

1        **Evolution of temperature, O<sub>3</sub>, CO, and N<sub>2</sub>O profiles during the exceptional 2009 Arctic**  
2        **major stratospheric warming as observed by lidar and mm-wave spectroscopy at Thule**  
3        **(76.5°N, 68.8°W), Greenland.**

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79        **Abstract**

80        The 2009 Arctic sudden stratospheric warming (SSW) was the most intense event of this  
81        kind ever observed. Unique ground-based measurements of middle atmospheric profiles for  
82        temperature, O<sub>3</sub>, CO, and N<sub>2</sub>O obtained at Thule (76.5°N, 68.8°W), Greenland, in the period  
83        January – early March are used to show the evolution of the 2009 SSW in the region of its  
84        maximum intensity. The first sign of the SSW was detected at θ~2000 K on January 19,  
85        when a rapid decrease in CO mixing ratio took place. The first evidence of a temperature  
86        increase was observed at the same level on 22 January, the earliest date on which lidar  
87        measurements reached above ~50 km. The warming propagated from the upper to the lower  
88        stratosphere in 7 days and the record maximum temperature of 289 K was observed between  
89        1300 and 1500 K potential temperature on 22 January. A strong vortex splitting was  
90        associated with the SSW. Stratospheric backward trajectories indicate that airmasses arriving  
91        to Thule during the warming peak underwent a rapid compression and an intense adiabatic  
92        warming of up to 50 K. The rapid advection of air from the extra-tropics was also  
93        occasionally observed to produce elevated values of N<sub>2</sub>O mixing ratio. Starting from mid-  
94        February the temperature profile and the N<sub>2</sub>O mixing ratio returned to the pre-warming  
95        values in the mid and upper stratosphere, indicating the reformation of the vortex at these  
96        levels. In late winter, vertical descent from starting altitudes of ~60 km is estimated from CO  
97        profiles to be 0.25±0.05 km/day.

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99        *INDEX TERMS:* 0340 (middle atmosphere: composition and chemistry), 0341 (middle  
100        atmosphere: constituent transport and chemistry), 3334 (middle atmosphere dynamics), 3360  
101        (remote sensing)

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103        *KEYWORDS:* sudden stratospheric warming, winter polar stratosphere, temperature, O<sub>3</sub>,  
104        N<sub>2</sub>O, CO.

105 **1. Introduction**

106 Sudden Stratospheric Warmings are the most important perturbing events that  
107 affect the dynamics and thermal structure of the winter stratosphere in the Northern  
108 Hemisphere. The 2008/09 Arctic winter has been characterized by the largest major SSW  
109 event ever observed [*Labitzke and Kunze, 2009; Manney et al., 2009; Harada et al., 2010*].  
110 The development of SSWs is linked to the vertical propagation of planetary waves, which  
111 dissipate first in the mesosphere and then progressively through the stratosphere, interacting  
112 with the westerly winter circulation and modifying the atmospheric thermal profile from the  
113 upper troposphere through the mesosphere [*Schoeberl et al., 1978*]. Major warmings produce  
114 the breakdown of the winter circulation through either the displacement or splitting of the  
115 polar vortex, the instauration of an easterly circulation, and the reversal of the latitudinal  
116 temperature gradient. Strong differences in terms of dynamics, transport, evolution of the  
117 stratospheric chemical composition and of the vertical structure of the polar vortex exist  
118 between displacement and splitting events [*Charlton and Polvani, 2007; Manney et al.,*  
119 *2009; Matthewman et al., 2009*]. Minor events are less intense and do not produce the  
120 reversal of the mean zonal circulation.

121 The Arctic stratosphere is characterized by large interannual variability and very  
122 warm winters can alternate with cold ones. The occurrence of SSWs has been shown to be  
123 connected to the phase of the Quasi Biennial Oscillation, to the solar cycle [*Labitzke and van*  
124 *Loon, 1988*], and to the Southern Oscillation [*van Loon and Labitzke, 1987*]. As discussed by  
125 *Charlton and Polvani [2007]*, a frequent occurrence of sudden warmings occurred in the  
126 period 1958-2002, and a mean of six major events per decade was observed. Series of minor  
127 warmings or single minor events typically alternate with major SSWs [*Schoeberl, 1978;*  
128 *Manney et al., 2005*].

129           An increasing number of large warming events has been registered during the last  
130 ten years [*Manney et al.*, 2005]. Before the winter of 2008/09, the most intense recent  
131 warmings in the Arctic were detected in 2004 and 2006 [*Manney et al.*, 2005 and 2008].

132           The 2009 SSW to be described here using ground-based observations started in  
133 mid-January and was accompanied by an intensification of planetary wave number 2. As the  
134 SSW developed, the stratopause lowered and the mean zonal circulation reversed,  
135 proceeding from the mesosphere downwards. Studies by *Manney et al.* [2009] (using  
136 satellite-based measurements), by *Labitzke and Kunze* [2009] (using the European Centre for  
137 Medium-range Weather Forecast reanalyses), and by *Harada et al.* [2010] (using the Japan  
138 Meteorological Agency reanalyses) describe the mean evolution of the warming event over  
139 the entire Arctic region, thus providing a global view on the 2009 winter. The reversal of the  
140 10 hPa zonal mean zonal wind at 60°N occurred at around 22 January [*Manney et al.*, 2009].  
141 As the SSW propagated downward, it induced a splitting of the polar vortex in the lower  
142 stratosphere. The maximum warming at 10 hPa took place on 24 January above Greenland  
143 due to the dominant planetary wave 2 [*Labitzke and Kunze*, 2009] during the SSW. At the  
144 end of January the stratopause disappeared and a quasi-isothermal stratospheric temperature  
145 profile was observed. A strong polar vortex reformed very rapidly in the upper stratosphere  
146 at the beginning of February. The 10 hPa 60°N westerly circulation was restored at the  
147 beginning of March.

148           In the present study, ground-based observations of the thermal structure and  
149 chemical composition of the middle atmosphere from the Network for Detection of  
150 Atmospheric Composition Change (NDACC, <http://www.ndsc.ncep.noaa.gov/>) station at  
151 Thule Air Base (76.5°N, 68.8°W), Greenland, are used to show the evolution of the  
152 phenomenon and its interactions with the dynamical structure of the polar vortex in the  
153 region of maximum warming [*Labitzke and Kunze*, 2009].

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155        **2. Measurements**

156                An intensive measurement campaign was conducted at Thule during January–early  
157        March, 2009, with a lidar (“Sapienza” University of Rome) and a ground-based millimeter-  
158        wave spectrometer (Stony Brook University). The lidar was installed at Thule in 1990 and  
159        has been operational during several years, particularly during the winter season [i.e., *di Sarra*  
160        *et al.*, 2002 and references therein; *Keckhut et al.*, 2004]. The transmitter of the lidar system  
161        is composed of a two stage Nd:YAG laser with second harmonic generator producing  
162        linearly polarized pulses of a nominal energy of ~200 mJ at 532 nm, with a repetition rate of  
163        10 Hz. The divergence of the laser beam is less than 1 mrad. The receiver includes a 0.8 m  
164        diameter Cassegrain telescope and a photon counting acquisition system. The parallel and  
165        cross polarized components of the backscattered signal are separately acquired. A chopper is  
166        used to cut off signals from the lowest atmospheric levels in order to prevent the saturation of  
167        the photomultiplier tubes. Atmospheric temperature (T) profiles were derived from 25 km up  
168        to 70 km altitude by applying the algorithm described by *Marenco et al.* [1997]. T was  
169        derived with a vertical resolution of 150 m and averaged over 4.5 km. To reduce the signal-  
170        to-noise ratio, the signal was integrated for 1-5 hours, depending on the weather conditions.  
171        The estimated  $1\sigma$  uncertainty varies from ~1 K at 25 km to ~15 K at the maximum probed  
172        altitude. National Centers for Environmental Predictions (NCEP) reanalyses over Thule and  
173        radiosonde data obtained from the stations of Eureka (79.9°N, 85.9°W) and Alert (82.5°N,  
174        62.3°W) were used to provide temperatures below 25 km.

175                The ground-based millimeter-wave spectrometer (GBMS) has been operated most  
176        recently prior to 2009 at Thule during the winters of 2001-2002 and 2002-2003 [*Muscari et*  
177        *al.*, 2007, and references therein]. The GBMS measures rotational emission spectra of  
178        atmospheric chemical species such as O<sub>3</sub>, N<sub>2</sub>O, CO and HNO<sub>3</sub>, as well as the H<sub>2</sub>O  
179        continuum, with a spectral window of 600 MHz tunable between approximately 230 and 280  
180        GHz. It comprises a front end receiver employing a cryogenically cooled SIS mixer and a

181 back end composed of an Acousto-Optical Spectrometer (AOS) [*de Zafra*, 1995]. By means  
182 of the observed line shape together with pressure and temperature vertical profiles, a  
183 mathematical deconvolution process allows finding the emitting molecule's concentration as  
184 a function of altitude from about 15 to 80 km altitude. For water vapor, only the integrated  
185 column contents can be obtained [*Fiorucci et al.*, 2008]. O<sub>3</sub> and CO spectra are measured  
186 with a ~1.5-hour integration, while HNO<sub>3</sub> and N<sub>2</sub>O lines are weaker and need about 3-4  
187 hours of integration. The vertical resolution of the GBMS is limited by the inversion  
188 algorithm and averages one pressure scale height: the nominal vertical resolution is 6-8 km,  
189 although relative peaks in concentration profiles can be determined within ±1 km altitude.  
190 Detailed information on the observing technique, and GBMS data analysis can be found in *de*  
191 *Zafra* [1995], and *Muscari et al.* [2007].

192 GBMS retrieval algorithm has recently changed to a standard Optimal Estimation  
193 Method (OEM) which was applied to the O<sub>3</sub> and N<sub>2</sub>O measurements reported here. Careful  
194 comparisons of mixing ratio (mr) profiles obtained with previous (*Muscari et al.* [2007], and  
195 references therein) and current algorithms show no significant differences in results and error  
196 estimates, with the OEM providing however additional information needed to better  
197 characterize the retrievals and compare them to other datasets. Further refinements and  
198 validation efforts on O<sub>3</sub> and N<sub>2</sub>O OEM retrievals are still underway and results shown here  
199 should be considered preliminary. CO retrievals, instead, have not yet undergone such testing  
200 and vertical profiles reported in this manuscript are therefore still obtained using the  
201 Chahine-Twomey method [*de Zafra and Muscari*, 2004, and references therein]. O<sub>3</sub>, N<sub>2</sub>O,  
202 and CO measurements have an estimated 1σ uncertainty of 13% (minimum 0.3 ppmv), 15%  
203 (minimum 5 ppbv), and 16% (minimum 0.1 ppmv), respectively.

204 During January- early March 2009, lidar and GBMS measurements were usually  
205 performed on a daily basis, except during periods characterized by poor weather conditions  
206 (both instruments, 5-11 February) or instrument malfunctioning (lidar, 16-22 January).



207 **3. Results and discussion**

208 **3.1. Temporal evolution of the middle atmosphere thermal structure**

209 Figure 1 shows the temporal evolution of temperature profiles measured by lidar  
210 between 14 January and 5 March 2009 at Thule. The maximum altitude in the derived  
211 temperature depends on the time of integration, presence of clouds, and background noise.  
212 Initial profiles reached only up to 45-50 km. The agreement between lidar temperature  
213 profiles and radiosonde data is generally good (see overlapped profiles in Figure 1), despite a  
214 distance of more than 400 km between Thule and both Eureka and Alert.

215 In mid-January, before the SSW event, a cold vortex was stably present (see Figure  
216 1, profiles of 14 and 15 January, and Figure 7, maps of 17 January). An ozonesonde  
217 launched from Thule on 12 January showed that conditions for polar stratospheric cloud  
218 (PSC) formation were already present at that date at an altitude of about 19 km. Type Ia PSC  
219 particles were detected by lidar on January 17 and 18 between 17 and 22 km (not shown).

220 Starting from the 22 January, a sudden increase in the temperature profiles was  
221 observed in the region below about 50 km. Because initial lidar profiles reached only up to  
222 ~50 km, and because the lack of measurements between the 15 and the 22 January, the first  
223 thermal measure of warming was observed on 22 January, although we show below that a  
224 dramatic change in high altitude CO was seen to begin on 19 January.

225 Temperatures larger than 280 K were measured on January 22 between about 31  
226 and 43 km altitude. On January 24 at the altitude of 30 km (~10 hPa) the temperature was  
227 273 K, consistent with the warming peak values reported over Thule by *Labitzke and Kunze*  
228 [2009] in their Figure 4. The temperature profile became nearly isothermal over the wide  
229 vertical range 24-55 km at the end of January (days 27-28, T~240K), and then between  
230 approximately 15-45 km altitude at the beginning of February (days 35-37, T~230 K). From  
231 mid-February (days 43-44) to the beginning of March, the temperature profile returned to the  
232 pre-warming values above 35 km altitude, due to the restoration of the polar vortex (see

233 Figure 5), while remaining up to 30 K warmer than in the pre-warming period at lower  
234 levels, where the vortex never reformed during the time monitored here.

235 On January 22 the stratopause (identified as the height of maximum stratospheric  
236 temperature) was observed at about 35 km altitude. It descended to about 30 km on 25  
237 January. With the development of the isothermal profile noted in the preceding paragraph, a  
238 local maximum appeared at about 25 km on the 26th, progressively descending to 20 km on  
239 29 January. In early February a T maximum appeared near 52 km, and remained between 45  
240 and 55 km until early March.

241 Figure 2 shows the temporal evolution of T at different potential temperature ( $\theta$ )  
242 levels between 400 and 2000 K (approximately 16 to 50 km) during the period 14 January –  
243 5 March 2009 (days 14-64). The highest temperatures occurred on 22 January at the highest  
244 sounded levels. The warming reached 800-1000 K after 2-4 days (January 24-26), and 400-  
245 600 K after 6-7 days (January 28-29). The value of the maximum T reached at each level due  
246 to the downward propagation of the warming decreased approximately linearly with  $\theta$  from  
247 289 K at layers between 1300 and 1500 K (22 January) to 222 K at level 400 K (30 January).

248 In order to relate the warming event to the dynamical situation, we calculated  
249 temperature variations along 5-day isentropic backward airmass trajectories arriving at  
250 Thule. Trajectories were obtained from the NASA-GSFC Automailer system [*Schoeberl and*  
251 *Sparling*, 1994] using NCEP reanalysis data. One trajectory per day ending at Thule at 00  
252 UT was used in the analysis. The difference  $\Delta T$  between the airmass final temperature over  
253 Thule and the minimum T reached by the air parcel during its 5-day run is indicative of the  
254 adiabatic heating taking place along the trajectory. Figure 3 shows the temporal evolution of  
255  $\Delta T$  for  $\theta$  levels between 400 and 2000 K in the period 1 January – 5 March (days 1-64).  $\Delta T$   
256 is always  $<10$  K at all levels, except when the warming occurs.  $\Delta T$  for the days of maximum  
257 warming is  $\sim 50$  K at 1000+1300 K and between 25 and 45 K at the other selected  $\theta$  levels.  
258 The corresponding airmass compression  $\Delta p$ , measured as the difference between the air

259 parcel final pressure over Thule and the minimum pressure reached along its 5-days  
260 isentropic trajectory, is estimated to produce a 30-50% pressure increment. The compression  
261 occurs later at the lowest levels, consistently with the evolution of the warming. Thus, air  
262 parcels on the trajectories approaching the polar region during the warming peak were  
263 subjected to a rapid compression and an intense adiabatic heating that largely contributed to  
264 the total observed warming.

265

### 266 **3.2 Previous SSWs measured at Thule by lidar**

267 Figure 4 shows the temporal evolution of the temperatures measured by lidar at  $\theta$   
268 levels between 700 and 2000 K for the winters 2002, 2003, 2007, and 2009, all affected by  
269 major warmings. It should be pointed out that the two previously most intense SSWs of the  
270 decade were the ones of 2004 and 2006 [*Manney et al.*, 2005 and 2008]; no lidar  
271 measurements were carried out at Thule during these two winters, so we can not directly  
272 relate the 2009 SSW with the warmings of 2004 and 2006. However, *Manney et al.* [2009]  
273 have already compared the three events and have shown that the 2009 warming was more  
274 intense than those of 2004 and 2006, producing stronger and more prolonged effects on the  
275 lower stratospheric dynamics and structure.

276 The 2009 SSW was characterized by the largest absolute temperatures and by the  
277 largest temperature gradient versus time ever observed at Thule by lidar. The maximum  
278 temperature of 289 K at 42-45 km (potential temperature levels between 1300 and 1500 K)  
279 is up to 40 K larger than maxima observed during winters 2002, 2003, and 2007 and the T  
280 gradient peaked at 42 km with  $\sim 9$  K/day between 14 and 22 January. Temperatures between  
281 35 and 45 km altitude (1000-1500 K potential temperature levels) were consistently lower  
282 throughout February and early March than in the other winters considered here.

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### 285 3.3 Chemical composition and vortex evolution

286 Figure 5 shows the temporal evolution of Ertel's potential vorticity (PV), T, N<sub>2</sub>O,  
287 CO, and O<sub>3</sub> mixing ratios above Thule, at different  $\theta$  levels between 500 (~18-20 km) and  
288 2000 K (~48-50 km), in the period 14 January – 5 March 2009. The PV values were obtained  
289 from the NASA-GSFC Automailer system, based on NCEP data. Estimates of PV values  
290 corresponding to the inner vortex edge during January are also given in Figure 5. Figure 6  
291 shows polar plots obtained using Aura/MLS measurements from 13 January 2009,  
292 downloaded from <http://mls.jpl.nasa.gov/data/gallery.php> (courtesy of Gloria Manney). They  
293 are used here to show the overall chemical and dynamical status of the Arctic region before  
294 the SSW. Approximate values of N<sub>2</sub>O and CO at the various potential temperature levels can  
295 be read on the corresponding scales and are consistent with GBMS values reported in Figure  
296 5. Figure 6 shows that extravortex stratospheric air is richer in N<sub>2</sub>O and poorer in CO with  
297 respect to in-vortex air. Upper stratospheric Aura/MLS maps for O<sub>3</sub> are useful to show that a  
298 combination of photochemistry and dynamics causes large O<sub>3</sub> gradients between the vortex  
299 edge and its inner region (characterized by little sun exposure and small O<sub>3</sub> mixing ratios).

300 PV values in Figure 5 show that the splitting of the vortex, concurrent with the  
301 passage of the vortex edge above Thule, progressed from the top downward and took about 8  
302 days to reach the lower stratosphere (about 20 January at 2000 K, and 28 January at 500 K).  
303 Figure 7 shows Northern hemisphere PV maps (obtained from ECMWF reanalysis data) at  
304 the potential temperature levels of 950 and 550 K for selected days between 17 and 30  
305 January. At 950 K the vortex was stably present above Thule until the 22-23 January, when  
306 the splitting occurred at this level and the transition from inside to outside the vortex caused  
307 the rapid decrease in PV. This transition was not observed at Thule until 27 January at 550 K.  
308 These results are in agreement with our analyses at 1000 K and 500-600 K, respectively,  
309 based on PV data shown in Figure 5.

310 As apparent in Figure 5, between 1000 and 500 K the passage of the vortex edge  
311 over Thule associated with the vortex breakup, was accompanied by a sudden increase in  
312 N<sub>2</sub>O mr which occurred between January 26 and 28. The observed increase brought N<sub>2</sub>O mr  
313 values of about 0.25, 0.15, 0.10, and 0.08 ppmv at 500, 600, 800 K, and 1000 K,  
314 respectively, values representative of low- to mid-latitude air (see also Figure 6). At all these  
315 levels, PV and N<sub>2</sub>O are in good agreement in identifying the vortex breakup (decreasing PV,  
316 increasing N<sub>2</sub>O), although the exact timing might be slightly off depending on the PV value  
317 chosen as indicative of the vortex inner edge. Figure 8 shows isentropic 10-days backward  
318 airmass trajectories obtained from the NASA-GSFC Automailer system for the levels 500,  
319 800, and 1500 K ending at Thule at 00:00 UT on 17, 23, and 30 January, 15 February, and 1  
320 March 2009. At 500 K and 800 K, until the end of January, when the vortex breakup  
321 occurred, airmass trajectories indicate the presence of a typical vortex circulation (trajectories  
322 for 17 and 23 January); from the end of January, airmasses from mid- and low-latitudes were  
323 advected over Thule (see for example the trajectory for 30 January at 800 K), thus explaining  
324 the rapid increase in N<sub>2</sub>O mr immediately after the vortex breakup.

325 At higher levels, because of the rapid vertical decrease in both nitrous oxide mr and  
326 its gradient across the vortex edge, N<sub>2</sub>O becomes less reliable in describing the origin of the  
327 observed airmasses. Instead, CO becomes a better tool for this purpose [e.g., *de Zafra and*  
328 *Muscari*, 2004]. At these levels, the vortex splitting and the vortex edge transit over Thule  
329 was marked by a rapid decrease in CO mr matching the PV decrease. CO data in Figure 5  
330 indicate that at 2000 K and 1500 K the vortex broke up over Thule on January 19-20. GBMS  
331 CO mr variations during the warming were observed to be about -0.2 and -0.35 ppmv/day at  
332 1500 and 2000 K, respectively.

333 Concurrently, as warm, O<sub>3</sub>-rich air from outside the vortex moved over Thule  
334 during the SSW, the GBMS measured an increase in O<sub>3</sub> mr in the upper stratosphere of 0.8  
335 and 0.6 ppmv/day at 1500 and 2000 K, respectively. Airmasses coming from low- and mid-

336 latitudes were advected over Thule at 1500 K in the same period (see the trajectories for 23  
337 and 30 January in Figure 8).

338 On late 25 January, shortly after the vortex breakup, a decrease in O<sub>3</sub> mr (about 3.6  
339 ppmv) concurrent with a rapid and strong increase in CO mr (about 1.2 ppmv) between 1700  
340 and 2000 K (only level 2000 K is shown in Figure 5) suggests that a parcel originating from  
341 the former inner vortex was advected over Thule at these levels (see also the O<sub>3</sub> and CO  
342 distribution in the inner vortex region from the AURA/MLS maps in Figure 6). This parcel  
343 of vortex air above Thule is not present in the PV reanalysis data. This indicates that GBMS  
344 tracer measurements may identify air parcels more accurately than PV reanalyses, which  
345 have an inherent coarser temporal and spatial resolution.

346 After such an intense SSW, air parcels in the newly formed vortex can be  
347 characterized by very different chemical tracer contents. The history of air masses contained  
348 in this re-formed vortex is therefore defined by their tracer concentrations (which are related  
349 to chemical and dynamics processes) more accurately than by their position inside the vortex  
350 (i.e., PV values, which are due to the radiative cooling in the polar night region) and a strict  
351 consistency between chemical tracer mr and PV values should not be expected. During  
352 February, PV values indicate that the vortex reformed rapidly and strongly at higher levels  
353 (between about 6 and 12 February at 2000, 1500, and 1000 K) but not in the lower  
354 stratosphere (below about 800 K). Figure 8 shows that a stable winter circulation, with  
355 airmasses confined inside the polar vortex, was restored at the 1500 K level starting from the  
356 second half of February.

357 At 500 K stable conditions with no vortex reformation were maintained until early  
358 March and the observed N<sub>2</sub>O mr remained almost constant (about 0.3 ppmv) since the  
359 beginning of the SSW event, thus indicating well mixed extra-vortex airmasses. This is in  
360 agreement with backtrajectory analyses which indicate the advection of airmasses  
361 originating from mid- and high-latitudes over Thule (see Figure 8). At 800 K, the N<sub>2</sub>O mr

362 shows more variability with respect to level 500 K, with larger values from immediately  
363 after the warming event (day 27) through mid-February, indicating extra-vortex air masses,  
364 and smaller values from day 50 to the end of the campaign, indicating the observation of  
365 vortex air. Figure 8 shows airmasses from North Africa arriving over Thule on 30 January  
366 and on 15 February, therefore explaining the increase and the two relative maxima of N<sub>2</sub>O  
367 mr at 800 K (~0.11 ppmv) observed on these two days. Additional Aura MLS contour maps  
368 at 850 K (<http://mls.jpl.nasa.gov/data/gallery.php>) show that complex structures of filaments  
369 of both residual and new vortex air formed during February and early March above the entire  
370 Arctic area, and the presence of these filaments may in part explain the observed N<sub>2</sub>O and O<sub>3</sub>  
371 variability. At 1000 K, after the SSW event, the N<sub>2</sub>O mr rapidly returns to low values,  
372 indicating the rapid reformation of a strong vortex with somewhat mixed vortex and mid-  
373 latitude air inside.

374 CO mr values at 1000 K and above 2000 K (data not shown) suggest the same  
375 scenario, with a rapid return to larger values shortly after the SSW and some variability due  
376 to vortex and extra vortex filaments (e.g., a sudden drop on day 42 at 1000 K matching in  
377 time the large O<sub>3</sub> mr peak shown in Figure 5). In an extended altitude range from 1300 K to  
378 2000 K, however, CO does not show the same significant increase in mr from early February  
379 onward (see Figure 5) and the GBMS must be sampling extra-vortex air inside the re-formed  
380 vortex, as discussed previously. Figure 9 shows a contour plot of CO mr between 45 and 70  
381 km in the period 14 January – 5 March (days 14-64). From mid-February to early March  
382 GBMS CO observations followed the vertical descent of air inside the reestablished polar  
383 vortex which results from the return flow of the meridional residual circulation. Seven  
384 different CO mr levels, from 5 to 11 ppmv, were followed as they descended with time  
385 inside the vortex and 3 of these linear regressions (for 5, 8 and 11 ppmv) are indicated in  
386 Figure 9 with black dashed lines. In mid-February, the indicated range of CO mr values  
387 encompasses descent starting altitudes between 58 and 62 km. The 7 linear regressions (all

388 characterized by a squared correlation coefficient larger than 0.83) indicate descent rates  
389 from  $0.20 \pm 0.05$  to  $0.30 \pm 0.05$  km/day, gradually increasing with increasing starting altitude.  
390 We compared these estimates with approximate values that can be extrapolated from Figure  
391 2 and 4 of Orsolini et al. Using their 4 and 5 ppmv Odin/SMR H<sub>2</sub>O mr contour lines  
392 (located, at the beginning of February, approximately at 0.08 hPa or 62 km and 0.13 hPa or  
393 59 km) we estimate a descent rate of 0.3 km/day (following the 4 ppmv contour) and 0.2  
394 km/day (following the 5 ppmv contour). Although the agreement with our descent rates is  
395 very good, it should be underlined that the estimates obtained from the work of Orsolini et  
396 al. are based on data averaged over the whole polar region northward of 70°N.

397

#### 398 **4. Summary**

399 Ground-based measurements of middle atmospheric profiles of temperature, O<sub>3</sub>,  
400 CO, and N<sub>2</sub>O mr were carried out from Thule, Greenland, during winter 2008-2009. These  
401 measurements add further information to previous analyses aimed at studying the evolution  
402 of the 2009 winter stratosphere and to tracking the exceptional SSW that occurred during the  
403 second half of January. Main findings of this analysis are:

404

405 1. In the first part of January the polar vortex was stable and cold. PSCs were  
406 detected between 17 and 22 km on 18-19 January (not shown).

407

408 2. At Thule, the SSW event was initially detected at  $\theta \sim 2000$  K on January 19 by a  
409 rapid decrease in CO mr. The first evidence of a temperature increase was observed at about  
410 50 km on 22 January, when lidar measurements were first able to reach this altitude. The  
411 warming progressed downward reaching about 15 km altitude on 29 January. The maximum  
412 physical temperature, 289 K, was observed at layers between 1300 and 1500 K on 22



413 January. In late January the temperature profile became near isothermal, particularly in the  
414 altitude layer between 15 and 45 km.

415

416 3. Backward trajectories at the various altitudes studied indicate that airmasses  
417 approaching the polar region during the warming peak were subjected to a rapid  
418 compression and an intense adiabatic warming. This is estimated to maximize with  $\Delta T \sim 50$   
419 K at  $\sim 1000\div 1300$  K.

420

421 4. The passage of the vortex edge over Thule associated with the vortex breakup  
422 was marked by a sudden increase in  $N_2O$  and decrease in CO mixing ratios measured by the  
423 GBMS below and above 1000 K, respectively. PV,  $N_2O$ , and CO are in good agreement in  
424 identifying the vortex breakup. The vortex reformed rapidly and strongly above 1000 K at  
425 the beginning of February, but not in the lower stratosphere. Rapid changes in  $N_2O$ ,  $O_3$ , and  
426 CO are associated with the advection of airmasses of different origins, in some cases not  
427 detected by PV analyses. Maxima in  $N_2O$  mr in late January and mid February are associated  
428 with rapid transport of extra-tropics airmasses.

429

430 5. Mesospheric CO measurements inside the reformed vortex indicate descent rates  
431 between  $(0.30 \pm 0.05)$  and  $(0.20 \pm 0.05)$  km/day for starting altitudes between 62 and 58 km,  
432 respectively, from mid-February to early March.

433

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442 plots displayed in Figure 6. Helpful comments and suggestions from three anonymous  
443 reviewers are also acknowledged.

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540 **Figure captions**

541

542 Figure 1. Lidar temperature profiles obtained between 14 January and 5 March 2009 at  
543 Thule. The T, T+ $\sigma$ , and T- $\sigma$  are shown. The dashed line represents the CIRA 1986 model  
544 [Barnett and Corney, 1985] for the month. The dotted profiles are radiosonde data that are  
545 the closest in time data (from Eureka or Alert, depending on the data availability). The date,  
546 the time, and the integration time in minutes are reported.

547

548 Figure 2. Temporal evolution of stratospheric temperature interpolated at different  $\theta$  levels  
549 between 400 and 2000 K (temperatures at 400, 500, and 600 K are from NCEP reanalyses)  
550 in the period 14 January – 5 March 2009 (days 14-64). The maximum  $1\sigma$  uncertainty at the  
551 different levels is indicated by vertical bars. The maximum temperature obtained at each  
552 level due to the downward propagation of the warming is highlighted by a circle.

553

554 Figure 3. Temporal evolution of the temperature difference ( $\Delta T$ ) between the airmass final T  
555 over Thule and the minimum T reached by the air parcel along 5-day isentropic backward  
556 airmass trajectories for  $\theta$  levels between 400 and 2000 K in the period 1 January – 5 March  
557 2009 (days 1-64).

558

559 Figure 4. Temporal evolution of the temperature measured by lidar at various  $\theta$  levels  
560 between 700 and 2000 K for the winters 2002, 2003, 2007, and 2009, all characterized by  
561 major warming events.

562

563 Figure 5. Temporal evolution of: a) Ertel's potential vorticity (1 PVU= 1 K m<sup>2</sup> kg<sup>-1</sup> s<sup>-1</sup>), N<sub>2</sub>O  
564 and CO mr; b) O<sub>3</sub> mr and temperature, at  $\theta$  levels between 500 and 2000 K in the period 14

565 January – 5 March 2009 (days 14-64). Temperatures at 500 and 600 K are from NCEP  
566 reanalyses. Horizontal dashed lines are indicative threshold values for the inner vortex edge.

567

568 Figure 6. Contour plots of Aura MLS O<sub>3</sub>, N<sub>2</sub>O and CO mixing ratios at three potential  
569 temperature levels on 13 January 2009 (courtesy of Gloria Manney and the MLS Team).  
570 White contours are typically scaled potential vorticity values from GEOS-5. For additional  
571 plots and information see <http://mls.jpl.nasa.gov/data/gallery.php>.

572

573 Figure 7. Northern hemisphere potential vorticity maps (obtained from ECMWF reanalysis  
574 data) at the potential temperature levels of 950 K (up) and 550 K (bottom) for 17-20-23-27-  
575 30 January 2009. Light grey lines corresponds to the inner vortex edge; black lines indicate  
576 the threshold temperatures for the formation of PSC at the two different levels. The position  
577 of Thule on the maps is indicated with a black point.

578

579 Figure 8. Isentropic 10-days backward air mass trajectories for the levels 500, 800, and 1500  
580 K ending at Thule at 00:00 UT on 17-23-30 January, 15 February, and 1 March 2009.  
581 Trajectories were obtained from the NASA-GSFC Automailer system [*Schoeberl and*  
582 *Sparling*, 1994] using NCEP reanalysis data.

583

584 Figure 9. Contour plot of CO mr between 45 and 70 km in the period 14 January – 5 March  
585 2009 (days 14-64). Linear fits to CO mixing ratio levels of 5, 8 and 11 ppmv altitude versus  
586 time are also shown (dashed lines).

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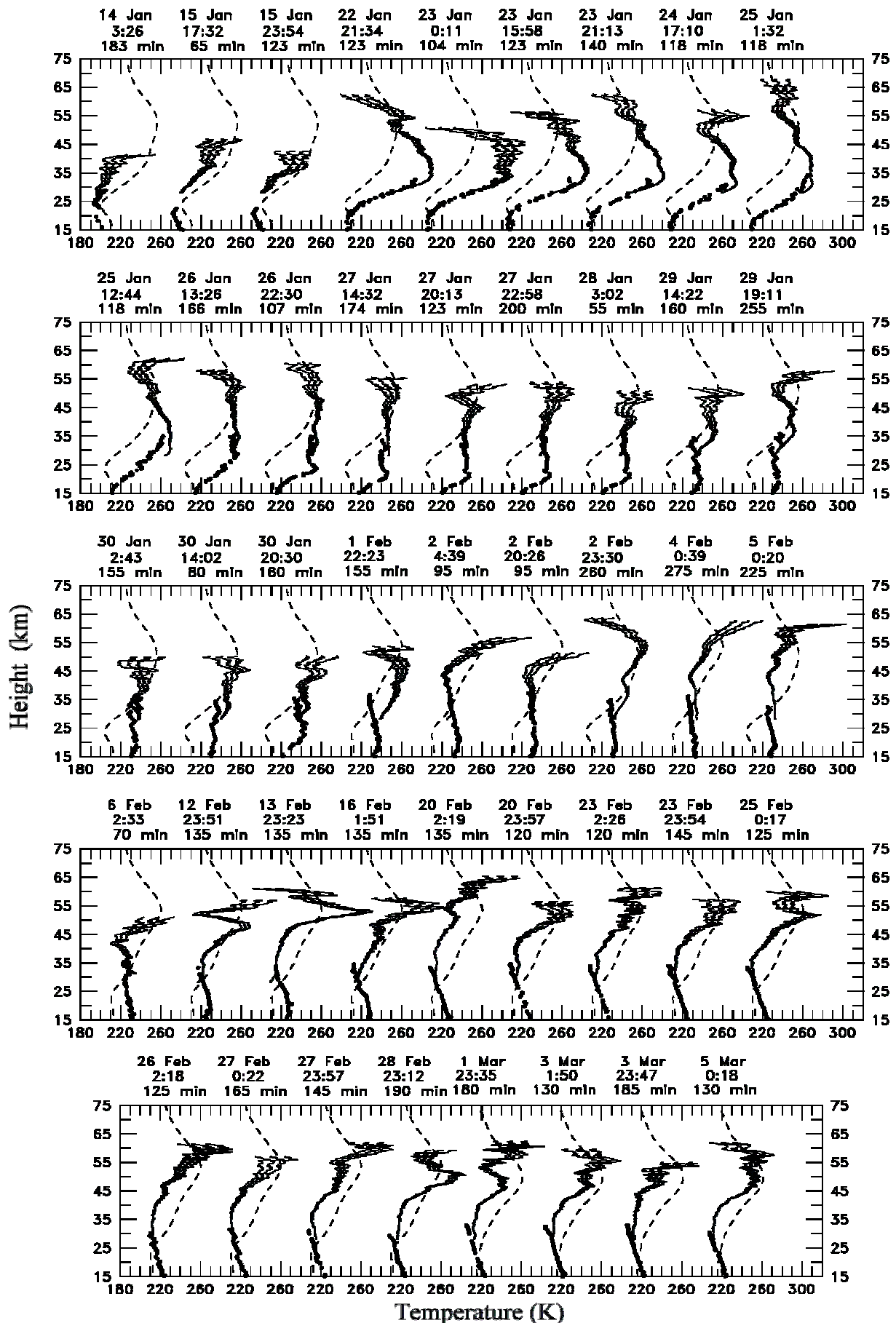




Figure 2. Temporal evolution of stratospheric temperature interpolated at different  $\theta$  levels between 400 and 2000 K (temperatures at 400, 500, and 600 K are from NCEP reanalyses) in the period 14 January - 5 March 2009 (days 14-64). The maximum  $1\sigma$  uncertainty at the different levels is indicated by vertical bars. The maximum temperature obtained at each level due to the downward propagation of the warming is highlighted by a circle.

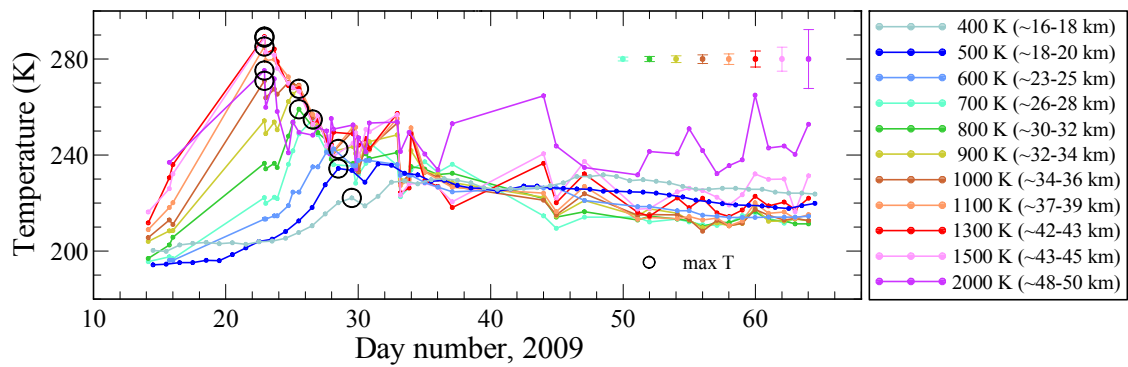


Figure 3. Temporal evolution of the temperature difference ( $\Delta T$ ) between the airmass final T over Thule and the minimum T reached by the air parcel along 5-day isentropic backward airmass trajectories for  $\theta$  levels between 400 and 2000 K in the period 1 January- 5 March 2009 (days 1-64).

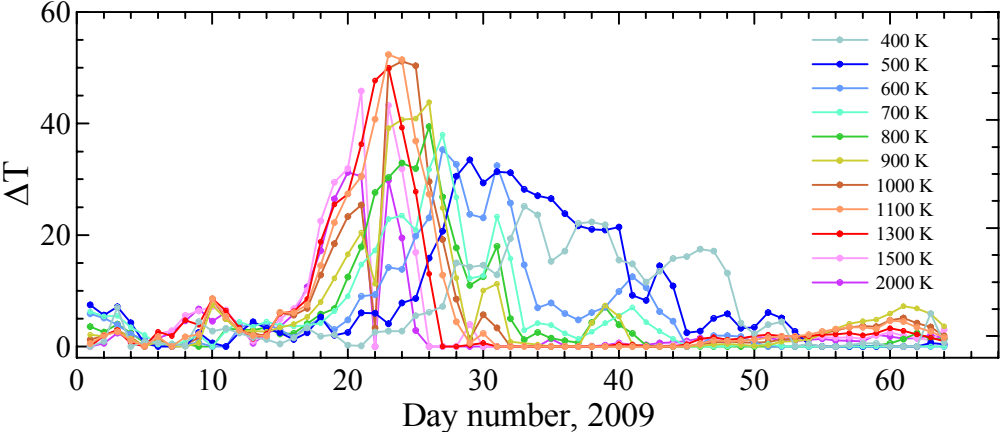


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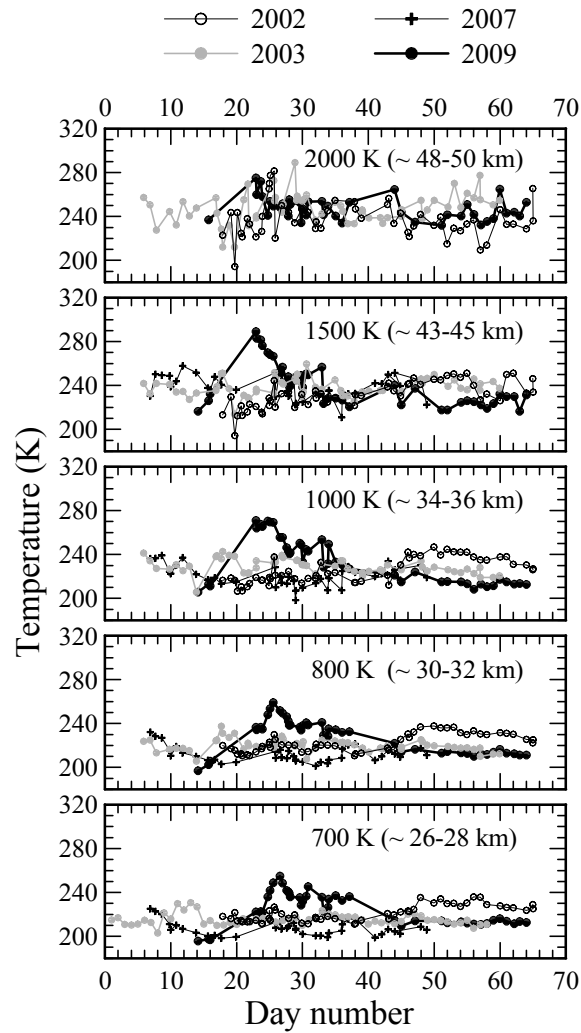


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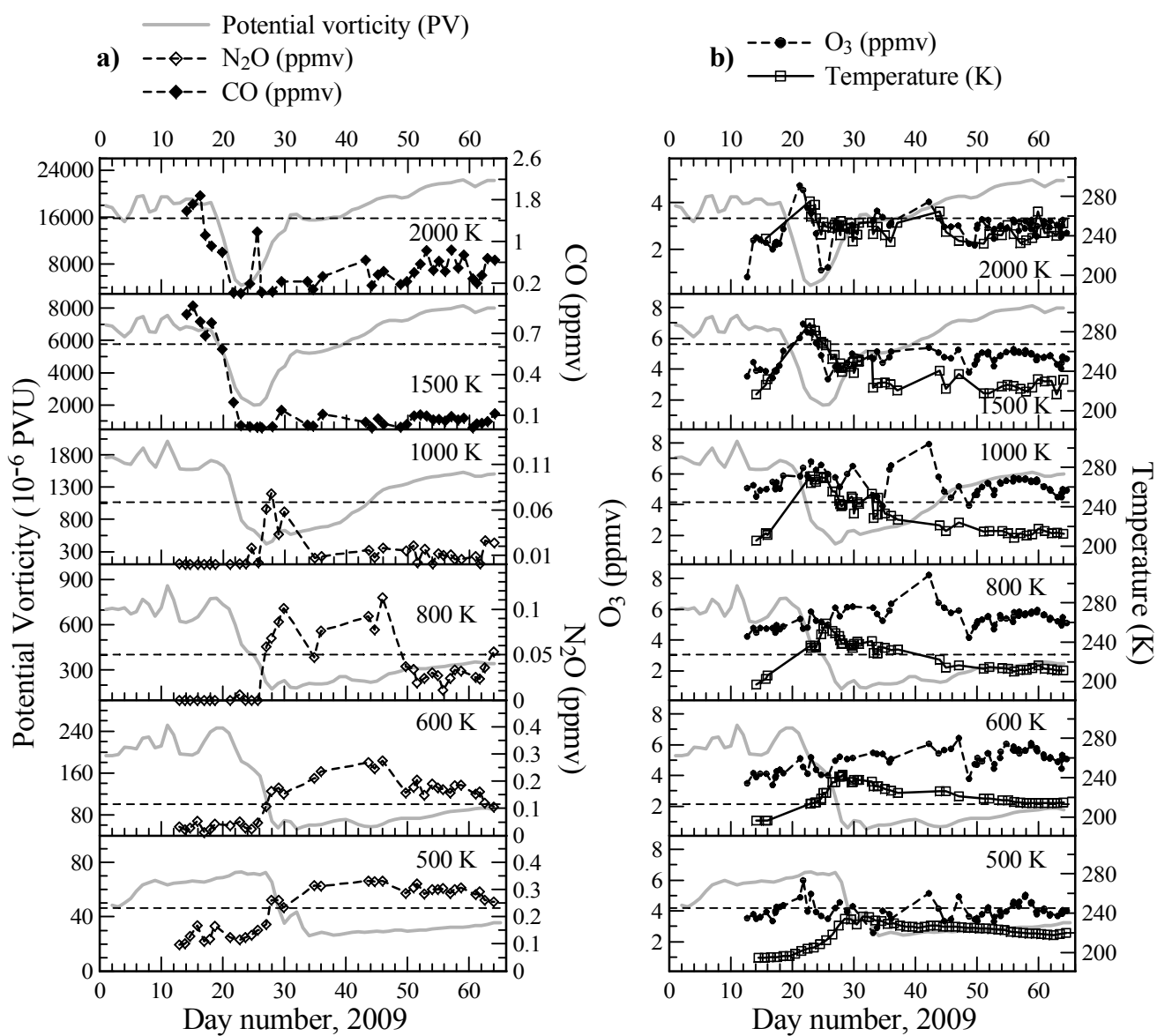


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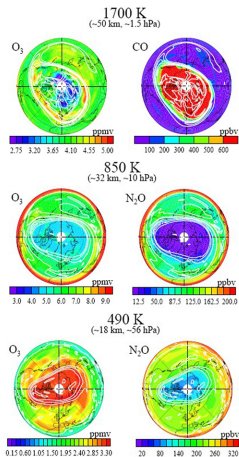


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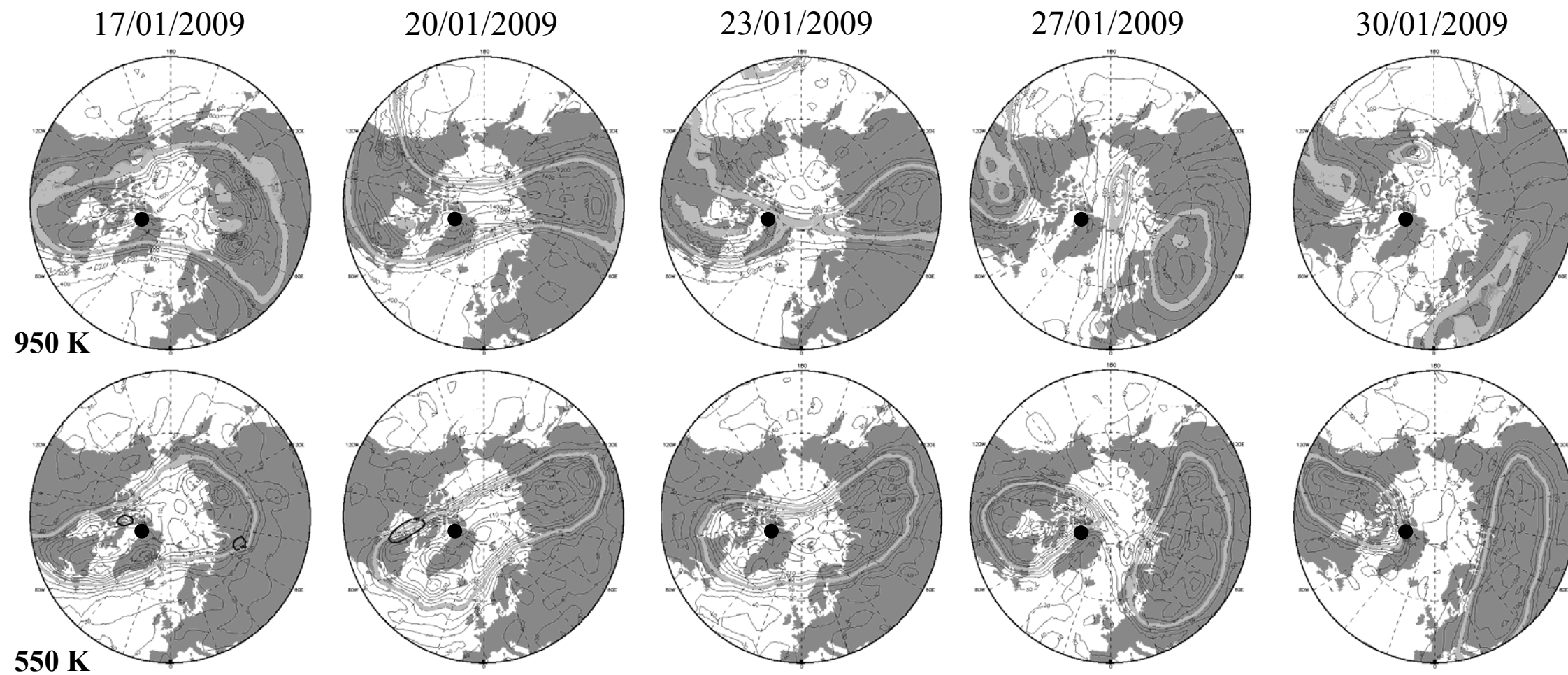


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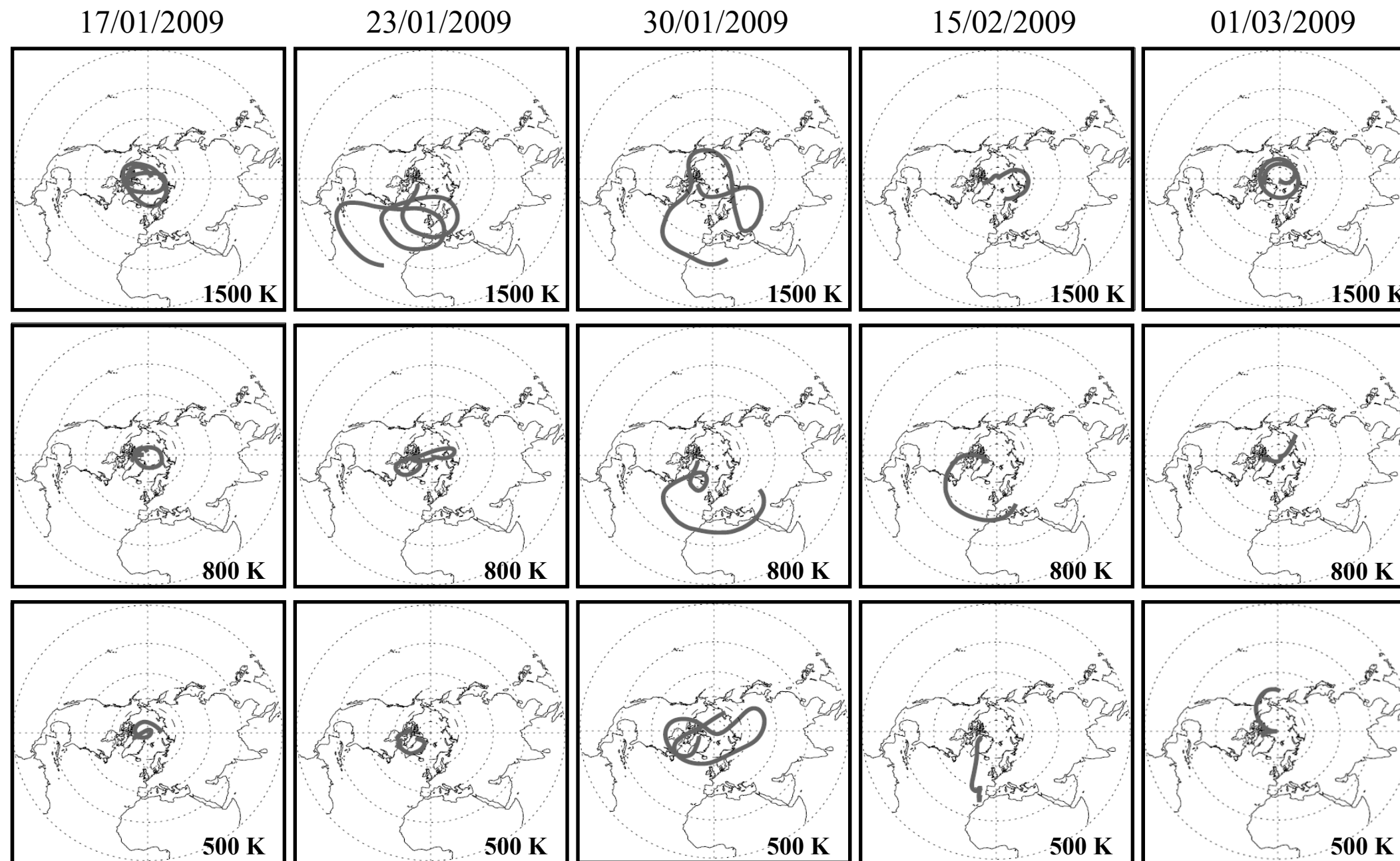


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