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Design of a Modular, High Step-up Ratio DC-

DC Converter for HVDC Applications Integrating Offshore Wind Power

Yihua Hu, Senior Member IEEE, Rong Zeng, Member IEEE, Wenping Cao, Senior Member IEEE Jiangfeng Zhang, and Stephen Finney

Abstract-High power and high voltage gain DC-DC converters are key to high-voltage DC (HVDC) power transmission for offshore wind power. This paper presents an isolated ultra-high step-up DC-DC converter in matrix transformer configuration. A flyback-forward converter is adopted as the power cell and the secondary side matrix connection is introduced to increase the power level and to improve fault tolerance. Because of the modular structure of the converter, the stress on the switching devices is decreased and so is the transformer size. The proposed topology can be operated in column interleaved modes, row interleaved modes and hybrid working modes in order to deal with the varying energy from the wind farm. Furthermore, fault tolerant operation is also realized in several fault scenarios. A 400-W DC-DC converter with four cells is prototyped and experimentally tested to validate the proposed technique, which can be applied to high-power highvoltage DC power transmission.

Index Terms—DC-DC converter, high step-up, HVDC, matrix transformer.

I. INTRODUCTION

POWER transmission is critically important for offshore wind farms in order to deliver bulky electrical power to the onshore grid over long distances [1]-[9]. Compared to the high-voltage alternating current (HVAC) transmission systems, high-voltage direct current (HVDC) transmission systems have a lower cable loss and are gaining in popularity in the large-scale offshore wind farms [10]-[13]. Because wind power is a unidirectional power source by its nature, a unidirectional DC-DC converter can be employed to connect the wind farm with the HVDC terminal.

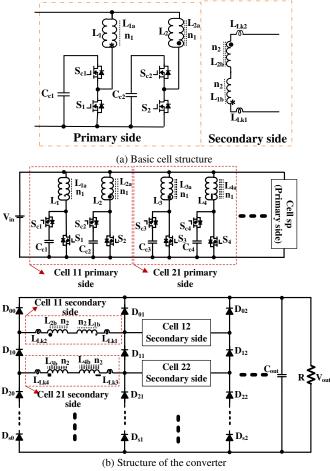
Currently, many converter topologies are developed. One of the popular structures for offshore HVDC is the modular multilevel converter (MMC), in which high voltage is achieved by a medium-frequency high turns ratio transformer and high power by increasing the number of cells. The voltage stress on switching devices can be decreased by using a decentralized structure [14]-[19]. However, such a transformer tends to be bulky and needs to process the full input power. Paper [19] combines an MMC with an alternate arm converter (AAC) to form a high-frequency DC-DC converter, which can decrease the transformer size. Nonetheless, the transformer still needs to handle the full power. On its secondary side, the AAC also requires a series-connected switch in each arm, giving rise to the converter complexity.

Alternatively, dual-active bridge converters are another topology commonly used for high-voltage high-power applications [20]. However, their secondary sides can only be

connected in series so that their power level is limited. Paper [21] employs thyristors as the switching devices and develops a DC/DC converter for offshore HVDC applications. But the voltage step-up ratio is relatively low. Papers [22][23] propose multilevel converters using half-bridge and full-bridge cells. Although their input and output can share a common ground, these topologies do not provide electrical isolation. Paper [24] presents a resonant switched-capacitor topology with soft switching for various HVDC systems. However, the achievable conversion ratio is generally too low to meet the voltage stepup requirements. Paper [25] develops a multi-phase resonant DC-DC topology to step up the voltage level from low to medium voltage. A new topology to achieve a high conversion gain is reported in [26], based on the modular non-isolated DC-DC converter. But this converter cannot provide electrical isolation. Paper [27] presents a resonant circuit for HVDC applications with soft switching while the topology is not a modular structure. Ladder structure converters are reported in paper [28]. By using the modular structure, the voltage stress on switching devices is decreased. The topologies in [14], [16], [17], [20], [23] are all bi-directional power conversion while the power flow is typically uni-directional for wind power systems. As a result, this paper develops a DC-DC converter for unidirectional power flow to transfer wind power from the wind farm to the HVDC terminal.

II. PROPOSED SCALABLE TOPOLOGY

The proposed topology is shown in Fig. 1, which is composed of modular cells. Fig. 1(a) shows the topology of a cell. On its primary side, the cell includes two coupled inductors, two half-bridge modules and two clamp capacitors. On its secondary side, the two coupled inductors in the cell are in reverse series connection. S1~S2 are the main switching devices; S_{c1} ~ S_{c2} are the active clamping switching devices; C_{c1} and Cc2 are the clamp capacitors. L1~L2 are the coupled inductors, the coupling terminals are remarked with "*", and "o". The primary and secondary winding turns of coupled inductors are n1 and n2, respectively. The leakage inductances are marked as $L_{LK1}-L_{LK2}$ for the coupled inductors L_1-L_2 , respectively. Fig. 1(b) illustrates the proposed topology, where $S_3 \sim S_4$ are the main switching devices; $S_{c3} \sim S_{c4}$ are the active clamping switching devices; C_{c3} and C_{c4} are the clamp capacitors; L₃~L₄ are the coupled inductors, and the coupling terminals are remarked with " \square ", and " \blacksquare ". L_{LK3} and L_{LK4} are their leakage inductances. In Fig. 1(b), the primary sides of all cells are paralleled with the input source Vin, and the secondary sides of all cells are connected in a matrix form. D₀₁, D₀₂ ... are the rectifier diodes. R is the load resistance, and Cout is the output capacitor. On the secondary side, an $s \times p$ matrix transformer is formed, where s is the row number and p is the column number. In the case of silicon carbide (SiC) switches, high voltage high current devices are now commercially available such as QJD1210011_14 (1200 V/100 A). Higher voltage devices such as 10 kV SiC are becoming available [29]. Owing to the matrix structure on the secondary side, expanding the row number and column number can increase the power level and voltage step-up ratio, respectively. This makes the proposed topology flexible and scalable.





A 2×2 topology is first developed to illustrate the working principle of the proposed topology, as shown in Fig. 2. For a single cell, typical waveforms are presented in Fig. 3 where the drive signals of main switching devices and clamp switching devices are complementary; and the duty ratio is fixed at 0.5. In the intervals $[t_1, t_2]$ and $[t_3, t_4]$, soft switching of main switching devices and active clamp switching devices can be achieved due to the active clamp structure on the primary side of each cell [30]. There are four basic working stages for the single cell topology, as presented in Fig. 3 and explained in detail as follows.

Stage 1 $[t_0, t_1]$: at t_0 , main switch S_1 is turned on while S_2 is turned off. The clamping switch S_{c1} is off and the clamping switch S_{c2} is on. L_1 works in forward mode and L_2 in flyback mode.

Stage 2 $[t_1, t_2]$: at t_1 , S_1 receives a turn-off gate signal, increasing its drain-source voltage in an approximately linear way of the main switch. Due to a low parasitic capacitance and a large current in the primary-side circuit of the coupled inductor, this period is short. At t1, Sc2 receives a turn-off gate signal. At this stage, the switching devices can achieve soft switching.

Stage 3 $[t_2, t_3]$: at t_2 , S_2 , S_{c1} receive a turn-on signal. L_2 works in forward mode and L_1 in flyback mode.

Stage 4 [t₃, t₄]: At t₃, S₂ receives a turn-off gate signal, increasing its drain-source voltage of the main switches, and S_{c1} receives a turn-off gate signal. At this stage, the switching devices can achieve soft switching.

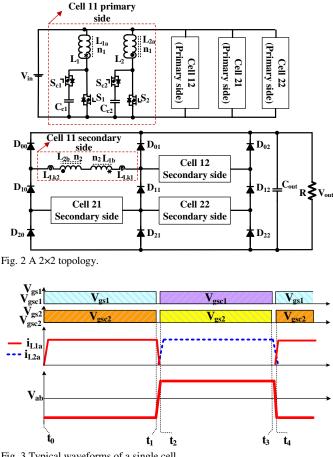
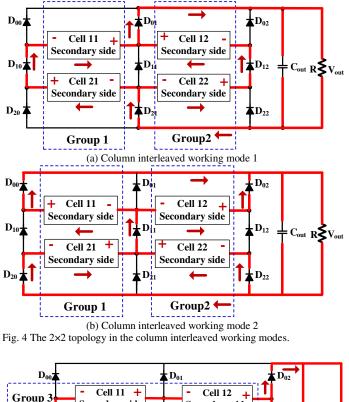


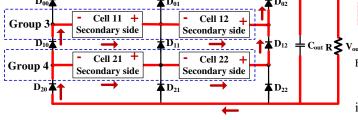
Fig. 3 Typical waveforms of a single cell.

For the 2×2 topology, there are two working modes. The first mode is presented in Fig. 4. The secondary side is divided into two groups. Group 1 consists of cells 11 and 21; group 2 consists of cells 12 and 22. Cells 11 and 21 have reverse polarity by controlling the primary side of the switching devices. In order to keep the group independence, cells 11 and 12 have reverse polarity, and so do cells 21 and 22.

In column interleaved working mode 1 (Fig. 4(a)), the secondary sides of cells 11 and 21 in group 1 are seriesconnected and the current passes through diodes D_{01} , D_{10} and D₂₁. For group 2, the secondary sides of cells 12 and 22 are series-connected and the current flows in diodes D_{01} , D_{12} and D_{21} . In column interleaved working mode 2 (Fig. 4(b)), the secondary sides of cells 11 and 21 in group 1 are seriesconnected and the current passes through diodes D₀₀, D₁₁ and D₂₀. For group 2, the secondary sides of cell 12 and 22 are also series-connected and the current flows in diodes D₀₂, D₁₁ and D_{22} . In the column interleaved working mode, D_{01} , D_{11} and D_{21} take a double current than other diodes. Besides, groups 1 and 2 have reverse polarity while cells in a group have the same polarity.

The other operational mode is the row interleaved working mode, as presented in Fig. 5. In order to keep group independence, cells 11, 12, 21 and 22 have the same polarity. Their secondary sides are connected into two groups (3 and 4). Similarly, there are two row interleaved working modes. In row interleaved working mode 1 (Fig. 5(a)), for group 3, the secondary sides of cells 11 and 12 are in series connection and the current passes through diodes D_{02} , D_{10} and D_{20} . For group 4, the secondary sides of cells 21 and 22 are in series connection and the current flows through diodes D₂₀, D₁₂ and D₀₂. In row interleaved working mode 2 (Fig. 5(b)), for group 3, the secondary sides of cells 11 and 12 are in series connection and the current passes through diodes D₀₀, D₁₂ and D₂₂. For group 4, the secondary sides of cells 21 and 22 are in series connection and the current flows through diodes D_{00} , D_{10} and D₂₂. In the row interleaved working modes, group 1 and group 2 has the same polarity. In the same group, adjacent cells have reverse polarity. D_{00} , D_{02} and D_{20} D_{22} take a double current than in other diodes.





(a) Row interleaved working mode 1

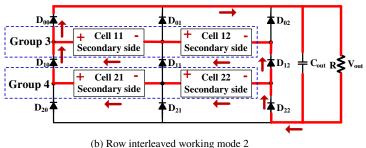


Fig. 5 The 2×2 topology in the row interleaved working modes.

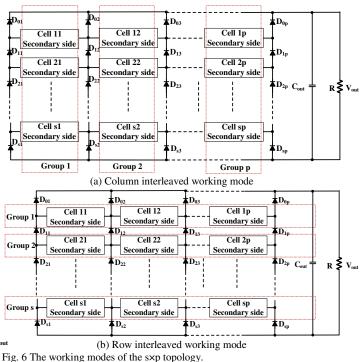
III. ANALYSIS OF THE SXP TOPOLOGY WITH COLUMN, ROW, AND HYBRID WORKING MODES

For HVDC applications, the cell matrix on the secondary side can be expanded. Similar to the working modes of the 2×2 converter, the sxp converter also has two basic working modes. Fig. 6(a) presents the column-interleaved working mode, where cells 11~s1 are connected in series to form column group 1, and so do groups 2~p. Fig. 6(b) shows the row-interleaved working mode, where cells 11~1p are connected in series to form row group 1, and so do groups 2~s. These groups all work in interleaved modes.

For a single cell, the output voltage can be expressed as

$$\frac{V_{cell}}{V_{in}} = \frac{N}{1-D} \tag{1}$$

where D is the duty ratio of the main switch and V_{cell} is the output voltage of a cell, N is the turns ratio $(=n_2/n_1)$.



For the column interleaved working mode, there are s cells in series connection in each group and p groups in parallel connection. All the cells have the same duty ratio. The output voltage can be expressed as:

$$V_{out} = \frac{s \cdot N}{1 - D} V_{in} \tag{2}$$

where V_{out} is the output voltage of the converter.

For the row interleaved working mode, there are p cells in series connection in each group and s groups in parallel connection. All the cells have the same duty ratio. The output voltage is given by:

$$V_{out} = \frac{p \cdot N}{1 - D} V_{in} \tag{3}$$

In addition to two basic working modes, there exists a hybrid working mode. As shown in Fig. 7, there are two columns in a group. For example, all the cells in group 1 work in series connection that can increase the output voltage. The cells in each row have the same polarity, and these in adjacent rows have reverse polarity in the group. The corresponding converter output voltage can be expressed as

$$V_{out} = \frac{x \cdot s \cdot N}{1 - D} V_{in} \tag{4}$$

where x is the number of columns in the group.

In order to achieve flexibility, the working mode of idle cells is also developed. Taking the column interleaved working mode for example. Cells 11, 12, ..., 1p are idle, as shown in Fig. 8(a). In each group, there are only s-1 cells in working conditions, which can be employed to control the output voltage. Therefore, the number of working cells can be flexibly controlled to meet the terminal voltage requirement. Idle cells provide redundancy in the group for fault tolerant operation. In addition to the redundant cells in the group, some redundant groups are also needed to meet the different power levels, as shown in Fig. 8(b).

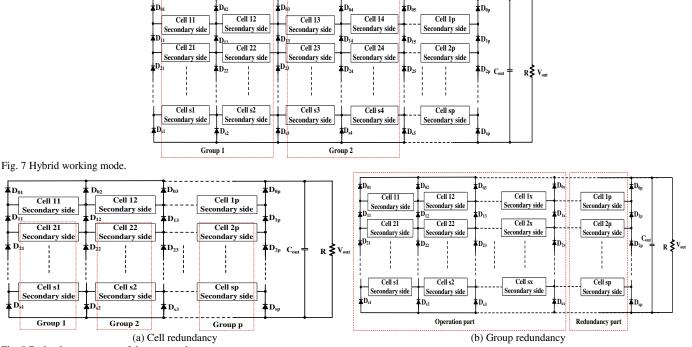
The output capacitor is a key component for converter design. While a large capacitance could decrease voltage ripple, it can also generate a large fault current when a short circuit occurs. Therefore, a low capacitance is favored in this work to decrease system cost and over-current amplitude. In the proposed converter, an interleaved working strategy is introduced. Groups 1 and 2 work in complementary manner to form group 12. Similarly, groups 3 and 4 form group 34, as shown in Fig. 9. Idle groups are inserted between working groups, for example, idle group 1 is inserted between groups 12 and 34 to stop the interference. Accordingly, a phase shift between groups 12 and 34 can be applied to decrease output voltage ripple. The same principle can be applied to other groups.

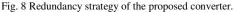
From Eqs. 1-4, the voltage gain can be regulated by controlling the duty ratio and the number of working cells. The relationship between voltage gain and duty ratio is given by:

$$G' = \frac{T \cdot N}{\left(1 - D\right)^2} \tag{5}$$

where T is the number of working cells in each group.

Fig. 10 presents the voltage gain as a function of the duty ratio and the number of working cells. As can be seen that the voltage gain increases with the duty ratio and working cells. However, the duty ratio cannot be employed as the main control variable due to the stability issue. Compared with the duty ratio control, the cell number control has a linear output characteristic and thus is employed as the control parameter.





 \mathbf{D}_1

D,1

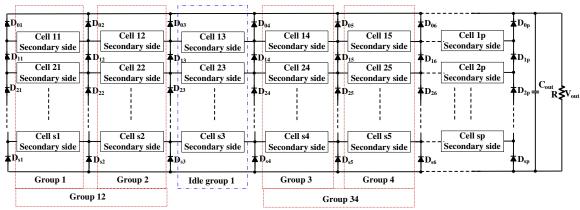
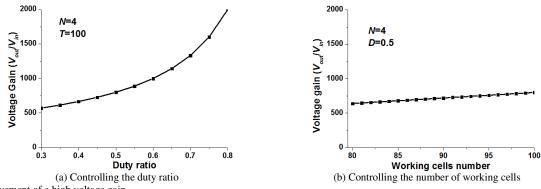
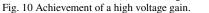


Fig. 9 Interleaved working mode of the proposed converter.





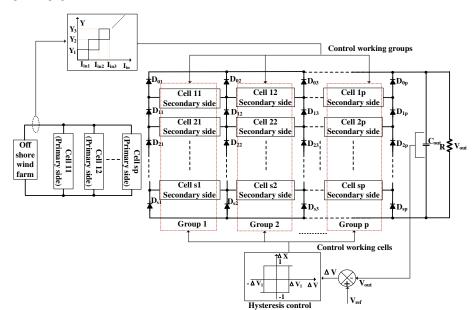


Fig. 11 Control strategy of the proposed topology in a column interleaved mode.

Because all groups are connected in parallel, controlling the group numbers can vary power levels. A high number of working groups will give a higher power output. Clearly, variable voltage and power output can be achieved, as shown in Fig. 11. Voltage control is mandatory to meet the system operation requirement and power control is aimed to improve the energy efficiency. The former is achieved by controlling the number of working cells while the latter by choosing working groups according to the input current. The same idea can be applied to both row interleaved working mode and hybrid working mode.

IV. ANALYSIS OF VOLTAGE STRESS ON POWER DEVICES

For further analysis, the following assumptions are made: a) the two coupled inductors are identical; b) the two clamp capacitors are identical; c) the voltage of the clamp capacitors is constant; d) the effect of the dead time between the main switches and clamp switches is neglected.

For primary-side components, the voltage stress on main switching devices and active clamp switching devices voltage stress can be expressed as

$$V_C = V_{ds} = \frac{V_{in}}{1 - D} \tag{6}$$

where V_{ds} is the voltage of main switching devices and active clamp switching devices; V_c is the voltage of the clamp capacitor.

In order to realize soft switching, the energy stored in the leakage inductance of coupled inductors should be greater than that stored in the parasitic capacitance of main switching devices when the clamp switching devices turn off. This is the condition to achieve zero voltage switching (ZVS) for main switching devices. In this case, light load operation is avoided by controlling the number of working groups, as illustrated in Fig. 11. Because the anti-parallel diode in the clamp switching of clamp switches can be achieved automatically.

For secondary-side components, the rectifier diodes are of two types. One is the terminal rectifier diodes (i.e. D_{00} ~ D_{0p} and D_{s1} ~ D_{sp}). Because one winding is linked with those diodes, the corresponding voltage stress is given by

$$V_{D1} = \frac{N}{1 - D} V_{in} \tag{7}$$

Other rectifier diodes are linked with two cells. The corresponding voltage stress can be expressed as

$$V_{D2} = \frac{2N}{1-D}V_{in}$$
 (8)

Clearly, all the switching devices are subjected to a low voltage stress.

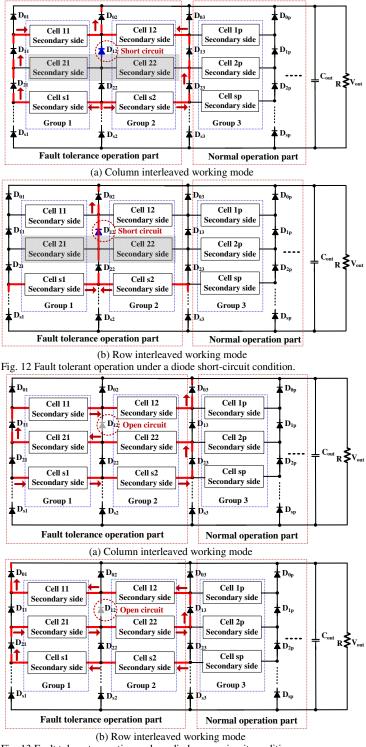
V. FAULT TOLERANCE OF THE CONVERTER

Power switches are the vulnerable components in the converter. If primary-side switching devices are faulted, the secondary side can also be affected. In the proposed converter, the faulty cells are replaced by redundant cells in the faulty group. If the faulty cells are more than redundant cells, the duty ratio of cells in the faulty group can be employed as a control parameter.

On secondary side, when the rectifier diodes are shorted or open circuited, the proposed topology is also fault tolerant. Assume that D_{12} is short-circuited in Fig. 12. In order to block the faulty element, cells 21 and 22 are made idle. The corresponding working modes are illustrated in Fig. 12. By the fault tolerance control strategy, groups 1 and 2 can still operate with faults.

Assume that D_{12} is open-circuited in Fig. 13. Groups 1 and 2 are combined into one group to block the faulty element. The corresponding working modes are illustrated in Fig. 13.

Owing to the high switching frequency and interleaved structure of the secondary-side circuit, smaller filter capacitors can be used and the energy stored in them. When a DC short circuit fault occurs at the HVDC cable, the energy releasing to the cable is limited. Furthermore, the secondary side of the converter is formed by high frequency transformer windings and diodes. By turning off the primary side switching devices, the energy flow in the high-frequency transformer is terminated. Therefore, the proposed topology is characterized with a low-inertia, which assists in the fault ride-through feature of the HVDC system.





VI. SIMULATION STUDY

A 7×5 matrix converter model is built in PSIM, using the parameters tabulated in Table I. The secondary-side structure includes one idle column and one idle row as redundancy, as presented in Fig. 14.

TABLE I. SIMULATION PARAMETERS OF THE CONVERTER

Component	Value
Cells number	35
Secondary-side row number	7
Secondary-side column number	5
Idle row number	1
Idle column number	1
Input voltage	15 V
Output voltage	700 V
Clamp capacitor	$20\mu\mathrm{F}$
Output capacitor	$47 \mu F$
Turns ratio	4:1
Switching frequency	5 kHz

Groups 1 and 2 have an 180° phase shift, so do groups 4 and 5. Group 3 is an idle group. In order to decrease the output voltage ripple, groups 1 and 4 have a 90° phase shift. The phase relationship between the four groups is: 0° for group 1, 90° for group 4, 180° for group 2, 270° for group 5. I_{g1} , I_{g2} , I_{g3} , I_{g4} , I_{g5} , and I_{g6} are the diode bridge arm currents in Fig. 14.

Fig. 15 shows the simulation results of the proposed topology working in the column-interleaved mode. The output voltage is 700 V, as shown in Fig. 15(a). Due to the leakage inductance of the coupled inductor, the output voltage is slightly lower the theoretical calculation. Although the output capacitor is only 47 μ F, the output voltage ripple is effectively limited by the interleaved structure. V₁₁, V₁₂, V₁₄ and V₁₅ are the

output voltages of cells 11, 12, 14 and 15, respectively, which have a 90° phase shift. Soft switching is achieved for main switching devices. Fig. 15(b) illustrates the voltage and current waveforms for the secondary-side diodes. The voltage stress on D_{01} is half of that on other diodes (D_{11} , D_{21} , D_{31}). I_{g2} is the sum of currents in groups 1 and 2. I_{g5} is the sum of currents in groups 4 and 5. Therefore, I_{g2} and I_{g5} have a peak current twice as much as I_{g1} , I_{g3} , I_{g4} and I_{g6} . Fig. 15(c) presents the output waveforms for cells and active clamp switches. The soft switching of active clamp switches can also be achieved.

Fig. 16 presents the simulation results of the converter under diode fault conditions. The steady state waveforms are illustrated in Fig.16 (a). When D_{22} is short-circuited at 0.0045s, cells 31 and 32 stop working to block the fault. Redundant cells 71 and 72 start to work (fault-tolerant operation). The dynamic response of the secondary side circuit is illustrated in Fig. 16(b). After rearranging working cells, the group output current can be recovered.

If D_{22} is open-circuited at 0.005s, groups 1 and 2 are combined, cells 11, 12, 21, 22, 31 and 32 are activated, and the remaining cells are made idle, according to the proposed fault tolerance strategy. Fig. 16(c) shows the corresponding simulation results. The dynamic response of the secondary side circuit is illustrated in Fig. 16(d). After rearranging working cells, groups 1 and 2 form a new group, and I_{g2} decreases to zero.

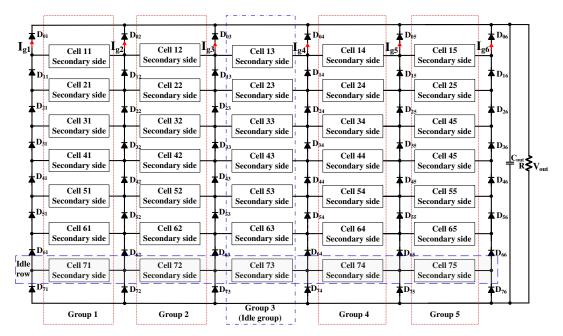
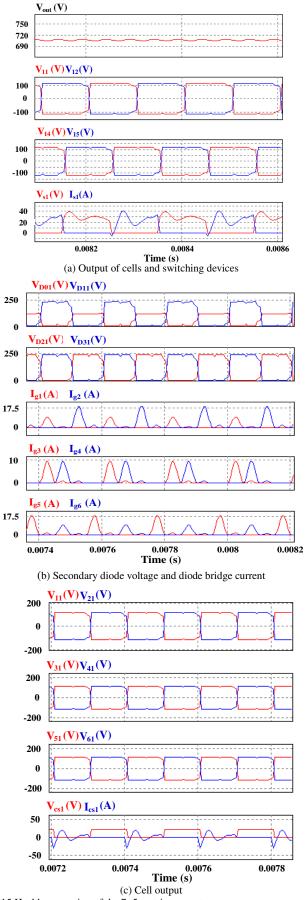
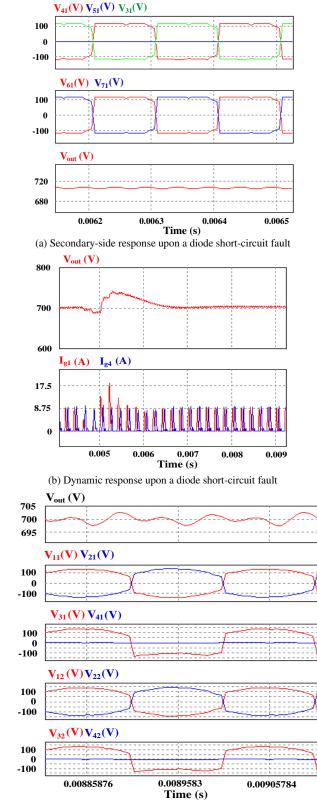


Fig. 14 The 7×5 matrix converter for simulation.



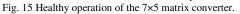


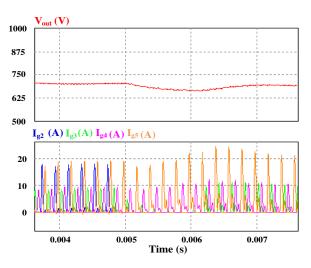
 $V_{11}(V) V_{21}(V)$

100

-100

0





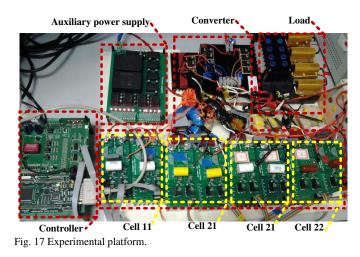
(d) Dynamic response upon a diode open-circuit fault

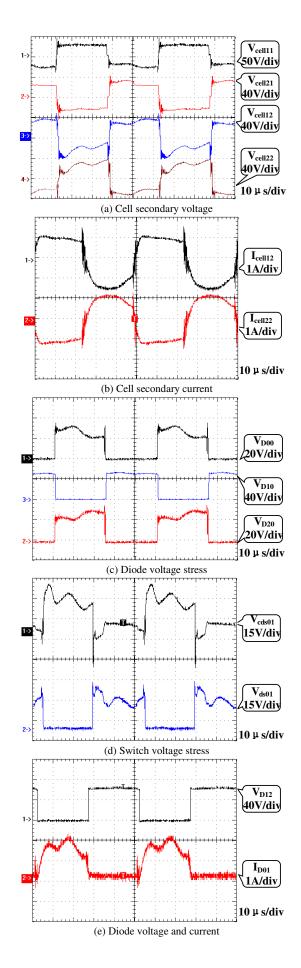
Fig. 16 Secondary-side fault-tolerant operation.

VII. EXPERIMENTAL VALIDATION

A 400-W prototype converter with four cells is built up to verify the proposed topology and control strategy, as shown in Fig. 17. For this system, the input voltage is 8 V and output voltage is 50 V. The main switching devices and active clamp switching devices are FDP047AN with a switching frequency of 20 kHz. Secondary-side diodes use STTH6012W, the clamp capacitor is 10 μ F, output capacitor is 470 μ F, and the turns ratio of coupled inductors is 4.

The experimental results are presented in Fig. 18. The output waveforms of the four cells in column interleaved working mode are shown in Fig. 18(a). The voltage spike of each cell is caused by the dead-time effect. The currents of cells 12 and 22 are illustrated in Fig. 18(b). There is a 180° phase shift between cells 12 and 22. Fig. 18(c) presents the voltage stress on diodes. Fig. 18(d) presents the voltage stress on the switching devices. From the theoretical analysis, the terminal rectifier diodes (D₀₀, D₂₀) have a half voltage of other diodes (D₁₀). Owing to the leakage inductance of the coupled inductor, the reverse recovery effect is decreased, as shown in Fig. 18(e). The soft switching performance is presented in Fig. 18(f).





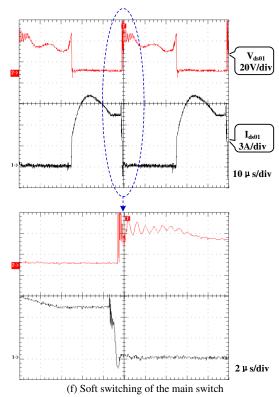
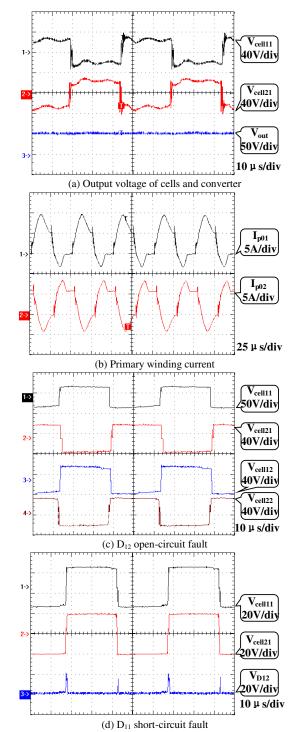


Fig. 18 Experimental results of the converter in column-interleaved mode.

Fig. 19 shows the experimental results of fault tolerance operation. When cell 12 is faulted, cells 11 and 21 can still operate to sustain the output voltage; and cell 22 is idle. The corresponding experimental results are shown in Fig. 19(a). Fig. 19(b) presents the primary side current of cell 11. Due to the series connection of the secondary side circuit, the current sharing on the primary side can be achieved automatically. When D_{12} is open-circuited, cells 11, 12, 21 and 22 work in serial connection mode to realize fault tolerant operation. The experimental waveforms are presented in Fig. 19(c). In this case, diodes D_{02} , D_{12} and D_{22} do not conduct. Fig. 19(d) shows the fault tolerance operation when D_{11} is short-circuited. The voltage spark of D_{12} is caused by dead-time effect.

Fig. 20 shows the converter efficiency under the column interleaved mode, row interleaved mode and single group conditions. When the output power is 352 W in the row interleaved mode, the proposed converter achieves the maximum efficiency (92%). The row interleaved mode and column interleaved mode have a slightly lower efficiency in the low output power area while the single mode has a higher efficiency. Therefore, in low-power conditions, the use of idle mode can improve the converter efficiency. However, the main purpose of this work is to validate the effectiveness of the proposed technology by experiments on a small-scale prototype. The converter efficiency can be further improved as the power rating is increased to the target HVDC applications.





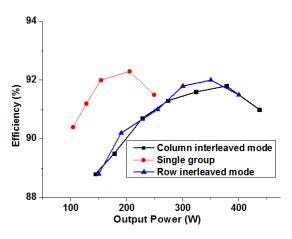


Fig. 20 Efficiency of the proposed converter.

VIII. CONCLUSION

This paper has presented a modular high step-up DC-DC converter for HVDC transmission of offshore wind power. Owing to the matrix structure on the secondary-side circuit, high power and high voltage can be built up using low-voltage switching devices. The coordinated control strategies including fault tolerance control are also proposed to take advantage of the proposed converter. From the theoretical analysis, simulation and experimental tests, the proposed converter is proven to be effective. The key features of the proposed converter are summarized as follows:

i) It has modular structure, electrical isolation, ultra high voltage step-up ability, low voltage stress on solid state devices, fault tolerant operation over a wide input power range.

ii) The increase in the row cell number can build up the converter power and the increase in the column cell number can build up the voltage level. This shows excellent scalability.

iii) Various control strategies are also developed to achieve maximum efficiency output and fault tolerant operation when subjected to short-circuit or open-circuit faults.

The proposed topology is viable for unidirectional power flow that can be used to connect wind farms with HVDC terminals. In the multi-terminal HVDC system, the grid-side converter needs bidirectional power flow, which can be achieved by conventional MMCs or AAC topologies.

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