

1 **Influence of hydrothermal pretreatment on microalgal biomass anaerobic**
2 **digestion and bioenergy production**

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13 **Abstract**

14 Microalgal biomass grown in wastewater treatment raceway ponds may be valorized producing
15 bioenergy through anaerobic digestion. However, pretreatment techniques seem to be necessary for
16 enhancing microalgae methane yield. In this study, hydrothermal pretreatment was studied prior to
17 batch and continuous reactors. The pretreatment increased organic matter solubilisation (8-13%),
18 anaerobic digestion rate (30-90%) and final methane yield (17-39%) in batch tests. The highest
19 increase was attained with the pretreatment at 130 °C for 15 minutes, which was attested in a
20 laboratory-scale continuous reactor operated at a hydraulic retention time of 20 days with an
21 average organic loading rate of 0.7 g VS/L-day. The methane production rate increased from 0.07 to
22 0.12 L CH₄/L-day (58%) and the methane yield from 0.12 to 0.17 L CH₄/g VS (41%) in the
23 pretreated digester as compared to the control. Microscopic images of microalgal biomass showed
24 that pretreated cells had unstructured organelles and disrupted cell wall external layer, which may
25 enhance the hydrolysis. Indeed, images of the pretreated reactor digestate showed how cells were
26 more degraded than in the control reactor.

27

28 **Keywords**

29 Algae; Bioenergy; Biogas; Methane; Microalgae; Wastewater

30 1. Introduction

31 High rate algal ponds (HRAP) were first developed for wastewater treatment in the 1950's in
32 California (Oswald and Golueke, 1960). This technology consists in shallow ponds with constant
33 mixing provided by a paddle-wheel that enhances phytoplankton photosynthesis, since it allows
34 sunlight to penetrate through the whole system. In these microalgae-based ponds, organic matter
35 and nutrients are removed from the influent wastewater through the symbiotic relation between
36 heterotrophic bacteria and microalgae. Thus, bacteria degrade organic carbon consuming oxygen,
37 which is synthesized by microalgae photosynthesis. In comparison to conventional activated sludge
38 systems, here no external aeration is needed for bacteria growth. In HRAP treating urban
39 wastewater, biomass is composed by around 90% microalgae and 10% bacteria (García et al., 2000).
40 Harvested microalgal biomass can be treated through anaerobic digestion, a well-known process
41 widely used for sewage sludge treatment in conventional wastewater treatment plants (WWTP).

42 However, microalgal biomass has a slow anaerobic biodegradability, mainly due to its
43 complex cell wall structure. Actually, microalgae cell wall varies greatly among species. While
44 some species such as *Dunaliella salina* lack the cell wall, others may differ on the cell wall
45 composition, being a protein-based cell wall for *Euglena gracilis* and a polysaccharide-based cell
46 wall for *Scenedesmus obliquus*, conferring to the latter a more recalcitrant nature (González-
47 Fernández et al., 2011). Moreover, predominant species in microalgal biomass grown in wastewater
48 generally have a rigid cell wall, due to its adaptability to grow under variable ambient conditions,
49 with predatory organisms and high organic content (Park et al., 2011).

50 In order to improve microalgae anaerobic digestion, pretreatment methods are currently
51 being studied. So far it has been shown that reactors with a hydraulic retention time (HRT) of at
52 least 20 days, preceded by some pretreatment step are required for reaching a methane yield around
53 0.30 L CH₄/g VS (Passos and Ferrer, 2014; González-Fernández et al., 2012). Among the
54 investigated pretreatment techniques, thermal pretreatment has exhibited the most promising results,
55 reaching high methane yields, while attaining positive energy balances (Passos and Ferrer, 2014;

56 Schwede et al., 2013). To date, temperatures from 55 to 170 °C have been applied. When thermal
57 pretreatment is applied at temperatures higher than 100 °C, pressure increases. In this case, thermal
58 pretreatment is so-called hydrothermal pretreatment. Generally, it is applied at temperatures
59 between 100-140 °C along with pressures around 1-2 bar. As can be seen in Table 1, the methane
60 yield may increase from 20 to 108% depending on the pretreatment conditions, and most
61 importantly on the microalgae species used in each case.

62 The aim of this study was to evaluate the anaerobic digestion of microalgal biomass grown
63 in wastewater treatment HRAP after hydrothermal pretreatment. To this end, biochemical methane
64 potential (BMP) tests were performed with microalgae pretreated under different temperatures and
65 exposure times. The best pretreatment condition was then studied in continuous reactors.
66 Microscopic images were used to analyse the effect of pretreatment in microalgae cell structure
67 and anaerobic biodegradability. Furthermore, an energy assessment was carried out in order to
68 determine the scalability of this technology.

69

70 **2. Material and Methods**

71 *2.1 Microalgal biomass*

72 Microalgal biomass was grown in a pilot HRAP used for secondary treatment of urban wastewater.
73 The experimental set-up was located outdoors at the laboratory of the GEMMA research group
74 (Universitat Politècnica de Catalunya) in Barcelona (Spain). The HRAP received the primary
75 effluent from a settling tank which had a useful volume of 7 L and a HRT of 0.9 hours. The primary
76 effluent was pumped to the HRAP by means of a peristaltic pump with a flow rate of 60 L/d. The
77 HRAP was built in PVC with a surface area of 1.54 m², a height of 0.3 m, a useful volume of 0.47
78 m³ and a nominal HRT of 8 days. Average surface loading rates were ±24 g COD/m²day and ±4 g
79 NH₄-N/m²day. Microalgae contact with sunlight was enhanced through continuous stirring with a
80 bladed paddle-wheel, reaching an approximate mixed liquor flow velocity of 10 cm/s. Further
81 information on the HRAP performance may be found elsewhere (Passos et al., 2013a).

82 Microalgal biomass was harvested from secondary settlers with a useful volume of 9 L and a
83 HRT of 9 hours. Following, biomass was thickened by gravity in laboratory Imhoff cones at 4 °C
84 for 24 hours for reaching total solid (TS) concentration of 2.0-2.5 % (w/w). Microalgal biomass
85 macromolecular composition was fairly stable, with 58% (± 2.5) of proteins, 19% (±1.3) of lipids
86 and 22% (±2.7) of carbohydrates over a sampling period of four months (Passos et al., 2013a).

87

88 ***2.2 Hydrothermal pretreatment***

89 Hydrothermal pretreatment was carried out in an autoclave (Autester, Selecta, Spain). For the BMP
90 tests, pretreatment conditions were 110 °C (1.2 bar) and 130 °C (1.7 bar) for 15 and 30 minutes;
91 while for the continuous reactor pretreatment conditions were 130 °C for 15 minutes, based on
92 previous BMP test results. Relatively low target temperatures were selected not to increase the
93 energy demand for the thermal pretreatment and to avoid Maillard reactions which may lead to the
94 formation of recalcitrant compounds. Exposure times (15 and 30 min) were based on literature
95 results (Table 1). Pretreatment was performed in glass bottles of 250 mL with a useful volume of
96 150 mL. Bottle caps were slightly loose. During hydrothermal pretreatment biomass was placed in
97 the autoclave and temperature was raised to the target value. In this moment, biomass was
98 maintained under the target temperature for the whole exposure time. Then pressure was gradually
99 released to reach atmosphere conditions. Finally, biomass was cooled to room temperature and
100 stored at 4 °C until use.

101 Organic matter solubilisation was determined to evaluate the effectiveness of the
102 pretreatment prior to BMP tests. The solubilisation degree (%) was calculated according to Eq. 1,
103 where VS corresponds to total volatile solids, VS_s corresponds to soluble volatile solids and the
104 sub-indexes refer to pretreated (p) and control (o) biomass.

$$S (\%) = \frac{(VS_s)_p - (VS_s)_o}{VS - (VS_s)_o} 100$$

105

(Eq. 1)

106 ***2.3 Biochemical methane potential tests***

107 BMP tests were used to compare the anaerobic biodegradability of pretreated and non-pretreated
108 microalgal biomass. To this end, microalgal biomass (1.5 L) was harvested once for all trials.
109 Digestate from a full-scale anaerobic reactor treating sewage sludge in a WWTP near Barcelona
110 (Spain) was used as inoculum. The selected substrate to inoculum ratio was 0.5 g VS_s/g VS_i (Passos
111 et al., 2013b), corresponding to 28 g of microalgae (substrate) and 32 g of sludge (inoculum) per
112 bottle. Serum bottles (160 mL) were filled with distilled water up to 100 mL, flushed with Helium
113 gas, sealed with butyl rubber stoppers and incubated at 35 °C until biogas production ceased. A
114 blank treatment with only inoculum was used to quantify the amount of methane produced by
115 endogenous respiration. Each pretreatment was performed in duplicate, whereas the control (non-
116 pretreated biomass) and blank (inoculum) were performed in triplicate. Biogas production was
117 calculated by subtracting the blank results to each trial. The methane content in biogas was analyzed
118 twice a week by gas chromatography (GC).

119

120 ***2.4 Continuous reactors***

121 The influence of pretreatment on microalgae anaerobic digestion performance was monitored using
122 two lab-scale reactors (2 L), with a useful volume of 1.5 L. In this manner, control and pretreated
123 biomass were simultaneously investigated. Reactors were operated under mesophilic conditions (37
124 ± 1 °C) by implementing an electric heating cover (Selecta, Spain). Constant mixing was provided
125 by a magnetic stirrer (Thermo Scientific). Biogas production was measured by water displacement
126 and the methane content was analysed twice a week by GC. The same volume (75 mL) was purged
127 from and added to the digesters using plastic syringes on a daily basis. Reactors were operated at a
128 HRT of 20 days and were considered to be under steady-state after three complete HRT. Afterwards,
129 anaerobic digestion performance was monitored during 2-3 complete HRT (8 weeks). Thus, the
130 reactors were operated over a period of 104 days, in which the pretreated reactor was fed with
131 microalgal biomass after hydrothermal pretreatment and the control reactor was fed with non-
132 pretreated biomass. Microalgal biomass was harvested once a week and stored at 4 °C until use.

133

134 **2.5 Analytical methods**

135 All analyses were carried out in triplicated and results are given as mean values. Microalgal
136 biomass was characterised by the concentration of TS, VS, chemical oxygen demand (COD), total
137 Kjeldhal nitrogen (TKN) and ammonia nitrogen (N-NH_4^+) according to Standard Methods (APHA-
138 AWWA-WPCF, 1999). Soluble samples for VS and N-NH_4 analysis were obtained by centrifugation
139 (UNICEN20, 4200 rpm, 8 min, 20 °C) and filtration (glass fiber filter 47 mm and pore size 1 μm).
140 pH was analysed with a Crison Portable 506 pH-meter. Regarding the continuous reactors, TS, VS
141 and pH were determined twice a week, while COD, TKN, N-NH_4^+ and volatile fatty acids (VFA)
142 were determined once a week.

143 VFA were analysed in soluble phase by gas chromatography (GC) (Agilent Technologies
144 7820A), according to the procedure described by Passos et al. (2013b). Similarly, the methane
145 content in biogas was measured with a GC (Trace GC Thermo Finnigan) equipped with a Thermal
146 Conductivity Detector, according to the procedure detailed previously (Passos et al., 2013b).

147

148 **2.6 Microscopic images**

149 Microscopic images were used to provide qualitative information on the effect of hydrothermal
150 pretreatment on the cell structure and anaerobic biodegradability. Samples were taken once the
151 continuous reactors were stable.

152 Microalgae species identification and cell wall integrity images were taken with an optical
153 microscope (Axioplan Zeiss, Germany), equipped with a camera MRc5, using the software
154 Axioplan LE. Basic microalgae diversity morphotypes were identified from classical specific
155 literature (Palmer, 1962; Bourelly, 1966). For transmission electron microscopy (TEM) images,
156 biomass was centrifuged at 2000 rpm for 5 min and fixed in a mixture of 2% paraformaldehyde and
157 2,5% glutaraldehyde, as described in our previous study (Passos et al., 2014a). Samples were
158 examined using a JEOL 1010 TEM at 100 kV accelerating voltage.

159

160 **2.7 Statistical analysis**

161 In BMP tests, anaerobic digestion kinetics were fit by the least square method. The effect of
162 hydrothermal pretreatment on the methane production rate and yield was determined by the
163 ANOVA test using R Commander Statistical Software. $\rho = 0.05$ was set as the level of statistical
164 significance.

165

166 **2.8 Energy assessment**

167 An energy assessment of microalgal biomass anaerobic digestion with and without pretreatment
168 step was carried out for evaluating its scalability. To do so, parameters for full-scale reactors were
169 estimated from experimental data, considering a flow rate of 100 m³/d and a useful volume of 2,000
170 m³ corresponded to 20 days HRT. Energy input was divided in to electricity and heat demands.
171 Parameters used are summarised in Table 2.

172 For the anaerobic digestion of non-pretreated microalgal biomass, input heat was calculated
173 as the energy required to heat influent biomass from ambient temperature (T_a) to digestion
174 temperature (T_d), according to Eq. 2. The density (ρ) and specific heat (γ) of microalgal biomass
175 were assumed to be the same as those of water, 1,000 kg/m³ and 4.18 kJ/kg·°C, respectively. Heat
176 losses through the reactor wall were considered, the heat transfer coefficient (k) was assumed to be
177 1 W/m²·d (Metcalf and Eddy, 2003). The reactor wall surface area (A) was calculated from the
178 reactor useful volume, considering a 2:1 diameter to height ratio; while the reactor bottom and top
179 were not accounted for (Metcalf and Eddy, 2003).

$$E_{i,heat} = \rho Q \gamma (T_d - T_a) + kA (T_d - T_a) 86.4$$

180

(Eq. 2)

181 where: $E_{i,heat}$: input heat (kJ/d); ρ : density (kg/m³); Q : flow rate (m³/d); γ : specific heat (kJ/kg·°C); T_d : anaerobic
182 digestion temperature (37 °C); T_a : ambient temperature (20 °C); k : heat transfer coefficient (W/m²·°C); A : surface area
183 of the reactor wall (m²).

184 In the case of microalgae pretreatment, input heat was calculated as the energy required to
 185 heat influent biomass from T_a to pretreatment temperature (T_p), i.e. 130 °C, subtracted by the heat
 186 recovered when cooling down biomass from T_p to T_d (Eq. 3). Heat would be recovered by means of
 187 a heat exchanger, with an efficiency ϕ of 85% (Lu et al., 2008). Heat losses through the reactor
 188 walls were also accounted for.

$$E_{i,heat} = \rho Q \gamma (T_p - T_a) - \rho Q \gamma (T_p - T_d) \phi + kA (T_d - T_a) \quad 86.4$$

189 (Eq. 3)

190 where: $E_{i,heat}$: input heat (kJ/d); ρ : density (kg/m³); Q : flow rate (m³/d); γ : specific heat (kJ/kg·°C); T_d : anaerobic
 191 digestion temperature (37 °C); T_a : ambient temperature (20 °C); T_p : pretreatment temperature (130 °C); ϕ : heat recovery
 192 from pretreated biomass; k : heat transfer coefficient (W/m²·°C); A : surface area of the reactor wall (m²).

193 Input electricity (Eq. 4) for both control and pretreated digesters, was estimated from the
 194 energy required for biomass pumping and reactor mixing, assumed to be 1,800 kJ/m³ and 300
 195 kJ/m³_{reactor}·d, respectively (Lu et al., 2008).

$$E_{i,electricity} = Q\theta + V\omega$$

196 (Eq. 4)

197 where: $E_{i,electricity}$: input electricity (kJ/d); Q : flow rate (m³/d); θ : electricity consumption for pumping (kJ/m³); V : useful
 198 volume (m³); ω : electricity consumption for mixing (kJ/m³_{reactor}·d).

199 The energy output from the anaerobic digestion was calculated from the methane yield,
 200 according to Eq. 5 and 6. The lower heating value of methane (ξ) was assumed to be 35,800 kJ/m³
 201 CH₄ (Metcalf and Eddy, 2003). An efficiency of 90% on energy conversion was considered

$$E_o = P_{CH_4} \xi OLR V \eta$$

202 (Eq. 5)

203 where: E_o : output energy (kJ/d); P_{CH_4} : methane yield (m³CH₄/kg VS); ξ : lower heating value of methane (kJ/m³CH₄);
 204 OLR: organic loading rate (kg VS/m³·d); V : useful volume (m³); η : energy conversion efficiency (%).

205 Finally, results were expressed as energy balance (ΔE) and energy ratio (E_o/E_i) for both
 206 control and pretreated reactors. The energy balance was calculated as the difference between the
 207 energy output and energy input (heat and electricity) (Eq. 6), while the energy ratio was calculated
 208 from the energy output over the energy input (heat and electricity) (Eq. 7).

$$\Delta E = E_o - (E_{i,heat} + E_{i,electricity})$$

209 (Eq. 6)

$$E_o/E_i = \frac{E_o}{(E_{i,heat} + E_{i,electricity})}$$

210 (Eq. 7)

211

212 3. Results and Discussion

213 3.1 Effect of hydrothermal pretreatment on biomass solubilisation and anaerobic 214 biodegradability in BMP tests

215 Microalgal biomass solubilisation, anaerobic digestion rate and methane yield were improved after
216 hydrothermal pretreatment under all conditions assayed (Table 3). Soluble VS increased by 8-9%
217 after pretreatment at 110 °C and by 13-15% after pretreatment at 130 °C. Temperature rather than
218 exposure time seemed more important for biomass solubilization; since only small differences were
219 noticed between 15 and 30 min (Table 3). This is in accordance with our previous study on thermal
220 pretreatment at temperatures below 100 °C (Passos et al., 2013). However, results attained were
221 lower than expected. For instance, hydrothermal pretreatment of *Chlorella* sp. and *Scenedesmus* sp.
222 biomass at 120 °C attained a solubilisation of 30% (Cho et al., 2013). Furthermore, COD
223 solubilisation of *Acutodesmus obliquus* and *Oocystis* sp. biomass and *Microspora* sp. biomass was
224 increased by 37% and 40% after pretreatment at 140 °C for 15 min, respectively; while
225 *Scenedesmus* sp., *Clamydomonas* sp. and *Nannocloropsis* sp. biomass reached a solubilisation of 16%
226 under the same conditions (Alzate et al., 2012). The latter results are more similar to those found in
227 our study. This is probably due to the different microalgae species used in each case. Indeed,
228 microalgal biomass grown in wastewater is commonly formed by species with resistant cell walls
229 forming flocs in order to adapt to the diverse conditions, e.g. seasonality and predators. These
230 characteristics may hamper biomass solubilisation and anaerobic biodegradability. It has been
231 shown that microalgae pretreatment may not disrupt the cell wall, however by damaging the cell
232 structure, it seems to assist the anaerobic digestion process (Passos et al., 2014a).

233 BMP tests showed that hydrothermal pretreatment was effective at enhancing microalgae
234 anaerobic biodegradability. Increased anaerobic digestion rate (30-90%) and final methane yield
235 (17-39%) were observed when compared to the control (Table 3; Fig. 1). These results are in
236 accordance with previous BMP tests of mixed microalgae cultures. For instance, the methane yield
237 of *Scenedesmus* sp., *Clamydomonas* sp. and *Nannocloropsis* sp. biomass increased by 19 and 33%
238 after pretreatment at 110 and 140 °C for 15 min; while for *Acutodesmus obliquus* and *Oocystis* sp.
239 biomass the methane yield increased by 11 and 33% under the same pretreatment conditions (Alzate
240 et al., 2012). However, much higher values were found for *Chlorella* sp. and *Scenedesmus* sp.
241 biomass and *Microspora* sp. biomass, which reached from 50 to 120% higher methane yield as
242 compared to non-pretreated samples (Alzate et al., 2012; Cho et al., 2013). Indeed, it has been
243 shown that microalgae anaerobic biodegradability is species-specific and depends mainly on the cell
244 wall structure (Mussnug et al., 2011). In our case, the methane yield was improved by 24 and 39%
245 after pretreatment at 110 and 130 °C for 15 min, respectively. The best results in terms of anaerobic
246 digestion rate and methane yield were attained when pretreatment was performed at 130 °C for 15
247 min (0.36 d⁻¹; 0.17 L CH₄/g VS).

248

249 ***3.2 Effect of hydrothermal pretreatment on the anaerobic digestion performance in continuous*** 250 ***reactors***

251 The optimal pretreatment condition (130 °C; 15 min) was thereafter tested in laboratory-scale
252 continuous reactors. During the whole experimental period, both control and pretreated reactors
253 were operated with an organic loading rate around 0.7 g VS/L·day and a HRT of 20 days (Table 4).
254 Weekly average methane yield from each reactor is shown in Fig. 2; hydrothermal pretreatment
255 clearly enhanced anaerobic digestion performance. The methane production rate and methane yield
256 of non-pretreated microalgal biomass were 0.07 L CH₄/L·day and 0.12 L CH₄/g VS, respectively,
257 with a VS removal around 30%. After the pretreatment step, the methane production rate increased
258 to 0.12 L CH₄/L·day (58% increase) and the methane yield to 0.17 L CH₄/g VS (41% increase),

259 with a VS removal around 40%. In fact, the methane production rate and yield were significantly
260 higher for the pretreated reactor in comparison with the control (Table 5). As can be seen in Fig. 2,
261 especially for the control reactor, the methane yield reached very low values of 0.06 L CH₄/g VS.
262 Microalgae biodegradability and pretreatment effectiveness are species-specific and therefore,
263 higher methane yields may be reached when biomass is composed by species with less complex cell
264 wall structure than those typically found in HRAP treating wastewater (e.g. diatoms). Indeed, in our
265 previous studies, microalgal biomass harvested from the same pilot system reached average
266 methane yields of 0.17 L CH₄/g VS (Passos et al., 2014a) and 0.18 L CH₄/g VS (Passos and Ferrer,
267 2014). In these cases, biomass was mainly composed by *Monoraphidium* sp. and *Stigeoclonium* sp.
268 Changes in methane yield in the long term are normal, since the composition of microalgal biomass
269 varies over time in open ponds treating wastewater (Park et al., 2011; Passos et al., 2014b). This
270 occurs due to many factors, such as environmental conditions (e.g. solar radiation, temperature and
271 precipitation), influent wastewater composition (e.g. toxic compounds) or external contamination
272 (e.g. plants, microfauna and bacteria). In fact, both reactors showed a decreasing trend in the
273 average methane yield, although it was consistently higher in the pretreated one (Fig. 2).

274 Concerning the stability of digesters, pH values were stable during the whole period, ranging
275 from 7.0 to 7.6 (Table 4). Regarding ammonium concentration, the reactor effluent exhibited
276 between 300 and 350 mg N-NH₄/L, which is below toxic concentrations of 1.7 g/L (Schwede et al.,
277 2013). VFA were not detected before and after pretreatment, and only very low concentrations of
278 45 mg COD/L were found in both effluents (Table 4).

279 Nitrogen mineralisation was calculated as the difference in concentration of organic nitrogen
280 before and after anaerobic digestion of pretreated and non-pretreated biomass. For this, organic
281 nitrogen was calculated as the difference between the total Kjeldhal nitrogen (TKN) and ammonium
282 concentration (Table 4). According to the results, hydrothermal pretreatment increased organic
283 nitrogen removal. For the control reactor, nitrogen mineralisation was in average 24%, while after
284 hydrothermal pretreatment, it was 34%.

285 So far, the sole study dealing with microalgae hydrothermal pretreatment prior to anaerobic
286 digestion in continuous reactors was the one by Schwede et al. (2013), in which the methane yield
287 of *Nannochloropsis salina* was increased from 0.13 to 0.27 L CH₄/g VS (108%). In regards to
288 thermal pretreatment at lower temperatures (< 100 °C), the methane yield of microalgal biomass
289 grown in wastewater treatment HRAP increased by 33% after pretreatment at 100 °C for 8 hours
290 (Chen and Oswald, 1998) and around 70% after pretreatment at 75 and 95 °C for 10 hours (Passos
291 and Ferrer, 2014). As previously mentioned, the variation in the results obtained may be attributed
292 to the characteristics of the microalgae species investigated in each case. Our biomass was not a
293 pure microalgae culture; on the contrary, it was formed by a mixed culture of microalgae and
294 bacteria growing in HRAP for wastewater treatment. Biomass biodegradability depends on
295 characteristics such as microalgae species, content of bacteria and microfauna, biofilm, growing
296 conditions, macromolecular composition, among others. In microalgal biomass grown in open
297 ponds treating wastewater a spontaneous ecosystem is formed. In our previous study, we observed
298 that during periods where microalgae species with resistant cell wall are present, hydrolysis step in
299 anaerobic digestion is hampered leading to low methane yields (Passos et al., 2014b).

300

301 ***3.3 Microscopic analysis of microalgae cells after preteratment and anaerobic digestion***

302 Optical microscope images of non-pretreated and pretreated microalgal biomass before and after
303 anaerobic digestion are shown in Figure 3. Towards the end of the experiment, microalgal biomass
304 was mainly composed by *Oocystis* sp. Non-pretreated microalgae are shown in Fig. 3a and 3b
305 before and after anaerobic digestion, respectively. In the digestate (Fig. 3b), most *Oocystis* sp. cells
306 were not disrupted, suggesting that methane was produced by anaerobic biodegradation of other
307 microalgae, flocs containing extracellular polymeric substances and/or other organisms, such as
308 bacteria. This was already found for *Scenedesmus* biomass anaerobic digestion after thermal
309 pretreatment at 70 °C (González-Fernández et al., 2012).

310 Pretreated microalgae are shown in Fig. 3c and 3d before and after anaerobic digestion,
311 respectively. After hydrothermal pretreatment, *Oocystis* sp. cells were affected and damaged (Fig.
312 3c). Although the cell wall was still present, organelles were unstructured, pigmentation was lower
313 and there were many granules. Note that chloroplasts, which were clearly detected in fresh biomass
314 (Fig. 3a), were completely disrupted in pretreated biomass (Fig. 3c). In the digestate, almost no cells
315 were found (Fig. 3d). This suggests that the increase in methane yield after pretreatment was due to
316 microalgae which could not be digested without pretreatment.

317 These observations were confirmed by TEM images of non-pretreated (Fig. 4a-b) and
318 pretreated (Fig. 4c-d) *Oocystis* sp. cells. Damaged intracellular structure can be observed in Fig. 4c.
319 The space between the cell wall and cytoplasm indicates that the pretreatment disrupted organelles.
320 Furthermore, the external layer of the cell wall of *Oocystis* sp. was disrupted (Fig. 4d). In fact,
321 *Oocystis* sp. has distinct cell wall layers. A detailed microscopic investigation on *Oocystis apiculata*
322 by Fujino and Itoh (1994) showed that the cell wall was formed by three different layers; an outer
323 and inner layer composed by amorphous material and a middle layer composed by microfibril
324 structures. According to our TEM images, *Oocystis* sp. showed at least two different cell wall layers,
325 and an outer structure affected by the pretreatment step. The disruption of microalgae cell wall
326 surely enhanced microalgae anaerobic biodegradability.

327 Information on microalgal biomass characteristics using microscopic images is crucial to
328 understand the effect of pretreatments on the cell structure and, consequently, on the anaerobic
329 digestion performance. As can be seen in Fig. 2, the methane yield of both digesters had a
330 decreasing trend over the experimental period. This decrease was more evident in the control
331 reactor, which varied from 0.16 to 0.08 L CH₄/g VS. This variation was probably due to changes in
332 microalgal biomass characteristics and/or species. In fact, it has been already reported that
333 microalgae anaerobic digestion performance is species-specific (González-Fernández et al., 2011;
334 Passos and Ferrer, 2014). In the same way, pretreatment efficiency also depends on the microalgae
335 species. This means that changes in biomass over time may have had a higher impact on the

336 methane yield of non-pretreated microalgae, which decreased from 0.16 to 0.08 L CH₄/g VS, as
337 compared to pretreated biomass, which decreased from 0.20 to 0.15 L CH₄/g VS.

338 Since microalgal biomass from wastewater treatment systems changes over time, further
339 research should couple microalgae digestion in continuous reactors with periodic biomass
340 characterization to elucidate the effect of microalgae species on the methane yield of the reactor.

341

342 ***3.4 Energy assessment***

343 The energy assessment of microalgae anaerobic digestion with and without hydrothermal
344 pretreatment was based on experimental results in continuous reactors (Table 6). Since global
345 energy balances were calculated by subtracting the energy input (heat and electricity) to the energy
346 output (methane production), positive values indicate net energy production in the system. As can
347 be observed, neither the control reactor nor the pretreated reactor attained a positive energy balance,
348 i.e. -2.24 and -5.94 GJ/d, respectively. After pretreatment, the energy output increased from 5.41 to
349 7.67 GJ/d; however the energy input for heating influent biomass was also higher: 12.83 GJ/d as
350 compared to 6.87 GJ/d for the control reactor.

351 One of the main issues concerning the high energy input for the pretreatment step is the low
352 solids content in microalgal biomass. Indeed, Schwede et al. (2013) incorporated biomass
353 dewatering to reach a solids concentration of 25 %, and by doing so only 7% of the heat generated
354 from biogas (317 kWh) was consumed in the thermal pretreatment (23 kWh).

355 In our case, the energy balance was recalculated including a centrifugation step to determine
356 the minimum solids concentration for reaching a neutral energy balance. This corresponds to a
357 biomass concentration increase from 2.3 to 7.4% TS (3.2 times higher biomass concentration).
358 Consequently, the energy input was recalculated according to a new flow rate of 31.25 m³/d, reactor
359 volume of 625 m³, and reactor wall surface area of 214 m²; instead of 100 m³/d, 2000 m³ and 465
360 m², respectively without thickening step (Table 2). The energy input for the centrifuge was
361 estimated considering an electricity consumption ν of 0.04 kWh/kg TS (Suh and Rosseaux, 2002),

362 according to Eq. 7. In this hypothetical scenario, the energy output after centrifugation was assumed
363 to be the same as for the non-thickened biomass.

$$364 \quad E_{i,centrifuge} = Q \times v \times TS \times 3600 / 100 \quad (7)$$

365 where: $E_{i,centrifuge}$: input electricity for the centrifuge (kJ/d); Q: flow rate (100 m³/d); v: electricity consumption (0.04
366 kWh/kg TS); TS: influent total solids concentration (23 kg TS/m³) and 3600 is the conversion from kWh to kJ .

367 According to the results, both the pretreatment and thickening steps were crucial for
368 reaching a positive energy balance (Table 6). In this scenario, the control digester still had a
369 negative energy balance of -0.40 GJ/d, while the pretreated reactor had a neutral energy balance
370 ($E_o=E_i$). Alternatively, lower temperature pretreatment (75 °C) could be used even without a
371 thickening step, leading to a net energy production of 3 GJ/d (Passos and Ferrer, 2014).

372 It is worth taking into consideration that after biomass thickening the OLR would increase
373 from 0.7 to 2.2 g VS/L·d. This may affect microalgae methane yield and, consequently, the energy
374 output. A previous study using batch tests showed that the methane yield of thermally pretreated
375 *Chlorella vulgaris* and *Scenedesmus* sp. biomass did not decrease after increasing the solids
376 concentration from 16 to 130 g TS/L (Mendez et al., 2014). However, in continuous reactors,
377 *Scenedesmus* biomass methane yield decreased from 0.21 to 0.14 L CH₄/g VS when the OLR was
378 increased from 1.3 to 2.2 g VS/L·d due to ammonia inhibition (Alzate, 2014). Conversely, the same
379 microalgae species pretreated at 90 °C had a similar methane yield when digested at an OLR of 1 kg
380 COD/m³·day (97 mL CH₄/g COD) and 2.5 kg COD/m³·day (111 mL CH₄/g COD); with no
381 ammonia toxicity detected (González-Fernández et al., 2013). Thus, literature results on the effect
382 of the OLR on microalgae anaerobic digestion in the range needed to reach a neutral energy balance
383 (2.2 g VS/L·d) are not conclusive. Furthermore, biomass concentration and consequently the OLR
384 needed for reaching a neutral energy balance would decrease if more biodegradable biomass was
385 digested, leading to higher methane yield and energy output. Indeed, the average methane yield
386 observed during this period was the lowest found so far in our pilot plant and could be regarded as
387 the worst case scenario (Passos and Ferrer, 2014; Passos et al., 2014a; Passos et al., 2014b).

388

389 **4. Conclusions**

390 Hydrothermal pretreatment was evaluated for improving the anaerobic digestion of microalgal
391 biomass grown in high rate algal ponds for wastewater treatment. The pretreatment increased VS
392 solubilisation (8-13%), anaerobic digestion rate (30-90%) and final methane yield (17-40%) in
393 BMP tests. The best pretreatment condition (130 °C and 15 min) was further evaluated in
394 continuous reactors, obtaining a methane production rate of 0.12 L CH₄/L·d and a methane yield of
395 0.17 L CH₄/g VS, 58% and 41% increase in comparison with the control, respectively. Moreover,
396 microscopic images taken towards the end of the experiment showed how *Oocystis* sp. cells were
397 damaged after the pretreatment. Indeed, pretreated cells had unstructured organelles and disrupted
398 external cell wall layer, which possibly enhanced subsequent anaerobic digestion.

399

400 **Acknowledgements**

401 Authors want to thank the Spanish Ministry of Economy and Competitiveness for financial support
402 to this project (BIOALGAS CTM2010-17846). Fabiana Passos appreciates her PhD scholarship
403 funded by the Coordination for the Improvement of Higher Level Personal (CAPES) from the
404 Brazilian Ministry of Education. The authors acknowledge Mariona Hernández-Mariné from the
405 University of Barcelona and Joan García from the Universtitat Politècnica de Catalunya for the
406 valuable help on microalgae microscopic images and characterisation.

407

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Table 1. Hydrothermal pretreatment for improving microalgae biogas production.

Microalgae species	Pretreatment conditions	Reactor	Methane yield (increase)	References
<i>Clamydomonas</i> sp., <i>Scenedesmus</i> sp. and <i>Nannochloropsis</i> sp.	110, 140 °C 15 min	BMP	0.32 and 0.36 L CH ₄ /g VS (19 and 33%)	Alzate et al., 2012
<i>Acutodesmus obliquus</i> and <i>Oocystis</i> sp.	110, 140 °C 15 min	BMP	0.22 and 0.26 L CH ₄ /g VS (11 and 31%)	Alzate et al., 2012
<i>Microspora</i> sp.	110, 140 °C 15 min	BMP	0.41 and 0.38 L CH ₄ /g VS (62 and 50%)	Alzate et al., 2012
<i>Chlorella</i> sp. and <i>Scenedesmus</i> sp.	120 °C 30 min	BMP	0.40 L CH ₄ /g VS (20%)	Bohutski et al., 2014 Cho et al., 2013
<i>Nannochloropsis salina</i>	100-120 °C 2 h	CSTR	0.57 L CH ₄ /g VS (108%)	Schwede et al., 2013

Note: BMP stands for biochemical methane potential tests and CSTR stands for continuous stirred tank reactors.

Table 2. Energy assessment parameters.

Parameter	Unit	Value	Reference
Density of water (ρ)	kg/m ³	1,000	Metcalf and Eddy, 2003
Specific heat of water (γ)	kJ/kg °C	4.18	Metcalf and Eddy, 2003
Ambient temperature (T_a)	°C	20	Assumed
Anaerobic digestion temperature (T_d)	°C	37	This study
Pretreatment temperature (T_p)	°C	130	This study
Flow rate (Q)	m ³ /d	100	Assumed
Heat transfer coefficient (k)	W/m ² ·°C	1	Metcalf and Eddy, 2003
Heat recovery by heat exchanger (ϕ)	%	85	Lu et al., 2008
Useful volume (V)	m ³	2,000	Calculated
Surface area of the reactor wall (A)	m ²	465	Calculated
Energy consumption for pumping (θ)	kJ/m ³	1,800	Lu et al., 2008
Energy consumption rate for mixing (ω)	kJ/m ³ ·d	300	Lu et al., 2008
Lower heating value of methane (ξ)	kJ/m ³	35,800	Metcalf and Eddy, 2003
Organic loading rate (OLR)	Kg VS/m ³ ·d	0.70	This study (Table 4)
Methane yield (P_{CH_4})	m ³ _{CH₄} /kg VS	0.12; 0.17	This study (Table 5)
Energy conversion efficiency (η)	%	90	Assumed

Table 3. BMP test of microalgae under different hydrothermal pretreatment conditions.

Temperature (°C)	Time (min)	Solubilisation (%)	Anaerobic digestion rate (d ⁻¹)	Methane yield (L CH ₄ /g VS)
-	-	-	0.19	0.12
110	15	8.0 (0.62)	0.26	0.15
110	30	8.8 (0.61)	0.25	0.14
130	15	15.0 (1.04)	0.36	0.17
130	30	13.3 (0.93)	0.31	0.16

481 **Table 4.** Influent and digested microalgal biomass characteristics with and without hydrothermal
 482 pretreatment over the steady state period. Mean values (standard deviation).

Parameter	Control reactor	Pretreated reactor
<i>Operating conditions</i>		
HRT (days)	20	20
OLR (g VS/L.day)	0.70 (0.12)	0.71 (0.10)
OLR (g COD/L.day)	2.30 (1.8)	2.54 (2.3)
<i>Influent composition</i>		
pH	7.8 (0.5)	7.8 (0.4)
TS [% (w/w)]	2.25 (0.44)	2.44 (0.55)
VS [% (w/w)]	1.33 (0.30)	1.46 (0.68)
VS/TS (%)	61 (3.1)	63 (4.1)
COD (g/L)	20.0 (4.4)	22.6 (5.5)
TKN (g/L)	1.3 (0.3)	1.3 (0.4)
N-NH ₄ (mg/L)	14.9 (4.4)	25.0 (6.4)
VFA (mg COD/L)	0	0
<i>Effluent composition</i>		
pH	7.1 (0.2)	7.2 (0.3)
TS [% (w/w)]	1.67 (0.13)	1.34 (0.27)
VS [% (w/w)]	0.96 (0.10)	0.79 (0.13)
VS/TS (%)	58 (1.7)	59 (4.7)
COD (g/L)	14.3 (1.0)	11.4 (1.8)
TKN (g/L)	1.0 (0.1)	1.0 (0.1)
N-NH ₄ (mg/L)	311.5 (25.3)	351.5 (16.2)
VFA (mg COD/L)	43.5 (13.3)	46.5 (8.2)
<i>Removal efficiency</i>		
VS removal [% (w/w)]	28 (3.5)	40 (4.5)
COD removal [% (w/w)]	29 (2.8)	38 (5.0)

485 **Table 5.** Biogas production from microalgal biomass with and without hydrothermal pretreatment
486 over the steady state period. Mean values (standard deviation).

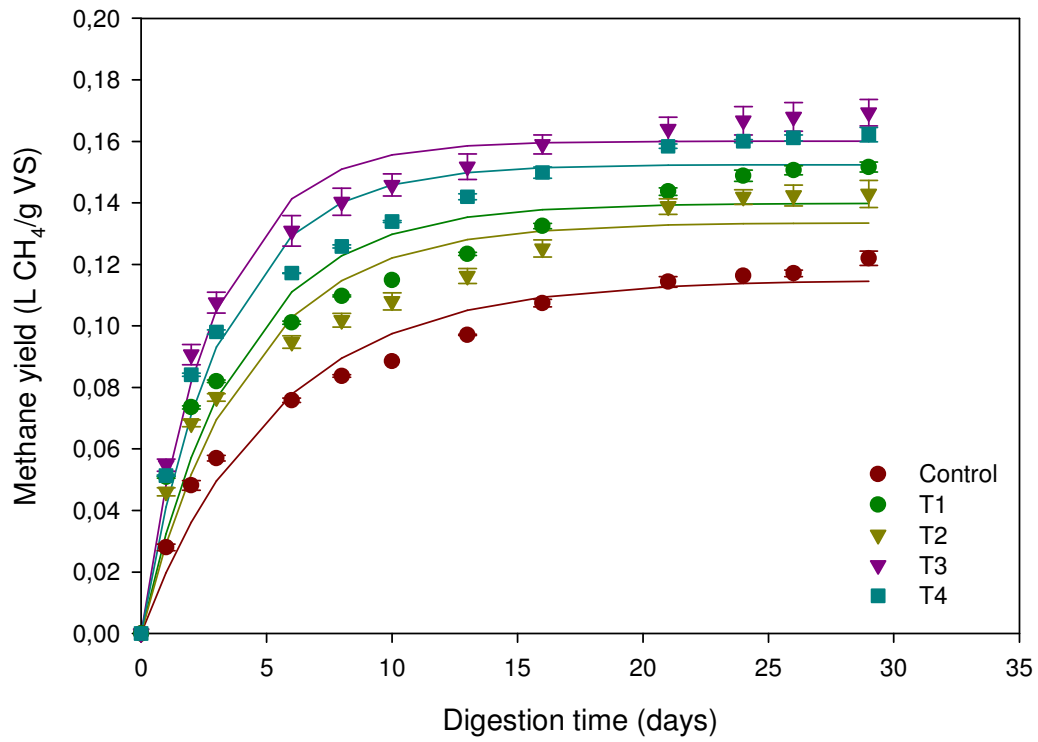
Parameter	Control reactor	Pretreated reactor
Methane production rate (L CH ₄ /L·d)	0.07 (0.01)	0.12 (0.02) ^a
Methane yield (L CH ₄ /g VS)	0.12 (0.04)	0.17 (0.02) ^a
Methane yield (L CH ₄ /g COD)	0.08 (0.02)	0.11 (0.02) ^a
Methane content in biogas (% CH ₄)	68 (3)	68 (5)

487 ^a Stand for significantly higher values between paired columns ($\rho = 0.01$)

488 **Table 6.** Energy assessment of microalgal biomass anaerobic digestion with and without
 489 hydrothermal pretreatment.

Parameter	Without thickening step		With thickening step (7.4% TS)	
	Control	Pretreatment	Control	Pretreatment
$E_{i,heat}$ (GJ/d)	6.87	12.83	1.80	3.29
$E_{i,electricity}$ (GJ/d)	0.78	0.78	0.20	0.20
$E_{i,centrifuge}$ (GJ/d)	-	-	3.31	3.31
E_o (GJ/d)	5.41	7.67	5.41	7.67
ΔE (GJ/d)	-2.24	-5.94	-0.38	0.01
E_o/E_i	0.71	0.56	0.93	1.00

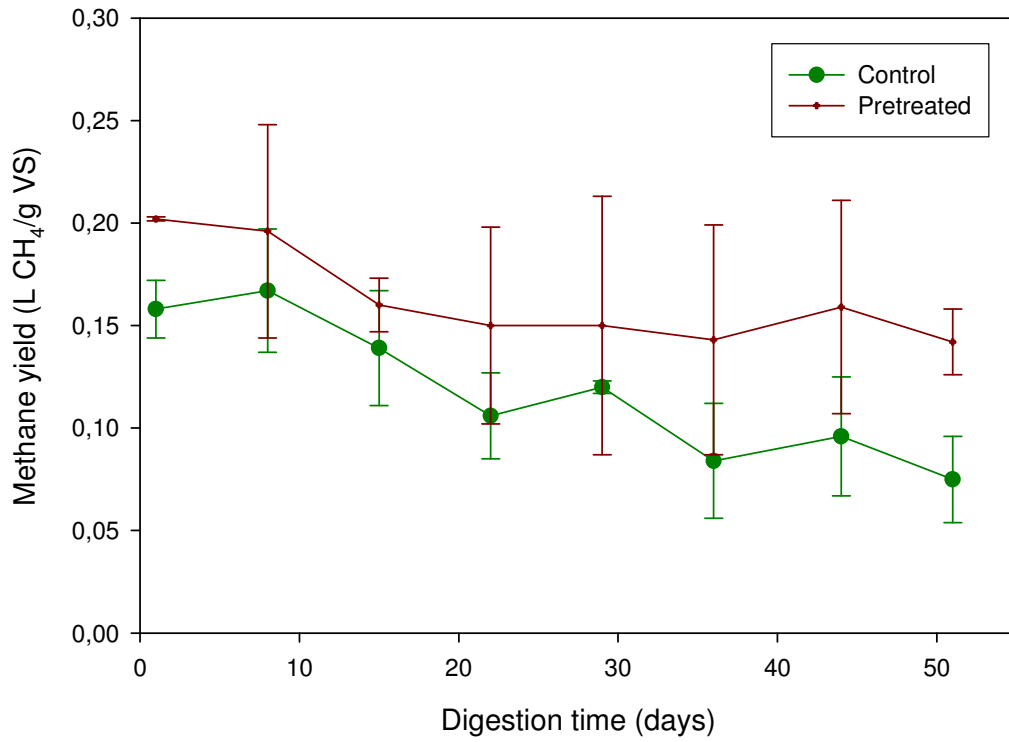
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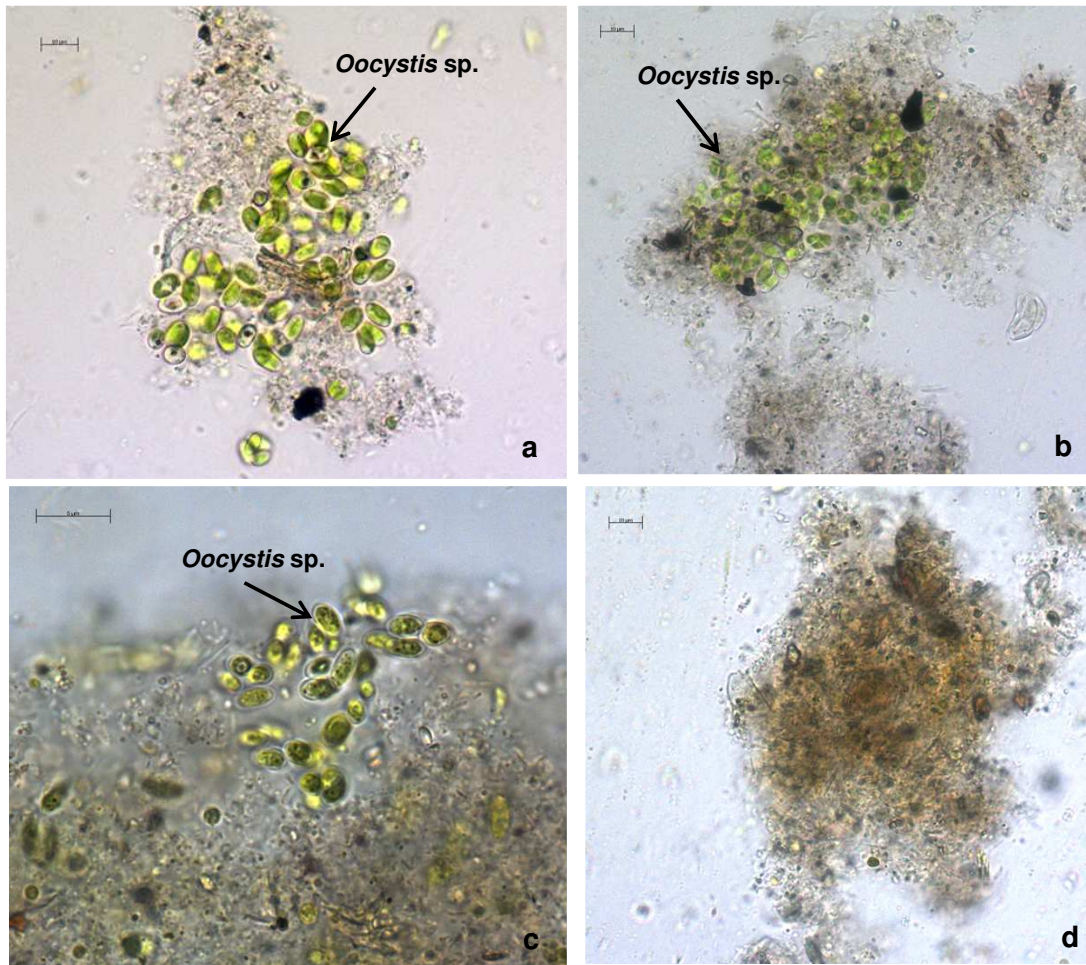
492 **Figure 1.** Accumulated methane yield of microalgal biomass after hydrothermal pretreatment. Note:

493 Error bars stand for standard deviation of BMP replicates.



494

495 **Figure 2.** Average methane yield (weekly values) of non-pretreated (control) and pretreated
 496 microalgal biomass anaerobic digestion. Note: Error bars stand for standard deviation of weekly
 497 averages.



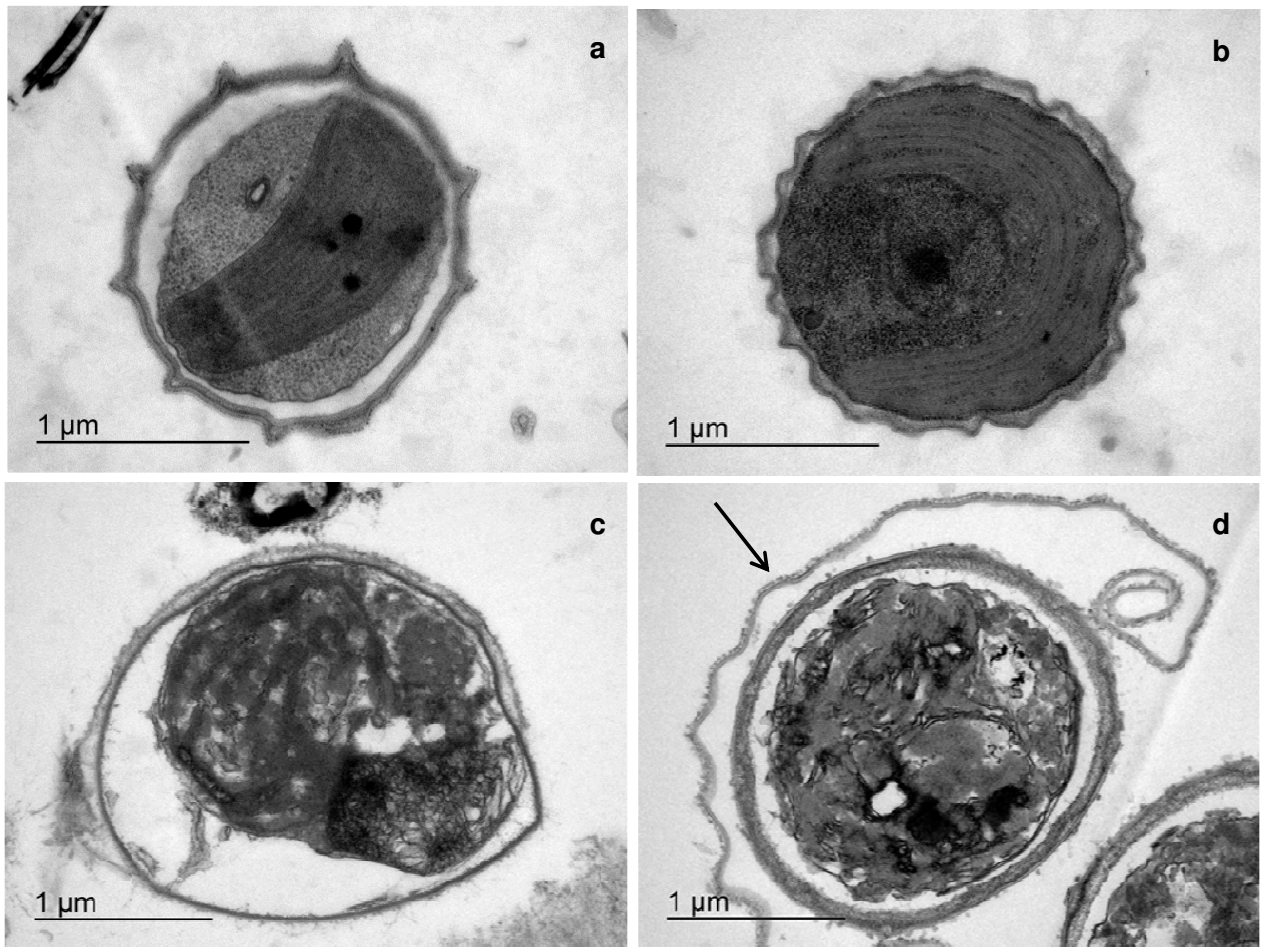
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Figure 3. Optical microscope images of *Oocystis* sp. before (a, c) and after (b,d) anaerobic digestion; the first row shows non-pretreated (a, b) and the second row pretreated microalgal biomass (c, d). Note: scale bar in Fig. 3c is 20 µm and not 5 µm.



502

503 **Figure 4.** TEM images of non-pretreated (a, b) and pretreated (c, d) *Oocystis* sp. The pretreatment

504

disrupted cell organelles (c) and the external layer of microalgae cell wall (d).