

National Aeronautics and Space Administration

# Glenn Research Center

## Considerations for Estimating Electrode Performance in Li-Ion Cells

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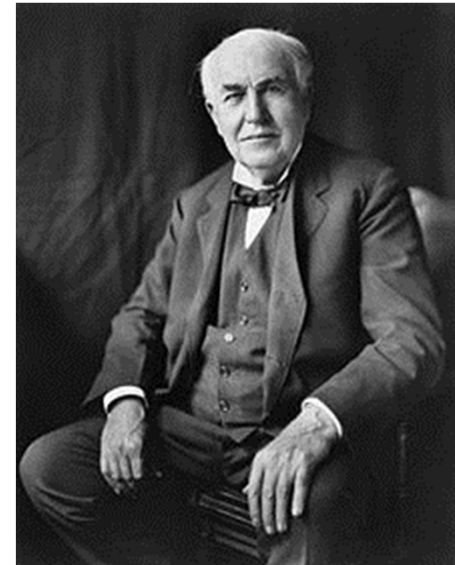
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# Performance estimation

*“The storage battery is one of those peculiar things which appeals to the imagination, and no more perfect thing could be desired by stock swindlers than that very selfsame thing.*

*Just as soon as a man gets working on the secondary battery it brings out his latent capacity for lying.”*



Thomas Edison  
Harper's Monthly (1932)

We want to make realistic predictions for battery performance

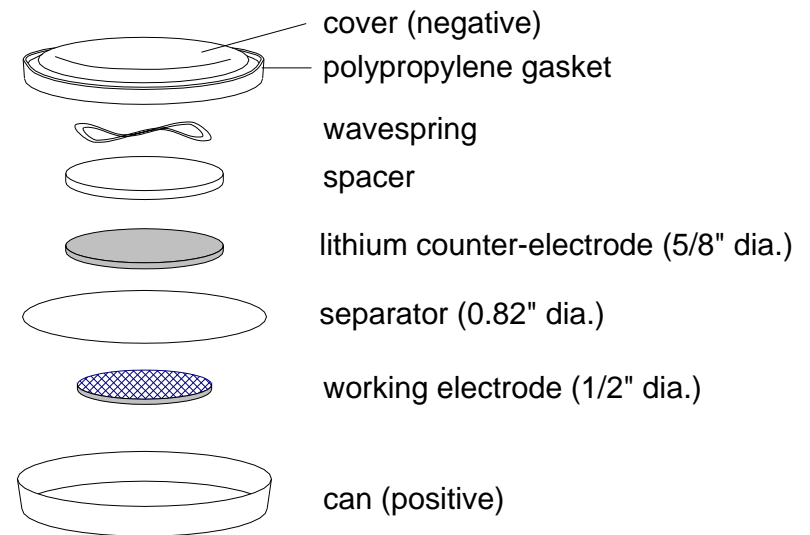
# Li-ion Cell Performance Projections

- Testing of individual electrodes
  - Reversible capacity
  - Irreversible capacity
- Matching electrodes in full cells
- Cell performance estimation – Wh/kg

How does electrode performance relate to cell performance?

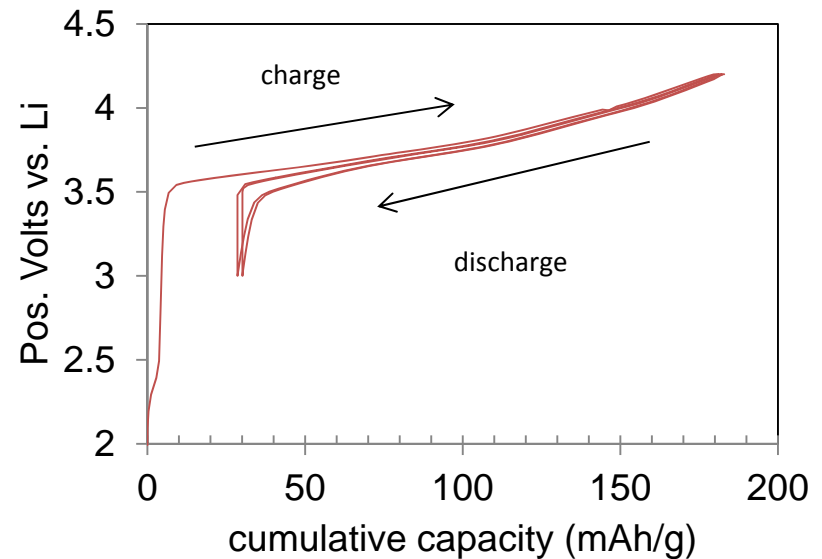
# Half-cell testing

- Working electrode vs. lithium metal
- Excess negative capacity (> 30x)
- Lithium counter-electrode serves as a pseudo-reference electrode
- Provides data for working electrode capacity and voltage performance



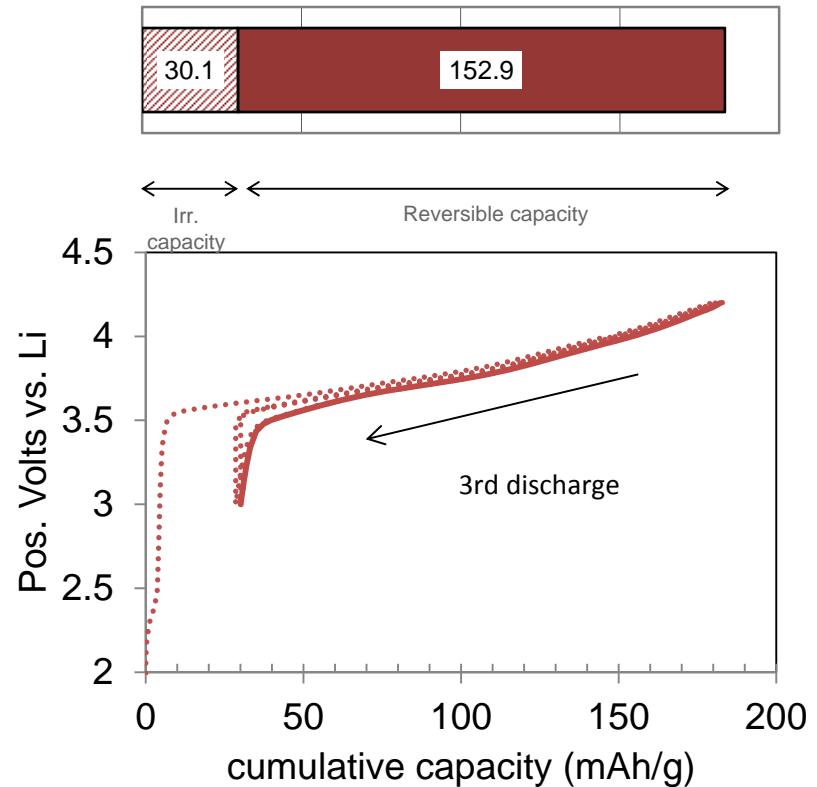
# Half-cell testing – Positive electrode

- Cycled to voltage limits
  - Upper limit: 4.2 V vs. Li
  - Lower limit: 3.0 V vs. Li
- Capacity per gram of *active* material in the positive electrode
- Cumulative capacity, running total of charge - discharge
- Data for first three cycles at C/20



# Half-cell testing – Positive electrode

- After three cycles:
  - 153 mAh/g reversible capacity
  - 30 mAh/g irreversible capacity
  - 183 mAh/g total capacity

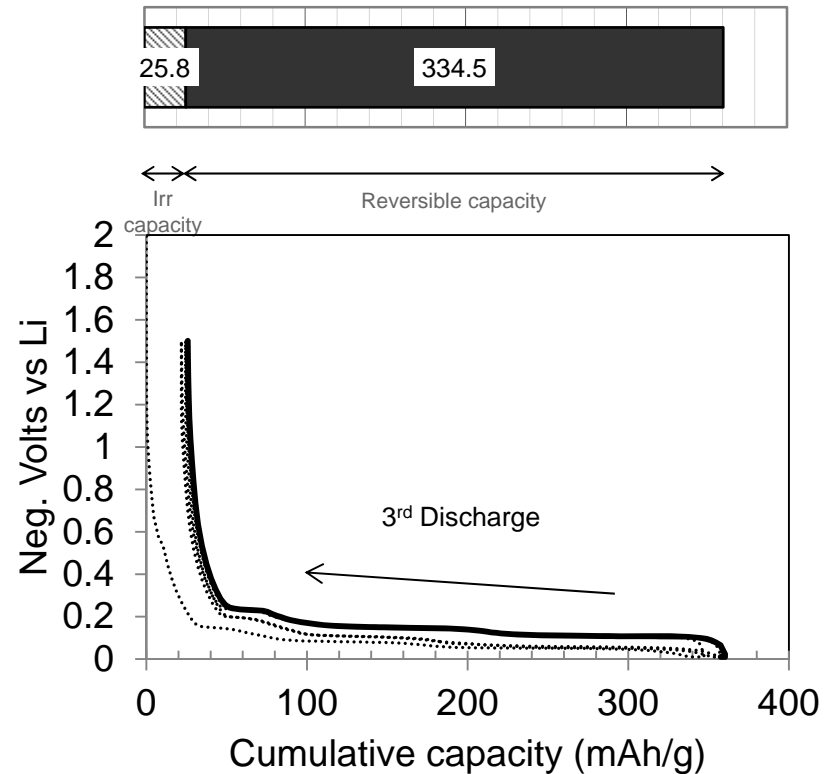


Based on positive material alone:

$$153 \text{ Ah/kg} \times 3.7 \text{ V}_{\text{avg.}} = 566 \text{ Wh/kg}$$

# Half-cell testing – Negative electrode

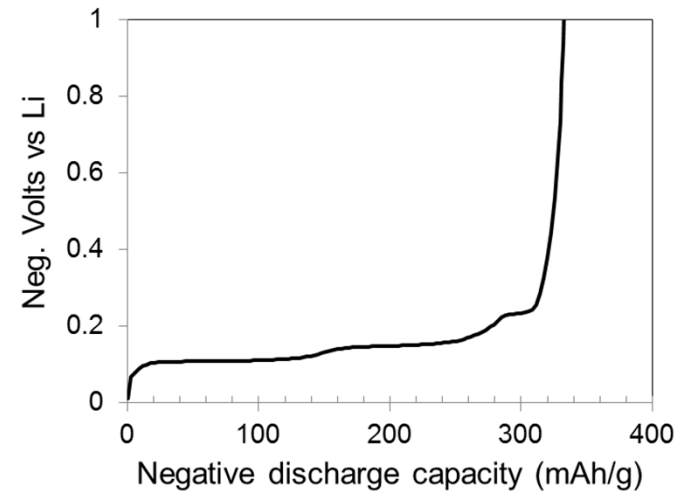
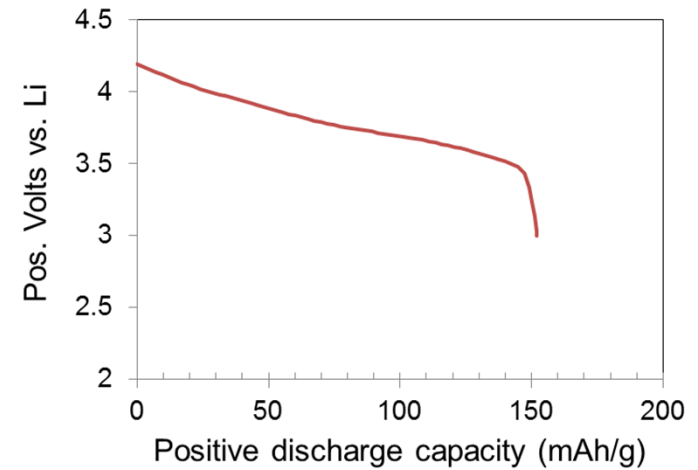
- Cycled to voltage limits
  - Lower limit: 10 mV vs. Li
  - Upper limit: 1.5 V vs. Li
- Capacity per gram of *active* material in negative electrode
- After three cycles at C/20
  - 334 mAh/g reversible capacity
  - 26 mAh/g irreversible capacity
  - 360 mAh/g total capacity



# Electrode Capacity Summary

Capacity in mAh/g	positive	negative
Irreversible capacity	30.1	25.8
Reversible capacity	153.9	334.5
total	183.0	360.3
irreversible capacity as a fraction of reversible	19.7%	7.7%

Let's design a 35 Ah cell using these electrodes.

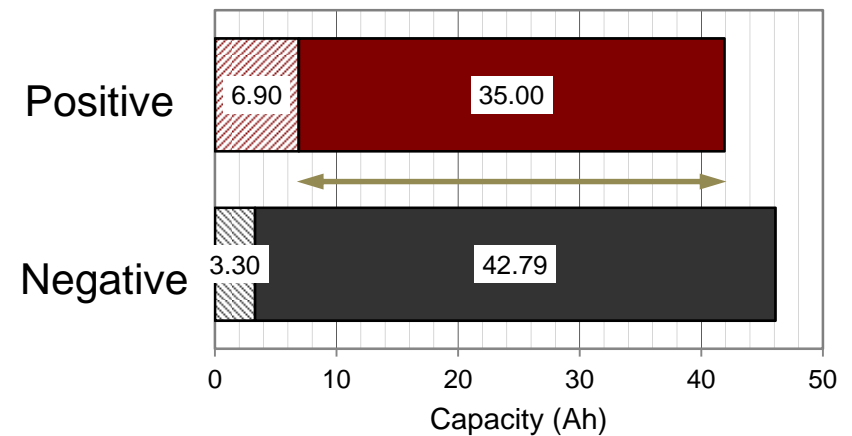




# Design of a 35 Ah Cell

- Choose 35 Ah of reversible positive capacity
- 41.9 Ah of *total* positive capacity must be accepted by the negative
- Allow 10% excess *total* negative capacity:  
 $110\% \times 41.9 = 46.09 \text{ Ah}$   
 $P/N = 0.909$
- Negative is not fully utilized

Capacity in Ah	positive	negative
irreversible	6.90	3.30
reversible	35.00	42.79
<b>total</b>	<b>41.90</b>	<b>46.09</b>
<b>irreversible (% of rev.)</b>	<b>19.7%</b>	<b>7.7%</b>



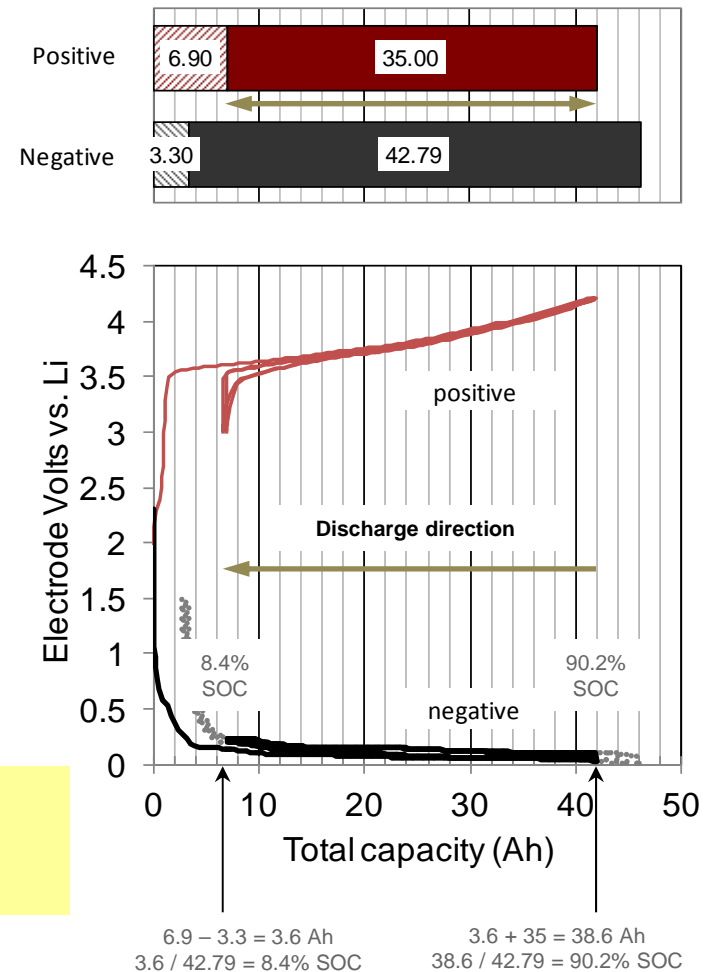
Calculations assume same irreversible capacity as in half-cells

# Design of a 35 Ah Cell

## Negative electrode utilization

- State-of-charge window for the negative electrode:  
90.2% to 8.4% SOC
- Positive capacity is fully utilized

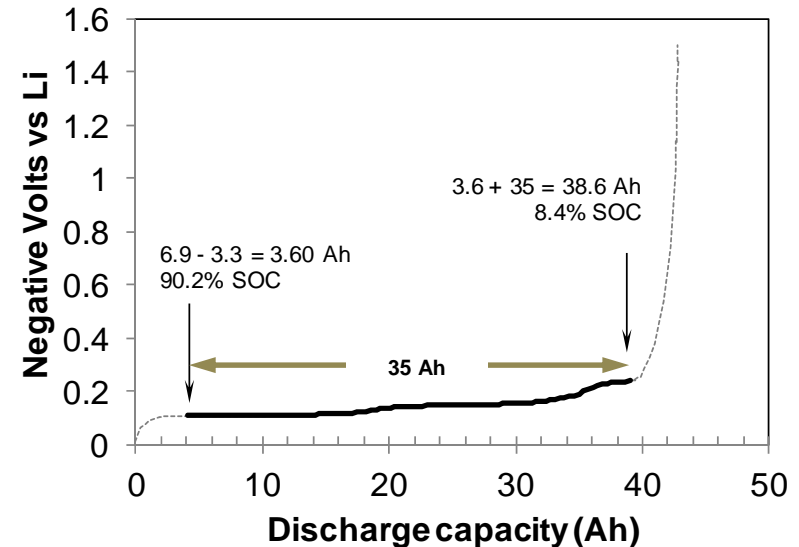
Assumes positive irreversible capacity charges the negative to 8.4% SOC



# Prediction of Cell Discharge Voltage

## Negative electrode

- Assume negative voltage performance as in half-cell
- Delivers 35 Ah between 90.2% to 8.4% SOC
- Projected discharge voltage in bold

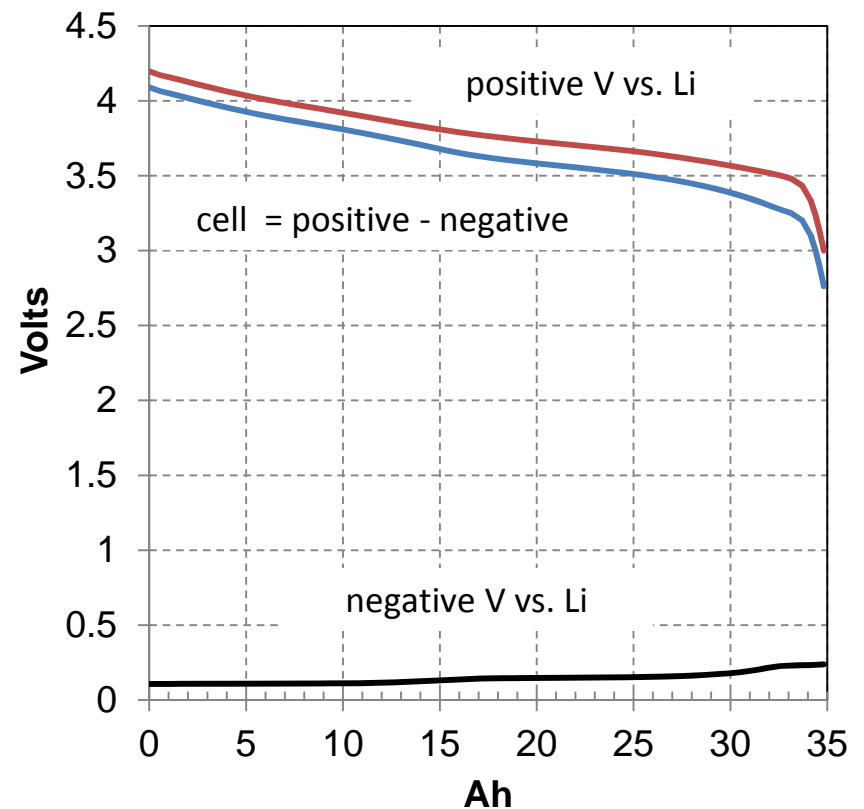


Assumes the partially charged negative has discharge voltage similar to fully charged negative in half-cell.

# Prediction of Cell Discharge Voltage

## Combined electrode voltage

- Full utilization of positive
- Limited utilization of negative
- Cell voltage by difference
- Projection for low rate (C/20)

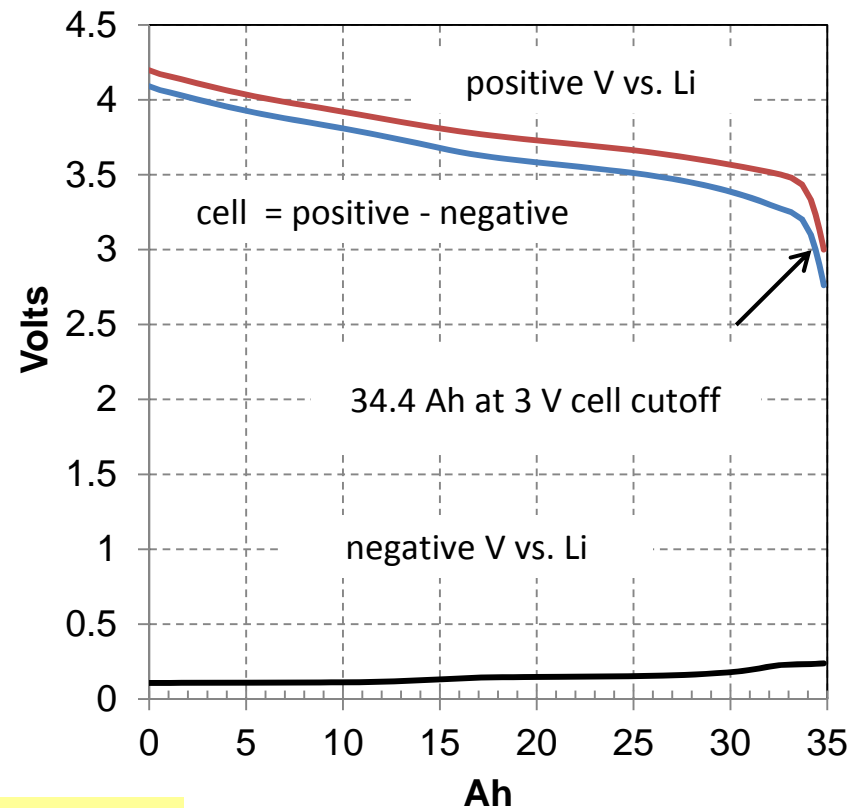


Not applicable to higher discharge rates

# Prediction of Cell Discharge Voltage

## Projected cell capacity

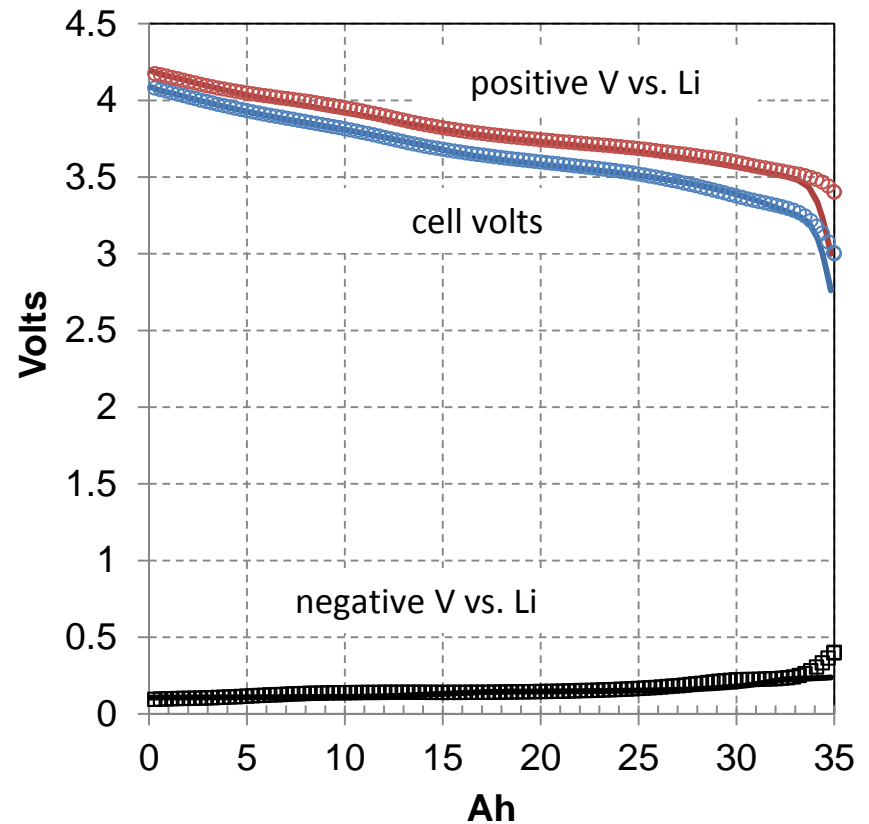
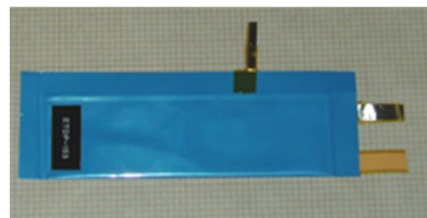
- Choose 3 V cut-off for cell discharge voltage
- ~34.4 Ah cell capacity



Cell voltage cutoff limits full utilization of the positive electrode capacity

# Voltage projection vs. full cell data

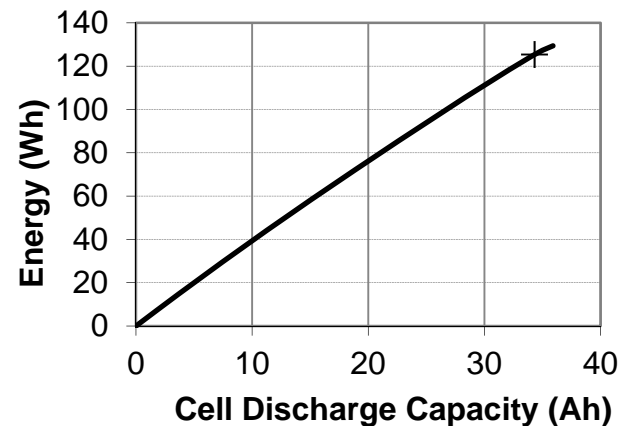
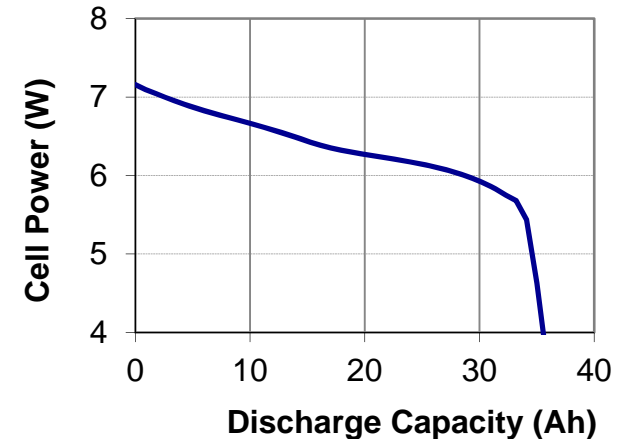
- Pouch cell with reference electrode, built using same materials as half-cells.
- Reasonable match between data (open symbols) and projections.



# Discharge Energy Projection

## Electrical energy:

- Calculate power at given current using projected cell voltage
- Integrate power to estimate energy delivered
- 125 Wh at 3 V cutoff



Projected for low discharge rate ( $C/20 = 1.75 \text{ A}$ )

# Cell Mass Projection

## Cell mass:

- Electrodes = 0.40 kg
- Total material (including electrolyte, separator, current collector) = 0.56 kg
- Allow 18% additional mass for cell case material
- Finished cell = 0.66 kg

	positive	negative
Reversible capacity (Ah)	35.00	42.79
Specific capacity (Ah/kg)	153.9	334.5
Active material (kg)	0.227	0.127
Active material fraction	86%	97%
Total Electrode (kg)	0.27	0.13

Electrodes represent ~60% of the finished cell mass



# Specific Energy Projection

Cell-level estimate:

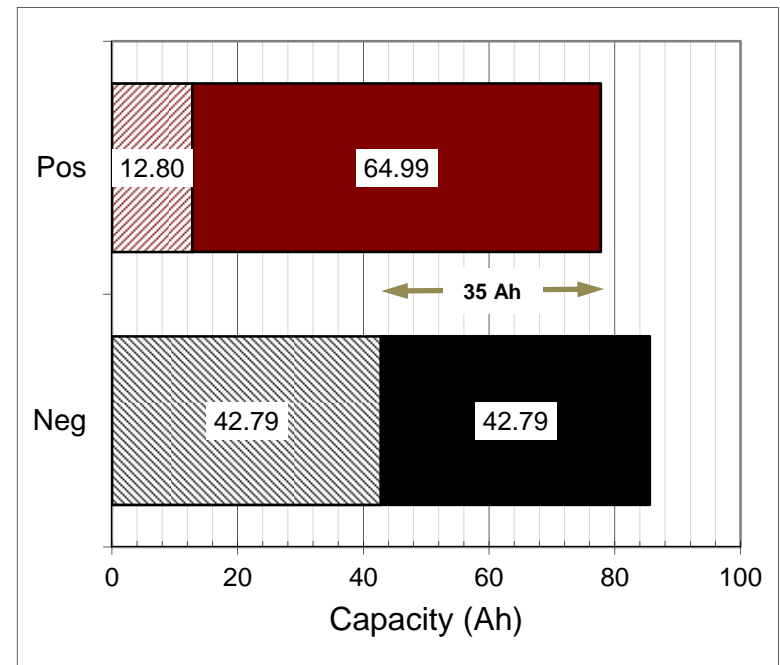
- Energy at C/20 rate to 3 V = 125 Wh
- Finished cell mass = 0.66 kg
- Projected specific energy = 189 Wh/kg

Estimate for a single cell.  
Battery-level specific energy would be less!

# Effect of High Irreversible Capacity

35 Ah cell with same positive material.

- 1000 mAh/g negative reversible
- 100% irreversible
- Projected energy = 154 Wh/kg



Un-utilized positive capacity adds significant mass to cell.  
Erases the benefit to of high negative specific capacity.

# Summary

What was shown:

- Capacity considerations (irreversible, reversible, P/N ratio)
- A method to project cell discharge voltage using data for individual electrodes
- Specific energy estimation

Estimates at other rates and temperatures would require half-cell data at the relevant conditions

# Acknowledgement

This work was performed in support of NASA's Space Power Systems (SPS) Project, which is developing advanced lithium-ion cells for future NASA exploration missions.

Carolyn Mercer, PhD.

Project Manager

NASA Glenn Research Center

Thank You

