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Abstract: In this work, we analyze the records of the Italian strong motion database (ITACA, <http://itaca.mi.ingv.it>) with the aim of identifying stations affected by site effects that are not captured by standard seismic classification schemes. In particular, we consider four different site classifications, two of them based on geological/geophysical characteristics and two driven by data. For each classification we develop a ground motion prediction equation using a random effect approach to isolate the between-station and within-station distribution of errors. The site coefficients obtained for the different classes confirm that site amplification effects are significant for both the horizontal and vertical components. The between-station error normalized to the standard deviation of the between-station error distribution is then used to identify stations characterized by large errors, attributable to site effects not accounted for by the classification schemes. The results show that large errors can affect the predictions when the site effects are not uniquely related to the reduction of the seismic impedance in the uppermost layers. For example, amplifications of ground motion over the long period range are observed for stations installed within alluvial closed-shape basins, as consequence of locally generated surface waves. For these stations, classifications based on the horizontal to vertical response spectra ratio are not reliable, since amplifications are also affecting the vertical component. Another interesting feature which emerges from the analysis is the significant de-amplification of short period spectral ordinates that seems to be related to stations typically set in at the foundation level of massive structures. To increase the usefulness of the data set, the most important distinctive features of the strong motion stations are documented in the ITACA database reports containing the instrument information and the available geological-geotechnical data.

Response to Reviewers: Our responses to the questions raised by reviewers are attached as files.

1 Identification of accelerometric stations in ITACA with distinctive features 2 in their seismic response

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10

11 **Abstract**

12 In this work, we analyze the records of the Italian strong motion database (ITACA,
13 <http://itaca.mi.ingv.it>) with the aim of identifying stations affected by site effects that are not
14 captured by standard seismic classification schemes. In particular, we consider four different
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19 effects are significant for both the horizontal and vertical components. The between-station
20 error normalized to the standard deviation of the between-station error distribution is then
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24 uppermost layers. For example, amplifications of ground motion over the long period range
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26 locally generated surface waves. For these stations, classifications based on the horizontal to
27 vertical response spectra ratio are not reliable, since amplifications are also affecting the
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29 significant de-amplification of short period spectral ordinates that seems to be related to
30 stations typically set in at the foundation level of massive structures. To increase the
31 usefulness of the data set, the most important distinctive features of the strong motion stations
32 are documented in the ITACA database reports containing the instrument information and the
33 available geological-geotechnical data.

34

36 **1 Introduction**

37 The prediction of ground motion amplitude, generated by an earthquake of given magnitude
38 occurring at a given distance from the site of interest, is an important task for engineering
39 seismology and seismic hazard oriented studies. This task is generally accomplished by
40 considering models, called Ground Motion Prediction Equations (GMPEs), calibrated through
41 regressions, applied to strong-motion data recorded from past earthquakes (Douglas, 2003).
42 Besides the prediction of the expected median value, the statistical uncertainty affecting the
43 median is also playing an important role in assessing the seismic hazard. This uncertainty
44 arises from the intrinsic ground motion variability that cannot be fully captured by any
45 regression model. Furthermore, since the actual ground motion results from the interaction of
46 complex phenomena, related to the generation and propagation of seismic waves, several
47 simplifications are introduced in selecting a suitable model for developing the GMPEs. As a
48 result, both aleatory and epistemic uncertainties affect the predictions (Toro et al., 1997;
49 Strasser et al.; 2009; Al Atik et al., 2010).

50 Among many other factors, the characteristic of the subsurface geology can play an important
51 role in determining the level of ground shaking, as well as its spatial variability. In general,
52 the GMPEs account for site amplification effects through simplified approaches, such as the
53 introduction of site classes or site parameters as proxies for local site effects, e.g. the shear
54 wave velocity averaged over the uppermost 30 m (V_{s30}). Although the introduction of either
55 site classes or a continuous function of V_{s30} is an attempt to model the average effect of local
56 amplifications, it cannot capture the large variability affecting the site responses of stations
57 belonging to the same class or having the same V_{s30} (e.g. Bragato, 2008; 2009).

58 In the framework of Project S4 (<http://esse4.mi.ingv.it>), that has accomplished the
59 development of the new Italian strong motion database ITACA (ITalian ACelerometric
60 Archive, <http://itaca.mi.ingv.it>), several investigations, such as (a) geomorphology study (Di
61 Capua et al., 2011); (b) station-structure interaction effects (Mucciarelli, 2010) ; (c) in-field
62 monitoring activity (Bindi et al., 2011; Cara et al., 2011; Massa et al., 2010); (d) numerical
63 modelling (Lovati et al., 2011; Smerzini et al., 2011), were performed to clarify to which
64 extent the records in ITACA may show systematic bias related to characteristics of the
65 recording site. In this work, we will refer to stations showing distinctive features, i.e., those
66 stations whose predictions systematically either under or over-estimate the median predictions
67 computed for the site class they belong to.

68 The identification of a subset of ITACA stations, for which such features are apparent, is
69 expected to improve the quality of the available information in the dataset. For instance, the
70 identification of stations lying in closed-shaped alluvial basin will make more rationale the
71 selection of accelerograms in similar geological conditions for engineering applications.
72 Similarly, the end-user should be aware of records which are obtained at a rock outcrop
73 where the topography or the lateral geological heterogeneities may strongly affect the seismic
74 response. As a matter of a fact, researchers and professionals, who access a strong motion
75 database to download strong-motion records satisfying prescribed criteria, in most cases are
76 not aware of the actual recording conditions and merely rely upon the available, often
77 qualitative, seismic classification of the site. Therefore, although the selection meets the target
78 magnitude, such as distance and site classification, the accelerograms may not be suitable for
79 the engineering or seismic hazard applications they were selected for, because their peak
80 values or spectral content can be affected by complex source, path or site effects. It follows
81 that the identification of such stations and the description of their distinctive features in the
82 station monograph, can substantially improve the quality of the database.

83 This article is organized as follows. We first derive a set of GMPEs for spectral accelerations,
84 considering four different site classification schemes. For each scheme, the residuals between
85 observations and predictions are computed and the between-station contribution
86 (corresponding to the site-to-site variability as defined in Al Atik et al., 2010) isolated by
87 considering a random effect model (Abrahamson and Youngs, 1992). Finally, stations
88 showing distinctive features are identified by analyzing the between-station distribution of
89 error normalized to its standard deviation and possible causes of the observed systematic over
90 or underestimations are discussed.

91

92

93 **2. Between-station error distribution**

94 When observations and predictions are compared, systematic over or under-estimations may
95 be observed because of several causes, such as: i) geological and/or morphological conditions
96 that may cause ground motion amplification or de-amplification in certain period ranges,
97 usually not accounted for in standard site classification schemes; ii) earthquake source and
98 directivity effects, high/low stress drops, shallow/deep hypocenters, which may lead to
99 ground motions amplitudes significantly beyond/below the standard dispersion bands for a
100 group of records; iii) the interaction between the recording stations and the hosting or nearby
101 structures.

102 Independently from the cause, these features can be detected by analyzing the average
103 residuals of several records at the same station, or records of the same earthquake at several
104 stations.

105 Figure 1 shows the comparison between the spectral acceleration, predicted by a GMPE
106 derived for Italy (Bindi et al., 2010) and the observations of the same earthquake recorded at
107 different stations (left), or several earthquakes recorded at the same station (right). On the left
108 panel the spectral accelerations at 0.1s, predicted for a magnitude 4.25 earthquake (black
109 curve), are compared to the observations of a magnitude 4.3 earthquake occurred on
110 2002/11/04 (red circles) and a magnitude 4.2 earthquake occurred on 1997/10/07 (blue
111 circles). The positive (blue) and negative (red) between-event errors obtained for the two
112 earthquakes indicate that, on average, the predictions under- and over-estimate the
113 observations, respectively. Similarly, in the right panel, the spectral acceleration at 1.75 s,
114 predicted for a magnitude 5.5 earthquake, systematically under-estimate the observations at
115 the GBP site (red) and over-estimate those at CLC (blue), while the average error for the AVZ
116 (green) site is almost zero.

117 Since we aim at identifying the stations showing distinctive features (such as GBP and CLC
118 in Figure 1), in this study the regressions to calibrate the GMPEs are performed by applying a
119 random effect approach (e.g., Abrahamson and Youngs, 1992) but separating the between-
120 station and within-station components (e.g. Bindi et al., 2006), that is:

$$121 \quad r_{i,j} = \varepsilon_i + \eta_{i,j} \quad (1)$$

122 where $r_{i,j}$ is the residual (difference between the logarithm of observation and prediction)
123 relevant to earthquake j recorded at station i , ε is the between-station (or inter-station) and η
124 the within-station (or intra-station) error, as shown in the left panel of Figure 1. The
125 terminology between-station and within-station is derived by analogy with the between-event
126 and within-event terminology introduced by Al Atik et al. (2010). The two error distributions
127 are assumed to be independent and normally distributed, with between-station standard
128 deviation τ and within-station standard deviation ϕ , respectively (e.g., Bindi et al.; 2006;
129 Bindi et al.; 2009a). The total standard deviation σ , associated to median prediction from
130 model (1), is $\sigma = \sqrt{\tau^2 + \phi^2}$. It is worth remembering that in model (1) the between-event
131 component of variability, describing the average residuals for different records of the same
132 earthquake, as shown in the left panel of Figure 1, is part of the within-station component of
133 error.

134

135 **3. Data set**

136 The strong-motion data used to derive the GMPEs are retrieved from the ITACA strong
137 motion database (Luzi et al., 2008), and have been classified using four different site
138 classification schemes, described in Table 1. The first scheme, hereinafter referred to as SP, is
139 composed by three classes identified mainly by geological characteristics (Sabetta and
140 Pugliese, 1987, 1996). It separates rock sites (class 0) from soil sites, where the soil sites are
141 in turn divided into shallow alluvium (class 1) and deep alluvium (class 2), depending on a
142 threshold sediment depth of 20 m. The second classification scheme, hereinafter referred to as
143 EC8, is the one introduced by Eurocode8 (CEN, 2004). EC8 discriminates 5 main classes, A
144 through E, based on intervals of the shear wave velocity averaged over the uppermost 30m
145 (V_{s30}), as described in Table 1. For defining class E, the depth of the sediments is also
146 considered. It is worth to specify that about 100 stations in ITACA have an in-site measured
147 shear-wave velocity profile. For the rest of the stations the EC8 class was inferred mainly on
148 the base of geological information.

149 The other two schemes are data driven and are based on the ratio between the horizontal and
150 vertical acceleration response spectra (5% damping), HVRS. In particular, after Zhao et al
151 (2006), Di Alessandro et al (2008) introduced a classification, hereinafter referred to as
152 DiAlAl, based on the site predominant period (Table 1). Luzi et al (2011) proposed a site
153 classification, hereinafter referred to as LuAl, including 5 classes, F-0 through F-4, based on
154 the site fundamental frequency (Table 1). The regressions are performed considering different
155 data sets, since the information is not sufficient to classify all stations accordingly to the four
156 different schemes.

157 The number of stations, earthquakes and recordings, used to develop the GMPEs for the
158 different classification schemes are listed in Table2. The selected records, for all the adopted
159 classification schemes, span the magnitude range 3.5-6.9 and the maximum distance is 300
160 km, as shown in Figure 2.

161

162 **4. Evaluation of GMPEs**

163 A set of GMPEs has been developed considering the four site classification schemes,
164 previously described. The functional form for predicting the median ground motion is a
165 simplified version of the Boore and Atkinson's (2008). In particular, since the maximum
166 magnitude of the analyzed data set is 6.9, we do not introduce the hinge magnitude and we do
167 not include terms describing the non-linear soil behaviour. The functional form is the
168 following:

169 $\log_{10} Y = e_1 + F_D(R, M) + F_M(M) + F_S + F_{sof}$ (2)

170 where e_1 is the constant term, $F_D(R, M)$, $F_M(M)$, F_S and F_{sof} represent the distance function,
 171 the magnitude scaling, the site amplification and the style of faulting correction, respectively.
 172 M is the moment magnitude or the local magnitude for weak events ($M < 4.5$), R is the
 173 Joyner-Boore distance or the epicentral distance (in km) when the fault geometry is unknown
 174 (generally when $M < 5.5$).

175 The strong motion parameter Y considered for the regressions is the 5% damping acceleration
 176 response spectra (Sa, cm/s²), considering 23 periods from 0.04 s to 4 s. The proposed equation
 177 for the distance function is:

178 $F_D(R, M) = [c_1 + c_2(M - M_{ref})] \log_{10} \left(\sqrt{R_{JB}^2 + h^2} / R_{ref} \right) + c_3 \left(\sqrt{R_{JB}^2 + h^2} - R_{ref} \right)$ (3)

179 whereas the magnitude function is:

180 $F_M(M) = b_1(M - M_{ref}) + b_2(M - M_{ref})^2$ (4)

181 where $M_{ref} = 5$ and $R_{ref} = 1$ km.

182 The functional form F_S in equation (2) represents the site amplification and it is given by $F_S =$
 183 $s_j C_j$, for $j=1, \dots, N_{classes}$, where s_j are the coefficients to be determined through the regression
 184 analysis, and C_j are dummy variables used to denote the different site classes (Table 1). The
 185 functional form F_{sof} represents the style of faulting correction and it is given by $F_{sof} = f_j E_j$, for
 186 $j=1, \dots, 4$, where f_j are the coefficients to be determined during the analysis and E_j are dummy
 187 variables used to denote the different fault classes. We considered 4 types of style of faulting:
 188 normal (N), reverse (R), strike slip (SS) and unknown (U). The distributions of the analyzed
 189 records for different site classes are shown in Figure 2. In performing the regressions, the rock
 190 site coefficients, i.e. classes 0 (SP), A (EC8), F-0 (LuAl), CL-V (DiAAI), are constrained to
 191 zero and used as reference for the other site coefficients. We also constrained to zero the style
 192 of faulting coefficient of the unknown class (f_4), as well as the average of the style of faulting
 193 coefficients ($f_1 + f_2 + f_3 = 0$). The regressions are performed considering the geometrical mean
 194 of the recorded horizontal (hereinafter GeoH) and the vertical components (hereinafter Z).

195 Before discussing the results we remind that the aim of this work is to debate the distribution
 196 of residuals, obtained considering different site classification schemes, and not to provide
 197 alternative GMPEs to be used for the Italian territory.

198

199 **4.1 Site coefficients**

200 In equation (2), the site coefficients s_j quantify the period dependent average amplification for
201 each considered site class ($j=1,\dots,N$, where N is number of classes), with respect to a
202 reference class. The site coefficients as a function of the period, obtained for the four
203 classification schemes, are shown in Figures 3a (GeoH) and 3b (Z).

204 For the GeoH component, large site terms are found for all classification schemes.
205 Amplifications at long periods, peaked at about 1 s, occur for classes 2 (SP), class D (EC8),
206 class F-1 (LuA1), and CL-IV (DiA1A1). Most stations installed in alluvial basins belong to
207 these classes.

208 Furthermore, class E (EC8) and class F-3 (LuA1) show amplifications larger than 0.6 over the
209 short period range, with a maximum amplification at 0.1 s. These classes include few stations
210 and, in particular, the stations co-located in Nocera Umbra (i.e. NCR and NCR2), which are
211 stations with a considerable number of recordings in the ITACA database. Therefore, the site
212 coefficients for classes E and F-3 are representative of the strong amplification occurring at
213 this site (Rovelli et al.; 2002; Cultrera et al. 2003; Castro et al., 2004). Finally, classes F-2
214 through F-3 (LuA1), as well as classes CL-I through CL-IV (DiA1A1), show coefficients larger
215 than 0.4, with peaks centered at periods shorter than 0.3s, in agreement with the boundaries
216 used to define each class.

217 The site amplifications affecting the vertical component are also not negligible, as shown in
218 Figure 3b. In particular, for stations installed within alluvial basins (e.g. F-1 and CL-IV) large
219 amplifications over a broad range of periods (0.6s – 2s) are predicted. It is worth noting that,
220 when sites show amplification at long periods (e.g. class D of EC8), the maximum values for
221 the vertical component occur at periods shorter than the horizontal ones, as in theory and in
222 agreement with the empirical results obtained for several alluvial basins in Central Italy
223 (Castro et a.; 2004; Luzi et al.; 2005), where 2D-3D site effects related to locally generated
224 surface waves have been identified.

225

226 **5. Analysis of between-station residuals**

227 Figure 4 shows an example of between-station residuals ε for the GeoH component obtained
228 at four different periods, 0.1s (top left), 0.25s (top right), 1s (bottom left) and 2s (bottom
229 right), considering the data set classified according to the EC8 scheme.

230 Large dispersion of the between-station residuals are observed for classes A (red) and B
231 (orange) in the short to medium period range (top). The seismic response of stations classified
232 as rock or stiff sites may be typically modified by topographic effects, thin weathered rock
233 layers or soil-structure interaction. A well known example of such stations is Tolmezzo-
234 Ambiesta, TLM, classified as rock. The TLM record of the M 6.4, 1976 Friuli earthquake has
235 been largely used to calibrate attenuation relationships in Italy and Europe (Ambraseys et al.,
236 2004) and as an input for structural analyses and/or site amplification studies. Barnaba et al
237 (2007) demonstrated that the high amplification observed at this station can be attributed to
238 the interaction with the Ambiesta dam, being the station located above the abutment.

239 Class B sites, characterized by V_{s30} ranging from 360 to 800 m/s, have a seismic response
240 that may be amplified over quite different frequency ranges as exemplified by stations AQQ
241 and AQV, which recorded the 2009 L'Aquila seismic sequence. Although these sites are both
242 classified as B, they show the largest amplification peak at very different frequencies, 1.6 s
243 and 0.3s, respectively.

244 At longer periods (Figure 4, bottom), the dispersion of classes A and B decreases, while
245 several class C (cyan curve) stations show large positive errors (under-estimation). Most of
246 them are installed in alluvial basins (e.g GBP, Gubbio Piana; BTT2, Borgo Ottomila; RTI,
247 Rieti) and their recordings are strongly contaminated by locally generated surface waves.

248 In the following, we illustrate how the between-station error distributions for specific stations
249 can be used to infer the site response and the reliability of the assigned site category. The
250 results are discussed in terms of between-station error ε normalized to τ , to quantify the misfit
251 with the median prediction as number of standard deviations. Four sites, belonging to
252 different classes, are shown as example in Figure 5, for the mean horizontal (left) and vertical
253 (right) components.

254 The between-station residuals at Gubbio Piana (GBP, triangle, class C) and Rieti (RTI, circle,
255 class D), both located within close-shaped alluvial basins, indicate that predictions strongly
256 under estimate (normalized residuals close to or larger than 2) the observations at periods
257 longer than 1 s, accordingly to previous studies (e.g. Ambraseys et al., 2005). The case of
258 station GBP is well known as it has been the subject of several studies (e.g., Pacor et al.,
259 2007; Bindi et al., 2009b; Smerzini et al., 2011). The seismic response of this station is
260 strongly affected by the presence of surface waves, generated within the basin, which cause
261 significant amplifications at long periods both on vertical and horizontal components. A
262 similar behavior can be observed for RTI station. Figure 6 shows the three components of the

263 recordings of the M=5.7, 1997 Umbria-Marche earthquake at RTI and their S-transform
264 (Stockwell, 1996). Low-frequency late arrivals affect both vertical and horizontal
265 components. The time-frequency domain plots show that late arrivals increase the spectral
266 amplitudes over the frequency range 0.5 - 2 Hz. Since the EC8 schemes do not account for
267 2D-3D site effects, the presence of stations installed within alluvial basins contribute to the
268 variability of residuals observed for classes C and D.

269 Figure 5 also shows the normalized residuals for two EC8 class A sites (red symbols), namely
270 station PGL (Peglio) and SMT (Somplago Centrale). Strong under-estimation and over-
271 estimations are observed for PGL (star symbol) and SMT (square symbol), respectively, that
272 can be explained by the specific installation features. On one side, PGL is installed on
273 weathered marly sandstones in correspondence of a ridge with average slope less than 30°.
274 The under-estimation, occurring in the period range 0.2 - 0.3 s, shown by the normalized
275 residuals, could be related to topographic effects (e.g., Géli et al, 1988; Paolucci, 2002), while
276 the under-estimation at short periods could be related to the presence of weathered rock. On
277 the other side, the strong over-estimations obtained for SMT are probably due to the
278 installation of this station within a tunnel and the recording may be affected by destructive
279 interference phenomena between the incident and surface-reflected waves (e.g. Tucker et al.,
280 1984).

281 The normalized between-station errors relative to different classifications for the same station
282 can be also compared. An example is shown in Figure 7a, where the errors obtained with EC8
283 and DiAIAI classifications are compared for the station CESV, classified as C in the EC8
284 scheme. From the HVSR curve (Figure 7b) the predominant period of CESV is 0.2s and the
285 site is classified as CL-I (DiAIAI). This classification produces residuals larger than one τ for
286 periods larger than 0.2 s, as well as the EC8 scheme. CL-I mainly includes rock and stiff sites,
287 so the strong amplification occurring at CESV outside the period range defining class CL-I,
288 leads to an under-estimation of the observations for periods larger than 0.2s. On the other
289 hand, the strong peak at 0.5 s is evident for both classifications, indicating that this feature is
290 distinctive of this station.

291 In conclusion, the analysis of the period dependent ε/τ ratio allows to identify those stations
292 with remarkable differences with respect to the average response of their pertinence class.

293

294 **6. Analysis of accelerometric stations in ITACA**

295 To obtain sufficiently stable results and to summarize them in a synthetic format suitable to

296 be included into the station reports of the ITACA database, we considered the between-station
297 errors only for the ITACA stations which recorded at least 6 earthquakes with magnitude
298 larger than 3.5. As a tentative rule, a station is considered to present “anomalies”, or
299 distinctive features, in its seismic response if the normalized station error exceeds the \pm
300 1τ dispersion band in a given period range. Furthermore, to guarantee that the results do not
301 depend on the adopted classification, all four schemes introduced in this paper have been
302 considered. Therefore, an anomalous seismic response of the station is detected if the
303 between-station errors lie above or below the standard dispersion band at low, intermediate or
304 long periods, for most of the adopted classification schemes. Results for the totality of the
305 stations can be found in Paolucci and Bindi (2010), and in the single station reports
306 downloadable from ITACA website. Typical examples of such results, roughly covering the
307 identified anomalous types of response, are summarized in Figure 8. These and other
308 examples will be briefly illustrated in the following paragraphs.

309

310 *(a) ε/τ ratio above the standard dispersion band at short periods (Figure 8a)*

311 This feature is represented by few cases of the dataset and is generally related to rock sites
312 with a shallow weathered layer (< 10 m). A well-known case is Nocera Umbra (Central Italy),
313 NCR/NCR2 (Rovelli et al., 2002), reported in Figure 8a. In this case, the presence of a buried
314 “wedge” of weathered rock was supposed to be the main cause of the large peak of
315 amplification at high-frequency. In the case the station is correctly classified as class E of the
316 EC8, the errors are strongly reduced. Another interesting case of large amplifications in the
317 short period range is the Caltagirone station (CLG), shown in Figure 9. The station is
318 classified as class B, F-1 or CL VII according to different schemes and no large high-
319 frequency amplification peaks are expected (Figure 3a). However, the large amplitudes at
320 short periods observed for both components represent a distinctive feature of this station,
321 probably related to a thin soil layer with shear wave velocity equal to 250 m/s, as shown in
322 Figure 9.

323

324 *(b) ε/τ ratio above the standard dispersion band for short and long periods (Figure 8b)*

325 This feature could be associated to sites characterized by topographic irregularities. The
326 clearest cases are Cascia (CSC, Fig. 8b) and Peglio (PGL, Figure 5) stations. Another
327 example is station Naso (NAS), located on a rocky slope and characterized by large over-
328 estimations, with a peak centred at 0.2 and 0.3 s on the horizontal and vertical components,
329 respectively (Figure 10). Finally, several stations that recorded the 1980 Irpinia earthquake

330 (M 6.9) and its aftershocks show this feature. Further analyses on the within-station
331 component of the error are necessary to verify the possibility that the between-event
332 component of the error was not completely separated from the between-station component for
333 these records.

334

335 *(c) ε/τ ratio above the standard dispersion band at long periods (Figure 8c)*

336

337 The ε/τ ratio being exceeded at long periods is one of the most interesting features of the
338 database and it can be very often associated to the long-period basin-induced amplification
339 effect, as illustrated in Figure 11, where all the plots refer to stations within alluvial basins.
340 The amplification is related to the onset, propagation and reverberation of surface waves
341 within the alluvial basins, as explained in more detail in this Special Issue by Smerzini et al.
342 (2011), who made use of advanced 3D numerical approaches for seismic wave propagation in
343 complex alluvial basins, and by Bindi et al. (2009b; 2011) and Cara et al (2011), who
344 explored the in-field observations by temporary arrays installed inside the Gubbio, Norcia and
345 Fucino basins.

346 *(d) ε/τ ratio below the standard dispersion band for short periods (Figure 8d).*

347

348 This feature is rather frequent among the stations of the ITACA database. Four out of them
349 (CAMO, CAST, CMM, SEP) have recorded exclusively the 2002 Molise earthquake
350 sequence, so that it may be argued that the between-event correction may not have completely
351 removed the bias related to the specific characteristics of this seismic sequence (Bindi et al.,
352 2009a). However, it is interesting to note that other stations belonging to this class, the results
353 of which are shown in Figure 12, have the common characteristic of being located at the
354 basement of massive buildings. Therefore, the de-amplification of short period spectral
355 ordinates may be attributed to a kinematic interaction effect (see e.g. Stewart, 2000), as a
356 result of high-frequency filtering of the ground motion by the embedded foundation.

357

358 *(e) ε/τ ratio below the standard dispersion band for short and long periods (Figure 8e).*

359 Similarly to case (d), this feature is represented by four stations having recorded the Molise
360 earthquake and by two stations, namely Spoleto Cantina (SPC) and Somplago Centrale
361 Cunicolo (SMT), whose records are likely to be affected by interaction with the hosting
362 structure. Furthermore SMT is an underground station located inside the tunnels of the

363 pumping stations of the Somplago power plant, so that this may be the cause of the broadband
364 de-amplification of ground motion.

365

366

367

368 *(f) ε/τ ratio below the standard dispersion band for long periods (Figure 8f).*

369 The only station clearly showing this feature is Monte Fiegni (MNF), which is located within
370 a very complex geomorphological environment, at the border of an artificial basin.

371

372 **Conclusions**

373

374 Local site effects play an important role in determining the level of the ground shaking and its
375 spatial variability. Ground motion predictive models should always include a description of
376 these effects. However, since the available geological-geotechnical information about the site
377 where the strong motion stations are installed is often poor, strong simplifications in modeling
378 site effects is unavoidable when developing GMPEs. It follows that a significant variability
379 of the residuals between observations and predictions should be expected, both due to the
380 complexity of the actual mechanisms generating site effects and to the simplified way in
381 which they are accounted for in GMPEs.

382 In this work we analyzed the records of the Italian strong motion database (ITACA) with the
383 aim of identifying the stations affected by peculiar site effects, which cannot be captured by
384 simplified classification schemes. In particular, we considered four different classifications,
385 two based on geological/geophysical information and two driven by recorded data. We used
386 the ratio of the between-station error and the between-station standard deviation, to identify
387 site effects not accounted for by the classification schemes.

388 This analysis allowed us to identify a broad set of cases for which complex geological
389 conditions or station-structure interactions affect significantly the ground motion. In
390 particular, we found two rather peculiar examples of such effects, namely:

391 - the long period amplification of ground motion affecting stations installed within alluvial
392 closed-shape basins (Figure 11);

393 - the de-amplification of short period spectral ordinates for embedded stations, typically
394 installed at the foundation level of massive structures (Figure 12).

395 While the first effect is well documented by earlier studies on stations of the Italian strong
396 motion network, the second case deserves further studies. Moreover, it should be noted that,
397 aside from the case depicted in Figure 8b, stations installed on topographic irregularities do
398 not provide any systematic trend on results, at least within the dataset considered in this work.
399 Finally, this study confirms that classification schemes based on the site frequency
400 (predominant or fundamental), derived from horizontal-to-vertical spectral ratios, do not
401 improve predictions for stations installed in alluvial basins, since locally generated surface
402 waves affect both horizontal and vertical components. Parameters complementary to the
403 frequency, probably related to the geometry and/or thickness of the deposit, are necessary to
404 properly classify stations with these characteristics.

405

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411

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528 **FIGURE CAPTIONS**

529

530 Figure 1. Left: median spectral acceleration in $[m/s^2]$ at 0.1 s predicted (Bindi et al. 2010) for
531 a magnitude 4.25 earthquake (black) and observations for two different earthquakes (circles)
532 with similar magnitude. The median values plus the between-event error of the two
533 earthquakes are shown as coloured lines. Right: median spectral acceleration (black) at 1.75 s
534 predicted for a magnitude 5.5 earthquake and observations at three different stations (GBP,
535 CLC and AVZ) of different earthquakes with similar magnitudes (circles). The median values
536 plus the between-station (ϵ) and within-station (η) errors at the three stations are indicated as
537 well (see also equation 1).

538

539 Figure 2. Magnitude versus distance scatter plot for different site classification schemes. Top:
540 SP classification (left); EC8 classification (right). Bottom: LuAl classification (left); DiAlAl
541 classification (right). Different colors indicate different classes in each frame.

542

543 Figure 3. Site coefficients obtained for the different classification schemes described in Table
544 1. Different symbols correspond to different classes, as shown in each frame: a) horizontal
545 components, b) vertical component

546

547 Figure 4 Inter station errors. The circles are color coded accordingly to the EC8 classes
548 (colors from red to blue correspond to classes A through E, respectively). Top: 0.1s (left) and
549 0.25 s (right). Bottom: 1s (left) and 2 s (right).

550

551 Figure 5 (Left) Period dependence of the between-station residuals normalized to the
552 between-station standard deviation for some stations (EC8 classification). Blue indicate
553 station classified as C; red as A. Circle: RTI; triangle: GBP; diamond: PGL; square: SMT;
554 (Right) vertical component

555

556 Figure 6. Top: recordings of the Umbria-Marche mainshock ($M_w=5.7$) at station RTI (Rieti,
557 epicentral distance 66 km). The time series over the three components are filtered over the
558 frequency band 0.1-25 Hz. Bottom: time-frequency images computed by applying the S-
559 transform (Stockwell, 2006). The S-transforms are normalized to the maximum estimated
560 over the three components and a common logarithmic color scale from -4 (blue) to 0 (red) is
561 used.

562

563 Figure 7. Cesi Valle (CSV) station. a) Station residuals normalized to the between-station
564 standard deviation for station CESV and different site classification schemes. Results for
565 geoH component are shown in blue (DiAlAl) and gray (EC8). The results for the vertical
566 component obtained considering EC8 are shown in red. b) Horizontal to Vertical ratio of
567 response spectra computed from accelerograms recorded at station CESV (black lines). The
568 mean ± 1 standard deviations are shown as red lines. Left: ratio between the East-West (EW)
569 and vertical (Z) components; right: ratio between the North-South (NS) and vertical
570 components.

571

572 Figure 8 Types of anomalous responses recognized in the ITACA database. Top: spectral
573 ordinates above the standard dispersion band of GMPEs a) short periods; b) both short and
574 long periods c) long periods.

575 Bottom: Spectral ordinates below the standard dispersion band of GMPEs d) short period e)
576 both short and long periods f) long periods

577

578 Figure 9 Caltagirone station (CLG). Normalized error distribution for: a) horizontal
579 component; b) vertical component; c) Vs profile estimated with surface waves (ITACA
580 report, 2010)

581

582 Figure 10 Naso station (NAS). Normalized error distribution for: a) horizontal component; b)
583 vertical component. the site is classified as rock on the base of geological information.

584

585 Figure 11 Stations Gubbio Piana (GBP), Rieti (RTI), Norcia (NCR), Bevagna (BGV), and
586 Castelnuovo Assisi (CSA) are located on alluvial deposit within typical basins of central Italy,
587 having tectonic origin. Catania (CAT) is installed on the alluvial plain of Catania city
588 (southern Italy) characterized by very soft deposits.

589

590 Figure 12 Stations Antrodoco (ANT), Avezzano (AVZ) and Fiamignano (FMG), installed
591 inside buildings.

592

593

594 **TABLE**

595

596 **Table 1: Site classification schemes adopted in this study.**

SP87 (Sabetta and Pugliese; 1987)	0	1	2				
Geological description	>rock site	Shallow alluvium	Deep alluvium				
EC8 (2004)	A	B	C	D	E		
$V_{s,30}$ (m/s)	>800	360–800	180–360	< 180	shallow soil layer with $V_{s,30}$ of class C or D and thickness varying between 5 and 20m. overlaying stiffer material ($V_{s,30}>800$ m/s)		
DiAlAl (Di Alessandro et al.; 2008)	CL-I	CL-II	CL-III	CL-IV	CL-V	CL-VI	CL-VII
Predominant period T_g (s)	< 0.2	0.2-0.4	0.4-0.6	>0.6	T_g not identifiable (flat H/V and amplitude < 2)	broad amplification/ multiple peaks @ $T_g > 0.2$ sec.	T_g not identifiable (multiple peaks over entire period range)
LuAl (Luzi et al.; 2011)	F-0	F-1	F-2	F-3	F-4		
Fundamental frequency (Hz)	HVSR amplitude < 2	1.1±0.5	3.5±0.8	6.3±1	HVSR with Broad Band amplification		

597

598 Table 2: Number of stations, earthquakes and records used for each classification scheme
599 used in this study. The number of recordings for each class (Table 1) is shown in the last
600 column between parentheses.

Classification	# stations	# earthquakes	# records
SP	225	339	1181 (503;352;326)
EC8	391	360	1616 (698;463;328;46;81)
LuAl	180	329	1099 (241;301;196;200;161)
DiAlAl	178	303	939(225;163;132;155;87;66;111)

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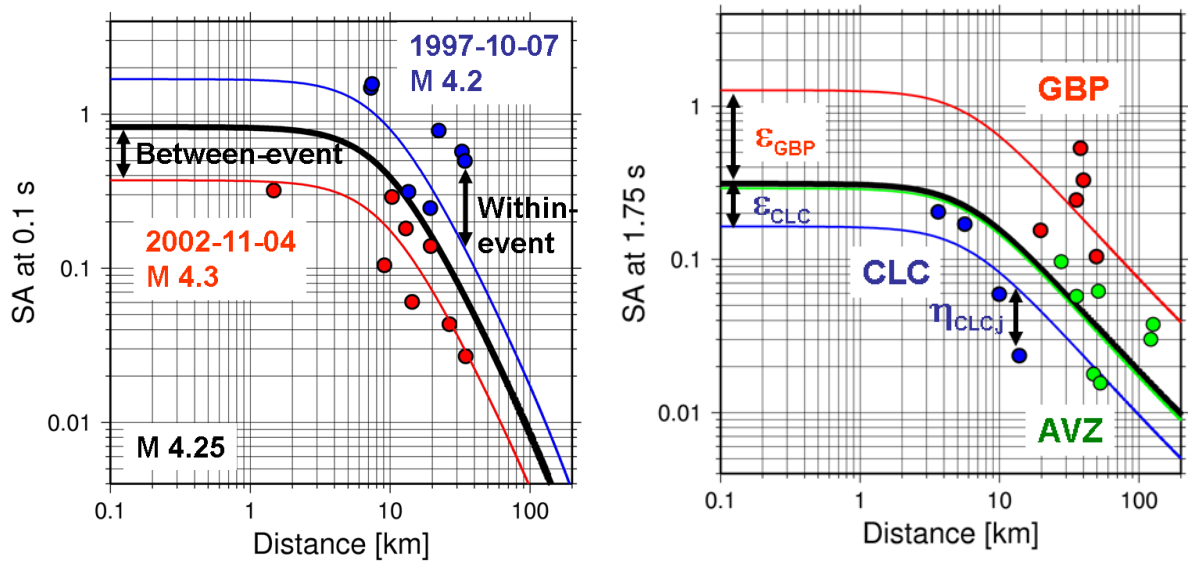


Figure 1. Left: median spectral acceleration in $[m/s^2]$ at 0.1 s predicted (Bindi et al. 2010) for a magnitude 4.25 earthquake (black) and observations for two different earthquakes (circles) with similar magnitude. The median values plus the between-event error of the two earthquakes are shown as coloured lines. Right: median spectral acceleration (black) at 1.75 s predicted for a magnitude 5.5 earthquake and observations at three different stations (GBP, CLC and AVZ) of different earthquakes with similar magnitudes (circles). The median values plus the between-station (ϵ) and within-station (η) errors at the three stations are indicated as well (see also equation 1).

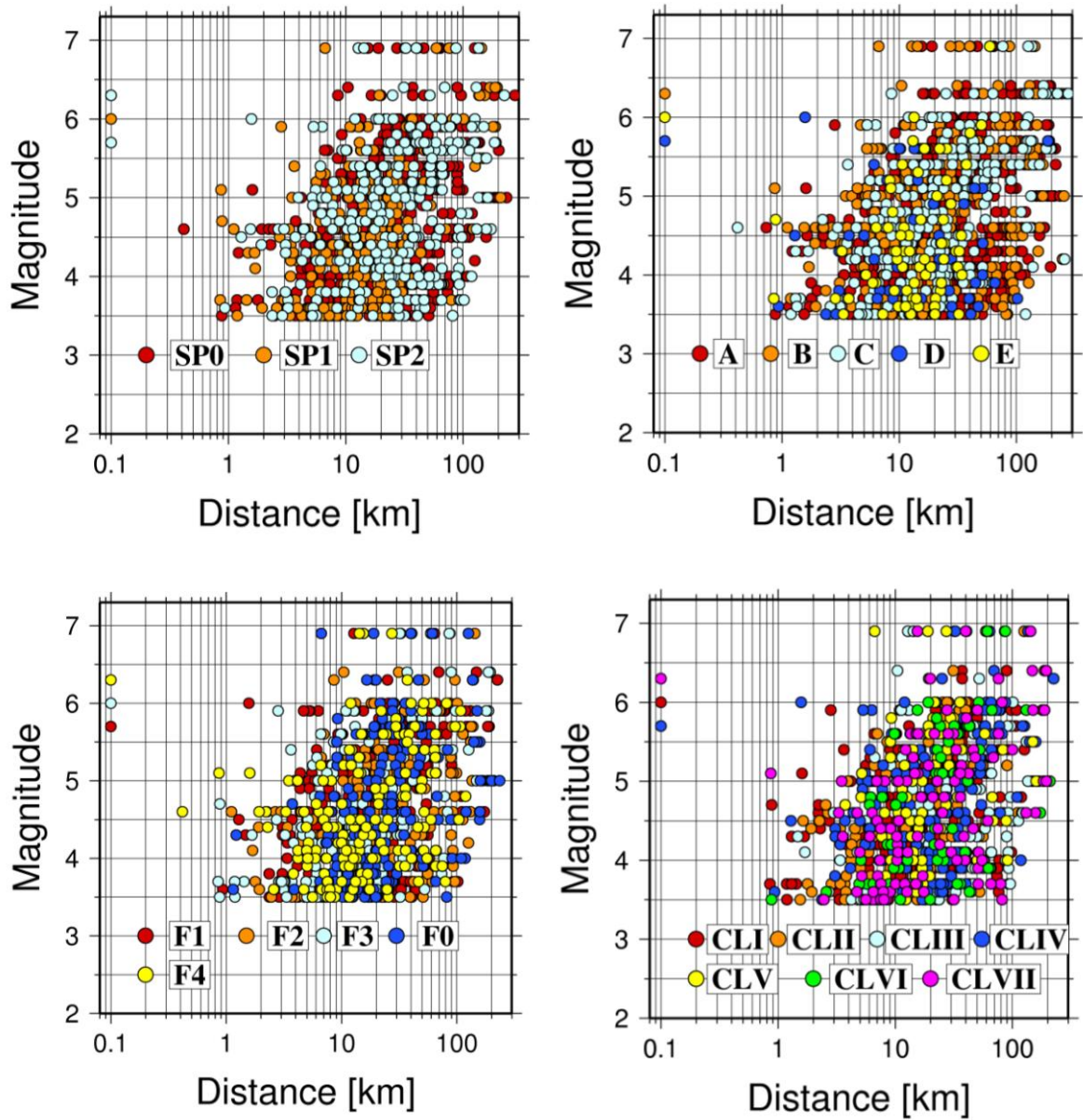
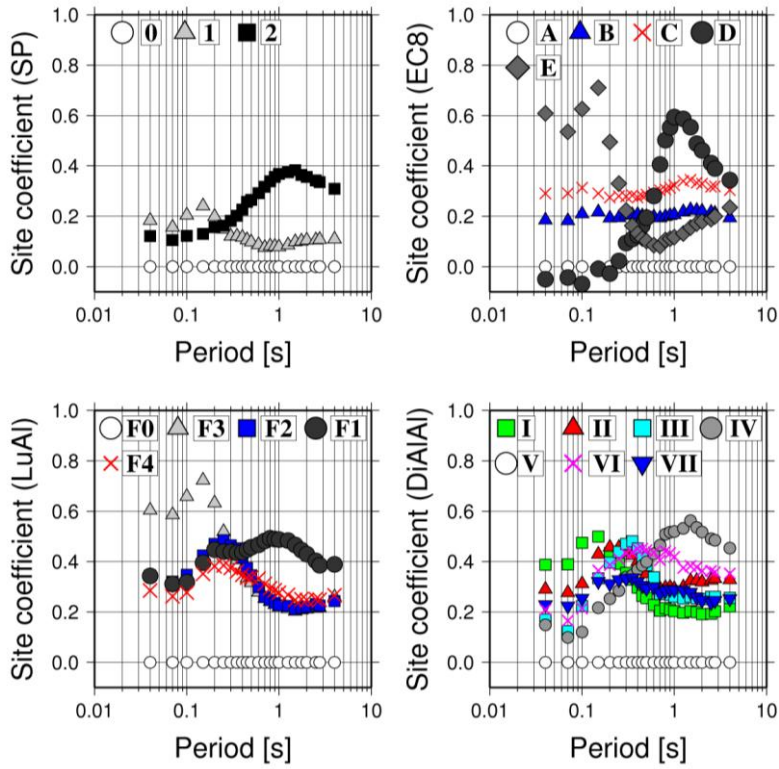


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a)



b)

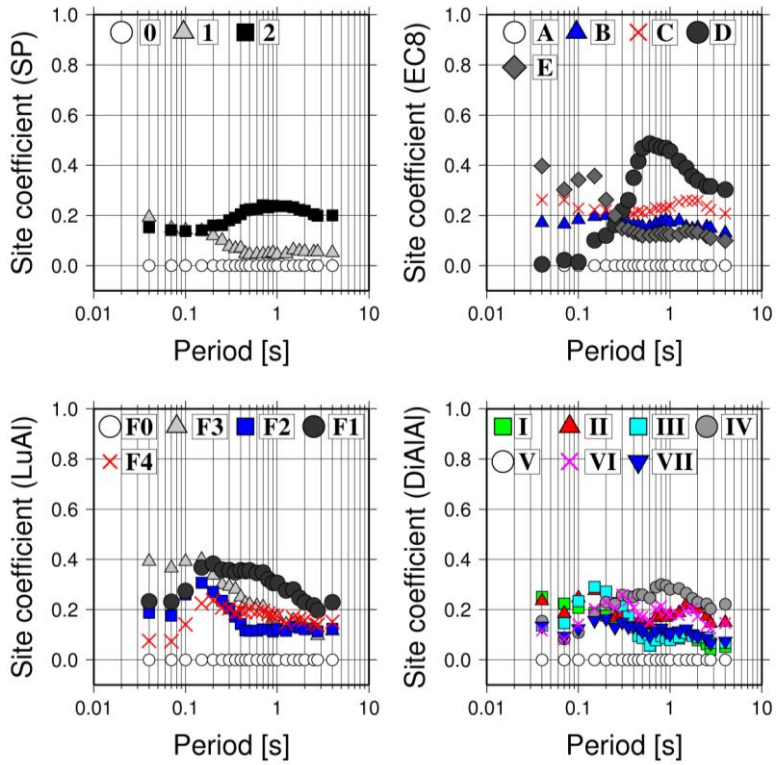


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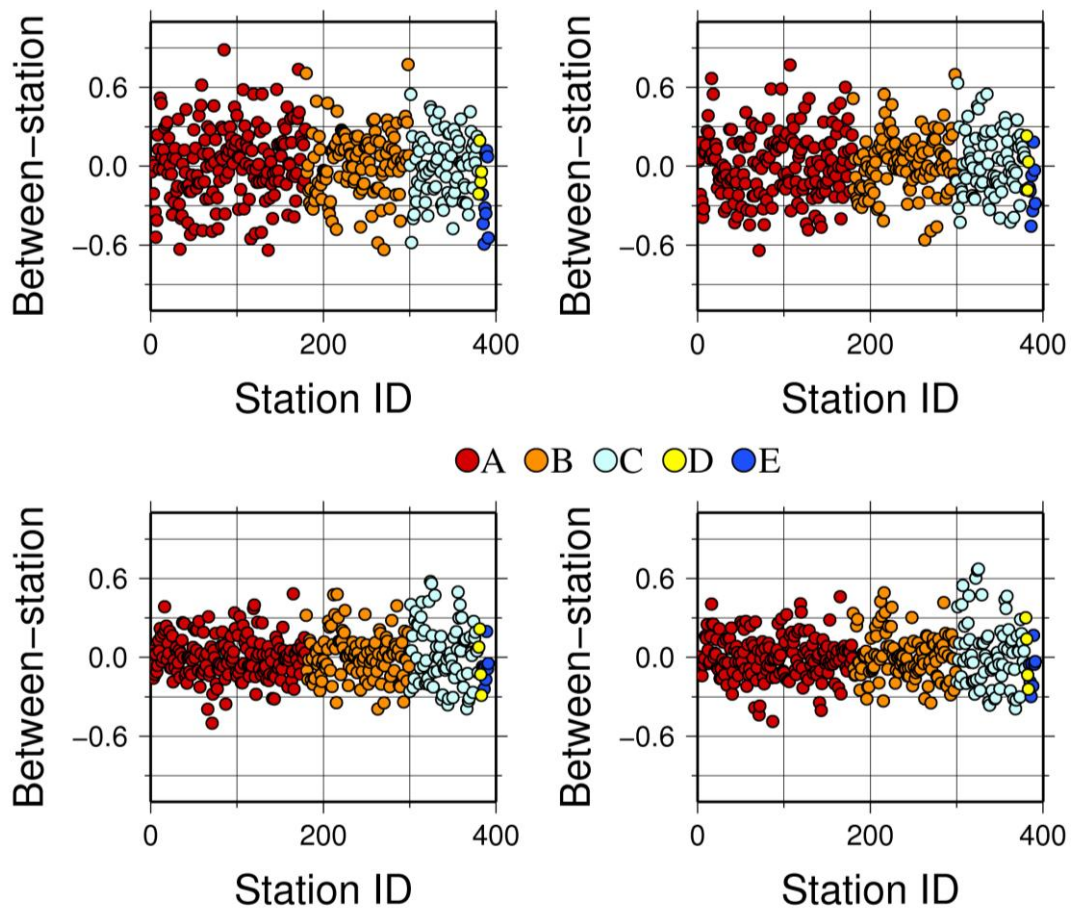


Figure 4 Between-station errors. The circles are color coded accordingly to the EC8 classes (colors from red to blue correspond to classes A through E, respectively). Top: 0.1s (left) and 0.25 s (right). Bottom: 1s (left) and 2 s (right).

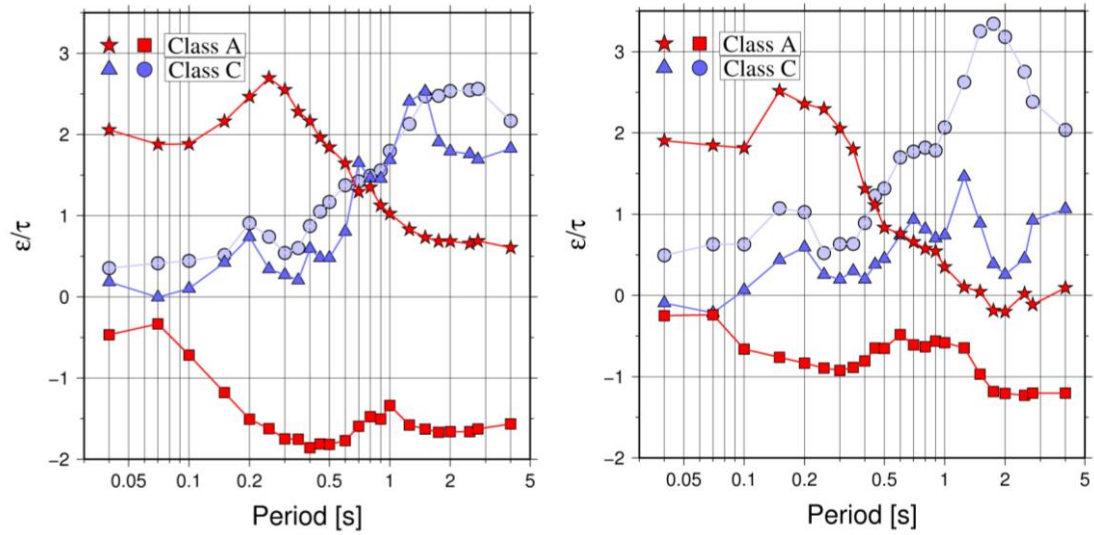


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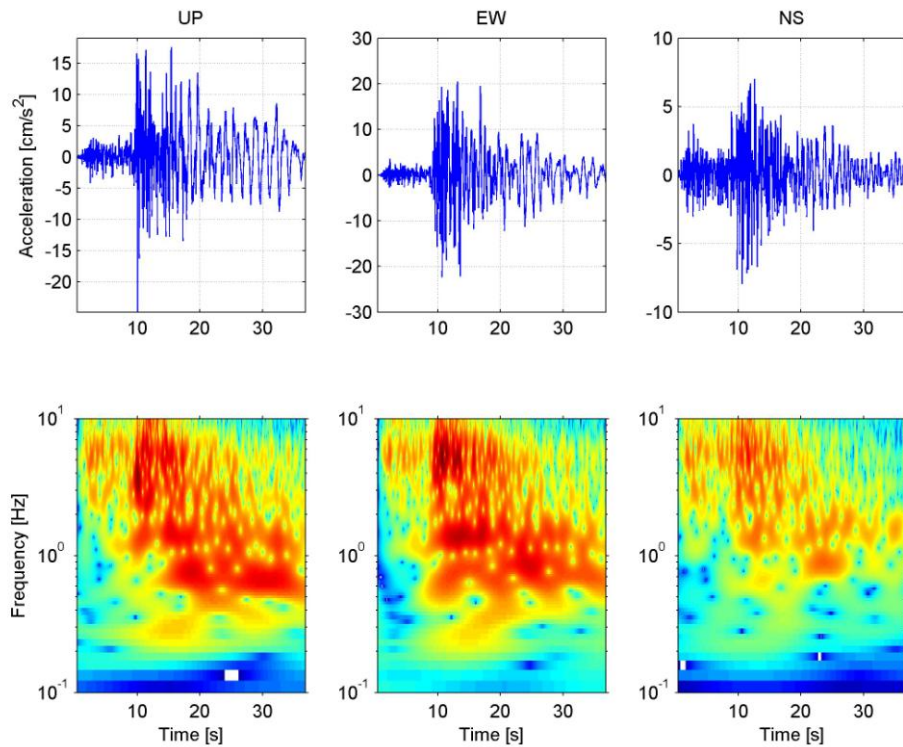
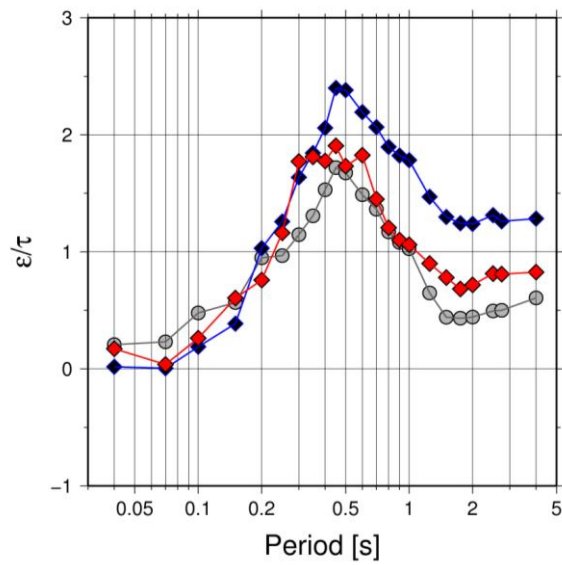


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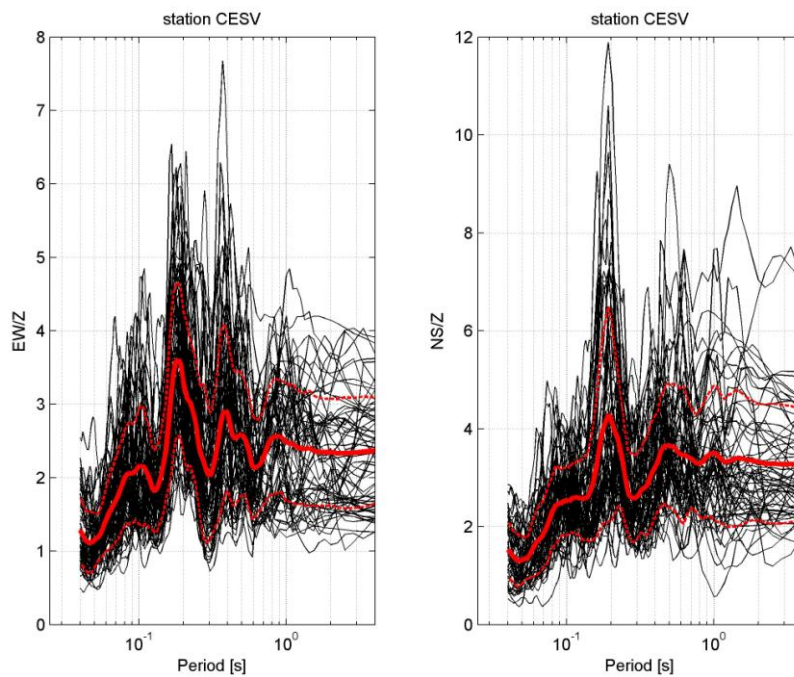


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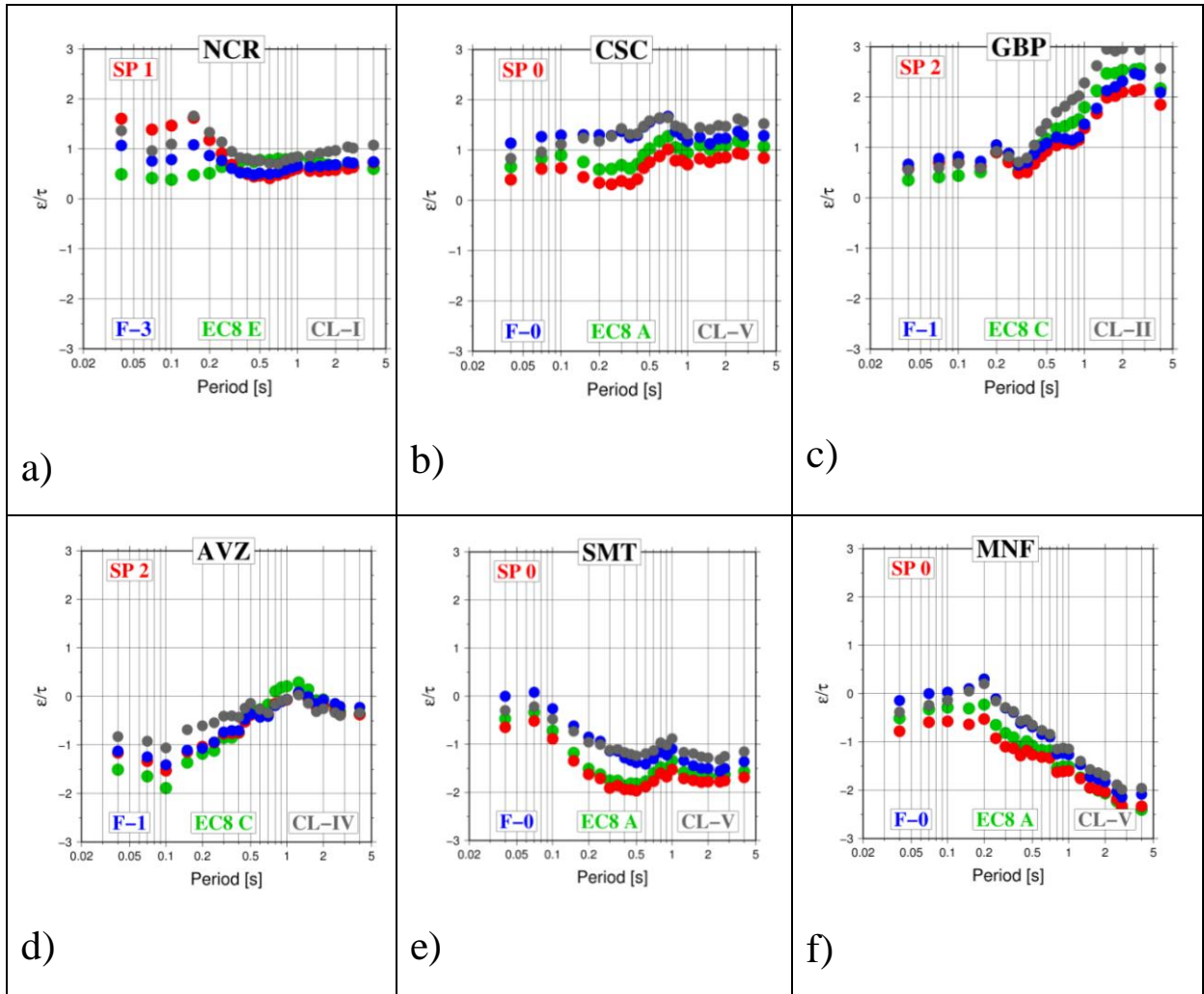


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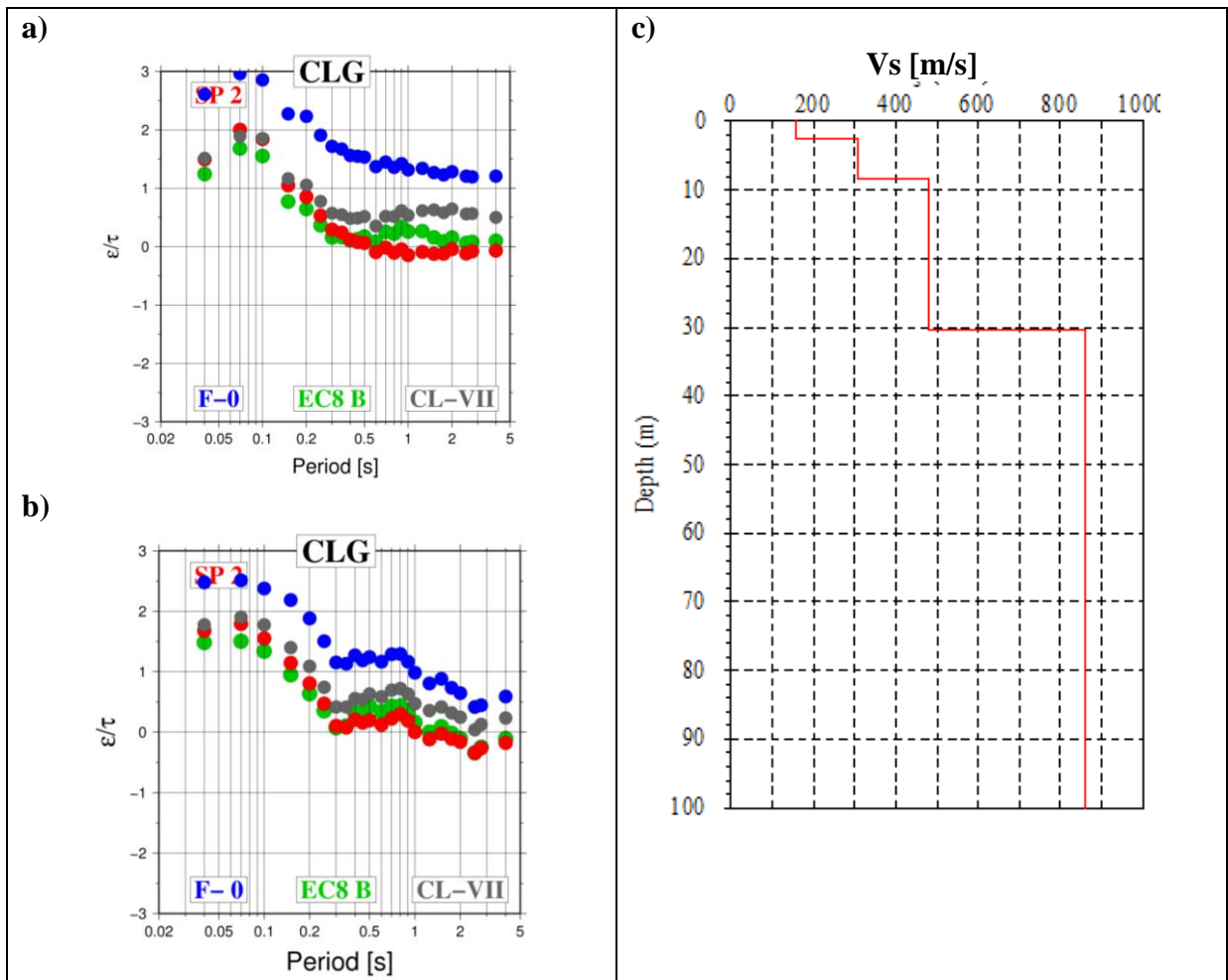


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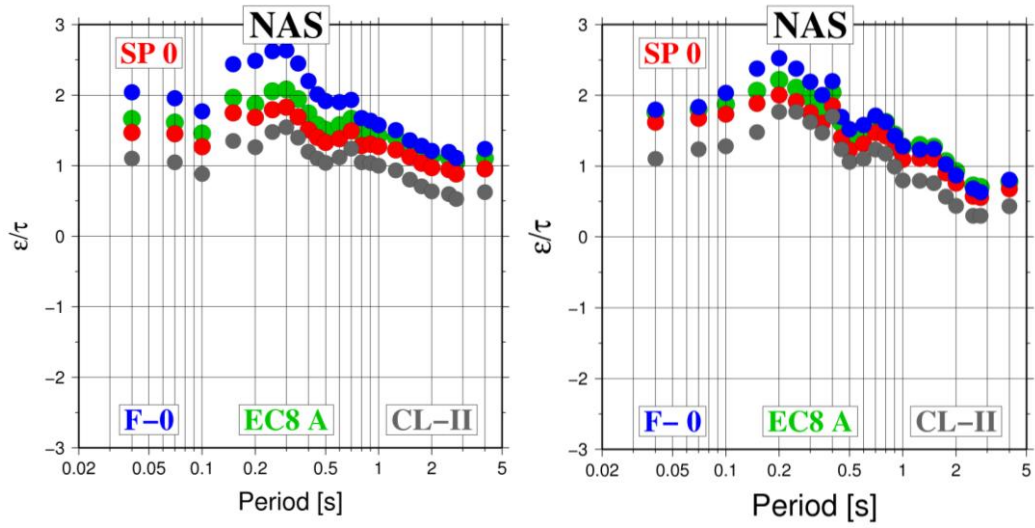


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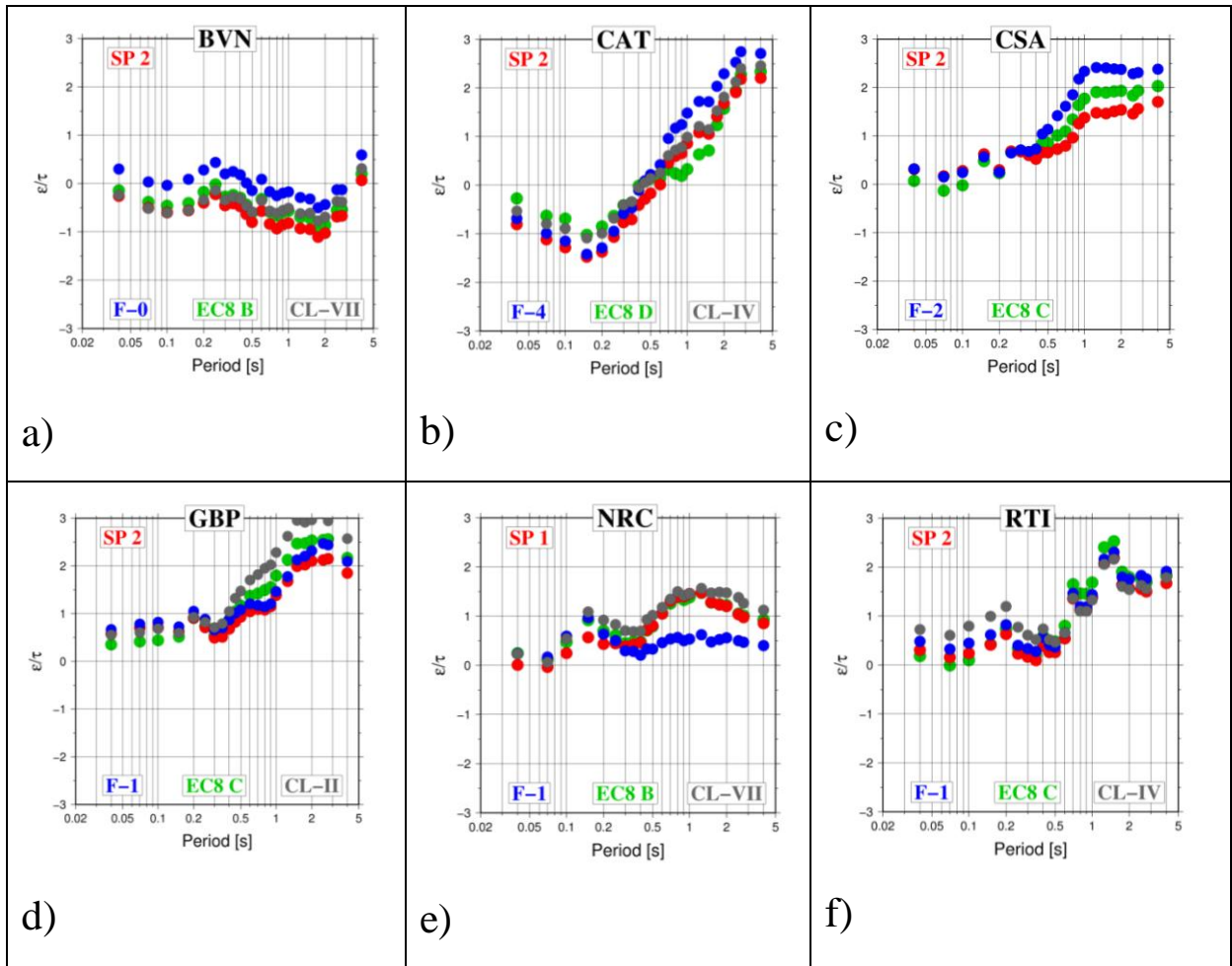


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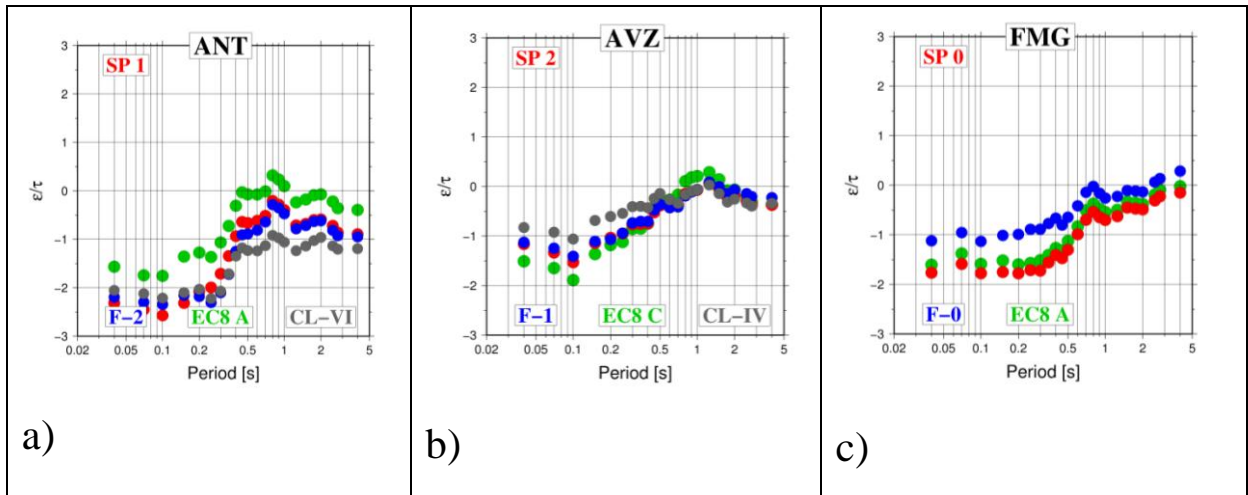


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