# CORE

# On deformation sources in volcanic areas: modeling the Campi Flegrei (Italy) 1982-84 unrest

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# Abstract

Deformation sources in volcanic areas are generally modeled in terms of pressurized tri-axial ellipsoids or other cavities with simple geometrical shapes embedded in homogeneous half-spaces. However, the assumption of a particular source mechanism and the neglect of medium heterogeneities bias significantly the estimate of source parameters. Leveling and EDM data, collected during the 1982-84 unrest episode at Campi Flegrei (Italy), are employed to retrieve source parameters according to a Bayesian inversion procedure, considering the heterogeneous elastic structure of the volcanic area. We describe a general deformation source in terms of a suitable moment tensor, through 3D finite element computations. Best fitting moment tensors are found to be incompatible with any pressurized ellipsoid. Taking into account the deflation of a deeper magma reservoir, which accompanies the inflation of the shallower moment source, data fit improves considerably but the retrieved moment tensor of the shallow source is found to be incompatible with pressurized ellipsoids, still. Looking for alternative physical

Preprint submitted to Earth and Planetary Science Letters

June 30, 2010

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models of the deformation source, we find that the best fit moment tensor can be best interpreted in terms of a mixed-mode (shear and tensile) dislocation at 5.5 km depth, striking EW and dipping by  $\sim 30^{\circ}$  to the North. Gravity changes are found to be compatible with the intrusion of  $\sim 60 \cdot 10^6$  m<sup>3</sup> of volatile rich magma with density  $\sim 2000$  kg/m<sup>3</sup>.

Keywords: caldera unrest, deformation, Campi Flegrei, numerical modeling

## 1 1. Introduction

Campi Flegrei (CF) is a nested caldera in Italy, close to the city of Naples. 2 The area is characterized by high volcano hazard, due to the high density of in-3 habitants, and it is subject to intense geophysical and geochemical monitoring. A 4 major unrest episode took place in 1982-84, when the town of Pozzuoli, located 5 at the caldera center, was uplifted by 1.80 m. Since ground deformation is a re-6 liable indicator of unrest, possibly resulting from the intrusion of fresh magma 7 within the shallow rock layers, the deformation source is generally modeled as a 8 pressurized cavity. The most popular of these models is the Mogi source (Mogi, g 1958) which describes the deformation due to a spherical cavity with radius much 10 smaller than its depth. The bell-shaped vertical pattern of leveling measurements 11 at CF during the unrest is nicely fitted by a Mogi source located by many authors 12 at about 3 km depth beneath the center of the caldera (e.g., Berrino et al., 1984; 13 De Natale et al., 1991; Berrino, 1994; Fernandez et al., 2001). In recent years, the 14 development of modern volcano geodesy and modeling techniques have clearly 15 detected uplift episodes at CF in the 2000 and 2004-2006 amounting to few cm, 16 renewing the interest to study of the 1982-1984 unrest episode, also leading to 17 interpretations not in agreement with each other. Indeed, there was a controversy

regarding the nature of the source (hydrothermal vs magmatic) and its overpres-19 sure. Battaglia et al. (2006) interpret the 1982-84 unrest in terms of a pressurized 20 sill (among other pressurized sources such as Mogi and spheroid) in a homoge-21 neous half-space, inferring from gravity data a very low "intrusion" density of 22  $600\pm500$  kg/m<sup>3</sup>, compatible with supercritical water. Amoruso et al. (2008) sup-23 port a much higher density for the sill-like source, compatible with trachybasaltic 24 magma  $(2500\pm500 \text{ kg/m}^3)$ , by taking into account a horizontally layered medium 25 which approximates the subsurface structure at CF. Both sources are localized 26 at shallow depths of 2.5-3.5 km for Battaglia et al. (2006) and 3.0-3.5 km for 27 Amoruso et al. (2008). 28

We must be aware that several common assumptions adopted for the CF caldera
 and in general for volcano geodetic modeling may bias the results.

1. Source geometry. Which geometry should be chosen for the deformation 31 source (a sphere, an ellipsoid or a sill) clearly depends on the ability of 32 the different models to reproduce the observed deformation. As illustrated 33 by Dieterich and Decker (1975), the horizontal deformation pattern is par-34 ticularly sensitive to the shape of the pressurized cavity, while the vertical 35 deformation pattern is less constraining. It is not surprising that the choice 36 of the source geometry, among the mentioned range of possibilities, may 37 affect significantly the estimate of the depth, the position and (to a lesser 38 extent) the volume of the source (Amoruso et al., 2007). Then, assigning 39 an a priori shape of the source (within a very restricted "library" of avail-40 able solutions) may bias considerably the inference of source parameters. 41 Furthermore, as clearly shown by Trasatti and Bonafede (2008), the shape 42 assumed for the overpressure source has great influence on the calculated 43

gravity changes, leading to very different inferred densities for the intrusionmass.

2. Medium complexity. Bonafede and Ferrari (2009) have shown that, as far 46 as the medium is homogeneous, some source parameters (e.g. depth, loca-47 tion, incremental volume and intrusion density) depend only slightly from 48 the assumed rheology (whether elastic or viscoelastic), while other param-49 eters (notably the overpressure) are very sensitive to it. On the contrary, 50 the deformation pattern depends strongly on the heterogeneity of the me-51 chanical properties of the medium surrounding the source so that solutions 52 computed in a homogeneous half-space may introduce a systematic bias in 53 the interpretation of data collected in strongly heterogeneous regions. For 54 instance, Trasatti et al. (2005) and Crescentini and Amoruso (2007) show 55 that neglecting the elastic heterogeneities while inverting deformation data 56 results in considerably inaccurate estimates of source depth. This is easily 57 understood in terms of the low flexural rigidity of the soft shallow layers 58 which conform easily to the deformation of the hard deeper layers. 59

3. Pressurized source assumption. An important limitation of pressurized cavi-60 ties employed as deformation sources is that they do not provide any release 61 of shear stress accompanying tensile opening due to magma overpressure. 62 This assumption is appropriate if the cavity was filled with fluids even be-63 fore the intrusion event so that any shear stress on the boundary of the cavity 64 must vanish both before and after the intrusion. On the other hand, intrusion 65 of fluid magma across pre-stressed solid rock provides the complete release 66 of shear tractions which were present before magma emplacement over the 67 source boundaries. This possibility is probably ignored because of the as-68

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sumption (plausible but unwarranted) that magma should open cracks in 69 the direction of maximum tension, i.e. over a principal stress plane, where 70 no shear stress can be present. But this implies to ignore the possibility 71 that shear failure may precede magma emplacement (seismically induced 72 intrusion), may accompany it (mixed mode-I and mode-II fracture) or that 73 a pre-existent weakness plane is chosen by the ascending magma. In these 74 cases the cavity boundary are required not to be a principal plane, and shear 75 slip may take place in accordance with the observation that volcanic regions 76 are strongly heterogeneous and seismically active. Furthermore, significant 77 shear slip may take place on the boundary of a pressurized cavity if its shape 78 is not symmetric or if strong heterogeneities are present; thus the assump-79 tion that the deformation source is a pressurized point-like cavity strongly 80 constrains the variety of allowable moment tensors, as will be shown later. 81

4. Mass conservation. Finally, mass conservation requires that magma em-82 placed within a shallow reservoir must come from a (generally deeper) ori-83 gin source. If the origin source is in the mantle, its deflation accompanying 84 the inflation of the shallow source may be probably neglected when mod-85 eling surface deformation and gravity changes. However, in most volcanic 86 regions, intermediate storage regions exist, whose deflation cannot be sim-87 ply ignored: Okubo and Watanabe (1989) are among the few authors who 88 account explicitly for both a shallow and a deep origin source while invert-89 ing deformation and gravity data. 90

From the previous considerations it appears that a reliable inference of source
 parameters in volcanically active areas should:

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1. take into account a realistic description of the medium embedding the source;

- 2. avoid a priori assumptions regarding the geometrical shape of the deforma-
- <sup>95</sup> tion source;

3. include the possibility of shear stress release over the rock-magma interface;

97 4. account explicitly for mass conservation.

In previous papers Trasatti et al. (2008, 2009) perform data optimization at 98 Mt Etna (Italy) without fixing a priori the source shape and including the het-99 erogeneous elastic structure of the volcano. Models are based on Finite Element 100 (FE) computation of the deformation field produced by a general moment tensor 10 source: its interpretation in terms of a pressurized ellipsoid (Davis, 1986) is found 102 to be plausible. In this paper we adopt the same methodology to study the 1982-84 103 unrest at CF by taking into account all the clues listed above. We perform a plau-104 sible physical interpretation of the retrieved moment tensor, extending the work 105 by Bonafede and Ferrari (2009). 106

# 107 2. The Campi Flegrei 1982-84 unrest

The CF caldera is a complex resurgent caldera structure including submerged and continental parts at the western edge of the Bay of Naples. The last eruption took place in 1538 A.D. and since then secondary volcanism (intense degassing, seismic swarms and several episodes of ground uplift) is observed. The eruptive history and the structural setting of the area is reviewed, among others, by Rosi et al. (1983) and Orsi et al. (1996).

During the 1982-84 unrest episode, ground uplift was periodically monitored through leveling surveys, EDM surveys and 5 tide gauge placed in the harbor of Pozzuoli (close to the location of maximum uplift), along the coastline of the

Gulf of Pozzuoli and one in Naples (Fig. 1) (Berrino et al., 1984). The maxi-117 mum uplift was 1.80 m in November 1984 (w.r.t. January 1982) recorded in the 118 city of Pozzuoli, and the relative pattern of deformation remained practically un-119 changed during the unrest. The spatial pattern of uplift was nearly axi-symmetric 120 (Fig. 1b), and this feature was generally considered as a strong indication that 12 the source itself had to be isotropic or axi-symmetric (Berrino et al., 1984; Dvo-122 rak and Berrino, 1991; Battaglia et al., 2006; Amoruso et al., 2008). However, 123 EDM data show significant asymmetry and a non-radial pattern of the horizontal 124 displacements, the eastern sector of the caldera being characterized by larger dis-125 placements with respect to the western and northern sectors (e.g. Barbarella et al., 126 1984; Berrino et al., 1984; Bianchi et al., 1987). Seismic activity was mostly 127 clustered in the northern sector (e.g. Dvorak and Berrino, 1991). EDM data were 128 collected with several surveys during and after the unrest, however only in June 129 1980 and in June 1983 measurements were computed in a large number of bench-130 marks (Fig. 1c), allowing to map changes of horizontal distances during the unrest 13 (Dvorak and Berrino, 1991). In this paper we employ a set of 36 EDM data from 132 unpublished measurements, together with 66 leveling data collected in June 1980 133 and in June 1983. It must be mentioned that in Dvorak and Berrino (1991) EDM 134 data are wrongly referred to September 1983 instead of June 1983. 135

Gravity data were also recorded regularly at a few benchmarks (Berrino et al., 137 1984; Berrino, 1994), but no control of the water table level was provided; at the 138 Serapeo benchmark (a Roman market near the harbor of Pozzuoli) the water table 139 is at sea level so that gravity data do not suffer from this problem. During the 140 uplift phase, the gravity change at Serapeo, normalized to the uplift, was  $-215\pm 6$ 141  $\mu$ Gal/m, in good agreement with the average of all the stations  $-213\pm 6 \mu$ Gal/m

#### <sup>142</sup> (Fig. 1d) (Berrino, 1994).

The elastic structure of the shallow crust at CF is known from seismic tomog-143 raphy (Aster and Meyer, 1988; Zollo et al., 2003; Judenherc and Zollo, 2004; 144 Chiarabba and Moretti, 2006; Zollo et al., 2008). The density structure is also 145 constrained from deep wells and gravity inversions (Cassano and La Torre, 1987; 146 Berrino et al., 2008; Zollo et al., 2008). Seismic tomography shows very soft 147 shallow layers down to  $\sim 0.6$  km depth, where a large Poisson ratio ( $\nu > 0.4$ ) 148 is thought to be indicative of high porosity, liquid saturated yellow tuff. Below 149 0.6 km depth, the elastic parameters and the density progressively increase, with 150 normal Poisson ratio  $\nu \sim 0.28$  up to values typical of a carbonatic basement be-15 low 3-5 km depth. The elastic structure varies also laterally: from active seismic 152 experiments, Zollo et al. (2003) find evidence of the buried caldera rim off-shore, 153 while Chiarabba and Moretti (2006) show a high  $v_p/v_s$  anomaly in the center of 154 the caldera above 2 km depth, indicating the presence of liquid fluids. The vertical 155 and lateral variations of the elastic structure below CF can be taken into account 156 only by means of numerical tools. 15

#### **3. FE inversion of the moment tensor**

It is well known that any internal source of deformation can be described in terms of a moment tensor density distribution over a suitable source extent (e.g. Aki and Richards, 1980). If the source domain is small enough (e.g. it is much smaller than its depth) the point-source approximation is justified in the far-field and the surface deformation can be reproduced without considering the detailed moment density distribution. On the other hand, solutions were provided by Davis (1986) for a pressurized tri-axial ellipsoidal cavity under the point-source assump-

tion. Following Davis (1986), the ellipsoid can be described by an equivalent 166 system of double forces and double couples, i.e. a suitable moment tensor  $M_{ij}$ . 16 Ellipsoid orientation is directly related to the orientation of the principal stress 168 axes while the axes of the ellipsoid (a > b > c) are inversely related to the 169 principal moments ( $M_3 < M_2 < M_1$ ). We have to consider two main concerns 170 regarding the ellipsoid and moment tensor relationship. Primarily, the relation is 17 not biunivocal as already pointed out in Trasatti et al. (2009). If we plot  $M_2/M_1$ 172 vs  $M_3/M_1$  ratios (Fig. 2) only the dark gray triangular area is permitted to obtain 173 an ellipsoidal source. Furthermore, the analytical expressions provided by Davis 174 (1986) allow us to compute the moment eigenvalues  $M_1$ ,  $M_2$ ,  $M_3$  knowing a, b, c, 175 but contain elliptic integrals that cannot be backward substituted. Therefore, the 176 inversion for a moment tensor has the great advantage of describing a completely 17 general point-source but its unambiguous interpretation in terms of a pressurized 178 cavity is not always possible. 179

Following the approach by Trasatti et al. (2008, 2009), we perform inversions 180 of the geodetic data at CF using the moment tensor source solutions generated by 18 FE. We develop a FE model of the CF area including the elastic heterogeneities 182 of the medium, while the surface is assumed to be flat (thus neglecting the mild 183 topography). The model is made up of 150,000 8-nodes brick elements. The 184 numerical domain is large enough  $(150 \times 150 \text{ km} \text{ horizontally and } 60 \text{ km} \text{ verti-}$ 185 cally) to avoid bias from the boundaries, where vanishing tractions at the surface 186 or vanishing lateral and bottom boundaries displacements are assumed. The grid 18 resolution is the highest near the center of the computational domain, and de-188 creases toward the periphery. The central part of the domain is discretized into 189 cubic cells with edge  $\ell = 400$  m, which are assumed as potential sources of de-190

formation. We assign to each grid element independent elastic parameters and 19<sup>.</sup> density, computed from the  $v_p$  and  $v_p/v_s$  anomalies from Chiarabba and Moretti 192 (2006) for the caldera region. The tomography resolution is 1 km; parameters be-193 low 5 km depth are fixed to typical mid-crustal values,  $\mu = 20$  GPa and  $\nu = 0.28$ . 194 The commercial software MARC is employed to obtain solutions for the deforma-195 tion field. We assign normal and shear stress components  $\sigma_{ij}$  on the opposite faces 196 of each potential source and compute the surface deformation resulting from each 19 distribution of force dipoles (normal stress) or each distribution of double couples 198 (shear stress). The moment tensor source  $M_{ij} = \ell^3 \sigma_{ij}$  is obtained through linear 199 combination of the elementary solutions for a given cell (details can be found in 200 Trasatti et al., 2008, 2009). The procedure is iterated for any of the 1000 cubic 20 elements contained within a prescribed volume of  $4 \times 4 \times 4$  km centered in the 202 caldera region. We build through FE computations a library of surface deforma-203 tion fields, due to elementary moment sources located in any grid element of a 204 prescribed volume beneath the caldera. The great deal of using this procedure is 205 that data optimization can be performed taking into account the realistic elastic 206 structure of the medium. 207

The inversion of the moment tensor source consists of a two steps approach: 208 a direct search in the parameter space using the Neighbourhood Algorithm (Sam-209 bridge, 1999a), followed by a Bayesian inference (Sambridge, 1999b) to provide 210 the posterior probability density distribution (PPD) of each parameter. Free pa-21 rameters to be retrieved from the inversion are source coordinates  $x_S, y_S, z_S$  (East, 212 North, up) and the moment tensor, given in terms of its eigenvalues  $M_1, M_2, M_3$ 213 (ordered according to their decreasing absolute value) and their respective eigen-214 vectors  $\hat{\mathbf{m}}_1, \hat{\mathbf{m}}_2, \hat{\mathbf{m}}_3$  described by the angles  $\delta, \phi, \psi$  (see supplementary material). 215

Angle  $\delta$  is the dip of  $\hat{\mathbf{m}}_3$  w.r.t. the horizontal plane,  $\phi$  is the orientation of its surface projection measured anti-clockwise from x,  $\psi$  yields the rotation of  $\hat{\mathbf{m}}_1$ from the vertical around  $\hat{\mathbf{m}}_3$ . Such an inversion provides the most probable source parameters and their uncertainties, the latter being estimated from the width of the PPD distribution.

The models considered are: HOM1 (HOMogeneous) assumes a moment source 22 embedded in a homogeneous half-space, HET1 (HETerogeneous) accounts for a 222 source in a heterogeneous medium. HOM2 and HET2 models include a deep 223 deflating source and a shallow moment source inflating by the same volume, as 224 discussed later on. After several trials performed with all the models described, 225 the horizontal coordinates of the source were found to be always very close to the 226 point of maximum recorded uplift,  $x_S = 426.2$  km and  $y_S = 4518.8$  km (UTM 22 reference). This observation, together with the 400 m discretization of candidate 228 source elements, led us to fix the horizontal coordinates, thus decreasing the num-229 ber of free parameters from 9 to 7, with considerable benefit on the efficiency of 230 the inversion procedure. 23

# 232 4. Single source models

#### 233 4.1. Model HOM1

In order to elucidate the role of elastic heterogeneities, a preliminary inversion is performed assuming a homogeneous half-space. The best fit source parameters and their misfits are summarized in Table 1 for all the models considered in the paper. Probability distributions are shown as blue lines in Fig. 3 and the performance of the best fitting model can be inspected in Fig. 4 (blue circles): the overall misfit is 5.6 (average between the leveling misfit  $\chi^2_{LEV1} = 3.5$  and the

EDM misfit is  $\chi^2_{EDM1}$  = 7.7). The HOM1 inversion yields a best fit source depth 240  $z_S = -3.9$  km and sharply defined eigenvalues and eigenvectors. Very low PPD 24<sup>.</sup> are associated to negative values of the eigen-moments. The eigenvectors orien-242 tation is approximately  $\hat{\mathbf{m}}_1 \simeq up$ ,  $\hat{\mathbf{m}}_2 \simeq$  West,  $\hat{\mathbf{m}}_3 \simeq$  South. We remind that the 243 maximum eigen-moment  $M_1$  (acting ~ vertically along  $\hat{\mathbf{m}}_1$ ) corresponds to the 244 minimum axis for a pressurized ellipsoidal source. Therefore, the source seems 245 to be characterized by horizontal dimensions much larger than the vertical. How-246 ever, the mechanism provided by the moment tensor cannot be strictly associated 24 with any pressurized point-like cavity, since the minimum ratio between moment 248 eigenvalues is 1/3 for a flat crack (Fig. 2), while the ratio between best fit eigen-249 values  $M_3/M_1$  is close to zero (and even negative) and  $M_2/M_1 = 0.3$ . It may be 250 mentioned that imposing an isotropic source mechanism (Mogi source) or a hori-25 zontal penny shaped crack (Battaglia et al., 2006) provides a shallower depth  $\sim 3$ 252 km (Berrino et al., 1984; Trasatti et al., 2005), demonstrating that the a priori as-253 sumption of the source mechanism may provide biased estimates of source depth. 254 In Fig. 4a the best fit model prediction (blue circles) are compared with leveling 255 data displayed vs. radial distance from the surface projection of the source po-256 sition. The different uplift computed for points at the same radial distance is a 257 consequence of the asymmetry of the source mechanism; the fit to uplift data ap-258 pears to be reasonably good, even if data are overestimated in the central region 259 (r < 1.5 km) and at the periphery (r > 4 km). In Fig. 4b EDM distance changes 260 between benchmarks are compared with model prediction (blue circles): the fit to 26 EDM data is much worse, since the model underestimates systematically the data. 262 Tests are performed successfully to check the accuracy of the FE model HOM1 26 compared with analytical solutions in a homogeneous half-space (Mindlin, 1936). 264

# 265 4.2. Model HET1

In model HET1 the heterogeneous elastic structure inferred from seismic to-266 mography is accounted for and the PPD moment source parameters are shown in 26 red in Fig. 3. As expected (Trasatti et al., 2005), the inferred source depth  $z_S$  = 268 -5.2 km increases significantly w.r.t. model HOM1, due to the larger compliance 269 of the shallower layers. The deeper source location requires significantly greater 270 moment tensor eigenvalues in order to fit observed deformation, but strongly neg-27 ative intermediate and minimum eigenvalues are inferred, with sharply defined 272 PPD. The eigenvector orientation is approximately:  $\hat{\mathbf{m}}_1 \simeq up$ ,  $\hat{\mathbf{m}}_2 \simeq North$ ,  $\hat{\mathbf{m}}_3 \simeq up$ 273 West. Despite of the order exchange between  $\hat{\mathbf{m}}_2$  and  $\hat{\mathbf{m}}_3$  w.r.t. model HOM1, the 274 maximum eigen-vector  $\hat{\mathbf{m}}_1$  remains oriented vertically confirming the larger hor-275 izontal extension of the source (due to the inverse relationship between moment 276 eigenvectors and source extension). However, the negative values of  $M_2$  and  $M_3$ 27 are even more difficult, not to say impossible, to interpret in terms of pressurized 278 ellipsoids shown in Fig. 2. 279

When comparing model HET1 with data (Fig. 4 red circles), we may appreci-280 ate a significantly better fit, even though the number of free parameters is the same 28 as in model HOM1: compared with model HOM1, the misfit between best model 282 HET1 and leveling data decreases by  $\sim 22\%$  to  $\chi^2_{LEV1} = 2.8$  and the misfit with 283 EDM data decreases by  $\sim$  57% to  $\chi^2_{EDM1}$  = 4.3, with an overall average misfit 284 decrease by  $\sim 43\%$ . It must be stressed that the improvement of fit w.r.t. model 285 HOM1 is obtained employing independent evidence regarding the elastic struc-286 ture of the medium: no adjustable parameters are added to the inversion scheme. 287 However, the fit of EDM data remains unsatisfactory. 288

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The lesson learned from these models is that data fit improves appreciably

when the realistic elastic heterogeneities of the medium are accounted for, but the fit of EDM data remains unsatisfactory and the physical interpretation of the source is not devoid of difficulties.

# 293 5. Introduction of a deep deflating source

As mentioned in the introduction, a constraint generally ignored when mod-294 eling deformation in volcanic areas is mass conservation: if the intrusion of a 295 magmatic mass is responsible for the inflation of a cavity, the same mass must 296 disappear from somewhere else. Since the deformation due to internal sources 29 typically decreases as  $r^{-3}$  away from the source, this constraint may be not ac-298 counted only if the magma origin is much deeper than the inflating cavity. At CF, 299 high resolution seismic reflection surveys suggest the presence of a large magma 300 reservoir at 7.5 km depth (Zollo et al., 2008). Since the shallow inflating source 30' was previously inferred at  $\sim$  5 km depth, it appears that the role of the deep origin 302 source cannot be neglected. In order to avoid the proliferation of new free pa-303 rameters we constrain the deep source to be vertically below the shallow source. 304 The deep source is assumed to be a horizontal sill at 7.5 km depth, endowed with 305 opposite moment trace w.r.t. the shallow source, i.e. deflating by the same volume 306 which goes to inflate the shallow source (in this way we assume also that the den-30 sity of transferred magma remains constant). We considered also the deep source 308 as a deflating sphere, but results remained practically unchanged. 309

#### 310 5.1. *Model HOM2*

We perform a preliminary inversion assuming a deep deflating horizontal sill at 7.5 km depth and a shallow inflating moment source above it, both embedded in a homogeneous half-space. PPD distributions of source parameters are shown in blue in Fig. 5 and predictions from the best fit model HOM2 are compared with
data in Fig. 6.

The depth of the inflating source is ill-determined, with 3 PPD maxima at 316  $\sim$  4.5, 5.4 and 5.7 km, systematically deeper than inferred employing only one 317 source ( $\sim 3.9$  km). The eigenvalues of the shallow source moment tensor are 318 much greater than inferred assuming one source; they are all positive but ill con-319 strained, even though the eigenvectors are sharply defined. The eigenvectors are 320 oriented as  $\hat{\mathbf{m}}_1 \sim$  up,  $\hat{\mathbf{m}}_2 \sim$  West,  $\hat{\mathbf{m}}_3 \sim$  South. The best fit mechanism is still 32 out of the region allowed for ellipsoidal cavities (Fig. 2) since  $M_2/M_1 = 0.48$  and 322  $M_3/M_1 = 0.33.$ 323

A comparison between models HOM1 and HOM2 shows an interesting de-324 crease of misfit for EDM data from  $\chi^2_{EDM1}$  = 7.7 to  $\chi^2_{EDM2}$  = 3.5, while the 325 misfit of leveling data remains practically unchanged ( $\chi^2_{LEV1} = 3.5, \chi^2_{LEV2} = 3.6$ ); 326 slightly negative uplift values are predicted for r > 6 km, that are not observed. 321 EDM data are fitted significantly better by HOM2 model than HOM1 but they 328 still appear systematically underestimated. The global misfit provided by HOM2 329 model is lower than HET1: considering the simultaneous role of a deflating and 330 an inflating source in a homogeneous medium provides better results than consid-33 ering only one source in a realistically layered medium. It must be stressed that 332 no additional free parameters are introduced in the inversion. 333

#### 334 5.2. *Model HET2*

Our most complete model is HET2, in which the elastic heterogeneities of the medium are accounted for, and both the deep deflating and the shallow inflating sources are included. PPD distributions are shown in red in Fig. 5. The depth of the shallow source is inferred at  $z_S = -5.5$  km similar to model HOM2 but much 339 better constrained.

Moment eigenvalues are all positive and have a sharply defined PPD maxi-340 mum, but a secondary maximum is present, close to an isotropic source (nearly 34 equal eigenvalues). The eigenvectors are also sharply defined: the largest moment 342  $\hat{\mathbf{m}}_1$  is nearly vertical, while the smallest  $\hat{\mathbf{m}}_3$  points nearly South (276° from East) 343 and the intermediate  $\hat{\mathbf{m}}_2$  to West. The improvement of fit can be visually appre-344 ciated in Fig. 6 (red circles). The misfit between data and predictions decreases 345 further: for the leveling data we get  $\chi^2_{LEV2} = 2.7$  and for EDM data  $\chi^2_{EDM2} = 1.4$ , 346 the lowest values obtained so far. EDM data are fitted within experimental errors 347 even though some systematic underestimate seems to persist. The best fit HET2 348 moment cannot be interpreted strictly in terms of a tri-axial pressurized cavity 349 since  $M_2/M_1 = 0.3$  and  $M_3/M_1 = 0.1$  (see Fig. 2). 350

The source volume change (the volume of magma transferred from the deep to the shallow source) can be estimated by an accurate numerical integration of the normal displacement over the cell boundary for model HET2:

$$\Delta V_0 = \oint_{\partial V_0} \mathbf{u} \cdot \mathbf{n} \, dS = 20.9 \cdot 10^6 \,\mathrm{m}^3 \tag{1}$$

which coincides with the value  $\Delta V_0 = \frac{M_{kk}}{3(\lambda+2\mu)}$  provided by three dipoles with 354 moments  $M_{11}$ ,  $M_{22}$  and  $M_{33}$ , applied in the center of the cell, with  $\lambda$  and  $\mu$  values 355 pertinent to the source depth (5.5 km). From the previous estimate, the typical 356 source dimension is suggested to be  $\Delta V_0^{1/3} \simeq 275$  m, supporting the point-source 35 assumption. Another indication in favor of the point-source approximation is the 358 observation that the uplift increased uniformly during the 1982-84 unrest, without 359 changing its shape. However, the possibility that the inflating source may be very 360 thin in one direction, so that its length may be much larger than the previous 36 estimate (e.g. Amoruso et al., 2008) cannot be ruled out. 362

#### **6.** Interpretation of the moment tensor source

The best fitting moment tensor of model HET2 falls outside the domain of 364 pressurized cavities as shown in Fig. 2, and the same considerations may apply to 365 all models retrieved in Table 1. It appears that a "complex" inflation mechanism is 366 needed to interpret the inferred moment tensor. A pressurized cavity can explain 36 only a fraction of the released moment: we may separate  $M_{ij}$  into an isotropic 368 component  $\frac{1}{3}M_{kk}\delta_{ij}$  and a deviatoric component  $M'_{ij} = M_{ij} - \frac{1}{3}M_{kk}\delta_{ij}$ . A spher-369 ical Mogi-like pressurized cavity may be associated to the isotropic component, 370 while the deviatoric component may be ascribed to one or more shear dislocations 37 (e.g., obliquely dipping shear faults, as already envisaged by De Natale et al., 372 1997; Troise et al., 2003). For the best fit HET2 model  $M_{kk} = 38.2 \cdot 10^{17}$  Nm and, 373 in the reference system provided by best fit moment eigenvectors ( $\hat{\mathbf{m}}_1 \simeq$  vertical, 374  $\hat{\mathbf{m}}_2 \simeq$  West,  $\hat{\mathbf{m}}_3 \simeq$  South), we have 375

$$M_{ij}' = \begin{vmatrix} 14.4 & 0 & 0 \\ 0 & -4.6 & 0 \\ 0 & 0 & -9.8 \end{vmatrix} \cdot 10^{17} \quad [Nm]$$

which may be decomposed, for instance, in an EW striking reverse fault with eigenvalues  $(9.8, 0, -9.8) \cdot 10^{17}$  Nm and a NS striking reverse fault with eigenvalues  $(4.6, -4.6, 0) \cdot 10^{17}$  Nm. The shear deformation may be localized over ring faults as suggested by De Natale et al. (1997) or may be distributed as plastic deformation around the inflating source, as envisaged by Trasatti et al. (2005). Of course, such a decomposition is largely non-unique. Moreover, there is the usual ambiguity between a shear fault plane and its conjugate "auxiliary" plane.

At the opposite extreme, we may consider a flat pressurized cavity (tensile dislocation or penny shaped crack), to which all the isotropic component and a fraction of the deviatoric component may be ascribed, since the eigen-moments of a tensile dislocation  $M_1^n, M_2^n, M_3^n$  are proportional to  $(\lambda + 2\mu), \lambda, \lambda$ , respectively. For  $\nu = 0.28$  we have:

$$M_{ij}^{n} = \begin{vmatrix} 21.5 & 0 & 0 \\ 0 & 8.4 & 0 \\ 0 & 0 & 8.4 \end{vmatrix} \cdot 10^{17} \quad [Nm]$$

<sup>388</sup> and the remaining deviatoric component to be explained by shear dislocations is

$$M_{ij}'' = \begin{vmatrix} 5.7 & 0 & 0 \\ 0 & -0.2 & 0 \\ 0 & 0 & -5.5 \end{vmatrix} \cdot 10^{17} \quad [Nm]$$

which may be interpreted as EW striking reverse faulting, with a negligible contribution from NS striking faulting. The two extreme decompositions illustrated above are largely non unique, since an infinite variety of tri-axial pressurized cavities may be proposed according to Davis (1986), even though the tensile crack (degenerate ellipsoid with vanishing minor axis) is preferable since it requires less (residual) deviatoric moment and much less overpressure to accommodate the same magma volume.

The previous interpretation of the moment tensor in terms of a pressurized 396 cavity and a residual deviatoric moment associated with shear failure on nearby 397 faults is a possibility, but a few inversions performed assuming three sources (a 398 deep deflating sill, a shallow inflating isotropic source and a deviatoric source 399 at different depth), provided very ill constrained source parameters even though 400 data fit improved significantly. Accordingly, the assumption of a shear source 401 differently located than the shallow inflating source was shelved. Furthermore, 402 a problem with the double mechanism source model is that the global seismic 403

moment released by earthquakes at CF (maximum magnitude 4.6) was a negligi-404 ble fraction of the retrieved moment tensors, so that the shear dislocations should 405 be practically aseismic, in spite of the large strain and their very fast evolution. 406 Trasatti et al. (2005) interpret the large deviatoric strain release in terms of a plas-407 tic rheology at shallow depth within the inner caldera, showing that in this case 408 the source depth can be deeper than 5 km even for a spherical overpressure source. 409 In the following sections we introduce two new source mechanisms to inter-410 pret the retrieved moment tensors, with particular attention to the HET2 source 41 mechanism (our preferred and most complete model) and its predicted gravity 412 change. 413

### 414 6.1. Parallelepipedal cavity

Bonafede and Ferrari (2009) illustrate the equivalence between moment sources 415 and pressurized cavities assuming an isotropic cubic source, but the same scheme 416 can be easily generalized to a parallelepiped with edges  $d_1, d_2, d_3$  along the coor-417 dinate axes  $x_1, x_2, x_3$  (Fig. 7a). Over each face, a rectangular pressurized crack 418 is considered with surface area  $A_i^{\pm}$  (where  $\pm$  denote the orientation of opposite 419 faces normal to  $x_i$ ): of course  $A_1^{\pm} = d_2 d_3, A_2^{\pm} = d_1 d_3, A_3^{\pm} = d_1 d_2$ . According 420 to Kirchoff uniqueness theorem (e.g. Fung, 1965), the deformation field outside 42 a pressurized parallelepiped is identical to that provided by these 6 pressurized 422 rectangular cracks over its faces. According to the boundary element method, 423 these cracks may be approximated in the far field as 6 dislocations if their Burgers 424 vectors  $b_i^{\pm}$  are computed from Okada solutions (Okada, 1992) in order that they 425 provide the same overpressure  $\Delta P$  (and vanishing shear tractions) at the center 426 of each face (Fig. 7b). Furthermore, in the point-source approximation, these 6 42 dislocations are equivalent to 3 orthogonal tensile dislocations, located in the cen-428

ter of the cavity, with surface areas  $A_i = A_i^{\pm}$  and Burgers vectors  $b_i = b_i^{+} - b_i^{-}$ (Fig. 7c).

The moment tensor describing these three orthogonal tensile dislocations is simply obtained (employing the axes  $x_1, x_2, x_3$  as basis vectors) from the theorem of body force equivalents (Burridge and Knopoff, 1964):

$$M_{ij} = A_1 b_1 \begin{vmatrix} \lambda + 2\mu & 0 & 0 \\ 0 & \lambda & 0 \\ 0 & 0 & \lambda \end{vmatrix} + A_2 b_2 \begin{vmatrix} \lambda & 0 & 0 \\ 0 & \lambda + 2\mu & 0 \\ 0 & 0 & \lambda \end{vmatrix} + A_3 b_3 \begin{vmatrix} \lambda & 0 & 0 \\ 0 & \lambda & 0 \\ 0 & 0 & \lambda + 2\mu \end{vmatrix}$$
(2)

The relationship between parallelepiped edges and moment tensor eigenvalues 434 is provided in the supplementary material, assuming that  $d_1 \leq d_2 \leq d_3$ . Solutions 435 depend on the product of the overpressure  $\Delta P$  times the cavity volume  $V_0$  = 436  $d_1d_2d_3$ , which is reported in the last column. A direct comparison with tri-axial 437 ellipsoidal cavities (Table 1 in Davis, 1986) is not possible, due to the different 438 source geometry, but some similarities and differences may be noted: as in Davis 439 (1986)  $M_1 > M_2 > M_3$  if the parallelepiped edges (the ellipsoid axes) are in the 440 reverse order  $d_1 < d_2 < d_3$ ; the cubic source ( $d_1 = d_2 = d_3$ ) and the flat square 44 source  $(d_1 \simeq 0, d_2 = d_3)$  yield the same results as a spherical source and the flat 442 circular crack, respectively. In both cases, moment ratios  $M_2/M_1$  and  $M_3/M_1$ 443 must be positive and ratios lower than 1/3 (Poisson approximation) cannot be 444 obtained. However, the domain of possible moment ratios is significantly wider 445 (Fig. 8). 446

The best fit mechanism of HOM2, outside the region allowed for ellipsoidal cavities, is close to pressurized parallelepipeds, since  $M_2/M_1 = 0.48$  and  $M_3/M_1$ = 0.33. Therefore the closest cavity is a thin horizontal crack. The HET1 moment tensor, composed of intermediate and minimum negative principal values, may

be interpreted in terms of three orthogonal tensile dislocations, with surface ar-45<sup>.</sup> eas  $A_1, A_2, A_3$  and Burgers vectors  $b_1, b_2, b_3$ , respectively, without imposing con-452 straints on  $b_i$ . Solving separately for the incremental volumes  $V_1, V_2, V_3$  in terms 453 of values inferred from HET1 model for  $M_1, M_2, M_3$ , we obtain strongly negative 454 values for both  $V_2$  and  $V_3$ , indicating that a vertical expansion of the source should 455 be accompanied by significant horizontal contractions ( $b_2 < 0$  and  $b_3 < 0$ ). This 456 is physically possible avoiding matter compenetration only if a pre-existent cavity 45 expands vertically and contracts laterally. 458

#### 459 6.2. Mixed mode (tensile & shear) crack

In the previous sections, we have shown that pressurized cavities are by no 460 means the most general internal sources. They assume that shear tractions vanish 46 both before and after the inflation and accordingly they are suited to describe 462 magma addition to pre-existent fluid-filled reservoirs. However, if the intrusion of 463 magma takes place across pre-stressed solid rock, shear tractions must be released 464 over the boundaries of the intrusion. The best fit moment tensor of model HET2 465 (and of the other models, too), although falling outside the region of pressurized 466 cavities, is closer to flat pressurized cavities than to thick 3D cavities (see Fig. 8). 46 We should be ready to accept that some release of shear stress may have taken 468 place over the inflating source itself. 469

Let us consider a flat pressurized cavity over which the intrusion of fluid magma provides the release of overpressure and of any pre-existent shear tractions. In order to describe the full moment tensor, let us consider a reference frame with axes along  $\hat{\mathbf{n}}$  (normal to the dislocation surface A),  $\hat{\mathbf{s}}$  (in the shear slip direction),  $\hat{\mathbf{t}} = \hat{\mathbf{n}} \times \hat{\mathbf{s}}$ , perpendicular to  $\hat{\mathbf{n}}$  and  $\hat{\mathbf{s}}$  according to the right-hand convention. The Burgers vector is  $\mathbf{b} = (b^n, b^s, 0)$  where  $b^n$  is the normal component and 476  $b^s$  the shear component. Let  $b^n = b \cos \theta$  and  $b^s = b \sin \theta$  ( $\theta$  is the angle between

 $_{477}\;\;$  b and  $\hat{n}).$  The moment tensor of the mixed mode dislocation is:

$$M_{ij}^{md} = M_{ij}^{n} + M_{ij}^{s} = Ab^{n} \begin{vmatrix} \lambda + 2\mu & 0 & 0 \\ 0 & \lambda & 0 \\ 0 & 0 & \lambda \end{vmatrix} + Ab^{s} \begin{vmatrix} 0 & \mu & 0 \\ \mu & 0 & 0 \\ 0 & 0 & 0 \end{vmatrix} = \mu Ab \begin{vmatrix} (k+2)\cos\theta & \sin\theta & 0 \\ \sin\theta & k\cos\theta & 0 \\ 0 & 0 & k\cos\theta \end{vmatrix}$$

where  $k = \lambda/\mu$  is employed in the last equality. The eigenvalues are found to be simply

$$M_{1} = \mu Ab[(k+1)\cos\theta + 1]$$

$$M_{2} = \mu Abk\cos\theta$$

$$M_{3} = \mu Ab[(k+1)\cos\theta - 1]$$
(3)

These values of  $M_2/M_1$  and  $M_3/M_1$  are shown in Fig. 9 as functions of  $\theta$  if k =480 1 (i.e.  $\lambda = \mu$ ). It may be easily shown from Eq. (3) that  $M_3/M_1$  vs.  $M_2/M_1$  is 481 a straight line joining the points  $(\frac{\lambda}{\lambda+2\mu}, \frac{\lambda}{\lambda+2\mu})$  and (0, -1): as far as  $\theta < 15^{\circ}$ , the 482 mixed mode dislocation is hardly distinguishable from a pure tensile crack (the 483 moment ratios are close to each other), but moment ratios may be much smaller 484 than  $rac{\lambda}{\lambda+2\mu}$ :  $M_2/M_1$  may vanish and  $M_3/M_1$  may be even negative when heta >485 60°). In the reference frame  $\hat{\mathbf{n}}, \hat{\mathbf{s}}, \hat{\mathbf{t}}$  the intermediate eigenvector  $\hat{\mathbf{m}}_2$  of the moment 486 tensor is along  $\hat{\mathbf{t}}$ , while the maximum and minimum eigenvectors are (for any 487 values of  $\lambda$  and  $\mu$ ): 488

$$\hat{\mathbf{m}}_1 = \frac{(1+\cos\theta,\sin\theta,0)}{\sqrt{2}(1+\cos\theta)^{1/2}} \quad \text{and} \quad \hat{\mathbf{m}}_3 = \frac{(-\sin\theta,1+\cos\theta,0)}{\sqrt{2}(1+\cos\theta)^{1/2}}$$

The eigenvectors  $\hat{\mathbf{m}}_1$  and  $\hat{\mathbf{m}}_3$  are found to be simply rotated anti-clockwise by  $\alpha = \theta/2$  around  $\hat{\mathbf{t}}$  with respect to  $\hat{\mathbf{n}}$  and  $\hat{\mathbf{s}}$ , since  $\cos \alpha = \cos(\theta/2) = \hat{\mathbf{n}} \cdot \hat{\mathbf{m}}_1$ .

<sup>491</sup> In Fig. 8 a summary is provided of all the moment ratios admissible for pres-<sup>492</sup> surized parallelepipeds (red triangle), mixed mode cracks and CLVD sources

(with vanishing moment trace). It appears that the HET2 moment is very close 493 to a mixed mode dislocation with  $\theta \sim 58^{\circ}$ . Since  $\hat{\mathbf{m}}_1$  is nearly vertical for model 494 HET2, then the dislocation plane is inferred to dip approximately by  $\alpha \sim 29^\circ$  with 495 respect to the horizontal, with the northern block overriding the southern block. 496 It is interesting to note that there is no ambiguity with an "auxiliary fault plane", 49 due to the constraint that the failure surface is the same for both the shear and the 498 tensile dislocations. The same may apply to HOM1 source being very close to a 499 mixed mode dislocation with  $\theta \sim 64^\circ$ , dipping  $\alpha \sim 32^\circ$  from the horizontal. 500

# 501 6.3. Gravity change and intrusion density

Several studies have shown the importance of hydrothermal contributions to the deformation field in volcanic areas (e.g. Rinaldi et al., 2010, and references therein). However, it is difficult to accommodate in this way more than  $\sim 10$  cm uplift and, in any case, a big instability of the hydrothermal system necessarily requires a big energy input from magmatic fluids. Gravity measurements can discriminate between magma and volatiles.

The observed gravity change may be decomposed in a sum of different contributions:  $\Delta g_L$ , due to displacement of density layers including the free surface,  $\Delta g_V$ , due to density variations of the compressible material surrounding the source, the free air correction  $\Delta g_{FA}$  due to benchmark uplift, and the mass shift  $\Delta M = \rho_m \Delta V_0$  from the deep source (at 7.5 km) to the shallow source (at 5.5 km) in the specific case of model HET2.

Following the approach described in Trasatti et al. (2009) in which gravity variations were computed in FE models of pressurized cavities in elastic heterogeneous media, we compute the gravity changes due to a general moment tensor, as described in section 3. According to this algorithm, we may finally compute the deformation (displacement and strain fields) everywhere in the medium surrounding the source from the moment density distribution of our best fitting HET2 model. From this, the gravity changes  $\Delta g_L$  and  $\Delta g_V$  may be computed by numerical integration over the FEM grid. Since  $\Delta V_0$  may be also computed from Eq. (1),  $\rho_m$  can be finally inferred.

At CF the gravity/uplift ratio was measured as  $\Delta g/\Delta h = -215\pm 6 \,\mu \text{Gal/m}$  dur-523 ing 1982-84 unrest (Fig. 1) and the measured free-air gravity gradient is  $-290\pm5$ 524  $\mu$  Gal/m (Berrino, 1994) so that the residual (free-air corrected)  $\Delta q/\Delta h$  amounts 525 to  $+75\pm 8 \mu$ Gal/m. From numerical integration of density changes due to HET2 526 model, the difference between observed and computed  $[\Delta g_{FA} + \Delta g_L + \Delta g_V]/\Delta h$ 52 amounts to 7.0  $\mu$ Gal/m only (ignoring the experimental uncertainty) and must be 528 attributed to the intrusion mass shifted from the deep source at depth  $z_d = -7.5$  km 529 to the shallow source in  $z_s = -5.5$  km according to the formula (for a benchmark 530 vertically above the source): 53

$$\Delta g_S = G\rho_m \Delta V_0 \left[ \frac{1}{z_s^2} - \frac{1}{z_d^2} \right]$$

A source volume change  $\Delta V_0 = 20.9 \cdot 10^6 \text{ m}^3$  is computed from model HET2 and 532 the inferred intrusion density value is  $\rho_m = 2043 \text{ kg/m}^3$  even though it is poorly 533 constrained due to the experimental uncertainty. Similar densities are compatible 534 with volatile rich basaltic magma, rather than hydrothermal fluids. From the pre-535 vious computations result that most of the residual gravity change is due to the 536 deformation of the medium, and only a minor (if any) release of the mass em-53 placed into the shallow source is needed to explain the gravity change during the 538 deflation phase starting in November 1984, which amounts to  $-224\pm24 \ \mu$ Gal/m 539 (very similar to the uplift phase). The deflation phase following the unrest after 540 1984 may be probably interpreted as the result of the release of exsolved volatiles 54

(water and  $CO_2$ ) by magma depressurization. If an isotropic (Mogi-like) source 542 is assumed, the residual gravity change should be entirely attributed to the em-543 placed mass, since  $\Delta g_L + \Delta g_V + \Delta g_{FA}$  vanish identically for an isotropic source 544 (Walsh and Rice, 1979). The gravity change observed during the post-1984 defla-545 tion phase would then require that the mass entering a Mogi source from remote 546 distance during inflation should disappear to remote distance during deflation, 54 which does not seem plausible. We remark that this result is a by-product of our 548 inverse modeling of surface deformation data, since no model optimization was 549 performed to fit gravity data. 550

#### 551 7. Discussion and conclusions

Pressurized cavities are generally employed as source models of deformation in volcanic areas. The geometrical shape assumed for the cavity has important effects on the inferred source parameters, but no general inversion scheme is available to retrieve the source shape from the observations: thus, inversions are generally performed assuming (at most) a tri-axial pressurized point-like ellipsoid. On the other side, any internal deformation source, including pressurized ellipsoids, can be described in terms of a moment tensor under the point-source assumption.

If a moderate component of deviatoric moment tensor is inferred from data, the source may be interpreted as a simple pressurized ellipsoidal cavity (see Fig. 2), going from a Mogi-like sphere (eigenvalues in ratios 1 : 1 : 1), along the subdomain of oblate axi-symmetric ellipsoids (1 : a : a), where 1/3 < a < 1, down to the circular penny shaped crack (1 : 1/3 : 1/3), in the Poisson approximation  $\lambda = \mu$ ), or along the sub-domain of prolate axi-symmetric ellipsoids (1 : 1 : 1)b), where 2/3 < b < 1, down to the thin "cigar-like" ellipsoid (1 : 1 : 2/3). If pressurized parallelepipeds are considered, the domain of admissible moment tensors increases somewhat, from moment ratios 1 : 1 : 1 of an isotropic cubic cavity, to 1 : 1/3 : 1/3 of the flat square cavity, and to 1 : 1 : 1/2 of the thin finger-like conduit.

Even in the presence of a moderate deviatoric component, and allowing for 570 a non vanishing component of shear dislocations, the interpretation of the source 57 geometry is not unique: for instance, a pressurized penny-shaped crack is equiva-572 lent to an isotropic source plus a shear dislocation source; it is noteworthy that the 573 inferred incremental volume of magma does not change, since it is proportional to 574 the moment trace  $M_{kk}$ . In any case, no ellipsoidal or parallelepipedal pressurized 57 cavity (in the point-like approximation) can provide a larger deviatoric component 576 of moment tensor than a flat tensile crack. 57

The source responsible for the 1982-84 uplift at CF caldera is found to be sig-578 nificantly out of the domain of pressurized cavities if the inversion of geodetic data 579 is performed assuming a homogeneous half-space (model HOM1). If the realis-580 tic heterogeneous structure of the medium, as inferred from seismic tomography, 58 is accounted for (model HET1), the misfit between data and model decreases by 582 43% but the best fitting moment source is even more difficult to reconcile with 583 a pressurized cavity (see Fig. 8). In such a model, the moment source can be 584 interpreted in terms of a tensile crack plus reverse-slip shear faults. 585

Beside the significant better fit of model HET1 w.r.t. HOM1, EDM data are still poorly fitted. Furthermore, the assumption of an inflating source, without considering a deflating source somewhere, violates mass conservation. Exploiting the recent finding of a very large magma reservoir at 7.5 km depth below CF, models HOM2 and HET2, accounting for a deep origin source at 7.5 km depth

(deflating by the same volume which inflates the shallow source) are considered. 59<sup>.</sup> Although no additional free parameters are introduced (the deep source is as-592 sumed vertically below the shallow source), data fit improves significantly and 593 EDM data are satisfactorily well reproduced by model HET2. The shallow HET2 594 source still requires a deviatoric component larger than the amount attributable to 595 a pressurized cavity. Additional reverse faulting, mostly on EW striking faults, is 596 a possibility, as already discussed for model HET1, but the moment tensor may 59 be probably best interpreted in terms of one mixed mode (tensile and shear) dis-598 location. In this case, one inflating source is assumed (apart from the deflating 599 "origin" source), over which shear slip accompanies the opening due to a fluid 600 intrusion. The HET2 moment tensor is found very close to that provided by a dis-60' location plane dipping by 29° Northward, with Burgers vector pointing 29° South 602 from the vertical. In this model there is no ambiguity with an auxiliary fault plane, 603 since the same dislocation plane accommodates both the slip and opening com-604 ponents. This mechanism of magma emplacement is similar to that modeled for 605 dike arrest by Dahm (2000) in presence of stress heterogeneities and by Macca-606 ferri et al. (2010) in proximity of elastic discontinuities. If this model is accepted, 607 we also get the important additional hint that magma was emplaced across solid 608 rock, releasing the shear traction over the dislocation plane and, as a consequence, 609 the incremental magma volume inferred from the trace of the moment tensor is the 610 total amount of magma present in the shallow source location. 61

All interpretative models discussed above require a large component of reverse slip, mostly over EW striking sources, in addition to an inflation component. The northward dipping dislocation plane (whether it is interpreted as shear slip on the same or on a different plane, or else as diffuse anelastic deformation) is compatible with the presence of ancient eruptive vents only in the Northern sector of the caldera and with the presence of uplifted marine terraces striking EW, close to coastline (e.g. "La Starza" terrace). Seismic activity was also strongly clustered in the northern sector, close to the coast (e.g. Dvorak and Berrino, 1991), with hypocenter depths typically above 4.5 km (i.e. just above the inferred source depth).

At the end of the uplift phase, in November 1984, the maximum uplift was  $\sim$ 622 1.80 m and the uplift pattern was very similar to that shown in Fig. 1b, multiplied 623 by a factor of  $\sim$  3. If the same source mechanism is assumed for the entire inflation 624 1982-84, as seems plausible because of the constant shape of the inflation and the 625 constant  $\Delta q/\Delta h$  ratio (Fig. 1d), the moment eigenvalues should be multiplied by 626 a factor of 3, due to the linearity of the equations. Thus, the inferred volume  $\Delta V_0$ 627 of magma transferred from the deep to the shallow source according to model 628 HET2 may be estimated as  $\Delta V_0^{tot} \simeq 60.10^6 \text{ m}^3$  at the end of the inflation period. 629 The magma volume is much greater for HET2 than HET1. 630

Finally, in this paper we have always adopted the point-source assumption. 631 Instead, an important role may be played by the finite dimensions of the source. 632 Amoruso et al. (2008) have shown that a circular horizontal penny-shaped crack 633 at shallow depth ( $\sim 3$  km), with 2.7 km radius may reproduce the observed de-634 formation and gravity change better than a point-like pressurized crack at 5 km 635 depth, inferred by them as the best fitting point-source. However, the assumption 636 of a flat, circular and horizontal intrusion may bias the solution even more than the 63 point-source assumption. In particular, the presence of seismicity down to 4.5 km 638 depth and the relatively cold temperatures  $\sim 420$  °C met by deep drillings down 639 to 2.7 km depth at CF, seems difficult to reconcile with the presence of magma 640

at 3 km depth only. Moreover, no evidence of a large magma reservoir at depths shallower than 7 km is found from tomographic studies (Aster and Meyer, 1988; Chiarabba and Moretti, 2006; Zollo et al., 2008). Of course, the presence of a reservoir smaller than the resolving power of tomographic data ( $\sim 1$  km) cannot be excluded and the problem of a finite source with one dimension shorter than this requires a deeper evaluation. In any case, no convenient inversion scheme is presently available for finite sources of arbitrary shape.

# 648 8. Acknowledgments

Research performed with financial contribution from the Italian Presidenza del Consiglio dei MinistriDipartimento della Protezione Civile (DPC) (Project "Unrest"). Scientific papers funded by DPC do not represent its official opinion and policies. This work is also partially funded through the Agenzia Spaziale Italiana - SIGRIS project. Thanks to C. Chiarabba and M. Moretti for providing tomography data.

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Figure 1: Sketch of Campi Flegrei (CF) caldera data set. (a) Geodetic and gravity benchmarks surveyed during the 1982-84 crisis: leveling (blue circles), EDM (red triangles) and gravity stations (yellow squares). (b) Spatial pattern of uplift measured in June 1983 (black) and in June 1984 (red) w.r.t. January 1982; the approximate axial symmetry is shown from the dotted lines (Pozzuoli-Quarto): the maximum uplift was always found at benchmark no. 15 close to the center of Pozzuoli. (c) EDM distance changes between June 1980 and June 1983 (referred to benchmark no. 15). (d) Gravity change  $\Delta g$  vs. uplift  $\Delta h$  at Serapeo benchmark no. 19 (~ 1 km NW of no. 15).

Figure 2: Moment ratios  $M_3/M_1$ ,  $M_2/M_1$  admissible for pressurized ellipsoids (dark gray subset) in the Poisson approximation. By assumption,  $M_1 \ge M_2 \ge M_3$  (light gray area). Best fit moment tensors are shown as solid diamonds for three models (out of four) discussed in the text (model HET1 is off-scale).

Figure 3: PPD distributions of source parameters for model HOM1 (blue) and model HET1 (red).

Figure 4: Best fit model prediction compared with leveling (a) and EDM (b) data (black bars) for model HOM1 (blue circles) and model HET1 (red circles).

Figure 5: PPD distributions of source parameters for model HOM2 (blue) and model HET2 (red).

Figure 6: Best fit model prediction compared with (a) leveling data and (b) EDM elongations for model HOM2 (blue circles) and model HET2 (red circles).

Figure 7: In the point-source approximation, the deformation field outside a pressurized parallelepiped (a) is the same as provided by 6 tensile dislocations (b) with Burgers vectors computed in order to provide normal stress  $\sigma_n = \Delta P$  at the center of each face. This system, in turn, is equivalent to three orthogonal tensile dislocations placed at the center of the cavity. In (b) and (c) the edge  $d_3$  and the surfaces  $A_3^{\pm}$  are not drawn for clarity.

Figure 8: Domains of possible moment ratios for pressurized parallelepipeds embedded in an elastic medium with  $\nu = 0.28$ , (red triangle), mixed mode dislocations (red line) and CLVD sources (black line). The moment tensor inferred from HET1 model is incompatible with any plausible point-source and requires a significant release of deviatoric moment on shear dislocations. Model HET2 is compatible with a mixed mode dislocation with  $\theta \sim 58^{\circ}$ , dipping by  $\alpha \sim 29^{\circ}$  Northward.

Figure 9: Values of  $M_2/M_1$  and  $M_3/M_1$  in a mixed mode dislocation as functions of  $\theta$  (in the Poisson approximation  $\lambda = \mu$ ).

Table 1: Results of the Bayesian Neighbourhood Algorithm inversion and misfits associated to the different models considered in the paper. The total misfit is the average between those computed for the leveling dataset and for the EDM dataset separately. The source position is fixed at  $x_S$  = 426.2 km and  $y_S$  = 4518.8 km (UTM reference);  $z_S$  is the inferred depth (negative below sea level).  $M_1$ ,  $M_2$  and  $M_3$  are the principal moments computed from the inverted stress tensor  $M_{ij} = \ell^3 \sigma_{ij}$ . The last 3 columns are the angles of the principal moments described in the text and in the supplementary material.