

# The Ocean Reanalyses Intercomparison Project (ORA-IP)

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## 49 **Synopsis**

50 Uncertainty in ocean analysis methods and deficiencies in the observing system are  
51 major obstacles for the reliable reconstruction of the past ocean climate. The variety of  
52 existing ocean reanalyses is exploited in a multi-reanalysis ensemble to improve the  
53 ocean state estimation and to gauge uncertainty levels. The ensemble-based analysis of  
54 signal-to-noise ratio allows the identification of ocean characteristics for which the  
55 estimation is robust (such as tropical mixed-layer-depth, upper ocean heat content),  
56 and where large uncertainty exists (deep ocean, Southern Ocean, sea-ice thickness,  
57 salinity), providing guidance for future enhancement of the observing and data  
58 assimilation systems.

## 60 **Author Biography**

61 Magdalena A. Balmaseda works in the research department of the European Centre for  
62 Medium Range Weather Forecasts (ECMWF) as principal scientist in the Marine Prediction  
63 Section. The work involves development of the ocean model and ocean initialization of  
64 operational forecasting systems (medium range, monthly and seasonal forecasts), as well as  
65 ocean reanalyses. She is a member of the GODAE Ocean View Science Team, and member of  
66 the CLIVAR Global Synthesis and Observation Panel (GSOP).

67

## 68 **Introduction**

69 There is increasing demand for historical records of the ocean climate<sup>1,2</sup>. These are  
70 needed as a reference for monitoring the current state of the climate, and also to  
71 initialize and validate long-range (e.g. seasonal and decadal) forecasts. Observations  
72 alone are often inadequate to generate the required estimate of the ocean variables.  
73 Ocean model simulations can provide some insight on the ocean variability, but they are  
74 affected by biases due to errors in model formulation, specification of initial states and  
75 forcing, and are not directly constrained by observations. Ocean reanalyses are the  
76 combination of ocean models, atmospheric forcing fluxes and ocean observations via  
77 data assimilation methods and have the potential to provide more accurate information  
78 than observation-only or model-only based ocean estimations.

79 The production of ocean reanalyses (ORAs hereafter) is now an established activity in  
80 several research and operational centres. ORAs are revisited every so often, and new  
81 'vintages' are produced at intervals of about five years, as improvements in ocean  
82 models, data assimilation methods, forcing fluxes or ocean observations become  
83 available. The previous vintage of ORAs (produced around 2006) has already been  
84 documented<sup>3,4</sup>. A new vintage has recently been generated, which has come about  
85 through the availability of new surface forcing fluxes (from new atmospheric  
86 reanalyses), improved quality-controlled ocean datasets, including important  
87 corrections to the observations<sup>5,6</sup>, as well as the steady improvement in the ocean  
88 models and data assimilation methods. There are lower resolution reanalyses (~1  
89 degree horizontal resolution), spanning a long time-period of typically 50 years, as well

90 as higher resolution products (about  $\frac{1}{4}$  of degree), available for shorter records, usually  
91 the altimeter period 1993-onwards.

92 Although new reanalysis vintages are produced relatively infrequently, some of the  
93 ORAs are continuously updated in quasi-real-time, with the model and data assimilation  
94 methodology kept fixed. This is the case for the ORAs produced in operational centres to  
95 initialize coupled forecasts. These real-time ORAs have the additional advantage that  
96 they allow monitoring of relevant climate variables<sup>7</sup>. The monitoring of the tropical  
97 Pacific conditions with a multi ocean reanalysis system (multi-ORA) is now a reality, as  
98 can be seen in the NCEP ocean monitoring pages (   
99 [http://www.cpc.ncep.noaa.gov/products/GODAS/multiora\\_body.html](http://www.cpc.ncep.noaa.gov/products/GODAS/multiora_body.html) )

100 In spite of the continuous improvements in methodology, the estimation of the historical  
101 ocean state with reliable error estimates is a major challenge. In addition to the  
102 estimation of the three-dimensional ocean state at a given time (the analysis problem),  
103 an ocean reanalysis also provides an estimation of the time evolution. The time  
104 evolution represented by an ORA will be sensitive to the temporal variations of the  
105 observing system, to the errors of the ocean model, atmospheric fluxes and assimilation  
106 system, which are often flow-dependent, and not easy to estimate<sup>8</sup>. All these factors  
107 contribute to the so-called structural uncertainty, i.e. the uncertainty associated with the  
108 methodology and that cannot be sampled with a single system. A crude but pragmatic  
109 way of estimating the current uncertainty in our ability to measure key ocean variables  
110 is to carry out an intercomparison of ORAs within the framework of a multi-reanalysis  
111 ensemble approach. For it to work, it is necessary that the individual components are  
112 sufficiently distinct while at the same time have similar levels of error (i.e. equally  
113 likely). The multi-analysis ensemble approach has already been successfully used to  
114 study the ocean heat content<sup>9,10</sup>, and to initialize seasonal<sup>11,12</sup> and decadal<sup>13,14</sup> forecasts.  
115 The ensemble approach is also used in the framework of the EU funded MyOcean  
116 project<sup>15</sup> using eddy-permitting reanalyses over the satellite period (1993-onwards).

117 The operational oceanographic community continuously carries-out coordinated inter-  
118 comparison of ocean forecasting systems<sup>16,17,18,19,20,21</sup>. In the same way, there is also  
119 need for routine coordinated evaluation of ORAs, which would exploit the existing  
120 information for a variety of purposes, namely i) quantifying uncertainty, ii) measuring  
121 progress in the quality of the reanalyses and iii) producing indices for ocean monitoring  
122 with associated error estimates. These are the motivations for the current Ocean  
123 Reanalyses Intercomparison Project (ORA-IP). This paper offers just a first glimpse of  
124 the emerging results, with focus on the benefits of the ensemble approach both to  
125 improve the estimation of the signals and to provide uncertainty ranges.

## 126 **The current ORA-IP project**

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128 The joint GODAE OceanView/CLIVAR-GSOP (Global Synthesis and Observation Panel)  
129 workshop in Santa Cruz (13-17 June 2011)<sup>22</sup> called for a community action on  
130 exploitation of the latest ORAs for real time climate monitoring and intercomparison.

131 Although the ultimate goal is the near real-time monitoring of the ocean through indices  
132 based on an ensemble of reanalyses, the first stage was to complete an ORA-IP. A viable  
133 proposal was put forward in Santa Cruz. The reanalyses producers were to provide  
134 relevant information (gridded fields of basic primary variables) in agreed formats and  
135 grids (where applicable), to enable the agreed intercomparison procedure to be carried  
136 out. A “processing centre” would take responsibility for the intercomparison of a  
137 particular variable in which they had a strong interest and expertise. The processing  
138 centres would analyse ensemble statistics based on the input from the individual  
139 reanalyses, and create relevant indices, metrics or graphics that could be directly  
140 compared.

141

142 *Table 1: List of ocean variables inter-compared, and responsible processing institution*

143 *Table 2: List of Ocean Reanalysis products entering the inter-comparison.*

144

145 Table 1 provides a list of the variables chosen for intercomparison. Table 2 lists the  
146 ORAs included in the study, and provides some details about the product name,  
147 associated institution, surface forcing, the ocean model, its resolution\*, assimilation  
148 method and observations assimilated. The real-time ORAs are shown in blue. The data  
149 assimilation column lists the observation types used for their estimation (T/S for  
150 temperature and salinity; SLA: altimeter-derived sea level anomalies; SSH: sea surface  
151 height -from tide gauges; SST: sea surface temperature, MDT: mean dynamic  
152 topography, SIC: sea-ice concentration), as well as assimilation techniques used for  
153 reanalysis: Optimal Interpolation (OI), Ensemble Kalman Filter (EnKF), Kalman Filters  
154 and Smoothers (KF-FS), Ensemble OI (EnOI), variational methods (3D-var and 4D-var).  
155 Some of the observational products also use statistical techniques such as Empirical  
156 Orthogonal Functions (EOFs). In addition to ORAs, the table also lists products named  
157 Obs-only (OO in what follows), meaning that they are observation-only products that do  
158 not include a dynamical ocean model. The OOs provide sea surface height (SSH) or its  
159 anomaly (SLA), and/or temperature and salinity (T/S) estimates, and sometimes 3D  
160 velocities (U,V), as in the case of ARMOR3D. The atmospheric surface forcing is usually  
161 provided by atmospheric reanalyses, using either direct daily fluxes, or different bulk  
162 formulations. Sometimes the atmospheric reanalysis forcing is corrected (suffix *corr* in  
163 Table 2), using a variety of methodologies. There are also systems that use fluxes from  
164 coupled data assimilation systems (Coupled DA), which come in multiple flavours  
165 (parameter estimation, EnKF, weakly coupled). The section on “Surface Heat Fluxes”  
166 below provides additional discussion. The detailed description of the analysis systems  
167 joining ORA-IP and their differences is beyond the scope of this paper. However, more  
168 details about the products can be found in the references given in the table.

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\* Even the low resolution models resolve the Equatorial Rossby Radius of deformation by including meridional grid refinement close to the Equator.

169 The production centres provided monthly-mean fields interpolated to the standard  $1 \times$   
 170  $1$  degree latitude-longitude grid used by the World Ocean Atlas 2009<sup>23</sup> (WOA09). Heat  
 171 and salinity content, their steric contribution, and assimilation increments of  
 172 temperature were provided as vertically integrated quantities from the surface down to  
 173 a number of depths: 0-100m; 0-300m; 0-700m; 0-1500m; 0-3000m; and 0-4000m.

174 The ORAs can be exploited, among other purposes, to assess the strengths and  
 175 weakness of the different systems, to identify of gaps in the observing systems, and to  
 176 identify robust quantities to use in climate monitoring.. The focus of the results  
 177 presented is to identify the commonalities and differences among the existing  
 178 reanalyses. To this end, a multi-system ensemble approach is followed, where the signal  
 179 and its associated uncertainty are measured by the ensemble mean  $EM(t)$  and the  
 180 ensemble standard deviation ( $ESD(t)$ ) respectively, defined as:

$$181 \quad EM(t) = \frac{1}{N} \sum_{k=1}^N X_k(t) \quad \text{and} \quad ESD(t) = \sqrt{\frac{1}{N-1} \sum_{k=1}^N (X_k(t) - EM(t))^2} \quad (1)$$

182 where  $X_k(t)$  denotes an individual reanalysis product. Let us denote the different  
 183 signals in a time series (mean, seasonal cycle, interannual variability, etc,...) as the action  
 184 of a temporal filter  $F$ , and  $EM^F(s)$  and  $ESD^F(s)$  the ensemble mean and ensemble  
 185 standard deviation of the filtered signal<sup>†</sup>. We define  $\sigma_{EM}^F$  as the temporal standard  
 186 deviation of the filtered ensemble mean  $EM^F(s)$ , and  $\sigma_{ESD}^F$  as the quadratic mean of  
 187 ensemble spread of the filtered  $ESD^F(s)$  as follows:

$$188 \quad \sigma_{EM}^F = \sqrt{\left( \frac{1}{M^F-1} \sum_{s=1}^{M^F} (EM^F(s) - \overline{EM^F})^2 \right)}; \quad \sigma_{ESD}^F = \sqrt{\left( \frac{1}{M^F} \sum_{s=1}^{M^F} (ESD^F(s))^2 \right)}, \quad (2)$$

189 with  $\overline{EM^F}$  the time mean of the filtered EM, and  $M^F$  is the number of independent  
 190 temporal samples in the filtered timeseries. The signal-to-noise ratio, defined as the  
 191 ratio  $\sigma_{EM}^F / \sigma_{ESD}^F$ , provides guidance on whether the estimation is robust. For instance,  
 192 estimations with signal-to-noise less than unity are usually not considered robust.

193 In what follows, we will use the term EM-ORA, EM-OO to refer respectively to the  
 194 ensemble mean of ORAs and OOs. The rest of the article presents a brief overview of the  
 195 preliminary results of the intercomparison of the variables listed in Table 1.

## 196 Heat Content

197 Monthly-mean depth integrated potential temperatures ( $K \cdot m$ ) were used in this study.  
 198 The vertically integrated temperature was converted to ocean heat content (OHC) per  
 199 unit of area by multiplying by reference values for density ( $1025 \text{ kg m}^{-3}$ ) and specific  
 200 heat capacity ( $3985 \text{ J Kg}^{-1} \text{ K}^{-1}$ ). This quantity, further integrated in the horizontal  
 201 global domain, and computed relative to a common reference period of 1993-2007, has  
 202 been used to estimate changes in the global OHC. Note that when the timeseries are  
 203 dominated by trends, the choice of reference period impacts the time evolution of the

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<sup>†</sup>Here  $s$  is a generic temporal index associated to the temporal filter.

204 spread among the timeseries<sup>‡</sup>. The apparent increase in spread among analyses during  
205 the 2000s is substantially reduced if one chooses the 2003-2007 reference period (not  
206 shown).

207 Time series of global OHC change (Fig. 1) show best agreement for the upper levels and  
208 the products start to diverge as the integration is carried out to deeper levels. The  
209 largest rates of 0-4000m OHC rise during the 1990s exceed  $3 \text{ Wm}^{-2}$  (expressed relative  
210 to Earth's surface area) for some products initialized in the early 1990s and cannot be  
211 considered physical. They are most likely artefacts of system spin-up or "shocks" related  
212 with introduction of the altimeter data. Trends over the period 2000-2009 for 0-4000m  
213 OHC give values between about 0.1 and  $0.8 \text{ Wm}^{-2}$ . The OOs products ARMOR3D and EN3  
214 are both near the upper end of this range. Ocean heat uptake below 300m appears to  
215 increase markedly in the early 2000s for most products<sup>54</sup>, qualitatively supporting the  
216 results from the ORAS4 system<sup>55</sup>, although there is still a large spread in the amplitude  
217 of the OHC, and spatial patterns of change below 300m vary among ocean data  
218 assimilation products (not shown). The OO products ARMOR3D and EN3 both show a  
219 similar signal of deep ocean heat uptake to ORAS4, illustrating that this signal is  
220 inherent to the observations<sup>54</sup>.

221 Fig. 1 also shows that the ensemble spread of the multi-ORA is larger than the ensemble  
222 spread of the ORAS4 system<sup>55</sup>. Whether this holds for other individual ensemble-based  
223 ORA would need to be evaluated. A more difficult question is whether the multi-ORA  
224 spread is a good estimator of the existing uncertainty. It appears similar to the spread  
225 obtained with observation-only estimations<sup>56</sup>.

## 226 Steric Sea Level

227 Steric sea level (SSL) refers to the change of sea level due to ocean density variations  
228 associated with thermal and haline expansion or contraction of sea-water. SSL rise is  
229 responsible for about 30% to 40% of the total sea level rise during the last decades,  
230 according to recent estimates<sup>57,58</sup>. The ORA-IP is being used to investigate the steric sea  
231 level variability, by: i) quantifying the global SSL, its uncertainty and consistency with  
232 respect to independent estimates; ii) assessing the regional SSL change and the  
233 agreement among ocean reanalyses; iii) quantifying the relative contributions of the  
234 thermal and haline components and iv) quantifying the relative contributions of  
235 different vertical depth ranges<sup>59</sup>. Some of these aspects are clearly related with the  
236 ocean heat content variations and with the attribution of sea-level changes, but are not  
237 discussed here. Instead, this section focuses on the performance of the EM-ORA  
238 compared to EM-OO.

239 SSL can be diagnosed in two different ways: i) as normalized vertical integration of  
240 density anomalies (SSL-density), and ii) as the differences between sea-level and  
241 bottom pressure anomalies (SSL-residual). The latter is not easy to infer from models,  
242 which are volume-preserving by virtue of the Boussinesq approximation<sup>60</sup>. Instead,  
243 temperature and salinity monthly means from the ORA and OO products, containing  
244 information from in-situ observations, are used to diagnose SSL-density. Satellite-  
245 products are used to derive SSL-residual, thus providing an independent validation data

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<sup>‡</sup> In the case of linear trends, the spread will increase with the distance to the center of the reference period.

246 set. Here we use monthly means of altimetric sea-level anomaly (from AVISO) minus  
247 gravimetric ocean bottom pressure anomaly (from GRACE RL05<sup>61</sup>, available from 2005).

248 The top-left panel of Fig.2 depicts the 2005-2009 map of temporal anomaly correlations  
249 between SSL-density from EM-ORA and SSL-residual (altimetry minus gravimetry). The  
250 high values of the correlation suggest high consistency in SSL between two independent  
251 estimates of SSL over most of the Global Ocean. In the Southern Ocean, south of  
252 approximately 60S, where the availability of in situ observations is poor, the correlation  
253 is lower. The top-right panel shows the temporal anomaly correlations, calculated after  
254 the seasonal signal has been removed (i.e. inter-annual signal retained). Although  
255 removing the seasonal cycle decreases the correlation value (especially in the Atlantic  
256 Ocean and at high latitudes), EM-ORA still exhibits high correlations for the inter-annual  
257 signal in the tropical areas and at mid-latitudes.

258 The correlation between SSL-dens and SSL-residual is higher for EM-ORA (0.84) than  
259 for any individual product (0.77 at the maximum), and also higher than for EM-OO  
260 (0.74, not shown). The latter is especially evident in areas where the in-situ observing  
261 network is poor and/or where there is impact of deep and bottom waters. The bottom  
262 panels of Fig. 2 show the difference of the anomaly correlation with respect to the  
263 validation dataset between the EM-ORA and the EM-OO for the full (left) and inter-  
264 annual (right) signals. The high correlation obtained by EM-ORA emphasizes the added  
265 value of the dynamical constraints and atmospheric forcing included in the ORAs. This is  
266 evident in the full fields (in the Southern Ocean, in the South Atlantic and just south of  
267 the Bering Strait), and especially noticeable for the inter-annual signal.

268 Although the EM-ORA proves to be a good estimator of total steric height, uncertainty  
269 still remains regarding the partition into thermal and haline components, and the  
270 contribution of different depth ranges. Preliminary results over a longer  
271 intercomparison period (1993-2009)<sup>59</sup> show a large spread regarding the contribution  
272 of deep layers (below 700 m of depth) to SSL trends, with a low signal-to-noise ratio in  
273 the trend estimation of less than 1.

## 274 **Sea Level**

275 The sea level from the ORAs in Table 2 and two OO products (ARMOR3D and LEGOS)  
276 have been evaluated. (The sea level ARMOR3D is effectively the delayed gridded  
277 AVISO<sup>52</sup> product, also called DUACS). This comparison focuses mainly on the  
278 interannual variability and regional distribution of the trend, and it uses globally-  
279 detrended monthly means of sea level anomalies. For each product, the seasonal cycle  
280 was removed at each location of the ocean domain. The global mean sea level for each  
281 month was also removed.

282 Two reference data sets have been used for the evaluation: sea level from tide gauges,  
283 and the newly reprocessed altimeter-derived sea level from the ESA Climate Change  
284 Initiative (SLCCI)<sup>53</sup>. The latter is a gridded dataset where the original altimeter data has  
285 been reprocessed with improved algorithms (orbit, wet tropospheric corrections,  
286 among others) and ancillary data (using improved atmospheric fields for instance) in  
287 order to produce consistent time series of sea level for climate studies. SLCCI has not  
288 been assimilated by any of the products in Table 2, although many of these products  
289 assimilate along-track satellite altimetry (usually AVISO). Only two products (ECCO-v4  
290 and LEGOS) use information from tide gauges.

291 The tide gauges used for the evaluation are the same as the subset from the Global Sea  
292 Level Observing System (GLOSS, see <http://www.gloss-sealevel.org/>) chosen for  
293 evaluation of sea level reconstructions<sup>41</sup>. Monthly means of sea-level anomaly at the tide  
294 gauge locations were created after removing the effects of tides and inverse barometer  
295 from the original tide gauge data. This allows a relevant comparison with sea level  
296 anomalies from the reanalysis products because tides and inverse barometer are not  
297 represented in the reanalysis products. The ORAs and OOs were spatially interpolated  
298 to the tide gauge locations. All the time series involved in the analyses were detrended  
299 at each location by removing the product-specific local linear trend.

300 The comparison with tide gauges appears in the top panels of Fig.3. The statistics are for  
301 the period 1993-2009. Fig. 3a shows the scatter diagram for the individual products  
302 (top-left), with the temporal correlation (x-axis) and the rms error (cm, in the y-axis). A  
303 large scatter in the scores is seen among different products, with the best fit generally  
304 obtained by the products assimilating SLA, and in particular by those with higher  
305 horizontal resolutions, with scores comparable to those obtained by the altimeter-  
306 derived SLCCI and AVISO. This result indicates that not all the ORAs are equally likely,  
307 and therefore the grand ensemble mean may not be appropriate to estimate coastal sea-  
308 level variations. In this case, the ensemble approach is limited to those products that  
309 assimilate altimeter EM-ORAalti. Fig. 3b shows the correlation map between tide  
310 gauges and EM-ORAalti. Even with the reduced ensemble, the correlation is higher in  
311 the open ocean than in the continental shelves, and it appears higher in the tropics than  
312 at higher latitudes.

313 A different application of the multi-ORA ensemble is the definition of climate indices  
314 relevant for regional climate monitoring, which is illustrated in the following (although  
315 more work is needed to define relevant indices). The sea level variability averaged over  
316 the Eastern North Tropical Pacific region (0-12°N, 84-108°W) has been chosen as an  
317 example, because although different from the traditional equatorial El Niño index, it  
318 reflects the impact El Niño in the Western Coast of Mexico related with the coastal  
319 propagation of Kelvin waves. In this case, ESACCI is used as validation data set. All  
320 products show a coherent interannual variability (Fig. 3d), even when altimeter data  
321 are not assimilated, and there is very small spread around EM-ORA (black). The  
322 variability is dominated by the El Niño 1997-98, and a significant negative trend of ~3-4  
323 mm/y, consistent with the lack of Eastern Pacific ENSO in the last decade, and with the  
324 recently reported strengthening of the Pacific trade winds<sup>55,62,63</sup>. Fig. 3c shows the  
325 corresponding Taylor diagram for the different ORAs and OOs averaged over this  
326 region. In contrast with the tide-gauge evaluation, here the scores of the ORAs versus  
327 SLCCI are quite similar (sixteen of the nineteen products show correlations higher than  
328 0.95 and rms differences lower than 1.5 cm). The smallest rms error (around 0.5 cm  
329 rms error, y-axis in Taylor diagram) is achieved by the EM-ORA. The EM-ORA score is  
330 comparable to that achieved by the best members (which assimilate satellite altimetry)  
331 and by AVISO, and is larger than 0.99. EM-ORA has a weaker signal than SLCCI (4.8  
332 instead of 5.2 cm of standard deviation, x-axis in Taylor diagram), a natural  
333 consequence of the ensemble averaging.

334 In other areas, like the North Atlantic (not shown), there are more discrepancies among  
335 the reanalysis products and weaker signal-to-noise ratios. Discrepancies can arise from  
336 different choices in the assimilation systems<sup>64</sup>. It has been shown that products  
337 assimilating altimeter data can be distinguished from those that do not. The different



338 methods used to assimilate altimeter information can also introduce spread. For  
339 instance, the altimeter can be used to constrain only the baroclinic mode, or only the  
340 barotropic mode, or to constrain the fresh water budget, or the three aspects  
341 simultaneously. The altimeter can be assimilated in anomaly mode (using anomaly  
342 values relative to a reference period) or using the absolute values (which implies the  
343 use of an external mean dynamic topography (MDT), which differs between systems).  
344 The ORA-IP can be used to gain insight into the sensitivity arising from the assimilation  
345 methods, but this is beyond the scope of this paper.

## 346 **Surface Heat Fluxes**

347 The purpose of this comparison is to assess the global heat closure in ORAs, the  
348 consistency of the seasonal cycle and interannual variability between the products, and  
349 to compare with other heat fluxes from a variety of sources (primarily satellite, ships,  
350 buoys and atmospheric reanalysis). These other sources are not completely  
351 independent (with the exception of satellite based radiative fluxes) because they may  
352 also use SST or near surface meteorological data to generate products. Nevertheless,  
353 they enable some assessment of the uncertainty introduced by the reanalysis methods  
354 themselves. Additional datasets include the OAFflux latent and sensible heat flux  
355 product<sup>65</sup> combined with ISCCP satellite based radiation<sup>66</sup>, the ship-based NOC2.0  
356 product<sup>67</sup>, the Large and Yeager<sup>68</sup> hybrid flux dataset CORE.2, and two atmospheric  
357 reanalysis products, the ECMWF ERA-Interim reanalysis<sup>69</sup> (referred to as ERAi) and the  
358 NCEP/DOE reanalysis R2<sup>70</sup> (referred to as NCEP-R2).

359 Most ORAs are forced with bulk formulae using an atmospheric dataset taken from an  
360 atmospheric reanalysis product. In these cases, assimilation of sea surface temperature  
361 (SST) observations directly influences the net surface heat flux, as the turbulent latent  
362 and heat fluxes, computed from bulk formulae, and the outgoing long wave radiation,  
363 computed using the Stefan-Boltzmann Law, depend on the SSTs. The ORAs can also  
364 close their heat budget through the temperature assimilation increments, since the  
365 vertically integrated temperature assimilation increments, with the appropriate unit  
366 transformation, are equivalent to a heat flux<sup>55</sup>. Fig. 4 shows the 17-yr mean globally  
367 integrated heat fluxes for 15 individual ORAs and for the ensemble mean, as well as for  
368 the other global flux products. The interannual variability over the same period is  
369 shown by the error bars. Most ocean reanalyses have a positive surface imbalance  
370 (mean net surface heat flux into the ocean), usually considerably smaller than for the  
371 observational products, e.g., ISCCP/OAFflux and NOC2.0, and smaller than for  
372 atmospheric reanalyses in some cases. The largest interannual variability is seen for the  
373 PEODAS product which uses ERA-40<sup>71</sup> forcing fields until 2002, and NCEP-R2 based  
374 forcing thereafter. Interannual variations over 1993-2009 are only  $\sim 1 \text{ Wm}^{-2}$  for the  
375 ensemble of 15 flux estimates. The contributions from the assimilation increments are  
376 mostly negative (removing heat from the ocean on the global average), resulting in a  
377 reduction of the net heat flux. The total net heat flux applied (i.e., surface plus  
378 assimilation) is still positive and mostly smaller than  $\pm 2 \text{ Wm}^{-2}$ , consistent with reported  
379 warming in global ocean heat content<sup>42,55,56</sup>.

380 The seasonal cycle in surface heat fluxes closely agrees in most regions between the  
381 reanalysis products (not shown), with monthly spreads generally being smaller than  
382  $10 \text{ Wm}^{-2}$  over most of the global ocean, exceptions being the subpolar gyres, the  
383 Southern Ocean and some eastern subtropical basin areas<sup>72</sup>. Interannual signal-to-noise

384 ratios for the surface heat fluxes over the period 1993-2009 show strong signals (2+) in  
385 the ENSO affected regions and perhaps some signals at higher latitudes, but with  
386 signal/noise  $\sim 1$ , longer analysis periods may be needed to identify this variability more  
387 clearly. Regional comparisons are being extended to include individual flux components  
388 (representing radiative and turbulent transfers), and also validation against in situ flux  
389 measurements at a number of OceanSITES moorings<sup>72</sup>, which provide an independent  
390 check that is not reliably gained from any other source.

### 391 **Mixed Layer Depth**

392 Mixed layer depth (MLD) is one of the most important variables for both the dynamical  
393 process of climate variation and for biogeochemistry. Intercomparison of the seasonal  
394 to interannual variability in the global MLD provides a useful gauge of the value of ORAs  
395 for the study of climate variability.

396 The MLD used in this study is defined as the depth where potential density exceeds the  
397 10-m depth value by  $\Delta \rho = 0.03$  or  $0.125 \text{ kg m}^{-3}$  (MLDr003/MLDr0125). Similarly, the  
398 isothermal layer depth (ILD) is defined as the depth where potential temperature  
399 differs from the 10-m depth value by  $\Delta T = 0.2$  or  $0.5 \text{ }^\circ\text{C}$  (ILDt02/ILDt05). Different  
400 criteria are used because it is not easy to find a unique threshold that defines the mixed  
401 layer depth at all latitudes. As MLD/ILD verification we use the MILA-GPV<sup>73</sup> and  
402 deBoyer<sup>74</sup> datasets, also estimated from the individual TS profiles, following the  
403 definitions above. In particular, MILA-GPV uses only the Argo profiles without  
404 interpolation between grid points, although the spatio-temporal coverage of the dataset  
405 is limited. deBoyer provides the monthly climatological fields (MLDr003 and ILDt02).

406 The MLD/ILD are calculated from monthly-means of temperature-salinity (TS) fields on  
407 the individual native grids of three OO products (EN3v2a, ARMOR3D, WOA09) and 16  
408 ORAs; these are then interpolated to the regular global longitude-latitude common grid.  
409 EM-ORA is estimated as the ensemble average of individual MLD/ILD on the common  
410 grid (this will differ from the MLD/ILD calculated from the ensemble mean of TS). The  
411 MLD/ILD from the individual ORAs exhibit various biases in the mean fields depending  
412 on the diversity of model configurations and assimilation systems (not shown). Here we  
413 focus on the evaluation of EM-ORA rather than on the detailed representations of the  
414 individual reanalysis fields, which will be described in future work.

415 Fig. 5 presents the zonal-mean monthly MLD/ILD normalized differences of EN3v2a,  
416 ARMOR3D, deBoyer, WOA09 and EM-ORA with respect to the MILA-GPV as reference.  
417 Note that values averaged over the Argo-rich 2005-2011 period are plotted for MILA-  
418 GPV, EN3v2a, ARMOR3D and EM-ORA, while the climatological fields are provided by  
419 deBoyer and WOA. The differences between deBoyer and MILA-GPV (MLDr003 and  
420 ILDt02) are generally small, since MILA-GPV and deBoyer are comparable datasets that  
421 use individual TS profiles. The larger differences appear in high latitudes, where the  
422 availability of ocean observations is limited. MLDs/ILDs for EN3v2a and ARMOR3D are  
423 biased-shallow due to the use of gridded and monthly mean TS fields. ILDt02s in WOA  
424 are 20 to 40% shallower than MILA-GPV globally, due to the use of the climatological TS  
425 field<sup>74</sup>. Using larger values for criterion ( $\Delta \rho = 0.125 \text{ kg m}^{-3}$  and  $\Delta T = 0.5 \text{ }^\circ\text{C}$ ) reduces  
426 the shallow biases. The shallow biases in MLDr0125 and ILDt05 for EN3v2a and  
427 ARMOR3D are generally less than 20% except at high latitudes. We found that a large  
428 portion of these shallow biases result from the coarser vertical resolution of the OO

429 gridded TS products at relevant depths compared with the model based reanalyses<sup>75</sup>.  
430 Model biases do cancel in most areas in the EM, although large positive biases remain in  
431 regions where common biases are well known from coarse resolution models<sup>76</sup> (e.g., the  
432 Kuroshio Extension and Antarctic Circumpolar Current regions). In addition, ILDt05  
433 values from WOA, EN3v2a, ARMOR3D and EM-ORA are commonly larger than those  
434 from MILA-GPV in the subarctic regions and Southern Ocean around spring. This is  
435 likely due to the fact that MILA-GPV is the only product that does not use monthly  
436 means of TS when deriving MLD/ILD. This specific topic will be described in future  
437 work.

438

### 439 **Salinity in the top 700m**

440 Salinity variability has a significant impact on the density structure and dynamics of the  
441 ocean. However, it is only in the past few years that assimilation of salinity has received  
442 attention, largely because of the advent of Argo (see <http://argo.jcommops.org>, which  
443 has significantly improved the sampling of the global ocean salinity), and because of its  
444 importance in obtaining balanced ocean states. For instance, recent studies on seasonal  
445 forecasts<sup>77,78</sup> demonstrate that the assimilation of salinity observations results in  
446 improving ocean states density and T/S properties, resulting in better ENSO prediction.

447 This study evaluates the averaged salinity in the top 700m of the ocean (S700) as  
448 represented by the EM-ORA and compared it with the EM-OO. As discussed, the ESD-  
449 ORA gives an indication of uncertainty, and the signal-to-noise ratio provides guidance  
450 on where the signal measured by the ensemble mean dominates over the noise  
451 measured by the ensemble spread.

452 Fig. 6a shows the difference of annual mean S700 between EM-ORA and EM-OO in Table  
453 2 over the period 1993-2010. The difference is largest ( $\sim 0.2$  psu) in regions of strong  
454 frontal variability such as the Gulf Stream, Southern Ocean along the Antarctic  
455 Circumpolar Current (ACC) region, and to a lesser extent the Kuroshio region. In the  
456 tropics the difference is generally less than 0.05 psu.

457 Fig. 6b shows the ESD-ORA of the S700 1993-2010 mean (or  $\sigma_{ESD}^M$ , where  $M$  denotes  
458 1993-2010 temporal mean). In general the largest spread, up to 0.15 psu, is also  
459 associated with the areas of strong variability or greatest mean difference compared to  
460 the EM-OO analyses. Around most of the ACC, the ESD-ORA is just large enough to  
461 encompass the large differences between EM-ORA and EM-OO. The spread is relatively  
462 large in the eastern equatorial Atlantic and the western equatorial Indian Ocean, where  
463 the spread reaches up to 0.1 psu

464 Fig. 6c shows the correlation of S700 interannual anomalies between the EM-ORA and  
465 EM-OO for the period 1993-2010. Correlations are relatively high, greater than 0.75, in  
466 the equatorial and sub-equatorial Pacific, particularly in the centre and west. They are  
467 also high in the eastern equatorial Indian Ocean, and throughout parts of the sub-  
468 tropical and mid-latitude oceans. Correlations are relatively low, less than 0.5, around  
469 the northern edge of the ACC, Western Indian Ocean and parts of the sub-tropical  
470 Atlantic, particularly downstream of the Mediterranean outflow. Each individual ORA  
471 can be correlated with the EM-OO. Then the spread in this correlation gives an  
472 indication of the disagreement in the estimate of variability between the different

473 systems. This is shown in Fig. 6d. There is some correspondence between areas with  
474 large spread and low correlation in Fig.6c, e.g., the northern edge of the ACC in the  
475 Pacific Sector and the northern part of the tropical Atlantic. Equally, the high correlation  
476 in the Tropical Pacific, Eastern Indian Ocean, North East Pacific and North East Atlantic,  
477 where the spread is low, is indicative of consistency between the different estimates.  
478 The Southern Ocean is an exception, presenting relatively large values of the correlation  
479 and large values of spread.

480 Fig.6e shows the standard deviation of the interannual S700 anomalies (seasonal cycle  
481 removed) of EM-ORA ( $\sigma_{EM}^I$ , where *I* stands for “interannual”). This gives an estimate of  
482 the amplitude and geographical distribution of the S700 interannual signal, which  
483 appears highest in subduction areas close to the edge of strong boundary currents. It is  
484 also high in the western equatorial Pacific and central Indian Ocean, probably  
485 associated with changes in the fresh-water fluxes. Fig. 6f shows the spread in the S700  
486 monthly anomalies of the ORAs (or  $\sigma_{ESD}^I$ ). The spread is largest in the sub-tropics and  
487 mid-latitudes, particularly associated with western boundary currents and the Southern  
488 Ocean. In the western boundary current regions and parts of the Southern Ocean it  
489 exceeds 0.1 psu. The signal-to-noise ratio is greater than 1 in the equatorial west Pacific,  
490 central Indian Ocean and small regions of the mid-latitude ocean. However, over most of  
491 the oceans the signal-to-noise ratio is less than 1.<sup>79</sup>

492 An interesting question arising from this study is why the spread appears largest in the  
493 Gulf Stream than in other western boundary currents. One possible explanation is  
494 related to the stronger salinity fronts in this region, such that small variations in the  
495 Gulf Stream path can produce strong salinity anomalies. But other factors can  
496 contribute as well, such as the uncertainty associated with deep-water formation, sea  
497 ice, and a larger uncertainty in the representation of the Gulf Stream path itself  
498 (compared with other western boundary currents). The uncertainty introduced by the  
499 assimilation method cannot be discarded either, and it would be interesting to evaluate  
500 the uncertainty pattern of ocean-model simulations, as well as that of individual  
501 ensemble-based data assimilation systems.

## 502 **Depth of 20°C Isotherm**

503 Variations in the thermocline depth are associated with major modes of tropical climate  
504 variability. The depth of the 20°C isotherm (D20) has been considered as part of this  
505 intercomparison project as a proxy for thermocline depth and variability in the tropical  
506 oceans. D20 monthly means from the different ORAs in Table 2 and from two OO  
507 products (EN3v2a and ARMOR3D) have been used.

508 The absolute value of D20 depends on the vertical discretisation of the model used in  
509 each reanalysis. Most of the products have between 16-25 levels in the upper 200-m-  
510 depth. There is the small group of eddy-permitting, NEMO based reanalyses,  
511 characterized by high vertical resolution of the upper ocean (1-m in the first level, then  
512 31 levels for the first 200-m depth). There is also some ambiguity regarding the  
513 definition of D20 monthly means included in the evaluations: these can be either  
514 “monthly means of D20 from instantaneous values” or “the D20 from the monthly  
515 means of the temperature field”. In this preliminary diagnostics, different groups have  
516 used different methods.<sup>80</sup>

517 Fig.7 shows the spatial pattern of D20 in the EM-ORA (Fig.7a), the differences between  
518 the two OO products (Fig.7b), and the difference between EM-ORA and each of the OO  
519 products (Fig.7c and Fig.7d). On average, EM-ORA is shallower than the OO products in  
520 the centre of gyres, and deeper on both eastern and western boundaries of the ocean  
521 basins. There are also large differences at the western boundaries, especially along the  
522 Gulf Stream, which may be related with the misrepresentation of the path of western  
523 boundary currents by the models. However, differences along the western boundary  
524 currents are also large between the OO products EN3v2a and ARMOR3D (Fig.7b).  
525 Compared to the OO products, the D20 EM-ORA is slightly deeper in the Equatorial  
526 Indian, Atlantic and Eastern Pacific Oceans, and shallower in the Pacific Warm Pool. The  
527 reasons for this unexpected difference will be investigated in future work.

528

## 529 **Sea-Ice**

530 Several studies have suggested that sea ice thickness may be a predictor for seasonal  
531 sea ice extent<sup>81,82</sup>. This highlights a weakness in almost all ice forecasting systems in  
532 that they don't include the explicit assimilation of ice thickness observations. Moreover,  
533 it remains to be seen how the predictability of the seasonal ice cover depends on the  
534 representation of various physical processes and model details, such as spatial  
535 resolution and the inclusion of an ice thickness distribution. By intercomparing various  
536 properties of the sea ice cover in existing ice-ocean reanalyses, it may be possible to  
537 highlight deficiencies and best practises in these systems toward answering the  
538 question: Are current ice-ocean reanalyses suitable for initializing seasonal forecasts of  
539 the ice cover? Here we present preliminary results from this intercomparison.

540 The ice-ocean reanalyses considered here use a variety of model resolutions, physics  
541 and analysis methods. Reanalysis details are provided in table 2. For the ECMWF  
542 reanalysis system, two additional versions of the system were considered whereby only  
543 the method of ice assimilation was varied (ERAL-linear, ERAN-non-linear<sup>83</sup>; note that  
544 these products do not appear in Table 2).

545 Sea ice models used here include two community models, the Los Alamos Community  
546 Ice model (CICE<sup>84</sup>) and the Louvain sea Ice Model (LIM<sup>85</sup>), as well as independently  
547 developed models. While these models and their particular implementation details may  
548 vary widely, an important distinction is the representation of the ice thickness  
549 distribution. Some models include a sophisticated multi-category approach, while  
550 others use a single ice category. This different treatment of ice thickness impacts both  
551 ice dynamics and thermodynamics<sup>86</sup>.

552 Another important distinction is in the application of ice assimilation. Many systems  
553 employ a simple nudging of ice concentration toward a gridded ice analysis product  
554 (e.g. OSISAF, NSIDC), while a few systems use more sophisticated ice assimilation  
555 methods (e.g. 3DVar, SEEK). However, perhaps the most important aspect of ice  
556 assimilation is in how the increments to concentration affect ice thickness. Two systems  
557 (ECMWF and Mercator) supplied different versions of their reanalyses with/without ice  
558 assimilation and the impact on ice thickness is non-negligible, albeit unconstrained (not  
559 shown).

560 Fig. 8 shows an example highlighting the large range of mean ice thicknesses found for  
561 the various ice-ocean reanalyses in March 2007. Also shown is a satellite estimate of the  
562 ice thickness derived from ICESat<sup>87</sup> for February/March 2007. In general, the reanalysis  
563 products all exhibit the basic feature of thicker ice cover north of the Canadian Arctic  
564 Archipelago and Greenland as seen in the observations, albeit to a varying degree.  
565 However, the thickness of ice in the central Arctic and along the Siberian coast varies  
566 widely. In particular, the reanalyses tend to cluster toward either overly thin ice (~1m)  
567 or overly thick ice (>3m), with perhaps only one or two showing realistic thicknesses of  
568 about 2m. These differences are larger than interannual variations and are on the scale  
569 of the decadal thinning of the ice cover (not shown). The relative contribution of the  
570 various factors (e.g. model physics and resolution, atmospheric forcing, data  
571 assimilation) that may be contributing to these differences is a topic of on-going study.  
572 Such large biases may limit the usefulness of these products for seasonal forecasting.

### 573 **Summary**

574 This paper presents the first results of the ORA-IP, which aims at exploiting the  
575 diversity of existing ocean reanalyses to identify those aspects that are robustly  
576 represented by the different products and those where there is a large level of  
577 discrepancy. The agreement can be exploited to define indices for monitoring or  
578 verification, while the discrepancies point towards areas for future enhancement of  
579 assimilation and observing systems. The paper also illustrates the use of independent  
580 evaluation metrics to measure the quality of the ensemble mean and individual  
581 products, thus providing guidance on the adequacy of the ensemble approach.

582 The intercomparison has focused on a small set of ocean variables, interpolated into a  
583 common horizontal grid, and for a limited set of vertical levels (when applicable). The  
584 intercomparison period is mainly 1993-2010, although shorter periods are also used.  
585 Where relevant (mixed layer, ocean heat content, steric height, sea level, salinity and  
586 thermocline depth) the ensemble mean of the ocean reanalyses was compared with  
587 observation-only estimates, to assess if the model-derived estimates show any  
588 systematic differences from the observation-only estimates. The ensemble spread is  
589 also used as a measure of the existing uncertainty.

590 It is shown that in general the ensemble mean is usually a better estimation than any  
591 individual ocean reanalyses. However, in the case of coastal sea level variability, the  
592 evaluation with tide-gauge data indicates that ORAs with high-resolution models and  
593 assimilation of altimeter are more skilful, and the scores are better when using a sub-  
594 ensemble including the subset of best ORAs instead of the grand ensemble.

595 Systematic differences between OOs and ORAs are largest in the tropics, where model-  
596 physics and the wind variability are key assets for the ORAs. These differences are seen  
597 in the thermocline and mixed layer depth. In addition, the ensemble of ORAs performs  
598 better than the OO products in the estimation of steric height variability at seasonal and  
599 interannual variability time scales in the Atlantic and outside the tropics.

600 The surface heat flux estimates from ocean reanalyses were compared with other  
601 products, mostly based on atmospheric reanalyses. Although large uncertainty still  
602 exists, the ocean reanalyses global surface heat fluxes appear more balanced than the  
603 atmospheric-based products, especially when the contribution of the assimilation

604 increments is taken into account. The results suggest that data assimilation methods  
605 and ocean observations can contribute to the estimation of surface heat fluxes.

606 The estimation of interannual variability of salinity continues to be a challenge. Signal-  
607 to-noise ratios larger than one are confined to the tropical western Pacific, dominated  
608 by the ENSO signal. This was the case in the previous intercomparison of reanalyses  
609 (circa 2006)<sup>3,4</sup>, and continues to be so now, in spite of the increased salinity  
610 observations in recent years. More work is needed to establish the source of uncertainty  
611 (changing observing system - e.g. differences before and after Argo, forcing fields,  
612 assimilation methods and error specification).

613 The intercomparison of sea-ice showed a large uncertainty in the estimation of sea-ice  
614 thickness, which is largely unconstrained by the assimilation methods, highlighting the  
615 need for observations of ice thickness for both assimilation and validation.

616 This ORA-IP has also identified areas where the uncertainty is large, thus providing a  
617 focus for future developments in the observing system and modelling/data assimilation.  
618 The deep ocean (below the top few hundred metres), the Southern Ocean (Antarctic  
619 Circumpolar Current region), coastal areas and the path of western boundary currents  
620 appear as the areas with largest uncertainty in the density, temperature and salinity  
621 fields. Not only are the differences between ORAs and OO products the largest, but there  
622 is also a large spread among ORAs (as expected from model error), and among OOs  
623 (likely because observation representativeness errors are large). These are also  
624 important areas for climate.

625 It is clear that we are still a long way from providing ocean estimations that can answer  
626 satisfactorily many fundamental questions, and that continuous development of the  
627 assimilation and observing system is needed. In the meantime, the multi-model  
628 ensemble strategy is a pragmatic approach to exploit the current resources. It is also  
629 clear that the evaluation of successive vintages of ocean reanalyses should be a  
630 continuous process, since it is needed to assess progress and to identify gaps, thus  
631 contributing to setting the directions for future developments.

632

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905 **Tables**

906 *Table 1: List of ocean variables inter-compared, and responsible processing institution*

Variable	
Ocean Heat Content	MetOffice
Steric Height	CMCC
Sea Level	Mercator Ocean
Surface Heat Fluxes	University Reading
Mixed Layer Depth	MRI/JMA
Salinity	CAWCR
Depth of 20 degree Isotherm	Mercator Ocean
Sea Ice	Env Canada

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908 *Table 2: List of Ocean Reanalysis products entering the inter-comparison.*

Product	Forcing	Configuration	Data Assim. Method
<b>ARMOR3D</b> <sup>24,25</sup> CLS	N/A	1/3° Obs-Only (T/S/SSH/U/V)	<i>OI (SLA/MDT/T/S/SST)</i>
<b>CFSR</b> <sup>26,27</sup> NOAA NCEP	Coupled DA	1/2° MOM4 coupled	<i>3DVAR (T/SST/SIC)</i>
<b>C-GLORS05V3</b> <sup>28</sup> CMCC	ERAi corr+ Bulk	1/2° NEMO3.2	<i>3DVAR (SLA/T/S/SST/SIC)</i>
<b>ECCO-NRT</b> <sup>29</sup> JPL/NASA	NCEP-R1+ CORE Bulk	1° MITgcm	<i>KF-FS (SLA/T)</i>
<b>ECCO-v4</b> <sup>30,31</sup> MIT/AER/JPL	ERAi+CORE Bulk	1° MITgcm	<i>4DVAR (SLA/SSH/T/S/SST)</i>
<b>EN3 v2a</b> <sup>32</sup> Hadley Center	N/A	1° Obs-Only (T/S)	<i>OI (T/S)</i>
<b>GECCO2</b> <sup>33</sup> U. of Hamburg	NCEP-R1+Bulk	1°x1/3° MITgcm	<i>4DVAR (SLA/T/S/MDT/SST)</i>
<b>ECDA</b> <sup>34,35</sup> GFDL/NOAA	Coupled DA	1/3° MOM4 coupled	<i>EnKF (T/S/SST)</i>

<b>GloSea5</b> <sup>36,37</sup> <b>UK MetOffice</b>	ERAi+CORE Bulk	1/4° NEMO3.2	3DVAR (SLA/T/S/SST/SIC)
<b>MERRA Ocean</b> GSFC/NASA/GMAO	Merra + Bulk	1/2° MOM4	EnOI (SLA/T/S/SST/SIC)
<b>GODAS</b> <sup>38</sup> NOAA NCEP	NCEP-R2 Flux.	1°x1/3° MOM3	3DVAR (SST/T)
<b>GLORYS2V1(G2V1)</b> Mercator Océan	ERAi corr+CORE Bulk	1/4° NEMO3.1	KF+3DVAR (SLA/T/S/SST/SIC)
<b>GLORYS2V3(G2V3)</b> Mercator Océan	ERAi corr+ CORE Bulk	1/4° NEMO3.1	KF+3DVAR (SLA/T/S/SST/SIC)
<b>K7-ODA(ESTOC)</b> <sup>39</sup> JAMSTEC/RCGC	NCEP-R1 corr. Flux	1° MOM3	4DVAR (SLA/T/S/SST)
<b>K7-CDA</b> <sup>40</sup> JAMSTEC/CEIST	Coupled DA	1° MOM3 coupled	4DVAR (SLA/SST)
<b>LEGOS</b> <sup>41</sup> LEGOS	N/A	1/4° Obs-Only (SL)	OI+EOF (SLA/SSH)
<b>NODC</b> <sup>42</sup> NODC/NOAA	N/A	1° Obs-only (T/S)	OI (T/S)
<b>PEODAS</b> <sup>43</sup> CAWCR(BoM)	ERA40 to 2002; NCEP-R2 thereafter. Flux	1°x2° MOM2	EnKF (T/S/SST)
<b>ORAS4</b> <sup>44,45</sup> ECMWF	ERA40 to 1988; ERAi thereafter. Flux.	1° NEMO3	3DVAR (SLA/T/S/SST)
<b>MOVE-C</b> <sup>46</sup> MRI/JMA	Coupled DA	1° MRI.COM2 coupled	3DVAR (SLA/T/S/SST)
<b>MOVE-G2</b> <sup>47</sup> MRI/JMA	JRA-55 corr+ Bulk	0.5°x1° MRI.COM3	3DVAR (SLA/T/S/SST)
<b>MOVE-CORE</b> <sup>48,49</sup> MRI/JMA	CORE.2 Bulk	0.5°x1° MRI.COM3	3DVAR (T/S)
<b>SODA</b> <sup>50</sup> U. of Maryland and TAMU	ERA40 to 2002; ERAi thereafter. Bulk	1/4° POP2.1	OI (T/S/SST)
<b>UR025.4</b> <sup>51</sup> U. of Reading	ERAi + CORE Bulk	1/4° NEMO3.2	OI (SLA/T/S/SST/SIC)
<b>AVISO</b> <sup>52</sup> CLS	N/A	1/4° Obs-Only (SSH/SLA)	OI (SLA)
<b>SLCCI</b> <sup>53</sup> ESA	N/A	1/4° Obs-Only (SSH)	OI (SSH)

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914 **Figures**

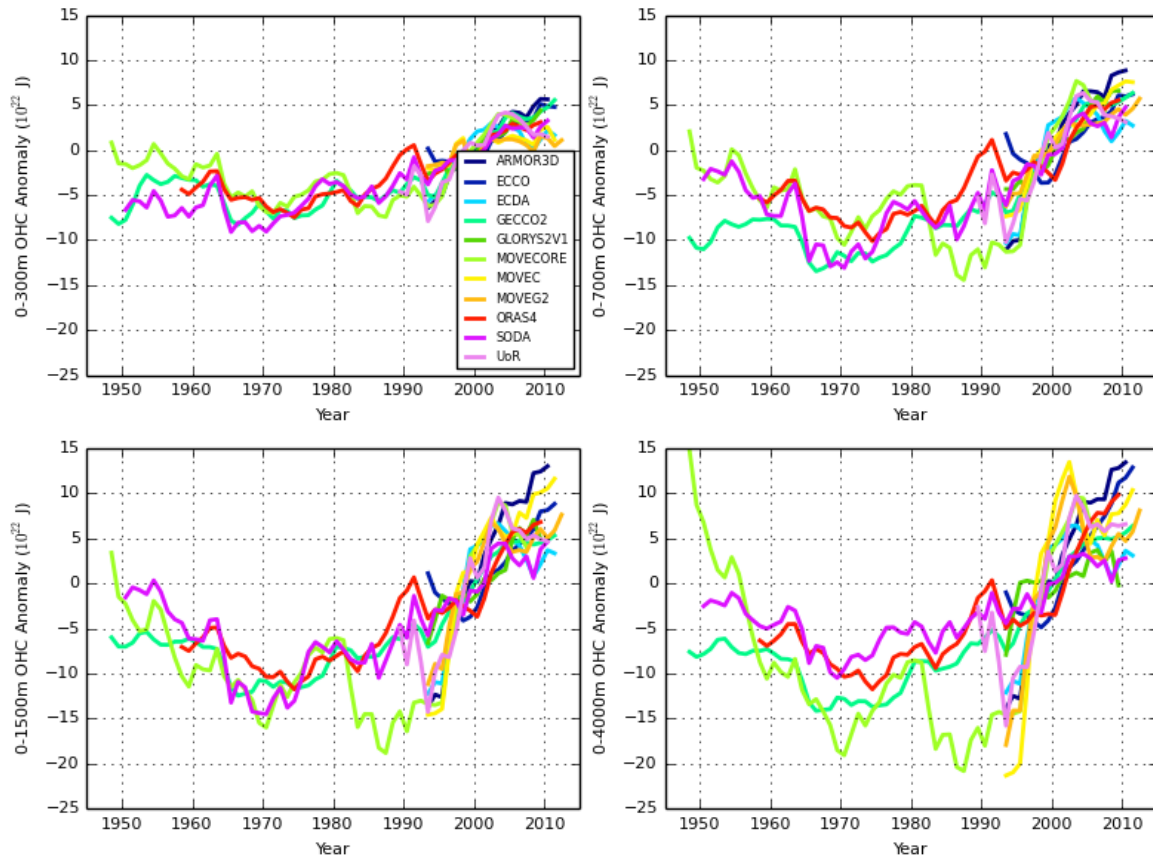


Fig 1: Time series of global ocean heat content anomaly, relative to a baseline period of 1993-2007. Note that SODA only includes grid boxes that span the full column and therefore will tend to underestimate OHC changes as the depth of integration increases. ARMOR3D and EN3 are obs-only analyses and do not include a dynamic model component. [UoR in legend corresponds to the URO25.5 in Table 2].



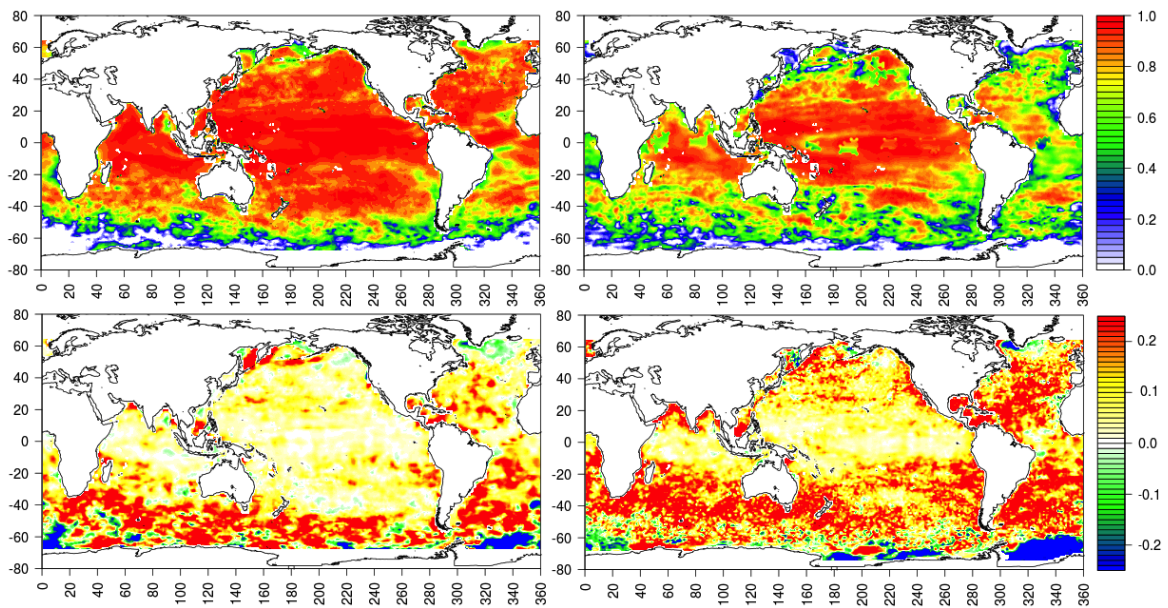


Fig 2: 2005-2009 Steric Sea Level anomaly correlation of EM-ORA with respect to the validation dataset (altimetry minus gravimetry) described in the text, for the full (top-left) and the inter-annual signal (top-right). Correlations higher than 0.25 are significant (at the 95% confidence level). The bottom panels show the map of differences between the EM-ORA anomaly correlation and the EM-OO anomaly correlation for the full (bottom-left) and the inter-annual signal (bottom-right). Positive (negative) values indicate that the correlation is higher (lower) with EM-ORA than with ORA-OO.

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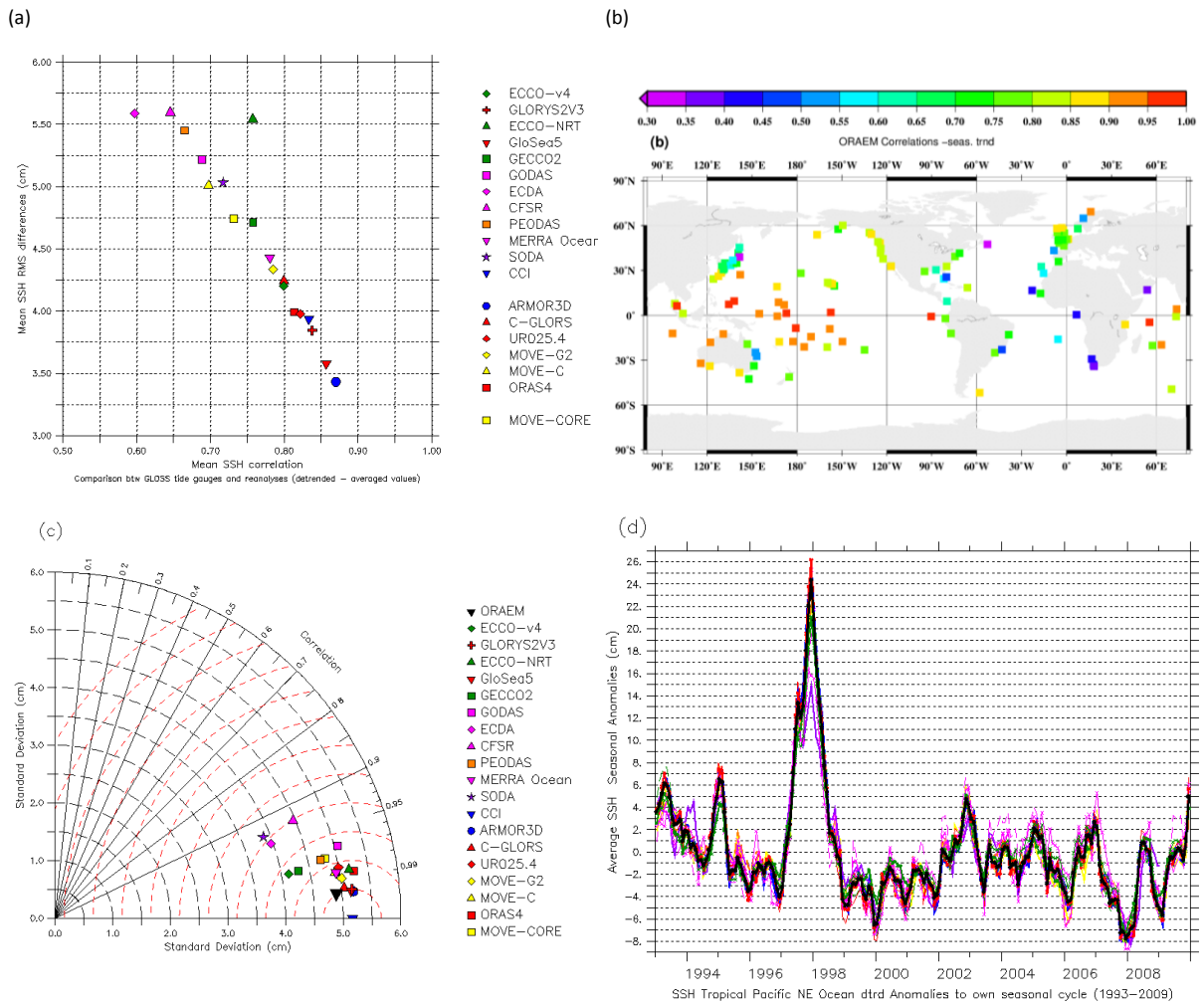


Fig 3: Top: Comparison between tide gauges and ORAs and OOs, after detrending and removing of seasonal cycle: a) RMS/Correlation diagram for the individual products using GLOSS tide gauge data as reference; b) correlations between EM-ORAalti and tide gauges time series, at tide gauge locations. Bottom: Evaluation of a sea level index: c) Taylor diagram using SLCCI as verification; d) Index time series, defined as the area-averaged sea level anomalies over the North-East Tropical Pacific region(0-12°N, 84-108°W). Anomalies and statistics have been computed over the 1993-2009 period.

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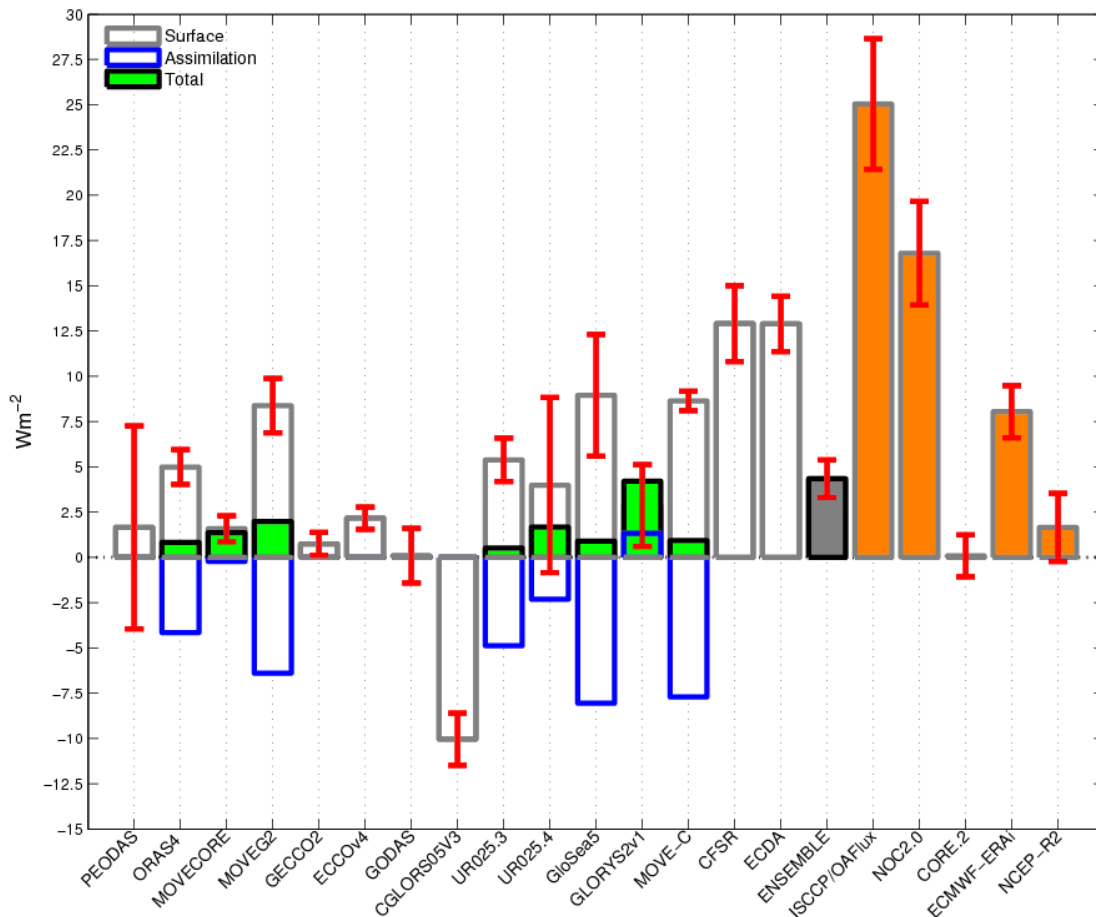


Fig 4: Time-mean global net "Surface" heat fluxes (grey bars) and their interannual standard deviations (red error bars) over the 17 years (1993 - 2009) spanned by all data sets. The 15-member ensemble of "Surface" flux products is also shown (dark grey bar), along with observation based on atmospheric reanalysis products to the right hand side (orange bars). Eight products also have "Assimilation" fluxes (blue bars) computed by integrating the temperature increments from the surface down to the bottom, along with "Total" -fluxes, i.e., "Surface" + "Assimilation" fluxes (green bars). Positive is heat flux into the ocean. Units are in  $Wm^{-2}$ .

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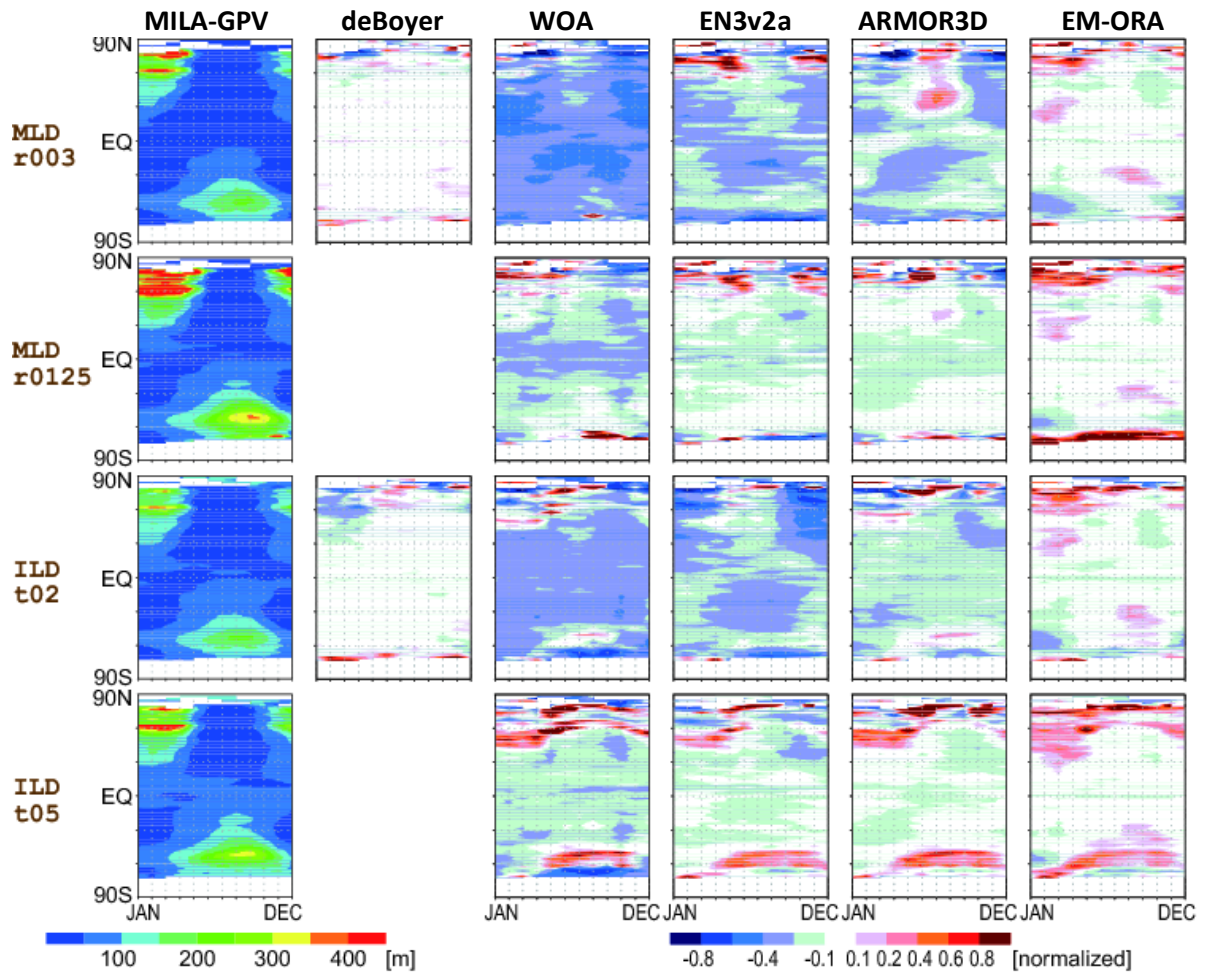


Fig 5: Zonal mean monthly MLDs and ILDs from MILA-GPV averaged over 2005-2011 (left column). Others: Differences from MILA-GPV, normalized by the MILA-GPV values.

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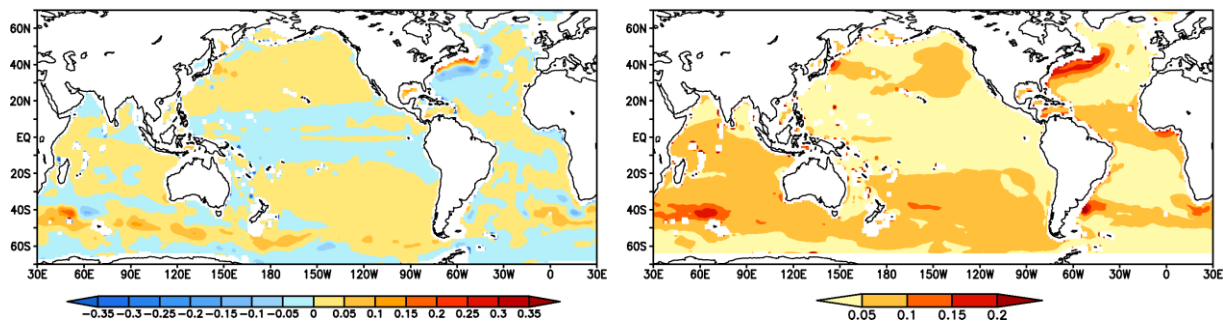
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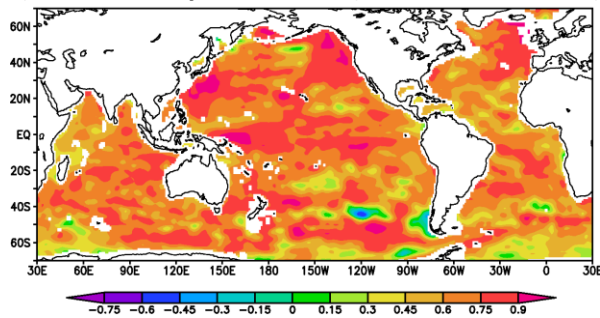
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## S700 Ensemble Statistics (1993-2010)

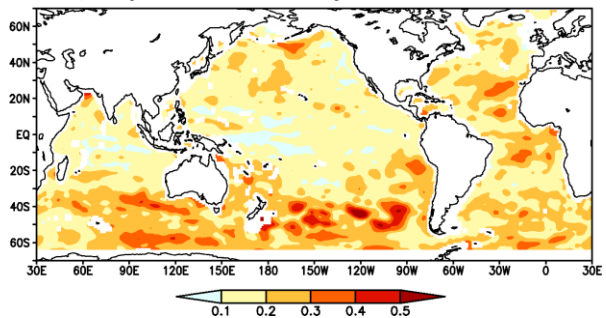
a) 1993-2010 Mean Difference EMORA - EMOO    b) Ensemble Spread of the 1993-2010 Mean



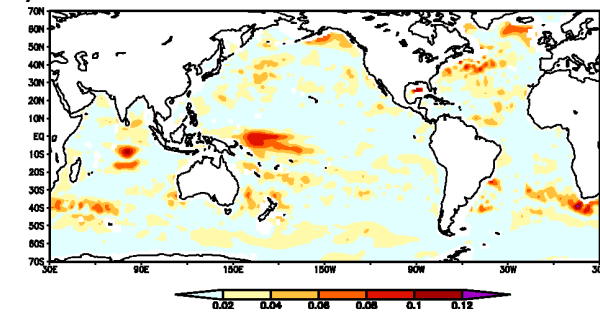
c) Anomaly Correlation (EMORA and EMOO)



d) Spread in Anomaly Correlation



e) Time-Std of the EM Interannual Anom S700



f) Ensemble Spread of Interannual Anom

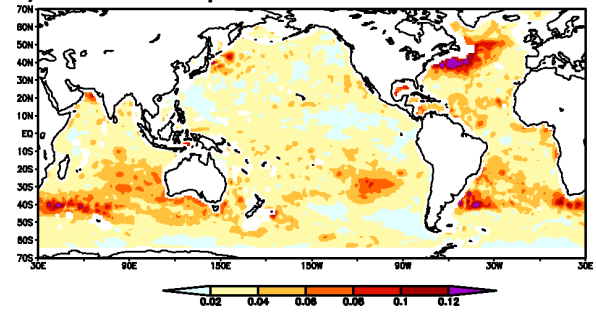


Fig. 6 a) 1993-2010 mean difference of S700 (Depth-averaged salinity over 0-700m) between EM-ORA and EM-OO. The interval of colour bar is 0.05 psu.

b) The ensemble spread of the mean S700 (ESD-ORA). The interval of colour bar is 0.05 psu.

c) Temporal correlation of S700 monthly interannual anomalies between the EM-ORA and EM-OO, for the period 1993-2010.

d) Spread of correlation coefficients of S700 anomalies from the individual ORAs.

e) The inter-annual standard deviation (1993-2010) of EM-ORA S700, representative of the interannual "signal" ( $\sigma_{EM}^I$ ). The interval of colour bar is 0.02 psu.

f) The average ensemble spread of the interannual anomalies of S700 ( $\sigma_{ESD}^I$  representing the uncertainty or 'noise'). The interval of colour bar is 0.02 psu.

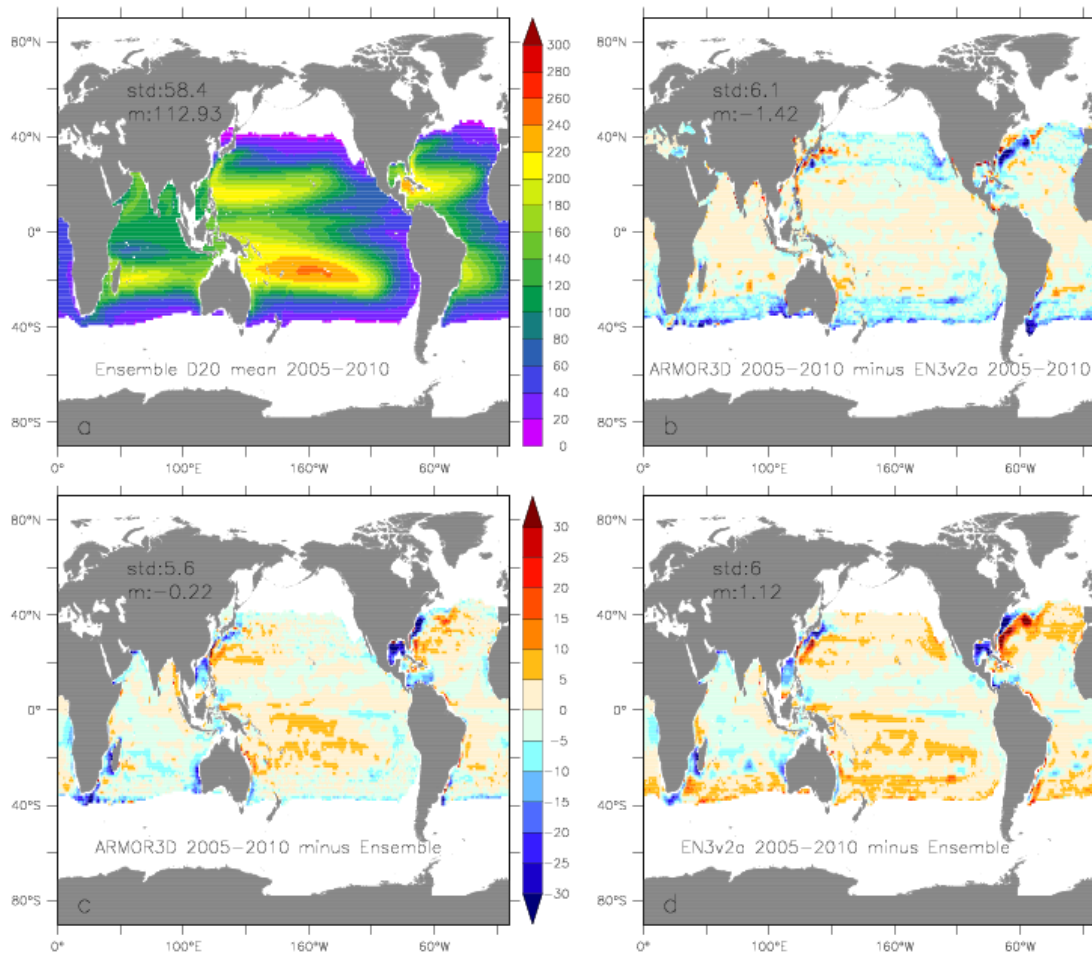


Figure 7: (a) Global map of mean of D20 from EM-ORA. Differences in mean D20 between (b) the two OO products ARMOR3D and EN3v2, (c) EM-ORA and ARMOR3D and (d) EM-ORA and EN3v2. Units are m. The mean fields have been calculated over the 2005–2010 periods.

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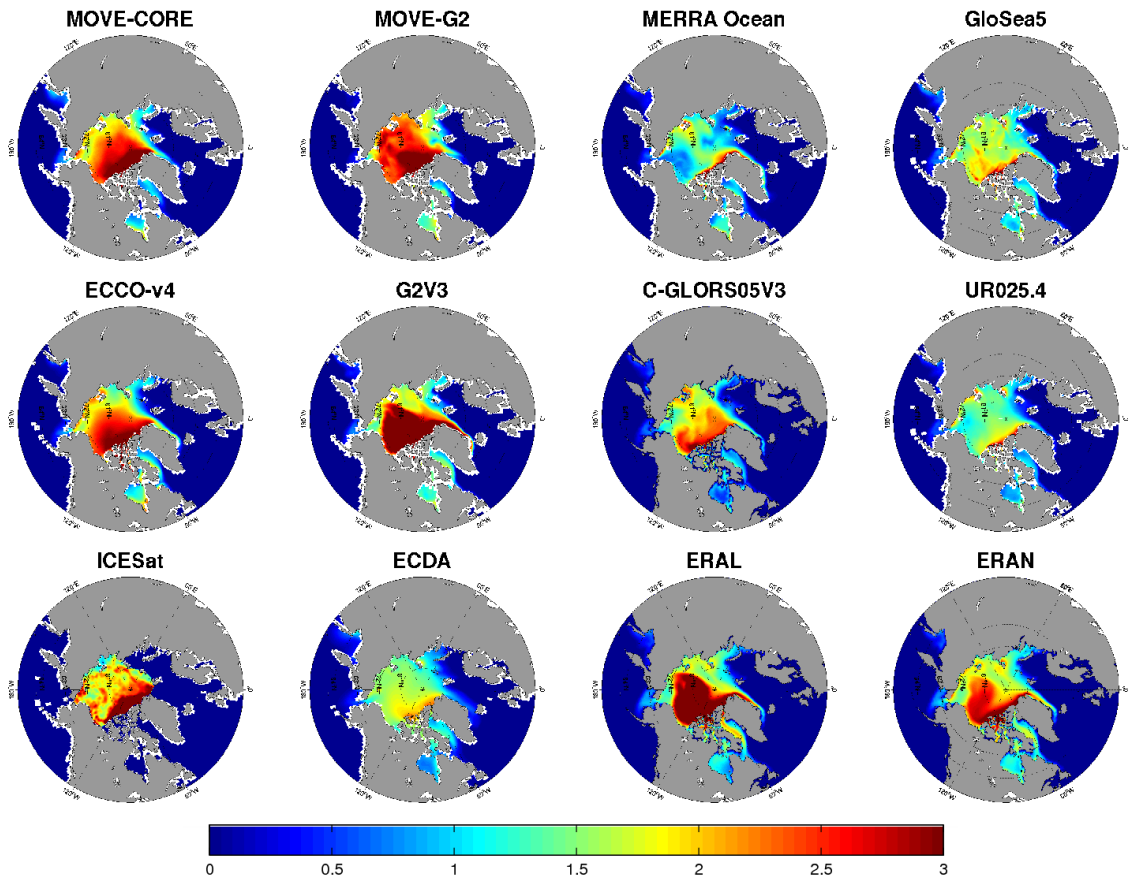


Fig. 8: Example of mean sea ice thickness for the various ice-ocean reanalyses for March 2007. Also shown is a satellite estimate of sea ice thickness from ICESat (bottom left).