

1 **On-site cogeneration with sewage biogas via high-temperature fuel cells: benchmarking**
2 **against other options based on industrial-scale data**

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15 **Abstract**

16 The application of high-temperature fuel cells in Waste Water Treatment Plants (WWTPs)
17 combines a high-efficiency electricity generation technology and a renewable fuel, thus
18 simultaneously mitigating greenhouse gas emissions and resource depletion. This study
19 investigates the current applicability and limitations of biogas-powered Molten Carbonate Fuel
20 Cells (MCFCs) Solid Oxide Fuel Cells (SOFCs) and compares them with Internal Combustion
21 Engines (ICEs) and micro-turbines (MTs). Operational data from six industrial-scale plants and
22 from a pilot plant was collected to simulate the performance of these Energy Conversion
23 Systems in twelve scenarios, built based on two WWTP sizes (100000 and 500000 PE) and
24 two biogas qualities (H₂S 2500 and 250 ppm_v). Comparisons were focused on technical
25 (Normalized Saved Fossil Energy and percentage of energy self-sufficiency) and economic

26 (Levelized Cost of Energy and Payback Period/Internal Rate of Return) indicators. MCFCs
27 showed the highest technical performance, improving the electrical self-sufficiency of the
28 WWTP around 60% compared to conventional cogeneration. However, to date, ICEs are still
29 the most economically profitable alternative, as payback periods of fuel cell projects are 4 times
30 larger. The high investment cost and the low stack durability are the key parameters to be
31 improved for industrial deployment of fuel cell systems in WWTPs.

32

33 **Keywords:** biogas; cogeneration; WWTP; SOFC, MCFC; fuel cells

34

35 **List of abbreviations**

36 CAPEX = Capital Expenditures

37 CHP = Combined Heat and Power

38 ECS = Energy Conversion System

39 FIT = Feed-In-Tariff

40 ICE = Internal Combustion Engine

41 IRR = Internal Rate of Return

42 LCE = Levelized Cost of Energy

43 MCFC = Molten Carbonate Fuel Cell

44 MT = Micro-Turbine

45 NSFE = Normalized Saved Fossil Energy

46 OPEX = Operational Expenditures

47 PAFC = Phosphoric Acid Fuel Cell

48 PE = Population Equivalent

49 PEMFC = Proton Exchange Membrane Fuel Cell

50 PER = Primary Energy Ratio

51 PP = Payback Period

52 SOFC = Solid Oxide Fuel Cell

53 WWTP = Waste Water Treatment Plant

54

55 1. Introduction

56 Within the framework of sustainable development, energy in Waste Water Treatment Plants
57 (WWTPs) must be considered not only in terms of consumption reduction, but also in terms of
58 “green” energy production. Consumption reduction is achieved through energy efficiencies
59 measures; which are usually carried out through energy auditing, smart process control and
60 replacement of old equipment [1]. On the other hand, “green” energy production using the
61 biogas produced during the anaerobic digestion of sewage sludge to produce electricity has
62 turned into an appealing alternative in recent years. Figure 1 shows the configuration of the
63 municipal WWTP considered in this study; with activated sludge in the sewage line and
64 anaerobic digestion in the sludge line. Both power consumption and production (electrical and
65 thermal) elements are indicated.

66

67

Figure 1.

68

69 For long time, chemical energy contained in the biogas was transformed into electricity in
70 Internal Combustion Engines (ICEs) and more recently in Micro-Turbines (MTs) [2-5]. ICEs are
71 engines in which the combustion of the fuel inside the combustion chamber causes the
72 expansion of the high-temperature and high-pressure gases, which apply a direct force onto
73 some component of the engine (i.e.: piston; Otto/Diesel thermodynamic cycle). ICEs are
74 available in a great range of sizes (from a few kW_e to over 4 MW_e) and are used in a variety of
75 applications such as standby and emergency power, peaking service, intermediate and base-

76 load power and Combined Heat and Power (CHP). On the other hand, MTs are small electricity
77 generators that can burn gaseous and liquid fuels to create high-speed rotation that turns an
78 electrical generator (Brayton thermodynamic cycle). The size range for MTs is from 30 to 250
79 kW_e and can be used for in power-only generation or for CHP [3].

80 However, both ICEs and MTs have a limited electrical efficiency (25 – 35%) due to the Carnot
81 efficiency limitation [6, 7]; and heat recovery in these systems is becoming an important feature
82 to increase the overall energy efficiency. High-temperature fuel cells are thus becoming one of
83 the most promising alternatives. Fuel cells are electrochemical devices that directly convert the
84 chemical energy within the fuel into electrical energy; without the intermediate steps of producing
85 heat and mechanical work of the previously described conventional power generation methods;
86 hence they have greater electrical efficiencies and lower adverse exhaust emissions [8, 9]. As a
87 result, biogas utilisation in fuel cells combines a high-efficiency technology for electrical
88 generation and a renewable fuel, efficiently contributing to reduce greenhouse gas emissions
89 and depletion of resources. Fuel inlet requirements for fuel cells are very stringent because
90 several compounds (p.e.: sulfur, silicon, halogenated, etc.) are poisonous and harmful for all fuel
91 cell types, affecting fuel cell catalytic processes and stack lifetime, and must be removed from
92 the biogas [10-13]. Therefore, a thorough biogas treatment stage is always necessary upstream
93 the cell [14].

94 High-temperature fuel cells, such as Molten Carbonate Fuel Cells (MCFCs) and Solid Oxide
95 Fuel Cells (SOFCs), have larger fuel flexibility, accepting not only hydrogen but also other fuels
96 as syngas, natural gas and biogas [15-17]. Furthermore, differently from low-temperature fuel
97 cells, such as Proton Exchange Membrane Fuel Cells (PEMFCs) and Phosphoric Acid Fuel
98 Cells (PAFCs), carbon monoxide is not a poison for these systems [18-20], but, on the
99 contrary, it can be used as a fuel; hence its removal is not necessary [21]. Finally, biogas

100 reforming in high-temperature fuel cells can be carried out within the fuel cell system (and not
101 externally); which improves the overall energy balance [22-24]
102 Notwithstanding several fuel cell demonstration or industrial projects in the range of 25 kW_e up
103 to 2 MW_e have been carried out [14, 25], fuel cell technology is not mature enough (and
104 especially not for biogas), thus its performance, operational limits and reliability must be
105 assessed to determine its application field in sewage treatment [26, 27]. Although it has
106 become very popular in some European countries and in the USA in the last years [28-30],
107 biomethane production (for gas grid injection) was not considered in this study because it is not
108 an on-site energy recovery technology and it would not provide the electric and thermal energy
109 needed for the WWTP operation, which makes the comparison not relevant in technical terms.
110 The objective of this paper is to investigate the current applicability, potential and limitations of
111 biogas-powered high-temperature fuel cells and its comparison to conventional CHP
112 technologies based on the technical and economic assessment of different scenarios based on
113 two WWTP sizes and two different biogas compositions.

114

115 **2. Methodology**

116 **2.1. Biogas energy recovery plants auditing and technology provider data collection**

117 6 audits on full-scale WWTPs with a configuration very similar to Figure 1 were conducted in
118 the USA (2 plants), Germany (1 plant), Italy (1 plant) and Spain (2 plants); collecting the most
119 relevant technical and economic operational indicators both from the biogas treatment
120 technologies and the Energy Conversion Systems (ECS) implemented on-site. Data was
121 collected from historical databases from the operators and its quality was minimum one-year
122 averages. In addition, the SOFC system was assessed at pilot scale in a 2.8 kW_e plant which
123 was operated for 18 months in a WWTP in Spain. Details on pilot plant configuration and
124 performance can be consulted elsewhere [31, 32]. Biogas treatment technologies included gas-

125 liquid absorption (scrubber); gas-liquid absorption with biological regeneration of the chemical
126 agent (bio-scrubber); biogas drying through gas refrigeration to 5 °C; and solid-gas adsorption
127 on iron sponge (for H₂S) and activated carbon (for siloxanes). Details on the operating principle
128 for each biogas treatment technology can be consulted elsewhere [9, 33, 34]. Table 1 collects a
129 brief description of the gas trains on the selected plants showing the different technologies
130 targeted at each audit.

131

132 Table 1.

133

134 On the other hand, data from suppliers/manufacturers was also collected to consolidate and
135 complement data from the audits; both for biogas treatment technologies; p.e.: Paques (Balk,
136 the Netherlands), DMT (Joure, the Netherlands), Desotec (Roeselare, Belgium), Verdesis
137 (Courbevoie, France), Siloxa AG (Essen, Germany) and for CHP systems; p.e.: Jenbacher
138 (Jenbach, Austria), Caterpillar (Peoria, IL, USA), Capstone (Chatsworth, CA, USA), Fuel Cell
139 Energy (Danbury, CT, USA), SOFC Power (Mezzolombardo, Italy).

140

141 **2.2. Scenarios description**

142 Twelve scenarios covering the most common European scenario were simulated based on the
143 criteria described in Figure 2.

144

145 Figure 2.

146

147 **a) Two WWTP sizes: 100000 and 500000 Population Equivalents (PE):** These sizes were
148 chosen because 100000 PE (wastewater flow 12350 m³/day; biogas production 62.5 Nm³/h) is
149 the plant size capacity from where anaerobic digestion is usually implemented [35] and 500000

150 PE (wastewater flow 61500 m³/day; biogas production 312.5 Nm³/h) represents high capacity
151 European plants [36].

152 Seasonal variations in biogas production were assessed by term (increases of -15% in Term 1;
153 of +10% in Term 2; of +15% in Term 3; and of -10% in Term 4; respectively over average
154 biogas production).

155 **b) Two biogas pollution levels on H₂S: 2500 and 250 ppm_v H₂S:** These compositions
156 represent biogas contamination levels commonly observed on sewage biogas in Europe [9,
157 37], depending on wastewater quality and treatment processes implemented. In addition to
158 sulphur contamination, siloxanes concentrations of 10 mgSi/Nm³ were considered. The CH₄
159 content was set at 65% for all scenarios (rest CO₂) as a standard average composition. Biogas
160 treatment systems were designed for each specific case according to the different pollution
161 levels and the quality requirements of ECS.

162 **c) Four different CHP technologies ECS:** Internal Combustion Engine, Micro-turbine (only for
163 the 100000 PE plant size), Molten Carbonate Fuel Cell and Solid Oxide Fuel Cell (again; only
164 for the 100000 PE plant size as the technology is not commercially ready yet) were considered.
165 WWTPs were supposed to be equipped with a flare (for handling biogas production excess and
166 during ECS maintenance or downtime periods) and a boiler (coupled to a sludge heating Heat
167 Exchange Network); hence these costs were not considered in the investment. Thermal
168 unbalances between heat production at the CHP unit and heat demand are satisfied with
169 natural gas consumption.

170

171 **2.3. Technical and economic indicators**

172 A wide range of indicators has been used to assess the technical and economic performance
173 of a biogas energy recovery train [38-43]. In this study, the following six indicators were
174 selected in order to compare the different scenarios:

175 **Normalized Savings Fuel Energy (NSFE):** represents the primary energy that would have
 176 been required in a yearly basis to generate the energy (electricity and heat) produced with
 177 biogas. Saved fossil energy is divided by the biogas and natural gas energies (represented by
 178 the lower heating value) in order to normalize the result, allowing the direct comparison of
 179 different WWTP sizes. This indicator, determined as shown in Equation 1, assesses the overall
 180 performance (electrical and thermal) of the Energy Conversion System (ECS) regardless the
 181 WWTP size.

$$182 \quad NSFE = \frac{E \frac{1}{PER_E} + H \frac{1}{PER_H}}{E_{Biogas,CHP} + E_{NaturalGas,Boiler}} \quad (\text{Eq. 1})$$

183 where: E and H are respectively the electricity (kWh_e/year) and thermal energy production
 184 (kWh_{th}/year) at the cogeneration unit; E_{biogas,CHP} and E_{NaturalGas,Boiler} are respectively the primary
 185 energies of biogas lead to the CHP unit and natural gas lead to boiler (kWh_{th}/year); and PER_E
 186 and PER_H are respectively the Primary Energy Ratios (i.e.: fossil fuel consumption per unit of
 187 energy produced) for electricity and heat. PER_E accounted for 0.528 according to the Ministerio
 188 de Energía, Energía y Turismo [44]; and PER_H can be calculated just as the amount of fuel
 189 required to generate the respective thermal energy; that is, basically, the efficiency of a boiler,
 190 which is fixed at 0.9 [45].

191 **Energy self-sufficiency in WWTPs (%):** standing for the ratio produced energy/energy
 192 demand (**electrical and thermal separately** as indicated in Equations 2 and 3 respectively).
 193 Electricity is basically required for aeration in the biological reactor and pumping in wastewater
 194 treatment [46, 47], while heat is necessary for digester's heating. Both energy consumptions
 195 present seasonal variability and they were assessed by term (T1, T2, T3 and T4). Increases of
 196 -15% in T1; of +10% in T2; of +15% in T3; and of -10% in T4; respectively over the average
 197 sewage treatment flow rate were established. On the other hand, air temperatures were set at
 198 5°C (T1), 15°C (T2), 25°C (T3) and 10°C (T4). As a result, this indicator does not only take into

199 account the energy performance of the ECS but also of the WWTP itself, because the energy
 200 demand in WWTPs is dependent on several variables (p.e.: WWTP size, existing processes,
 201 energy efficiency of the pieces of equipment, WWTP load, etc.). Within these considerations,
 202 this indicator actually assesses the precise and specific implementation of the ECS in sewage
 203 treatment.

$$204 \quad \text{Electrical self-sufficiency} = \frac{E}{E_{\text{WWTP}}} \quad (\text{Eq. 2})$$

$$205 \quad \text{Thermal self-sufficiency} = \frac{H}{H_{\text{WWTP}}} \quad (\text{Eq. 3})$$

206 where: E_{WWTP} and H_{WWTP} are the electricity (kWh_e/year) and thermal energy (kWh_t/year)
 207 demands in the WWTP. Only thermal energy demand for digester heating was considered.

208 **Levelized Cost of Energy (LCE):** specific cost to run the biogas energy recovery train; i.e.:
 209 both the biogas treatment and the ECS, expressed in c€/kWh_e and calculated as depicted by
 210 Equation 4 [48, 49]. A direct comparison of this cost with the electricity feed-in-tariff (FIT) allows
 211 envisaging the profitability of the project. A time horizon of 20 years was selected.

$$212 \quad LCE = \frac{\sum_{t=0}^N (CAPEX_t + OPEX_t)}{\sum_{t=0}^N \frac{E_t}{(1+i)^t}} \quad (\text{Eq. 4})$$

213 where: CAPEX_t and OPEX_t are the investment and operational costs expended on year t
 214 (c€/year); and i is the Interest rate (which was considered of 8%). Yearly OPEX were updated
 215 with the last year-on-year rate (which was considered of 3%)

216 **Payback Period (PP):** period of time required to recover the funds expended in an investment;
 217 i.e.: years required to make the accumulated cash flow equal to the CAPEX of the project
 218 (Equation 5).

$$219 \quad CAPEX = \sum_{t=1}^{\text{Payback Period}} (Incomes_t - OPEX_t) \quad (\text{Eq. 5})$$

220 **Internal Rate of Return (IRR):** discount rate at which the net present value of the costs of the
221 investment equals the net present value of the benefits of the investment (Equation 6). PP and
222 IRR are two typical criteria used to measure and compare the profitability of investments;
223 among others such as the Net Present Value (NPV).

$$224 \quad 0 = \sum_{t=0}^N \frac{Incomes_t - OPEX_t}{(1 + IRR)^t} \quad (\text{Eq. 6})$$

225 where $Incomes_t$ are the incomes generated by the project in year t (k€/year); which similarly to
226 $OPEX_t$ were yearly updated with the last year-on-year rate (3%).

227 Taxes were not considered in the economic calculations; hence PP and IRR were both
228 calculated from Earnings Before Taxes (EBT).

229

230 **2.4. Modelling of the biogas energy recovery train**

231 A biogas energy recovery calculation model was developed to standardize the technical and
232 economic calculations for the twelve scenarios. Figure 3 shows the different modules of the
233 model; indicating the most relevant inlets and outlets for each module and its interactions. As it
234 is shown, Module 1 calculates the electricity requirements for wastewater treatment; and the
235 anaerobic digester thermal demand for sludge heating as a function of digester geometry,
236 insulation materials and ambient temperatures (according to [49]). Module 2 calculates the
237 performance of the biogas treatment technologies to reduce the concentration of biogas
238 contaminants (H_2S , siloxanes and moisture) to the specific requirements of each CHP unit
239 depending on the raw biogas concentration. Module 3 determines both the electric and thermal
240 performance of the CHP unit as a function of the treated biogas composition and the CHP unit
241 load (power introduced/nominal power). Modules 4 and 5 provide the CAPEX and OPEX
242 assessments of the biogas energy recovery train on a yearly basis (for the time horizon of 20
243 years) taking into account all costs involved in the design and construction (CAPEX) and
244 operation (OPEX) of the train. Finally, Modules 6 and 7 calculate the values of the six indicators

245 described in section 2.3 in order to compare the different scenarios as a function of all previous
246 calculations.

247

248 Figure 3.

249

250 An example of the model use and the calculations at the different modules for scenario D1
251 (500000 PE, H₂S 2500 ppm_v, Internal Combustion Engine) is presented in Table S1 of the
252 Supporting Information.

253

254 Table S1.

255

256 **3. Results and Discussion**

257 **3.1. Data collection of operational indicators from the audits**

258 The technical and economic indicators of the different biogas treatment and energy conversion
259 technologies/processes collected at the full-scale audits are summarized in Table 2. Two
260 values are presented for some of the indicators as a result of differences associated to the
261 sizes of the equipment.

262

263 Table 2.

264

265 **3.2. Definition of the energy recovery train of the different scenarios**

266 Biogas treatment systems were designed according to the decision-tree showed in Figure 4.

267

268 Figure 4.

269

270 Main desulphurisation (down to 250 ppm_v) followed by siloxanes polishing (down to 0.1
271 mgSi/Nm³) was selected for those ECS with more tolerant sulphur limits (i.e.: ICEs and MTs).
272 On the other hand, for ECS having very stringent quality requirements (i.e.: MFCs and
273 SOFCs), a more complex three stage treatment system was adopted: main desulphurisation
274 (down to 250 ppm_v) followed by H₂S polishing (down to 1 ppm_v) and siloxanes polishing (down
275 to 0.1 mgSi/Nm³). For each adsorbent material unit, two filters were placed in series with reversing
276 capability (lead-lag operation) as this configuration provided the possibility to operate a single bed
277 while the other bed was changed out or regenerated; ensuring maximum availability of the system.
278 A dryer was also installed upstream the adsorption beds in order to condense moisture from the
279 biogas. Bio-scrubber, a technology with higher CAPEX and lower OPEX, was only considered
280 for main desulphurisation at the 500000 PE WWTP; while caustic scrubber, a technology with
281 lower CAPEX and higher OPEX, was considered at the 100000 PE WWTP.

282 On the other hand, sizing of the ECS was conducted based on the available systems on the
283 market and technical data sheets from manufacturers. ICE technology is available in a wide
284 range of power sizes: 249, 330, 499, 844, 1065, 1189, 1600 and 3000 kW_e (Jenbacher; [51]);
285 and 143, 235, 453, 600, 777, 1041, 1200, 1312, 1560, 2039, 3333 and 4300 kW_e (Caterpillar,
286 [52]). On the other hand, micro-turbines are available in modular 30, 60 and 200 kW_e units
287 (Capstone; [53]), while MFCs are available in two possible power sizes; namely 300 kW_e and
288 1.4 MW_e (Fuel Cell Energy, [54]). No commercial SOFC units are available today for the
289 WWTP sizes studied in this study (systems are in the range of few kW_e; SOFC Power, [55])
290 hence a modular unit of 50 kW_e was envisaged. The nominal electrical power of the ECS for
291 the different scenarios is collected in Table 3. In addition, the average electrical power
292 production during the 4 terms (and the corresponding load) is also indicated.

293

294

Table 3.

295

296 Average loads greater than 80% are obtained, indicating that the nominal power of the ECS
297 matches the biogas energy potential most of the time. Terms with higher biogas production (T2
298 and T3) result in the operation of the ECS at loads of 100% and some biogas being diverted to
299 the flare. The only exception is the MCFC unit on the 100000 PE WWTP, which was oversized
300 as the smallest power size available in the market is 300 kW_e; which is too large for the biogas
301 production of this plant. As it will be latter shown, this oversized ECS will have a negative
302 impact on the economic balance of this scenario.

303

304 **3.3. Technical assessment of the scenarios**

305 Tables 4 and 5 collect the NSFE and the energy self-sufficiency (electrical/thermal) of the
306 scenarios assessed based on the WWTP size and biogas pollution level.

307

308

Table 4.

309

310

Table 5.

311

312 As it can be observed when comparing A/B vs C/D scenarios, the effect of WWTP size is
313 important in ICEs performance; as increments of 10 – 12% in NSFE and of 20 – 22% in
314 electrical self-sufficiency are respectively observed because ICEs perform more efficiently at
315 larger power sizes. The higher NSFE observed at C/D scenarios confirms that the WWTP size
316 positively influences the overall performance of the ECS. Contrarily, in the case of fuel cells, an
317 increase of NSFE and energy self-sufficiency is not observed with increasing WWTP size
318 because electrical and thermal performances are almost independent of its nominal power.

319 NSFE values larger than 1 are obtained for MFCs on all scenarios which indicates that fossil
320 fuels savings exceed biogas production as a result of the high overall cogeneration efficiency.
321 ICEs at 500000 PE WWTP also present NSFE above 1.

322 The comparison B/D vs A/C displays the effect of the biogas pollution level; showing a slight
323 reduction of the technical indicators at contaminated scenarios because the biogas treatment
324 installed is more complex; which on the one hand increases its energy consumption and on the
325 other reduces the availability of the entire energy recovery train; thus the overall net electric
326 and thermal productions decrease. Notwithstanding, the effect of this variable is less significant
327 than in the case of WWTP size as the contribution of electric consumption in biogas treatment
328 systems is much smaller than electricity production at the ECS.

329 Finally, the comparison of the different ECS technologies depicts that MFCs has the highest
330 performance compared to other CHP technologies. At the 100000 PE WWTP, NSFE and
331 electrical self-sufficiency are respectively 30 – 32% and 60 – 63% higher than ICEs.
332 Notwithstanding, the difference in performance of ICEs and MFCs is smaller at the 500000
333 PE WWTP for the reasons exposed above. MTs provide the smallest electrical production of
334 the assessed ECS, consistent with their reduced electrical performance; while greatly exceed
335 the thermal demand of sludge heating. Therefore, they can be a very attractive option in
336 WWTPs in which additional heat demands (p.e.: office building heating; sludge drying, etc.) are
337 required. Finally, SOFC systems show slightly larger electrical performance than conventional
338 CHP technologies but are not able to match thermal demand. As a result, the NSFE of SOFCs
339 is similar to the values obtained for ICEs and MTs; showing that, in spite of the still low
340 development level, SOFC technology is currently competitive in technical terms to conventional
341 cogeneration, confirming good prospects for future industrial deployment.

342 It must be mentioned that WWTPs' electrical self-sufficiency cannot be achieved with any of the
343 present CHP technologies; as values obtained range between 40 and 75%. Although other

344 studies [27, 56] overview the potential of achieving an energy-neutral (and even an energy-
345 positive) wastewater treatment, it is necessary not only to implement high efficient biogas
346 energy conversion technologies but also on other strategies such as boosting biogas
347 production (p.e.: via co-digestion with other substrates or sludge pre-treatments; [57, 58])
348 and/or implementing energy efficiency measures and new processes to reduce consumption
349 [59, 60]. On the other hand, thermal demand for digester heating can be satisfied on average
350 for the tested range of temperatures as thermal self-sufficiencies are very close or well over
351 100% with the exception of SOFCs. However, it should be taken into account that at cold
352 seasons (i.e.: winter time), natural gas consumption is required while at the warm seasons (i.e.:
353 summer time) large quantities of waste heat cannot be recovered and are thus discharged into
354 the atmosphere.

355

356 **3.4. Economic assessment of the scenarios**

357 Table 6 and Figure 5 show the LCE and payback periods/internal rate of return for the
358 scenarios assessed based on the WWTP size and biogas pollution level.

359

360 Table 6.

361

362 Figure 5.

363

364 The comparison of A/B vs C/D shows that payback periods are approximately reduced to the
365 half by increasing WWTP size for all ECS as a result both of CAPEX and OPEX reduction with
366 increasing electric power. This is consistent with the values obtained for the LCE; which are
367 also reduced consequently. The economic profitability of MCFC systems in the 100000 PE
368 WWTP is significantly smaller than in the 500000 PE WWTP not only because of the economy

369 of scale effect but especially because the fuel cell is oversized to match the biogas energy
370 potential (which means that both CAPEX and stack replacement costs are oversized).

371 On the other hand, the influence of the pollution level (B/D vs A/C) in the economic balance is
372 more significant at the 100000 PE rather than at 500000 PE WWTP. On the former,
373 improvements on the LCE for clean gases of around 40% for conventional CHP technologies
374 and of around 20% for fuel cells are observed when compared to polluted gases. Differently, at
375 500000 PE WWTP, improvements are a bit more moderate; i.e.: 22% and 10% respectively.

376 The comparison of the different ECS shows that nowadays ICEs are the most profitable option
377 to be deployed at WWTPs, with payback periods ranging between 2 and 5 years depending on
378 the size and level of biogas pollution. As it is also depicted, micro-turbines are not competitive
379 to ICEs; hence their application range may probably take place at WWTPs less than 100000
380 PE. In the case of MCFCs, despite the payback period is larger than for ICEs (around 4 times),
381 it is concluded that the technology can be profitable and marketable (as it has been proved with
382 the existing installations in USA and Germany). Although the profitability of MCFCs in this study
383 was lower at 100000 PE WWTP; fuel cell application is expected to play a more significant role
384 in small- and medium-scale WWTPs as their performance on these sizes clearly exceeds ICEs
385 performance. Finally, SOFC systems are still not economically competitive today as they show
386 electrical efficiencies comparable to conventional CHP technologies with larger investment
387 costs.

388

389 **3.5. Sensitivity analysis**

390 According to the results obtained, the high CAPEX (k€/kW_e) and the low stack replacement
391 rate (years) are the key variables affecting the economic assessment of fuel cell projects (4.5
392 k€/kW_e and 5 years respectively). Chalk and Miller [61] and Elmer et al. [62] also identified
393 these two variables as two of the key challenges for fuel cell implementation. A sensitivity

394 analysis of the effect of these two variables on the IRR was conducted to determine the
395 threshold levels at which MCFC technology would be economically profitable compared to ICE
396 (Figures 6 and 7). IRR of ICEs scenarios (A1, B1, C1 and D1) are depicted as horizontal lines.

397

398 Figure 6.

399

400 Figure 7.

401

402 As it is depicted, the independent effect of the two variables is not sufficient to balance the
403 economic profitability of MCFCs and ICEs projects. On the one hand, at a constant stack
404 durability of 5 years, it is necessary to reduce the investment costs at around 1 k€/kW_e (a 4.5-
405 fold reduction) to balance the IRR of MCFCs and ICEs. On the other, at a constant investment
406 cost of 4.5 k€/kW_e, it is not possible to balance IRR by increasing the stack durability. Although
407 improvements on the investment cost are more effective compared to improvements on stack
408 durability due to the sharper profile, it is concluded that new developments in fuel cell
409 manufacturing should be aimed both at a reduction of the investment cost and an increase of
410 stack lifetime.

411

412 **4. Conclusions**

413 Following audits on industrial-scale WWTPs and the operation of a pilot-scale unit, it was
414 possible to assess the application field of high-temperature fuel cells and compare them to
415 conventional CHP technologies. For all cogeneration systems, the impact of WWTP size on the
416 technical and economic performance was more significant than the biogas pollution level.

417 MCFC systems are the most efficient cogeneration technology, especially at small and
418 medium-scale WWTPs, showing Normalized Saved Fossil Energy values of 1.25 and an

419 electrical self-sufficiency of 70% for the 100000 PE WWTP (this is around 30% and 60%
420 respectively larger than conventional cogeneration). However, in the 500000 PE WWTP, the
421 performance of ICEs is similar to MCFCs. Notwithstanding, payback periods of MCFC projects
422 are 4 times larger than for ICEs; which today is still the most profitable technology for sewage
423 biogas energy recovery.

424 SOFC systems, despite its low development level, have a comparable technical performance
425 with ICEs; confirming the good prospects of this technology. However, the economic
426 profitability is still far away from industrial deployment (further than MCFCs); hence the impact
427 of this technology in sewage treatment is expected for the medium- or long-term.

428 Both the high CAPEX and the reduced lifetime of MCFC and SOFC systems should be
429 improved before fuel cell can become a deployable technology in WWTPs, especially at small-
430 and medium-scale plants. Fuel cell manufacturers and biogas producers should be involved
431 together in research and development projects in order to overcome the identified performance
432 limitations.

433

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Table 1. Description of the gas trains and Energy Conversion Systems at the audited WWTPs

Audit	Biogas treatment	ECS
USA 1	Scrubber + iron sponge + drying + activated carbon	MCFC
USA 2	Drying + activated carbon	MT
Germany	Drying + activated carbon	MCFC
Italy	Scrubber + drying + adsorbent materials	ICE
Spain 1	Bio-scrubber + drying + activated carbon	ICE
Spain 2	Drying	ICE
SOFC pilot	Iron sponge + drying + activated carbon	SOFC

Table 2. Technical and economic indicators collected from audits used for scenario evaluation

	Variable	Value	Unit	Audit
Technical	Electricity consumption wastewater treatment (600 gCOD/m ³)	0.5	kWh/m ³	Average 6 WWTP
	NaOH consumption caustic scrubber (@CO ₂ : 35%)	6	kgNaOH/kgH ₂ S	Italy
	Electricity consumption caustic scrubber	5.88	kWh _e /kgH ₂ S	Italy
	NaOH consumption bio-scrubber	2	kgNaOH/kgH ₂ S	Spain 1
	Electricity consumption bio-scrubber	7.43	kWh _e /kgH ₂ S	Spain 1
	Nutrients consumption bio-scrubber	0.15	L/kgH ₂ S	Spain 1
	Availability caustic scrubber/bio-scrubber	95	%	Italy, Spain 1
	Adsorption capacity iron sponge (H ₂ S)	0.3	kgH ₂ S/kg material	SOFC pilot
	Siloxanes removal efficiency drying (at 5°C)	30	%	USA 1, Germany SOFC pilot
	Adsorption capacity activated carbon (siloxanes)	0.0025	kgSi/kg material	USA 1, Germany SOFC pilot
	Electricity consumption adsorbent materials	0.0001	kWh _e /Nm ³ /filter	USA 1, Germany
	Electricity consumption drying (heat pump)	0.01	kWh _e /Nm ³	USA 1, Germany
	Availability dryer, activated carbon, iron sponge	100	%	USA 1, Germany SOFC pilot
	Thermal efficiency Boiler	90	%	Spain 1, 2
	Electrical efficiency ICE	31 – 37	%	Spain 1, 2, Italy
	Thermal efficiency ICE (low and high grade heat)	45 – 40	%	Spain 1, 2, Italy
	Availability ICE	96	%	Spain 1, 2, Italy
	Electrical efficiency Micro-turbine	28	%	USA 2
	Thermal efficiency Micro-turbine (high grade heat)	50	%	USA 2
	Availability Micro-turbine	98	%	USA 2
	Electrical efficiency MCFC	48	%	USA 1, Germany
	Thermal efficiency MCFC (high grade heat)	37	%	USA 1, Germany
	Availability MCFC	98	%	USA 1, Germany
	Electrical efficiency SOFC	34	%	SOFC pilot
	Thermal efficiency SOFC (high grade heat)	28	%	SOFC pilot
	Availability SOFC	98	%	SOFC pilot

Economic	Investment cost caustic scrubber	2.1 – 0.5	k€/ (Nm ³ /h)	Italy
	Investment cost bio-scrubber	2.6 – 0.6	k€/ (Nm ³ /h)	Spain 1
	Investment cost dryer + activated carbon + iron sponge	1.4 – 0.8	k€/ (Nm ³ /h)	USA 1, Germany
	Investment cost ICE	1.2 – 0.8	k€/kW _e	Spain 1, 2
	Investment cost Micro-turbine	1.8	k€/kW _e	USA 2
	Investment cost Fuel Cells (MCFCs, SOFCs)	4.5	k€/kW _e	USA 1
	Stack replacement rate Fuel Cells (MCFCs, SOFCs)	5	years	USA 1
	Investment cost Fuel Cell stack (MCFCs, SOFCs) (percentage over the entire Investment Cost)*	40	%	USA 1
	Investment cost Civil works	50 – 75	k€	6 WWTP
	NaOH cost (100%)	1	€/kg	Italy 1, Spain 1
	Nutrient solution cost	2.5	€/L	Spain 1
	Liquid waste treatment cost (treated in the same WWTP)	0.1	€/m ³	Average 6 WWTP
	Iron sponge cost	3.5	€/kg	SOFC pilot
	Activated carbon cost	2	€/kg	USA 1, 2, SOFC pilot
	Solid waste disposal cost (non-toxic)	50	€/kg	USA 1, 2, SOFC pilot
	Biogas treatment maintenance cost (caustic scrubber; bio-scrubber; dryer; activated carbon; iron sponge)	2 (<2 y) 5 (2 – 6 y) 10 (>6 y)	% over CAPEX	6 WWTP
	ICE maintenance cost (lubrication oil substitution, general maintenance)	1.3	c€/kW _e	Spain 1, 2
	Micro-turbine maintenance cost (general maintenance)	1	c€/kW _e	USA 2
	Fuel Cell maintenance cost (general maintenance)	0.5	c€/kW _e	USA 1
	Man-power requirements	0.25 – 1	h/day	6 WWTP
Man-power costs	20	€/h	6 WWTP	
Natural gas cost	4.5	c€/kW _t	6 WWTP	
Electrical works cost	450	€/kW _e	Spain 1, 2, Italy	
Electricity Feed-in-Tariff (FIT)	12	c€/kW _e	6 WWTP	

* High-temperature fuel cell units basically consist of two modules: the electrochemical stack and the heat integration unit. As a result of progressive degradation over the time, the electrochemical stack needs to be substituted (stack replacement rate). Investment cost of stack exchange needs to be therefore considered over the length of the project.

Table 3. Nominal and actual electric power (kW_e) and ECS load of the different scenarios (%)

WWTP size and pollution level	ECS	Nominal Electric power ECS (kW _e)	Average Electric power ECS (kW _e)	Load ECS (%)
A and B	ICE	143	124	88
	MT	120 (2 x 60)	110	91
	MCFC	300	195	65
	SOFC	150	135	90
C and D	ICE	844	746	88
	MCFC	1200 (4 x 300)	975	81

(A = 100000 PE, 250 ppm_v; B = 100000 PE, 2500 ppm_v; C = 500000, 250 ppm_v; D = 500000 PE, 2500 ppm_v)

Table 4. Normalized Saved Fossil Energy of the different scenarios (kWh/kWh)

Scenario	A	B	C	D
ICE	0.96	0.92	1.07	1.02
MT	0.92	0.87	Not applicable	
MCFC	1.29	1.22	1.28	1.22
SOFC	0.95	0.90	Not applicable	

(A = 100000 PE, 250 ppm_v; B = 100000 PE, 2500 ppm_v; C = 500000, 250 ppm_v; D = 500000 PE, 2500 ppm_v)

Table 5. Electrical/Thermal energy self-sufficiency of the different scenarios (%)

Scenario	A	B	C	D
ICE	46 / 123	44 / 116	56 / 109	53 / 103
MT	42 / 134	40 / 128	Not applicable	
MCFC	75 / 103	71 / 98	75 / 103	71 / 98
SOFC	52 / 76	49 / 73	Not applicable	

(A = 100000 PE, 250 ppm_v; B = 100000 PE, 2500 ppm_v; C = 500000, 250 ppm_v; D = 500000 PE, 2500 ppm_v)

Table 6. Levelized Cost of Energy of the different scenarios (c€/kWh_e) (1 € = 1.08 USD)

Scenario	A	B	C	D
ICE	6.1	10.4	4.6	5.9
MT	6.7	11.5	Not applicable	
MCFC	16.6	19.9	13.4	14.8
SOFC	15.2	19.4	Not applicable	

(A = 100000 PE, 250 ppm_v; B = 100000 PE, 2500 ppm_v; C = 500000, 250 ppm_v; D = 500000 PE, 2500 ppm_v)

Table S1. Model use and calculations at the different modules for scenario D1

MODULE OUTPUTS			
Module 2	Treated biogas flow and composition	312.5 Nm ³ /h 65% CH ₄ ; 250 ppm _v H ₂ S; 0.04 mgSi/Nm ³ siloxanes	
	NaOH consumption	18753 kg/year	
	Adsorbent material consumption	6342 kg/year	
	Bleed production	360 m ³ /year	
Module 3	Electrical generation	Tot	5.96 GWh _e /year
		Q1	1.27 GWh _e /year
		Q2	1.65 GWh _e /year
		Q3	1.69 GWh _e /year
		Q4	1.35 GWh _e /year
	Thermal generation	Tot	6.45 GWh _t /year
		Q1	1.38 GWh _t /year
		Q2	1.79 GWh _t /year
		Q3	1.82 GWh _t /year
		Q4	1.46 GWh _t /year
Module 1	Electrical demand WWTP	Tot	11.23 GWh _e /year
		Q1	2.39 GWh _e /year
		Q2	3.09 GWh _e /year
		Q3	3.23 GWh _e /year
		Q4	2.53 GWh _e /year
	Thermal demand WWTP	Tot	6.35 GWh _t /year
		Q1	1.68 GWh _t /year
		Q2	1.73 GWh _t /year
		Q3	1.34 GWh _t /year
		Q4	1.69 GWh _t /year
	Natural gas requirements	Tot	25.580 Nm ³ /year
		Q1	8.03 Nm ³ /h
		Q2	0 Nm ³ /h
Q3		0 Nm ³ /h	
Q4		3.65 Nm ³ /h	
Module 5	Total investment expenses	1506 k€	
	Biogas treatment investment expenses	380 k€	
	ICE investment expenses	675 k€	
	Civil and electrical works, engineering	451 k€	
Module 4	Total operational expenses	164 k€/year	
	Biogas treatment operational expenses	67 k€/year	
	ICE operational expenses	78 k€/year	
	Man-power operational expenses	8 k€/year	
	Natural gas operational expenses	11 k€/year	
Module 6	Normalized Savings Fossil Energy	1.02	
	Electricity self-sufficiency	53%	
	Thermal self-sufficiency	103%	
Module 7	Levelized Energy Cost	5.9 c€/kWh _e	
	Payback Period	2.6 years	
	Internal Rate of Return	41%	

Figure 1. Process flow schematic of the WWTP and boundaries considered in this study

Figure 2. Schematic description of the different scenarios typology for biogas energy recovery

Figure 3. Description of the different modules of the biogas energy recovery evaluation model

Figure 4. Schematic description of the decision tree for the selection of the biogas treatment technologies adapted to the selected ECS

Figure 5. Payback period (years) and Internal Rate of Return (IRR) for the different scenarios as function of the plant size and biogas quality. (A = 100000 PE, 250 ppm_v; B = 100000 PE, 2500 ppm_v; C = 500000, 250 ppm_v; D = 500000 PE, 2500 ppm_v)

Figure 6. Effect of the investment cost of MCFCs (k€/kW_e) on the IRR of the fuel cell project (stack durability 5 years). IRR of ICE projects is depicted as reference. (A = 100000 PE, 250 ppm_v; B = 100000 PE, 2500 ppm_v; C = 500000, 250 ppm_v; D = 500000 PE, 2500 ppm_v)

Figure 7. Effect of the stack durability of MCFCs (years) on the IRR of the fuel cell project (investment cost 4.5 k€/kW_e). IRR of ICE projects is depicted as reference. (A = 100000 PE, 250 ppm_v; B = 100000 PE, 2500 ppm_v; C = 500000, 250 ppm_v; D = 500000 PE, 2500 ppm_v)

Figure 1 in word format

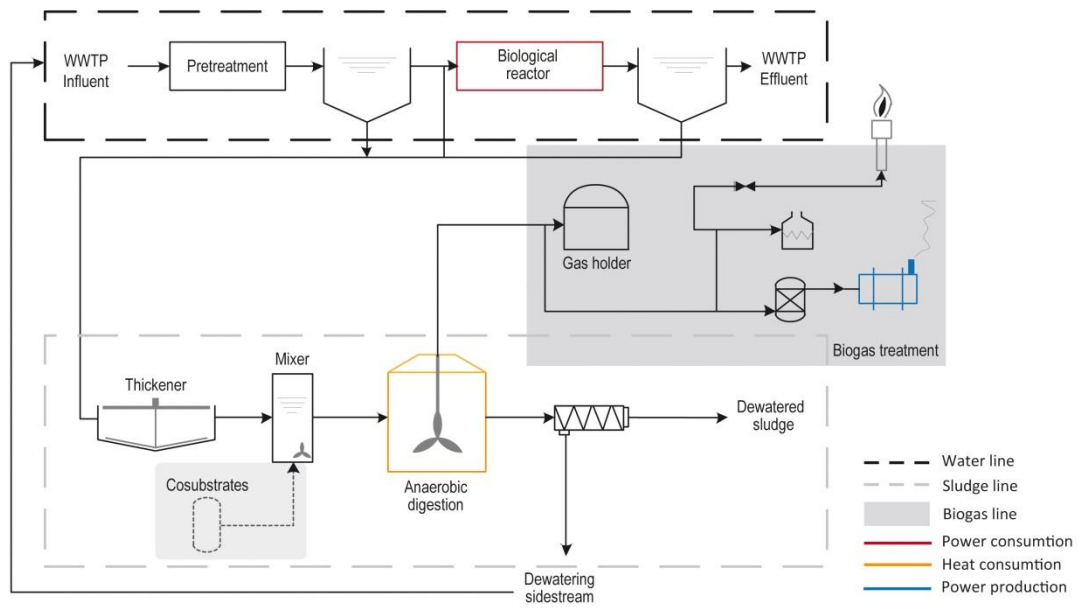


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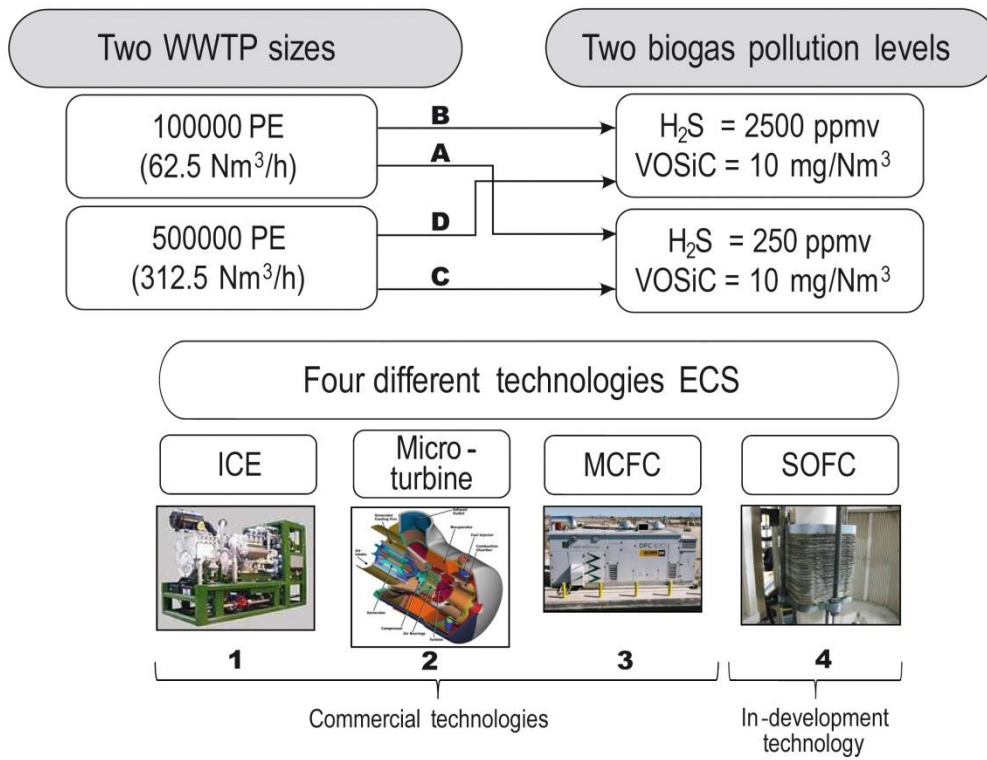


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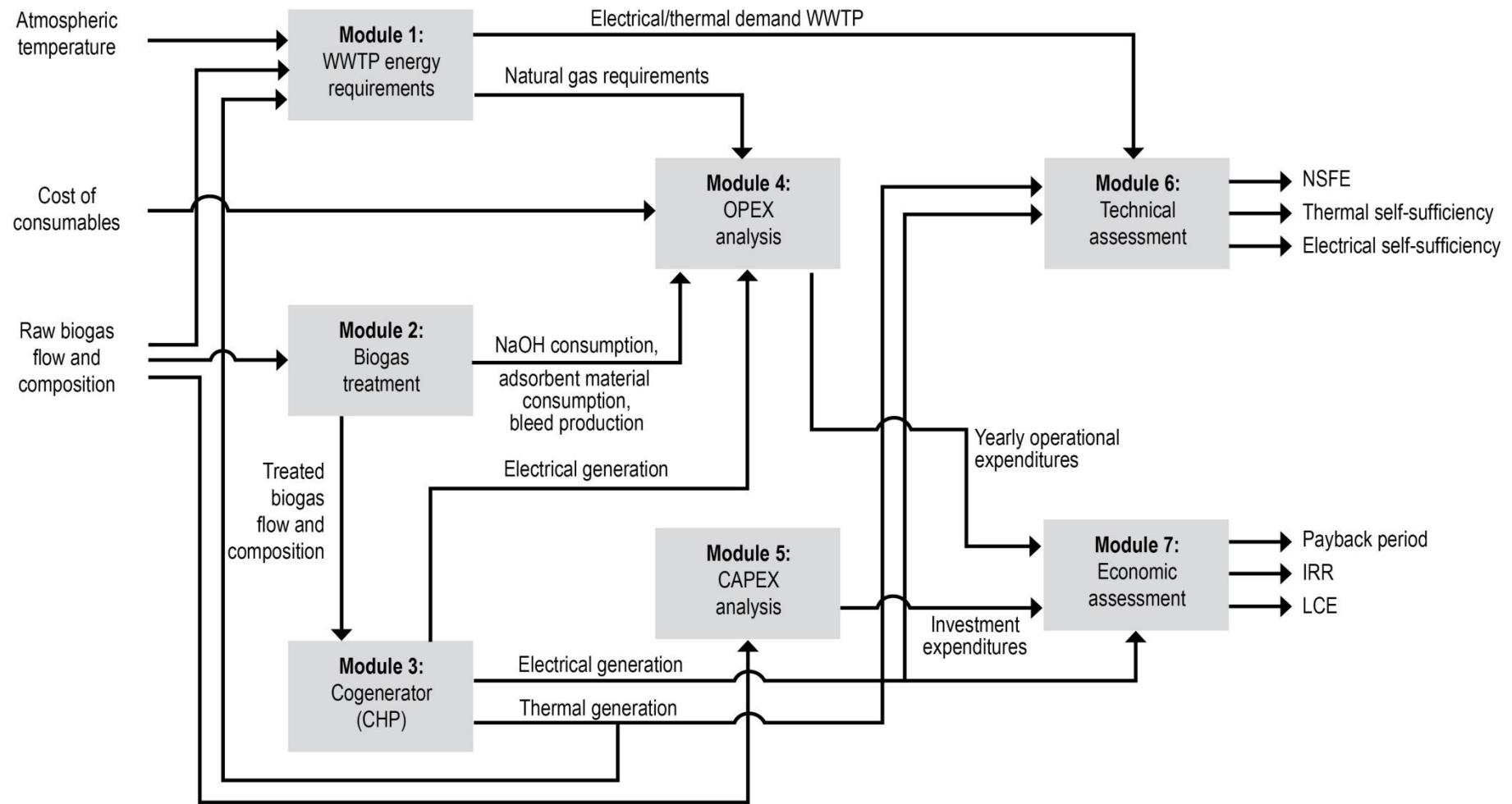


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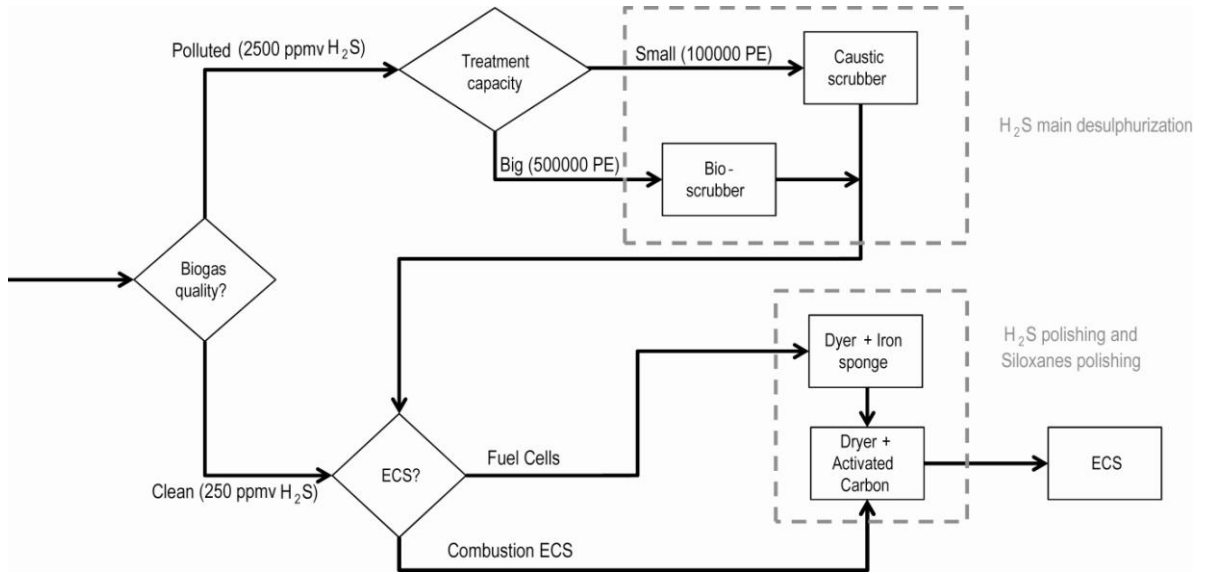


Figure 5 in word format_reviewed

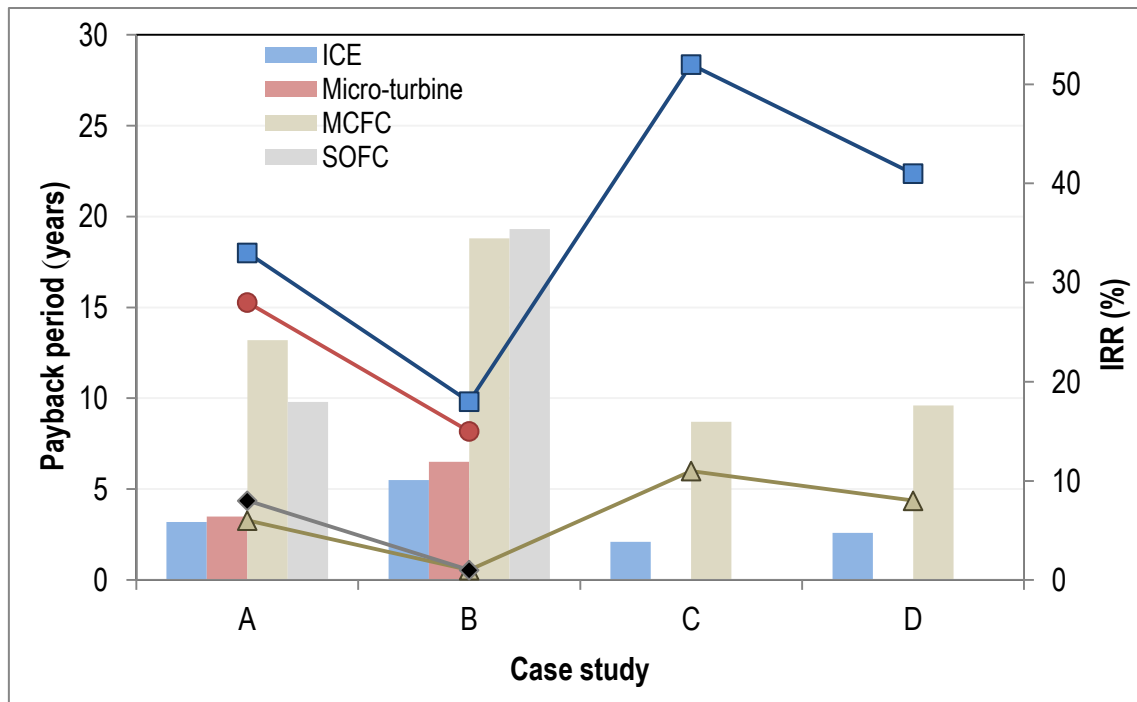


Figure 6 in word format

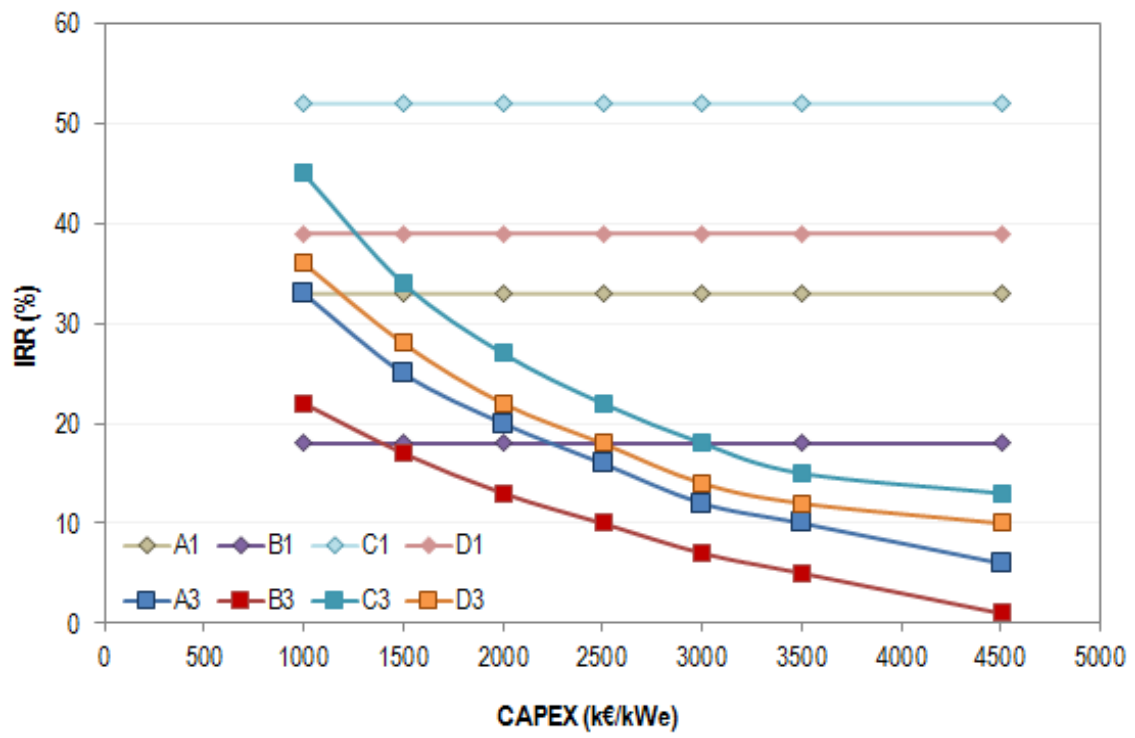


Figure 7 in word format

