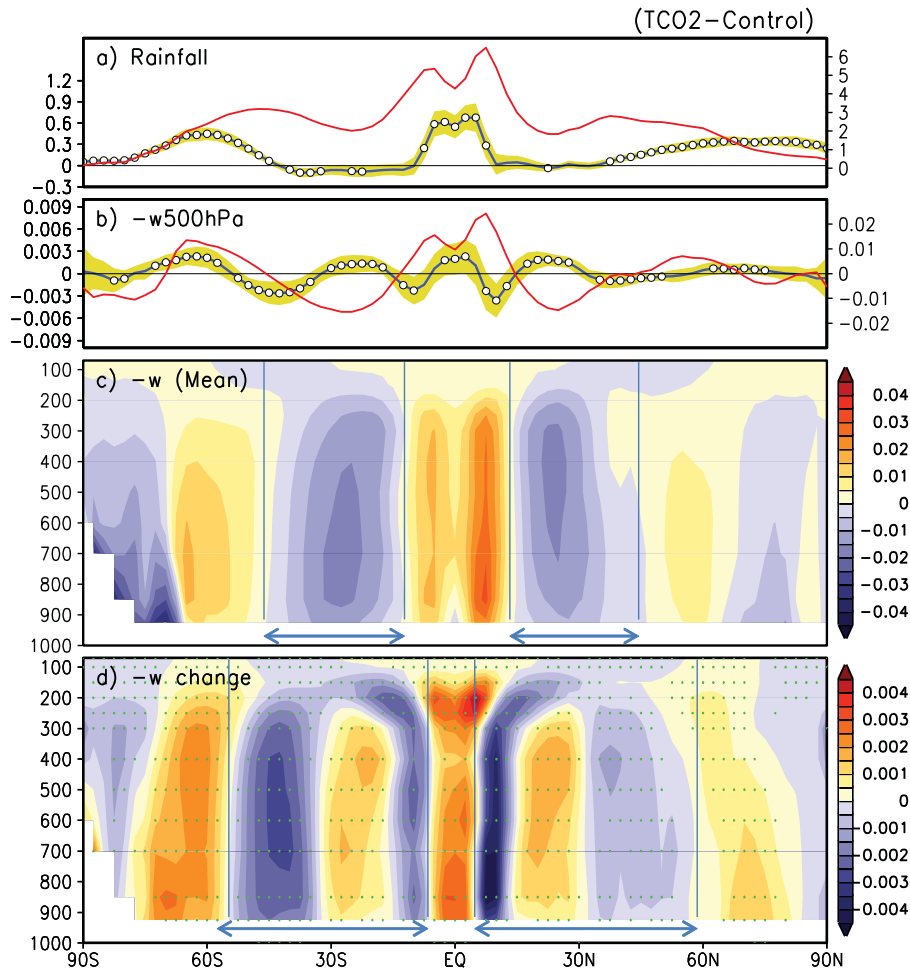
490 (200-150 hPa) at $10^{\circ}\text{S}-10^{\circ}\text{N}$ with 500hPa RH anomaly (a), and 850 RH anomaly (b).

491 Climatological dry zones ($RH < 40$ for 500hPa, and $RH < 50$ for 850 hPa) are indicated
492 by orange contours. Also shown are anomaly rainfall pattern (c), with regions of
493 anomalous downward motion stippled. Unit of unit of regression is in percentage
494 change per kg m^{-1} . Unit of rainfall is in percentage.

495 Figure 5 a) Spatial distribution of eigenfunction of first empirical orthogonal mode of global
496 drought index (GDI), b) principal component of first EOF of GDI, and c) time series
497 of HC circulation and related quantities. See text for detailed definition. Magnitudes
498 are scaled to the mean value in the control (first 27 year of integration), and time is
499 scaled to total CO_2 emission relative to the first year of the integration, with 1% per
500 year increase.

501

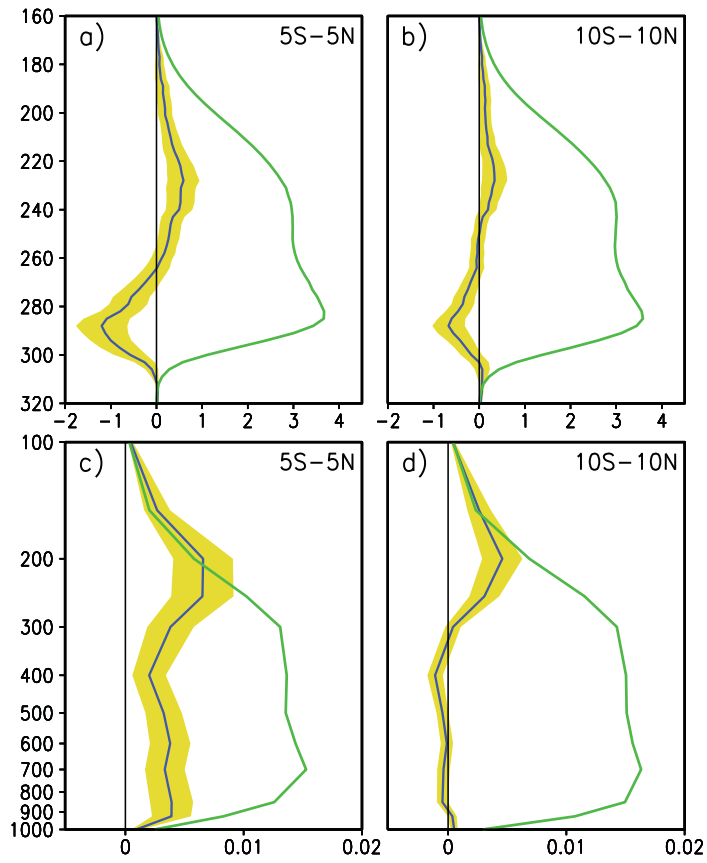
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504 Figure 1

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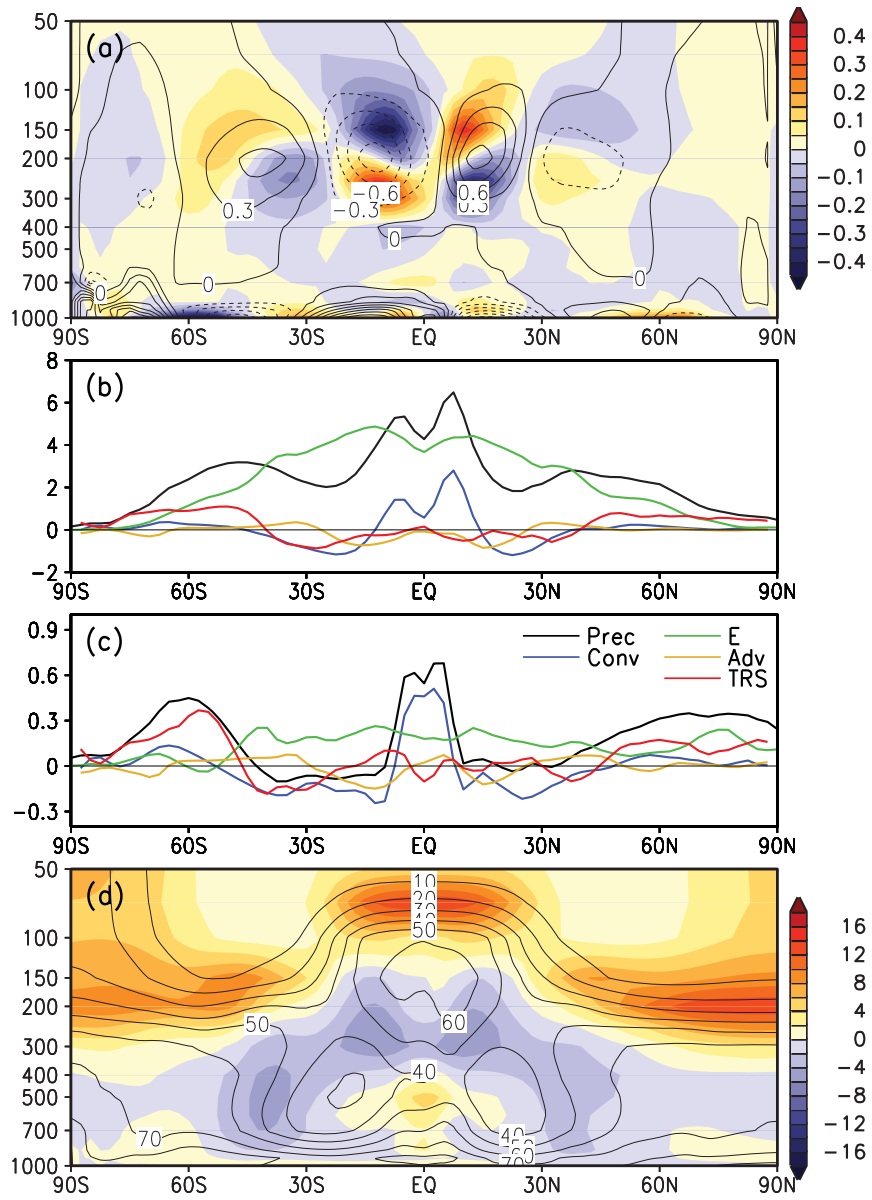


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507 Figure 2

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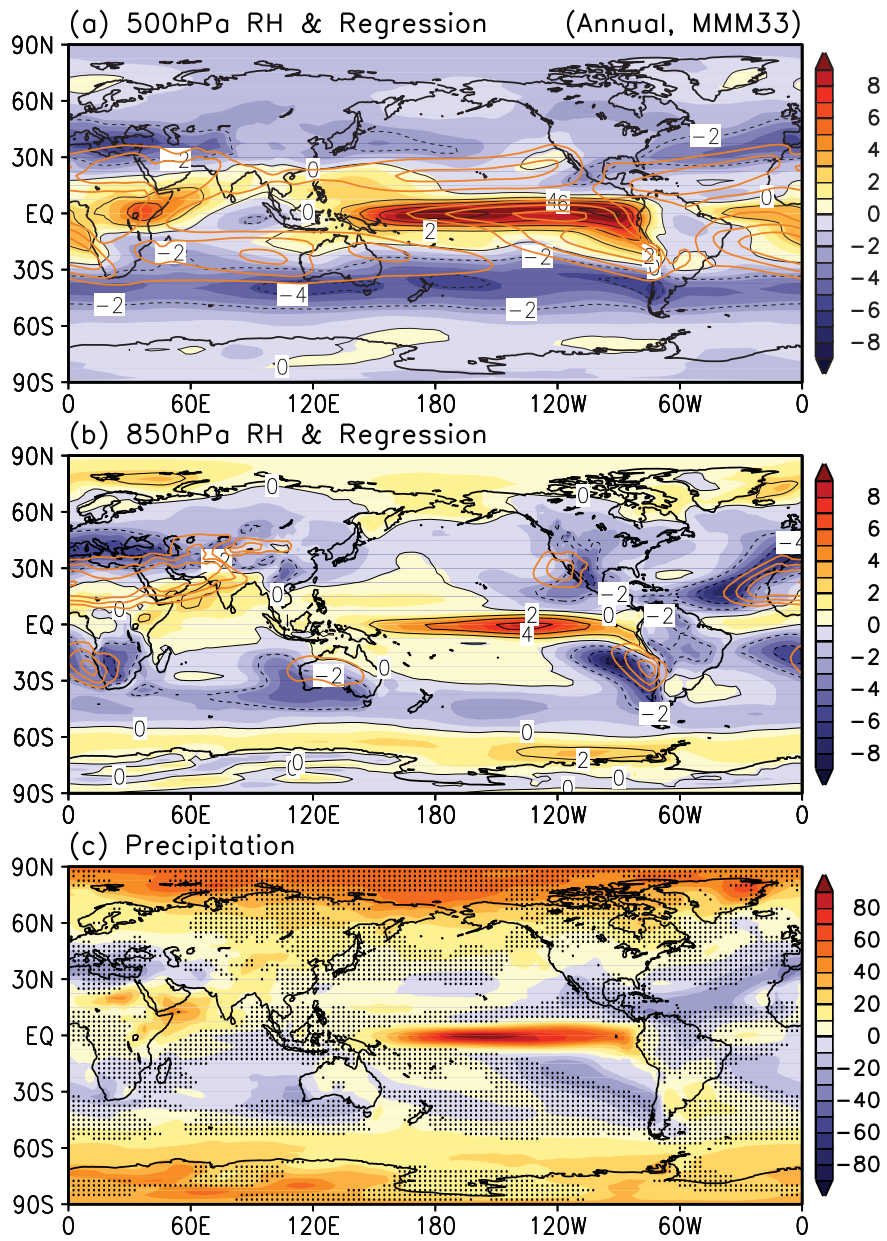
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511 Figure 3

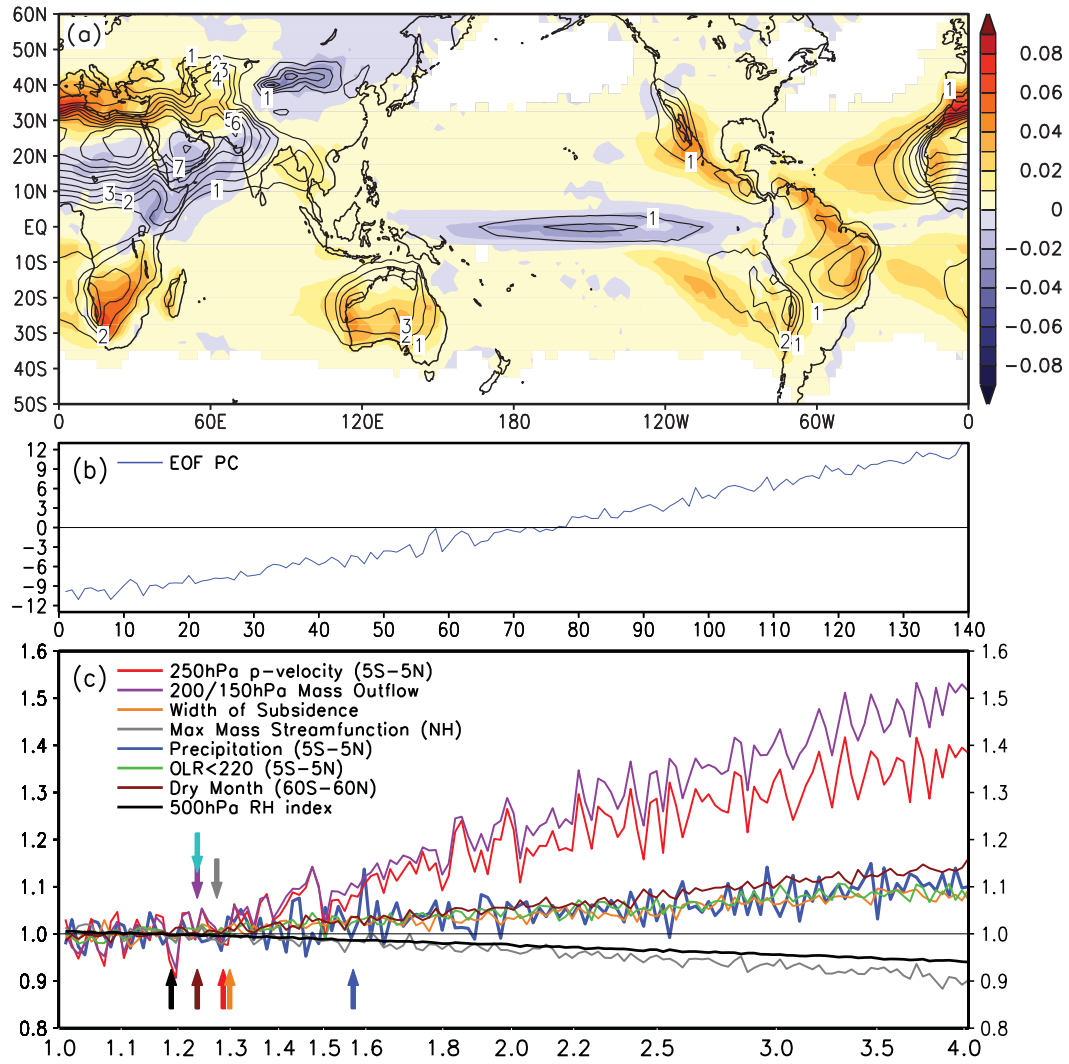
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515 Figure 4

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518 Figure 5

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520

521 Table 1

X	HC outflow	250hPa p-vel	OLR	Precip	Width of Subtropics	GDI	Max. ψ	500hPa RH
$\frac{\Delta X}{X}$	13.2±1.34	9.9±1.36	2.4±0.82	3.6±0.30	2.3±0.30	3.6±0.45	-2.4±0.26	-3.1±0.17
R2	1.00	0.98	0.91	0.52	0.83	0.92	0.85	0.95
DL	1.23	1.28	1.23	1.56	1.30	1.23	1.27	1.18

522

523

1 **Robust responses of the Hadley Circulation and increased global dryness due**
2 **to CO₂ warming from CMIP5 projections**

3 **by**

4 **William K. M. Lau and K. M. Kim**

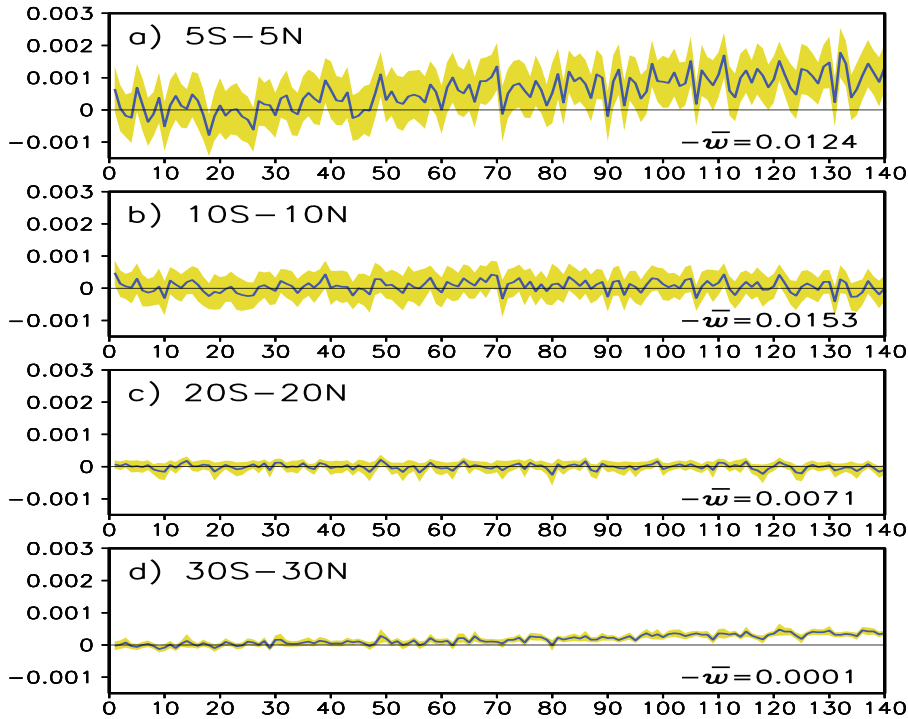
5 **Supporting Information**

6 **Data and Methods**

7 CMIP5 is the latest model intercomparison project promoted by the World Climate Research
8 Program's Working Group on Coupled Modeling (WCRP WGCM) to provide a framework for
9 coordinated climate change experiments. The scope of CMIP5 include long-term simulations
10 with different of concentration pathways of emission mitigation scenarios, near-term decadal
11 simulations, as well as emission driven Earth System Model (ESM) experiments (1, 2). The 1%
12 per year CO₂ emission increase scenario used for this study applies to a suite of experiments
13 designed to provide a calibration of the model's internal climate variability and response to
14 increasing CO₂ (2). Experiments were started from the pre-industrial levels of CO₂
15 concentration achieving a quadrupling of CO₂ at the end of 140-year simulation. For this work,
16 we used 33 participating models with various horizontal resolutions, ranging from 0.75 degree to
17 3.75 degree. Monthly mean winds, vertical motion, and precipitation data are re-gridded to a
18 common grid (2.5° by 2.5°). CMIP5 model outputs are available from ESGF (Earth System Grid
19 Federation) gateways (PCMDI, BADC, DKRZ, NCI), and links to ESGF gateways and modeling
20 centers are available from <http://cmip-pcmdi.llnl.gov/cmip5/availability.html>

21

22 **S1. Vertical motions**



23

24 Figure S1 Time series of 140 simulated years of MMM 500 hPa vertical motion averaged between a)
 25 5°S-5°N, b) 10°S-10°N, c) 20°S-20°N, d) 30°S-30°N, under 1% per year increase CO₂ emission
 26 scenario. The MMM is computed from 33 CMIP5 models and the model spread (yellow
 27 shading) is the standard errors of the MMM. Unit is negative Pa s⁻¹. The number in the
 28 lower right hand corner indicates the MMM vertical velocity in the control.

29

30 Changes in the rising branch of the HC, as reflected by the 500hPa pressure velocity
 31 averaged over different latitudinal width are shown in Fig. S1. In the near-equatorial regions
 32 (5°S-5°N), there is a robust increasing trend in upward motion, as indicated by the near constant
 33 positive slope ($\sim 5.2 \pm 1.0 \% \text{ K}^{-1}$) and the small spread among the models. At wider latitude bands
 34 (10°S-10°N and 20°S-20°N), the changes in vertical motions are substantially muted. When the
 35 zonal averages are taken over the entire tropics (30°S-30°N), the vertical motions again show a
 36 robust rise, but with much smaller amplitude compared to 5°S-5°N. Based on the signs of the
 37 control and the trends, these results indicate that global warming enhances mean rising motion

38 over the entire tropics (30°S-30°N), with the strongest signal coming from the near equatorial
39 region (5°S-5°N).

40 S2. Monthly outgoing longwave radiation (OLR) and daily cloud top temperature

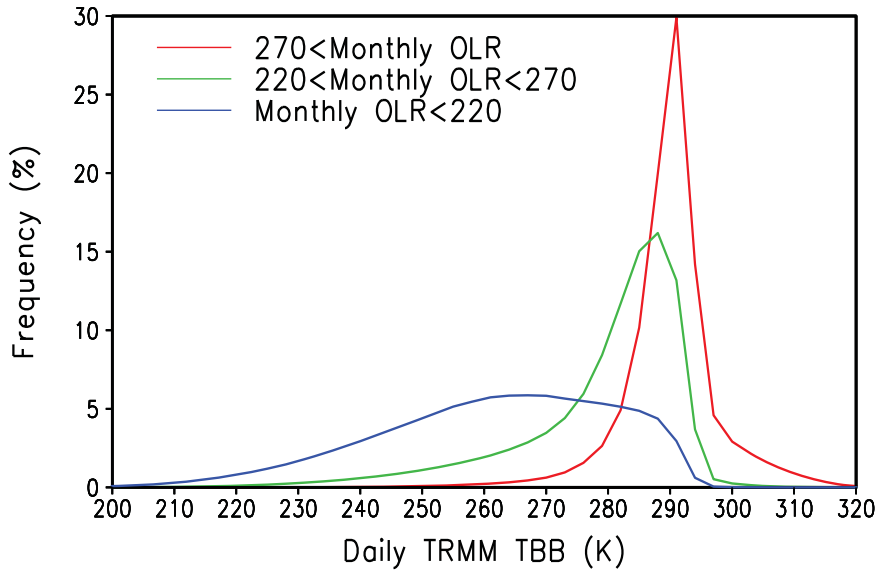


Figure S2 Probability distribution functions of daily T_b for three different monthly OLR bands over the tropics (30S -30N) for the period 1998 – 2012

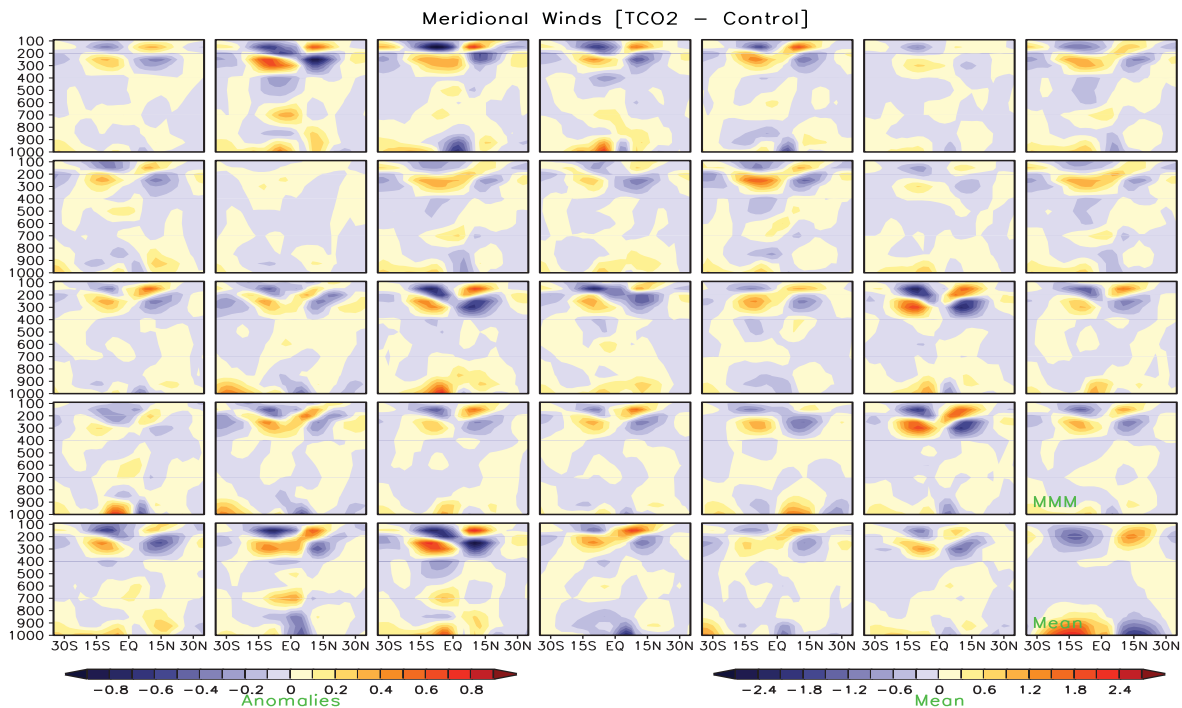
41

42 Monthly outgoing long wave radiation (hereafter OLR) is used as a proxy for tropical
43 convection in this study. To better interpret the physical meaning of OLR with respect to
44 tropical convection, we have investigated the relationship between observed OLR from NOAA
45 AVHRR and daily Visible and Infrared Scanner (VIRS) Channel-4 brightness temperature T_b
46 from TRMM. Figure S2 shows the PDFs of daily T_b corresponding to different bands of OLR.
47 *i.e.*, $OLR < 220 \text{ Wm}^{-2}$ (Band 1), $220 \text{ Wm}^{-2} < OLR < 270 \text{ Wm}^{-2}$ (Band 2), and $OLR > 270 \text{ Wm}^{-2}$
48 (Band 3) used in the main text to describe the physical nature of the cloud system. Here, daily
49 values of $T_b = 273 \text{ K}$ will be identified as the mean freezing level of the standard tropical
50 atmosphere. The PDFs indicate that the three OLR bands are contributed by distinctly different

51 cloud systems as evident in the wide range of T_b distributions with respect to the freezing level.
 52 Based on the fraction (α) of the daily population with $T_b < 273\text{K}$, Band 1 ($\alpha = 71\%$), Band 2($\alpha =$
 53 25%), and Band 3 ($\alpha = 3\%$) can be interpreted respectively as contributions from mostly of ice-
 54 phase deep clouds, mixed-phase middle clouds, and warm shallow clouds

55

56 **S3. Anomalous meridional wind height-latitude cross-sections of individual models**



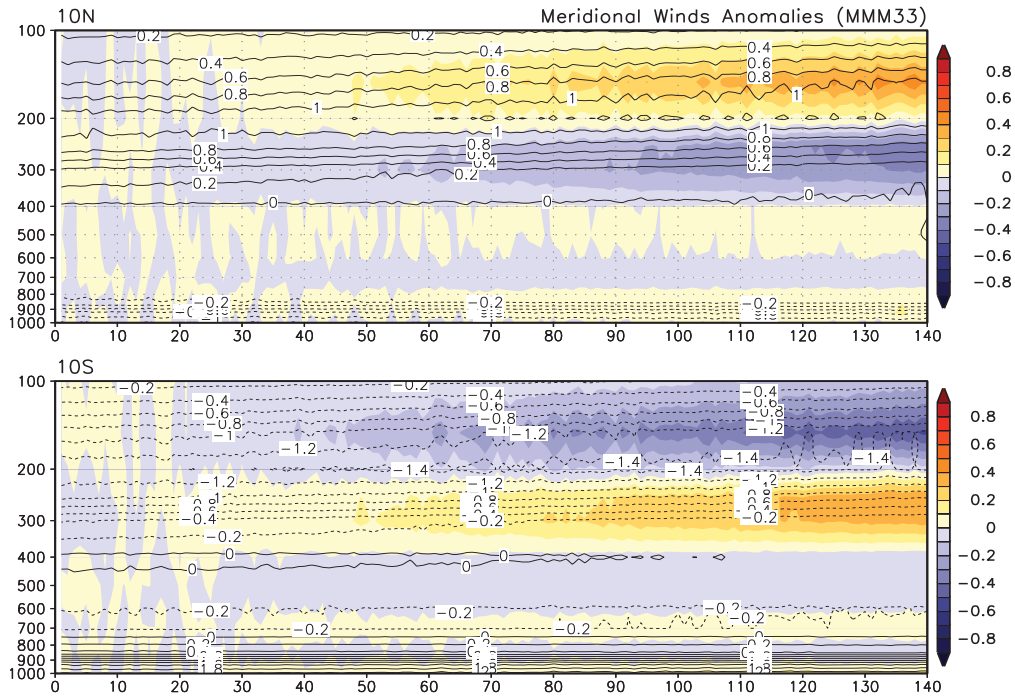
57
 58 Fig. S3 Latitude-height cross-sections of anomalous meridional winds in the tropics for each of 33 CMIP5
 59 models. The MMM anomaly and control is shown respectively in the bottom two panels of the last column.
 60 Unit is in ms^{-1} .

61

62 Fig.S3 shows the robustness of the response in the meridional wind as indicated by almost
 63 all models showing qualitatively the same response, *i.e.*, a characteristic quadruple pattern in
 64 the upper troposphere (<300hPa), signaling a rise of the region of maximum outflow of the
 65 HC, and a somewhat weakened return flow in the lower troposphere (>800hPa) and near the
 66 surface toward the equator.

67

68 S4. Time variation of meridional wind profile



69

70 Fig. S4 Time-height cross-section of meridional winds at 10°N (upper panel) and 10°S (lower panel). Units in ms^{-1} .

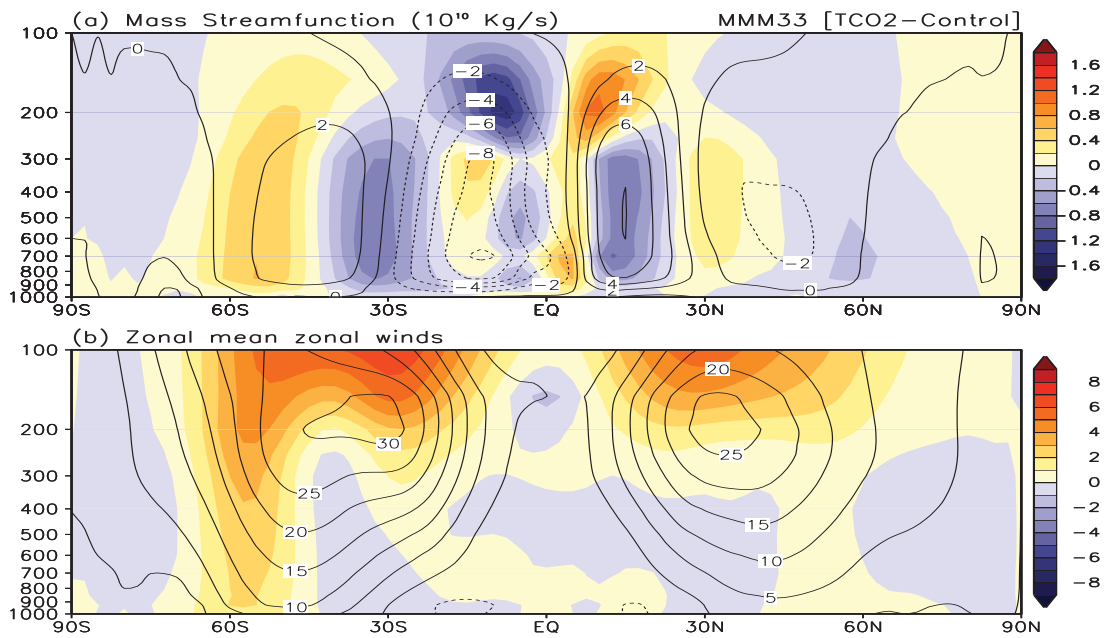
71

72 The time evolution of the meridional wind anomaly at 10° N and S respectively (Fig. S4)
73 shows an increasingly stronger (weaker) outflow above (below) 200 hPa, in both hemispheres, as
74 the CO_2 concentration increases. The near constant positive slopes of the total wind isotachs
75 above 200 hPa reflect a steady rise ($\sim 3.5 \text{ hPa decade}^{-1}$) of the region of maximum outflow of the
76 HC. Computations of the meridional mass flux, *i.e.*, mass weighted meridional wind at different
77 cross-sections show that the mass outflow at the upper portion (200-100hPa) out of the 10°S-
78 10°N zone is intensifying at a fast rate of $+9.8 \pm 0.7 \% \text{ K}^{-1}$. The rate of increase is even faster at
79 $+17.0 \pm 1.7 \% \text{ K}^{-1}$, out of the 5°S-5°N zone which corresponds to the core ascending branch of the
80 HC. The increased meridional mass flux is compensated by strong inflow in the lower portion

81 (400-200 hPa) of the climatological outflow region. Even with the strong compensation, the net
 82 anomalous mass flux over the climatological outflow region (400-100hPa) out of the 5°S-5°N
 83 zone is still increasing, albeit at a much reduced net rate of $1.9 \pm 0.8\% \text{ K}^{-1}$.

84

85 **S5. Meridional mass streamfunction and zonal wind**



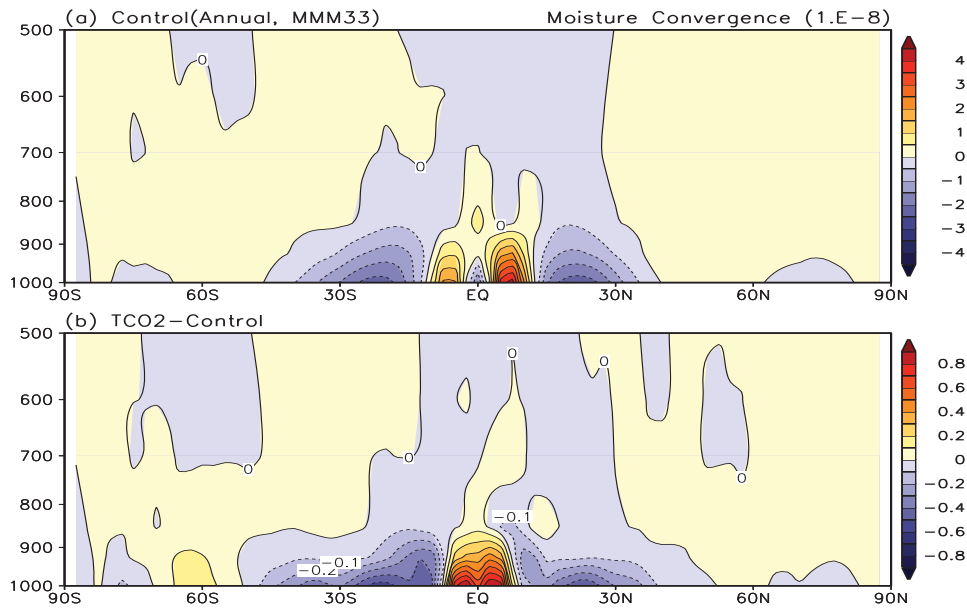
86

87 Figure S5 MMM climatology (contour) and anomalies (colored) for a) meridional mass
 88 streamfunction, and b) zonal mean winds. Units of mass streamfunction is in $10^{10} \text{ Kg s}^{-1}$,
 89 and zonal wind in ms^{-1} .
 90

91 Changes in the HC associated with the DTS and their connection to the global circulations
 92 can also be clearly seen in the anomalous meridional mass streamfunction and zonal winds (Fig.
 93 S5). From the signs and locations of the anomalies compared to the control (Fig. S5a), it is
 94 clear that the upper branches (above 250 hPa) of the HC in the deep tropics is strengthened,
 95 while the lower portion (1000-300 hPa) is weakened, consistent with an elevation of the

96 climatological region of maximum outflow, *i.e.*, a rise of the center of mass of the HC. The rise
97 together with enhanced upper tropospheric vertical motion associated with DTS in the ascending
98 branch of the HC allow stronger poleward outflow in the upper troposphere, thus extending the
99 subsidence branches of the HC in both hemispheres further poleward from their climatological
100 positions. A similar polar extension of the Ferrel cells in both hemispheres, though with much
101 smaller amplitude, can also be discerned. The rise of the center of maximum outflow in the
102 upper branch of the HC is also reflected in changes in the structure of the zonal wind anomaly
103 (Fig. S5b). The most pronounced zonal wind acceleration is found near 100 hPa, above the
104 climatological center at 150- 200 hPa in both hemispheres. The subtropical westerly
105 acceleration in both hemispheres is likely to be driven by the deeper convection, and the Coriolis
106 force from the stronger outflow in the upper troposphere associated with the meridional wind
107 anomalies noted in Fig. 3a in the main text, and Fig.S3. Previous studies have suggested that the
108 extratropical maximum may be related to enhanced baroclinicity due to increased temperature
109 gradient at the upper troposphere, and polar shift of the wintertime storm tracks (4,5).

110 **S6. Latitude-height cross sections of moisture convergence**



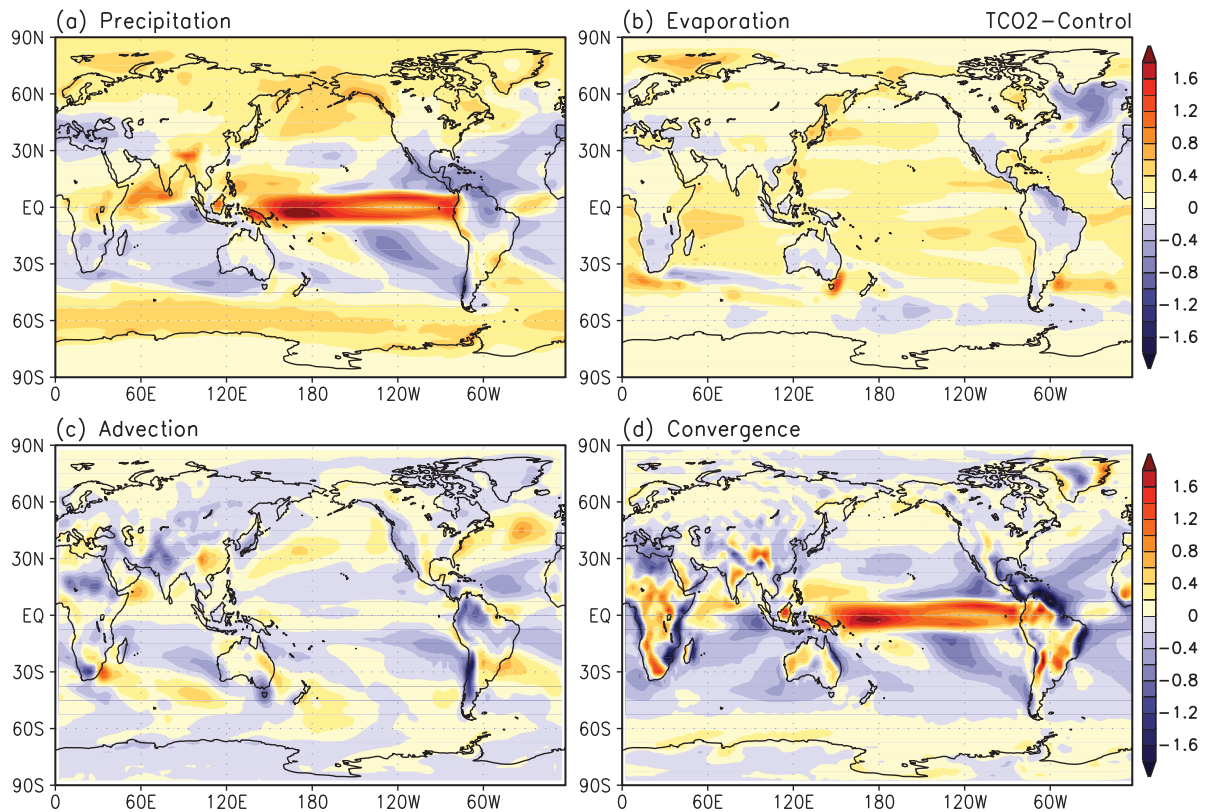
111
112 Fig. S6 Latitude-height cross-section of MMM horizontal moisture convergence for a) climatology, and b)
113 anomaly. Unit is in $10^{-8} \text{ g Kg}^{-1} \text{ s}^{-1}$.

114
115 The DTS is associated with strong moisture convergence in the lower troposphere in the
116 near equatorial region, and moisture divergence in an expanded subtropical divergence region
117 from 10-50 latitude in both hemisphere (Fig. S6). The moisture convergence increases RH in
118 the lower to mid-troposphere of the deep tropics, and the moisture divergence leads to the RH
119 deficit in the troposphere. As explained in the main text, the RH anomaly pattern is a function of
120 both dynamics and thermodynamics, *i.e.*, more water vapor under warmer condition, and
121 different dynamical feedbacks in the ascending and descending branches of the HC.

122

123

124 **S7 Decomposition of precipitation anomalies**

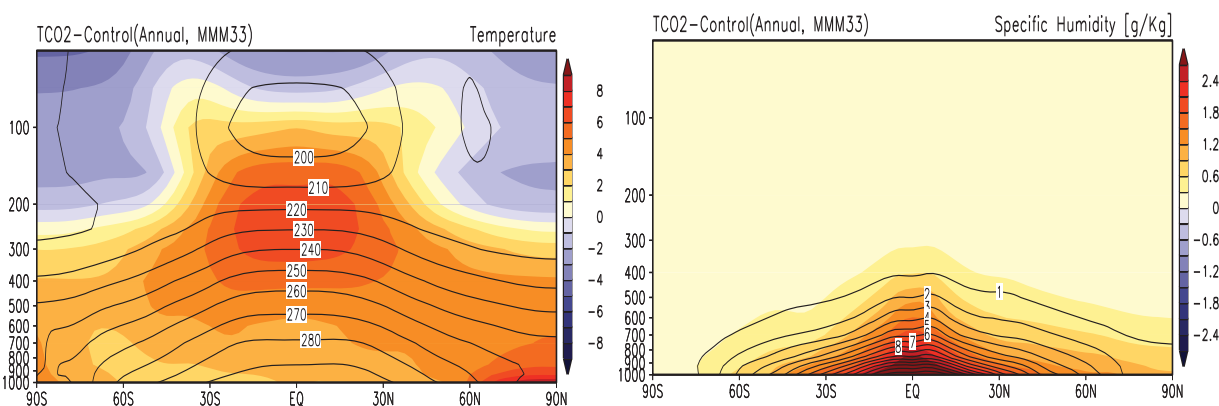


125
 126 Fig. S7 Anomaly patterns of a) total rainfall, and contributions from b) evaporation, c) advection, d) and dynamic
 127 convergence. See main text for explanation. Unit is in mm day^{-1}

128
 129 The decomposition of total precipitation into evaporation, advection, dynamic convergence, and
 130 transients are based on Eq.1 shown in the main text. Comparing the change pattern and magnitude with
 131 the total precipitation change (Fig. S7a), it can be seen that evaporation increase, over the ocean almost
 132 everywhere, except in the North Atlantic and part of the Southern Oceans, but reduces over land regions
 133 in the subtropics, *i.e.*, Southern Europe, northern Africa, South Africa and tropics, *i.e.*, the Maritime
 134 continent, southern Australia, Southwest US/Mexico, and Amazonia. However evaporation contribute
 135 little to the structure change of the precipitation, *i.e.*, the DTS and drying of the subtropics. Advection ($\mathbf{V} \cdot \nabla q$)
 136 contributes strongly to the RH deficit over the west coast of North American, northern South
 137 America, Northeast Africa, northern India and northeastern East Asia, and moderately to the drying of the

138 oceanic regions adjacent to the DTS, but not much to the DTS itself (Fig.S7c). The combined effect of
 139 negative moisture advection, and reduced evaporation over tropical and subtropical land regions is
 140 consistent with the increased GDI over these regions (Fig. 5a), stemming from strong atmosphere-land
 141 surface feedback. Clearly from Fig.S7a and d, dynamic convergence ($-q \nabla \cdot \mathbf{V}$) is the major contributor
 142 to the structural change of precipitation over the oceanic regions of the tropics and the subtropics,
 143 including the DTS, and strong drying in adjacent regions, and broader subtropical regions of the HC. In
 144 the equatorial Pacific region, the contribution can be more than 90% of the total precipitation change.

145 **S8. Temperature and moisture response**

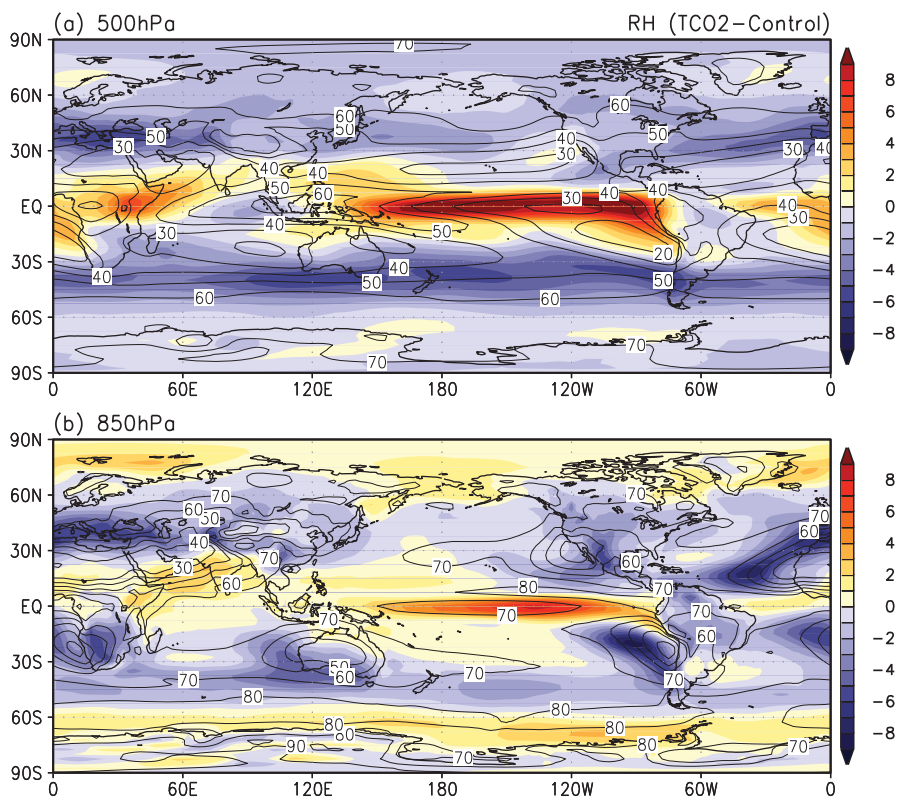


146
 147 Fig. S8 Latitude-height cross-section of MMM climatology (contour) and anomalies (color) for a) temperature
 148 ($^{\circ}\text{K}^{-1}$), and b) specific humidity (g Kg^{-1})
 149

150 Under a 1% per year increase in CO2 emission, the MMM atmosphere warms by longwave
 151 absorption throughout the troposphere up to the tropopause, while the lower stratosphere and
 152 regions above cools from reduced longwave radiation from below (Fig. S8). The warming is
 153 rather non-uniform. In the tropics, the warming of the upper troposphere is much ($> 8^{\circ}\text{C}$)
 154 stronger than that in lower troposphere ($\sim 1\text{-}2^{\circ}\text{C}$) because warm air tends to rise moist
 155 adiabatically. At higher latitudes, the warming is mostly confined to the surface and lower
 156 troposphere. Tropospheric moisture is increased everywhere following the Clausius Clapeyron
 157 law governing saturated water vapor and temperature, with the largest increase in the tropics.

158 However, because the rapid decrease of moisture with height, the rate of increase of water vapor
159 in the upper troposphere cannot keep up with the accelerated increase in temperature there. As a
160 result, a RH deficit develops in the upper and middle troposphere under global warming. The
161 pattern of RH deficit is further modified by subsidence anomalies associated with changes in the
162 HC as discussed in the main text (Fig 4).

163 **S9 Anomaly patterns relative humidity in the mid- and lower tropospheric**



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165 Fig. S9 Anomaly RH patterns at a) 500 hPa and b) 850 hPa, under global warming. Units are in
166 percentage. Climatology is in contour, and anomaly in color

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168 At 500 and at 850 hPa (Fig.S9a and b), the RH pattern is almost identical to the respective
169 regression pattern with DTS upper troposphere outflow (Fig. 4a, and b) in the main text. Key
170 features at 500 hPa includes a) increase RH associated with DTS along the equator, with most

171 prominent signal over the central and eastern equatorial Pacific, b) moderate reduction in RH in
172 the eastern equatorial Indian Ocean and western Maritime continent, Mexico, and Amazonia, and
173 c) prevailing reduction of RH over the rest of the globe, with the strongest signal at the polar
174 flank of the subtropical dry zones. At 850 hPa, the RH pattern shows similar characteristics from
175 a) to c), but with more regionalized features, including strong reduction over land regions of
176 southern Europe and North Africa, South Africa, western Australia, and southern Chile. Other
177 region with large RH deficit include tropical regions of southwestern US, and Mexico, and
178 Amazonia. These regions coincide well with regionals of large rainfall deficit, expanded
179 descending branch of the HC (Fig. 4c), and region of maximum GDI (Fig.5a).

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