1	Water pollution monitoring by an artificial sensory system performing in
2	terms of Vibrio fischeri bacteria
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4 5 6 7 8	Olesya Zadorozhnaya <sup>a,b,*</sup> , Dmitry Kirsanov <sup>a,b</sup> , Igor Buzhinsky <sup>b</sup> , Fedor Tsarev <sup>b</sup> , Natalia Abramova <sup>c</sup> , Andrey Bratov <sup>c</sup> , Francesc Javier Muñoz <sup>c</sup> , Juan Ribó <sup>d</sup> , Jaume Bori <sup>d</sup> , Mari Carmen Riva <sup>d</sup> , Andrey Legin <sup>a,b</sup>
9 10 11 12 13 14 15 16	a Chemistry Department, St. Petersburg State University, Universitetskaya nab. 7/9, Mendeleev Center, 199034 St. Petersburg, Russia b ITMO University, Kronverkskiy pr, 49, 197101, St. Petersburg, Russia c BioMEMS Group, Instituto de Microelectrónica de Barcelona (IMB-CNM), CSIC, Campus UAB, Bellaterra, 08193, Barcelona, Spain d Center for Research and Innovation in Toxicology, (CRIT) Technical University of Catalonia (UPC), Ctra. Nac. 150 Km 15, Terrassa, 08227 Barcelona, Spain
17	Abstract
18	This report describes the application of potentiometric multisensor system for estimation
19	of water samples toxicity in terms of $Microtox^{(R)}$ analyzer – a wide spread instrument for toxicity
20	evaluation. The working principle of $\operatorname{Microtox}^{{\mathbb{R}}}$ analyzer is based on a registration of
21	luminescence from Vibrio fischeri bacteria which depends on metabolism conditions and toxicity
22	of the environment; this is associated with certain limitations. Unlike this bioassay procedure the
23	employment of multisensor system does not require the use of living organisms and can provide
24	for faster toxicity evaluation. 54 real and imitated polluted water samples, for which the toxicity
25	was established by bioassay, were studied. The response of multisensor array processed with
26	machine learning techniques allows for prediction of EC50 (toxicity index) with relative errors
27	of 20-25%. Taking into account the complexity of the task (simulation of complex biological
28	reactions with inanimate instrument) this can be considered as a good promise for further
29	research in this direction in order to develop instrumental alternative for toxicity assessment.
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31	Keywords: Electronic tongue, Vibrio fischeri, Water pollution
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33 34	* Corresponding author. Tel.: +7 812 328 28 35; fax: +7 812 328 28 35. E-mail address: Olesya.zadorozhnaya.91@mail.ru (O. Zadorozhnaya).
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37	1. Introduction

38 Water pollution, which is really a global problem now, is caused by a constant increase of 39 the number of industries and plants, an accelerated rate of the development of the agriculture and 40 a constant growth of the amount of vehicles. Most of the sources of aquatic pollution are well-41 known. Around 50% of the total pollution of surface water is accounted for agriculture sector [1]. In this case the major pollutants are ammonium  $(NH_4^+)$  [2] and nitrate  $(NO_3^-)$  [3] ions, while 42 43 phosphorous [4] is widely appearing from pesticide input. Domestic and municipal wastes [5, 6] 44 also cause a great damage to ecosystems. Such wastes may contain a wide range of the 45 pollutants, like pathogens [7], organic substances [8, 9], heavy metals [10, 11] and more and 46 more pharmaceuticals [12]. Due to the population growth the amount of wastes produced by 47 people is increasing significantly. About 3 billion people in the world lack access to clean water, 48 according to the World Health Organization. It is supposed, that water pollution will increase at 49 least twice over the next 20 years [13].

50 One of the most important integral characteristics of the water quality is toxicity. Toxicity 51 characterizes direct biological hazard of a water sample for a living organism. The toxicity is a 52 convenient integral estimate as opposed to, e.g. MAC (maximum allowable concentration) 53 widely applied for water analysis. MAC represents concentrations of chemical elements and their 54 compounds in the environment that would not cause pathological changes or diseases in human 55 body over long-term exposure. It means that there is a limit of the harmful substance content 56 below which it is safe for humans to interact with this compound. However, the amount of the 57 pollutants increases every day, over seventy thousands of contaminants being currently totaled [13, 14]. Therefore the determination of the maximum allowable concentration for each of these 58 59 pollutants is getting much harder if possible at all. Thus, MAC is far from being the optimal 60 criterion of environmental quality evaluation.

61 Various methods of biotesting have been developed and legislated for water toxicity 62 evaluation. They are mostly based on the study of the reaction of a living test-object when 63 exposed to an aqueous sample. Different aquatic organisms such as fishes, phytoplankton, 64 zooplankton and bacteria are traditionally used as test-objects.

65 The Microtox Acute Toxicity Test is one of the most widely used biotesting methods.
66 Microtox was introduced by Beckman Instrument Co. [15] and became the first microscale
67 biomonitoring tool in environmental toxicology.

Luminescent marine bacteria *Vibrio fischeri* also known formerly as *Photobacterium phosphoreum* are used as a test-object in such tests, amount of light emitted by these bacteria being an indicator of metabolism. Therefore a water toxicity parameter can be measured as a reduction of light emission caused by the presence of hazardous component(s) in the sample. 72 Microtox is one of the most widely used methods of biotesting due to the number of 73 advantages. This approach is rather simple, it is based on very few elements, there is no need for 74 preculturing of test biota, because of the fact that the measurement of light emission begins 75 immediately after bacteria are entered into the water. Microtox employs storage of bacteria in 76 lyophilized state which makes it cost-effective because of the elimination of maintenance cost 77 and long term stability of the culture. Besides the Microtox Acute Toxicity Test is an express 78 method in comparison with other bioassays. Microtox tests are typically completed in 15 or 30 79 minutes while other biotesting methods, such as test with fish or invertebrates, can often take a 80 few days. The short duration of Microtox analysis significantly increases sampling throughput capability of this test. 81

82 Microtox is applied as a screening tool for a wide range of ecotoxicological problems. 83 Over 50% of all applications of the Microtox Acute Toxicity Test are related to assessment of 84 industrial-domestic wastes [16, 17], leachate studies, examination of the toxicity of 85 polymer/alum addition to the aeration tank effluent prior using in a slaughterhouse wastewater 86 treatment plant [18], and also for evaluation of risks, in relation with a simulated oil spill [19]. 87 There was an attempt to estimate an effect of river water, sediment and time on toxicity using Microtox [20]. It was found, that the presence of sediment as well as exposure time affected the 88 89 toxicity of water sample. The extracted fly ash [21], appearing as a result of incineration of 90 wastes, which is one the most popular waste treatment method, has been also investigated.

91 One of the prevalent applications of Microtox is the evaluation of toxicity of river 92 sediments; such investigations were carried out in Poland [22], France [23], and Portugal [24]. In 93 Poland a study of toxicity of surface waters of several rivers and lakes was also carried out [22]. 94 These tests revealed that the Microtox assay is a suitable test for estimation of the toxicity of 95 bottom sediments, in which contaminants tend to accrue.

Some of the recent works were devoted to the analysis of soils, containing polycyclic aromatic hydrocarbons [25], biochar and pesticides (2,4-D and dicamba) [26], and pharmaceutical wastewaters [27]. Researches from Italy tried to estimate an ecotoxicological effect of suspensions of basaltic rock, ash and cement dusts, which are often detected on building sites near the volcano Etna, on the luminescent of marine bacteria *Vibrio fischeri* [28].

101 The main disadvantage of Microtox is the complexity of bringing the lyophilized bacteria 102 in working conditions, which makes this platform hardly compatible with an idea of on-line 103 monitoring. Another problem, common to all biotests, is related to the range of dangerous 104 substances and degree of their toxicity that can vary for different biotests and human beings.

105 A possible "ideal" toxicity assessment instrument should have fast response allowing for 106 of on-line measurements and should possess broad sensitivity spectra towards various toxicants 107 allowing for reliable alarm performance in all cases.

108 In this paper we attempt to expand the range of aquatic quality indicators for toxicity 109 estimation with a multisensor system, often called electronic tongue. Currently the electronic 110 tongue became a useful tool for food and drink analysis [29] and pharmaceutical analysis [30]. 111 The operation of such systems is based on the application of an array of cross-sensitive chemical 112 sensors for the analysis of liquids and subsequent processing of sensor signals by multivariate 113 data processing techniques. In our previous works we applied this approach and managed to 114 demonstrate that a sensor array can mimic performance of *Daphnia magna* [31, 32], *Chlorella* 115 vulgaris, Paramecium caudatum [32]. Therefore we have applied the same approach for Vibrio 116 fischeri in the present research.

- 117
- 118 2. Materials and Methods

119 2.1

2.1. Water samples

Fifty four water samples were provided by the Center for Research and Innovation in Toxicology of the Technical University of Catalonia located in Terrassa (Spain). These were wastewaters collected from different regions of Catalonia ("real" samples) and aqueous solutions of the model toxicants, prepared in the Center for Research and Innovation in Toxicology ("imitation" samples). The details about the solutions of the model toxicants are presented in Table 1. Each sample was prepared in 500 ml plastic bottle with a screw cap. Samples were stored in the refrigerator between measurements.

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Table 1. Samples of model toxicants used in the study.

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Apart from the 24 samples shown in Table 1 and 26 samples of wastewater, for which the toxicity data were provided by toxicologists, there were four samples, which composition was not disclosed due to our agreement with Center for Research and Innovation in Toxicology. Thus, these samples were actually unknown for the sensor research team.

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135 2.2. Microtox analysis

The reduction of light emission as a measure of water toxicity was determined by SDI Model 500 Analyzer, which integrates a luminometer with an incubator. The incubator was maintained at two different temperatures: all test samples were kept at 15°C and one stock culture cuvette was stored separately at 5°C. The luminometer measures the light emissions from 140 luminescent bacteria. The *Analyzer* was connected to a personal computer for data collection and

141 processing.

Freeze-dried bacteria with the *Recon*, the *Diluent* and the *Osmotic Adjusting Solution*were obtained from Microbics Corporation (Carlsbad, CA, USA).

144 The amount of light reduction (Gamma) is calculated as follows:

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$$GAMMA(\Gamma) = \frac{\frac{I_t^0}{I_0^0} \cdot I_0^C - I_t^C}{I_t^C} \quad (1),$$

146 where  $I_0^0$  is a light intensity of solution with the concentration 0 at time 0,  $I_t^0$  is a light intensity 147 of solution with concentration 0 at time *t*,  $I_0^C$  is a light intensity of solution with concentration *C* 148 at time 0,  $I_t^C$  is a light intensity of solution with concentration *C* at time *t*.

This function allows to calculate the effective concentration EC50(t) which is the concentration of sample causing a 50% light reduction at exposure time of *t* minutes. Different chemicals affect marine bacteria at different rates. The decrease of light emission is complete in 5 minutes for some organic substances, while, e.g. for ammonia this time is not enough for ending the changes of light output. In such case a 15 minutes exposure time may be more appropriate. It was decided to measure light emission after 15 minutes when dealing with unknown samples.

Estimation of Gamma function and finally *EC50* were undertaken using a MicrotoxOmni software program. All *EC50* values were expressed as concentration (mg/l) or percentage (%) with 95% confidence intervals; at least three replicate measurements were taken for each sample.

The lower *EC50* value the greater the toxicity of the sample. The acute toxicity was divided by classes: «high toxic» –  $EC50 \le 1 \text{ mg/L}$ , «toxic» –  $EC50 \le 10 \text{ mg/L}$ , «low toxic» –  $EC50 \le 100 \text{ mg/L}$ . The United States Environmental Protection Agency's (EPA) also distinguishes the category «non-toxic» for the samples with EC50 > 100 mg/L.

163 The toxicity of wastewater samples was expressed as EC50 in percentage units, which 164 represent the percentage of sample causing a 50% reduction in light emitted. The EC50 of a non-165 toxic sample is expressed as EC50 > 100%

166 An automated system for continuous *in situ* aquatic toxicity determination for water 167 streams based on these principles has been developed [33, 34].

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169 2.3. The sensor array and potentiometric measurements

A multisensor system applied in this study was constructed of 23 cross-sensitive 170 171 potentiometric sensors. Seven of them were poly(vinylchloride) (PVC)-plasticized anion-172 sensitive sensors based on anion-exchangers of various structure, 7 other were PVC-plasticized 173 cation-sensitive sensitive sensors similar to those reported in [35], another 8 were chalcogenide 174 glass sensors with pronounced sensitivity towards various heavy metals (Ag, Cu, Cd, Fe, Hg, Pb) 175 [36] and one standard pH glass electrode was also included. All membrane active compounds for 176 PVC-plasticized sensors, i.e. ion-exchangers, plasticizers and PVC were Fluka reagents of 177 Selectophore grade from Sigma-Aldrich (Munich, Germany). All components for chalcogenide 178 glasses synthesis were of the highest available purity from Sigma-Aldrich. The measurements 179 were made against standard Ag/AgCl reference electrode. Sensors array was connected to a 32-180 channel digital high input impedance voltmeter. All obtained data were measured with 0,1 mV 181 precision and recorded to a PC. At least 5 replicated ET (electronic tongue) measurements were 182 carried out for each sample and these results were averaged for further data processing. Water 183 samples were stirred, but not shaken up during the measurements to avoid contamination of the 184 sensors by precipitates present in some of the solutions. Samples were not treated anyhow or 185 diluted before the measurements and used as is. Measurement time in each 50 ml sample was 3 186 min. The sensor array was washed with three portions of distilled water between measurements, 187 the total time of washing being about seven minutes. The reported procedure was sufficient to 188 provide for  $\pm 3 \text{ mV}$  reproducibility of the sensors readings in the replicate measurements.

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## 2.4. Data processing

Projection on latent structures method (PLS1), random k-nearest neighbor algorithm
(KNN) and random forest (RF) method were used for data processing. Short description of these
methods is presented below.

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## 2.4.1. Partial least squares

196 PLS1 (partial least squares) regression is one of the most widely used methods in 197 chemometrics [37], the PLS regression model is designed from a training set of N observations 198 with X-variables and Y-variables, here the ET data from sensor array are independent variables 199 to predict toxicity values as dependent variables. PLS models were computed with The 200 Unscrambler® 9.7 (CAMO Software AS, Norway). Evaluation of the quality of the PLS model 201 was conducted using two strategies: full cross-validation and random split test set. For full cross-202 validation N models were generated, where N is the number of samples, using N-1 samples for 203 training and the remaining one for validation so that there were in total N samples for validations 204 but each one for a different model. For random partition 1/3 of samples were randomly extracted and used as test set and the remaining 2/3 of the samples were used for constructing a calibration
model. This procedure was repeated thirty times and each time the standard error of prediction
(RMSEP) was calculated:

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where n is a number of samples in the test set,  $y_i^{\text{pred}}$  is the value predicted by the model,  $y_i^{\text{real}}$  is the reference value.

 $RMSEP = \sqrt{\frac{\sum_{i} (y_i^{pred} - y_i^{real})^2}{n}} \quad (2),$ 

In this paper samples were divided into two groups: the "real" and the "imitation" ones. The dependent variable was prescribed with the maximum value 100 for the samples where *EC50* was more than a hundred. This procedure was done to preserve the model representation, as the number of samples is quite limited and the removal of samples would lead to the loss of this characteristic.

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- 2.4.2. Random forest

221 Random forest method was proposed in [38] and is applicable for classification and 222 regression tasks. A number of decision trees (Figure 1) are constructed based on the training data 223 assuming that there are N training samples and M independent variables. The original Breiman's 224 algorithm [38] described shortly below was focused on the classification problem. To construct a 225 single tree,  $n \le N$  training samples were randomly chosen with replacement to form a training set for this tree. To construct a root node of the tree,  $m \le M$  variables were randomly selected and 226 227 the best split of the data was chosen based on the value of one of the *m* variables. The best split 228 was chosen usually according to the information or Gini gains [39]. The same procedure was 229 recursively applied to two newly created nodes, the training data being split between these 230 nodes. If all the samples to be split belong to a single class then the node becomes a leaf, which 231 predicts this class. To predict the class attribution of a new sample using a single tree, the sample 232 was moved down the tree towards one of its leaves, and the result was the class of the leaf. The 233 whole forest predicts sample's class based on voting: the class predicted by the most trees was 234 chosen. The variability of different trees allows random forest to overcome overfitting.

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Figure 1. An example of a decision tree. Each decision node splits the data according to a single
variable (*u* or *v* in this case) and each leaf node predicts one of the classes (A or B).

239 2.4.3. Random KNN

Random KNN [40] is an extension of the *k*-nearest neighbor algorithm [41]. In KNN the training data itself is used for prediction. Some distance *d* between samples is considered (e.g. Euclidean distance between their variable vectors). For a sample to be classified, *k* nearest (according to *d*) samples ("neighbors") in the training set are found. In case of classification, the most common class of the neighbors is predicted and in case of regression the dependent variable values of the neighbors are averaged.

The idea of random KNN is similar to the one used in random forests. A collection of rKNN models forms a single neighbor predictor. Each KNN model is obtained using different, randomly chosen variable subsets. As the models are independent, their construction and usage can be performed in parallel.

Both random forest and random KNN calculations were carried out using R software,
where packages *randomForest* [42] and *rknn* [43] were used.

- 252
- 253 3. Results and discussions
- 254 3.1. PLS-regression

The data from the sensor array of all of the samples were employed for producing two different regression models for real and imitated samples. Since the number of the available samples was rather limited we performed two different verification approaches: full crossvalidation and random test with 30 splits. The details of these validation procedures are shown in the Table 2.

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Table 2. The parameters of the multisensor system performance in prediction of water toxicityvalues in terms of Microtox

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The obtained data allow assuming that the sensor system previously calibrated against *Vibrio fischeri* can be used to assess water toxicity, especially when it comes to "real" samples. This is understandable taking into account the sensitivity of the multisensor system to the range of substances toxic for biological organisms such as heavy metals, pesticides and certain other organic compounds often present in polluted waters.

PLS1 regression model was used to predict the toxicity indexes of the four totally unknown samples. The results of this prediction are presented in Figure 2. Two of these samples appeared being real wastewaters from Catalonia and the remaining two belong to the group of imitated samples. This was confirmed after the experiment and calculations by the Center for Research and Innovation in Toxicology, Polytechnic University of Catalonia (Terrassa, Spain).

- Figure 2. Prediction of toxicity index in terms of Microtox bioassay from the data of the
  potentiometric system. Two samples are real wastewaters from Catalonia and two are imitated
  samples
  The system is capable of predicting water toxicity of unknown samples with reasonable
  precision. It is noteworthy, that regression techniques (such as e.g. PLS employed here) are
  intended for numerical prediction of the target parameter and can handle situations with
  parameters expressed as inequalities (e.g. EC>100) with certain stipulations.
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3.2. Random forests and KNN

The dependent variable was prescribed with the maximum value 100 for all "real" samples with EC50 > 100%, so it was assumed that it is impossible to predict EC50 values greater than 100%. Hence, we decided to address the problem in two stages: first, the samples were classified between  $EC50 \le 100$  and EC50 > 100 and then, if  $EC50 \le 100$ , we predicted this value. There was only one censored sample for the imitated dataset so it was just excluded from the study.

Another issue of the dataset was a small number of samples with relatively large EC50values (> 50 for "real" and > 100 for "imitation" datasets), which could lead to poor regression performance on the samples with such values. To overcome the difficulty we decided to use log2-transformed EC50 values for prediction. Thus, from this point on, the regression errors will be reported in log-scale.

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- Experimental evaluation: "real" dataset

As was mentioned above, we first classified the water samples into two classes:  $EC50 \le 100 \quad (``\le 100'' \text{ class}) \text{ and } EC50 > 100 \quad (``> 100'' \text{ class}). Both RF and random KNN were$ tried and the evaluation showed the performance of the former method being better. The numberof trees in the forest was equal to 100.

- We were able to obtain confusion matrices for random forests using full cross-validation. Since the construction of these classifiers is random such matrices are random as well. Hence, 1000 of such matrices were averaged; the obtained results are shown in Table 3. The number of misclassified samples did not exceed 3 in the vast majority of cases - over 99% of matrices.
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Table 3. Averaged confusion matrix for "real" dataset

309 Next, the regression for samples with  $EC50 \le 100$  (there were fifteen samples of such 310 kind) was calculated. This time random KNN outperformed random forests (we used r = 100, 311 k = 1 and four variables for each KNN model). Apart from RF and RKNN, we tried to make 312 predictions using ordinary linear regression, but it did not perform better than these two methods. 313 Then a distribution of 1000 full cross-validation errors of random KNN was considered. Some 314 statistics of this distribution are shown in Table 4. It can be noticed from the Table 4 that it is possible to predict the dependent variable with relative errors of about  $2^{1.5} \approx 2.83$ . 315 316 317 Table 4. Regression error distribution statistics. 318 319 - Experimental evaluation: "imitation" dataset 320 After removing a single right-censored sample, 23 samples were left in the "imitation" 321 dataset. There was an attempt to construct regression models for this dataset using random 322 forests, random KNN and linear regression. All methods failed to produce reasonable prediction 323 accuracy in this case, the lowest averaged cross-validation error was observed for RF but still it

was 3.1 which is quite large. The performance of a trivial classifier which always predicted the
mean of dependent variable values in a training set was additionally evaluated and the error that
it produced was 2.8.

The inability to predict *EC50* for the "imitation" dataset can be related to the lack of sensor data or to the small size of the dataset. This dataset is larger than the  $EC50 \le 100$  part of the "real" one. However, it is more difficult for the following reason. There are no covariates highly correlated with the outcome: the maximum absolute value of the Pearson's correlation coefficient was 0.35 (for variable "G9"). In comparison, the same maximum value in the "real" dataset was 0.7 (for variable "C11").

Thus, water toxicity evaluation by a multisensor system in terms of *Vibrio fischeri* marine bacteria is possible with experimental errors about 20-25 %, which is comparable to the cases of the other biological test objects [31, 32].

- 336337
- 4. Conclusion.

The assessment of water quality using living test-objects is one of the leading trends of the current environmental control. However on-line monitoring of such kind is not always possible due to the need of the maintaining appropriate habitat conditions for biological creatures. We managed to carry out the application of the sensor system for prediction of the toxicity values of wastewater samples in terms of response of marine bacteria *Vibrio fischeri*. In this case living organisms are used only for calibration of the sensor array. Although the obtained accuracy in toxicity prediction with multisensor system may seem not very high at the first glance (20-25%), one should take into account unusual task formulation (imitation of complex biological reactions of living organisms with a set of chemical sensors) and possible advantages of multisensor approach such e.g. possibility of performing the toxicity assessment in on-line mode and simplicity of handling. Based on these considerations we believe that suggested approach shows a good promise for further research in this area.

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