

28 behavior of the PFS between quiescence and unrest periods at Etna and pose different
29 implications for eruptive activity prediction and volcano hazard assessment. The dense
30 pattern of ground deformation provided by integration of data from short revisiting time
31 satellite missions, together with refined modeling for fault slip distribution, can be exploited at
32 different volcanic sites, where the activity is controlled by volcano-tectonic interaction
33 processes, for a timely evaluation of the impending hazards.

34

35 **Key-words:** Etna volcano, ground deformation, satellite interferometry, source modeling.

36

37 **1. Introduction**

38 Eruptive sequences and local seismicity on Etna volcano have often revealed a significant
39 temporal correlation between the occurrence of large earthquakes along the main volcano-
40 tectonic structures and periods of volcanic unrest. In the Etna northern flank, one of the most
41 intriguing volcano-tectonic structures is the Pernicana Fault System (PFS), which have been
42 showing a controversial relationship between seismic activation and volcanic unrest over the
43 last decades. The PFS is characterized both by aseismic continuous "slow" movements
44 associated with the sliding of the Mt Etna eastern flank (Azzaro et al., 2001; Ruch et al.,
45 2010) and by shallow earthquakes causing surface ruptures and severe damages to man-
46 made infrastructures in the surrounding area (Bonforte et al., 2007; Currenti et al., 2010).
47 Several times the seismic energy release has preceded the onset of lateral eruptions in the
48 Etna northern flank.

49 The 22 September 2002 M3.7 event represents an exemplary case, in which the seismic
50 release preceded by nearly a month the violent and dramatic explosive-effusive flank
51 eruption of October 2002 - January 2003 (Bonforte et al., 2007; Currenti et al., 2010;
52 Alparone et al., 2012). A critical question was whether the seismic activity could have been
53 viewed as a signature of volcanic unrest in the northern sector. Carrying out a 3D numerical
54 modeling inversion of deformation data encompassing the seismic event, Currenti et al.
55 (2010) evidenced the active tensile loading exerted by the attempt of a magmatic intrusion in
56 the upper part of the fault system. Therefore, this event, which was a response to the initial
57 forming intrusion, provided insights into a possible interaction between pre-eruptive
58 magmatic intrusion and subsequent loading and rupture of the PFS.

59 On more other occasions, however, the earthquakes were not followed by any ensuing
60 volcanic unrest (Bonaccorso et al., 2012). The latest intense seismic swarm took place on 2-
61 3 April 2010 and caused coseismic surface faulting and severe damages to tourist resorts
62 and villages close to the PFS structure (Guglielmino et al., 2011). Subsequently, explosions
63 and ash emissions occurred at the summit craters on 7-8 April. These events raised the alert

64 level for the volcanic and seismic hazard related to an impending flank eruption in the
65 northern sector of the volcano, similar to what happened before the 2002-2003 eruption.
66 Therefore, the question to be addressed is whether the seismic swarm was a possible
67 response to accommodate the stress change induced by possible magma intrusions and,
68 consequently, a fingerprint of an impending eruption. In fact, no volcanic unrest occurred in
69 the following months.

70 To investigate the behavior of the PFS during the 2-3 April 2010 seismic swarm, we carried
71 out a detailed study of the fault kinematics by inversion of ground deformation data. The
72 analysis and modeling of ground deformation data could shed light on the interaction
73 between volcanic activity and seismicity in such a complex volcano-tectonic setting.
74 Differential Synthetic Aperture Radar (SAR) Interferometry (DInSAR) (Gabriel et al., 1989;
75 Massonnet et al., 1993) has made it possible detailed observations of surface deformation
76 (Neri et al., 2009; Solaro et al., 2010; 2011), providing important constraints on active
77 deformation sources. A preliminary estimation of the active sources of the April 2010 seismic
78 event was performed in Guglielmino et al. (2011) by inverting a set of geodetic data from
79 ground based stations (GPS and levelling) and satellite platforms (ENVISAT and ALOS
80 satellites) and by using a model based on the analytical formulation of rectangular fault
81 segments embedded in a homogeneous half-space (Okada, 1992).

82 We extend this analysis with the inclusion of data from the new-generation COSMO-SkyMed
83 satellites, which could give further constraints thanks to the short (a few days) revisiting times
84 and the high spatial resolution (about 3 m). The availability of SAR datasets from different
85 platforms allows us to compare the estimated displacement fields and to derive a high-
86 resolution model for the slip distribution along the PFS. The integration of different satellite
87 data and the construction of a more realistic model give us the opportunity to discriminate the
88 mechanism responsible for the PFS seismic activation during the April 2010 seismic swarm.
89 More generally, the inferences derived from geodetic inversions improve our understanding
90 of the relationship between volcanic unrest at Etna and seismic activation along the PFS,
91 providing useful implications for eruptive activity prediction and volcano hazard assessment.

93 **2. M4.3 earthquake rupture along the Pernicana Fault System**

94 The PFS is one of the most outstanding and active tectonic structures of Mt Etna and
95 delineates the northern margin of the volcano's sliding flank, as confirmed by geological,
96 seismological and geophysical investigations (Neri et al., 2004; Azzaro et al., 2001; Bonforte
97 et al., 2007). It develops eastward from the North East Rift (from 1850 m a.s.l.) to the
98 coastline, over a distance of about 18 km (Acocella and Neri et al., 2005), constituting a left-
99 lateral transcurrent zone that cuts across the northeastern flank of the volcano (Tibaldi and
100 Groppelli 2002). The PFS, composed of discrete segments arranged in a right stepping en-
101 èchelon configuration and of a near continuous left lateral shear zone, shows a very high
102 slip-rate of about 2 cm/year, mainly related to the sliding of the eastern flank of the volcano
103 (Lo Giudice and Rasà, 1992; Azzaro et al., 2001; Bonforte et al., 2007, Ruch et al., 2010). In
104 the upper part of the PFS, which is often intruded by magma, tensile rupture mechanisms
105 prevail, while normal/strike-slip characterizes the intermediate zone and pure strike-slip the
106 easternmost shallow part. Earthquakes related to this structure, which are characterized by
107 small to moderate magnitude ($< 4.5 M_l$) and by shallow hypocentral area (about 2-4 km),
108 mainly affect the western and central segments while the lower eastern segment is
109 characterized by an aseismic fault movement (Azzaro et al., 2001). The widespread
110 seismicity, which characterizes the western and central segments of the fault, confirms that
111 the structure is highly active (Bonforte et al., 2007). Sometimes the PFS has been
112 seismically active immediately before the onset of an eruption and within the first week of
113 volcanic activity at the nearby NE Rift (Neri et al., 1991; Garduno et al., 1997; Tibaldi and
114 Groppelli, 2002; Acocella and Neri, 2003; Acocella et al., 2003; Currenti et al., 2008). On the
115 other hand, there were long periods (i.e. 1984-1988) during which the PFS has shown
116 continuous activity, but no eruptions occurred from the NE Rift (Azzaro et al., 1988; Acocella
117 and Neri, 2005). After the 2002-03 eruption, which was preceded by a M3.7 event (Table 1),
118 a marked acceleration of the eastern motion of the PFS was observed. Over the last years, a

119 strong increase of seismicity, also characterized by swarms, was recorded by INGV-CT
120 permanent local seismic network close to the Pernicana Fault (Alparone et al., 2009). The
121 last intense seismic release started along the fault on 2 April 2010 at 19:06 (GMT). About
122 170 events were recorded until 07:42 of 3 April , accompanied by ground fracturing with left
123 lateral movement of about 0.5 m. The largest event occurred at 20:04 on 2 April 2010 with a
124 magnitude of MI 4.3 at a depth of 300 m b.s.l. (Table 1). At the beginning, the earthquakes
125 affected the central sector of the PFS (Fig. 1), at a depth of about 1000 m b.s.l. and
126 successively moved westwards approaching the NE Rift (Langer, 2010).

127

128 **3. DInSAR data analysis**

129 We investigated the displacement induced by the 2-3 April 2010 seismic events by means of
130 InSAR data acquired over the volcano using the Cosmo-SkyMed (CSK) and ALOS radar
131 systems. A set of 66 CSK images acquired with a look angle around 56 deg on descending
132 orbits between September 2009 and September 2010 was considered; in addition, one
133 ALOS couple acquired with a look angle of about 38 deg on an ascending orbit was also
134 used in this study.

135 The CSK differential interferogram with the shortest available time span across the event,
136 i.e., the one involving the acquisitions on 30 March 2010 and 7 April 2010, was computed
137 shortly after the event (Italian Space Agency, 2011) and is shown (in radar coordinates) in
138 Fig. 2a. The white line represents the known trace of the PFS. It is evident that in a narrow
139 area surrounding the middle part of the fault, the interferogram is affected by a strong
140 decorrelation noise that severely corrupts the computed phase (Zebker and Villasenor,
141 1992). This is most probably due to the temporal decorrelation induced by the heavy
142 vegetation covering the area, which is particularly relevant for X-band radar systems. In fact,
143 large decorrelated areas have been observed also in short time interferograms not including
144 the earthquake. In addition to this effect, a low spatial frequency fringe pattern that mimics a
145 typical seismic signal is also observed. However, both leveling campaigns and other

146 independent satellite observations (Guglielmino et al., 2011) indicate that the deformation
147 associated with the earthquake should rapidly decrease away from the fault trace, thus
148 suggesting the presence of a significant contribution related to atmospheric effects
149 (Goldstein, 1995) in the interferogram.

150 To capture the possible small scale deformation associated with the earthquake, we take
151 advantage of the high resolution capability of CSK data. Fig. 2b shows a zoom in the area
152 indicated by the dashed rectangle in Fig. 2a at the full sensor resolution (about 3m x 3m).
153 This underlines that a large and very concentrated deformation signal is present in the
154 eastern part of the area (indicated by the black arrow), very close to the fault trace. Due to its
155 limited spatial extension, this deformation pattern is not visible at a lower resolution, thus
156 making the use of CSK data a unique opportunity to study this phenomenon with more
157 details.

158 In addition to single interferogram analysis, multiple acquisition approaches, exploiting a
159 large number of data acquired on the same satellite orbit (Sansosti et al., 2010), can be used
160 to further characterize the phenomenon and to separate noises from the useful signal. We
161 first applied a stacking technique (Peltzer et al., 2001) aimed essentially at calculating the
162 average of all the cumulative displacements computed from each single full resolution
163 interferograms covering the event. To reduce both the temporal and spatial decorrelation
164 effects, we used only the interferograms characterized by a short temporal interval (temporal
165 baseline) and a short orbital separation (spatial baseline) between the interferometric SAR
166 image couples. The full range (unwrapped) phase was computed by using the Minimum Cost
167 Flow phase unwrapping algorithm (Costantini and Rosen, 1999). Obviously, in this case, the
168 stacking approach relies on the hypothesis that no event, other than the earthquake,
169 occurred in the considered time interval, which is verified later on in this section. For this
170 reason, we restricted the time period considered for stacking to a few months (except for one
171 data pair), thus selecting the 7 interferograms reported in Table 2. The obtained cumulative
172 displacement, shown in Fig. 2c for the same area of Fig. 2a, highlights that the deformation is

173 concentrated around the fault and a significant part of the atmospheric signal has been
174 reduced.

175 As an additional analysis, we also applied a full resolution implementation of the Small
176 BAseline Subset (SBAS) approach (Berardino et al., 2002) to all the available 66 CSK data
177 acquired on the same descending orbit covering almost one year (from September 2009 to
178 September 2010). To limit the computational load, we processed only data associated with
179 the small area delimited by the white box in Fig 2c. In this case, we applied the Extended
180 Minimum Cost Flow Phase Unwrapping procedure (Pepe et al., 2011), which allows the
181 generation of full resolution deformation time series through the SBAS approach. This
182 technique is particularly appropriate for non-linear signals (as those expected for a seismic
183 event) since it does not rely on any linear model assumption to compute the time series and
184 it exploits the SBAS inversion directly on the full resolution DInSAR unwrapped
185 interferograms, without using the corresponding multilook phase sequences, as in Lanari et.
186 al. (2004).

187 The obtained cumulative ground deformation is shown in Fig. 2d and substantially confirms
188 the results of the stacking procedure. However, the use of a larger number of images
189 improves the atmospheric filtering operation. The atmospheric noise presents a strong
190 correlation in space, but it is poorly correlated in time, thus a spectral filtering in time can be
191 implemented to detect and cancel out the noise (Ferretti et al., 2000; Berardino et al., 2002).
192 However, the filtering procedure must be carefully adapted whenever seismic signals are
193 involved in order not to impair possible true discontinuities present in the data and associated
194 with the seismic event. In fact, to separate components of seismic signal and atmospheric
195 noise in the time domain, instead of using a classical low pass strategy, we used a median
196 filtering that has the peculiarity to preserve discontinuities while reducing the noise
197 (Niedzwiecki and Sethares, 1995).

198 The plot in Fig. 2e shows the displacement time series (projected onto the line of sight) for
199 the corresponding area indicated in Fig. 2d. The time series shows a clear jump in
200 correspondence to the date of the earthquake, while no other sharp variations before and

201 after the event are evident, thus indicating that, apart from the earthquake, no other
202 significant event occurred in the considered area during the investigated period (mid 2009 -
203 end of 2010). This also validates the hypothesis that allowed us to compute the deformation
204 map shown in Fig 2c via the stacking approach.

205 However, the maximum LOS displacement observed by CSK is about 5 cm, which is smaller
206 than 8 cm and up to 37 cm for vertical and East-West components, respectively, as reported
207 in Guglielmino et al. (2011). Horizontal ground displacements of the order of 30 cm have also
208 been observed during field trips (Neri, personal communication). These data do not match
209 CSK measurements, even considering possible compensation of horizontal and vertical
210 components of the displacement due to the projection onto the line of sight. This is because
211 the area of maximum deformation falls within the decorrelated area where CSK, which
212 operates at X-band (wavelength 3.1 cm), is unable to provide any measurement, being the
213 coherent pixels essentially limited to the areas covered by lava.

214 To circumvent this problem, we complement the above discussed deformation information
215 with that obtained by processing SAR data acquired by the ALOS radar system that operates
216 in L-band with a larger wavelength (23.6 cm) and, therefore, is less affected by decorrelation
217 phenomena, especially in vegetated terrains such as in the PFS area. One ALOS differential
218 interferogram (22 March 2010 - 7 May 2010) was computed (Fig. 3a). The maximum
219 detectable deformation in the direction of satellite line of sight (LOS) is about 23 cm,
220 corresponding approximately to two fringes in the interferogram, in agreement with previous
221 analysis based on the same data (Guglielmino et al., 2011) and on field campaign
222 information (Neri, personal communication). In this case, the lack of a sufficiently large
223 number of ALOS acquisition on the same track prevented us from generating deformation
224 time series via the SBAS approach; however, other ALOS interferograms generated with
225 independent acquisition show a similar deformation pattern, thus confirming that the
226 observed signal is related to the actual deformation rather than atmospheric noise
227 (Guglielmino et al., 2011). The ALOS differential interferogram has been unwrapped by using
228 the Minimum Cost Flow (MCF) approach (Costantini and Rosen, 1999). The corresponding

229 deformation map is shown in Fig. 3b and has been used, jointly with the computed CSK
230 displacement map (Fig. 2c), to model the deformation source.

231 The joint use of both CSK and ALOS data gives us complementary information about the
232 occurred ground changes. Full spatial coverage of the ALOS interferogram allows
233 understanding that the displacements are confined in a very narrow area nearby the PFS
234 and exponentially decay moving away from the fault trace (Fig. 3). On the other hand, CSK
235 data allow analyzing the displacement field with a greater detail (Fig. 2b and 2d). However,
236 the smaller operational wavelength of CSK data introduces more significant decorrelation
237 noise in the interferograms (Zebker and Villasenor, 1992), thus reducing the spatial
238 coverage. Accordingly, the combined use of ALOS and CSK data allows us to retrieve the
239 whole deformation pattern with an overall satisfactory level of detail.

240

241 **4. Slip distribution models**

242 An interpretation of the co-seismic displacements due to the April 2010 seismic swarm was
243 recently proposed in Guglielmino et al., (2011) using the analytical solution for rectangular
244 dislocations (Okada, 1992). However, the computed displacement magnitudes and spatial
245 distributions show a limited consistency with the satellite and in situ ground deformation data,
246 which may be related to the simplified fault geometry and the uniform-slip assumption. The
247 gaps and overlaps between the assumed rectangular dislocation sources induced strain
248 concentrations at the source edges (Meade, 2007), which are not in agreement with the
249 observed deformation pattern. Starting from these results, we investigated how the model
250 can be improved for a more accurate estimation of the movements of the PFS in a realistic
251 description of the fault slip distribution from the joint inversion of deformation data acquired
252 by both the new generation X-band SAR sensors onboard the COSMO-SkyMed satellites
253 and the L-band sensor of ALOS. The inversion procedure is composed of three main steps
254 (Currenti et al., 2010; 2011): (i) meshing the computational domain and subdividing the fault
255 surface in a finite number of elements; (ii) computing the Green's functions for static

256 displacements caused by unit slip over each element; and (iii) solving an inversion problem
257 constrained by geodetic data to determine the slip distribution.

258 The observed ground displacements well evidence the marked dislocation across the fault
259 trace, which is in good agreement with field mapping of surface ruptures and traces from
260 high resolution DEM. This constrains the length (along-strike dimension) and strike of the
261 fault segments on the ground well. To account for its spatial complexity, the fault rupture is
262 closely approximated by a curved line composed of 16 segments of about 400 m length
263 longitudinally extended from 504 to 510 km eastward, where the main deformation pattern is
264 observed (Figs. 2 and 3). Over the last decade, the integration of multiple data sets such as
265 mapped surface ruptures, high-precision seismic locations (Alparone et al., 2009), results of
266 geodetic inversions for a simplified fault geometry (Bonforte et al., 2007; Currenti et al., 2010;
267 Guglielmino et al., 2011) have well defined the geometry of the PFS and, hence, we adopt a
268 fixed fault geometry. However, because of the non-planar geometry of the PFS, we
269 represented the fault system as a set of quadrangles, which better adapt to its complex
270 geometry. Discretization of surfaces into quadrangular elements allows the construction of
271 three-dimensional fault surfaces that more closely approximate curvilinear surfaces and
272 curved tiplines, consistent with the full extent of available data. Considering that the main
273 seismogenic volume of the PFS extends at depth for few kilometers, we discretized the fault
274 surface from the free surface to 1500 m in depth. Using the LaGriT (2010) meshing software,
275 we discretized the fault surface into sub-quadrangles, whose size increases with depth to
276 provide a more uniform resolution of slip among quadrangles at different depth (Simons et
277 al., 2002). Given that the deformation pattern is quite narrow, the spatial resolution of the
278 discretization has to be very high. We subdivided the fault surface into 592 quadrangles,
279 whose sizes increase with depth. Using a quadtree resampling algorithm, we obtained
280 quadrangles whose larger size varies from 100 m near the surface to 400 m at greater depth.
281 The computation of the Green's function of each quadrangle is performed as the sum of the
282 Green's functions for the two triangles composing each quadrangle, thus implementing the
283 analytical solution devised by Meade (2007) for triangular dislocations embedded in a

284 homogeneous elastic half-space. The DInSAR data (Figs. 2c and 3b), which provide a dense
285 spatial resolution able to ensure a robust inversion, were used to find the slip in each patch
286 by means of a Quadratic Programming (QP) algorithm with bound and smoothing
287 constraints based on the second-order spatial derivative to suppress slip oscillations
288 (Currenti et al., 2010). In order to limit the computational burden in the modeling inversion,
289 the number of DInSAR observations from both ALOS and CSK satellites was reduced. Since
290 the deformation rapidly decreases within about 500 m from the PFS trace (Fig. 4c), we
291 implemented a sub-sampling procedure of the displacement points on the basis of the
292 distance from the fault, ending up with a final dataset of 761 points for CSK and 1822 points
293 for ALOS (Fig. 4a-b). Note that, despite the higher resolution of the CSK sensor, the number
294 of usable ALOS points is sensibly higher than the CSK one because the latter sensor is
295 drastically limited by the decorrelation phenomenon affecting the X-band data. In addition to
296 SAR data, also the leveling data recorded at 19 benchmarks during two surveys carried out
297 in November 2009 and April 2010 along the Pernicana levelling route (Guglielmino et al.,
298 2011) were included in the inversion process. Since CSK deformation time series show that
299 no other significant deformative episode occurs in the time period covered by the data (Figs.
300 2d-e), we can easily assume that all the available geodetic data basically capture the
301 deformation related to the earthquake only.

302 As multiple datasets are used to constrain the model, the inversion account for errors by
303 weighting the data misfit using a matrix of data weights in order to give each data set its
304 influence over the slip estimates. We used a diagonal matrix containing the inverse of the
305 standard deviation of the data. The estimated errors (standard deviation) were half a fringe
306 for the DInSAR and $1 \text{ mm/km}^{1/2}$ for the levelling data, respectively (Currenti et al., 2010;
307 Guglielmino et al., 2011).

308 We imposed a left-lateral strike-slip and normal dip-slip constraints to the solution in
309 agreement with the historical activity of the fault (Azzaro et al., 2001), thus formalizing an
310 inversion problem with 1184 unknowns on the strike and dip slip components. The
311 deformation patterns (projected along the LOS) computed from the best-fitting model and the

312 corresponding residuals with respect to the observed data are shown in Fig. 5a-d. The
313 deformation measured by DInSAR data, both from CSK and ALOS satellites, are well
314 predicted by the retrieved model (Fig. 5a-b), with residuals within the error range of the used
315 DInSAR technique over most of the covered area (Fig. 5c-d). The Root Mean Square Error
316 (RMSE) of the residual is 2.1 cm for ALOS and 0.9 cm for CSK data, accordingly to the
317 higher sensitivity of CSK data and to the use of stacked displacement image that reduces the
318 atmospheric errors. Moreover, thanks to the high resolution of the proposed model, the
319 leveling data are also well reproduced despite the high deformation gradient along the fault.
320 A comparison between the deformation predicted by the model and that measured at the
321 levelling benchmarks is shown in Fig. 5e. The slip distribution model obtained with the joint
322 inversion of all the available geodetic data is shown in Fig. 6.

323 We find that the combination of strike and dip slip on the PFS well reproduces the near-fault
324 deformation pattern. If a combination of the strike-slip, dip-slip, and tensile components is
325 taken into account, the results show a similar fit to the data. The RMSE between the data
326 and the model predictions slightly decreases to 1.9 cm for ALOS and 0.8 cm for CSK.
327 Moreover, the amplitude of the tensile component is found to be negligible with respect to the
328 strike-slip and dip-slip components, confirming the dominant mode of the fault. Integrating
329 the slip over the fault area and using an average shear rigidity modulus of 1 GPa for the
330 shallow layers, we obtained an estimate of the geodetic moment (Santini et al., 2004) on the
331 order of 1×10^{15} Nm associated with a magnitude of MI 3.9

332

333 **5. Discussion**

334 The space geodetic observations provide robust constraints on the spatial extent and amount
335 of the earthquake-induced displacements within the PFS fault zone. The resulting inversion,
336 based on both SAR and levelling data, shows that the model, closely describing the
337 geometry of the surface ruptures, yields good fit to the geodetic data. Using variable strike
338 and dip slip over the fault, the geodetic inversion indicates that the fault is characterized by a

339 prevailing left-lateral and normal dip-slip motion. The numerical model shows a predominant
340 strike-slip concentrated in a very shallow layer, decaying within 500 m from the free surface
341 (Fig. 6a). The major strike-slip component, with a maximum of about 0.4 m, is in
342 correspondence to the epicenter of the most energetic seismic event (MI 4.3) recorded
343 during the swarm, with a longitudinally elongated extension of about 2 km. The amplitude of
344 the normal dip-slip component reaches a maximum of about 0.3 m and is also concentrated
345 in a shallow layer (Fig. 6b). A gap between the activated areas is observed, where a deficit in
346 the dip-slip occurs. This could be ascribed to the morphological change in the strike of the
347 fault trace, which could have played as a barrier to the eastward slipping as observed in the
348 deformation pattern (Fig. 4a).

349 Of particular interest is the absence of significant deformation in the western part of the PFS,
350 where the seismic swarm migrated, and the apparent deficit of slip at the depth of the most
351 energetic events. This leads us to suppose that the intense rupture occurred in the very
352 shallow layers characterized by reduced strength properties, as confirmed by the low QP
353 values, derived from seismic tomography attenuation analysis (Barberi et al., 2010),
354 indicative to the high degree of cracking along the PFS. The extent of this compliant zone
355 seems to be very shallow (less than 500 m deep), in which a strong reduction of effective
356 elastic moduli can be expected and interpreted in terms of changes in the micro-crack
357 density or the effective damage parameters. Slip is, however, also affected by several other
358 factors such as structural heterogeneity, friction and fault rheology, and the past activity of
359 the fault.

360 The model results give also evidence that no fault-normal dilation, neither shallow nor deep,
361 is required to explain the observed deformation data. Therefore, no significant volume
362 changes are found along the fault surface. Moreover, no significant deformation changes
363 were detected in the summit area, excluding the presence of other active deformation
364 sources from magmatic activity. This suggests that no tensile actions were exerted, and,
365 hence, rules out the involvement of magmatic intrusions in the summit area or in the north-
366 eastern flank, as possible trigger mechanisms for the seismic swarm. These results provide a

367 completely different scenario from that derived for the 22 September 2002 M3.7 earthquake,
368 where the co-seismic shear-rupture that took place along the PFS was accompanied by a
369 tensile mechanism associated with a first attempt of magma intrusion that preceded the
370 lateral eruption occurred here a month later (Currenti et al., 2010). Indeed, the spatio-
371 temporal evolution of the seismic pattern (migrating from east toward west) further supports
372 the assumption that for the 2-3 April 2010 events the most likely mechanism responsible for
373 the PFS seismic activation derives from the tectonic loading, possibly associated with the
374 eastern flank sliding of the volcano edifice (Ruch et al., 2010). The acceleration of the flank
375 sliding recorded since 2002 (Bonaccorso et al., 2006) could have significantly loaded the
376 PFS, intensifying the occurrence of seismic activity in the last years (Alparone et al., 2009).

377

378 **6. Conclusive Remarks**

379 SAR and leveling data combined with a high-resolution modeling inversion have proven to be
380 useful for giving a complete picture of the 2-3 April 2010 seismic swarm at Etna. CSK data
381 offered the quickest possibility to image the fault rupture within a few days of the earthquake
382 (Italian Space Agency, 2011). However, it was difficult to constrain the fault geometry using
383 CSK data only, because the X-band radar data are affected by decorrelation noise mostly
384 related to the strong vegetation of the PFS area. In order to amend this disadvantage of the
385 CSK data, we also used one available ALOS data pair covering the footwall of the PFS to
386 constrain the fault model. Leveling data, acquired at sparsely-distributed locations before and
387 after the earthquake, were also used to constrain the frames of InSAR observations.

388 The increasing quality and quantity of InSAR data available in terms of spatial and temporal
389 resolution were fully exploited in the slip-inversion procedure, capturing the deformation
390 pattern and identifying the mechanism responsible for the PFS seismic activation. The
391 flexibility of the method permitted the construction of a fault model with curved three-
392 dimensional surfaces, which accounts for the fault surface trace. The fitting of our distributed-
393 slip model to the observed data is dramatically improved with respect to uniform slip models,

394 especially for the near-field measurements accompanying the seismic swarm. The slip
395 distribution pattern allowed us to quantify the kinematics of the PFS and documented the
396 absence of new magmatic intrusion in the north-eastern flank.

397 Summing up, our results elucidated the mechanisms responsible for earthquake ruptures
398 occurring along the PFS. The 2002 and 2010 case studies are representative of the two main
399 possible causes triggering the PFS seismicity. Similar seismic releases can be produced
400 along the PFS by magma intrusion attempts leading to eruptions (2002 case) or flank sliding
401 stretching the eastern sector of Mt Etna (2010 case). Establishing the relationship between
402 volcanic unrest at Etna and PFS seismic activation, therefore, is critical to understanding
403 Etna mechanical behavior, and could be important in forecasting future lateral eruptions.

404 More generally, the dense pattern of ground deformation, provided by integration of data
405 from different satellites, together with refined modeling for fault slip distribution enables a
406 comprehensive understanding of the kinematics across the different volcano sectors marked
407 by active fault systems subjected to seismic or aseismic deformation. In volcanoes where the
408 activity is controlled by volcano-tectonic interaction processes, such as Chaiten (Wicks et al,
409 2011), Kilauea (Brooks et al., 2008; Montgomery-Brown et al., 2010), Sierra Negra (Jonsson,
410 2009) and Arenal (Ebmeier et al., 2010), the data flow provided by COSMO-SkyMed
411 satellites and the upcoming Sentinel-1 mission at short revisiting time will contribute to a
412 timely evaluation of the ongoing seismic activity and, thus, also to a forecasting of impending
413 hazards.

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415

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422

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556

557

558 **Table**

559

560 **Table 1** – Main parameters of the seismic events (<http://www.ct.ingv.it/ufs/analisti>; Azzaro et
561 al., 2006).

562

Date	Hour	Latitude [km]	Longitude [km]	Depth [km]	MI
22/09/2002	16:01	4184.292	505.897	2.8	3.7
02/04/2010	20:04	4183.517	506.955	0.3	4.3

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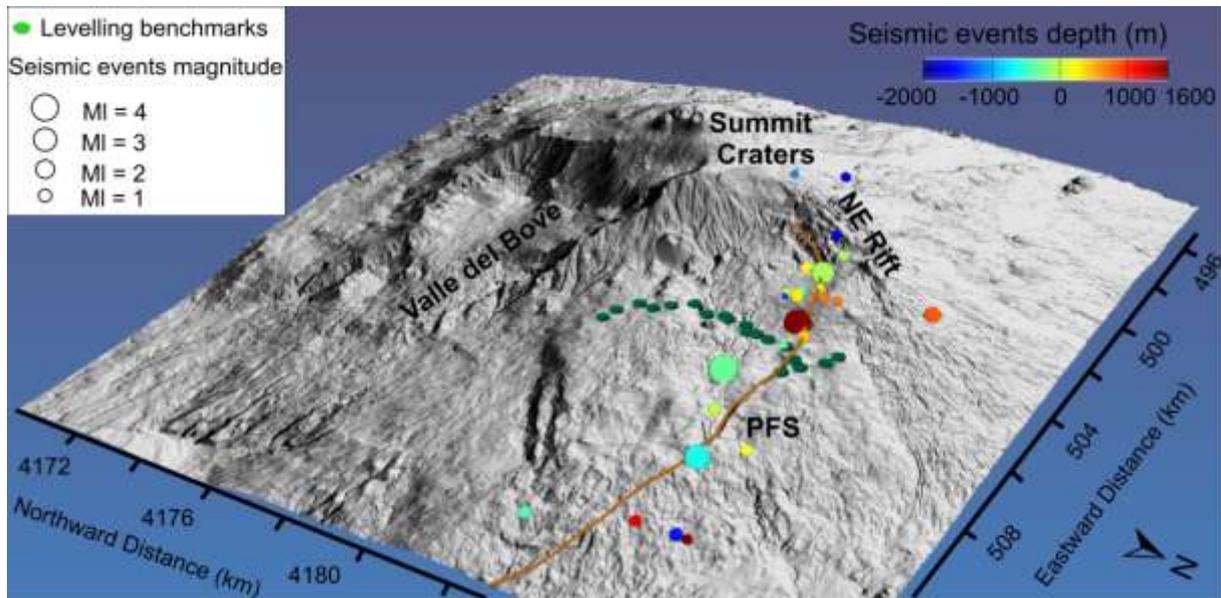
566 **Table 2** – COSMO-SkyMed Interferometric pairs used in the stacking procedure

Master [dd-mm-yyy]	Slave [dd-mm-yyy]	Perpendicular Baseline [m]
24-12-2009	07-04-2010	21.4
24-12-2009	03-12-2010	-160.7
15-03-2010	16-04-2010	84.6
22-03-2010	16-04-2010	224.4
30-03-2010	15-04-2010	152.2
31-03-2010	15-04-2010	41.5
31-03.2010	16-04-2010	513.5

567

568 **Figure 1**

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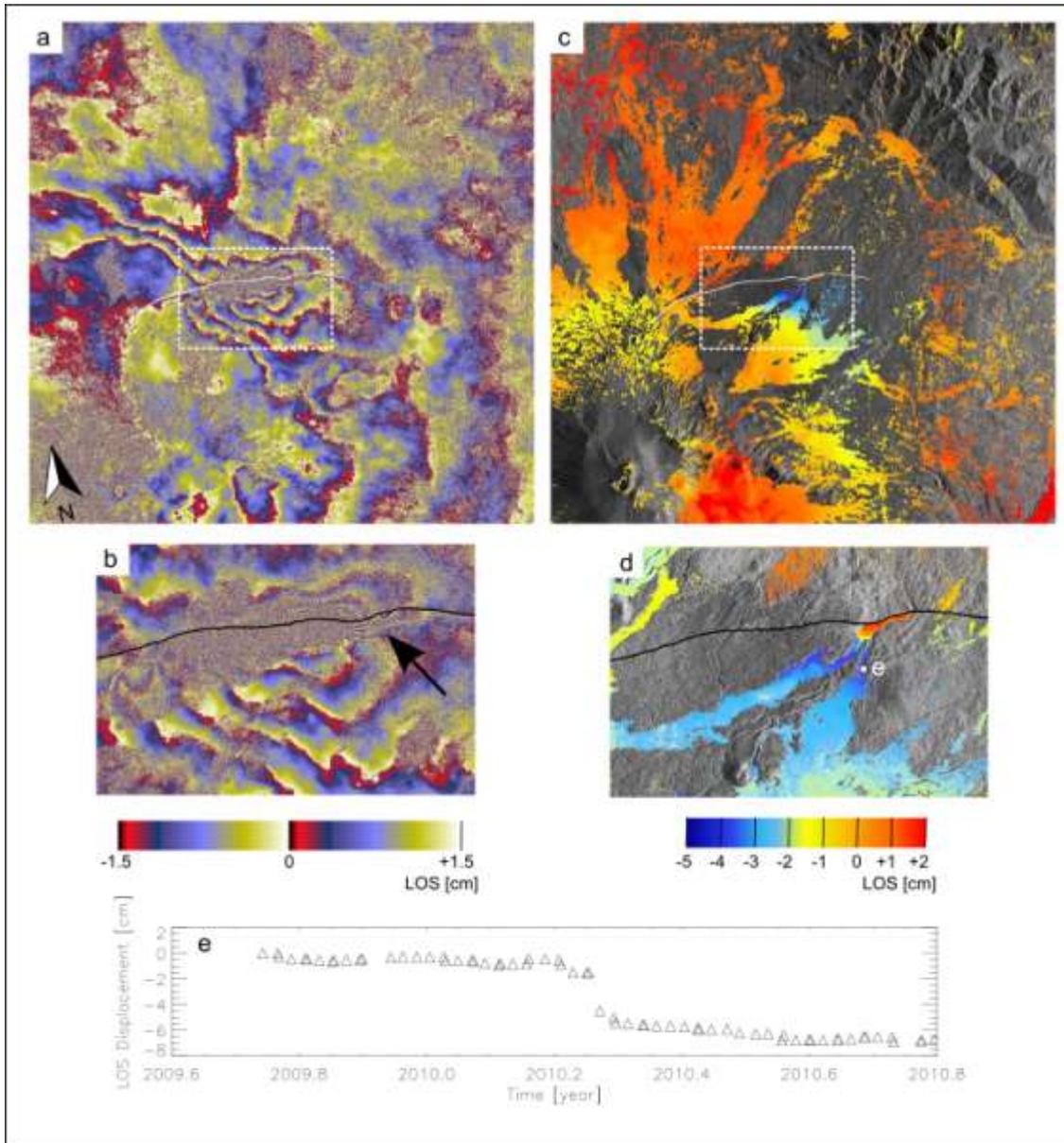
572 Figure 1 – 3D shaded relief map of Mt Etna with the structural lineament of the Pernicana
573 Fault System (PFS) shown by brown line. The epicentres of the seismic events from 2 to 3
574 April 2010 are indicated by circles (<http://www.ct.ingv.it/ufs/analisti>). The levelling
575 benchmarks (green circles) are also reported (from Guglielmino et al., 2011).

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 582 Figure 2 – (a) CSK differential interferogram in radar coordinates obtained with the data
 583 acquired along a descending orbit on 30 March 2010 and 7 April 2010. One fringe cycle
 584 corresponds to a displacement of about 1.5 cm; (b) zoom of the white box of the Fig. 2a: the
 585 interferogram is at the full resolution of the SAR sensor (3m x 3m); (c) cumulative
 586 displacement of the area of Fig. 2a obtained by applying the full resolution stacking
 587 procedure to the 7 interferograms covering the seismic event reported in Table 2; (d)
 588 cumulative displacement of the area of Fig. 2c obtained by applying the full resolution SBAS

589 processing to 66 CSK images acquired between 2009 and 2010; (e) deformation time series
590 for the point e in Fig. 2d.

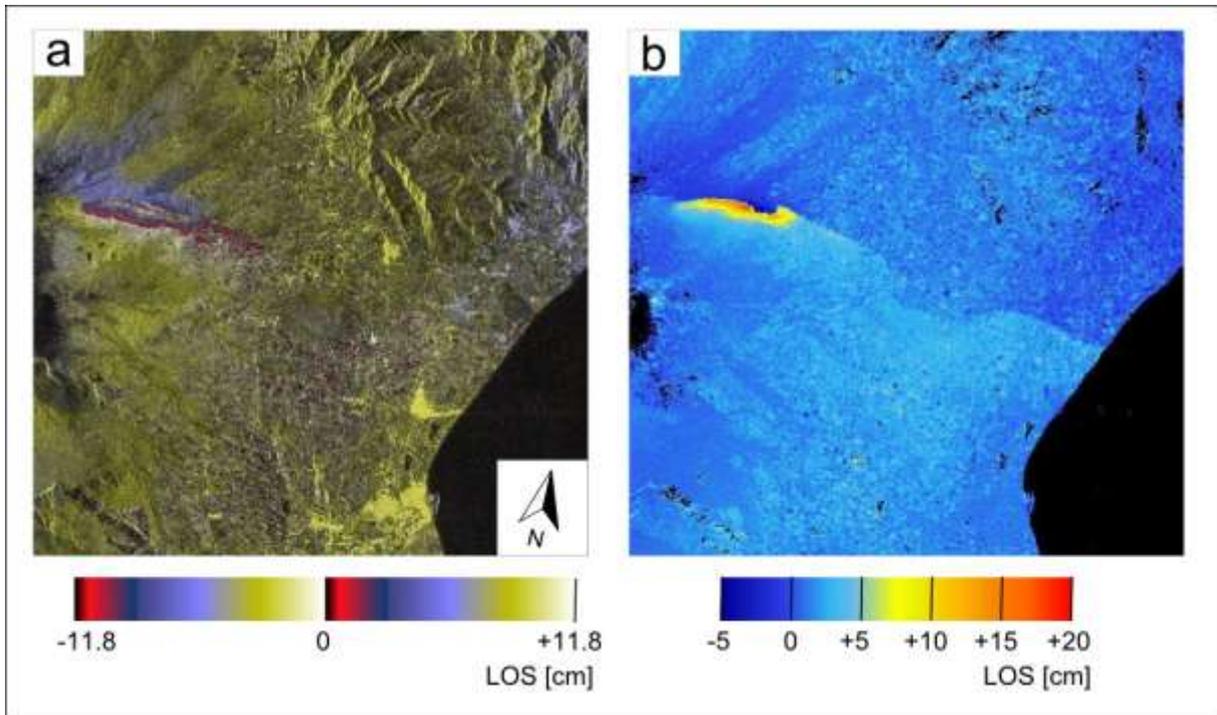
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593 **Figure 3**

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596

597 Figure 3 – (a) ALOS differential interferogram (in radar coordinates) superimposed on a SAR

598 amplitude image. One fringe cycle corresponds to a displacement of about 11.8 cm.

599 Acquisition dates are 22 March 2010 and 7 May 2010, i.e., one repeat cycle (46 days)

600 covering the seismic event. (b) ground deformation map (in LOS) corresponding to the

601 interferogram in (a).

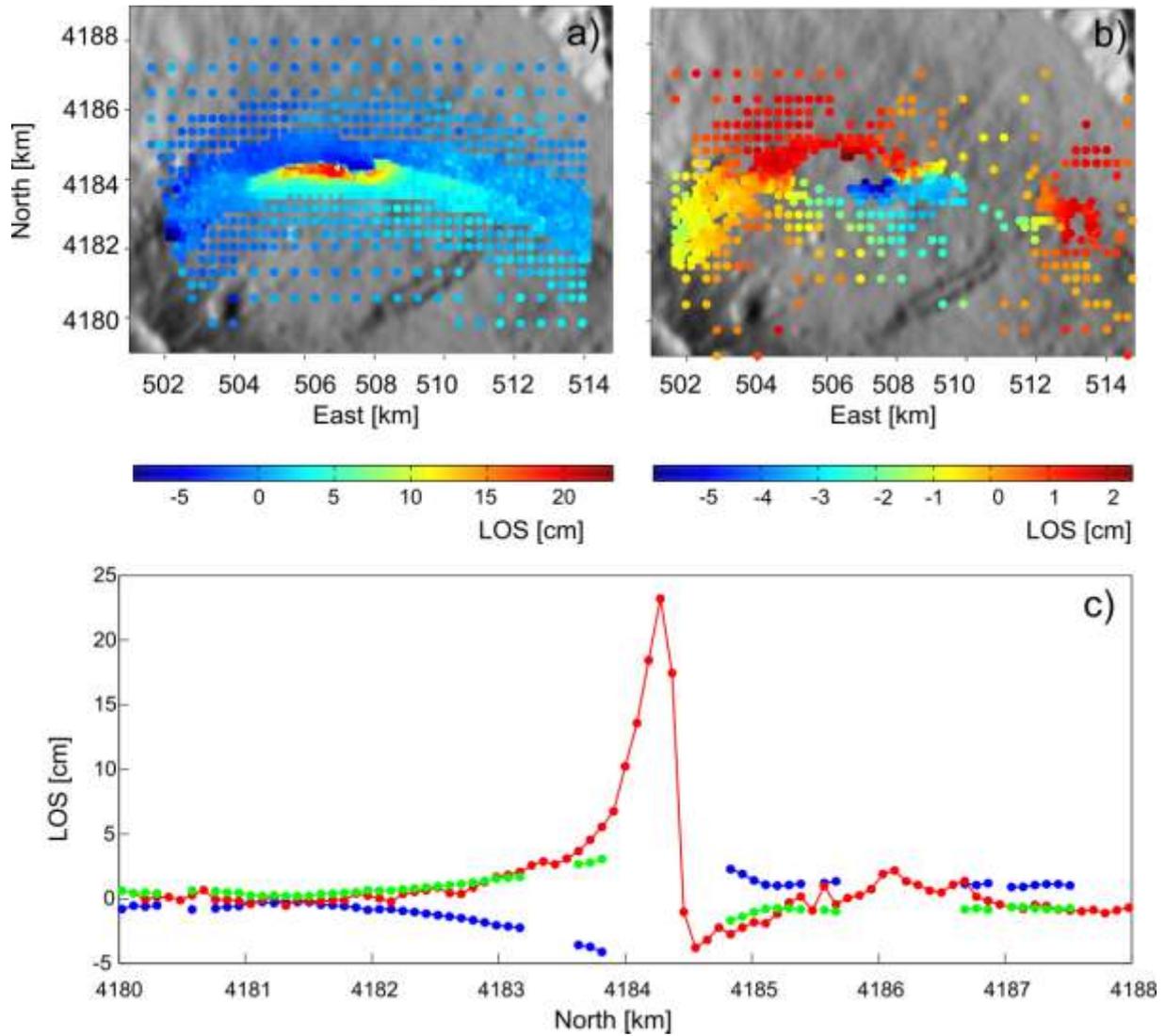
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603 **Figure 4**

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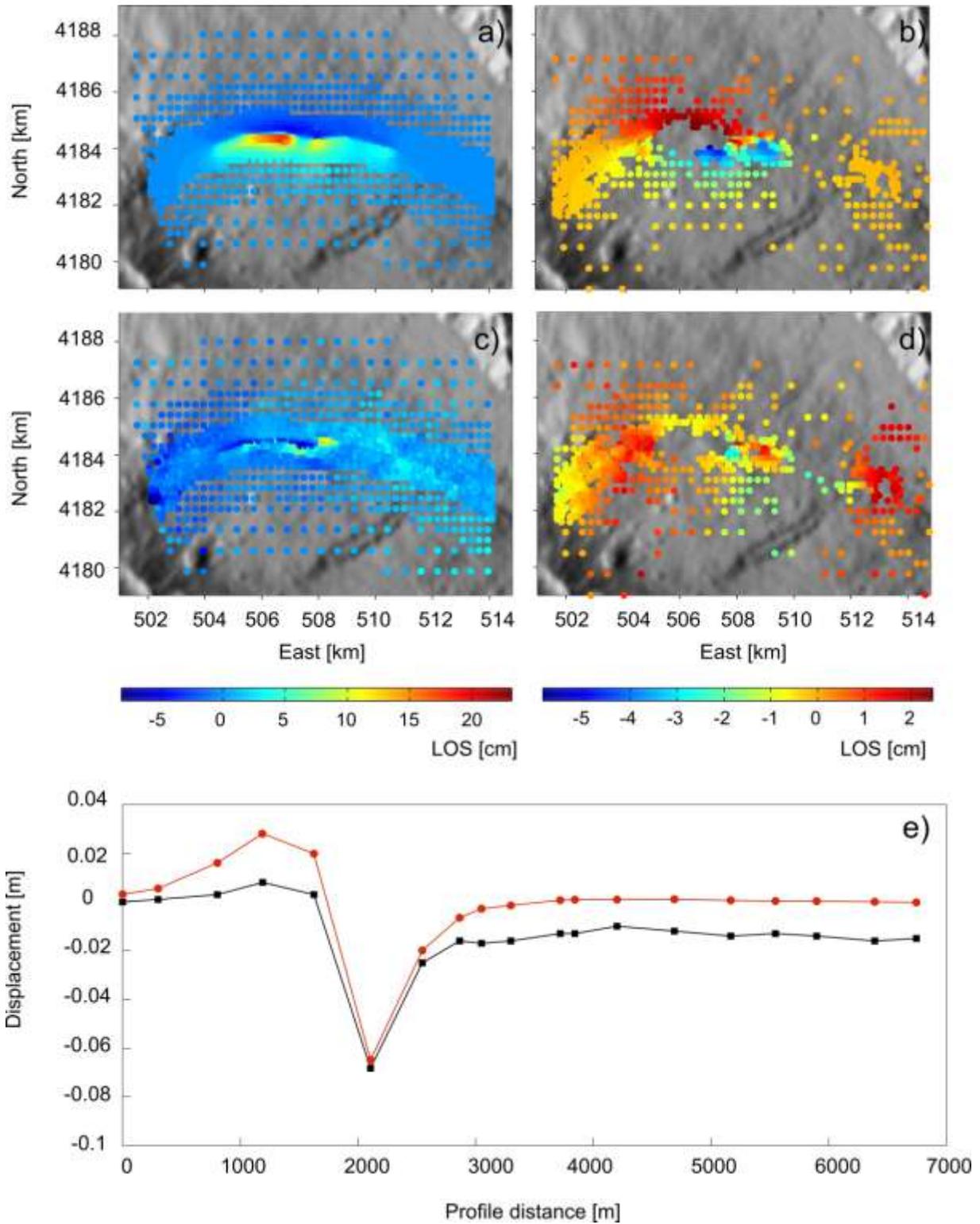


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609 Figure 4 – Sampling of ALOS (a) and CSK (b) data. LOS displacements of ALOS (red line)
610 and CSK (blue line) along the N-S profile centred at 506.8 km (c). Assuming that the
611 displacement is almost EW, the CSK LOS displacement has been projected along the line of
612 sight of ALOS (green line) to show the high agreement between the dataset from the two
613 radar systems.

614 **Figure 5**



615

616 Figure 5 – Modeled and residual LOS displacements for ALOS (a, c) and CSK (b, d).

617 Observed (black line; from Guglielmino et al., 2011) and computed (red line) vertical

618 displacement at the levelling benchmarks (e).

619

620 **Figure 6**

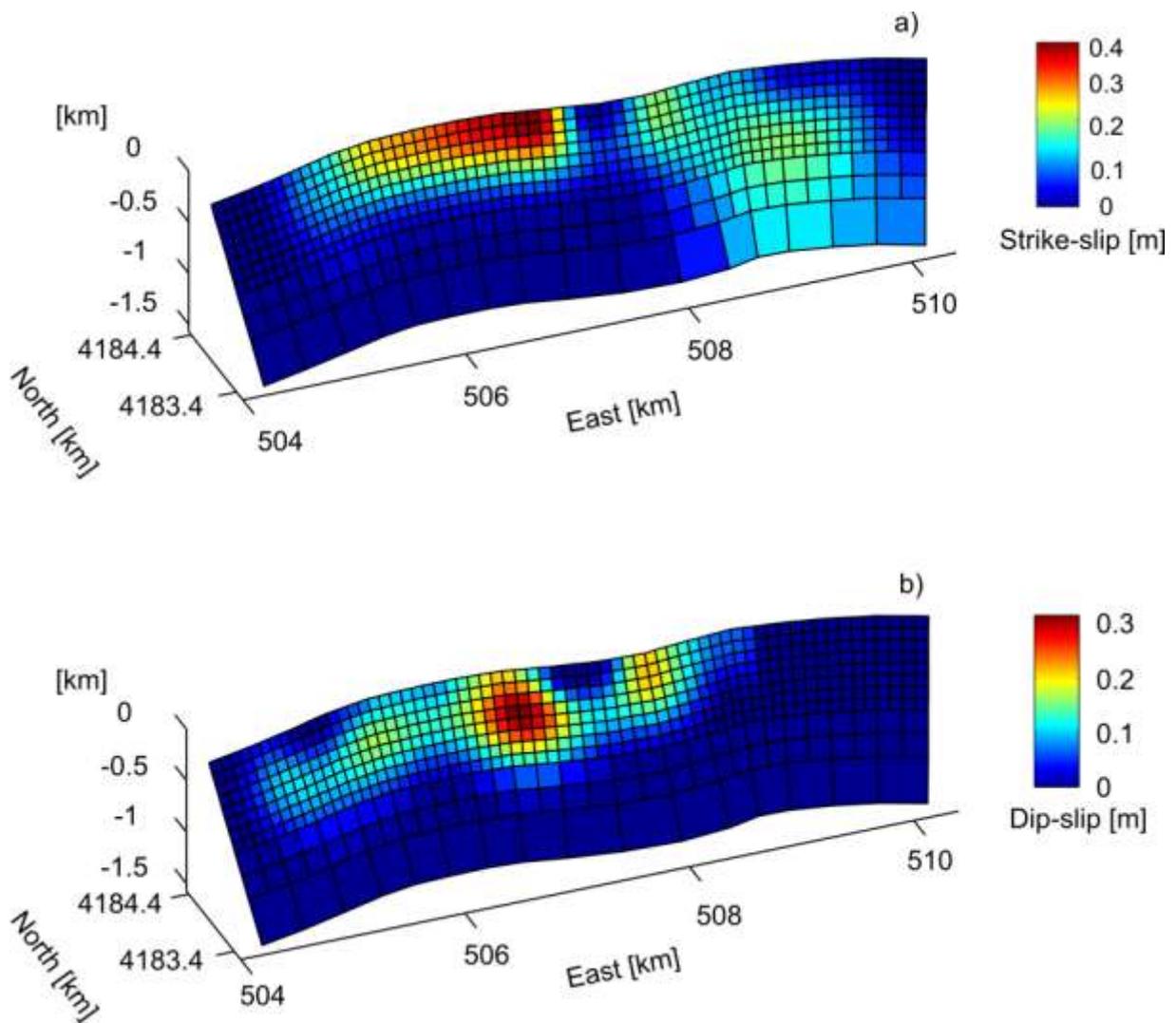
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628 Figure 6 - Slip distributions along the PFS: (a) strike-slip (positive for left-lateral movement)

629 and (b) dip-slip (positive for normal movement) components.