



Strathprints Institutional Repository

Nie, Y. C. and Assmann, R. and Dorda, U. and Marchetti, B. and Weikum, M. and Zhu, J. and Hüning, M. (2016) Potential applications of the dielectric wakefield accelerators in the SINBAD facility at DESY. Nuclear Instruments and Methods in Physics Research Section A: Accelerators, Spectrometers, Detectors and Associated Equipment. ISSN 0168-9002 , <http://dx.doi.org/10.1016/j.nima.2016.01.038>

This version is available at <http://strathprints.strath.ac.uk/56112/>

Strathprints is designed to allow users to access the research output of the University of Strathclyde. Unless otherwise explicitly stated on the manuscript, Copyright © and Moral Rights for the papers on this site are retained by the individual authors and/or other copyright owners. Please check the manuscript for details of any other licences that may have been applied. You may not engage in further distribution of the material for any profitmaking activities or any commercial gain. You may freely distribute both the url (<http://strathprints.strath.ac.uk/>) and the content of this paper for research or private study, educational, or not-for-profit purposes without prior permission or charge.

Any correspondence concerning this service should be sent to Strathprints administrator: strathprints@strath.ac.uk

Potential applications of the dielectric wakefield accelerators in the SINBAD facility at DESY

Y.C. Nie^{a,*}, R. Assmann^a, U. Dorda^a, B. Marchetti^a, M. Weikum^{a,b}, J. Zhu^a, M. Hüning^a

^aDeutsches Elektronen-Synchrotron DESY, 22607 Hamburg, Germany

^bUniversity of Strathclyde, G1 1XQ Glasgow, United Kingdom

*Corresponding author at: DESY, Hamburg 22607, Germany. E-mail: yuancun.nie@desy.de

Abstract: Short, high-brightness relativistic electron bunches can drive ultra-high wakefields in the dielectric wakefield accelerators (DWFAs). This effect can be used to generate high power THz coherent Cherenkov radiation, accelerate a witness bunch with gradient two or three orders of magnitude larger than that in the conventional RF linear accelerators, and introduce energy modulation within the driving bunch itself, etc. The paper studies potential applications of the DWFAs in the SINBAD facility at DESY. The simulations show that the ultra-short relativistic bunches from the SINBAD injector ARES can excite accelerating wakefields with peak amplitudes as high as GV/m at THz frequencies in proper DWFA structures. In addition, it illustrates that the DWFA structure can serve as a dechirper to compensate the correlated energy spread of the bunches accelerated by the laser plasma wakefield accelerator.

Keywords: Dielectric wakefield accelerator; Ultra-short electron bunch; Accelerating gradient; Energy spread.

1. Introduction

The dielectric accelerator is one of the most advanced accelerator concepts, in which the ultra-high accelerating field can be excited by either optical to infrared lasers or ultra-short relativistic electron bunches. Dielectric accelerators have promising applications in the future high energy colliders and free electron lasers, due to their compact sizes. For the dielectric laser accelerators [1-4], a number of structures have been studied, such as the grating structure, the 2D and 3D photonic band-gap structure, and so on. The beam driven dielectric wakefield accelerators (DWFAs) make use of the electromagnetic Cherenkov radiation (wakefield) from the electron bunches that pass through the dielectric lined waveguides (DLWs) [5]. The most used DWFA structure is the single layer cylindrical DLW. Besides, many other DLW structures have been investigated, for instances, the double-layer cylindrical DLW [6] and the rectangular (slab-symmetric) DLW [7]. DWFAs have wide applications in modern sciences. Narrow-band high-power THz radiation can be generated from the driving electron bunch directly in the DLWs [8, 9]. The wakefield can be used to accelerate a witness bunch with gradient two or three orders of magnitude larger than that in conventional metal RF linear accelerators. The mechanism has been demonstrated experimentally at the AWA facility of ANL [10], the ATF facility of BNL [11] and the FACET facility of SLAC [12]. Moreover, the energy modulation of the driving bunch itself can be used to compensate its time-dependent energy spread like a dechirper or produce bunch trains indirectly [13]. For instance, based on the self-wake, a tunable energy dechirper was used to remove the correlated energy spread from the 60 MeV beam at the ATF facility [14]. Such a dechirper may simplify linac beamline design significantly and improve the performance of the free electron lasers [14, 15].

The accelerator research and development (ARD) group at DESY is investigating a novel facility, the Short and INnovative Bunches and Accelerators at DESY (SINBAD) [16, 17]. Within the SINBAD facility, we will study the production of ultra-short relativistic electron bunches, the high gradient advanced accelerator concepts, and their various applications. Among a number of topics, one idea is the external injection experiment for the laser plasma wakefield acceleration (LWFA) [18]. The external bunches to be accelerated in the LWFA will be provided by ARES: Accelerator Research Experiment at SINBAD. Based on the conventional S-band and X-band RF cavities, ARES aims to generate ultra-short electron bunches having FWHM length from sub-femtosecond to a few fs with relatively low charge (0.5 pC to a few pC) and energy up to 200 MeV. It is of great interest to explore the potential applications of the DWFAs in the SINBAD facility. For example, it is of great interest to use the ultra-short bunches from ARES to drive ultra-high gradient accelerating wakefields in a DWFA. On the other hand, the DLW structure may serve as a dechirper to compensate the positive energy chirp

47 of a LWFA-accelerated bunch, in which the head electrons have lower energy than the tail electrons as long as
 48 the acceleration is terminated before dephasing [19, 20].

49 2. Wakefield Analysis and Simulations

50 The wakefield stimulated by the driving bunch in a DLW consists of a series of discrete electromagnetic
 51 modes depending on the bunch and waveguide parameters. Figure 1 shows the two commonly used DLWs, i.e.
 52 the cylindrical and the rectangular dielectric waveguides. In this paper, the dielectric material is CVD (chemical
 53 vapor deposition) diamond as proposed in [11]. It has been demonstrated in [11] and its previous works that this
 54 kind of material has a very low loss tangent ($<10^{-4}$) in the Ka-W frequency bands, a thermal conductivity higher
 55 than 2000 W/K/m at room temperature and a DC breakdown threshold on the level of 1 GV/m. Note that
 56 diamond is used in our current simulations temporarily. Some other dielectric materials might be selected in the
 57 future practical design. For example, fused silica is a potential candidate as an alternative, of which an
 58 accelerating field breakdown threshold of 8 GV/m has been observed at THz frequencies in [21]. The relative
 59 permittivity of diamond ϵ_r is around 5.7. For the cylindrical structure, the inner radius and outer radius of the
 60 dielectric layer are denoted by a and b , respectively. The frequency f and wavelength λ of the fundamental
 61 mode (TM₀₁) can be estimated by the following equation [21]:

$$62 \quad f = \frac{c}{\lambda} = \frac{c}{4(b-a)\sqrt{\epsilon_r-1}}, \quad (1)$$

63 or by:

$$64 \quad f = \frac{c}{\lambda} = \frac{c}{2\pi} \cdot \sqrt{\frac{\epsilon_r}{(\epsilon_r-1)(b-a)a}}, \quad (2)$$

65 when the thickness of the dielectric layer is much smaller than the inner radius of the DLW tube, i.e. $(b-a) \ll a$.
 66 Compared with Ref. [22], the corrugated structure is replaced by the dielectric layer, so we have decreased the
 67 frequency by a factor of $\sqrt{2}$ in Eq. (2) based on the results in [23]. Meanwhile, the maximum longitudinal
 68 decelerating wakefield within the driving bunch $E_{z,\text{dec}}$, and the peak surface electric field $E_{r,\text{surf}}$ can be
 69 calculated approximately by the expression [21]:

$$70 \quad eE_{z,\text{dec}} = eE_{r,\text{surf}} \frac{\sqrt{\epsilon_r-1}}{\epsilon_r} \cong \frac{4N_b r_e m_e c^2}{a \left[\sqrt{\frac{8\pi}{\epsilon_r-1}} \epsilon_r \sigma_z + a \right]}, \quad (3)$$

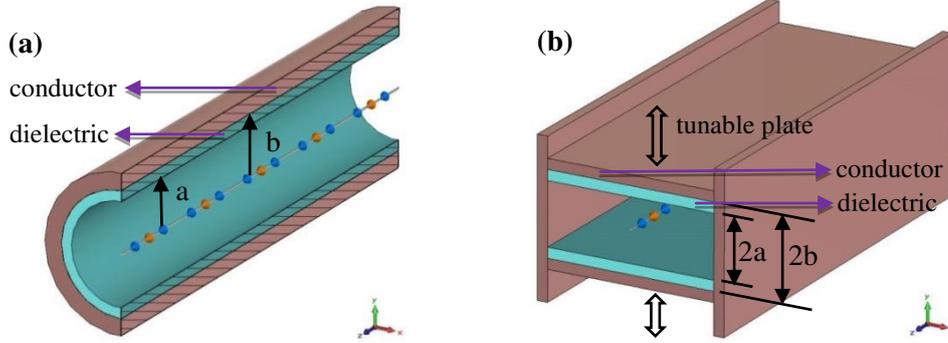
71 where r_e and $m_e c^2$ are the classical radius and rest energy of the electron, respectively, e is the absolute charge
 72 of one electron, N_b is the number of electrons per bunch, and σ_z is the rms bunch length. Substituting $r_e =$
 73 $\frac{1}{4\pi\epsilon_0} \frac{e^2}{m_e c^2}$ and $N_b = Q/e$ into Eq. (3), we have:

$$74 \quad E_{z,\text{dec}} \cong \frac{Q}{\pi\epsilon_0 a \left(\sqrt{\frac{8\pi}{\epsilon_r-1}} \epsilon_r \sigma_z + a \right)}, \quad (4)$$

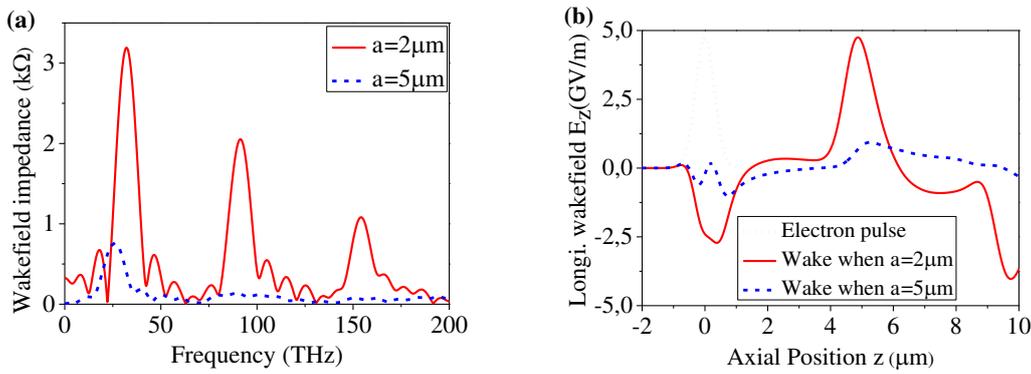
75 where ϵ_0 is the permittivity of free space and Q is the total bunch charge.

76 Using Eqs. (1)-(4), a preliminary design of a DLW structure may be carried out. Meanwhile, there are a few
 77 numerical codes, such as OOPIC PRO [24], and RECTANGULAR for the rectangular DLWs [7]. In this paper,
 78 the Wakefield Solver of CST [25] is used. It assumes that the driving bunch has a line Gaussian distribution. The
 79 following bunch parameters are used: average energy $E = 100$ MeV, total bunch charge $Q = 1$ pC, bunch length
 80 $\sigma_z = 0.3$ μm , 1.0 μm , or 3.0 μm . The longitudinal wake impedances and on axis wakefields are shown in Figs. 2
 81 and 3 for two bunch-length cases using the cylindrical waveguide. It can be seen that the wake strength mainly
 82 depends on the inner radius of the dielectric layer for a certain driving bunch. The inner radius a has to be small
 83 enough corresponding to the bunch length to excite significant wakefields, the outer radius b has to be chosen
 84 properly as well to control the wakefield frequencies and hence the integrated waveforms. For instance, in the
 85 case of $\sigma_z = 0.3$ μm as shown in Fig. 2, there is only weak wakefield excited when a is 5 μm , but the
 86 accelerating wakefield becomes as high as 4.8 GV/m when a is 2 μm . The wakefield frequencies are higher than

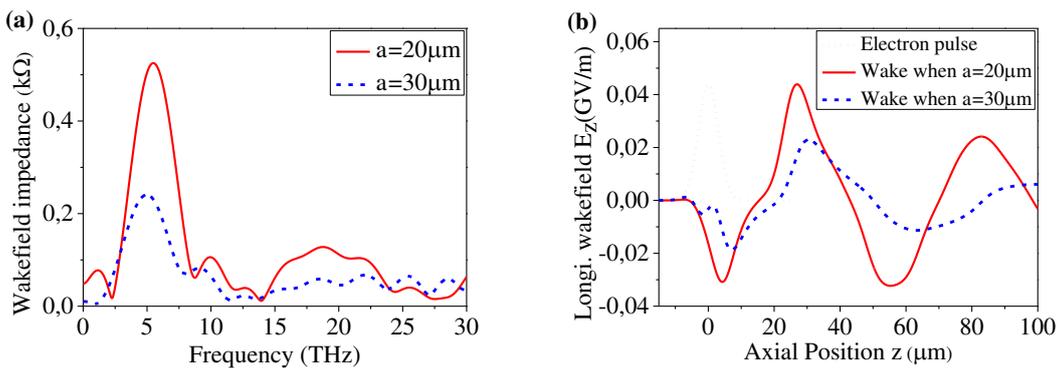
87 5 THz in all the cases. In the case of $\sigma_z = 3 \mu\text{m}$ as shown in Fig. 3, the wake is relatively weak. However, when
 88 the inner radius a is $20 \mu\text{m}$, the decelerating wakefield magnitude within the driving bunch increases linearly
 89 along the bunch from head to tail. Such a time-dependent wake is very suitable for compensating the positive
 90 energy chirp, since the trailing electrons that have higher energy will lose more energy than the head ones. In the
 91 next section, the so called energy spread dechirper will be described in detail. Table 1 lists the related theoretical
 92 results and simulation values. Good agreements can be observed. As illustrated in the table, the transformer ratio
 93 defined as the ratio of the maximum energy gain of the witness bunch to the maximum energy loss of the driving
 94 bunch, i.e. $R = E_{z,acc}/E_{z,dec}$, is generally lower than 2 unless certain specific techniques are used [26].



95
96 **Fig. 1.** (a) Cylindrical dielectric waveguide and (b) Rectangular dielectric waveguide.



97
98 **Fig. 2.** Longitudinal wake impedance vs. frequencies (a), and wakefield vs. distance after the driving bunch (b).
 99 σ_z is $0.3 \mu\text{m}$, Q is 1 pC . The inner radius a is 2 or $5 \mu\text{m}$, while the dielectric layer thickness is kept to be $1 \mu\text{m}$.



100
101 **Fig. 3.** Longitudinal wake impedance vs. frequencies (a), and wakefield vs. distance after the driving bunch (b).
 102 σ_z is $3.0 \mu\text{m}$, Q is 1 pC . The inner radius a is 20 or $30 \mu\text{m}$, while the dielectric layer thickness is kept to be $5 \mu\text{m}$.

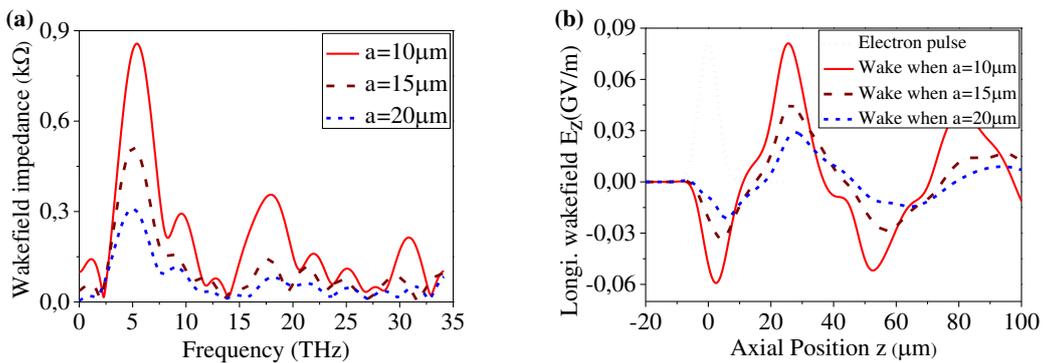
103 **Table 1** Comparisons between the theoretical results and the simulated ones.

σ_z (μm)	Q (pC)	a (μm)	b (μm)	$f_{\text{TM}01, \text{Eq.1}}$ (THz)	$f_{\text{TM}01, \text{Eq.2}}$ (THz)	$f_{\text{TM}01, \text{CST}}$ (THz)	$E_{z, \text{dec}, \text{Eq.4}}$ (GV/m)	$E_{z, \text{dec}, \text{CST}}$ (GV/m)	$E_{z, \text{acc}, \text{CST}}$ (GV/m)
0.3	1	2	3	34.6	37.2	32.5	3.019	2.730	4.750
1	1	5	6	34.6	23.5	25.6	0.395	0.354	0.718
3	1	20	25	6.9	5.2	5.5	0.030	0.031	0.044

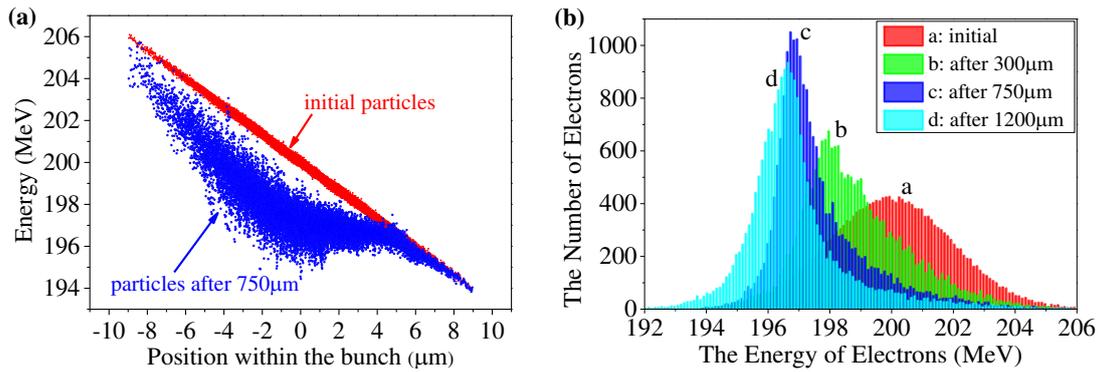
104 3. Dechirper Studies

105 In the LWFA, the witness bunch must be injected into a certain 90° phase range of the plasma wave to
 106 accelerate and focus it simultaneously. As a result, the head electrons always gain less energy than the tail ones
 107 before the dephasing happens [20]. One solution is to remove the correlated energy spread using the self-wake of
 108 the bunch when it travels through a metallic corrugated pipe [27-30] or a DLW structure [13, 14].

109 For a dechirper, the rectangular dielectric structure is employed taking advantage of its continuously tunable
 110 gap as shown in Fig. 1 (b). We assume the output bunch parameters after the LWFA as follows: the average
 111 energy $E = 200$ MeV, the positive correlated rms energy spread $Cor_E = 2$ MeV, the slice energy spread is ± 0.3
 112 MeV, the Gaussian bunch size $\sigma_{x,y,z} = 3.0$ μm , and the bunch charge Q is variable from 1 pC to as high as 100 pC.
 113 Fig. 4 plots the impedances of the wakefields and their on-axis amplitudes when half the height of the vacuum
 114 chamber a is 10 μm , 15 μm or 20 μm and the dielectric layer thickness is kept to be 5 μm when $Q = 1$ pC. In the
 115 case of $a = 20$ μm , the wake strength becomes 70% of the value in Fig. 3 due to different geometrical boundaries,
 116 differing with the prediction of $\pi^2/16 \approx 60\%$ in [30] for the corrugated structures, whereas the frequency drops
 117 by 10%, not as much as $1 - 1/\sqrt{2} \approx 30\%$ as in [30]. Simulations with the Particle-In-Cell (PIC) Solver of CST
 118 have been performed as well. The size a was selected to be 15 μm to let the bunch pass through. Figure 5 (a)
 119 shows the energy distributions before and after a 750 μm dechirper when $Q = 100$ pC. It can be seen that the
 120 correlated energy spread is compensated dramatically. The increment in the uncorrelated energy spread (slice
 121 energy spread) is observed. The reason is considered to be that the off axis electrons undergo various
 122 longitudinal and transverse wakefields strengths. There exists an optimal dechirper length to make the energy
 123 spread minimum, as shown in Fig. 5 (b), where we can see that the optimal dechirper length is around 750 μm .
 124 The peak magnitude in the optimized energy spectrum (peak c) is 2.5 times the initial one (peak a). The average
 125 energy is reduced by 2.5 MeV in 750 μm , which implies a wake $E_{z,dec} = 3333$ MV/m and a dechirper strength S_d
 126 $= 1.9$ MV/m/ μm /pC, where S_d is the normalized wakefield strength regarding the full bunch length and charge as
 127 defined in [14]. The wakefield strength in the PIC solver is in accordance with that in the Wakefield solver. It
 128 should be mentioned that the 100 pC bunch charge is hardly to obtain for such short electron bunches. A higher
 129 charge allows us to compensate Cor_E sufficiently within a shorter dechirper length and hence get rid of the
 130 limitation of the computer memory, supposing that the wake strength is proportional to the bunch charge and the
 131 space charge effect can be ignored within a few mm transport distance. As a crosscheck, simulations were
 132 carried out for 10 pC using a moderately long dechirper. The average energy was reduced by 1 MeV after 3000
 133 μm , leading to a wake $E_{z,dec} = 333.3$ MV/m and a dechirper strength $S_d = 1.9$ MV/m/ μm /pC. The dechirper
 134 strength was the same as the value in the case of 100 pC. It implied that the optimal dechirper length was around
 135 7500 μm for 10 pC.



136
 137 **Fig. 4.** Longitudinal wake impedance vs. frequencies (a), and wakefield vs. distance after the driving bunch (b).
 138 σ_z is 3.0 μm , Q is 1 pC, as like in the case of Fig. 3. The rectangular waveguide structure is used here. Half
 139 height a is 10, 15 or 20 μm , while the dielectric layer thickness is kept to be 5 μm .



140
 141 **Fig. 5.** Energy distributions before and after travelling 750 μm in the dechirper (a), and energy spectrums at
 142 different dechirper lengths (b). A bunch charge $Q = 100 \text{ pC}$ is used.

143 **4. Summary and outlook**

144 The paper has presented multiple applications of the DWFA structures in the SINBAD facility. The ultra-high
 145 accelerating wakefields up to GV/m at tens of THz can be generated by the ultra-short electron bunches from
 146 ARES. Proof-of-concept simulations have shown that the DLW-based dechirper has great potential to
 147 compensate the correlated energy spread of the LWFA-accelerated bunch. In the simulation results, we noticed a
 148 transverse emittance growth by a few percent due to the time-dependent quadrupole wake along the bunch (it
 149 was found that the bunch tail was focused in x direction, but not visibly in y direction). Further efforts need to be
 150 made to minimize the increment in the slice energy spread and the emittance growth by optimizing the DLW
 151 structure and manipulating the transverse bunch size. The realization of such tiny structures is quite challenging.
 152 In the future, a more realistic initial bunch distribution from the LWFA will be employed to evaluate the overall
 153 performance of a DLW-based dechirper and to consider the practical difficulties.

154 **Acknowledgments**

155 Many thanks to Dr. C. Jing from Euclid Techlabs, USA, and Dr. G. Xia from University of Manchester and
 156 Cockcroft Institute, UK, for the helpful discussions.

157 **References**

158 [1] R. Joel England, et al., Dielectric laser accelerators, *Review of Modern Physics* 86 (2014) 1337-1389.
 159 [2] Peter Bermel, Robert L. Byer, Eric R. Colby, et al., Summary of the 2011 dielectric laser accelerator
 160 workshop, *Nucl. Instrum. Methods Phys. Res. A* 734 (2014) 51–59.
 161 [3] E. A. Peralta, K. Soong, R. J. England, et al., Demonstration of electron acceleration in a laser-driven
 162 dielectric microstructure, *Nature* 503 (2013) 91-94.
 163 [4] John Breuer and Peter Hommelhoff, Laser-based acceleration of nonrelativistic electrons at a dielectric
 164 structure, *Phys. Rev. Lett.* 111 (2013) 134803.
 165 [5] W. Gai, P. Schoessow, B. Cole, et al., Experimental demonstration of wake-field effects in dielectric
 166 structures, *Phys. Rev. Lett.* 61 (1988) 2756.
 167 [6] A. Kanareykin, Dielectric Based Accelerator: Subpicosecond Bunch Train Production and Tunable Energy
 168 Chirp Correction, in: 1st European Advanced Accelerator Concepts Workshop, La Biodola, Isola d'Elba, Italy,
 169 2013.
 170 [7] S.S. Baturin, I.L. Sheinman, A.M. Altmark, and A.D. Kanareykin, Transverse operator method for
 171 wakefields in a rectangular dielectric loaded accelerating structure, *Phys. Rev. ST Accel. Beams* 16 (2013)
 172 051302.
 173 [8] A.M. Cook, R. Tikhoplav, S.Y. Tochitsky, et al., Observation of narrow-band terahertz coherent Cherenkov
 174 radiation from a cylindrical dielectric-lined waveguide, *Phys. Rev. Lett.* 103 (2009) 095003.
 175 [9] G. Andonian, O. Williams, X. Wei, et al., Resonant excitation of coherent Cerenkov radiation in dielectric
 176 lined waveguides, *Appl. Phys. Lett.* 98 (2011) 202901.
 177 [10] C. Jing, A. Kanareykin, J.G. Power, et al., Observation of enhanced transformer ratio in collinear wakefield
 178 acceleration, *Phys. Rev. Lett.* 98 (2007) 144801.

179 [11] S. Antipov, C. Jing, A. Kanareykin, et al., Experimental demonstration of wakefield effects in a THz planar
180 diamond accelerating structure, *Appl. Phys. Lett.* 100 (2012) 132910.

181 [12] B.O'Shea, O. Williams, G. Andonian, et al., Observation of >GV/m decelerating fields in dielectric lined
182 waveguides, in: *Proceedings of LINAC2014, Geneva, Switzerland, 2014.*

183 [13] S. Antipov, C. Jing, M. Fedurin, et al., Experimental observation of energy modulation in electron beams
184 passing through terahertz dielectric wakefield structures, *Phys. Rev. Lett.* 108 (2012) 144801.

185 [14] S. Antipov, S. Baturin, C. Jing, et al., Experimental demonstration of energy-chirp compensation by a
186 tunable dielectric-based structure, *Phys. Rev. Lett.* 112 (2014) 114801.

187 [15] Haixiao Deng, Meng Zhang, Chao Feng, et al., Experimental demonstration of longitudinal beam phase-
188 space linearizer in a free-electron laser facility by corrugated structures, *Phys. Rev. Lett.* 113 (2014) 254802.

189 [16] R. Assmann, C. Behrens, R. Brinkmann, et al., SINBAD – a proposal for a dedicated accelerator research
190 facility at DESY, in: *Proceedings of IPAC2014, Dresden, Germany, 2014.*

191 [17] M. Barbara, et al., Electron-beam manipulation techniques in the SINBAD linac for external injection in
192 plasma wakefield acceleration, these *Proceedings.*

193 [18] J. Grebenyuk, R. Assmann, U. Dorda and B. Marchetti, Laser-driven acceleration with external injection at
194 SINBAD, in: *Proceedings of IPAC2014, Dresden, Germany, 2014.*

195 [19] R. Assmann, A European perspective on plasma acceleration, in: *Workshop on Plasma Acceleration,*
196 *London, 2014.*

197 [20] A. R. Maier, A. Meseck, S. Reiche, et al., Demonstration scheme for a laser-plasma-driven free-electron
198 laser, *Phys. Rev. X* 2 (2012) 031019.

199 [21] M.C. Thompson, H. Badakov, A.M. Cook, et al., Breakdown limits on gigavolt-per-meter electron-beam-
200 driven wakefields in dielectric structures, *Phys. Rev. Lett.* 100 (2008) 214801.

201 [22] M. Hüning, H. Schlarb, P. Schmüser and M. Timm, Measurements of harmonic wake fields excited by
202 rough surfaces, *Phys. Rev. Lett.* 88 (2002) 074802.

203 [23] Y. Nie, Wakefields in THz cylindrical dielectric lined waveguides driven by femtosecond electron bunches,
204 *Radiation Physics and Chemistry* 106 (2015) 140-144.

205 [24] D.L. Bruhwiler, R.E. Giacone, J.R. Cary, et al., Particle-in-cell simulations of plasma accelerators and
206 electron-neutral collisions, *Phys. Rev. ST Accel. Beams* 4 (2001) 101302.

207 [25] CST Software, (<https://www.cst.com>).

208 [26] J.G. Power, W. Gai, P. Schoessow, Wakefield excitation in multimode structures by a train of electron
209 bunches, *Phys. Rev. E* 60 (1999) 6061.

210 [27] P. Emma, M. Venturini, K.L.F. Bane, et al., Experimental demonstration of energy-chirp control in
211 relativistic electron bunches using a corrugated pipe, *Phys. Rev. Lett.* 112 (2014) 034801.

212 [28] K.L.F. Bane and G. Stupakov, Corrugated pipe as a beam dechirper, *Nucl. Instrum. Methods Phys. Res. A*
213 690 (2012) 106-110.

214 [29] Feichao Fu, Rui Wang, Pengfei Zhu, et al., Demonstration of nonlinear-energy-spread compensation in
215 relativistic electron bunches with corrugated structures, *Phys. Rev. Lett.* 114 (2015) 114801.

216 [30] Zhen Zhang, Karl Bane, Yuantao Ding, et al., Electron beam energy chirp control with a rectangular
217 corrugated structure at the Linac Coherent Light Source, *Phys. Rev. ST Accel. Beams* 18 (2015) 010702.