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Circulation type classification derived on a climatic basis to study air quality dynamics over the Iberian Peninsula

Running head: Circulation type classification for air quality applications.

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Abstract

A circulation type classification is derived for the 1983-2012 climatic period in order to characterize air quality dynamics over the Iberian Peninsula (IP). Sensitivity tests to automatic classification techniques and other factors affecting the classification (number of patterns, temporal and spatial resolution, domain size, etc.) are performed in order to objectivize the set-up that maximizes its quality. The ERA-Interim reanalysis and the cost733class classification software are used. The identified circulation types (CTs) are described in terms of frequency, persistence, transitions, and location of isobaric systems. The temporal stability of the classification is evaluated following a cross-

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4 22 validation process that compares the results of the climatic and yearly classifications,
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6 23 leading to the identification of a representative year. Moreover, a representative day for
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8 24 each CT is identified using an objective score that minimizes the absolute value
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10 25 difference of the daily grid with respect to the average CT grid. The reference set-up
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12 26 uses a cluster-based technique (Ck-means) on a daily mean sea level pressure database.
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14 27 Six CTs are identified which are consistent with synoptic patterns found in the
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16 28 literature. Furthermore, the CTs of the climatic period are temporally stable showing
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18 29 similar characteristics in terms of frequency and location of high and low pressure
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20 30 systems as those of the representative year (2012). As a first application of the
21
22 31 circulation type classification, 1h-maximum NO₂ concentration maps from the
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24 32 CALIOPE Air Quality Forecasting System are analysed for the representative days.
25
26 33 Synoptic circulation controls the origin and strength of advection explaining transport
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28 34 and NO₂ background concentration over the IP. However, there is a strong spatial
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30 35 heterogeneity: in the central, northern, and southern IP, NO₂ concentrations are
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32 36 controlled by the synoptic circulation, whereas in Spanish Mediterranean coastal areas a
33
34 37 combination of synoptic and mesoscale dynamics explains the NO₂ concentration
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36 38 patterns.

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39 39 Keywords: climate; synoptic classification; circulation types; air quality; NO₂;
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41 40 COST733; ERA-Interim

41 **1 Introduction**

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43 42 Air quality depends on emissions, both natural and anthropogenic, meteorology, and the
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45 43 topographical characteristics of the area under study (Baldasano *et al.*, 1994; Seinfeld
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47 44 and Pandis, 2006). At the local scale natural emissions depend on temperature and
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4 45 humidity. Transport relies on wind characteristics and vorticity. Photochemistry is
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6 46 determined by temperature, humidity, and solar radiation. Precipitation influences
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8 47 deposition (wet removal), and topography controls mesoscale dynamics such as land-
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10 48 sea breezes and mountain-valley winds. Furthermore, atmospheric circulation at the
11
12 49 synoptic scale affects pollution transport at the regional scale (Flocas *et al.*, 2009).
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15 50 Therefore, in order to characterize air quality in a given territory, it is necessary to
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17 51 understand the role of the synoptic circulation controlling its regional and local
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19 52 dynamics (Elminir, 2005; Giorgi and Meleux, 2007; Demuzere *et al.*, 2009).
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23 53 In recent years correlations between air quality and specific synoptic patterns or CTs
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25 54 have been studied. Demuzere *et al.* (2009) provides insight in regional meteorological
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27 55 processes that play a role in O₃ formation at four mid-latitude sites in the Netherlands.
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29 56 Shreshta *et al.* (2009) and Zhang *et al.* (2013) reveal that under the influence of CTs
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31 57 with high wind speed, low O₃ concentrations were registered in Southeast Asia, whereas
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33 58 with weak synoptic winds high O₃ concentrations were registered. Ganor *et al.* (2010)
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35 59 relates the occurrence of mineral dust outbreaks in the Eastern Mediterranean to the
36
37 60 presence of thermal low pressure areas over Maghreb. In the IP under anticyclonic
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39 61 conditions mesoscale phenomena control O₃ during summer along the Spanish
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41 62 Mediterranean coast (Millán *et al.*, 1997; Barros *et al.*, 2003; Gonçalves *et al.*, 2009;
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43 63 Castell-Balaguer *et al.*, 2012). In summer under a blocking anticyclone over Central
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45 64 Europe, there is a net transport of O₃ and precursors towards NW Spain that increases
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47 65 surface O₃ concentration (Saavedra *et al.* 2012). Exceedances of PM₁₀ limit value have
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49 66 been related to the transport of mineral dust from the Sahara, especially in late spring
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51 67 when a deep low is centred over the Western Portuguese coast, and in summer when a
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4 68 high pressure system is formed to compensate a thermal low at the surface over Algeria
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6 69 (Salvador *et al.* 2008, Salvador *et al.*, 2013).
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10 70 Synoptic classifications enable the establishment of discrete CTs by categorizing the
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12 71 continuum of atmospheric circulation based on their similarities (Beck and Philipp,
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14 72 2010, Philipp *et al.*, 2014). The European Cooperation in Scientific and Technology
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16 73 Action 733 (COST733) harmonised classification techniques over Europe (Philipp *et*
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18 74 *al.*, 2010) in three groups: subjective, automatic and hybrid. Automatic techniques
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20 75 based on statistical methods find patterns within the input data and assign samples
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22 76 (days) to the identified CTs in a systematic and objective way, although their
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24 77 configuration critically affects the results (Philipp *et al.*, 2014).
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28 78 Several synoptic classifications have already been derived over the IP (or areas within)
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30 79 for different purposes. This include atmospheric transport characterization (Petisco,
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32 80 2003; Rasilla, 2003; García-Valero *et al.*, 2012), wind analysis (Azorin-Molina *et al.*,
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34 81 2009; Jiménez *et al.*, 2009), precipitation trend (Romero *et al.*, 1999; Casado *et al.*,
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36 82 2010; Casado and Pastor, 2013), snowfall variability (Esteban *et al.*, 2005), lightning
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38 83 activity (Pineda *et al.*, 2010), desert dust intrusions (Alonso-Pérez *et al.*, 2011; Salvador
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40 84 *et al.*, 2013), and transport of pollutants (Saavedra *et al.*, 2012, Russo *et al.*, 2014).
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44 85 The present work aims to obtain an objective and automatic synoptic classification over
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46 86 the IP on a climatic basis (1983-2012) to enable further air quality dynamics
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48 87 characterization (Fig. 1). Sensitivity analyses are first performed to several classification
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50 88 techniques and other factors affecting the classification to identify a reference set-up.
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52 89 Second, the resulting CTs are characterized. The synoptic classification is evaluated in
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54 90 terms of its temporal stability, which allows the identification of the most representative
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4 91 year during the climatic period. Kinematic back-trajectories obtained by means of the
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6 92 HYbrid Single-Particle Lagrangian Integrated Trajectory model (HYSPLIT, Draxler
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8 93 and Rolph, 2013) are used to confirm the resulting CTs. Finally, as a first application of
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10 94 the synoptic classification, a characterization of NO₂ dynamics over Spain is performed
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12 95 through analysis of NO₂ concentration maps from the CALIOPE Air Quality
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14 96 Forecasting System (CALIOPE-AQFS) (www.bsc.es/caliope) on representative days of
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16 97 CTs.
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20 98 The paper is organized as follows. Section 2 describes the methods and data used to
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22 99 derive and evaluate the synoptic classification, and to define a representative year and
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24 100 representative days. Section 3 shows the results of the sensitivity analyses and the
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26 101 characteristics of the identified CTs, the temporal stability of the classification, the
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28 102 comparison with the back-trajectories, and the analysis of NO₂ dynamics. Finally,
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30 103 conclusions are given in Section 4.
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36 104 **2 Methods**

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38 105 A synoptic classification is sensitive not only to the classification technique (clt) but
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40 106 also to other factors as the number of CTs considered (nCT) (Michelangeli *et al.*, 1995;
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42 107 Philipp *et al.*, 2007; Fereday *et al.*, 2008, Philipp *et al.*, 2014), the large-scale input
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44 108 meteorological variable used as proxy (iv) (Casado and Pastor, 2013) , the vertical level
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46 109 of the input meteorological variable (vl) (Erpicum *et al.*, 2008), the temporal resolution
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48 110 (tr) (Casado and Pastor, 2013), performing an annual or seasonal classifications (se)
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50 111 (García-Valero *et al.*, 2012), the horizontal resolution (hr), and the domain size (d)
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52 112 (Jiménez *et al.*, 2009; Demuzere *et al.*, 2009; García-Bustamante *et al.*, 2012, Beck *et*
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54 113 *al.*, 2013). Sensitivity tests have been performed to identify the most objective set-up
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4 114 possible for the synoptic classification used in the air quality characterization (Table 1).

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6 115 The software used to derive the classifications is the open source cost733class software

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8 116 version 1.2. It was developed during the COST733 Action for easily creating,

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10 117 comparing and evaluating classifications (Philipp *et al.*, 2014).

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13 118 The analyses cover the climatic period of 1983-2012 and use ERA-Interim reanalysis

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15 119 data (Dee *et al.*, 2011; http://apps.ecmwf.int/datasets/data/interim_full_daily/) from the

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17 120 European Centre for Medium-Range Weather Forecasts (ECMWF). The reanalysis

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19 121 dataset provides reliable gridded meteorological variables over a global domain every

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21 122 six hours, at several horizontal resolutions, both at the surface and staggered at 37

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23 123 vertical levels up to 1 hPa geopotential height.

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25 124 An optimum classification maximizes the separability of the identified CTs while

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27 125 minimizing the within-type variability. The Explained Variation (EV) index is a

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29 126 measure of the classification quality (Eq. 1). EV is the result of the ratio between the

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31 127 internal variance of all the CTs (WSS) and the total variance of all the elements without

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33 128 clustering (TSS). WSS is the distance among samples in one CT calculated as within

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35 129 CT sum of squares (Eq. 2), and TSS is the total sum of squared differences between all

36
37 130 elements and the overall mean.

$$EV = 1 - (WSS/TSS) \quad (1)$$

$$WSS = \sum_{j=1}^k \sum_{i \in CT_j} D(X_i, \bar{X}_j)^2 \quad (2)$$

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39 131 In Eq. 2, k is the number of CTs, CT_j is the j of the k CTs, and D is the Euclidean

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41 132 distance between the sample (X_i) and its CT centroid (\bar{X}_j). The Euclidean distance is

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43 133 calculated considering the meteorological variable used in the classification on all grid

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4 134 cells. The sensitivity analyses are evaluated through the EV, which ranges from 0 to 1.
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6 135 CTs are more meaningful in terms of explaining the original amount of information
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8 136 when EV is closer to 1. According to Demuzere *et al.* (2011), the choice of an
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10 137 appropriate circulation type classification should be based on an objective evaluation of
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12 138 the explanatory power of the CTs on the region they are derived for and the purpose of
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14 139 the classification. Therefore in this research, the choice of the configuration has been
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16 140 done attending not only to the quality of the classification (expressed by the EV) but
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18 141 also to its main purpose, which is the identification of typical CTs to study their
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20 142 influence on air quality dynamics over the IP.
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25 143 The Rand Index (RI) is used as a measure of the agreement between two classifications
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27 144 with the same or a different number of CTs (Rand, 1971). RI ranges from 0 to 1. High
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29 145 values of RI imply that the identified CTs are similar in both classifications.
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32 146 The identified CTs are characterized by describing the atmospheric dynamics from a
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34 147 synoptic point of view. The description includes the relative location of the action
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36 148 centres, which determine the direction and speed of air masses both at the surface and
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38 149 500 hPa geopotential height (Z500). Z500 is situated at a level which the weight of the
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40 150 air column above and below it are alike enabling an estimation of the vertical structure
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42 151 of the atmosphere. Moreover, a quantitative description of each CT is given including
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44 152 the climatic frequency, the monthly distribution, the transitions between CTs which is
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46 153 useful for predictability at medium term (James, 2007), and the mean and maximum
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48 154 persistence. Cahynová and Huth (2009) defined persistence as the length of a sequence
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50 155 of days that are classified with one CT, while preceded and succeeded by another CT.
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4 156 A temporally stable synoptic classification is able to identify similar CTs when using
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6 157 meteorological databases for different periods. An evaluation of the temporal stability of
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8 158 the classification is performed following a cross-validation process similar to that used
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10 159 in Fereday *et al.* (2008) and García-Valero *et al.* (2012). The synoptic classification of
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12 160 each year is compared to the 1983-2012 classification. The total stability is defined as
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14 161 the percentage of days within the year that are classified in the same CT in both
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16 162 classifications. Higher total stability indicates more similar results between the yearly
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18 163 and the 1983-2012 circulation type classifications. The year with the highest stability is
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20 164 selected as the representative year.
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25 165 To confirm the consistency of CTs with the main sources of air masses directed towards
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27 166 the IP (Martín-Vide and Olcina, 2001), a comparison with ensemble back-trajectories
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29 167 on a representative day of each CT is performed. To adequately sample the history of
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31 168 the mass, the HYSPLIT model is used to determine 60-h back-trajectories ending at
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33 169 several locations (Madrid, Barcelona, Seville, Bilbao, Zaragoza, Santiago de
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35 170 Compostela and Palma de Mallorca, Fig. 2a). The ensemble back-trajectories (27
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37 171 members) are derived at 1500 and 5500 magl (approximately equivalent to Z850 and
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39 172 Z500, respectively) using the global reanalysis database Global Data Assimilation
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41 173 System (on a 1° x 1° grid) from National Centers for Environmental Prediction.
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46 174 To objectively select a representative day for each CT, a daily score is designed to
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48 175 minimize the differences between the daily grid and the average grid of a given CT. For
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50 176 each day (t) within a given CT, the Day Score (DS) is calculated as the sum of the
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52 177 absolute value of the differences between the daily value and the average value of the
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54 178 meteorological variable of the CT for each cell (i) of the grid (Eq. 3).
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$$DS_t = \sum_{i=1}^n |v_{t,i} - \bar{v}_i| \quad (3)$$

179 In Eq. 3, n is the number of cells of the grid; and \bar{v}_i is the arithmetic mean of the input
180 variable on each i cell of the domain for all days belonging to the CT. The
181 Representative Day Score (*RDS*) minimizes the value of the DS identifying the
182 representative day for each CT (Eq. 4).

$$RDS = \min(DS_t) \quad (4)$$

183 The RDS is a spatially explicit score useful to rank all days belonging to a given CT
184 according to their similarity to the average value of the input variable of the 1983-2012
185 period. In addition, an extra criterion is established for the selection of the
186 representative day. The day selected as representative has to be a day belonging to the
187 month in which the CT is most frequent in the climatic period.

188 Furthermore, NO₂ dynamics over the IP are analysed on the representative day of each
189 CT. NO₂ concentration maps are provided by the CALIOPE-AQFS, which
190 operationally provides 48-h forecast concentrations of main pollutants over the IP at
191 high spatial (4 km x 4 km) and temporal resolution (1h). CALIOPE-AQFS is described
192 and evaluated in detail elsewhere (Baldasano *et al.*, 2008, 2011; Pay *et al.*, 2011, Pay *et*
193 *al.*, 2012, Pay *et al.*, 2014). Briefly, it integrates the fully compressible, Eulerian and
194 nonhydrostatic Weather Research and Forecasting Model which uses the advanced
195 research dynamical solver, WRF-ARWv3.0.1 (Skamarock and Klemp, 2008), an
196 emission model (HERMESv2; Guevara *et al.*, 2013), the Community Multi- scale Air
197 Quality model (CMAQv4.5; Byun and Schere, 2006), and a mineral dust atmospheric
198 model (BSC-DREAM8b; Pérez *et al.*, 2006a, Pérez *et al.*, 2006b; Basart *et al.*, 2012)

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4 199 together in an air quality forecast system. CALIOPE-AQFS is evaluated in near-real
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6 200 time against air quality observations from more than 400 ground-level stations from the
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8 201 Spanish monitoring network.
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11 202 A short description of the configuration of the meteorological driver is given considering
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13 203 its strong influence on the dynamics of NO₂ concentration. First, WRF-ARW runs over
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15 204 Europe (the mother domain) at 12 km x 12 km horizontal resolution using initial and
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17 205 boundary conditions from the Global Forecast System (GFS/FNL) dataset with 6-h
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19 206 temporal resolution and 0.5° x 0.5° horizontal resolution. Over IP, WRF runs at higher
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21 207 resolution (4 km x 4 km) by means of a one-way nesting over the mother domain.
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23 208 Vertically, WRF-ARW is configured with 38 σ vertical levels from the surface up to 50
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25 209 hPa, with 11 levels characterizing the planetary boundary layer. The parameterizations
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27 210 used are as follows: WSM 3-class microphysics scheme, Kain-Fritsch Eta convective
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29 211 scheme, RRTM long-wave radiation, simple short wave radiation, Monin-Obukhov
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31 212 similarity surface layer physics, Noah Land Surface Model, and YSU planetary
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33 213 boundary scheme.
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39 214 Concerning the CALIOPE-AQFS performance, the system was fully evaluated over the
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41 215 IP domain for a full year (2004) for both meteorology and air quality (Baldasano *et al.*,
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43 216 2011 and its supplementary material). Good performance was found for wind speed and
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45 217 wind direction with mean absolute error $\sim 1.7 \text{ m s}^{-1}$ and $\sim 48^\circ$, respectively. The WRF
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47 218 model tends to overestimate surface wind speed, although the mean bias error remains
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49 219 below 0.8 m s^{-1} during summertime and around 0.9 m s^{-1} in winter. The CALIOPE-
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51 220 AQFS is able to reproduce NO₂ concentration as shown by statistics (Mean Bias, MB;
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53 221 Root Mean Square Error, RMSE; correlation coefficient, r) calculated on an hourly basis.
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55 222 Although NO₂ tends to be under estimated (MB = $-12.3 \mu\text{g m}^{-3}$) the general dynamics are
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4 223 well captured ($r = 0.53$). The highest errors are found in urban stations ($RMSE = 33.6$
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6 224 $\mu\text{g m}^{-3}$) and lowest in rural ones ($RMSE = 7.6 \mu\text{g m}^{-3}$).
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10 225 **3 Results**

11 226 **3.1 Determination of the reference synoptic classification set-up**

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16 227 Sensitivity tests to the classification technique and other factors affecting the results of
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18 228 the classification are performed (Table 1). Each test is run on a climatic basis (1983-
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20 229 2012) and evaluated in terms of EV. Within-type Standard Deviation and the Fast
21
22 230 Silhouette Index are other metrics that inform about the classification quality. The
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24 231 results of these metrics are convergent with the EV and are presented as supplementary
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26 232 material (S1). The analyses are run with only one factor varying each time.
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30 233 **3.1.1 Classification technique**

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32 234 Test one (Table 1) analyses the effect of the automatic technique considered on the
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34 235 synoptic classification quality. The automatic techniques included in the cost733class
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36 236 software belong to three families of classification techniques: (1) correlation techniques,
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38 237 (2) Principal Component Analysis (PCA), and (3) clustering techniques. The techniques
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40 238 differ depending on the multivariate statistics used. A complete explanation of the 12
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42 239 techniques used, their acronyms, and their implementation in the cost733class software
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44 240 is presented in Philipp *et al.* (2014) and references therein.
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49 241 On average, cluster techniques have 15% and 60% higher EV than PCA techniques and
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51 242 correlation techniques respectively. The classification techniques that present the
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53 243 highest EV are the non-hierarchical clustering techniques (as in Casado and Pastor,
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55 244 2013) (Fig. 3a). Several variants of the k-means clustering (KMN, CKM and DKM),
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4 245 rank the highest EV together with the Simulated ANealing and Diversified
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6 246 Randomization (SAN). The k-means clustering has been widely used to derive synoptic
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8 247 classifications (Romero *et al.*, 1999; Rasilla, 2003; Jiménez *et al.*, 2009). KMN uses
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10 248 random seeds for the initialization of the clustering process, which can lead to
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12 249 suboptimum classifications. In contrast, CKM and DKM use the most dissimilar seeds
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14 250 possible (calculated in a previous step of the classification), enabling an optimum
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16 251 clustering. Unlike DKM, CKM does not allow the creation of CTs with less than 5% of
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18 252 the original data, in order to avoid the creation of extreme or infrequent CTs. As this
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20 253 work aims to obtain CTs that are related to the most common air quality patterns CKM
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22 254 is chosen. SAN is a clustering technique that has performed better than CKM in
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24 255 classifications made by other authors (Philipp *et al.*, 2007; Fereday *et al.*, 2008). In this
25
26 256 case CKM and SAN perform similarly (EV = 0.474 and 0.478, respectively). Based on
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28 257 the higher computational cost of running classifications with SAN compared to CKM,
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30 258 the latter is chosen as the reference classification technique.
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36 259 The robustness of the identified CTs between classification techniques is evaluated by
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38 260 means of the RI. The highest similarity is observed between all techniques based on k-
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40 261 means (RI > 0.88 on average; CKM and DKM rank RI = 0.99) (Fig. 4). With respect to
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42 262 CKM, PCA-based techniques range from 0.71 (PTT) to 0.79 (PXE), whereas
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44 263 correlation-based techniques range from 0.63 (ERP) to 0.78 (LND). These results
45
46 264 indicate that k-means techniques determine similar CTs and reinforce the decision to
47
48 265 use CKM as the classification technique.
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51 52 266 **3.1.2 Number of circulation types**

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54 267 Test two (Table 1) studies the effect of the number of CTs on the synoptic classification
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56 268 quality. In general terms, EV increases with the number of CTs, but the increase is not
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4 269 linear (Fig. 3b). The number of CTs should be a balance between the EV and having an
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6 270 appropriate number of situations for air quality characterizations. For cluster techniques
7
8 271 there is not a specific criterion to select the reference number of CTs. A 5% increase
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10 272 threshold in the EV is established to determine the most appropriate number of CTs.
11
12 273 This leads to consider six CTs. Considering CKM, the EV is 0.48 using 6 CTs and 0.50
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14 274 using 7 CTs. A complete table with the EV values is shown in the supplementary
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16 275 material (S2). The obtained value of EV using CKM and six CTs is in the same range to
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18 276 those obtained by García-Valero *et al.* (2012) for a seasonal classification over Spain.
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22 277 **3.1.3 Meteorological variable used as proxy**

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24 278 Test three (Table 1) evaluates the effect of using different large-scale meteorological
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26 279 variables as a proxy on synoptic classification. Several classifications use the mean sea
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28 280 level pressure (mslp) as proxy meteorological variable because it is useful for relating
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30 281 the classification to variables influenced directly by the low levels of the atmosphere
31
32 282 like surface temperature (Philipp *et al.*, 2007; Cassou *et al.*, 2005; Yiou *et al.*, 2008),
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34 283 sea surface temperature (Fereday *et al.*, 2008), wind (Jiménez *et al.*, 2009) and air
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36 284 quality.
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41 285 Five meteorological variables (iv) available in the ERA-Interim database are tested:
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43 286 mslp, 10-meter wind components (UV10), 1000-hPa vorticity (Vort1000), 2-meter air
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45 287 temperature (T2m) and relative humidity (RH). T2m shows the highest EV (0.80),
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47 288 followed by mslp (EV = 0.48), RH (EV = 0.40) and UV10 (EV = 0.26) (Fig. 3c).
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49 289 Similar results are obtained when using KMN, DKM, and SAN (Supplementary
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51 290 material – S3). An analysis (not shown here) of the annual distribution of the identified
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53 291 CTs using T2m as meteorological variable indicates that the identified CTs were more
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55 292 related to seasonality than to atmospheric circulation. Thus, mslp is chosen as the
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4 293 meteorological reference variable. Atmospheric pressure is valuable because it provides
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6 294 information about the stability/instability of the atmosphere and the wind speed and
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8 295 direction, critical features to understand pollution transport dynamics.
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10 11 296 **3.1.4 Vertical level**

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13 297 Test four (Table 1) examines the effect of the vertical level on the classification quality.
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15 298 Surface data have typically been used to derive classifications (Kirchhofer 1974; Yiou
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17 299 and Nogaj 2004; Casado *et al.*, 2010). To a lesser extent, the geopotential height at 500
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19 300 hPa (Z500) and 850 hPa (Z850) have been used in other studies (Kruizinga, 1979;
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21 301 Erpicum *et al.*, 2008; Casado and Pastor, 2013).

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25 302 Classifications are obtained at different altitudes from 1000 hPa to 1 hPa by 100 hPa
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27 303 increments. EV increases from the surface to upper vertical levels (Fig. 3d). Below 850
28
29 304 hPa the atmospheric circulation is strongly influenced by the topography, leading to
30
31 305 complex and diverse pressure patterns to classify (EV~ 0.48).
32
33 306 At higher vertical levels where the complexity of the atmospheric circulation is lower,
34
35 307 the identification of CTs is easier (higher EV). Many processes involved in air quality
36
37 308 dynamics (emission, diffusion, advection, chemistry, deposition, etc.), together with
38
39 309 effects of pollution on human health and the environment occur within the lower
40
41 310 troposphere. Although ranking as the lowest EV (0.48), the reference vertical level is
42
43 311 established at the surface (mslp), considering that the purpose of this synoptic
44
45 312 classification is to identify CTs for air quality dynamics characterization.
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49 50 51 313 **3.1.5 Temporal resolution**

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53 314 Test five (Table 1) studies the impact of the temporal resolution. ERA-Interim
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55 315 reanalysis provides data every 6 hours (00:00, 06:00, 12:00, and 18:00 UTC).
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4 316 Resolutions used in the classification are 24-h (at 12:00 UTC), 12-h (using data at 00:00
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6 317 and 12:00 UTC), 6-h (at 00:00, 06:00, 12:00 and 18:00 UTC) and 06-h mean (mean of
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8 318 00:00, 06:00, 12:00 and 18:00 UTC). Results show that EV does not significantly
9
10 319 change with the increase of the temporal resolution (Fig. 3e), with EV = 0.48 for both 6-
11
12 320 h and 12-h resolution. On the other hand, results show a very slight improvement in the
13
14 321 classification quality when using the 6-h mean (EV = 0.49). However, in order to reduce
15
16 322 the computational cost of the classification the reference temporal resolution is set to
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18 323 24-h.
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22 324 **3.1.6 Seasonal classification**

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24
25 325 Test six (Table 1) evaluates the impact of considering either seasonal or annual data on
26
27 326 the classification quality. Winter and autumn EV are 14% and 5% higher than the
28
29 327 annual EV, respectively (Fig. 3f). However, spring (EV = -2%) and summer (EV = -1%)
30
31 328 are in the same range of quality as the annual classification. Overall, the mean seasonal
32
33 329 EV is 0.019 higher than the annual EV.
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37 330 An annual synoptic classification is chosen considering that a reduced number of CTs is
38
39 331 desirable to facilitate the air quality dynamics characterization and that the total increase
40
41 332 of seasonal EV respect to the annual classification EV is less than 4%.
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44 333 **3.1.7 Horizontal resolution**

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46 334 Test seven (Table 1) studies the impact of the horizontal resolution of the input data on
47
48 335 the classification quality. Five resolutions $0.125^\circ \times 0.125^\circ$ (110467 cells), $0.25^\circ \times 0.25^\circ$
49
50 336 (27784 cells), $0.75^\circ \times 0.75^\circ$ (3162 cells), $1.5^\circ \times 1.5^\circ$ (864 cells), and $3^\circ \times 3^\circ$ (238 cells),
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52 337 are considered. A higher resolution enables the description of more complex dynamics
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4 338 but may hinder the identification of CTs by introducing complexity to the input
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6 339 database.
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10 340 Overall, horizontal resolution has a low impact on the EV (Fig. 3g). For resolutions
11
12 341 higher than $0.75^\circ \times 0.75^\circ$ the increase in EV is less than 1%, whereas lower resolutions
13
14 342 decrease the EV by 2.6% ($1.5^\circ \times 1.5^\circ$) and 3.1% ($3^\circ \times 3^\circ$). The $0.75^\circ \times 0.75^\circ$ resolution
15
16 343 guarantees high classification quality with fewer data, reducing the computational cost
17
18 344 and storage requirements. It requires only 3% of the storage requirements compared to
19
20 345 the $0.125^\circ \times 0.125^\circ$ classification.
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23 346 **3.1.8 Domain size**

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25 347 Test eight (Table 1) analyses the effect of domain size on the classification quality.
26
27 348 Three spatial domain sizes ($D00 = 28 \times 10^6 \text{ km}^2$; $D01 = 15 \times 10^6 \text{ km}^2$; $D02 = 5 \times 10^6 \text{ km}^2$)
28
29 349 centred over the IP have been studied (Fig. 2a).
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33 350 Results indicate that EV significantly varies with the domain size, with the highest EV
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35 351 at D02 (0.62) and the lowest at D00 (0.41) (Fig. 3h). The increase in EV when
36
37 352 considering D02 instead of D01 is almost 30%. This result is due to the fact that within
38
39 353 a smaller domain the heterogeneity of atmospheric circulation is lower than in bigger
40
41 354 domains, leading to an easier identification of CTs (Beck and Philipp, 2010). Similar
42
43 355 results are obtained by Beck *et al.* (2013) when analysing the influence of the domain
44
45 356 size on the classification quality, using 8 domains from 0.77×10^6 to $18.5 \times 10^6 \text{ km}^2$.
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49 357 The analysis of the spatial, temporal, and dynamic characteristics of the identified CTs
50
51 358 over the different domains indicates that the domain size exerts an important influence
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53 359 on the results of a synoptic classification. For instance, around 50% of the days
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55 360 belonging to a given CT on D01 are not classified on the same CT on D02. This lack of
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4 361 consistency on the classification results between both domains indicates that the
5
6 362 identified CTs are domain dependent.
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9 363 D01 is the most suitable domain for this synoptic classification because it contains the
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11 364 usual locations of the more relevant action centres that affect the transport of air masses
12
13 365 towards the IP (Martín-Vide and Olcina, 2001). The IP is greatly affected by the
14
15 366 position of the Azores high and the British Isles low, which determine flows from the
16
17 367 North-eastern Atlantic Ocean, the Western Mediterranean, Northern Africa, and
18
19 368 Northern and Western Europe (all of them included in domain D01). Domain D00 also
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21 369 considers these areas but it ranks lower ($EV = 0.41$) than D01, and is therefore less
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23 370 advisable as a reference domain.
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28 371 **3.1.9 Summary of the reference set-up**

29
30 372 According to the results of the eight sensitivity tests, the reference set-up for the
31
32 373 circulation type classification uses the cluster-based CKM classification technique on
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34 374 24-h (12:00 UTC) mean sea level pressure data with a horizontal resolution of $0.75^\circ \times$
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36 375 0.75° . The classification is performed without seasonalization on the intermediate D01
37
38 376 domain. Six CTs explain 48% of the 1983-2012 synoptic circulation variability.
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42 377 **3.2 Characterization of circulation types**

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44 378 This section characterises the six synoptic CTs identified for 1983-2012 with the
45
46 379 reference set-up (Fig. 5, Table 2).
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49 380 CT1 is the most frequent CT overall (23.9% of the climatic frequency), especially
50
51 381 common in summertime. Two action centres determine the surface pressure structure
52
53 382 over Western Europe. They are the Azores high (~ 1020 hPa) and a low pressure system
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55 383 (~ 1008 hPa) over Scandinavia (Fig. 5a). Between them isobars are arranged in a NW-
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4 384 SE orientation enabling the arrival of NW advection to the north of the IP. This synoptic
5
6 385 situation leads to atmospheric instability and relative low pressure areas (~1012 hPa)
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8 386 over the Balearic Islands and the Spanish Mediterranean coast. The Cantabrian coast
9
10 387 (north of IP) has high mslp (1016 hPa). At Z500, the geopotential isolines are in W-E
11
12 388 direction and describe a slight trough over Western Europe. This atmospheric situation
13
14 389 leads to arctic maritime advection from the West of the British Isles towards the IP
15
16 390 (Martín-Vide and Olcina, 2001).

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20 391 CT2 is the second most frequent CT (22.4%). The intense solar radiation over the IP in
21
22 392 summer leads to the formation of a thermal low (Millán *et al.*, 1991) (Fig. 5b). CT2 is
23
24 393 characterized by a reduced surface pressure gradient over the IP and Western Europe
25
26 394 (~1016-1018 hPa), which enable the development of mesoscale processes such as land-
27
28 395 sea and mountain-valley breezes, especially along the Spanish Mediterranean coast
29
30 396 (Baldasano *et al.*, 1994; Millán *et al.*, 1997; Azorin-Molina *et al.*, 2009). At Z500 there
31
32 397 is a moderate geopotential height ridge that affects Western Europe enabling tropical
33
34 398 continental advection from Northern Africa towards the IP (Martín-Vide and Olcina,
35
36 399 2001) (Fig. 2b). CT2 is usually replaced by CT1 which is the most frequent summer
37
38 400 pattern, and vice versa. CT2 corresponds with the S2 pattern (stagnant situation with
39
40 401 SW circulation aloft) of the pseudo-subjective classification by García-Valero *et al.*
41
42 402 (2012).

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47 403 CT3 takes place evenly throughout the year (21.3%) but it is more frequent at the end of
48
49 404 winter and during spring (Table 2). CT3 is associated with a blocking anticyclone
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51 405 located over the North Sea that affects the entire domain (Fig. 5c). There are high
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53 406 isobaric patterns over Central Europe (> 1024 hPa), as well as in the IP (1020 hPa),
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55 407 leading to an E-NE advection towards the IP. It is usually replaced by CT2 when the
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4 408 high pressure subsides. At Z500 the 5580 m isoline describes a geopotential height
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6 409 ridge that descends longitudinally from the British Isles to the IP. This situation
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8 410 provokes an arctic continental advection from NE Europe to the IP (Martín-Vide and
9
10 411 Olcina, 2001).

11
12
13 412 CT4 accounts for 12% of the climatic frequency and typically occurs during the end of
14
15 413 autumn and wintertime. CT4 is characterized by high surface pressure (1024-1030 hPa)
16
17 414 over the IP derived from the presence of the Azores high over the Cantabrian Sea (Fig.
18
19 415 5d). CT4 determines the arrival of Atlantic air masses to the Cantabrian coast. The
20
21 416 north, centre and eastern IP are dominated by the influence of N and NE winds. The
22
23 417 geopotential height field structure at 500 hPa is similar to that at the surface showing an
24
25 418 air flow reaching the northern and central IP with a maritime origin. However, in the
26
27 419 south-western IP air masses have a southern origin. CT4 is usually replaced by CT6 in
28
29 420 which the Atlantic advective features are more stressed. CT4 corresponds with the A1
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31 421 pattern (anticyclone over the IP at all vertical levels) derived in García-Valero *et al.*
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33 422 (2012).

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39 423 CT5 typically occurs during transitional seasons (spring and autumn), although it is the
40
41 424 second least frequent CT (10.4%). The Azores high (~1020 hPa) is in contrast to a low
42
43 425 pressure area (~996 hPa) centred over western Ireland that affects Western Europe,
44
45 426 leading to atmospheric instability over the IP (Fig. 5e). A horizontal pressure gradient is
46
47 427 established from NW (~1008 hPa) to SE (~1016 hPa) over the IP, enabling maritime
48
49 428 advection towards western IP, as described by Martín-Vide and Olcina (2001). At Z500
50
51 429 air masses are advected western from the Atlantic Ocean. CT5 is similar to C1
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53 430 (extratropical cyclone at the NW IP) described by García-Valero *et al.* (2012),
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55 431 especially frequent in spring.
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4 432 CT6 is the least frequent CT (10%) and occurs in winter, especially in January. In CT6
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6 433 the Azores high (~1024 hPa) is located over the Canary Islands and southeastern IP
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8 434 (Fig. 5f) and the Icelandic low is located between the British Isles and Iceland with high
9
10 435 intensity (984 hPa). Between both action centres a significant horizontal pressure
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12 436 gradient over Western Europe is established. There is zonal advection from the Western
13
14 437 Atlantic affecting Western Europe and northern IP. The geopotential height field
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16 438 structure at 500 hPa is similar to that at the surface. When the pressure gradient
17
18 439 dissipates CT6 is usually replaced by CT4. Nevertheless, when the Icelandic low is in a
19
20 440 southern location CT6 is replaced by CT5, characterized by W-NW advection. CT6 has
21
22 441 been identified by García-Valero *et al.* (2012) as an extratropical cyclone close to the
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24 442 British Isles with zonal flow aloft over the IP (Z1). Unlike CT6 only the western and
25
26 443 central IP are affected by Atlantic advection in CT5.
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31 444 Concerning the persistence, the mean persistence for all CTs is 3 days. A similar result
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33 445 (3.4 days) was obtained by Cahynová and Huth (2009) when performing an automatic
34
35 446 synoptic classification over a similar spatial domain (IP and Western Mediterranean
36
37 447 17W/9E – 31N/48N) and temporal range (1957-2002) using ERA-40 reanalysis
38
39 448 database and a k-means cluster technique. The maximum persistence is 27 days (CT4)
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41 449 and several episodes of 23 consecutive days occur in summer (CT1 and CT2).
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450 **3.3 Temporal stability of the classification and yearly classification**

451 Results of temporal stability range from 34% for the year 1988 to 68% for the year 2012
452
453 with a mean of 51% for the 30 years (Fig. 6a). There is not a clear trend in the temporal
454
455 stability over the period. Consecutive years can rank similar (1998-1999, 57% to 56%)
or very different (1988-1989, 34% to 55%) total stability. The heterogeneity of the
results indicates the inter-annual variability of synoptic circulation over the area.

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4 456 The year 2012 stands out as the most similar to the climatic period which makes it
5
6 457 especially useful to characterize CTs based on the data for that year only. Comparisons
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8 458 of the climatic CTs with 2012 CTs depict that overall, the position of the action centres
9
10 459 and the spatial structure of the pressure fields (mslp and Z500) are equivalent to those
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12 460 discussed for the climatic period. The main differences between climatic and 2012 CTs
13
14 461 are found in CT1 and CT5. In CT1, there is NW surface advection over the 1983-2012
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16 462 period whereas it is N in 2012 (Fig. 5g) most likely caused by the latitude of the Azores
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18 463 high being 10° higher (50°N) than in the climatic period (40°N). Regarding CT5 (Fig.
19
20 464 5k), the low pressure system over the British Isles is deeper in the climatic period (994
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22 465 hPa) than in 2012 (1000 hPa), which establishes NW advection in 2012 and W over
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24 466 1983-2012.
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29 467 CT1 and CT2 are the most frequent CTs in both periods (Table 2). CT4 and CT5 are
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31 468 two times more frequent during 2012 than in the climatic period, whereas CT3 is rarer
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33 469 in 2012. The least frequent climatic CT (CT6) has a similar frequency compared with
34
35 470 2012. The period of the year in which each CT is more frequent is alike for both
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37 471 classifications except for CT3. Whereas in the climatic period this anticyclonic CT is
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39 472 equally present in all months, it only appears in winter and the beginning of spring in
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41 473 2012.
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45 474 During 2012 the mean persistence for the six CTs is 3.5 days (0.5 days higher than the
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47 475 climatic mean) nevertheless there is a prominence of short-lived (1-day) CTs. The
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49 476 maximum persistence in 2012 is lower than the one found in 1983-2012.
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53 477 Considering the temporal stability by CT derived for 2012 (Fig. 6b), the most stable is
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55 478 CT4 with 91% of the data evenly classified, followed by CT6, CT2 and CT5 with 79%,
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4 479 73% and 70%, respectively. CT3 is the least stable of all the CTs with only half of the
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6 480 2012 days classified in the same CT as in 1983-2012.
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9 481 This characterization has also been performed for the 2nd and 3rd most stable years (1990
10
11 482 and 1995 respectively), showing similar results as those of 2012. The characteristics of
12
13 483 the identified CTs and their associated pressure maps are provided as supplementary
14
15 484 material (S4).
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19 485 **3.4 Identification of representative days**

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21 486 The representative day of each CT minimizes the Representative Day Score, a score that
22
23 487 computes the distance from the daily grid to the average CT grid. On representative
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25 488 days the surface pressure and Z500 situations depicted on 2012 CTs maps (Fig. 5m to
26
27 489 5r) are accurately reproduced.
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31 490 **3.5 HYSPLIT back-trajectories on representative days**

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33 491 For 2012 representative days, back-trajectories ending at the cities cited in Fig. 2a are
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35 492 obtained by means of the HYSPLIT model (Fig. 7 for single back-trajectories and
36
37 493 Supplementary Material – S5, for ensemble back-trajectories). Back-trajectories at 1500
38
39 494 magl for July 29th (CT1) show a NW origin in Santiago de Compostela, Bilbao, and
40
41 495 Seville whereas in Madrid, Zaragoza, Barcelona and Palma the Mallorca the advection
42
43 496 is from the W or SW. At 5500 magl back-trajectories mainly show a NW origin,
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45 497 confirming the maritime polar advection identified by the synoptic classification
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47 498 (ensemble back-trajectories are homogenous both at surface and Z500).
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52 499 On August 19th (CT2) there is a dominance of S winds at 1500 magl over the inland IP,
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54 500 as well as in the Cantabrian coast. Although in the Mediterranean coast (Palma de
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56 501 Mallorca) the advection is mainly from the E. At 5500 magl there is a net transport of
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4 502 air masses from Morocco towards the IP derived from the presence of a high pressure
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6 503 system over the Northwestern Mediterranean Basin. Except for Santiago de
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8 504 Compostela, 5500 magl back-trajectories and their ensembles show African advection
9
10 505 which is consistent with the synoptic pattern characterized by CT2.

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13 506 On May 24th (CT3) 1500 magl back-trajectories in the eastern IP have a N-NE origin,
14
15 507 coming mainly from France as shown in the ensemble back-trajectories at Barcelona,
16
17 508 Bilbao and Zaragoza (Figure 7). Nevertheless, the continental advection from the NE is
18
19 509 not clearly depicted in the southern and western IP. Similarly at 5500 magl, back-
20
21 510 trajectories are from the N-NE in the northeastern IP and the Balearic Islands (Bilbao,
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23 511 Zaragoza, Barcelona and Palma).

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28 512 Polar maritime advection that characterizes CT4 is confirmed by the back-trajectories,
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30 513 both single and ensemble, on January 24th that show a N-NW origin for all the
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32 514 considered cities both at 1500 and 5500 magl.

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35 515 In the western and central IP, 1500 magl back-trajectories on October 16th (CT5) have
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37 516 an Atlantic origin (W/NW). However, in the Spanish Mediterranean coast (Barcelona
38
39 517 and Palma) the advection is from the S-SE. At 5500 magl all of the back-trajectories
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41 518 depict Atlantic maritime advection (ensembles are homogenous). There is an
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43 519 anticyclone over Italy that establishes S winds in the Spanish Mediterranean coast.

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47 520 Zonal Atlantic advection, characteristic of CT6, is clearly depicted in the single and
48
49 521 ensemble back-trajectories of its representative day (January 1st), both at 1500 and 5500
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51 522 magl for all the considered cities. Contrary to CT5, at 1500 magl the strong westerlies
52
53 523 are not obstructed by topographic barriers affecting the atmospheric dynamics in the
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55 524 Spanish Mediterranean coast.

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4 525 Overall, the obtained results of single and ensemble back-trajectories are consistent with
5
6 526 the origin of the air masses depicted in the CTs. Path and speed of the air masses as
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8 527 calculated by the HYSPLIT model confirm that the synoptic circulation is in agreement
9
10 528 with the one described in each CT both at 1500 and 5500 magl.

14 529 **3.6 NO₂ concentration dynamics on CT representative days over the IP**

16 530 For the characterization of NO₂ dynamics, 1h-maximum NO₂ concentration maps from
17
18 531 the CALIOPE-AQFS (Fig. 8) have been analysed for the representative days of 2012.
19
20 532 Special focus is over five areas within the IP (Fig. 2b) that frequently show high NO₂
21
22 533 concentrations (1h-maximum > 40 µg m⁻³. They are the two biggest cities of Spain
23
24 534 (Madrid and Barcelona > 5 million inhabitants), the urban-industrial area of Valencia
25
26 535 (1.5 million inhabitants), the industrial area of Algeciras (there are two power plants, a
27
28 536 refinery and it is a hotspot of maritime traffic), and the energy generation area of
29
30 537 Asturias (there are five power plants and three cocking plants).

34 538 On July 29th, 2012 (Fig. 8, CT1), northwesterlies in the Cantabrian coast reach 10-15
35
36 539 m s⁻¹ and transport NO₂ emissions from Asturias towards the S (40 µg m⁻³ at 40 km) till
37
38 540 the Cantabrian mountains (2000 masl). Synoptic northerlies follow the Portuguese
39
40 541 coastline reaching the Gulf of Cadiz and become westerlies in Algeciras (10-15 m s⁻¹)
41
42 542 transporting the NO₂ plume towards the E (40 µg m⁻³ at 115 km) during the morning;
43
44 543 then the wind becomes weak enabling the transport of locally emitted NO₂ through sea
45
46 544 breeze till 30 km to the NW (60 µg m⁻³). The Guadarrama mountains (2200-2400 masl),
47
48 545 with a NE-SW orientation north of the metropolitan area of Madrid, prevent the arrival
49
50 546 of N-NW winds (~5 m s⁻¹) towards the capital, leading to more stagnant atmospheric
51
52 547 conditions; thus, Madrid urban plume remains within the metropolitan area (~20 km, 80
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54 548 µg m⁻³). Northern synoptic winds, channelled between the Pyrenees and the French
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4 549 Central Massif favour the establishment of an anticyclonic atmospheric circulation in
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6 550 the area of Barcelona that transport NO_2 towards the S during dawn ($50 \mu\text{g m}^{-3}$ at 40
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8 551 km) and to the SW, parallel to the coast (~ 40 km) during the morning reaching $30 \mu\text{g m}^{-3}$;
9
10 552 3 ; in the afternoon southern winds towards Barcelona transport the plume to the N (30
11
12 553 $\mu\text{g m}^{-3}$ at 30 km). In Valencia, the development of mesoscale processes control NO_2
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14 554 transport; sea breezes penetrate inland through the Jucar Valley.

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18 555 August 19th, 2012 is characterised by a reduced surface pressure gradient over Western
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20 556 Europe (Fig. 8, CT2) that enables a mesoscale control of surface dynamics. The plume
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22 557 in Asturias is transported without a dominant direction. NO_2 emissions from Algeciras
23
24 558 are mainly transported by easterlies towards the Gulf of Cadiz reaching $40 \mu\text{g m}^{-3}$ at 100
25
26 559 km; meanwhile in the evening there is a short-distance transport to the NW from the
27
28 560 area of emissions ($60 \mu\text{g m}^{-3}$ at 20 km). Madrid's urban plume remains stationary with a
29
30 561 maximum displacement of 20 km (southern winds $\sim 5 \text{ m s}^{-1}$, $80 \mu\text{g m}^{-3}$). Along the
31
32 562 Mediterranean coast, NO_2 dynamics are dominated by land-sea breezes that drive
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34 563 plumes on a daily cycle: towards the sea at night, inland at noon and perpendicular to
35
36 564 the coast in the morning and the afternoon.

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41 565 Under a blocking anticyclone over the North Sea, E-NE advection is established
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43 566 towards the IP (Fig. 8, CT3), as observed in May 24th, 2012. NE winds in the
44
45 567 Cantabrian coast ($\sim 15 \text{ m s}^{-1}$) transport Asturias NO_2 towards the W ($30 \mu\text{g m}^{-3}$ at 50
46
47 568 km). In Algeciras, strong E winds favour NO_2 transport ($40 \mu\text{g m}^{-3}$) towards the Gulf of
48
49 569 Cadiz (~ 150 km). In the urban area of Madrid, the synoptic E-NE winds are channelled
50
51 570 through the Tajo Valley (NE-SW orientation) leading to a SW transport of the urban
52
53 571 plume ($40 \mu\text{g m}^{-3}$, 40 km). Strong northerlies ($\sim 25 \text{ m s}^{-1}$) are channelled through the
54
55 572 Rhone Valley favouring an anticyclonic circulation over the Balearic Islands that lead to
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4 573 a transport of Barcelona's plume in an E direction during the morning (40 km) and N-
5
6 574 NE in the afternoon (30 km). In Valencia, synoptic winds arrive from the E leading to a
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8 575 net transport of the plume ($\sim 30 \mu\text{g m}^{-3}$ at 30 km) towards the NW through the Turia
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10 576 Valley, and the W through the Jucar Valley.

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13 577 On January 24th, 2012 (Fig. 8, CT4) northerlies ($\sim 10 \text{ m s}^{-1}$) arriving to the northern IP
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15 578 shift into easterlies when they reach the coast, transporting NO_2 from Asturias ($40 \mu\text{g m}^{-3}$)
16
17 579 3) towards the W reaching 40 km. In Algeciras, transport is dominated by westerlies
18
19 580 during the morning ($40 \mu\text{g m}^{-3}$ are reached till 120 km); in the afternoon, the
20
21 581 strengthening of a low pressure system located S of the Gulf of Cadiz initiates a change
22
23 582 of dominant wind direction, enhancing easterlies to transport Algeciras's plume (40
24
25 583 $\mu\text{g m}^{-3}$) 100 km to the W. In the Madrid area, northerlies ($\sim 5 \text{ m s}^{-1}$) are channelled
26
27 584 through the Tajo Valley transporting the NO_2 urban plume towards the SW;
28
29 585 nevertheless, wind speed is higher in CT4 than in CT3 and the urban plume goes
30
31 586 further, reaching the same concentration ($40 \mu\text{g m}^{-3}$) at 70 km. N-NW synoptic winds
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33 587 are channelled between the Pyrenees and the French Central Massif, establishing N
34
35 588 winds towards the NW IP (15 m s^{-1}), transporting Barcelona's urban plume ($40 \mu\text{g m}^{-3}$)
36
37 589 to the S-SE (100 km) during the morning; in contrast, in the evening and at night, NO_2
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39 590 ($40 \mu\text{g m}^{-3}$) is transported to the NE parallel to the coast and forced by the Pre-coastal
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41 591 mountain range reaching 85 km away from Barcelona. With CT4, surface westerly
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43 592 winds are weakened as they pass through the IP, leading to mesoscale control of NO_2
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45 593 transport over Valencia; during the night, land-breezes transport NO_2 towards the sea up
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47 594 to 20 km ($40 \mu\text{g m}^{-3}$), whereas during the day, the urban plume is transported by sea-
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49 595 breezes towards the NW, channelled by the Turia Valley, up to 40 km.
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4 596 Under the W-NW advective CT5, on October 16th, 2012 (Fig. 8, CT5), southwesterlies
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6 597 and westerlies reach up to 15 m s^{-1} and transport Asturias' plume to the NE ($10 \mu\text{g m}^{-3}$,
7
8 598 50 km). In the southern IP there is a short-range (20 km) transport of NO_2 towards the
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10 599 SE of the Algeciras Bay ($80 \mu\text{g m}^{-3}$) in the morning, followed by short-range W
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12 600 transport at noon ($40 \mu\text{g m}^{-3}$ at 20 km). Westerlies arriving to Madrid ($10\text{-}15 \text{ m s}^{-1}$)
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14 601 during the night transport the urban plume ($30 \mu\text{g m}^{-3}$) towards the E-NE up to 60 km;
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16 602 in the afternoon Madrid's NO_2 urban plume ($50 \mu\text{g m}^{-3}$) is channelled through the
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18 603 Henares and Jalon Valleys (NE orientation) and transported 80 km away. The Spanish
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20 604 Mediterranean coast is less affected by the synoptic W-NW advection because winds
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22 605 are weakened by topographic barriers (Iberian System, Baetic System, Catalan
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24 606 mountain range) on their way to the east. Thus, Barcelona's urban plume is mainly
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26 607 controlled by land-sea breezes; NO_2 is transported towards the NE parallel to the Pre-
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28 608 coastal mountain range during the day ($30 \mu\text{g m}^{-3}$ at 50 km). In Valencia, the transport
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30 609 of NO_2 is dominated by easterlies and channelled by the Jucar Valley ($40 \mu\text{g m}^{-3}$ at 30
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32 610 km).

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38 611 Atlantic zonal winds arriving to the IP (Fig. 8, CT6), are present on January 1st, 2012.
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40 612 Strong westerlies (30 m s^{-1}) arrive to the Cantabrian coast transporting Asturias' plume
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42 613 towards the E-NE, reaching up to 100 km at dawn ($10 \mu\text{g m}^{-3}$). In Algeciras, westerlies
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44 614 transport the NO_2 plume to the E reaching the Alboran Sea (200 km, $30 \mu\text{g m}^{-3}$).
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46 615 Madrid's urban plume ($40 \mu\text{g m}^{-3}$) is channelled in a NE direction through the Henares
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48 616 and Jalon Valleys up to 150 km, especially in the evening. Western synoptic winds are
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50 617 strong enough to overcome the topographical barriers and reach the Mediterranean
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52 618 coast. In Barcelona and Valencia areas, westerlies transport NO_2 towards the
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54 619 Mediterranean Sea ($40 \mu\text{g m}^{-3}$ at 90 and 60 km away, respectively); nevertheless, in the
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4 620 afternoon, westerlies become weak leading to short-distance dispersion without a
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6 621 dominant direction.
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9 622 Locally high NO₂ concentrations are observed in Asturias under CT1, CT2 and CT4;
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11 623 during these CTs the plume is transported at short distance associated to weaker winds
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13 624 compared to those in CT3, CT5, and CT6 when there is a dominance of stronger winds
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15 625 that enhance the dispersion of NO₂. In the Algeciras area, the transport is mainly
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17 626 controlled by easterlies (CT2, CT3, and CT4) and westerlies (CT1, CT5, and CT6)
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19 627 derived from synoptic circulation; nevertheless, for the most frequent patterns when
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21 628 there is a shift in wind direction the local transport towards the NW leads to high NO₂
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23 629 concentration in the Algeciras area. In the central IP synoptic circulation, together with
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25 630 the Guadarrama mountains, control NO₂ transport; towards the SW channelled by the
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27 631 Tajo Valley during CT3 and CT4 or less frequently towards the NE channelled through
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29 632 the Henares and Jalon Valleys under CT5 and CT6. The progression of Atlantic
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31 633 synoptic air masses is weakened in their way towards the Spanish Mediterranean coast
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33 634 in four CTs (69% of climatic frequency), enabling mesoscale recirculation of NO₂
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35 635 (driven by land-sea and mountain-valley breezes), perpendicular to the coast along the
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37 636 Barcelona and Valencia areas. Only under the influence of E-NE advection (CT3, 21%
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39 637 of climatic frequency) and Western Atlantic zonal advection (CT6, 10%), synoptic
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41 638 circulations control NO₂ transport along the Mediterranean coast.
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47 639 Complementary, modelled daily NO₂ concentrations from CALIOPE-AQFS at air
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49 640 quality stations belonging to the Spanish air quality monitoring network over the
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51 641 previously discussed five areas have been boxplotted per CT, using daily data of year
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53 642 2012 (Fig. 9). Overall, CT2 that is characterised by a reduced surface pressure gradient
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55 643 presents the highest mean NO₂ concentration. Despite being a typical summertime
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4 644 pattern when NO₂ emissions are at its annual minimum (Guevara *et al.*, 2013), the lack
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6 645 of surface advection favours the accumulation of NO₂ during consecutive days,
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8 646 enhancing high NO₂ concentrations. On the other hand CT6, which is the most
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10 647 infrequent pattern, shows the second highest NO₂ concentrations of all the CTs, in the
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12 648 Mediterranean coastal areas and Madrid. In Madrid, these concentration records are
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14 649 probably related with a low planetary boundary layer height (300 masl at 12:00 UTC, as
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16 650 forecasted by the WRF-ARW model, compared to ~600 masl for CT4; 1500 masl for
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18 651 CT3 and CT5; and 1800 masl for CT1 and CT2).

23 24 652 **4 Conclusions**

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26 653 The present work has established an objective procedure to establish a circulation type
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28 654 classification on a climatic basis (1983-2012) to characterize air quality dynamics over
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30 655 the IP. Considering that there is not a single synoptic classification that best fits for all
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32 656 purposes (Huth *et al.*, 2008), sensitivity analyses to several classification techniques and
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34 657 factors affecting it have been performed in order to objectivize the set-up that
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36 658 maximizes its quality. Automatic classification techniques based on k-means clustering
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38 659 perform better in terms of EV than correlation-based and PCA-based techniques. Within
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40 660 the k-mean techniques, CKM guarantees the identification of non-extreme CTs, with
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42 661 maximum separability and minimum within-type variability using a reasonable amount
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44 662 of computing resources. The classification quality increases with the number of CTs,
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46 663 although this relation is not linear. A 5% increase threshold in EV is established in order
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48 664 to settle an appropriate number of CTs leading to a selection of six CTs. Surface
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50 665 pressure is the reference proxy variable chosen because it obtains the best EV (among
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52 666 the non-seasonalised variables) and informs about the stability/instability of the
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4 667 atmosphere and the wind speed and direction, which helps understanding air quality
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6 668 dynamics. Although surface level depicts lower EV than higher vertical levels (below
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8 669 700 hPa), the surface is selected in the reference set-up because most of the processes
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10 670 involved in air quality occur within the lower levels of the atmosphere. The domain size
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12 671 is a critical factor when performing synoptic classifications because the identified CTs
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14 672 for each domain have different spatial, temporal, and dynamic characteristics. The
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16 673 medium-sized domain (D01) is selected in the reference set-up because it covers areas
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18 674 that are the origin of the air masses towards the IP while ranking an average EV (0.48).
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22 675 The three most common CTs account for 67.6% of climatic frequency (CT1, CT2, and
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24 676 CT3) and mainly occur in summertime, replacing one another. While CT1 (23.9%) is a
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26 677 NW advective pattern characterized by the arrival of polar maritime air masses towards
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28 678 the IP determined by the presence of the Azores high, CT2 (22.4%) depicts a reduced
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30 679 pressure surface gradient, enabling the development of the Iberian thermal low;
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32 680 although stagnant conditions dominate at the surface in CT2, there is a net advection of
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34 681 North African air masses at Z500. Despite being present throughout the year, CT3
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36 682 (21%) is especially frequent in spring and summer as a result of a blocking anticyclone
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38 683 over central Europe that leads to E-NE advection towards the IP. When the high
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40 684 pressure system subsides, CT3 tends to be replaced by CT2. In winter two CTs are
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42 685 especially frequent, CT4 (12%) and CT6 (10%). The former is an anticyclonic situation
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44 686 that enables the arrival of Atlantic air masses towards the IP, whereas the latter is
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46 687 characterised by zonal Atlantic maritime advection. Finally, CT5 (10%) presents
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48 688 unstable conditions over the IP with W-NW winds and precipitation, and it is typical of
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50 689 transitional seasons. Topographic barriers in the central and eastern IP (Iberic System,
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4 690 Baetic System, Catalan mountain range) are overcome by westerlies on their way to the
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6 691 Spanish Mediterranean coast in CT6 but not in CT5.
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9 692 Although inter-annual variability exists, the classification is temporally stable showing
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11 693 consistent CTs when using yearly data. The year 2012 is the representative year of this
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13 694 climatic period because its CTs show the highest temporal stability (67.8%). The CTs
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15 695 obtained with the reference set-up for the climatic period and 2012 are consistent with
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17 696 synoptic patterns over the IP found in the literature (Martín-Vide and Olcina, 2001,
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19 697 García-Valero *et al.*, 2012, Fig. 2b). A representative day of 2012 for each CT has been
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21 698 objectively identified by means of the Representative Day Score. Single and ensemble
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23 699 Back-trajectories obtained with the HYSPLIT model confirm the synoptic flows
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25 700 depicted by each CT on representative days.
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30 701 The analysis of NO₂ concentration maps over the IP associated with the six CTs in 2012
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32 702 shows that synoptic circulation contributes to explain the spatial distribution of urban
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34 703 and industrial NO₂ plumes. Synoptic circulation influences the intensity of the
35
36 704 advection and the planetary boundary layer height favouring or limiting the air pollutant
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38 705 dispersion. Regarding the influence of the CT on NO₂ transport, two main regions
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40 706 within the IP are distinguished: 1) areas of central, northern, and southern IP where
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42 707 there is a synoptic control of NO₂ under all of the CTs; and 2) Mediterranean coastal
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44 708 areas, where in 4 CTs (69% of climatic frequency) synoptic forcing is weakened, and a
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46 709 combined approach of synoptic and mesoscale dynamics control the NO₂
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48 710 concentrations. Explaining the spatiotemporal dynamics of air pollution patterns under
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50 711 typical circulation types is useful to properly develop air quality plans and atmospheric
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52 712 pollution risk assessments.
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- 1 Table 1: Characteristics of sensitivity tests performed for the climatic period, 1983-
2 2012. Elements between commas indicate the tested variable in each case study.

# test	Studied factor	Variability range
		1) Correlation techniques
		LND: Lund overall correlation coefficient
		KIR: Kirchofer partial correlation for each lat/lon
		ERP: Erpicum similarity index based on geopotential direction
		2) Principal Component Analysis (PCA)
		PCT: obliquely rotated t-mode PCA
		PTT: orthogonally rotated t-mode PCA
1	Classification technique (clt)	PXE: s-mode PCA with VARIMAX rotation PXX: t-mode PCA followed by a k-means cluster analysis
		3) Clustering techniques
		HCL: hierarchical cluster
		KMN: k-means. Random seeds.
		DKM: Dk-means. Most dissimilar seeds.
		CKM: Ck-means. Most dissimilar seeds. 5% minimum frequency of each cluster.
		SAN: Simulated Annealing and Normalization
2	Number of circulation types (nCT)	From 2 to 15, 18, 27, 50
3	Meteorological variable used as proxy (iv)	Mean sea level pressure (mslp), 10-meter U and V wind components (UV10), 1000-hPa vorticity (Vort1000), 2-meter temperature (T2m), relative humidity (RH)
4	Vertical level (vl)	Surface, 11 geopotential levels from 1000 to 1 hPa each 100 hPa
5	Temporal resolution (tr)	Data each 6, 12, 24 hours, 06 h mean

6	Seasonality (se)	Winter, spring, summer, autumn, annual (an)
7	Horizontal resolution (hr)	0.125° x 0.125°, 0.25° x 0.25°, 0.75° x 0.75°, 1.5° x 1.5°, 3° x 3°
8	Spatial domain (d)	D00 (18.75N – 76.5N / 33.75W – 31.5 E), D01 (24.75N – 62.25N / 25.5W – 20.25 E), D02 (30N – 50.25N / 13.5W – 13.5 E)

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- 1 Table 2: Characteristics of derived circulation types with the reference set-up (section
2 3.1) for the climatic period (1983-2012) and reference year 2012.

		CT1	CT2	CT3	CT4	CT5	CT6
Description		NW advection	Summer reduced surface pressure gradient	E/NE advection	Atlantic high with polar maritime advection	W/NW advection	Western Atlantic zonal advection
Criteria	Period						
Frequency (%)	1983-2012	23.9	22.4	21.3	12.0	10.4	10.1
	2012	21.9	21.6	8.8	17.8	20.5	9.3
Most frequent month	1983-2012	JUL	AUG	MAY	JAN	APR/OCT	JAN
	2012	JUL	AUG	FEB	JAN	APR/NOV	DEC
Seasonal frequency (%): DJF/ MAM/ JJA/ SON	1983-2012	10.1/26.1/ 43.5/ 20.3	11.7/26.2/ 35.8/ 26.3	25.9/28.5/ 23.5/22.0	49.8/19.9/ 4.4/25.9	26.0/28.7/ 10.4/35.0	54.3/16.4/ 1.9/27.4
		2.5/37.5/ 37.5/22.5	15.2/20.3/ 43.0/21.5	56.3/43.8/ 0.0/ 0.0	56.9/21.5/ 6.2/15.4	5.3/21.3/ 29.3/44.0	50.0/5.9/ 5.9/38.2
	2012	2.9 / 23	2.9 / 22	3.8 / 19	2.7 / 27	3.0 / 17	2.9 / 19
		3.6 / 10	2.6 / 8	4.6 / 18	3.8 / 15	3.0 / 10	3.5 / 10
Mean / Max persistence (days)	1983-2012	2.9 / 23	2.9 / 22	3.8 / 19	2.7 / 27	3.0 / 17	2.9 / 19
	2012	3.6 / 10	2.6 / 8	4.6 / 18	3.8 / 15	3.0 / 10	3.5 / 10
Transitions	1983-2012	CT2	CT1	CT2	CT6	CT1	CT4
	2012	CT2/CT5	CT1/CT5	CT4	CT2	CT1/CT2	CT5

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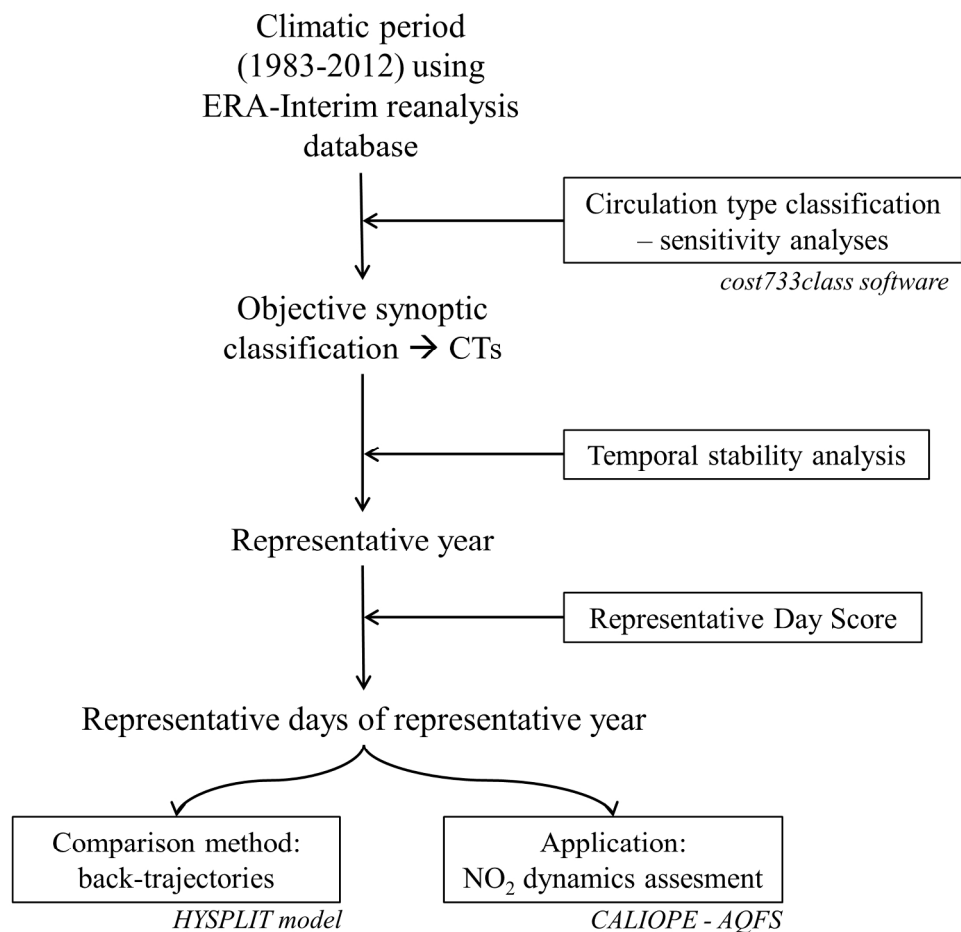


Figure 1. Methodological flowchart to obtain representative days of the objective circulation type classification. Methodologies are shown in boxes and tools are indicated in italics.
609x606mm (96 x 96 DPI)

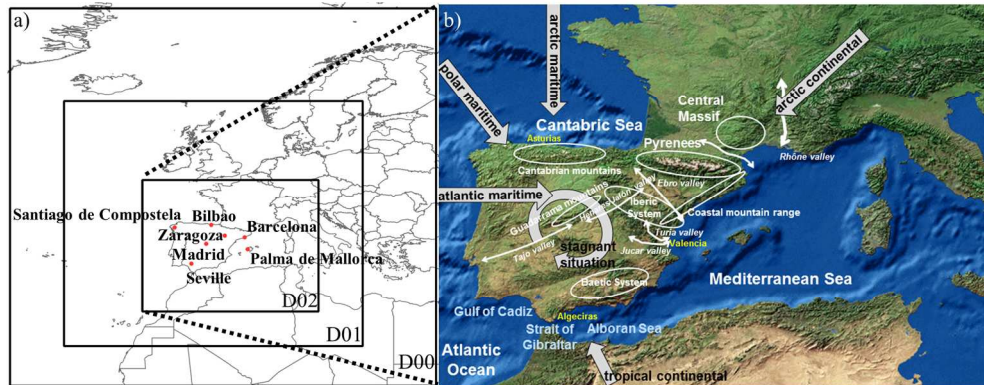


Figure 2. (a) Evaluated spatial domains. D00 (18.75N – 76.5N / 33.75W – 31.5 E), D01 (24.75N – 62.25N / 25.5W – 20.25 E), D02 (30N – 50.25N / 13.5W – 13.5 E) and origin of back-trajectories. (b) Topographic characteristics of interest. The arrows indicate the main advection of air masses towards the IP according to Martín-Vide and Olcina (2001).
538x214mm (96 x 96 DPI)

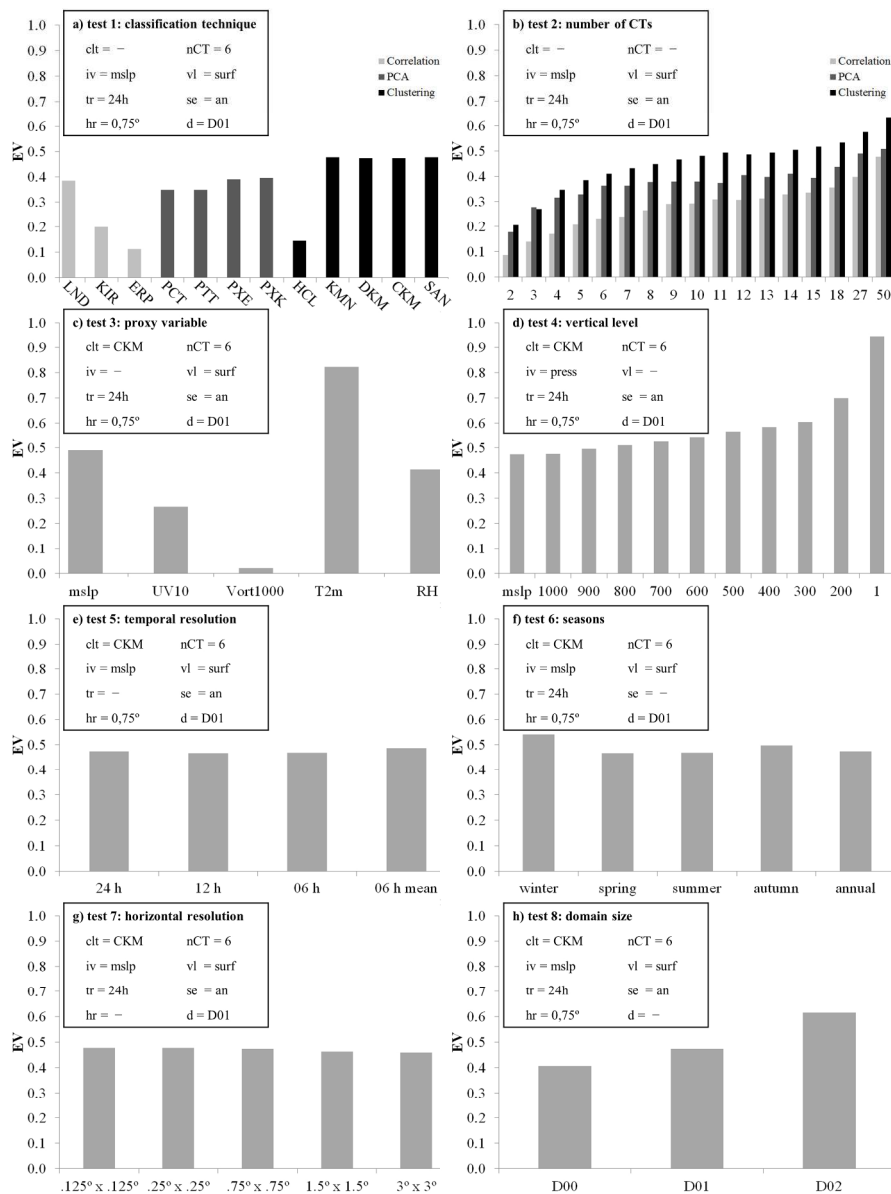


Figure 3. Results of the sensitivity tests described in Table 1 evaluated by means of the Explained Variation (EV). a) test 1, classification technique; b) test 2, number of circulation types (mean EV for correlation techniques, PCA techniques and clustering techniques); c) test 3, meteorological variable used as proxy; d) test 4, vertical level; e) test 5, temporal resolution; f) test 6, seasons; g) test 7, horizontal resolution; and h) test 8, domain size. Every single plot shows the fixed (box) and variable (bars) factor described in Table 1.

592x792mm (96 x 96 DPI)

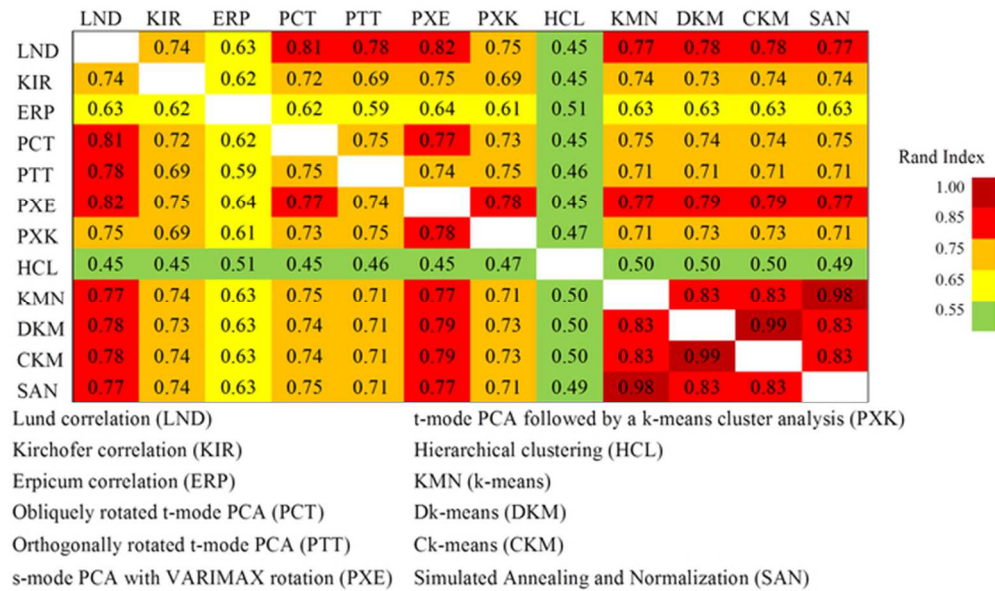


Figure 4: Rand Index matrix between classifications derived with the reference set-up and other classification techniques, calculated on a climatic basis (1983-2012).
 60x36mm (300 x 300 DPI)

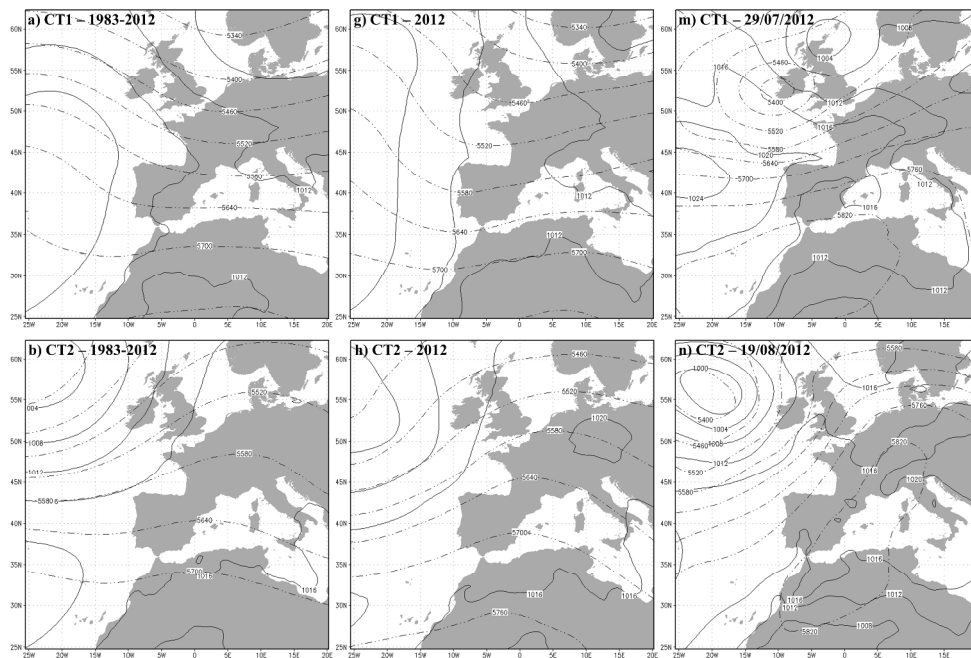


Figure 5. Circulation types derived with the reference set-up for the climatic 1983-2012 period (left), the representative year 2012 (center) and representative day of each CT (right). Solid contours show mslp isobars (hPa) and dashed-dotted contours indicate Z500 isolines (masl).
811x566mm (96 x 96 DPI)

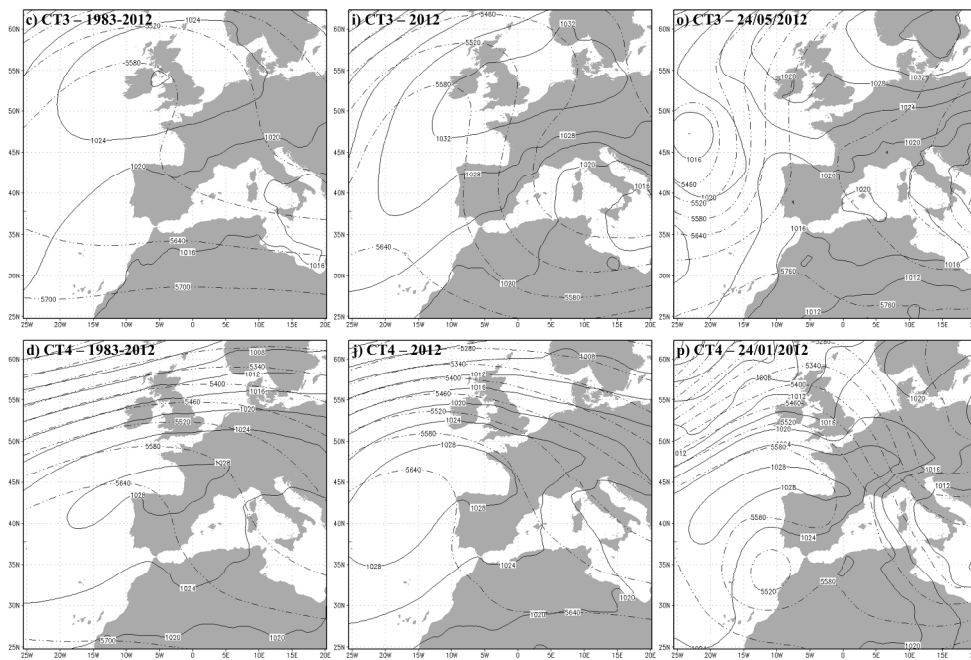


Figure 5. Continued.
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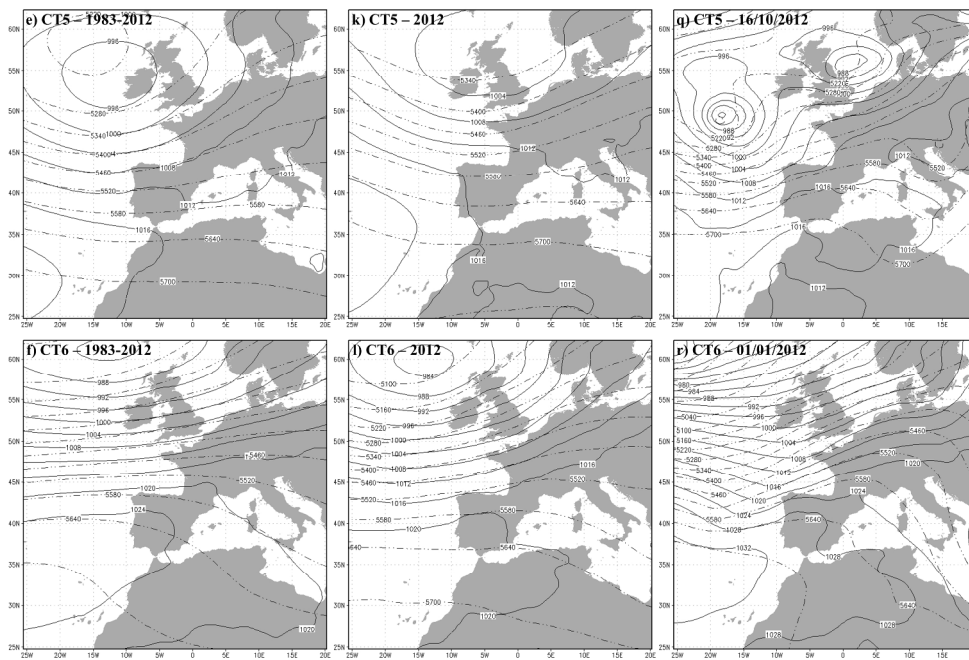


Figure 5. Continued.
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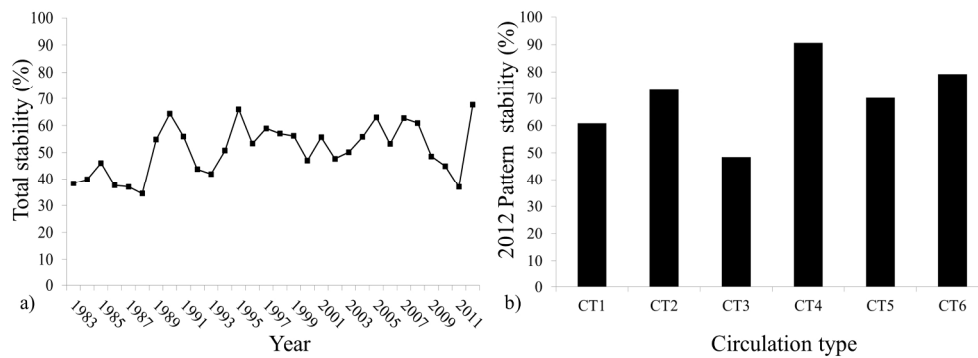


Figure 6. Temporal stability of the classification. (a) Total stability by years: yearly percentage of days classed in the same CT as in the climatic classification. (b) Stability for the year 2012: percentage of days within 2012 classes in the same CT as in the climatic classification for every CT.
642x242mm (96 x 96 DPI)

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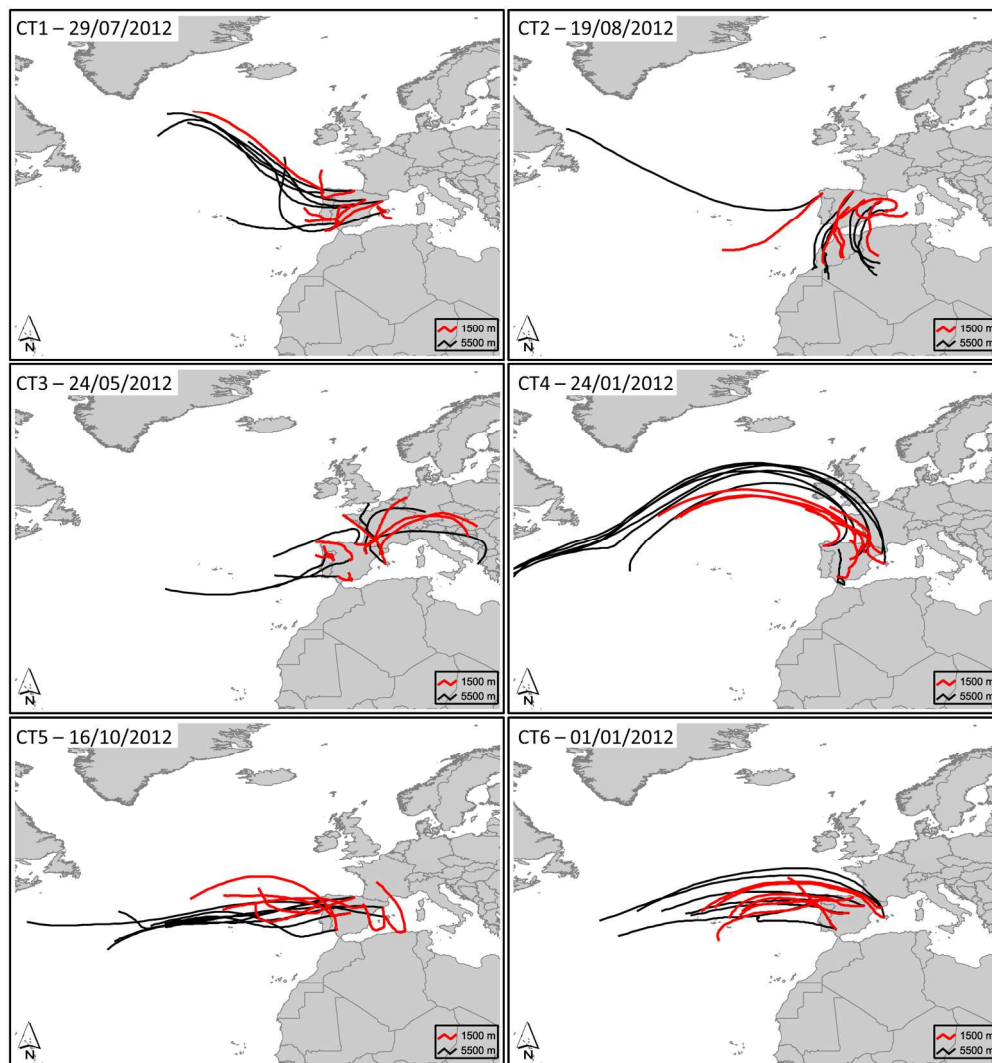


Figure 7. HYSPLIT 60 hour back-trajectories at 1500 magl (red line) and 5500 magl (black line) for representative days in 2012 corresponding to each CT: July 29th (CT1), August 19th (CT2), May 24th (CT3), January 24th (CT4), October 16th (CT5) and January 1st (CT6). The trajectories arrive at the cities of Santiago de Compostela, Bilbao, Barcelona, Zaragoza, Madrid, Seville and Palma de Mallorca (Fig 2.). 607x647mm (96 x 96 DPI)

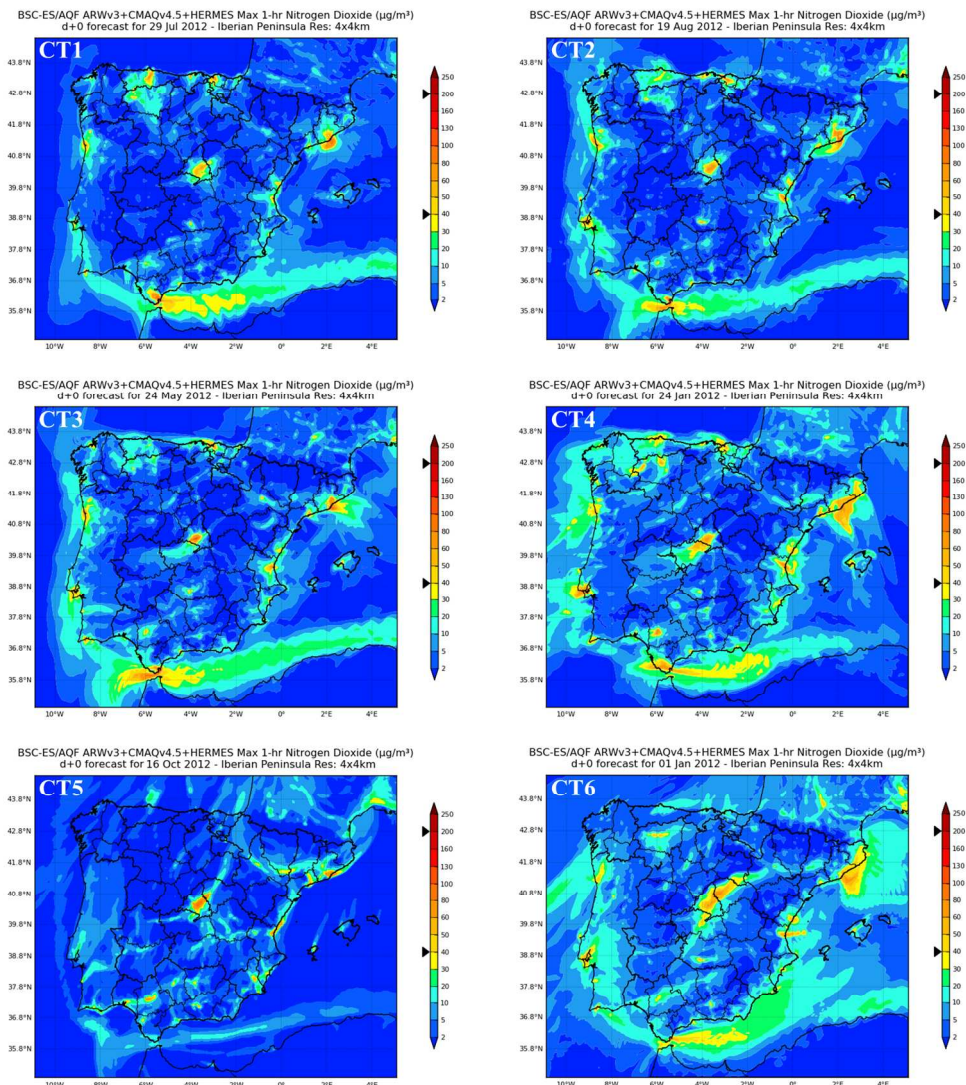


Figure 8. 1h-maximum NO_2 concentration ($\mu\text{g m}^{-3}$) maps from CALIOPE-AQFS for representative days in 2012 corresponding to each CT: July 29th (CT1), August 19th (CT2), May 24th (CT3), January 24th (CT4), October 16th (CT5) and January 1st (CT6).
481x529mm (96 x 96 DPI)

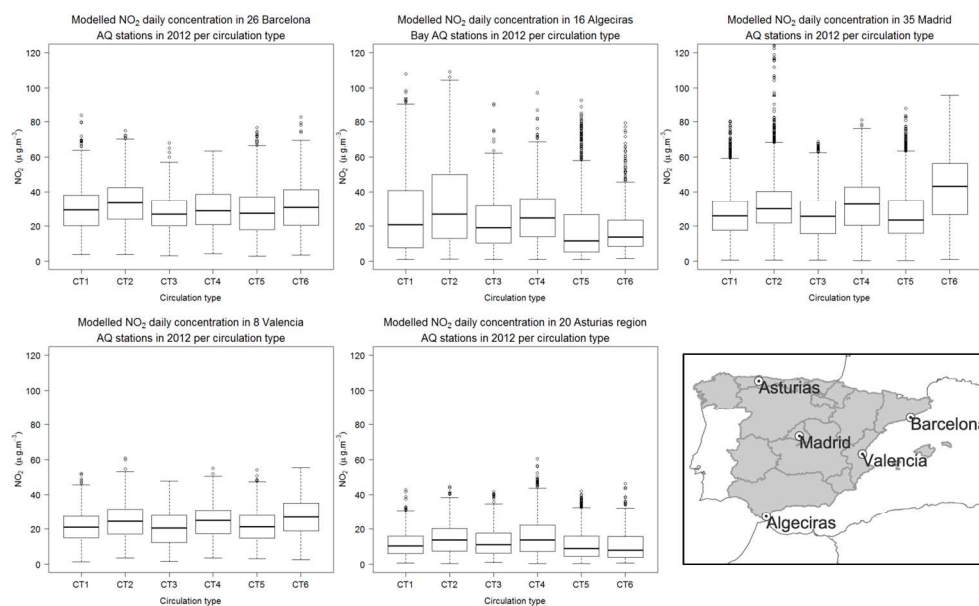


Figure 9. Daily mean NO₂ surface concentration ($\mu\text{g m}^{-3}$) of the year 2012 modelled by the CALIOPE-AQFS in points belonging to the Spanish air quality monitoring network per circulation type. The number of considered locations is 35 for Madrid, 26 for Barcelona, 8 for Valencia, 16 for Algeciras, and 20 for Asturias. 660x412mm (96 x 96 DPI)