

Automated Detection of Multiple Pavement Defects

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Abstract:

The World Bank reports that pavement networks carry more than 80% of a country's total passenger-km and over 50% of freight ton-km, justifying the importance of efficiently maintaining pavements. Knowing the pavement condition is essential for efficiently deciding on maintenance programs. Current practice is predominantly manual with only 0.4% of inspections happening automatically. All methods in the literature aiming at automating condition assessment focus on two defects at most, or are too expensive for practical application. In this paper, we propose a low-cost method that automatically detects pavement defects simultaneously using parking camera video data. The types of defects addressed in this paper are two types of cracks, longitudinal and transverse, patches and potholes. The method uses the Semantic Texton Forests (STFs) algorithm as a supervised classifier on a calibrated region of interest (myROI), which is the area of the video frame depicting only the usable part of the pavement lane. It is validated using data collected from the local streets of Cambridge, UK. Based on the results of multiple experiments, the overall accuracy of the method is above 82%, with a precision of over

23 91% for longitudinal cracks, over 81% for transverse cracks, over 88% for patches and over 76%
24 for potholes. The duration for training and classifying spans from 25 minutes to 150 minutes,
25 depending on the number of video frames used for each experiment. The contribution of this
26 paper is dual: 1) an automated method for detecting several pavement defects at the same time,
27 and 2) a method for calculating the region of interest within a video frame considering pavement
28 manual guidelines.

29 **Keywords:** pavement assessment; pavement defect; automated detection;

30 INTRODUCTION

31 The US Society of Civil Engineers and the UK Institution of Civil Engineers have each graded
32 their country's respective pavement infrastructure with a D, emphasizing the poor condition of
33 existing pavements (ASCE 2013; ICE 2014). A survey held in the UK regarding the country's
34 infrastructure showed that 52% of UK businesses reported a deterioration of highways, and 77%
35 expect the same trend for the near future (CBI and URS 2014). More than 85% of respondents
36 believe that the bad quality of pavements is a consequence of the current maintenance
37 procedures. A similar survey held two years earlier revealed that this is a concern for the
38 citizens as well, as 43% identify the urgency of revising the currently-followed maintenance
39 process (Audit Commission 2011).

40 Pavement condition assessment is a prerequisite for efficiently designing, planning and
41 deciding on maintenance programs. The initial requirements for an asset management system is
42 to be aware of the existing assets, their status and the level of service they provide (NAMS
43 Group 2006). The Department of Transport and Highways Agency in the UK report that current
44 pavement condition data is insufficient and gaps exist in the collected information (National
45 Audit Office 2014). Figure 1 shows a depiction of the current practice. The colored background

46 boxes include the name of each step of the process. The white colored background boxes
47 include the way that each method is performed, either automatically or manually. The steps of
48 defect identification and assessment are mainly manual, however some road authorities own
49 software for automatically detecting and assessing cracks.

50 The aim of the process is to capture the longitudinal and transverse profiles of the
51 pavement, the condition at its edges, and the texture of the surface. At first, inspectors are
52 collecting raw data either automatically or manually. Automated data collection uses specialized
53 vehicles that are mounted with laser scanners, pavement profilers, accelerometers, image and
54 video cameras, and positioning systems (DfT 2011). Several US states own such vehicles for
55 automatically detecting pavement data (Attoh-Okine and Adarkwa 2013; Liosatos 2013; Rami
56 and Kim 2015; Richardson et al. 2015; Rick Miller 2015; Zhou et al. 2013). The number and
57 type of sensors on those vehicles determine their purchase cost, which usually starts at
58 approximately £500,000 (Werro 2013). The choice of sensors also drives the operational costs,
59 which is between £20 and £40 per kilometer. Due to these high costs, the use of automated data
60 collection is restricted to the primary pavement network and only once per year (MnDOT 2009).

61 In the case of the UK, the primary road network constitutes almost 20% (major and 'B'
62 roads correspond to 50,200 miles out of 245,800 (DfT 2015)) of the total pavement length.
63 Inspectors are driving the primary network, for inspection purposes every week of the year.
64 Hence, 52 times a year the primary network is inspected manually. In addition, automated
65 inspection is applied on that part of the network only once a year. The above translates into 98%
66 (52/53) of manual inspection and 2% (1/53) of automated inspection. As for the rest of the
67 network, it is only inspected manually. So: a) Volume of manual inspection = $80\% + 20\% * 98\%$
68 = 99.6%, and b) Volume of automated inspection = $100 - 99.6 = 0.4\%$

69 Accredited surveyors who either walk or drive (Dye Management Group, Inc 2015;
70 PublicWorksTraining 2014; Rami and Kim 2015; UKPMS 2005) along the road perform the
71 other 99.6% of inspections. Inspectors insert all gathered data into the road authority's central
72 database at the end of each inspection session. Such data includes images and descriptions of
73 road defects encountered. "Before and after" images are required for repairs conducted on the
74 spot along with a description of actions taken. The inspector is responsible for assigning a
75 priority rating for repair based on the level of the defect's severity, in case he/she cannot address
76 the defect on the spot. Hence, the second and third steps of the assessment process happen at the
77 same time when collecting data manually. Manual visual surveys are time consuming, laborious
78 and inefficient considering the amount of network that inspectors need to cover, in conjunction
79 with the multiple tasks that he/she has to perform.

80 Technicians perform the second and third steps of the process for data collected using
81 automated methods. Multiple screens are used to project video, images, and other sensor data in
82 order for technicians to identify the defective areas and assess their level of severity (FHWA
83 2003; McTavish 2012; MnDOT 2009; Zhou et al. 2013). Image and camera data is mainly used
84 as visual aid material to assist in the defect identification and assessment. The subjectivity of the
85 technician inevitably affects the assessment results based on the level of his/her experience,
86 even if well-written and reliable manuals are utilized during the assessment (Bianchini et al.
87 2010). It is also nearly impossible to analyze the vast amounts of collected data, so only 10% is
88 typically post-processed (MnDOT 2003).

89 We conclude that the current pavement condition monitoring process is laborious, time
90 consuming and subjective based on the limitations identified above. Hence, the aim of this paper
91 is to present a method that is free of such limitations. The contributions of this paper are: 1) an

92 automated method for simultaneously detecting longitudinal and transverse cracks, potholes and
93 patches, and 2) a method for calculating the region of interest within a video frame taking into
94 consideration the sizes of defects that inspectors are looking for according to pavement
95 inspection manuals. The following section presents the current state of research for automated
96 defect detection. The same section also discusses methods that are useful to this paper's research
97 objective. Section 3 details the proposed method for automatically detecting pavement defects
98 simultaneously. Section 4 discusses the implementation process and the results from the
99 validation of the proposed method. Finally, section 5 includes the conclusions derived from this
100 piece of research along with a discussion regarding future work.

101 **BACKGROUND**

102 *Research on pavement defect detection*

103 Research has focused on automating the detection of pavement defects, in order to overcome the
104 limitations of the current practice. Figure 2 depicts the relevant current research in a three-
105 dimensional graph and table 1 provides a list of all relevant references. The papers found in the
106 literature are categorized using three criteria: 1) type of defect (x-axis), 2) type of data used for
107 analysis (y-axis), and 3) level of detail reached (z-axis). The subcategories of the z-axis are
108 presence, detection, and measurement. Presence is the sub-category that includes methods,
109 which answer the simple question of whether a defect exists in the given data or not. Detection
110 is the sub-category of methods that identify the exact position of the defect within the data.
111 Finally, measurement includes methods that are capable of providing the spatial measurements
112 of the detected defect, such as the width and depth of a pothole.

113 Many methods in the literature utilize 2D images as their input. A few have focused on
114 differentiating images that depict pavement defects from those that do not. Several methods that
115 focus on cracks have been proposed in the literature. Some have focused on offline or real-time
116 crack detection. Efforts have been made for classifying the different crack types, such as
117 alligator, longitudinal or transverse. Methods were also developed for estimating the depth of a
118 pavement crack, and for automatically sealing them. A comparison study concluded that none
119 are comprehensive and robust. 2D image-based methods that focus on other defects, such as
120 patches and potholes also exist in the literature.

121 Other methods based on 2D images use stereo vision to reconstruct the captured scene.
122 Researchers initially tested this idea in the area of pavement reconstruction, and used it later to
123 detect highway assets (Balali and Golparvar-Fard 2015; Uslu et al. 2011) such as guardrails and
124 pavement markings. This method, although accurate, does not concentrate on pavement defects.
125 Some researchers have used 3D reconstruction for understanding the pavement surface's texture
126 and for measuring the depth of potholes to calculate the necessary filling material. Others have
127 applied it for the purpose of detecting and classifying cracks or for calculating the crack depth
128 (Yu et al. 2007).

129 Spatial data methods utilize range sensors to detect elevation defects such as rutting and
130 shoving. These defects are not detectable in standalone images. The advantages of those methods
131 are: 1) they are not disruptive, since the vehicle that carries the necessary equipment and
132 performs the data collection can travel up to 100km/hr, and 2) they are insensitive to lighting
133 conditions, which allows their application at any time of the day. These sensors are quite
134 expensive though, which restricts their extensive/regular use in practice.

135 Methods that use vehicle dynamic sensor data aim at either understanding the roughness
136 of the pavement surface or estimating the pavement profile. An accelerometer is such a sensor
137 and its advantage is the small storage it requires for saving the collected data, which allows easy
138 real-time processing. However, it is necessary to calibrate the vehicle with the sensors so the
139 results are possible to compare.

140 In summary, no method addresses all, or even most, pavement defects simultaneously, as
141 shown in the research cube by the empty “all defects” column. Such methods are necessary in
142 order to address the limitations of current practice. Methods that focus on one or a few defects
143 are appealing, but still require the manual detection of the rest. In other words, unless a method
144 that automatically detects all types of defects at the same time is used, inspectors would need to
145 assess the network manually. Having inspectors perform their job for some defects, while other
146 are detected automatically invalidates the practical use of the method for cost reasons. Hence,
147 current practice limitations remain.

148 ***Machine learning for object detection***

149 Machine learning multi-classifier algorithms enable the simultaneous segmentation and
150 recognition of several objects in images (Shotton et al. 2009; Uijlings et al. 2010; Zhang 2000).
151 There are three different categories of such algorithms, and those are supervised, semi-
152 supervised and unsupervised. Supervised are the algorithms that use multiple manually annotated
153 data/images to train themselves how to detect certain patterns. Training images typically depict
154 several poses of the object(s) in interest, to cover all possible appearances. Such algorithms
155 create a codebook of visual words during training, and each word corresponds to a region of the
156 image. This is achieved with the extraction of feature descriptors using algorithms such as SIFT

157 (Scale Invariant Feature Transform) (Lowe 2004) and SURF (Speed-Up Robust Features) (Bay
158 et al. 2008).

159 During road condition assessment the aim is to identify road defects and distinguish them
160 from each other. Thus, both the input and the output are known in advance. Road data is easy to
161 find and collect, so there is no need to engage unsupervised training, which is usually meant for
162 cases where data is insufficient or difficult to obtain. Another parameter of categorizing learning
163 algorithms is by considering the way they are operating. This is with respect to whether they
164 make a generalization based on the training data and build a rule for classifying new data, or
165 whether they use all of the training data for every classification decision. The former is the so-
166 called eager learning, whereas the latter is named lazy learning. Lazy learning techniques require
167 a large storage space and are quite slow while classifying data, and thus are not selected for the
168 purpose of this paper.

169 Artificial Neural Networks (ANNs) are a widely used family of classification algorithms
170 (Zhang 2000) and are based on the notion of perceptrons, consisting of a large number of units
171 (neurons) connected in different patterns. Researchers have used ANN methods for road
172 condition related problems such as crack detection (Wu et al. 2016; Xu et al. 2008), defects and
173 road roughness reconstruction (Ngwangwa et al. 2010) and road profile estimation (Solhmirzaei
174 et al. 2012). The main disadvantages of the ANN methods are: 1) they are quite slow and require
175 much time for training, 2) designing the hidden layer and its nodes is difficult because an
176 underestimate in the number of neurons can lead to poor results (Kotsiantis et al. 2007), and 3)
177 they underperform in noisy data.

178 Support Vector Machines (SVM) is another supervised classification method. The main
179 idea of SVMs is to construct a set of hyperplanes for classifying data based on their distance

180 from them (Wu et al. 2008). Usually, a range of potential settings are tested and cross validated
181 to identify the best option in each problem. For that reason, SVMs have low speed in the training
182 phase (Kotsiantis et al. 2007). On the other hand, the complexity of the model is unaffected from
183 the number of features selected for the training phase and this constitutes a benefit of the method.
184 They are very popular for binary classifications. However, they do not seem suitable for the
185 classification of multiple defects.

186 Superpixel algorithms are quite popular recently within the computer vision community
187 for image segmentation applications. Such algorithms segment images into groups of pixels that
188 are meaningful atomic regions. Many approaches exist in the literature (Felzenszwalb and
189 Huttenlocher 2004; Levinshtein et al. 2009; Veksler et al. 2010), each one with its own
190 advantages and limitations, and the characteristics of each application define which one is the
191 best to be applied. However, some considerations/limitations that affect the quality of a
192 superpixel algorithm are the following: 1) many parameters need to be tuned, which can result in
193 lost time and poor performance, 2) providing the option to specify the amount of superpixels,
194 which isn't a characteristic of all such algorithms, and 3) providing the ability to control the
195 compactness (compactness refers to a regular shape and size of the superpixels along with
196 smooth boundaries (Schick et al. 2012)) of superpixels, which is desirable but not always
197 possible (Achanta et al. 2012).

198 Semantic Texton Forests (STFs) is a supervised learning algorithm (Johnson and Shotton
199 2010) which uses kernel features instead of feature points during classifier training. STFs consist
200 of randomized decision forests, which are classifiers formed by several decision trees (Geurts et
201 al. 2006). Decision trees are trained using the bag of semantic textons that is created during
202 training. At that phase, features are extracted using a squared patch of pixels with predefined

203 dimensions. Additionally, randomly selected subsets of features are utilized to assign a class
204 distribution and a binary function at each tree node. The class distribution represents the
205 probability of the tree node. The binary function is formed using the raw pixel values. The
206 advantage of this tactic is that it ensures greater speed and avoids over-fitting (Johnson and
207 Shotton 2010).

208 In general, there is no best learning technique (Kotsiantis et al. 2007; Wu et al. 2008).
209 The No Free Lunch Theorems of Optimization (Wolpert and Macready 1997) show that a unique
210 optimal method is impossible and the best technique always depends on the nature of the
211 problem. Accuracy is a characteristic that is highly desirable for the aim of this paper.

212 *From image to world coordinates*

213 One of the types of data that inspectors collect when inspecting the pavement network is
214 video of the lane and its surroundings. For those cases, it is useful to know the world coordinates
215 of the objects depicted. This is achievable by projecting the objects in the video frame from the
216 camera's optical plane to the pavement plane. This process is known as Inverse Perspective
217 Mapping (IPM) and it has seen application in pavement lane extraction (Aly 2008; Tapia-
218 Espinoza and Torres-Torriti 2013). IPM uses the pinhole camera model and the following
219 assumptions in order to be constructed:

- 220 a) The world coordinate system is fixed to the vehicle; $\{x^w, y^w, z^w\}$, and
- 221 b) The camera is positioned at the rear of the vehicle (in the middle) at a specific height h from
222 the ground and is tilted towards the pavement plane forming an angle θ_0 with an axis parallel to
223 x^w going through the focal point.

224 Figure 3 depicts the IPM model and equations (1) and (2) (Tapia-Espinoza and Torres-
225 Torriti 2013) show how to calculate the x and y coordinates of a point P in the world using its

226 position within the image. The image plane is assumed to be of size $m \times n$ pixels. The point p
227 can be represented with the coordinate pair (u, v) when considering the reference system of the
228 camera, where u and v are the horizontal and vertical axes of the image sensor. It can also be
229 represented with the pair (r, c) of the standard image row-column.

230 In conclusion, based on the state of research, although methods that automate the
231 detection of defects do exist, those are restricted to just one or a couple of defects at a time.
232 Hence, the necessity of applying laborious and time-consuming manual detection methods
233 remain. Another limitation of current methods is that some require expensive sensors for data
234 collection, which makes them unattractive for regular usage. On the other hand, methods that use
235 cheap sensors, such as accelerometers, are restricted to the lowest level of detail (presence)
236 which is not enough for practitioners. Given the limitations of the current practice and state of
237 research, we consider the following question: How can we efficiently detect most pavement
238 defects simultaneously? Our objective for this paper is to propose such an approach.

239 **PROPOSED SOLUTION**

240 There are three main parts of the research question that the authors are concentrating their focus.
241 One is the key word “efficiently”, next is “most pavement defects”, and last is “simultaneously”.
242 In order to meet the objective of proposing an efficient solution, the authors aim to propose an
243 approach that is both low-cost and automated. Such a method could not only be appealing to
244 practitioners, but also easily and widely adopted. For that reason, the proposed method (figure 4
245 depicts a diagram of the overall vision of this research) utilizes parking cameras.

246 The idea of using such a sensor originates from the motivation of transforming everyday
247 road users into ubiquitous pavement condition reporters. Parking cameras already exist in many
248 cars, and they are gradually becoming a standardized feature, so there is no additional equipment

249 cost required. It is also worth mentioning that all cars in the USA are mandated to have such a
250 sensor installed by 2018 (NHTSA 2014).

251 One camera is not enough for capturing all pavement defects, and those related to the z-
252 axis of the road (e.g. depressions and rutting) are particularly susceptible to this limitation. The
253 proposed solution utilizes an additional sensor to account for this limitation, allowing detection
254 of most defect types. Specifically, a vehicle dynamic sensor is used, which is capable of
255 capturing defects such as pavement elevations and depressions. Additionally, a GPS device
256 assists in the geo-tagging of all collected data in order to provide the location information of
257 detected defects. The suggested sensors are low-cost, providing a significantly cheaper
258 automated way of collecting data in comparison to current practice. Finally, after the detection of
259 defects, the solution includes the automatic assessment of their severity. Both defect detection
260 and assessment are proposed to be fully automated in contradiction to the mainly-manual current
261 practice. The proposed system does not require any lightning support since it is designed for use
262 under daytime fair weather conditions, which is consistent with the current practice.

263 This paper's scope is limited to the detection and classification of surface defects,
264 defining how parking camera feeds are used in support of the overall solution. The black-dotted
265 rectangle in Figure 4 provides a visual indication of how this paper's scope fits within the
266 framework of the larger solution. For that step of the overall vision, we hypothesize that applying
267 a supervised learning algorithm can detect several defects occurring in video frames in a more
268 efficient way than standalone algorithms. In particular, we propose the use of Semantic Texton
269 Forests (Johnson and Shotton 2010). The scope is restricted to the following pavement defects:
270 longitudinal and transverse cracks, patches and potholes. However, this method can address
271 additional defects (if trained accordingly) to cover them all when combined with vehicle

272 dynamic sensor data. The method proposed in this paper automates the first and second steps of
273 the pavement condition assessment which can be seen in figure 1.

274 **RESEARCH METHODOLOGY**

275 *Pavement defects' multi-classifier*

276 The flowchart of figure 5 depicts the research activities followed for testing the
277 hypothesis of this paper. We initially collect pavement video data, and then process each frame
278 separately to prepare the ground truth. This step is performed manually and it is necessary for the
279 following step of the methodology. Ground truth video frame data include the following
280 metadata: 1) whether they are defective, 2) the type(s) of defects they include, and 3) the location
281 of each defect within the frame (coordinates of a polygon surrounding the defect). Once a
282 defective frame is prepared, we save two copies for training and testing purposes. One copy is
283 the plain image of the video frame and the other is a blank copy of the frame showing the
284 designated defective areas. The part of the frame that corresponds to areas other than defects is
285 marked as void. The first and second columns of figure 9 are examples of such copies. A specific
286 color represents each defect (see table 2).

287 The parameters that affect the performance of the method are set before the training step.
288 During training, the algorithm “learns” how to detect each defect. Video frames are randomly
289 selected from the previously prepared ground truth data. Only a portion of the ground truth data
290 is used in this step and the rest is used in the following one. At this stage, the plain image copy
291 facilitates the identification of the characteristic features of each defect, and the copy marked
292 with the designated defective areas directs the algorithm to search in the right part of the image.
293 STFs perform segmentation based on bag of semantic textons that groups decision trees and act
294 directly on the video frame pixels. Textons and priors are used as features for labeling pixels.

295 After the training stage, we apply the trained STFs to the rest of the video frames (the
296 ones that have not been used in the previous stage) in order to test their performance. Both
297 training and testing are fully automated and don't need any human intervention. The outcome of
298 the process is segmented versions of the testing video frames produced by the algorithm. Last,
299 we calculate the statistics by comparing the results of the STFs with the ground truth to measure
300 the applicability of the algorithm and compare the combinations of parameters that affect its
301 performance.

302 *Finding the Region of Interest*

303 Parking cameras have wide angles of view, usually greater than 90 degrees, both horizontally
304 and vertically. For this reason, each video frame depicts more than just the travelled pavement
305 lane. Surroundings such as the sky, following vehicles, trees, etc. are also depicted (see example
306 in figure 6).

307 Since this study focuses on detecting specific types of pavement defects, the useful part
308 of the video frame is that which depicts the pavement lane only. We are naming this area myROI
309 (my Region of Interest), an example of which can be seen in figure 6. In order to calculate this
310 region, the following are used: 1) Equations of IPM, 2) Camera's position and specifications
311 (image analysis and lens' angles of view), 3) Pavement lane width, which is the other component
312 for calculating the side boundaries of myROI, and 4) Inspection guidelines, which uses the sizes
313 of defects that inspectors are looking for to define the upper bound of myROI.

314 First, the image coordinates are mapped to world coordinates using the equations of IPM.
315 The characteristics that are used at this step are the camera's position and specifications. Then
316 the real world distance that is represented by consecutive video frame rows is calculated. This
317 information is then used, along with the size of defects that need to be reported based on

318 pavement defect manuals and the width of the road that is being inspected, in order to calculate
319 the vertices of myROI.

320 **IMPLEMENTATION & RESULTS**

321 *Experimental setup*

322 We collected data using two cameras: an HP Elite Webcam, chosen to simulate a low-
323 resolution parking camera, and a Point Grey Blackfly 05S2M-CS that meets the standards of
324 parking cameras available in the market. Research on commercially available parking cameras
325 and car manufacturers' websites highlighted the specifications required to simulate existing
326 parking camera models. Parking cameras typically have low resolution (maximum 0.4MP) and
327 wide angles of view. Compared to the HP Elite, the Blackfly has higher resolution and a wider
328 horizontal angle of view. Table 3 includes both cameras' specifications. We mounted the
329 cameras on the test vehicle in a position consistent with car manufacturer specifications; that is
330 on the rear of the vehicle above or below the sign plate (see figure 7). Some vehicles have the
331 parking camera close to the trunk handle. However, we chose to position it below the sign plate.
332 The collected videos were saved locally to the laptop used in the field. The ground truth was
333 prepared afterwards in the office.

334 We used four metrics to measure the performance of the algorithm. Two metrics, overall
335 and average accuracies, correspond to the overall performance of STFs, and the other two,
336 average precision and area under curve, correspond to the performance of STFs in respect to
337 each defect. The total proportion of correctly detected pixels corresponds to the overall accuracy
338 (OA). Average accuracy (AA) refers to the average proportion of correctly detected pixels per
339 defect. Average precision (AP) is the fraction of correctly detected pixels (True Positive, TP)
340 over the sum of correctly and incorrectly detected pixels (False Positive, FP). The area formed

341 when we plot TP versus FP represents the area under the curve (AuC). Good performance
342 corresponds to high AuC.

343 Many parameters affect the performance of STFs, so several parameter combinations
344 were tested. Specifically, the parameters changed at each test were the patch pixel size and the
345 maximum depth that a tree can reach during the training of the algorithm. Tables 4 - 7
346 summarize the parameter combinations of each test, along with the produced results.

347 We performed the first round of tests (table 4) using the data collected with the HP
348 camera. The ground truth was marked using four categories (one for each defect). In the second
349 round of tests (table 5), which was performed using the same dataset, an additional category
350 called “healthy pavement” was added in the ground truth data. The third round of tests (table 6)
351 was performed using the data collected with the PG camera and the ground truth was prepared
352 using 5 categories (4 defects and healthy pavement). Finally, we performed the last round of tests
353 (table 7) using the data collected with the PG camera, and considering the calculated myROI.
354 myROI was calculated using MATLAB (see figure 8). The parameters were: 1) Camera
355 resolution - 800 x 500 pixels. As shown in figure 7, we did not position the camera in the middle
356 of the car, but slightly left from its center (~5cm). 2) Lane width - 2.4m, and 3) Detection of
357 transverse cracks greater than 3.175mm. All copies of video frames (both plain image and image
358 with designated defective areas) produced during the ground truth preparation of the previous
359 round of tests were cropped using the above calculated myROI and used for this round of tests.

360 In summary, the control variables tested through our experiments were: 1) Image color:
361 color or monochrome, 2) Number of categories in ground truth data: 4 or 5, 3) STFs parameters:
362 Patch pixel size and maximum tree depth, and 4) Use of myROI.

363 We collected data twice from the local streets of Cambridge, UK. Data collection was
364 performed during daytime and the weather was sunny, cloudy or slightly rainy. The vehicle's
365 speed was 10-15km/hr. Unexpected vibrations of the vehicle were minimal due to the low speed
366 and did not affect the quality of the data. We saved the video data locally and post-processed it
367 using a desktop computer (Intel Core i7 @ 3.4 GHz, 8GB Ram). The method was implemented
368 using C# in the Visual Studio .NET framework. Right-click options and keyboard selection
369 functions were created in order to facilitate the step of preparing the ground truth and improve
370 the efficiency of the process. A pop-up menu was created for inserting the values of the
371 parameters that were tested.

372 ***Results***

373 In the first round of experiments, the OA ranged between 0.69 and 0.79, and AA ranged
374 from 0.55 to 0.73. In the second round of experiments, where the additional category of healthy
375 pavement was used in the preparation of the ground truth data, the OA increased to between 0.86
376 and 0.89. AA still remained quite low, ranging from 0.56 to 0.67. The computational cost for
377 both rounds of experiments varied from 23 to 35 minutes. The algorithm performed better in the
378 third round of experiments, where we used the data collected from the PG camera. OA was
379 above 0.74 in all tests and the AA never fell below 0.7. In the final round of experiments, we
380 considered myROI and the results were further improved. OA ranged between 0.80 and 0.88 and
381 AA ranged between 0.71 and 0.8. The third column of figure 9 shows some examples of the
382 derived results. The first row corresponds to an example from the first round of experiments, the
383 second row to the second round of experiments etc. The computational cost for the third and
384 fourth rounds of experiments varied from 120 to 150 minutes. The third and fourth round
385 experiments were performed 5 times each in order to ensure repeatability due to the fact that

386 video frames are randomly selected both in training and in testing. The results shown in tables 6-
387 7 constitute the average values and variance of the results produced from all the runs of the
388 experiments.

389 Tables 4-7 also show the performance of each defect individually on each test run. The
390 best results are highlighted in each table. Several successful combinations can accurately detect
391 longitudinal cracks. However, the best combinations are: 1) monochrome videos - 5 categories -
392 patch pixel size of 11, and max tree depths 12 & 14, and 2) monochrome videos - 5 categories -
393 use of myROI - patch pixel size of 9, and max tree depths 10 & 15. For transverse cracks the best
394 combination is: monochrome videos - 5 categories - patch pixel size of 13, and max tree depths
395 of 10 & 14. For patches, the best combination is: monochrome videos - 5 categories - use of
396 myROI - patch pixel size of 11, and max tree depths of 10 & 14. Finally, the best combinations
397 for detecting potholes are: 1) colored videos - 5 categories - patch pixel size of 15, and max tree
398 depths of 10 & 14, and 2) colored videos - 5 categories - patch pixel size of 13, and max tree
399 depths of 12 & 16. However, the following combination is worth mentioning due to its high
400 performance: monochrome videos - 5 categories - use of myROI - patch pixel size of 15, and
401 max tree depths of 10 & 14.

402 Tables 8-11 show the confusion matrix for segmentation of each defect. The confusion
403 matrices correspond to the best performing combination of parameters based on the OA and AA.
404 For the first round of experiments the average accuracy for region segmentation is 59%. In the
405 second round of experiment, the average accuracy increases to 60%. In the third round of
406 experiment the average region segmentation accuracy is 72%, and in the final round of
407 experiments it is 74%.

408 **CONCLUSIONS & FUTURE WORK**

409 The current practice in pavement condition monitoring suffers from limitations such as
410 subjectivity and time consumption. Multiple research efforts have focused on automating this
411 task. However, all proposed methods focus on only one or a couple of defects. Even if
412 automated methods exist for detecting some defects, the remaining defect types need to be
413 detected manually, and the limitations and issues of the current practice remain.

414 In this paper, we tested the application of Semantic Texton Forests, a supervised learning
415 algorithm, to detect several pavement defects in video frames. STFs was selected due to the
416 multiple features it uses for segmentation, which are texture, layout and context. Superpixel
417 algorithms were rejected because of the existing concerns regarding controlling the amount of
418 superpixels and their compactness. Each pavement defect has its own size, which varies
419 significantly, so it would have been very challenging or even impossible to decide on a
420 “universal” superpixel shape and/or size to ensure compactness.

421 The main challenge was the preparation of the ground truth which was manual.
422 However, the several options built in the platform for this step made it easy and quick. The idea
423 is to test the usage of parking cameras for potentially crowdsourcing the task of pavement
424 monitoring to everyday pavement users. We used a camera that follows vehicle manufacturer
425 standards for parking cameras in the experiments. Several combinations of parameters, such as
426 the patch pixel size and the max tree depth, were tested. Those parameters affect the
427 performance of the algorithm. The built-in pop up menu for inserting the parameters affected the
428 applicability of the method positively, since it provides a friendly user interface. Additionally,
429 we applied the theory of Inverse Perspective Mapping for isolating the pavement lane in the
430 video frame and restricting the application of the algorithm in that area only, while considering
431 the size of each defect that inspectors are looking for.

432 The initial results of the experiments with the HP camera were quite low. This is
433 probably due to the low resolution of the camera and the restricted information that such a
434 camera can capture. Additionally, in that round of experiments the detection of the transverse
435 crack was very low. This is explained by the smaller sample that was available in the data in
436 comparison to the other defects. This shows that more samples are necessary for the algorithm to
437 “learn” the object.

438 The additional information of healthy pavement in the ground truth data resulted in an
439 improvement of the performance. This shows that the use of more categories is beneficial to the
440 improvement of the algorithm’s performance. The performance of the algorithm was even better
441 on the data collected with the PG camera, which follows parking camera standards. This is due to
442 the higher camera resolution. Those data also allowed the creation of a larger database. The
443 database with the HP camera consists of 230 video frames, whereas the second one includes 546
444 video frames. Finally, we derived even better results when we considered myROI. This is
445 because the algorithm was restricted to the area where defects are expected to be found. In regards
446 to each defect detection individually, different combinations of the control variables are
447 achieving the best performing results.

448 The method was slower in the experiments using the PG camera data. The difference can
449 be explained due to the following reasons: 1) the database created with the PG camera was
450 almost double the size of that created with the HP camera, and 2) the image resolution of the
451 second database is higher than the first, which means that the total number of pixels is much
452 bigger. The performance gain can be viewed in the results that the method produced. In the
453 initial experiments the overall accuracy varies from 69% to 79%, whereas in the final
454 experiments it improved up to 85%. The same holds for the segmentation of each region in the

455 video frame, which has an accuracy of 59% in the first round of experiments and increases to
456 74% in the last one. The initial dataset proved the practicability of low-resolution cameras for the
457 automation pavement defects. The second dataset and the produced results show the applicability
458 of the method.

459 The results show that the method performs well under fair weather. STFs uses texture as
460 one of the features for segmentation and this assists in the differentiation amongst the different
461 defects. For example potholes are coarser than patches and the can be detected even in direct
462 sunlight. Intensity values are also incorporated in the segmentation. Even if asphalt is already
463 dark, the defects' intensities are usually darker and the difference assists the detection as well.
464 Also, the results show that the method performs well when data is collected in low speeds.
465 Hence, the concept of using parking cameras for detecting pavement defects is proved. In order
466 though for this framework to be applied commercially, it should be tested in higher speeds and
467 that consists part of our future work.

468 To conclude, STFs perform well for the detection of surface pavement defects. However,
469 other defects such as rutting, depressions and elevations also need to be incorporated for a fully
470 automated pavement condition monitoring method. These defects are related to the z-axis of the
471 road profile and could be detected in vehicle dynamic sensor data as suggested in the proposed
472 solution. The type and number of the sensors needed to capture this type of information needs to
473 be investigated. The same holds for the positioning of those sensors on or within the vehicle. The
474 measurement of the detected defects is also necessary for their evaluation. Although the scope of
475 this paper is restricted to the level of detection, it could be extended to the next level of detail.
476 However, it would be necessary to eliminate the distortion that wide angles are causing. The
477 method is still practical, since it can direct inspectors to the spots where defects should be further

478 investigated and save the time of searching for them. Another interesting research problem is the
479 transfer of the collected data from the ‘inspection’ vehicle(s) to the pavement maintenance
480 authority. Hence, our future work will be directed towards these additional problems.

481 **Acknowledgements**

482 This material is based in part upon work supported by the National Science Foundation under
483 Grant Number 1031329. Any opinions, findings, and conclusions or recommendations expressed
484 in this material are those of the authors and do not necessarily reflect the views of the National
485 Science Foundation.

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Table 1 Reference list on methods for automating pavement defect detection & measurement

<u>Presence</u>	<u>Detection</u>	<u>Measurement</u>
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Table 2 Pavement defect and its representative color in the ground truth data

<i>Type of defect</i>	<i>Color</i>
Longitudinal crack	Red
Transverse crack	Blue
Patch	Yellow
Pothole	Pink
Healthy pavement	Grey
Void	Black

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Table 3 Specifications of cameras used for collecting data

	<i>HP Elite Autofocus Webcam</i>	<i>Point Grey Blackfly 05S2M-CS</i>
Image resolution	640 x 480	800 x 500
Horizontal angle of view	~50°	133°
Frame rate per second	30	50
Color	RGB	Monochrome

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Table 4 Tested parameters and results of STFs (data captured by HP camera using 4 categories)

		<i>Test 1</i>	<i>Test 2</i>	<i>Test 3</i>	<i>Test 4</i>	<i>Test 5</i>	<i>Test 6</i>	<i>Test 7</i>	<i>Test 8</i>
	<i>Box size</i>	15	11	13	9	17	15	15	15
	<i>Max tree depth</i>	10 & 14	10 & 14	10 & 14	10 & 14	10 & 14	11 & 13	12 & 16	15 & 16
	<i>Ov.Acc.</i>	0.78	0.69	0.73	0.78	0.76	0.78	0.78	0.79
	<i>Av.Acc.</i>	0.64	0.55	0.73	0.62	0.60	0.60	0.64	0.65
<i>Longitudinal crack</i>	<i>Av.Pr.</i>	0.95	0.95	0.95	0.97	0.96	0.96	0.90	0.96
	<i>AuC</i>	0.86	0.80	0.80	0.90	0.90	0.90	0.90	0.89
<i>Transverse crack</i>	<i>Av.Pr.</i>	0.20	0.04	0.01	0.28	0.02	0.27	0.35	0.01
	<i>AuC</i>	0.85	0.76	0.26	0.73	0.68	0.93	0.75	0.29
<i>Patch</i>	<i>Av.Pr.</i>	0.75	0.86	0.68	0.81	0.80	0.69	0.62	0.84
	<i>AuC</i>	0.88	0.91	0.81	0.92	0.88	0.86	0.80	0.92
<i>Pothole</i>	<i>Av.Pr.</i>	0.89	0.99	0.84	0.90	0.90	0.82	0.81	0.92
	<i>AuC</i>	0.96	0.99	0.95	0.96	0.96	0.90	0.96	0.96

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792 **Table 5** Tested parameters and results of STFs (data captured by HP camera using 5 categories)

		<i>Test 1</i>	<i>Test 2</i>	<i>Test 3</i>	<i>Test 4</i>	<i>Test 5</i>	<i>Test 6</i>	<i>Test 7</i>	<i>Test 8</i>
	<i>Box size</i>	15	11	13	9	17	13	13	13
	<i>Max tree depth</i>	10 & 14	10 & 14	10 & 14	10 & 14	10 & 14	11 & 13	12 & 16	15 & 16
	<i>Ov.Acc.</i>	0.84	0.87	0.89	0.87	0.86	0.89	0.86	0.89
	<i>Av.Acc</i>	0.64	0.65	0.56	0.60	0.60	0.60	0.58	0.57
<i>Longitudinal crack</i>	<i>Av.Pr.</i>	0.95	0.95	0.92	0.97	0.96	0.96	0.96	0.96
	<i>AuC</i>	1.00	0.76	0.85	0.56	0.87	0.85	0.75	0.62
<i>Transverse crack</i>	<i>Av.Pr.</i>	1.00	0.77	0.77	0.53	0.02	0.78	0.04	0.53
	<i>AuC</i>	1.00	0.97	0.97	0.96	0.19	0.98	0.69	0.87
<i>Patch</i>	<i>Av.Pr.</i>	0.75	0.86	0.80	0.75	0.69	0.79	0.72	0.63
	<i>AuC</i>	0.85	0.93	0.89	0.82	0.85	0.89	0.83	0.81
<i>Pothole</i>	<i>Av.Pr.</i>	1.00	0.76	0.98	0.96	0.92	0.99	1.00	0.93
	<i>AuC</i>	1.00	0.94	0.99	0.99	0.96	0.99	1.00	0.96
<i>Healthy pavement</i>	<i>Av.Pr.</i>	0.97	0.99	0.98	0.98	0.98	0.98	0.96	0.97
	<i>AuC</i>	0.07	0.60	0.21	0.19	0.38	0.43	0.10	0.32

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803 **Table 6** Tested parameters and results of STFs (data captured by PG camera using 5 categories)

		<i>Test 1</i>	<i>Test 2</i>	<i>Test 3</i>	<i>Test 4</i>	<i>Test 5</i>	<i>Test 6</i>	<i>Test 7</i>	<i>Test 8</i>		
		<i>Box size</i>	15	11	9	13	17	11	11		
		<i>Max tree depth</i>	10 & 14	10 & 14	10 & 14	10 & 14	10 & 14	11 & 13	12 & 16		
Average values		<i>Ov.Acc.</i>	0.83	0.84	0.82	0.82	0.82	0.82	0.84	0.86	
		<i>Av.Acc.</i>	0.74	0.76	0.74	0.72	0.76	0.74	0.74	0.72	
	<i>Longitudinal crack</i>	<i>Av.Pr.</i>	0.93	0.94	0.94	0.92	0.93	0.95	0.94	0.92	
		<i>AuC</i>	0.96	0.96	0.96	0.95	0.96	0.96	0.96	0.96	
	<i>Transverse crack</i>	<i>Av.Pr.</i>	0.84	0.73	0.83	0.86	0.81	0.83	0.85	0.85	
		<i>AuC</i>	0.95	0.94	0.97	0.93	0.94	0.93	0.94	0.94	
	<i>Patch</i>	<i>Av.Pr.</i>	0.96	0.94	0.94	0.95	0.96	0.95	0.96	0.96	
		<i>AuC</i>	0.94	0.92	0.93	0.93	0.92	0.91	0.95	0.95	
	<i>Pothole</i>	<i>Av.Pr.</i>	0.84	0.82	0.82	0.77	0.79	0.81	0.81	0.76	
		<i>AuC</i>	0.93	0.92	0.93	0.92	0.90	0.91	0.95	0.89	
	<i>Healthy pavement</i>	<i>Av.Pr.</i>	0.97	0.97	0.97	0.98	0.98	0.92	0.98	0.96	
		<i>AuC</i>	0.65	0.62	0.60	0.69	0.65	0.66	0.70	0.55	
	Variance		<i>Ov.Acc.</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			<i>Av.Acc.</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<i>Longitudinal crack</i>		<i>Av.Pr.</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
		<i>AuC</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
<i>Transverse crack</i>		<i>Av.Pr.</i>	0.00	0.01	0.01	0.01	0.02	0.02	0.01	0.01	
		<i>AuC</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
<i>Patch</i>		<i>Av.Pr.</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
		<i>AuC</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
<i>Pothole</i>		<i>Av.Pr.</i>	0.00	0.01	0.00	0.01	0.01	0.01	0.00	0.01	
		<i>AuC</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
<i>Healthy pavement</i>		<i>Av.Pr.</i>	0.00	0.00	0.00	0.00	0.00	0.01	0.00	0.00	
		<i>AuC</i>	0.02	0.01	0.03	0.01	0.01	0.03	0.02	0.02	

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Table 7 Tested parameters and results of STFs (data captured by PG camera using 5 categories and myROI)

		<i>Test 1</i>	<i>Test 2</i>	<i>Test 3</i>	<i>Test 4</i>	<i>Test 5</i>	<i>Test 6</i>	<i>Test 7</i>	<i>Test 8</i>		
		<i>Box size</i>	15	13	11	17	9	9	9		
		<i>Max tree depth</i>	10 & 14	10 & 14	10 & 14	10 & 14	10 & 14	11 & 13	10 & 15		
Average values		<i>Ov.Acc.</i>	0.83	0.83	0.83	0.83	0.83	0.83	0.85	0.84	
		<i>Av.Acc.</i>	0.75	0.73	0.74	0.74	0.74	0.75	0.74	0.73	
	<i>Longitudinal crack</i>	<i>Av.Pr.</i>	0.92	0.91	0.92	0.90	0.92	0.91	0.92	0.93	
		<i>AuC</i>	0.95	0.94	0.96	0.94	0.96	0.96	0.96	0.96	
	<i>Transverse crack</i>	<i>Av.Pr.</i>	0.89	0.92	0.83	0.83	0.81	0.82	0.83	0.87	
		<i>AuC</i>	0.95	0.98	0.94	0.95	0.93	0.89	0.95	0.95	
	<i>Patch</i>	<i>Av.Pr.</i>	0.88	0.90	0.88	0.88	0.91	0.91	0.89	0.88	
		<i>AuC</i>	0.89	0.89	0.88	0.87	0.90	0.90	0.88	0.87	
	<i>Pothole</i>	<i>Av.Pr.</i>	0.71	0.71	0.66	0.66	0.62	0.62	0.68	0.56	
		<i>AuC</i>	0.90	0.92	0.83	0.93	0.90	0.89	0.93	0.87	
	<i>Healthy pavement</i>	<i>Av.Pr.</i>	0.96	0.87	0.96	0.98	0.96	0.96	0.97	0.97	
		<i>AuC</i>	0.67	0.62	0.59	0.66	0.54	0.48	0.62	0.66	
	Variance		<i>Ov.Acc.</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			<i>Av.Acc.</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<i>Longitudinal crack</i>		<i>Av.Pr.</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
		<i>AuC</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
<i>Transverse crack</i>		<i>Av.Pr.</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
		<i>AuC</i>	0.00	0.00	0.00	0.00	0.00	0.01	0.00	0.00	
<i>Patch</i>		<i>Av.Pr.</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
		<i>AuC</i>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
<i>Pothole</i>		<i>Av.Pr.</i>	0.02	0.00	0.02	0.00	0.00	0.00	0.00	0.01	
		<i>AuC</i>	0.01	0.00	0.03	0.00	0.00	0.00	0.00	0.00	
<i>Healthy pavement</i>		<i>Av.Pr.</i>	0.00	0.03	0.00	0.00	0.00	0.00	0.00	0.00	
		<i>AuC</i>	0.01	0.03	0.04	0.01	0.03	0.03	0.02	0.01	

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818 **Table 8** Confusion matrix for 2D segmentation of defects (data captured with HP camera using 4
 819 categories - results from test 1)

	<i>Longitudinal crack</i>	<i>Transverse crack</i>	<i>Patch</i>	<i>Pothole</i>
<i>Longitudinal crack</i>	0.80	0.00	0.17	0.00
<i>Transverse crack</i>	0.67	0.02	0.12	0.00
<i>Patch</i>	0.21	0.00	0.78	0.00
<i>Pothole</i>	0.06	0.00	0.20	0.74

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821 **Table 9** Confusion matrix for 2D segmentation of defects (data captured with HP camera using 5
 822 categories - results from test 6)

	<i>Longitudinal crack</i>	<i>Transverse crack</i>	<i>Patch</i>	<i>Pothole</i>	<i>Healthy pavement</i>
<i>Longitudinal crack</i>	0.28	0.01	0.05	0.00	0.66
<i>Transverse crack</i>	0.00	0.71	0.00	0.05	0.24
<i>Patch</i>	0.14	0.00	0.44	0.03	0.39
<i>Pothole</i>	0.06	0.00	0.06	0.66	0.23
<i>Healthy pavement</i>	0.04	0.01	0.03	0.00	0.92

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824 **Table 10** Confusion matrix for 2D segmentation of defects (data captured with PG camera using
 825 5 categories - results from test 8)

	<i>Longitudinal crack</i>	<i>Transverse crack</i>	<i>Patch</i>	<i>Pothole</i>	<i>Healthy pavement</i>
<i>Longitudinal crack</i>	0.69	0.01	0.01	0.00	0.29
<i>Transverse crack</i>	0.02	0.63	0.01	0.00	0.34
<i>Patch</i>	0.02	0.01	0.61	0.00	0.36
<i>Pothole</i>	0.06	0.00	0.03	0.78	0.13
<i>Healthy pavement</i>	0.03	0.02	0.05	0.00	0.91

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827 **Table 11** Confusion matrix for 2D segmentation of defects (data captured with PG camera using
 828 5 categories and myROI - results from test 7)

	<i>Longitudinal crack</i>	<i>Transverse crack</i>	<i>Patch</i>	<i>Pothole</i>	<i>Healthy pavement</i>
<i>Longitudinal crack</i>	0.75	0.01	0.02	0.00	0.22
<i>Transverse crack</i>	0.02	0.63	0.01	0.00	0.34
<i>Patch</i>	0.02	0.01	0.63	0.00	0.34
<i>Pothole</i>	0.05	0.00	0.04	0.80	0.11
<i>Healthy pavement</i>	0.02	0.02	0.07	0.00	0.89

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