

1 Energy radiation from intermediate to large magnitude 2 earthquakes: implications for dynamic fault weakening

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8 **Abstract**

9 The amount of energy radiated from an earthquake can be measured using recent methods
10 based on earthquake coda signals and spectral ratios. Such methods are not altered by
11 either site or directivity effects, with the advantage of a greatly improved accuracy.
12 Several studies of earthquake sequences based on the above measurements showed
13 evidence of a breakdown in self-similarity in the moment to energy relation. Radiated
14 energy can be also used as a gauge to estimate the average dynamic stress drop on the
15 fault. Here we compute the dynamic stress drop, infer the co-seismic friction and estimate
16 the co-seismic heating resulting from the frictional work during events from different
17 main shock-aftershock earthquake sequences. We relate the dynamic friction to the
18 maximum temperature rise estimated on the faults for each earthquake. Our results are
19 strongly indicative that a thermally triggered dynamic frictional weakening is present,
20 responsible for the breakdown in self-similarity. These observations from seismic data
21 are compatible with recent laboratory evidence of thermal weakening in rock friction
22 under seismic slip-rates, associated to various physical processes such as melting,
23 decarbonation or dehydration.

24 Introduction

25 Whether earthquakes show a strict self-similar scaling (Ide and Beroza, 2001), or whether
26 they obey a more complex scaling law (Izutani, 2005) is still an open debate in the
27 seismological community (poll results of the 2005 Chapman Conference on Radiated
28 Energy and the Physics of Earthquake Faulting: [https://eed.llnl.gov/scaling-
29 workshop/pdf/BWalter_Intro.pdf](https://eed.llnl.gov/scaling-workshop/pdf/BWalter_Intro.pdf)).

30 Both models may actually apply, with departures from self-similarity in some
31 mainshock/aftershocks sequences but not in others (Mayeda and Malagnini, 2009). The
32 difficulty in discriminating between the two models is in great part due to the lack of
33 precision of the classic tools that may be used for determining earthquake energies and
34 sizes (Mayeda et al., 2007). In addition, trivial variations in fault behavior due to changes
35 in lithology or faulting type may be rather large and hinder unusual variations in the
36 scaling. This last point may be improved by comparing only earthquakes generated
37 within the same fault region or fault zone, with the disadvantage of reducing the available
38 catalog and of working on a much smaller group of earthquakes at a time.

39 Very small earthquakes ($M_w < 2$) may be characterized by extreme static stress drops:
40 200 MPa or more have been estimated from repeating earthquakes by Nadeau and
41 Johnson (1998), whereas Griffith et al. (2009), showing evidence of fossil seismicity,
42 inferred large static stress drops for small faults affecting mostly fresh rocks. However,
43 we do not consider those end members here, but rather earthquake sequences with
44 magnitudes in the range ($3.8 < M_w < 7.6$). Among these, in the cases where self-similarity
45 does not hold, larger events generally appear to have a relatively larger radiated energy
46 (normalized to moment); simple scaling laws are not strictly obeyed, indicating that either
47 the static or the dynamic properties of the fault somehow depend on the earthquake's
48 magnitude. Among others, we may conjecture the occurrence of a systematic variation
49 with magnitude either of rupture velocity, or of high frequency radiation due to fault
50 complexity, or of initial stress on the fault. Any of the above properties, indeed, affects
51 the relative amount of radiated energy. However, a systematic variation in either rupture
52 velocity or fault complexity is difficult to justify, while a variation in the initial stress
53 seems more reasonable. For example, smaller events may belong to aftershocks triggered
54 on weaker neighboring faults, after the principal stress direction became more favorably

55 oriented, or after the pore pressure increased in the vicinity of the fault, as a consequence
56 of the main shock. In addition, one may argue that the apparent energy increase with
57 magnitude is an artifact due to bandwidth limitations (smaller events may have part of the
58 higher frequency attenuated or poorly sampled) and great care should be taken in
59 verifying this issue.

60 Finally, one straightforward interpretation of the fact that larger earthquakes appear to
61 radiate more energy (per unit fault area, per unit slip) is that larger earthquakes are
62 characterized by a lower residual friction, because faults are progressively weakening
63 under dynamic slip, resulting in an increased dynamic stress drop for events with a larger
64 slip. Here, we explore the consequences of the latter interpretation in terms of the friction
65 dynamics of faults and leave aside other possible interpretations for future studies.

66 Numerous mechanisms have been invoked to quantitatively describe the dynamic
67 weakening of faults: acoustic fluidization (Melosh, 1996), elasto-hydrodynamic
68 lubrication (Brodsky and Kanamori, 2001), pore fluid thermal pressurization (Rempel
69 and Rice, 2006), flash heating (Rice, 2006), decarbonation with formation of
70 nanopowders (Han et al, 2007), dehydration (Hirose and Bystricky, 2007), formation of
71 gel (Di Toro et al., 2004), frictional melt (Nielsen et al., 2008), and coseismic fluid
72 pressurization due to frictional CO₂ exsolution (Famin et al., 2008); a number of them
73 were reproduced in laboratory experiments (Han et al., 2007; Hirose and Bystricky, 2007;
74 Di Toro et al., 2004; Nielsen et al., 2008; Famin et al., 2008). Most of these mechanisms
75 are triggered by frictional heating and, thus, enhanced weakening should prevail
76 whenever a large slip occurs in a short time (Rice, 2006; Nielsen et al., 2008, Famin et
77 al., 2008). Seismic events that release more heat are those where a larger stress drop is
78 expected (Madariaga, 2007), so that the breakdown in self-similarity may appear when
79 comparing cold events (typically, small earthquakes with low slips and slip rates) with
80 hot events (generally, large earthquakes with high slips and slip-rates) within a given
81 sequence.

82 If the preferred mechanism for a magnitude-dependent dynamic weakening is fluid
83 pressurization, we expect that only the faults characterized by low gouge and damage
84 zone permeability may develop dynamic lubrication. In this paper we will show six cases
85 in which breaks in self-similarity occur with different severities.

86 Although in most cases we do not have direct information about fault permeability,
87 valuable borehole and laboratory information is available for the Chelungpu fault, which
88 is responsible for the Chi-Chi earthquake of 20 September 1999 (M_w 7.6). This fault is
89 very important for the seismological community (and for the present study): it represents
90 a specific case in which portions of a fault that cumulate a substantial amount of slip (8-
91 10 m) are at such a shallow depth that they could be reached by borehole, and samples of
92 the fault core could be extracted and analyzed for investigating the energetics of the
93 mainshock (Ma et al., 2006).

94 Laboratory experiments were performed on different parts of the fault, indicating
95 different permeability structures. Tanikawa and Shimamoto (2009) concluded that the
96 fault is divided in two patches: a southern one with high permeability, and a northern
97 patch with low permeability. Tanikawa and Shimamoto also performed friction
98 experiments and determined that the low-permeability patch to the north is characterized
99 by velocity strengthening frictional properties (i.e., stable sliding) at low slip rates,
100 whereas the southern patch with high permeability is characterized by velocity weakening
101 (i.e., unstable sliding). Based on results from a numerical model, they stated that, during
102 the Chi-Chi mainshock, substantial thermal fluid pressurization occurred on the northern
103 patch of the Chelungpu fault, whereas the more permeable host rocks and core materials
104 of the southern patch did not allow dynamic lubrication.

105 Tanikawa and Shimamoto provided a lab estimate of dynamic friction coefficients for
106 samples taken on each one of the fault patches: $\mu_d = 0.15$ was obtained for the northern
107 part of the fault, $\mu_d = 0.22$ for the southern part, whereas Kano et al. (2006) determined a
108 very low dynamic friction coefficient on the northern fault patch during the Chi-Chi main
109 shock ($\mu_d = 0.04 \div 0.08$). After considering the large range of possible values for μ_d on
110 the northern part of the Cheungpu fault, we decided that, for our calculations, we would
111 have used a “conservative” estimate, $\mu_d = 0.2$, close to the mean of those given by
112 Tanikawa and Shimamoto (2009).

113 Based on borehole temperature measurements, the dynamics of faulting of the Chi-Chi
114 event was recently studied by Wang (2009): in order to explain the shear stress-slip
115 function inferred by Ma and Mikumo from seismic data (unpublished manuscript, 2008),
116 he hypothesized the occurrence of severe dynamic weakening through thermal

117 pressurization. In the square patch of the fault that contained the borehole in which the
118 sample of the fault core shown in Wang (2009) was taken, the unpublished shear stress-
119 slip function of Ma and Mikumo showed a strongly decreasing shear stress as a function
120 of slip, reaching a null value at 10.7 m of slip, before going up again to a final shear
121 stress level of 4.6 MPa. In his conclusions, Wang (2009) cited a previous study (Wang,
122 2008, unpublished manuscript), in which he modeled the coseismic frictional heat of the
123 Chi-Chi mainshock in the same square fault patch, and found that during faulting most of
124 the heat was retained within the slip zone, consistently with the hypothesis of dynamic
125 lubrication by thermal pressurization. Consistently with our findings, he concluded that
126 the seismic radiation efficiency varies very much with the earthquake size for small
127 events, whereas it stays almost constant for large earthquakes.

128 Because of the amount of information available in the literature on the Chi-Chi
129 mainshock and seismic sequence, and on the Chelungpu fault in particular, our results on
130 this sequence are particularly emphasized in this study. Unfortunately, no specific
131 information on fault architecture and permeability structure, or on fault frictional
132 properties, are available for the rest of the sequences that are investigated here.

133 This study describes a total of six different mainshock/aftershock sequences: (1) San
134 Giuliano (Southern Italy), (2) Colfiorito (Apennines, Central Italy), (3) Wells (NV), (4)
135 Hector Mine (CA), (5) Chi-Chi (Taiwan), and (6) Iwate (Japan). Results are given in
136 terms of the coefficients of dynamic friction relative to each event in all sequences. We
137 show that dynamic weakening characterizes all the sampled seismic sequences, severely
138 in some cases. Differences in the dynamic behaviors of the studied faults/fault zones are
139 explained in terms of the different effectiveness of thermal pore fluid pressurization due
140 to frictional heating. On each individual fault, the phenomenon is modulated by the
141 permeability of the fault zone.

142 **Role of fluid pressure in faulting**

143 The role of pore fluid pressure in fault mechanics is extremely important in both static
144 and dynamic conditions: it determines the fault strength during the inter-seismic period,
145 and it may strongly affect the coseismic phase by lubrication effects induced by dynamic
146 pulses (Malagnini et al., 2008). In static conditions, numerous studies are available on the

147 spatial (generally depth) distribution of pore fluid pressure within the crust, or on
148 temporal fluctuations induced by a spatially and temporally varying bulk permeability,
149 which is controlled mostly by active faulting (Sibson, 1994; Hunt, 1990; Barton et al.,
150 1995; Miller et al. 2004; Townend and Zoback, 2000). Generally, pore fluid pressure in
151 the Earth's crust greatly varies with depth, and so does its gradient. Fluid pressures may
152 be anything between underpressured (Hunt, 1990), hydrostatic and lithostatic. When pore
153 fluid pressure gets too large, it can be relieved through the occurrence of hydrofracturing.
154 Pore fluids in a crystalline crust are generally in hydrostatic conditions (Zoback and
155 Townend, 2001; Zoback and Townend, 2001; Grawinke and Stockhert, 1997). On the
156 contrary, regions characterized by substantial sedimentation rates may be characterized
157 by buried overpressured compartments, and by super-hydrostatic gradients. High pore
158 fluid pressures are generally found in accretionary prisms (Caine et al., 1996).
159 Hunt (1990) analyzed the mechanisms of overpressure generation, and the relationships
160 between the nature and distribution of abnormal pore fluid pressures and the presence of
161 oil reservoirs. One of his observations is of our particular interest: the depths of the top
162 seals of the overpressured reservoirs in his worldwide data set cluster around 3000 m.
163 In deforming sedimentary basins, gradients of pore fluid pressure may turn to lithostatic
164 at the depth where compaction ceases, and below which sediments are increasingly
165 undercompacted and overpressured. Such depth represents the top seal of an
166 overpressured compartment, and may be called fluid retention depth (Suppe and Yue,
167 2008).
168 Meeting specific sedimentation/deformation conditions is not the only way to reach
169 crustal fluid pressures in excess of hydrostatic conditions. In some situations (e.g., the
170 Umbria-Marche region of the Colfiorito sequence), the confined fluid (overpressured
171 CO₂) is of mantle origin, and percolates upward through the crust until it reaches a seal
172 where a sub-horizontal pressure discontinuity may form. In such a case, the expression
173 "fluid retention depth" is no longer to be used for identifying the top of the overpressured
174 compartment.
175 However, the mechanical influence of the overpressured fluids on the seismogenic faults
176 is independent of the nature of overpressure, and thus in all cases we will indicate the
177 depth where the transition to a super-hydrostatic pressure regime occurs with the same

178 general expression (gradient transition depth, Z_{GT} , see Figure 1), regardless the nature of
 179 the transition itself. For simplicity, in our pore fluid pressure model, we will only deal
 180 with hydrostatic and lithostatic gradients. The reader should note that, regardless the
 181 “true” pressure-depth profiles, any absolute value of pore fluid pressure at depth can be
 182 met by varying the Z_{GT} parameter (Figure 1).

183 For what concerns our study, direct measurements of pore-fluid pressure at depth are
 184 available only for one single point on the Chelungpu fault, where the Chi-Chi main shock
 185 occurred. For each one of the analyzed seismic sequences, the available information
 186 taken from the scientific literature is listed in the specific subsections of Appendix A1.

187 **From Seismic Source Scaling to Dynamic Friction**

188 We show how the uneven scaling of seismological data may be interpreted as the
 189 signature of dynamic frictional weakening of earthquake faults, compatibly with
 190 observations of laboratory experiments performed on fault rocks. We use recently
 191 developed low-noise spectral measurements techniques (Mayeda et al., 2007; Mayeda
 192 and Malagnini, 2009), which provide very accurate estimates of radiated energy, in order
 193 to investigate details of the physics of faulting which can be related to non self-similar
 194 behavior. We compare the estimates of the co-seismic temperature rise to the amount of
 195 relative friction drop in six seismic sequences around the world. We show our results in
 196 terms of the apparent dynamic friction coefficient μ_d of the investigated faults, defined as
 197 the ratio of dynamic shear stress to effective normal stress:

$$198 \quad \mu_d = \frac{\tau_d}{\sigma_n - P_f}, \quad (1)$$

199 where τ_d is the dynamic shear stress, σ_n is the normal pre-stress, and P_f is the pore fluid
 200 pressure. By definition of dynamic stress drop $\Delta\tau_d$, we may write:

$$201 \quad \tau_d = \tau_0 - \Delta\tau_d, \quad (2)$$

202 where τ_0 is the initial stress. It is easy to show that:

$$203 \quad \mu_d = \mu_s - \frac{\Delta\tau_d}{\sigma_n - P_f}, \quad (3)$$

204 where μ_s is the static coefficient of friction. All quantities are assumed to be an average
 205 across the fault, and τ_d , μ_d are computed for each event. This is a limitation of our

206 method, since faults are highly inhomogeneous and both friction and stress will vary
207 across the fracture surface. In order to compute μ_d based on equation (3) we require an
208 accurate estimate of the dynamic stress drop, which is the critical parameter expected to
209 vary from event to event in case of frictional weakening. We also require an independent
210 estimate of values, or range of possible values, for the normal stress σ_n and the ambient
211 pore pressure P_f , and, for equation (2), for the initial shear stress τ_0 , as we discuss later
212 on.

213 We propose a simple relation between dynamic stress drop and radiated energy, based on
214 the energy balance for an expanding crack. The expanding crack model, though it is an
215 idealization and a simplification of the actual faulting process, is physically more
216 reasonable than the Brune model, which assumes an instantaneous stress pulse on the
217 entire fault. Our crack model assumes that the main dynamic friction drop takes place in a
218 small region located in the immediate vicinity of the advancing crack tip; under such
219 conditions it was shown (Freund, 1990) that radiation of seismic energy essentially
220 originates from the vicinity of the crack tip. It can be shown (Appendix A2 to this study)
221 that the dynamic stress drop is proportional to the radiated energy E_R through the
222 equation:

$$223 \quad \Delta\tau_d = F \mu \frac{E_R}{M_0}, \quad (4)$$

224 where μ is the shear stiffness of rocks and M_0 is the seismic moment. The
225 proportionality factor F is a dimensionless coefficient (of the order of unity) which, in
226 the crack model, depends essentially on the ratio of fracture speed to wave velocity.
227 However, we expect that the value of F analytically derived for the crack model is an
228 underestimate for natural earthquakes, where radiation is affected by the complexity of
229 the rupture process; we propose instead to estimate a lower bound of F from the
230 seismological data as outlined in Appendix A2.

231 The quantity $\mu \frac{E_R}{M_0}$ is also known as the apparent stress (Wyss, 1970). The accurate
232 estimate of radiated energy, E_R , is obtained through a recently developed spectral ratio
233 method, yielding low variance estimates with respect to classical techniques (Appendices
234 A1.1 through A1.6; Appendix A2; Wyss, 1970).

235 According to equation (3), the magnitude of dynamic friction scales with the effective
 236 normal stress ($\sigma_n - P_f$), the value of which cannot be precisely known. Moreover, the
 237 stress ratio in equation (3) depends upon the orientation of the fault plane with respect to
 238 the principal stress axes (the angle θ indicated in Figure 2), and the final result depends
 239 also upon the initial static friction coefficient. It is well known that the absolute level of
 240 stress within the Earth is practically impossible to measure, except punctually at borehole
 241 sites. Indeed, the value accessible through seismological observations is only the relative
 242 co-seismic stress drop (Kanamori and Heaton, 2000), with the remarkable exception of
 243 cases where the coseismic rake rotation can be inferred, (Spudich, 1998). As a
 244 consequence, we explore a range of different values: results for a limited range of
 245 parameters are shown here, and more can be found in Appendix 1. The range of the
 246 admissible normal stress σ_n and shear pre-stress τ_0 (Figure 2) is estimated by assuming
 247 Andersonian faulting and by varying the orientation of faults with unknown orientation,
 248 like strike-slip ones, from optimal to unfavorable (Sibson, 1974). Let $\sigma_1, \sigma_2, \sigma_3$ be the
 249 maximum, intermediate and minimum effective principal stresses (including the effect of
 250 pore pressure P_f), with the convention of positive compressive stress; let $\rho_r g z$ be the
 251 lithostatic load. Then Andersonian faulting equates to assuming that one of the three
 252 principal stress axes is vertical and that $\sigma_3 = \rho_r g z - P_f$ for thrust faulting,
 253 $\sigma_1 = \rho_r g z - P_f$ for normal faulting, $(\sigma_1 + \sigma_3)/2 = \rho_r g z - P_f$ for strike-slip faulting. For
 254 optimally oriented faults only, there is a relationship between θ and μ_s such that
 255 $\theta = 0.5 \arctan(1/\mu_s)$ (Sibson, 1974). However, in the general case of non-optimally
 256 oriented faults they are independent and we have to solve for the following system where
 257 τ_y is the yield stress:

$$\begin{cases} \tau_y = \mu_s \sigma_n \\ \tau_y = \frac{\sigma_1 - \sigma_3}{2} \sin(2\theta) \\ \sigma_n = \frac{\sigma_1 + \sigma_3}{2} - \frac{\sigma_1 - \sigma_3}{2} \cos(2\theta) \end{cases} \quad (4b)$$

259 Different fluid pressure distribution with depth are tested by varying the fluid gradient
 260 transition depth (Suppe and Yue, 2008), z_{GT} , according to the following profile:

$$261 \quad P_f = \begin{cases} \rho_w g z & z \leq z_{GT} \\ \rho_w g z_{GT} + \rho_r g (z - z_{GT}) & z > z_{GT} \end{cases} \quad (5)$$

262 where ρ_w and ρ_r are the densities of water and rock, respectively (Figure 1).

263 According to the above definitions, in the case of thrust faulting we obtain:

$$264 \quad z \leq z_{GT} : \begin{cases} \sigma_n = \frac{g z (\rho_r - \rho_w) \cos(\theta)}{\cos(\theta) - \mu_s \sin(\theta)} \\ \tau_y = \mu_s \sigma_n \end{cases} \quad z > z_{GT} : \begin{cases} \sigma_n = \frac{g z_{GT} (\rho_r - \rho_w) \cos(\theta)}{\cos(\theta) - \mu_s \sin(\theta)} \\ \tau_y = \mu_s \sigma_n \end{cases} \quad (5b)$$

265 and equivalent expressions for the case of normal faulting:

$$266 \quad z \leq z_{GT} : \begin{cases} \sigma_n = \frac{g z (\rho_r - \rho_w) \sin(\theta)}{\mu_s \cos(\theta) + \sin(\theta)} \\ \tau_y = \mu_s \sigma_n \end{cases} \quad z > z_{GT} : \begin{cases} \sigma_n = \frac{g z_{GT} (\rho_r - \rho_w) \sin(\theta)}{\mu_s \cos(\theta) + \sin(\theta)} \\ \tau_y = \mu_s \sigma_n \end{cases} \quad (5c)$$

267 and strike slip faulting:

$$268 \quad z \leq z_{GT} : \begin{cases} \sigma_n = \frac{g z (\rho_r - \rho_w) \sin(2\theta)}{\mu_s \cos(2\theta) + \sin(2\theta)} \\ \tau_y = \mu_s \sigma_n \end{cases} \quad z > z_{GT} : \begin{cases} \sigma_n = \frac{g z_{GT} (\rho_r - \rho_w) \sin(2\theta)}{\mu_s \cos(2\theta) + \sin(2\theta)} \\ \tau_y = \mu_s \sigma_n \end{cases} \quad (5d)$$

269

270 We find that our results are relatively insensitive to fault orientation and static friction
271 coefficient, and that the only relevant parameter for our study, which can be derived from
272 equation (5), is the pressure ratio $\lambda = P_f / \rho_r g z$ at the seismogenic depth.

273 In the following developments, we shall assume that the difference between initial and
274 yield stress is negligible on the studied faults, i.e., $\tau_y = \tau_0$. While this is a limit case, the
275 more likely situation where $\tau_0 < \tau_y$ equates to lowering the value of the static friction
276 coefficient μ_s in the model. Since we explore a range of different static friction values
277 (which, as commented above, does not alter the results significantly), we implicitly
278 account for different prestress levels.

279 The presence of fluids within the fault core at the occurrence of an earthquake is not a
280 necessary condition for dynamic lubrication to occur through fluid pressurization.
281 Experiments on marbles (Han et al. 2007; 2009) and on dolomites from the Colfiorito
282 fault system (De Paola et al., 2008) showed production of CO₂ and water as a result of
283 the decomposition of fault rocks induced by shear heating. As a consequence, the

284 dynamic pressurization of the newly produced fluids would induce enough lubrication to
285 sustain possible initial dynamic weakening due to the pressurization of pre-existing
286 ambient fluids, maybe already in super-hydrostatic pressure conditions, and extend the
287 mechanism where overpressurization is not already in place. Moreover, even though
288 nucleation may be more likely to occur in overpressured patches of the fault plane,
289 dynamic pressurization of CO₂ from thermal decomposition can either sustain dynamic
290 weakening where ambient pore pressure is low, or boost dynamic lubrication if the
291 dynamic weakening is already driving the rupture.

292 Finally, we introduce the co-seismic temperature as an indicator of thermally activated
293 lubrication effects on the fault. The thermal diffusion problem (Carslaw and Jaeger,
294 1959) can be solved by imposing the work rate $V \tau_d$ as a heat source on the fault plane.
295 Assuming constant slip rate V and stress τ_d during the slip, we obtain the temperature
296 evolution (Nielsen et al., 2008):

$$297 \quad T = T_i + \frac{2V\tau_d\sqrt{t}}{\rho_r c \sqrt{\pi\kappa}} \quad (6)$$

298 T_i is the ambient initial temperature, t is the duration of sliding, κ is the heat diffusivity,
299 ρ_r is the rock density, and c is the rock specific heat. Finally, the average dynamic
300 frictional stress τ_d on the fault is given by equation (2). For seismological applications, t
301 needs to be of the same order of magnitude of the rise time. Under the usual assumption
302 that rupture duration scales with Brune's corner frequency f_c , and observing that rise-
303 time appears to last about 10% of rupture duration (Heaton, 1990), we may
304 write $t \cong 1/(10f_c)$. It should be noted that the temperature estimate of equation (6) may not
305 be the actual temperature on the fault plane, but rather an indicative maximum
306 temperature reached in case that no heat was lost in phase transitions (melting, fluid
307 pressurization) or fluid convection. It is, though, representative of the power density
308 produced coseismically per unit fault surface.

309 **Fault maturity and apparent stress**

310 Choy et al. (2006) used a global data set of shallow-focus earthquakes, and classified the
311 seismogenic faults based on their apparent stress, that is, a measure of the seismic energy
312 radiated by a square meter of fault, in a meter of coseismic slip. They observed that the

313 average of the apparent stress calculated worldwide for subduction earthquakes was the
314 lowest, whereas the average apparent stress of strike-slip events was the highest (most of
315 them were intraplate oceanic earthquakes that ruptured fresh oceanic crust, and the rest
316 were from transform faults). Finally, the average apparent stress calculated on a global
317 data set of normal faulting earthquakes had an intermediate value, on the low side.

318 Choy's (2006) translated the information on the average apparent stress in terms of fault
319 "smoothness" (i.e., earthquakes generated on "smoother" structures have lower apparent
320 stresses), and thus in terms of fault "maturity", because the faults with larger cumulative
321 offsets are expected to be smoother. In their framework, subduction faults are the most
322 mature structures on earth, whereas the least mature faults are the oceanic transforms that
323 rupture fresh oceanic crust.

324 In the context of the present study, an immature fault is defined as a structure that
325 accumulated a maximum total amount of slip of the order of a few kilometers only
326 (Shipton et al, 2006), and may be characterized by a thin core and a thin damage zone.
327 For geometrical reasons, if we exclude listric, sub-horizontal detachments which can
328 cumulate large offsets, normal faults cannot accommodate a cumulative offset that is
329 much larger than a fraction of the thickness of the seismogenic zone where they are
330 active, and thus they should be relatively immature. Examples in our data set are
331 Colfiorito and Wells. Even though fault size may not necessarily imply the level of
332 maturity, small strike-slip faults need also to be immature (an example may be the fault
333 responsible of the San Giuliano sequence), whereas Hector Mine is a larger fault
334 characterized by a larger cumulative offset, and it is probably more mature.

335 On the contrary, a mature fault is a structure that accommodated a cumulative slip up to
336 several tens or even hundreds of kilometers, and that typically possesses a large damage
337 zone. Examples of mature faults, in the sense defined here, are found in subduction
338 zones, where hundreds of km of oceanic lithosphere may slip past the bottom of the more
339 buoyant continental lithosphere, or in mature transform fault zones like the San Andreas,
340 for which Revenaugh and Reasoner (1997) estimated a cumulative offset ranging
341 between 300 and 330 km. Across the San Andreas fault zone, Unsworth et al. (1999)
342 found anomalous low-resistivity zones up to 1 km thick, which were interpreted as
343 volumes permeated by fluid-filled fractures in the wide damage zone.

344 In the hypothesis that breaks in self-similarity take place beyond a critical magnitude
345 threshold due to fluid pressurization, the characteristics of coseismic flow of pore fluids
346 within the fault zone, and thus the potential for dynamic fluid pressurization during large
347 earthquakes, must be determined by the architecture of the fault zone itself (made of its
348 core and damage zone), via its permeability structure. This is where the fault maturity
349 may come into play.

350 **Fault permeability structure and dynamic behavior**

351 Caine et al. (1996) provided a possible classification of faults based on the ratio between
352 the width of the damage zone and the fault zone's total width (damage zone plus fault
353 core), in which the (high) permeability of the damage zone is dominated by its network of
354 fractures, and where the (low) permeability of the fault core (interseismically) is
355 generally less than the permeability of the host rocks. They based their classification on
356 two parameters, thickness of fault core, and total thickness of the fault damage zone. Four
357 end-members may be recognized:

- 358 • E1) thin-cored faults with no damage zone;
- 359 • E2) thick-cored faults with no damage zone;
- 360 • E3) thin-cored faults with a wide damage zone made of thin-cored fractures;
- 361 • E4) thick-cored faults with wide damage zone.

362 The hydrological behavior during the coseismic phase of an E1-type fault is that of a thin
363 conduit, with the ability of becoming a fluid barrier when resealed, shortly after the
364 earthquake. The fluid flow in such a fault takes place through its core, and dynamic fluid
365 pressurization is most likely to occur. We state that E1-type faults may be found in the
366 Apennines, where we observe substantial dynamic effects at the largest magnitudes of our
367 data sets, which we interpret as dynamic lubrication through pore-fluid pressurization.

368 In Caine et al.'s (1996) classification, accretionary prisms coincide with the E3-type end-
369 member, in which a large fault zone (decollement) is characterized by a thin core, and by
370 a very large damage zone permeated by a network of thin-cored fractures. As a
371 consequence of such architecture, the permeability structure of an accretionary prism is
372 that of a distributed conduit (Moore and Vrolijk, 1992), where the transport of fluids is

373 dominated by fracture flow, and where large dynamic pulses of fluid pressure may still
374 occur.

375 Accretionary prisms are generally characterized by very high pressures of pore fluids,
376 sometimes close to lithostatic values (Moore and Vrolijk, 1992). In case of the large Chi-
377 Chi earthquake, which occurred on the Chelungpu decollement, there are borehole
378 measurements of super-hydrostatic pressures at depth (Tanikawa et al., 2004). These
379 observations are in sharp contrast with the hydrostatic pressure field predicted by Yue
380 (2007) down to 10 km.

381 Based on wedge tapers' experiments, Suppe (2007) used Yue's (2007) results in order to
382 compute an extremely low strength for the Chelungpu fault. In any case, whether the
383 pore-fluid pressure is hydrostatic or lithostatic, the Chelungpu detachment may be
384 characterized by low coefficients of static friction. At least, locally.

385 As far as the dynamic coefficient of friction is concerned, significant dynamic lubrication
386 must have taken place during the Chi-Chi main shock. At least the northern part of the
387 fault, for which Ma et al. (2003) hypothesized the occurrence of substantial elasto-
388 hydrodynamic lubrication that caused a large pulse of ground velocity and low
389 acceleration amplitudes. A substantially different behavior must have characterized the
390 southern portion of the Chelungpu fault, which caused large ground accelerations and low
391 velocity amplitudes (Ma et al., 2003).

392 In addition to Wang (2009), whose conclusions on the occurrence of dynamic
393 pressurization on the Chelungpu fault during the Chi-Chi earthquake have already been
394 described in a previous section, the same hypothesis was formulated by Ishikawa et al.
395 (2008), on the ground of the analysis of core samples from three active areas of the fault
396 zone, and by Doan et al. (2006), based on the low hydraulic diffusivity observed *in situ*,
397 together with the presence of high-temperature fluids. Their interpretation was that the
398 average stress-drop of the Chi-Chi main shock was dominated by the rupture of the
399 asperity to the south, whereas the northern part of the fault did passively follow the
400 dynamic push. In other words, when pushed beyond a critical slip velocity, thanks to a
401 dramatic lubrication effect through thermal pressurization, the northern patch of the
402 Chelungpu fault could act as an efficient decoupling interface for the hanging wall. As a
403 consequence of the described dynamics, the northern part of the Chelungpu fault is

404 characterized by aftershocks with low values of apparent stress, whereas the large values
405 of the aftershocks' apparent stresses are all concentrated in the southern side of the fault
406 zone, as shown in Figure A9.

407 Finally, two recent works went down to the root of the problem of the complex seismic
408 radiation observed during the Chi-Chi main shock: the first one (Tanikawa and
409 Shimamoto, 2009) provided estimates of the permeability coefficients in the northern and
410 in the southern patches of the fault, whereas the second one (Noda and Lapusta, 2009,
411 personal communication) used them in order to implement a 3D earthquake model. These
412 two studies allowed us to understand the average behavior of the Chi-Chi sequence, in
413 terms of the observed break in self-similarity, and of the calculated coefficients of
414 dynamic friction.

415 **Coefficients of dynamic friction**

416 We present the coefficients of friction for the events of six different
417 mainshock/aftershock sequences: (1) San Giuliano (Italy), (2) Colfiorito (Italy), (3) Wells
418 (NV), (4) Hector Mine (CA), (5) Chi-Chi (Taiwan), and (6) Iwate (Japan). All the details
419 of the calculations, together with the descriptions of the sequences and data sets, are left
420 in Appendices A1.1 through A1.6.

421 Sequences (1) through (3) (Appendices A1.1 through A1.3) occurred on small faults,
422 capable of events with a maximum magnitude between Mw 5 and Mw 6, and Figure 3
423 shows they are characterized by severe progressive weakening with increasing
424 temperature. Sequence (4) (Appendix A1.4) occurred on a relatively large strike-slip
425 fault. Sequence (5) (Appendix A1.5), occurred on a large structure capable of an Mw 7.6
426 mainshock, shows very similar apparent stresses between the mainshock and some of the
427 largest aftershocks, but the smaller events have substantially smaller apparent stresses.
428 Sequence (6) (Appendix A1.6) occurred on a crustal thrust fault, ~100 km above a
429 subducting slab: although self-similarity clearly breaks in this data set (see Figures A11
430 and A12), the computed friction coefficients do not show a dramatic weakening. The
431 different behavior is due to the fact that, for fixed pore fluid pressure, coefficient of static
432 friction, and θ , a thrust fault is characterized by the largest pre-stresses of all the three

433 fault types, and thus by the lowest stress drop/pre-stress ratio, according to equations (2)
434 and (3).

435 Sequences (4) and (6) occurred on structures capable of $M_w \sim 7$ mainshocks. They both
436 show that below $M_w \sim 5.5$, the aftershock sequences look self-similar, but a jump in the
437 apparent stresses is necessary to match the mainshocks. A similar behavior, although
438 more complex, distinguishes sequence (5), occurred on the Chelungpu fault, which is
439 capable of M_w 7.6 earthquakes. Even though we know the importance of the maximum
440 magnitude that can occur on each structure, we stress that the relationship between
441 M_{wMAX} and fault maturity is anything but simple.

442 In the results of Figure 3, we note that the amount of absolute fault weakening (i.e., the
443 value taken by the coefficient of dynamic friction, μ_d) does not depend only on how far
444 the sequence is from self-similarity: Appendix A2 demonstrates that the absolute value of
445 μ_d depends on: 1) the fault type (thrust, normal, or strike-slip), that is, on the prestress
446 level, 2) the orientation of the fault plane with respect to the principal compressional
447 stresses, and 3) (as seen in Figure 3) on the ambient pore fluid pressure. Of all sequences
448 shown in this study, regardless its substantial departure from self-similarity (Figures A11
449 and A12), sequence (6) seems to be characterized by the least “absolute” dynamic
450 weakening.

451 **Discussion**

452 Possible causes of bias in the results should be carefully checked, in particular, the
453 eventuality of an insufficient bandwidth in the measurements concerning smaller events
454 (Bill Ellsworth, personal communication, 2008). Indeed, calculations based on data with
455 limited bandwidth in the high-frequency range would neglect a large part of the seismic
456 energy radiated by smaller earthquakes, thus biasing their dynamic stress drops toward
457 lesser values. In this case the observed source scaling of Figure 3 would be an artifact and
458 may not allow to conclude against self-similarity. We verified that for results of Figure 3,
459 A1, A3, A5, A7, A9, and A11, the bandwidth does include the corner frequencies of the
460 smaller events. Further evidence is provided in the Figures A2, A4, A6, A8, A10, and
461 A12, where the observed spectral ratios (red squares) and the theoretical best fit curves
462 are shown (solid blue sigmoidal curves). In each figure, the horizontal blue solid lines

463 represents the theoretical asymptotic low- and high-frequency limits of the spectral ratio
464 for two perfectly self-similar earthquakes. The low-frequency limit of M_{01}/M_{02} (where
465 $M_{01} > M_{02}$) is represented by the top horizontal, solid blue line. The high-frequency limit
466 of $(M_{01}/M_{02})^{1-\frac{p}{3}}$, where p is the high-frequency roll-off parameter of the observed
467 spectra (in the specific case where $p=2$), is represented bottom horizontal, solid blue
468 line.

469 We observe that dynamic weakening, when present, is always strongly correlated with
470 earthquake magnitude (Figure 4). Nevertheless, in order to stress the existence of some
471 thermally-activated processes, the coefficients of dynamic friction given by equation (3)
472 are always shown as a function of the virtual fault temperature computed using equation
473 (6).

474 Figure 5 goes down to the core of our results: the apparent stresses calculated for all
475 events, in all sequences. The most important attribute of our measurements is represented
476 by their extreme accuracy, which could be reached only by using the coda-based
477 methodology initially developed by Mayeda et al. (2007), and subsequently refined by
478 Mayeda and Malagnini (2009). Based on the (M_0, σ_a) pairs of Figure 5, and on
479 information on fault orientation taken from the published moment tensor solutions (dip-
480 slip faults), or for three possible orientations for strike-slip faults, we calculated all the
481 dynamic parameters shown in this study.

482 Figure 5 indicates that non-self-similar source scaling characterizes all sequences: the
483 logarithm of the apparent stress tends to increase linearly with the logarithm of the
484 seismic moment, at least up to a moment magnitude M_w between 4.5 and 5.5 (the Iwate
485 data set seems to be an exception to the rule), and saturates to a constant value beyond a
486 threshold moment magnitude, between $M_w 6$ and $M_w 7$. A limiting value for the apparent
487 stress, well below 10 MPa, is common to all sequences.

488 For a given fault zone, the process of dynamic weakening is indicated by the temperature
489 dependence of the coefficient of dynamic friction, which generally decreases when the
490 fault temperature increases coseismically. The invoked physical mechanism is that of the
491 abrupt buildup of thermal fluid pressurization within the fault core during slip. For the
492 sequences analyzed, we hypothesize that substantial weakening is likely to occur on the

493 thin-cored end-members (E1, E3) of the classification scheme of fault zones provided by
494 Caine et al. (1996).

495 In particular, the analysis of the Chi-Chi sequence shows that dynamic weakening may
496 occur regardless of the presence of a wide damage zone, if the latter is made of a network
497 of fractures that still allows dynamic pressurization to occur on the main fault. In such an
498 environment, in order to maintain the super-hydrostatic pore fluid pressure that is
499 observed inter-seismically in boreholes above the Chelungpu fault (Tanikawa et al.,
500 2004), the same network of fractures need to be effectively sealed, at least during the
501 inter-seismic periods.

502 We hypothesize that the presence of pore fluids always tends to prevent excessive
503 temperature increases. On faults where fluid confinement is not efficient due to a core
504 and damage zone of high permeability, heat may be removed from the fault core by fluid
505 advection. On the contrary, on faults where confinement is efficient, the thermal response
506 of pore fluids to frictional heating allows lubrication by core pressurization. The effect of
507 fluid pressurization as a function of increasing temperature may be understood by looking
508 at the different families of dynamic friction coefficients plotted in Figure 3, or at the
509 complete set of plots shown in Figures A1, A3 A5, A7, A9, and A11. Our qualitative
510 argument is that both mechanisms (dynamic lubrication or fluid flow) will tend to
511 prevent, or limit, melt production and temperature rise. In the scarcity of fluids, we
512 expect lubrication to occur through melting, decarbonation, gel formation, or any
513 lubrication mechanism other than fluid pressurization, as discussed in the Introduction.

514 All events analyzed in this study are assumed to occur on the mainshock's fault plane,
515 which is most probably the case at least for the largest aftershocks. However, one can
516 argue that the small aftershocks of some sequences may occur, within the location error,
517 either on the main fault plane, or on off-plane fractures driven by the local (residual)
518 stress fields (Mayeda and Malagnini, 2009). Regardless of their locations, our
519 calculations show that the small earthquakes in our sequences never dissipate enough
520 frictional energy to thermally pressurize pore fluids. As a consequence, the information
521 of whether the small aftershocks are located on or off the main plane is irrelevant to our
522 conclusions. In other words, the positions of the small events in the plots of dynamic
523 friction vs. temperature of Figure 3 are always in the upper left corners of the different

524 frames, and would not appreciably change if we had to use slightly different fault
525 orientations.

526 **Conclusions**

527 Based on the results shown in Figure 3, and on the details of the analyses described in
528 Appendices A1.1 through A1.6, we conclude that pore fluid pressurization, as a physical
529 mechanism for causing fault weakening, is compatible with the departure from self-
530 similarity observed in the discussed seismic sequences. Such phenomenon is effective
531 only if fluids remain trapped in narrow vessels. Alternatively, if pore fluids are allowed to
532 expand in wider regions of high permeability around the fault core, they can remove heat
533 from the primary slip zones by advection rather than pressurizing the fault. In either case
534 (lubrication or heat removal), the presence of fluids is expected to limit the coseismic
535 increase of fault temperature.

536 In the hypothesis that fluid pressurization is the key mechanism, a fault classification
537 based on maturity may not be able to capture the average dynamic behavior of all the
538 different structures. More suitable for this task would be a classification scheme based on
539 the permeability architecture of the fault core and damage zone (Caine et al., 1996), for
540 which the opposite end-members, from most prone to dynamic lubrication, to least prone
541 to dynamic lubrication, are:

- 542 • E1, E3) thin-cored faults, with or without a wide damage zone of thin-cored fractures,
543 respectively. In our interpretation, dramatic dynamic lubrication is likely to occur on
544 these faults/fault zones, and we show evidence that, within a specific sequence
545 occurred on such structures, the average dynamic friction is indeed smaller for larger
546 and hotter events.
- 547 • E2, E4) thick-cored faults with or without a wide damage zone, respectively, for
548 which we imagine the volumes containing the primary slip zones pervasively
549 crisscrossed by permeable networks of fractures. Faults like these are more likely to
550 behave self-similarly, unless/until other weakening mechanisms, like melt production,
551 would take over. We believe we do not have examples of such end-members in the
552 investigated data sets.

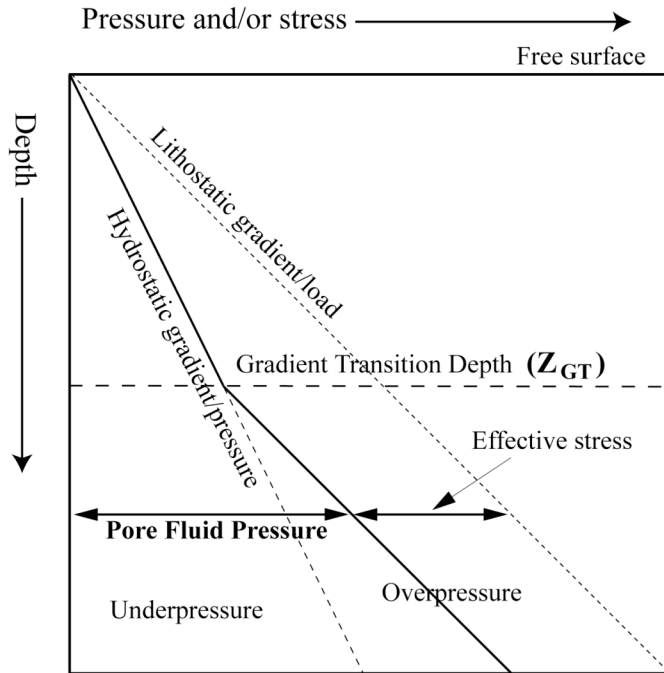
553 Small, immature faults may not have wide damage zones, and thus they probably are E1-
554 type. On the contrary, for a mature fault like the San Andreas, the damage zone may be
555 up to ~1 km-wide (Shipton et al., 2006), and the permeability structure of a wide damage
556 zone may, in specific cases, prevent fluid and heat containment during faulting. In the
557 Caine's classification, accretionary prisms like Taiwan are the prototypes of the thin-
558 core-wide damage-zone end-member that we named E3.

559 Based on our results, we think that the two fault classification schemes (the one based on
560 maturity, and the one based on permeability structure) need to partially overlap, but it
561 must be the permeability structure of a fault what dominates its behavior. Our hypothesis
562 is that immature faults with very small cumulative slips are likely to be E1-type structures
563 (sequences (1), (2), and (3)), whereas the Chelungpu fault (sequence (5), by definition an
564 E3-type fault zone) is probably a smoother, more mature, structure. Yue et al. (2005)
565 found that the Chelungpu fault accommodated a total displacement of ~14 km, but the
566 largest slip (~10 m) occurred on a newly propagated (cumulative offset of only 300 m)
567 North Chelungpu Chinshui detachment, which shows abnormally smooth rupture
568 dynamics.

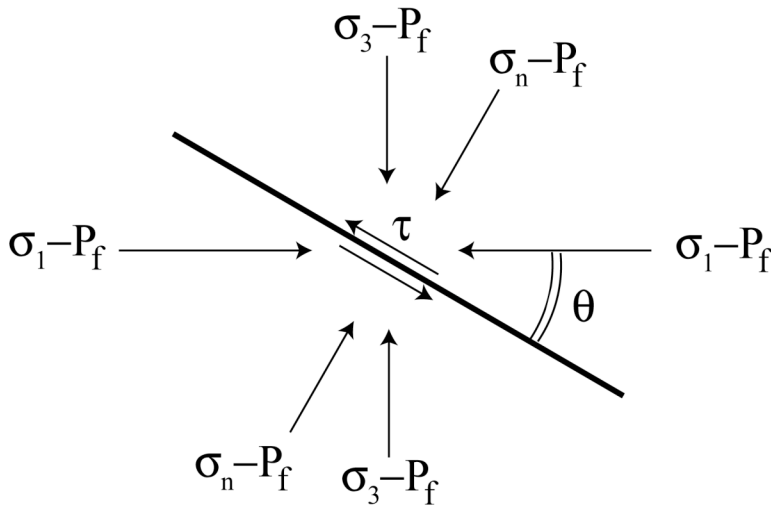
569 About the Hector Mine and Iwate sequences ((4) and (6)), we believe they may be
570 representative of an intermediate situation in which the fluid pressurization is modulated
571 by a critical value of the permeability of the fault core and damage zone: high enough to
572 dissipate the pressure pulses of the small events but low enough to allow some dynamic
573 lubrication for a large event like the mainshock. About sequences (4) and (6), for which
574 the dynamic weakening is less pronounced, it is interesting to note that they both show a
575 clear non self-similar energy scaling.

576 Opposite end-members in the maturity classification scheme given by Choy et al. (2006),
577 from less mature to most mature fault zones, are oceanic transform faults that rupture
578 fresh oceanic crust, and subduction faults. For what has been shown in this study, we
579 think it would be important to perform our analysis also on fault maturity end-members.
580 Unfortunately, no complete data sets of broadband waveforms from such kinds of
581 sequences are available at the moment. The analysis proposed here is difficult to apply to
582 subduction events because the distances involved are generally too large to record
583 broadband seismograms of the small events with good S/N ratio, which are necessary for

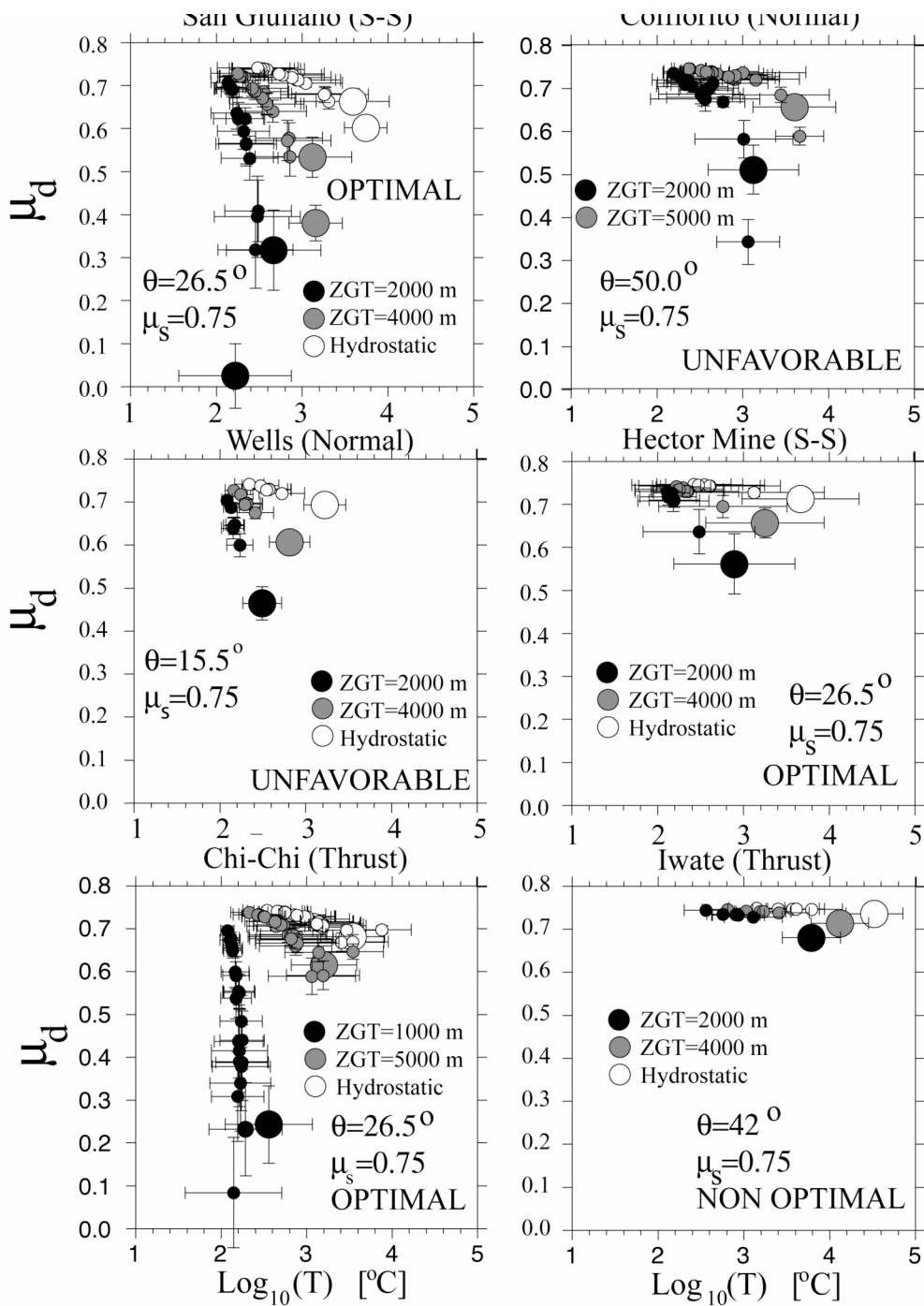
584 defining the source scaling. For the same reason, data from the other end-members of
 585 fault maturity (oceanic transforms on fresh oceanic crust) are also difficult to obtain, and
 586 dedicated experiments need to be planned.



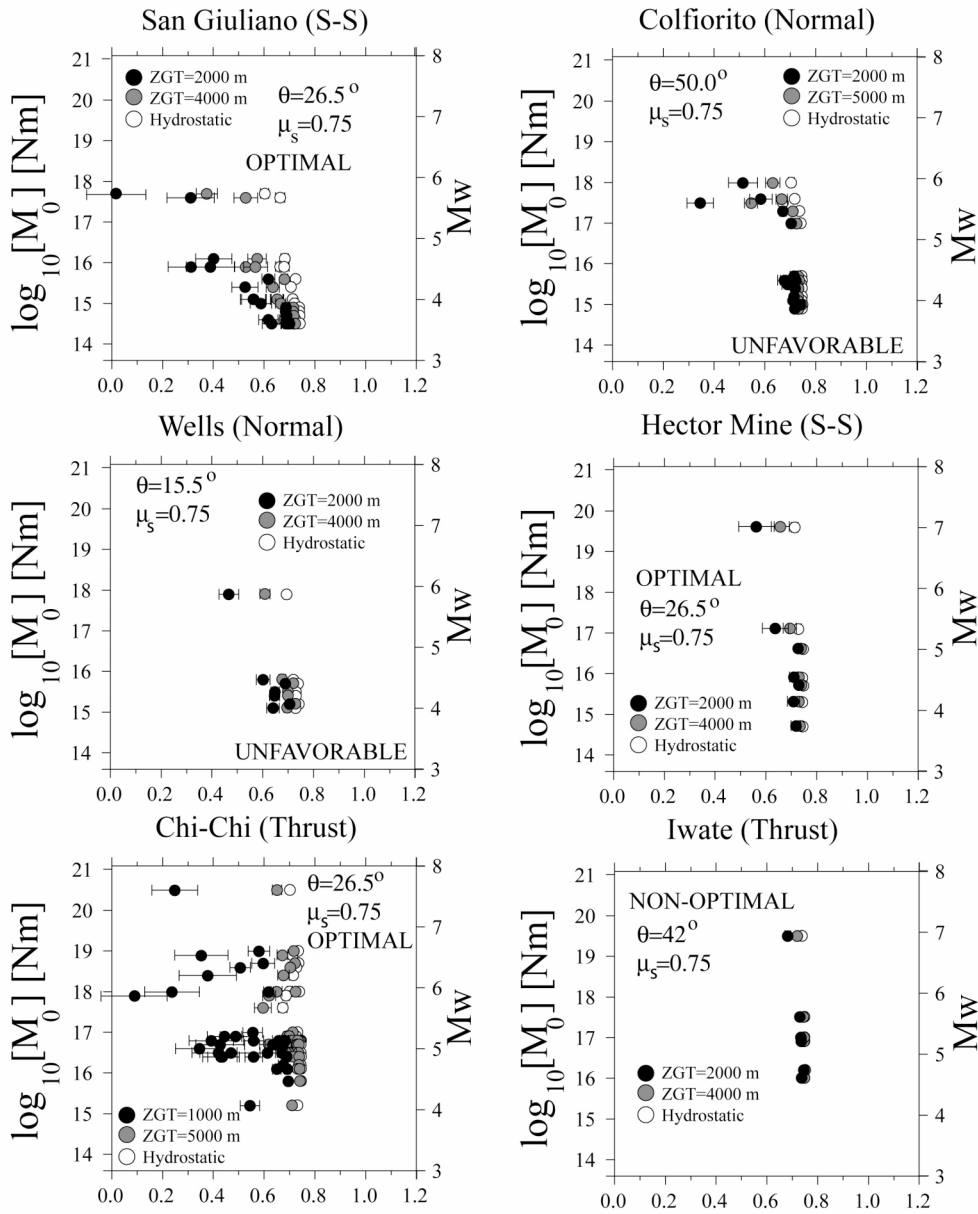
587
 588 **Figure 1.** Pore fluid pressure vs. depth. We use two possible gradients only, hydrostatic and lithostatic,
 589 connected by a variable crossover depth.



590
 591 **Figure 2.** Orientation of the maximum and minimum stress axes with respect to the fault plane. The
 592 cartoon indicates the conventional relationship used for the angle θ in the fault geometry. A reverse fault
 593 is presented here.



595 **Figure 3.** Coefficients of dynamic friction computed for the events of the five seismic sequences analyzed
 596 in this paper. Shown here is a single calculation for each sequence, but a limited search in the parameter
 597 space is given, for each sequence, in Appendix 1. Pressure increases from the white symbols to the black
 598 ones, given the corresponding decrease of the fluid retention depth. In other words, for each sequence we
 599 fix the pore fluid pressure to three plausible values, and obtain three different “families” of possible friction
 600 coefficients: white are hydrostatic conditions, gray are higher pressure, black are very high pore fluid
 601 pressures. Larger symbols indicate the mainshock of the specific sequence. For San Giuliano, the figure
 602 contains the indication of its two mainshocks.



Coefficient of dynamic friction μ_d

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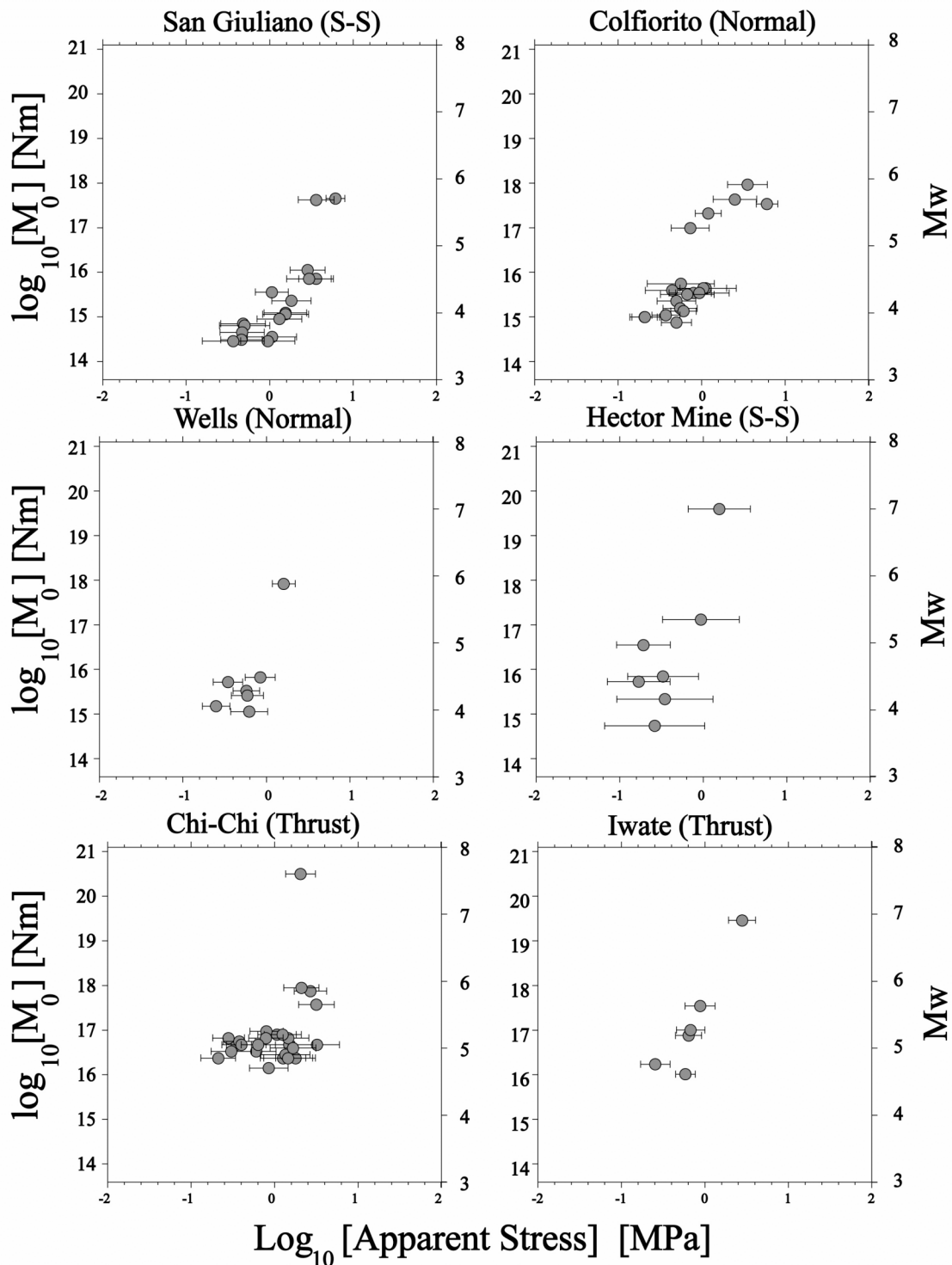
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Figure 4. The coefficients of dynamic friction shown in Figure 3 are shown here as a function of seismic moment. The picture indicates that, generally, the dynamic friction is strongly correlated with the size of the earthquake. Two apparent discrepancies are observed: 1) the Colfiorito seismic sequence is characterized by multiple mainshocks with comparable size, and the lowest dynamic friction is computed for the second largest event; 2) for the Chi-Chi sequence, the map in Figure A9 indicates that the event with the largest apparent stress (and thus the lower dynamic friction) is not the mainshock, but the largest event occurred within the southern patch of the fault. For the meaning of the gray scale, see the caption of Figure 4.



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Figure 5. Apparent stress (horizontal axes) as a function of seismic moment (or moment magnitude), for the events of the investigated sequences. In each frame, seismic moment is on the left vertical axis, and the corresponding moment magnitude is on the right vertical axis. All sequences are characterized by non-self-similar source scaling.

617 **Appendices**

618 **Table of contents:**

619 A1: Details on the analysis of the earthquake sequences

620 *A1.1: The San Giuliano sequence (Southern Italy)*

621 *A1.2: The Colfiorito sequence (Central Italy)*

622 *A1.3: The Wells sequence (NV)*

623 *A1.4: The Hector Mine sequence (CA)*

624 *A1.5: The Chi-Chi sequence (Taiwan)*

625 *A1.6: The Iwate sequence (Japan)*

626 A2: Scaling of dynamic stress drop with radiated energy

627 **A1: Details on the analysis of the earthquake sequences**

628 *A1.1: The San Giuliano sequence (Southern Italy)*

629 Two mainshocks of similar magnitude ($M_w \sim 5.7$) struck the town of San Giuliano di
630 Puglia (Molise, Southern Italy) on 10/31/2002 at 10:33 UTC, and on 11/01/2002, at 15:09
631 UTC. The first earthquake caused the collapse of an elementary school, and the death of
632 26 children and one teacher. Both events had almost pure strike-slip mechanisms, and
633 best centroid depths at 15 km (Catalog of the INGV-Harvard Regional Centroid-Moment
634 Tensor Solutions, <http://www.ingv.it/seismoglo/RCMT>).

635 Both the high-quality hypocentral depths of the two mainshocks were below 20 km; the
636 distribution of the aftershocks delineated a single, E-W-striking fault plane, where both
637 mainshocks were located. All the events in the sequence were deeper than 10 km, and the
638 energy radiated by both mainshocks was entirely generated no deeper than 24 km
639 (Latorre et al., 2008).

640 Breakout data in the adjacent Gargano area demonstrated that the regional stress field is
641 characterized by a horizontal, NE-SW-striking minimum principal stress (Montone et al.,
642 1999), so that the fault orientation, in Sibson's classification (Sibson, 1990), is
643 presumably at the transition between *favorable* and *unfavorable* (i.e., at about 15° from
644 the *optimal* orientation).

645 In our calculation of shear friction on the fault plane for the events of this sequence
646 (Figure A1), we sample the case where the fault plane is oriented close to the *optimal*

647 reactivation angles, $\theta_r^* = 0.5 \tan^{-1}(1/\mu_s)$ (26.5 and 30.0 degrees, for $\mu_s = 0.75$ and
648 $\mu_s = 0.58$, respectively). We also sample *non-optimal orientations* (5 degrees added to the
649 optimal angles), and *unfavorable orientations* (20 degrees added to the optimal angles).
650 The same orientations are explored for the other strike-slip fault described in this study:
651 the one of the Hector Mine earthquake. Results are based on the best fits shown in Figure
652 A2.

653 High pore fluid pressure at mid-crustal depths was hypothesized in the region, due to
654 substantial CO₂ degassing of mantle origin, and a lower bound estimate for the
655 overpressure parameter ($\lambda = 0.65$) is available at the seismogenic depth (Boncio, 2008;
656 Chiodini et al., 2004). It is not clear at what depth the pore fluid pressure becomes super-
657 hydrostatic, so we produce an exploration of the possible regimes (2000 m and 4000 m
658 for the transition depth, and hydrostatic conditions for comparison). The apparently low
659 stress drop for the San Giuliano mainshocks, and the anomalous pore fluid pressure at
660 depth were erroneously linked together (Boncio, 2008), whereas it was demonstrated
661 (Malagnini and Mayeda, 2008) that both the two main earthquakes have in fact high
662 stress drops: a characteristic to be expected when substantial dynamic weakening is
663 inferred.

664 Based on the hypothesis of total stress release for the largest mainshock of the sequence,
665 in a regime of high pore fluid pressure (i.e., transition depth set to 2000 m) and optimal
666 orientation to the regional stress field, the coefficient F in equation (36) of Appendix A2
667 was calibrated to the value F=3.0. That of a null frictional stress is a rather arbitrary
668 choice, although necessary to fix the value of the coefficient F. If we had independent
669 information on the actual value of the dynamic friction, the null friction should have been
670 substituted with the specific value.

671 The value F=3.0 was used throughout this study, for all the calculations shown. In terms
672 of dynamic behavior, we note that the friction coefficients in Figure A1 define a
673 distribution that systematically decreases with increasing magnitude (or, which is the
674 same, any increase of friction-induced virtual temperature). The cited value for the
675 coefficient F=3.0 represents an upper bound for the conditions described above.

676 Such behavior indicates a high sensitivity of the dynamic friction to changes in the
677 generated frictional heat, and thus a very efficient confinement of heat and fluids within

678 the fault core during faulting. The described dynamic characteristics of the San Giuliano
679 fault are consistent with the E1 end-member in the Caine (1996) classification (thin
680 conduit/core, no damage zone).

681 *A1.2: The Colfiorito sequence (Central Italy)*

682 The first two mainshocks of a long sequence (Amato et al., 1998) occurred in Central
683 Italy on September 26, 1997, at 00:33 UTC, and at 09:40 UTC. A total of six mainshocks
684 with magnitudes M_w between 5 and 6 struck over a time span of about one month. The
685 seismogenic structure consisted of a low-angle extensional fault elongated in the NW-SE
686 direction (dip of about 40°). The extension took place over a reactivated thrust that could
687 be classified at the transition between *favorable* and *unfavorable* orientations (Sibson,
688 1990). The seismicity of the sequence was confined to the top 8 km of the crust.

689 The fault-valve behavior of the main fault (Sibson, 1992a,b) was documented during this
690 seismic sequence (Miller et al., 2004): the fault ruptured a seal at a depth of about 5000
691 m, liberating a large amount of CO_2 of mantle origin that was overpressured below this
692 surface (Chiodini et al., 2004). The present study analyzes six spectral ratios, computed
693 between two of the mainshocks (M_w 5.91 and M_w 5.48), and five aftershocks with coda
694 magnitude M_w between 3.85 and 4.29. The depth of the top of the pressured volume in the
695 area was fixed to 5000 m: due to the shallow depths of the earthquakes, hydrostatic
696 conditions would yield overlapping results with those of a seal depth of 5000 m. For
697 comparison, results for a seal depth of 2000 m are also computed. Results are shown in
698 Figures A3 and A4.

699 It is well known (Sibson, 1994) that fault-valve behaviors may modulate the regime of the
700 pore fluid pressure in the entire crust, and thus the events that occurred later in the
701 sequence may have experienced a substantially lower pore fluid pressure with respect to
702 those occurred at its beginning. The amplitude of the described variation in the pore fluid
703 pressure within the sequence was neglected in our calculations.

704 Dynamic weakening by fluid pressurization has been invoked by Malagnini et al. (2008)
705 in order to explain the source scaling relationship found for the Colfiorito sequence, for
706 which they found: $M_0 \propto f_c^{-(4.7 \pm 0.3)}$. The computed dynamic weakening does not seem to
707 correlate with the time elapsed from the mainshock, and thus with the decreasing ambient

708 pore fluid pressure below the broken seal, but a clear correlation is seen with the events'
709 magnitudes.

710 The pulse of pore fluid pressure experienced above the broken seal triggered some of the
711 aftershocks that entered in our analysis. Our interpretation is that the variations of pore
712 fluid pressure due to the fault-valve behavior is not the dominant mechanism in reducing
713 the dynamic coefficient of friction, at least in the Colfiorito area, but lubrication of
714 immature fault is dominated by dynamic fault pressurization.

715 Again, for a possible classification of the Colfiorito fault system in Caine's (1996) terms,
716 we can only infer its permeability structure from the observation that the dynamic
717 behavior of the sequence, as described in Figure A3, is very similar to that of the events
718 on the fault responsible for the San Giuliano events. For this reason, we believe that the
719 Colfiorito fault system is close to the E1 end-member of Caine's (1996) scheme.

720 *A1.3: The Wells sequence (NV)*

721 On 2008 February 21, at 14:16:02 UTC, the area surrounding Wells (NV) was struck by
722 an Mw 6.0 earthquake. The event occurred during the deployment of USArray in the
723 region. For this earthquake, UC Berkeley computed a normal faulting mechanism, with a
724 slight oblique component. For the epicentral area, the USGS Quaternary Faults and Folds
725 Database indicate the existence of a network of widely distributed faults west of Wells
726 Peak. Nevertheless, based on the revised location, the aftershocks' distribution, and the
727 depth of the mainshock, it was difficult to associate the Wells event with a specific fault
728 (<http://earthquake.usgs.gov/eqcenter/recenteqswww/Quakes/us2008nsa9.php#summary>). The
729 conjugate planes of the UC Berkeley mechanism dip at 65 and 31 degrees (~32.5 and
730 ~15.5 degrees from the vertical maximum stress, respectively): the first plane is around
731 the optimal orientation to the axis of maximum compressional stress; the second plane is
732 at the transition to the range classified as *unfavorably oriented* (Sibson, 1990).

733 We analyze 6 spectral ratios, computed between the mainshock (coda-based moment
734 magnitude Mw 5.90), and 6 aftershocks (coda-based moment magnitudes Mw's between
735 4.10 and 4.57). Results are shown in Figures A5 and A6.

736 The pore fluid pressure regime in this area is, to our knowledge, unknown, and so a full
737 exploration is performed over standard pressure conditions (overpressure regime with

738 hydrostatic/lithostatic gradient transition at depths of 2000 m and 4000 m, and fully
739 hydrostatic regime), for the two possible orientations of the fault planes. The qualitative
740 analysis of the dynamic behavior of the sequence suggests a low-permeability structure
741 that allows the occurrence of strong coseismic pulses of pore fluids. Although not as
742 extreme as the San Giuliano seismic sequence, we can attribute to this sequence a
743 behavior compatible with that of the end-member E1 in the Caine's (1996) scheme.

744 *A1.4: The Hector Mine sequence (CA)*

745 The Hector Mine earthquake, M_w 7.0 (from full waveform inversion, Ichinose, personal
746 communication, 2006), occurred in the Mojave desert on 16 October, 1999, at 09:46
747 UTC. The focal solution indicated a sub-vertical strike-slip earthquake occurred on a N-
748 NW-striking fault.

749 The six spectral ratios analyzed in this study were computed between the M_w 7.0
750 mainshock and six aftershocks with coda-based moment magnitudes M_w 's between 3.76
751 and 4.97 (Mayeda et al., 2007). Our calculations (Figure A7) are carried out using the
752 same orientations and static coefficients of friction that are used for the San Giuliano
753 sequence (26.5, 31.5 and 46.5 degrees for $\mu_s = 0.75$, and 30.0, 35.0, and 50.0 degrees for
754 $\mu_s = 0.58$, corresponding to optimal, non-optimal, and unfavorable orientation,
755 respectively, for the two coefficients of static friction). The theoretical fits of the
756 observed spectral ratios used for the computation of the results shown in Figure A7 are
757 plotted in Figure A8.

758 We have no specific data on the pressure gradients in the area surrounding the fault: the
759 only information we have to date (Peltzer et al., 1996) hypothesized hydrostatic pore fluid
760 pressure conditions for the Landers earthquake, whose epicenter lies some 45 km from
761 the Hector Mine epicenter (rupture planes lie about 20 km apart).

762 Strike-slip faults are not clearly oriented with respect to the axis of the regional maximum
763 compressive stress; an outstanding example in California is that of a major fault like the
764 San Andreas, for which a number of studies invoked the presence of overpressured fluids
765 (or other weakening mechanisms) in order to explain the slipping of a fault that may be,
766 in Sibson's (1990) classification, severely misoriented. In a severely misoriented fault,
767 the reactivation angle (i.e., angle between the fault plane and the maximum compressive

768 stress) is beyond lockup ($\theta_r > 2\theta_r^*$, where θ_r^* is the optimal reactivation angle). Such
769 faults are able to slip only if the pore fluid pressure is super-lithostatic (i.e., in a strike-
770 slip or reverse-slip fault, $P_f > \sigma_3$), so that the effective stress becomes tensile. Of course,
771 in super-lithostatic pressure conditions, in order for a severely misoriented fault to move,
772 the following condition for the differential stress must be met: $(\sigma_1 - \sigma_3) < 4T$, where T is
773 the tensile rock strength (Sibson, 1990). For higher values of the differential stress, rocks
774 would rupture on a new shear plane at the optimal orientation (or on an existing surface
775 of weakness with a better orientation). In this study we do not sample severely
776 misoriented angles.

777 The tendency of other California sites towards lithostatic pore pressure conditions was
778 documented based on the analysis of pore fluid pressure measurements from the
779 sedimentary basins within the San Andreas fault system (Sibson, 1990). Since we do not
780 have precise information about the specific area surrounding the Hector Mine epicenter,
781 we will explore the situations where pore fluid pressure around the fault is hydrostatic, or
782 if there is a fluid seal of some sort at depth that allows the build-up of a super-hydrostatic
783 pore fluid pressure, with a hydrostatic/lithostatic gradient transition at depths of 2000 and
784 4000 m, and for totally hydrostatic conditions as well.

785 The Hector Mine seismic sequence shows a dynamic behavior characterized by a
786 substantial, yet moderate, decrease of the dynamic friction coefficient only for the
787 mainshock. We interpret such behavior as follows: the permeability of this fault is high
788 enough to prevent substantial lubrication episodes during the small events, but low
789 enough to still allow large pressure pulses to cause dynamic lubrication, at least for $M \sim 7$
790 events like the recorded mainshock. A critical slip velocity must exist, above which
791 lubrication takes place, according to the interpretation of a step-like break in self-
792 similarity given for this fault by Mayeda and Malagnini (2007), who predicted the critical
793 cross-over magnitude be $M_w \sim 5.5$. In the Caine's scheme, the Hector Mine fault must
794 occupy an intermediate location, somewhere between the end-members where dynamic
795 pressure pulses can easily occur, and the ones where pressure pulses are forbidden.

796 *A1.5: The Chi-Chi sequence (Taiwan)*

797 The Chi-Chi earthquake (Mw 7.6) struck Taiwan on September 20 1999, at 17:47 UTC.
798 The Harvard CMT solution showed a reverse fault mechanism with one of the conjugate
799 planes dipping 25° , close to the optimal reactivation angle. The mainshock ruptured the
800 newly propagated North Chelungpu Detachment, and was characterized by an
801 anomalously smooth rupture dynamics (Yue et al., 2005). The peculiar characteristics of
802 the recorded ground motion (large pulse of ground velocity and low accelerations to the
803 North; low ground velocities and large accelerations to the South) make this sequence
804 particularly interesting.

805 The data set analyzed here is made of 17 spectral ratios calculated between the
806 mainshock and 17 aftershocks with magnitudes ranging between Mw 4.70 and Mw 6.30.
807 Results are displayed in Figure A9, and the best fits are shown in Figure A10. More
808 information on the present Chi-Chi database of spectral ratios is available in the literature
809 (Mayeda and Malagnini, 2009). The M_0 vs. f_c plot for this sequence shows a moderate,
810 step-like, departure from self-similarity at Mw ~ 5.5 (lower-left frame of Figure A9).

811 Taiwan serpentinites (present along the detachments) have been used in lab experiments
812 to explain the extreme dynamic weakening of some faults in terms of the effects of
813 serpentine dehydration, through the subsequent fluid pressurization of the fault core
814 (Hirose and Bystricky, 2007). Such a mechanism could help explain the lack of
815 pronounced heat flow observed along major crustal faults such as the San Andreas (e.g.,
816 Brune et al., 1969), and the low temperature anomaly recorded in a borehole that went
817 through a shallow part of the northern patch of the Chelungpu fault (1100 m deep), even
818 six years after the Chi-Chi earthquake.

819 The latter observation (Kano et al., 2006) is evidence of a very low level of friction that
820 could not generate much heat at the time of the earthquake. From a measured peak value
821 of 0.06°C for the thermal anomaly observed during the experiments across the northern
822 patch of the Chelungpu fault, with a spatial width of the anomaly of about 40 m (20 m
823 from each side of the peak), a diffusion equation was used in order to estimate a
824 coseismic shear stress of 1.1 MPa, at a depth of 1111 m (Kano et al., 2006). Based on the
825 mentioned observations, conduction must be the predominant mechanism of heat
826 transport in the investigated fault patch, so that the heat transported by fluid flow does not

827 have a dominant effect. This must be true also for the coseismic phase, although the very
828 shallow depth at which the measurements were taken did not assure the sampling of the
829 seismogenic portion of the fault. For the northern patch of the Chelungpu fault, where the
830 temperature anomaly was observed, based on the computed frictional heat, an extremely
831 low apparent coefficient of dynamic friction was estimated by Kano et al. (2006), in the
832 range between 0.04 and 0.08.

833 There seems to be no unanimous consensus on the pore fluid pressure regime in this
834 region, although an overwhelming portion of the published studies leans toward an over-
835 pressurized environment. Accretionary wedges are generally characterized by pressured
836 compartments (Hunt, 1990): in the region of Tiehchanshan (Taiwan), the seal of an
837 overpressured compartment is found at a depth of about 3200 m (Hunt, 1990).

838 Nevertheless, hydrostatic pore fluid pressures were predicted by Yue (2007) down to 10
839 km in the region of the Chi-Chi earthquake (Mw 7.6), and used for the calculation of a
840 very low friction coefficient on the basal detachment of the western Taiwan wedge
841 (Suppe, 2007), that was explained in terms of the presence of weak minerals. Yue's
842 (2007) results conflict with the actual pore fluid pressure measurements taken down a
843 deep borehole reaching the Chelungpu fault in the Taiwan Western Foothills, right in the
844 focal area of the 1999 Chi-Chi earthquake. Such data set showed a clear departure from
845 the hydrostatic gradient 5500 m down a borehole (Tanikawa et al., 2004). Finally, the
846 presence of overpressured fluid compartments in the Hsinchu basin (Hunt, 1990),
847 suggests that such situation may be widespread in the Taiwan accretionary prism.

848 Tanikawa and Shimamoto (2009) carried out low- and high-velocity friction tests on rock
849 samples from shallow boreholes in the Chelungpu fault, together with measurements of
850 permeability. They showed that the northern part of the fault is characterized by a
851 velocity-strengthening behavior at low velocities, and has very low values of
852 permeability, so that the slip zone is very susceptible of dynamic fluid pressurization
853 when a large rupture, nucleated in the south, is able to push the northern patch past its
854 critical slip velocity, so that the patch may become unstable and a large rupture may
855 occur.

856 Tanikawa and Shimamoto (2009) showed that, on the contrary, the southern part of the
857 fault is characterized by a velocity-weakening frictional behavior, and by larger values of

858 permeability that inhibit fluid pressurization. Noda and Lapusta (2009), motivated by the
859 laboratory measurements of Tanimawa and Shimamoto (2009), implemented a 3D
860 earthquake sequence simulations for a simplified model of the Chelungpu fault. The
861 model was made of two different patches with different physical characteristics: velocity-
862 strengthening friction and low permeability for the northern patch; velocity-weakening
863 friction and high-permeability for the southern patch. Clearly, events could nucleate only
864 in the southern portion of the fault, but they could propagate also in the northern portion.
865 Generally, stable sliding conditions in the northern patch of the fault killed the rupture
866 propagation, but sometimes, when the slip velocity in the northern patch could go beyond
867 a critical threshold and initiate thermal pressurization, a large event could rupture the
868 entire fault. Noda and Lapusta (2009, personal communication) observed that the
869 combined effect of rate hardening at slow slip rates, and efficient thermal pressurization
870 at high slip rates in the northern patch of the fault is very consistent with the
871 characteristics of the ground motion that were observed during the Chi-Chi earthquake:
872 low accelerations/high velocities/large slips to the north, and high accelerations/low
873 velocities to the south.

874 The described dynamic interaction between the two main parts of the fault makes the
875 Chelungpu earthquake cycle consist of multiple events of different sizes. The patch to the
876 south, where unstable sliding occurs and dynamic pressurization is forbidden, breaks
877 frequently in smaller events that cannot relieve large amount of shear stress. The region
878 to the north, of more efficient thermal pressurization, produces larger slip when it is
879 ruptured, and thus has lower inter-seismic values of shear stress and does not rupture in
880 every event.

881 It must be clear that our observations cannot discriminate between the different behaviors
882 of the two fault patches. In fact, our coefficients of friction, plotted in the upper frames of
883 Figure A9, represent a weighted average (on the amount of radiated energy) of the two
884 different behaviors. If, as we hypothesize, the break in self-similarity that affects the main
885 shock was due to dynamic fluid pressurization, the overall effect was lessened by the
886 (more self-similar) contribution of the unstable southern patch. From the visual
887 inspection of Figure A10, the break in self-similarity is very clear.

888 As for the Caine's (1996) classification, the Chelungpu belongs to the Taiwanese
889 accretionary prism, and thus it is, by definition, an E3 end-member. The northern patch of
890 the Chelungpu fault zone is thus part of a mature detachment where very strong frictional
891 heating-generated pulses of pore fluid pressure are very likely to happen, and where
892 extreme dynamic lubrication may take place. On the contrary, dynamic pressure pulses
893 appear to be forbidden on the southern patch of the Chelungpu fault zone.

894 As stated in the main text, the fault maturity seems to be too vague in order to be the
895 fundamental characteristics for dynamic lubrication to occur through pore fluid
896 pressurization. For what concerns the Chi-Chi event, however, it is worth repeating that
897 Yue et al. (2005) found that, although the Chelungpu fault accommodated a total
898 displacement of ~14 km, the largest slip occurred on a newly propagated fault called
899 North Chelungpu Chinshui detachment, which coincides with the area where the
900 abnormally smooth rupture dynamics was observed.

901 *A1.6: The Iwate sequence (Japan)*

902 The Iwate event occurred on June 13, 2008, at 23:43:53.2 in northern Japan. The CMT
903 catalog indicates the epicentral location: 39.03N, 14.85E. The centroid depth of the
904 earthquake was at 12 km. Its half-duration was 6.7 sec, for a moment magnitude
905 $M_{wCMT} = 6.9$, and a coda magnitude (this work) $M_{wCODA} = 6.90$. The magnitude of the
906 Japanese Meteorological Agency was $M_j = 7.2$. The focal mechanism of the main shock
907 was that of a pure thrust with a dip angle of 42° . The coseismic displacement was inferred
908 from InSAR data by Takada et al. (2009), who proposed a complex rupture that took
909 place over five different planes and Ohta et al. (2008) provided a coseismic fault slip
910 model on three subfaults, based on data from a dense GPS network, with a maximum slip
911 of 3.5 m on the southern fault segment.

912 The seismic sequence used in this study contains 5 aftershocks ($4.60 \leq M_w \leq 5.62$).
913 Wang et al. (2008) described a distinctive, anomalous low- V_P , low- V_S , and low-Poisson
914 ratio in the upper 10 km of the crust, where the fault strength may be weaker than in other
915 regions of the seismogenic layer, which was interpreted as due to the presence of fluids
916 from slab dehydration. The hypocentral depth from regional data was 8 km (Wang et al.,

917 2008), well above the subducting Pacific plate (the slab depth in the Iwate epicentral area
918 is between 100 and 150 km), and just to the East of the volcanic belt of NE Japan.

919 The Iwate earthquake is not a subduction earthquake, but results from the compressional
920 rupture of a shallow crustal structure, which is probably in a tectonic environment
921 characterized by high pore-fluid pressure.

922 This sequence (see bottom frame in Figure A11) shows a familiar behavior: the small
923 events are almost self-similar, but a jump in Brune stress drop is needed to match the
924 corner frequency of the main shock. According to the M_0 vs. f_c plot, the computed
925 dynamic friction coefficients (Figure A11, top frame) are about constant for all the
926 aftershocks, with a slight decrease (lubrication) for the main shock.

927 The Iwate fault is clearly not a mature one, and the fits of Figure A12 confirm the break
928 in self-similarity with respect to the main shock that may be inferred after the visual
929 inspection of Figure A11. Finally, the complex fault architecture responsible for the Iwate
930 mainshock is compatible with the presence of a wide damage zone that dominates its
931 permeability structure. In terms of the Caine's (1996) classification, we think that Iwate
932 and Hector Mine may be very similar. The limited amount of apparent dynamic
933 lubrication seen in Figure A11, compared with the results obtained for the Hector Mine
934 fault zone (Figure A7) indicate a similar behavior for the two structures, with the Iwate
935 fault plane (the only thrust fault analyzed) characterized by the largest shear pre-stress of
936 all the faults sampled in this study.

937 **A2: Scaling of dynamic stress drop with radiated energy**

938 For a mixed mode II-III fracture with constant rupture velocity and homogeneous
939 dynamic stress drop, we derive the following expression for the crack's dynamic stress
940 drop:

$$941 \quad \Delta\sigma = F(\gamma, \varepsilon, \zeta, k)\sigma_a,$$

942 where $\sigma_a = \mu \frac{E_R}{M_0}$ is the apparent stress, and $F(\gamma, \varepsilon, \zeta, k)$ is a function of four
943 dimensionless parameters.

944 Our energy-based estimates of dynamic stress drop correlate very strongly with the
945 corresponding values of the Brune's stress parameter, but with substantially smaller
946 uncertainties.

947 Crack models have long been used in seismology for the quantitative description of the
948 ground motion observed spectra; the most widely used one was developed by Brune
949 (1970, 1971): an earthquake dislocation is described as a tangential stress pulse
950 instantaneously applied to the interior of a dislocation surface, and the resulting time
951 function of the dislocation motion is directly related to the effective stress available to
952 accelerate the two sides of the faults. The Brune crack model does satisfactorily describe
953 the near-field and the far-field displacement time-functions and Fourier amplitude spectra
954 of point-source earthquakes. Such capabilities, together with the simplicity of use, are the
955 most important contributions to its widespread success.

956 Even today, the Brune stress drop is a common estimate of the dynamic stress drop,
957 which is determined from the zero-frequency level (Ω_0), and the corner frequency (f_c) of
958 the far-field displacement amplitude spectrum. Snoke (1987) found "*remarkable that the*
959 *application of such a simple model has resulted in easily interpretable scaling relations*
960 *among source parameters for many suites of earthquakes*".

961 However, the physical description of faulting given in the Brune model suffers for an
962 important oversimplification of the entire phenomenon. Moreover, as we will show later,
963 the uncertainties associated to the Brune stress drops from corner frequency
964 measurements may be too large for these parameters to be effectively used for the
965 investigation of the thermal characteristics of faulting, because of the amplification of the
966 errors on the corner frequencies through their formal propagation.

967 More recently, dynamic fracture models were based on the calculation of energy balance
968 at the crack tip, where the most important physical processes take place during fracture
969 propagation. Important reviews of the basic principles of dynamic fracture mechanics are,
970 among others, those by Kostrov and Das (1988), Freund (1990), and Broberg (1999).

971 If friction on the actively slipping fault surface remains low after break-down, it may be
972 considered as time-independent except in a small region near the crack edge (i.e. the
973 breakdown region where the friction drops abruptly during rupture advancement). In this
974 case, it can be shown that the amount of radiated energy E_R can be stated in terms of a

975 difference of energy flow at the propagating tip of the crack only (Freund, 1990, p. 293,
 976 eq. 5.8.18):

$$977 \quad E_R = \int_{-\infty}^{+\infty} (\bar{F}_0(t') - \bar{F}(t')) dt' \quad (1)$$

978 Here $\bar{F}_0(t)$ and $\bar{F}(t)$ stand for the instantaneous rate of energy flow into crack tip,
 979 integrated along the crack edge, in the case of quasi-static and dynamic crack
 980 propagation, respectively. \bar{F} and \bar{F}_0 are in units of force \times length/time ($J \cdot \text{sec}^{-1}$ or
 981 $N \cdot m \cdot \text{sec}^{-1}$). Since a static crack does not radiate, the difference between the static and
 982 the dynamic case equates to the radiated amount in the crack energy balance. Adapting
 983 the definitions from (Freund, 1990), we may write:

$$984 \quad \bar{F}(t) = \int_{\Lambda(t)} F(s, t) ds \quad (2)$$

985 where s is the position along the crack edge and $\Lambda(t)$ the crack perimeter at time t , and
 986 $F(s, t)$ is the instantaneous flow at a given position s along the crack edge and at time t . In
 987 order to estimate \bar{F} and \bar{F}_0 , by assuming a simple crack model, we may relate them to
 988 the stress intensity factors K_{II} and K_{III} through the definition of the energy flow G , or the
 989 rate of mechanical energy flow out of the body and into the crack tip per unit crack
 990 advance, and per unit length of crack edge, according to (Freund, 1990, eq. 5.3.2):

$$991 \quad G(t) = \lim_{\Gamma \rightarrow 0} \left\{ \frac{F(\Gamma)}{V_r} \right\} \quad (3)$$

992 and, under the usual assumption that the breakdown region and Γ are reasonably small,
 993 we can get rid of the limit, and, upon integrating on position s around the crack edge Γ ,
 994 we obtain:

$$995 \quad \bar{F}(t) = \int_{\Lambda} V_r(s) G(t, s) ds \quad (4)$$

$$996 \quad \bar{F}_0(t) = \int_{\Lambda} V_r(s) G_0(t, s) ds. \quad (5)$$

997 For simplicity, we henceforth reduce our analysis to the simple case of radial symmetry
 998 (circular crack expanding at constant velocity V_r) and constant material properties. For a
 999 circular crack of diameter $L(t)$, equations (4) and (5) become:

$$1000 \quad \bar{F}(t) = V_r L(t) \int_0^{2\pi} G(t, \theta) d\theta \quad (6)$$

1001
$$\overline{F}_0(t) = V_r L(t) \int_0^{2\pi} G_0(t, \theta) d\theta \quad (7)$$

1002 For mixed mode cracks, (Broberg, 1999), if we neglect opening (mode I) for tectonic
 1003 earthquake faults, we can relate G to the stress intensity factors and to the Yoffe functions
 1004 as:

1005
$$G = G_{II} + G_{III} = \frac{1}{4(1-k^2)\mu} K_{II}^2 Y_{II}(k, \zeta) + \frac{1}{2\mu} K_{III}^2 Y_{III}(\gamma) \quad (8)$$

1006 where Yoffe function $Y_{II}(k, \zeta)$ and $Y_{III}(\gamma)$ are functions of the velocity ratios $\zeta = V_r/c_p$,
 1007 $k = \sqrt{(1-2\nu)/(2-2\nu)} = c_s/c_p$ and $\gamma = V_r/c_s$ (from Broberg, 1999, equations: (3.5.15),
 1008 (3.5.16), and (3.5.17)).

1009 For the stress intensity factors, assuming a mixed mode where θ is the angle between
 1010 slip direction and the local propagation direction of the crack edge, we may define:

1011
$$K_{II} = \cos(\theta) \Delta\sigma_D \sqrt{\frac{\pi L}{2}} \chi_{II}(k, \zeta) \quad (9)$$

1012 (modified from Broberg, 1999, eq. 6.9.90), and:

1013
$$K_{III} = \sin(\theta) \Delta\sigma_D \sqrt{\frac{\pi L}{2}} \chi_{III}(\gamma), \quad (10)$$

1014 where, again, $\chi_{II}(k, \zeta)$ and $\chi_{III}(\gamma)$ are functions of the velocity ratios defined above
 1015 (modified from Broberg, 1999, eq. 6.9.147), and $\Delta\sigma_D$ indicates the dynamic stress drop.

1016 We introduced the amplitude factors $\cos(\theta)$ and $\sin(\theta)$ to account for the mixed mode
 1017 fracture. On the edge of the crack propagating perpendicular to the slip direction, $\theta = 90$
 1018 and only K_{III} is nonzero. On the edge propagating parallel to slip, only K_{II} remains,
 1019 whereas on an intermediate location a mix of both modes will be active. Note that in the
 1020 quasi-static case $\gamma = \zeta = 0$, so the right-hand side fractions in K_{II} and K_{III} equate to 1.

1021 Finally, we may write:

1022
$$G(t) = \frac{\pi \Delta\sigma_D^2 L(t)}{4\mu} \left\{ \frac{\cos^2 \theta}{(1-k^2)} \Phi_{II} + \sin^2 \theta \Phi_{III} \right\} \quad (11)$$

1023
$$G_0(t) = \frac{\pi \Delta\sigma_D^2 L(t)}{4\mu} \left\{ \frac{\cos^2 \theta}{(1-k^2)} + \sin^2 \theta \right\} \quad (12)$$

1024 where $\Phi_{II} = Y_{II} \chi_{II}$ and $\Phi_{III} = Y_{III} \chi_{III}$ are functions of the velocity ratios only.

1025 *Radiated energy and dynamic stress drop*

1026 By combining equations (1), (2), (3), (16), (7):

$$1027 \quad E_R = \int_i (\bar{F}_0(t') - \bar{F}(t')) dt' \quad (13)$$

$$1028 \quad E_R = \int_i V_r \frac{L(t)}{2} \left\{ \int_0^{2\pi} G(t, \theta) - G_0(t, \theta) d\theta \right\} dt \quad (14)$$

$$1029 \quad \int_0^{2\pi} (G(t, \theta) - G_0(t, \theta)) d\theta \quad (15)$$

$$1030 \quad = \frac{\pi \Delta \sigma_D^2 L(t)}{4\mu} \int_0^{2\pi} \left(\cos^2 \theta \frac{1 - \Phi_{II}}{(1 - k^2)} + \sin^2 \theta (1 - \Phi_{III}) \right) d\theta \quad (16)$$

$$1031 \quad = \frac{\pi^2 \Delta \sigma_D^2 L(t)}{4\mu} \left(\frac{1 - \Phi_{II}}{(1 - k^2)} + 1 - \Phi_{III} \right) \quad (17)$$

1032 and write the coefficient Z for the rupture velocity function

$$1033 \quad Z = \left(\frac{1 - \Phi_{II}}{(1 - k^2)} + 1 - \Phi_{III} \right) \quad (18)$$

1034 While Z may be computed for the case of a circular, sharp/edged crack propagating at a

1035 constant velocity with the above equation, it has no relevance for real earthquakes where

1036 rupture is a more complex process. In that case we consider Z as a dimensionless

1037 coefficient that may be estimated empirically from the data.

$$1038 \quad E_R = \frac{V_r \pi^2 \Delta \sigma_D^2}{8\mu} Z \int_i L^2(t) dt \quad (19)$$

$$1039 \quad = \frac{V_r \pi^2 \Delta \sigma_D^2}{8\mu} Z \int_0^{T_r} (2tV_r)^2 dt \quad (20)$$

1040 At this point we may use the rupture duration

$$1041 \quad T = \frac{L(\infty)}{2V_r} \quad (21)$$

1042 in order to write:

$$1043 \quad \int_0^{T_r} (2V_r t')^2 dt' = \frac{4}{3} T^3 V_r^2 \quad (22)$$

1044 we finally obtain:

1045
$$E_R = \frac{\pi^2 \Delta\sigma_D^2}{8\mu} Z \frac{4}{3} T^3 V_r^3 \quad (23)$$

1046 by using the definition (21) of rupture duration:

1047
$$E_R = \frac{\pi^2 \Delta\sigma_D^2}{48\mu} Z L^3(\infty) \quad (24)$$

1048 When rupture velocity tends to zero, $T^3 V_r^3$ remains constant for a given rupture
1049 dimension, however Φ_{II} and Φ_{III} tend to one so that the radiated energy tends to zero.

1050 About the assumption of constant propagation velocity, this implicitly neglects the
1051 radiated energy in the starting (accelerating) and stopping (decelerating) phases of
1052 fracture. However, the faulting size in the starting phase is small, while the duration of
1053 the stopping phases can be expected to be short and at a reduced value of rupture velocity
1054 V_r , so that the contribution to E_R from those phases may be less relevant.

1055 The next step is the quantification of the stress drop as a function of the seismic moment,
1056 and of the crack radius. From the definition of seismic moment:

1057
$$M_0 = \mu A \langle d \rangle \quad (25)$$

1058 From Eshelby (1957, 1959):

1059
$$\langle d \rangle = \frac{16}{7\pi} \frac{\Delta\sigma}{\mu} \left(\frac{L(\infty)}{2} \right) \quad (26)$$

1060 so that:

1061
$$\Delta\sigma = \mu \langle d \rangle \frac{7\pi}{8L(\infty)} \quad (27)$$

1062 Substituting (27) into (25):

1063
$$M_0 = \frac{2}{7} \Delta\sigma L^3(\infty) \quad (28)$$

1064 and:

1065
$$L(\infty) = \left(\frac{7M_0}{2\Delta\sigma} \right)^{1/3} \quad (29)$$

1066 Using again the definition (21) of rupture duration in (29), and substituting in (24):

1067
$$E_R = \frac{\pi^2 \Delta\sigma_D^2}{48\mu} Z \left(\frac{7M_0}{2\Delta\sigma_S} \right) \quad (30)$$

1068 Using $\Delta\sigma_S = \epsilon \Delta\sigma_D$:

1069 $E_R = f(\gamma, \zeta, \varepsilon, k) \frac{7\pi^2 \Delta\sigma_D M_0}{96\mu}$ (31)

1070 with

1071 $f(\gamma, \zeta, \varepsilon, k) = \frac{Z}{\varepsilon}$ (32)

1072 From (30), (31), (32) we can write:

1073 $\Delta\sigma_D = \frac{96}{7\pi^2 f(\gamma, \zeta, \varepsilon, k)} \mu \frac{E_R}{M_0}$ (33)

1074 $= F(\gamma, \zeta, \varepsilon, k) \sigma_a$ (34)

1075 where:

1076 $\sigma_a = \mu \frac{E_R}{M_0}$ (35)

1077 is called the apparent stress (Wyss, 1970).

1078 We may now write our relationship for radiated energy and stress drop as:

1079 $\Delta\tau_d = F \mu \frac{E_R}{M_0}$, (36)

1080 where, in the theoretical case of an expanding circular crack with a sharp tip, F is
 1081 defined above. However, in natural earthquakes fracture propagation is a more complex,
 1082 heterogeneous process and differs in several ways from the simplified circular sharp
 1083 crack model. In particular, the entire fault area is not slipping owing to the presence of
 1084 barriers (stronger patches) and the fracture tip is not sharp but rather expanded over a
 1085 process region of finite length. It is expected that the value of F obtained for the sharp
 1086 crack model may represent a lower bound for natural earthquakes, which, due to their
 1087 reduced crack tip singularity and their reduced slipping area, are likely to radiate less
 1088 energy for a similar amount of stress drop.

1089 As a consequence, we rather estimate a value of F by assuming that, within the whole
 1090 investigated dataset, the lowest value of the sliding friction coefficient corresponds to a
 1091 situation where the residual dynamic friction is negligible (this case corresponds to the
 1092 largest of the twin main shocks of the San Giuliano sequence, see appendix A1.1). In
 1093 other words, we assume that the dynamic stress drop for such event is total. While this
 1094 assumption represents an ideal lower bound, it is in part justified by several experimental
 1095 results (Di Toro et al., 2006, 2004) showing that, under favorable circumstances and

1096 seismic slip conditions, the dynamic friction coefficient may drop down to values as low
1097 as 0.05. Thus we obtain an estimate $F \approx 3$, which, owing to the assumption of total stress
1098 drop, is obviously an upper bound for the value of F . Taking smaller values reduces the
1099 difference between events in term of stress drops, but the fundamental observation of
1100 gradual weakening remains unaltered. We do not show the effect of increasing F , as it is
1101 in all ways similar to that induced by an increase in the pore pressure as illustrated in Fig.
1102 A2, A4, A6, A8, A10, and A12 within this Appendix, as well as in Fig. 3 of the main
1103 report.

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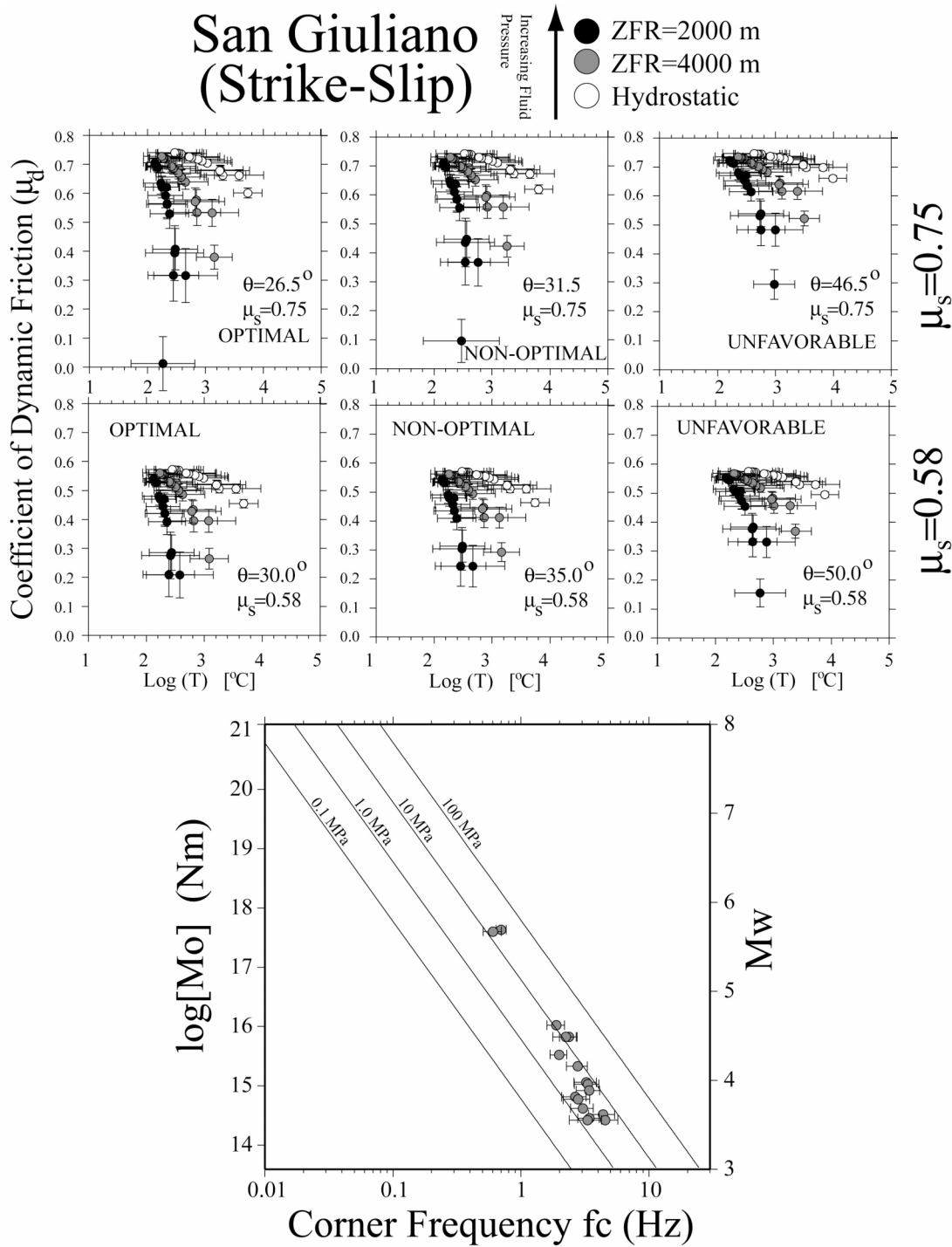
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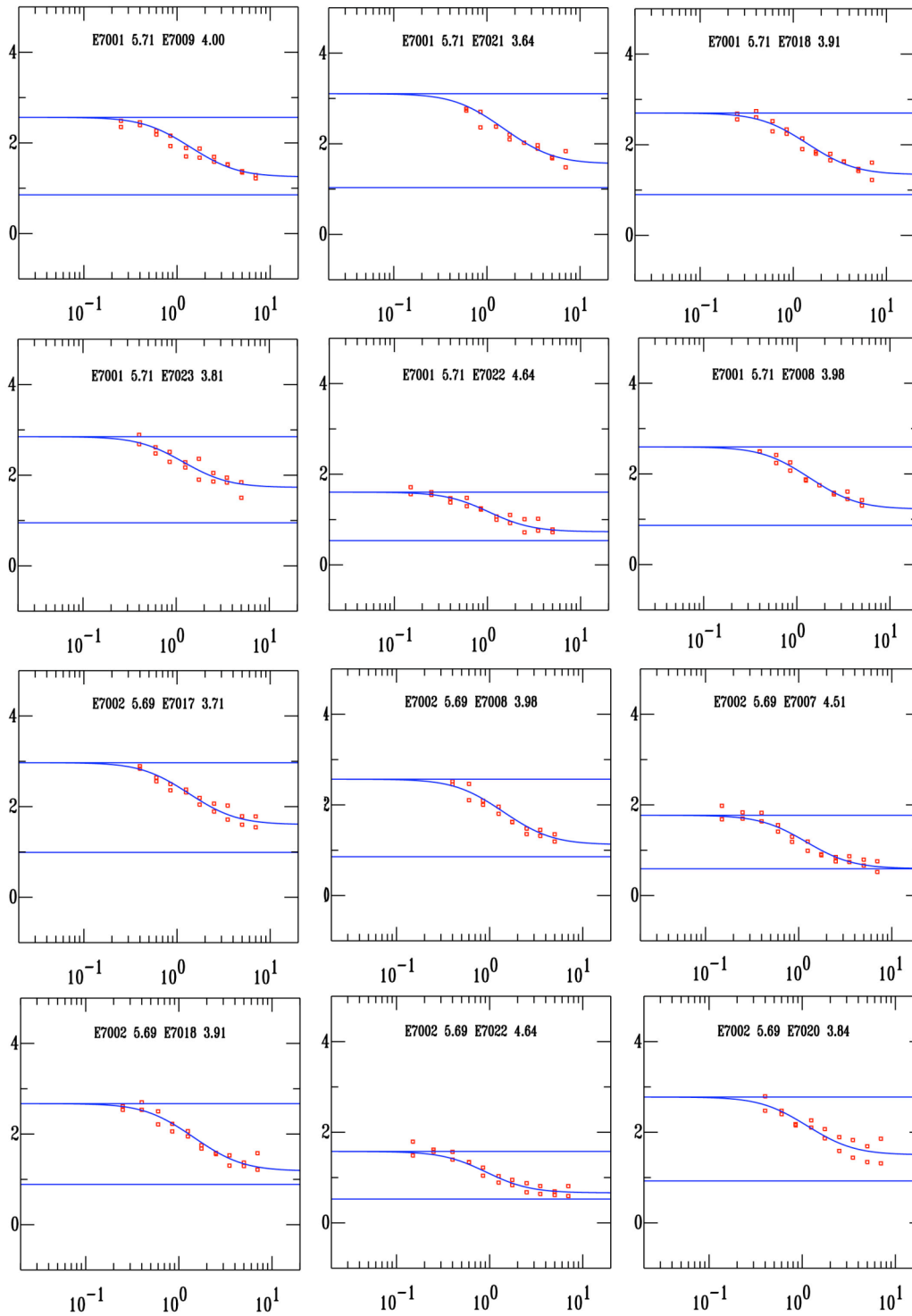
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Figure A1. Top frames: coefficients of dynamic friction for the evens of the San Giuliano sequence, as a function of fault temperature. Darker symbols indicate shallower gradient transition depths, and thus higher pore fluid pressures. We sample two typical coefficients of static friction (0.75 and 0.58), and three orientations (optimal, optimal + 5 degrees, and optimal + 20 degrees). The latter orientation is called unfavorable, following Sibson, 1990). Of the six frames on dynamic friction, note that the largest event is missing in the two lower frames (left and middle). For those orientations, the coefficient relative to the

1317 largest event become negative (unacceptable). The coefficient F in equation (36) of Appendix A2 was
1318 calibrated to the value F=3.0 based on the hypothesis of total stress release for the largest mainshock of the
1319 sequence, in a situation of optimal orientation to the regional stress field.
1320 Bottom frame: distribution of the seismic moments and corner frequencies for the San Giuliano sequence.
1321 The data set is consistent with a functional form: $M_0 \propto f_c^{-(3+\varepsilon)}$, with $\varepsilon = 0.9 \pm 0.3$.



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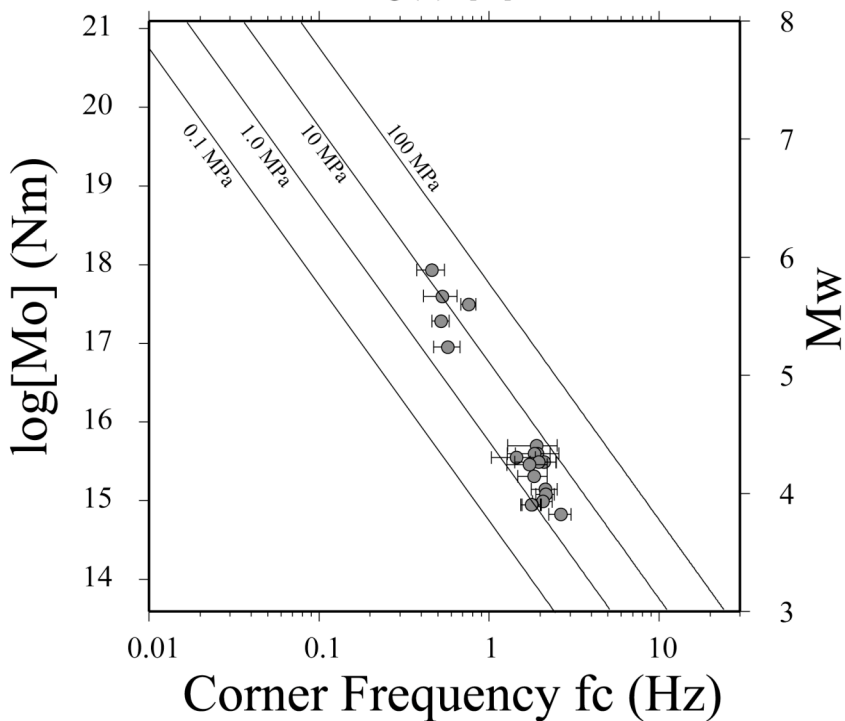
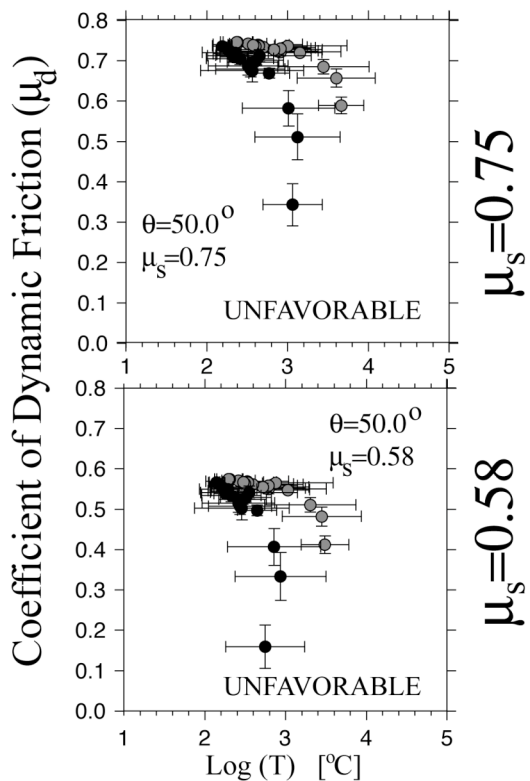
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Figure A2. Fits between data (red squares) and the theoretical best scaling model (blue curve) for spectral ratios of the San Giuliano seismic sequence. Solid horizontal lines in blue represent the asymptotes expected for self-similar scaling. In the frame of each spectral ratio are indicated both the event identification numbers, with the earthquakes' moment magnitudes.

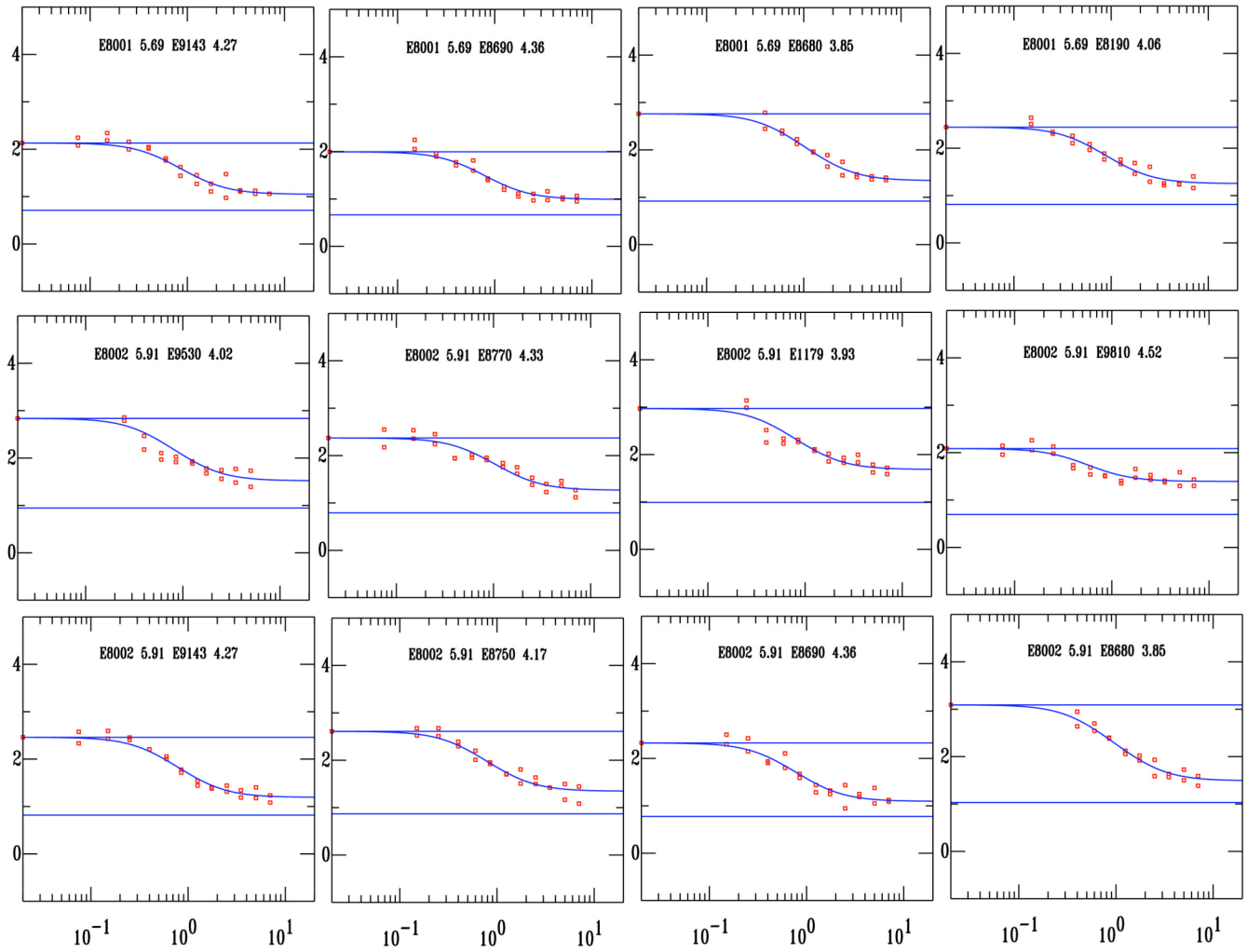
Colfiorito
(Normal)

Increasing Fluid Pressure ↑

● ZFR=2000 m
● ZFR=5000 m
(Equivalent to Hydrostatic)



1328 **Figure A3.** Top and middle frames: coefficients of dynamic friction for some evens of the Colfiorito
 1329 sequence, as a function of the fault temperature. Darker symbols indicate shallower gradient transition
 1330 depths, and thus higher pore fluid pressures. We sample two typical coefficients of static friction (0.75 and
 1331 0.58), and the orientation dictated by the dip of the fault plane that was observed in the sequence.
 1332 Bottom frame: distribution of the seismic moments and corner frequencies for the sequence. A functional
 1333 form: $M_0 \propto f_c^{-(3+\varepsilon)}$, where: $\varepsilon = 1.5 \pm 0.6$, can be used to describe the plot.

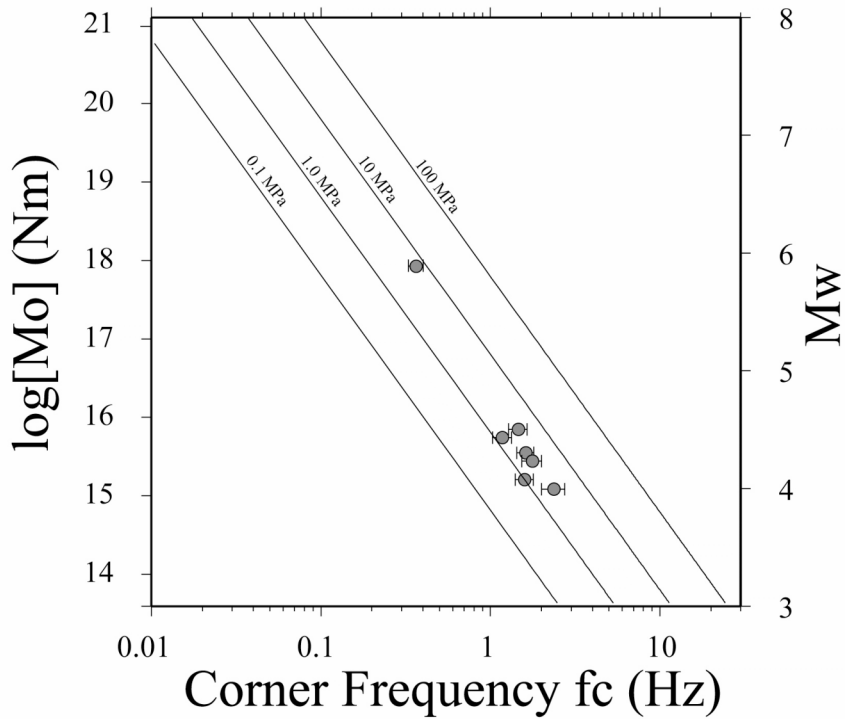
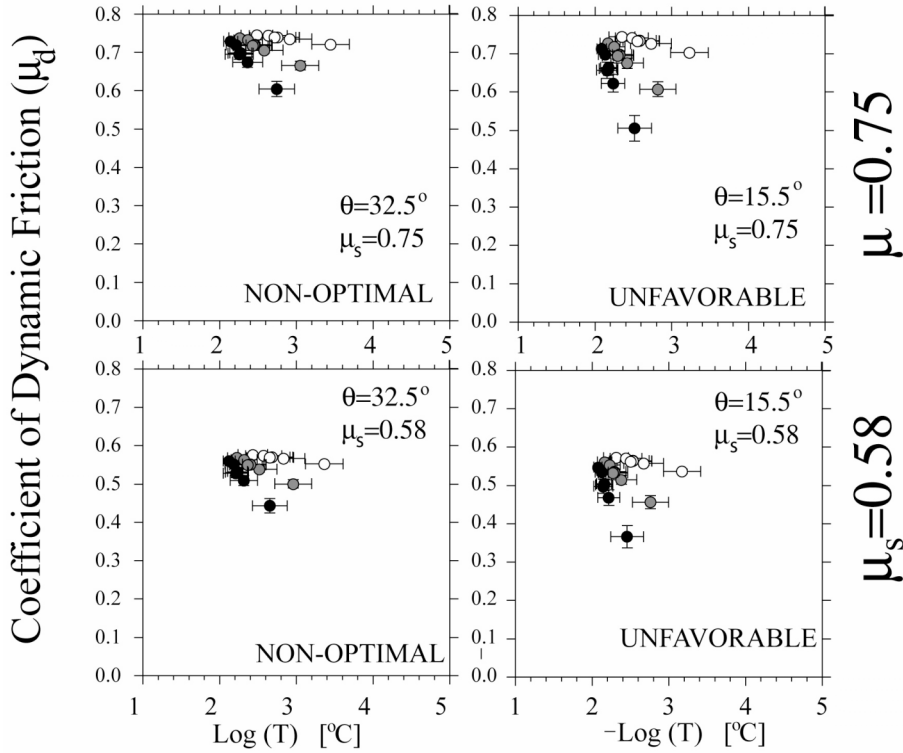


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 1335 **Figure A4.** Fits between data (red squares) and the theoretical best scaling model (blue curve) for spectral
 1336 ratios of the Colfiorito seismic sequence. Solid horizontal lines in blue represent the asymptotes expected
 1337 for self-similar scaling. In the frame of each spectral ratio are indicated both the event identification
 1338 numbers, with the earthquakes' moment magnitudes.

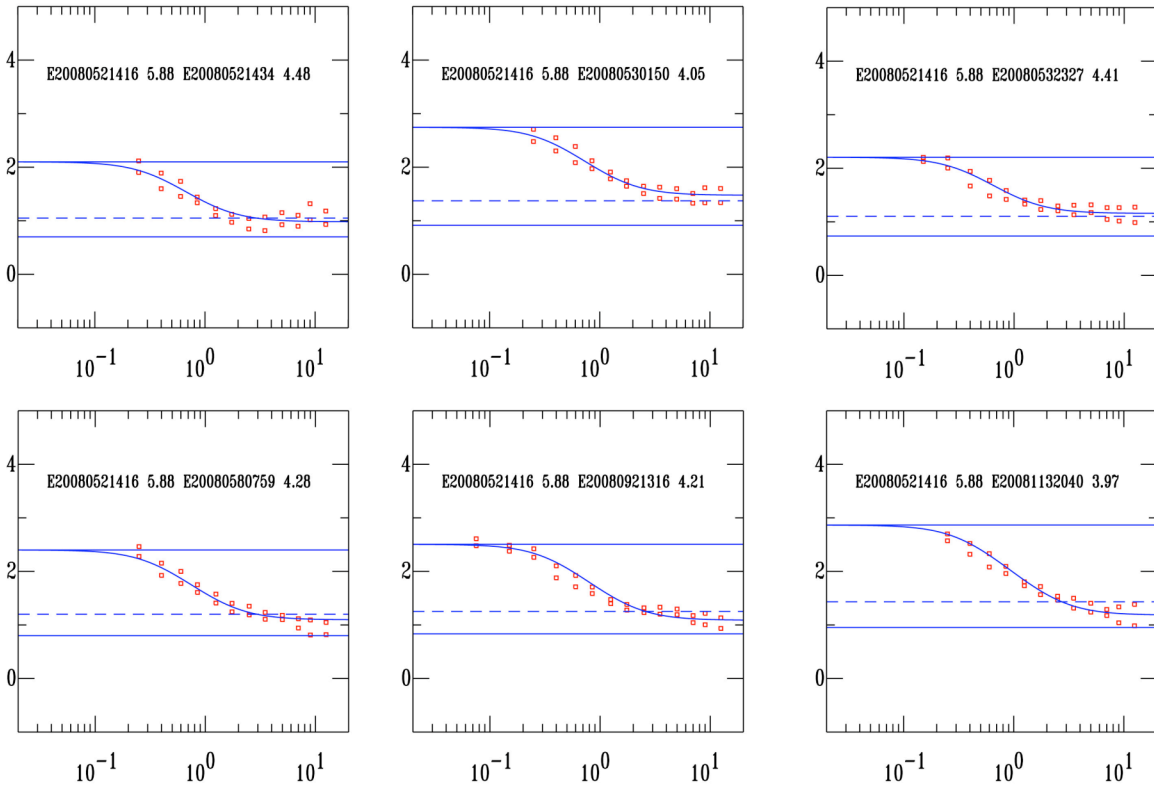
Wells
(Normal)

Increasing Fluid
Pressure ↑

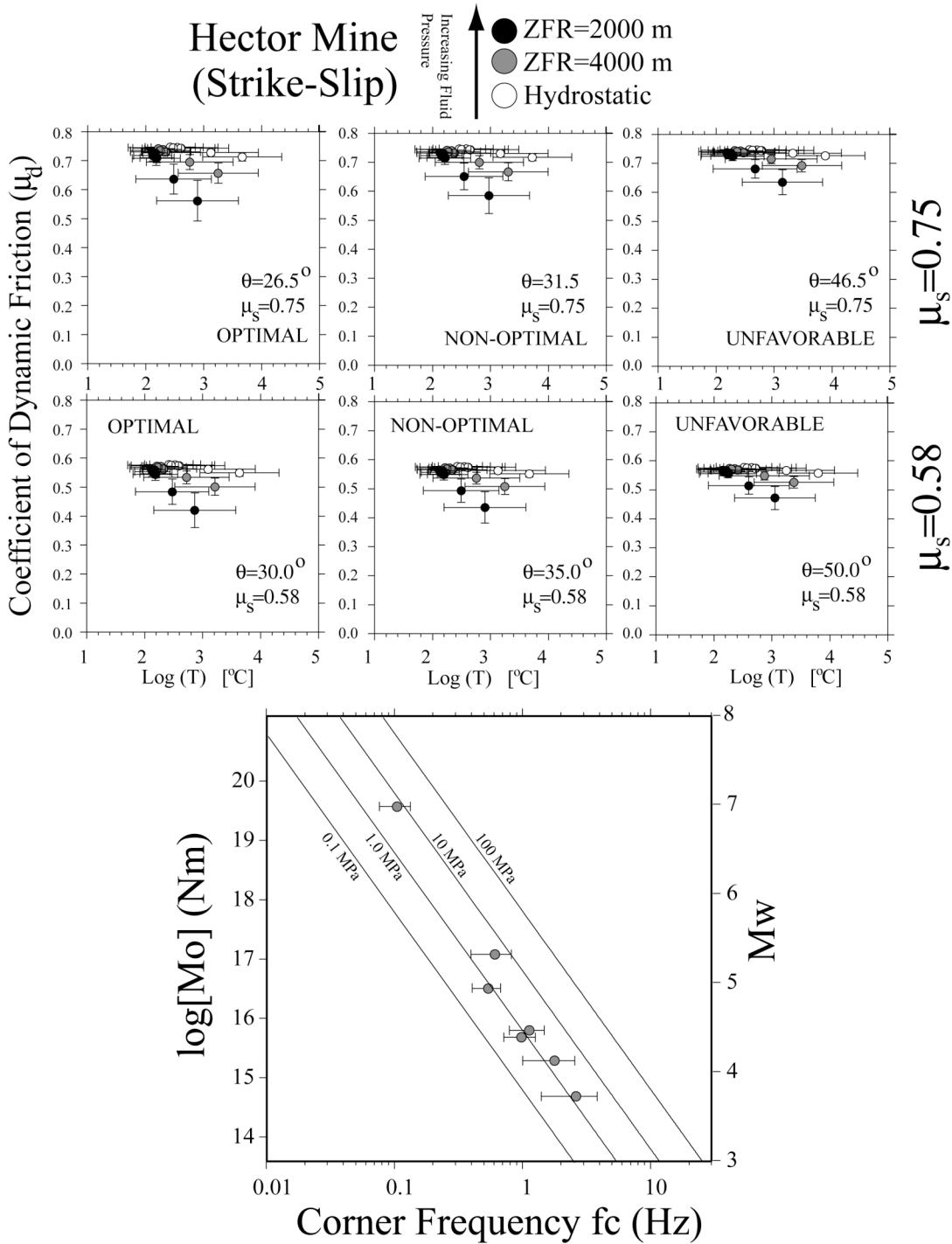
- ZFR=2000 m
- ZFR=4000 m
- Hydrostatic



1340 **Figure A5.** Coefficients of dynamic friction as a function of the fault temperature for the evens of the
 1341 Wells sequence. Darker symbols indicate shallower gradient transition depths, and thus higher pore fluid
 1342 pressures. We sample two typical coefficients of static friction (0.75 and 0.58), and the orientations of the
 1343 two conjugate planes dictated by the available moment-tensor solution.
 1344 Bottom frame: distribution of the seismic moments and corner frequencies for the sequence. The data set is
 1345 consistent with a functional form: $M_0 \propto f_c^{-(3+\varepsilon)}$, with $\varepsilon = 1.0 \pm 0.2$.



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 1347 **Figure A6.** Fits between data (red squares) and the theoretical best scaling model (blue curve) for spectral
 1348 ratios of the Wells seismic sequence. Solid horizontal lines in blue represent the asymptotes expected for
 1349 self-similar scaling. In the frame of each spectral ratio are indicated both the event identification numbers,
 1350 with the earthquakes' moment magnitudes.



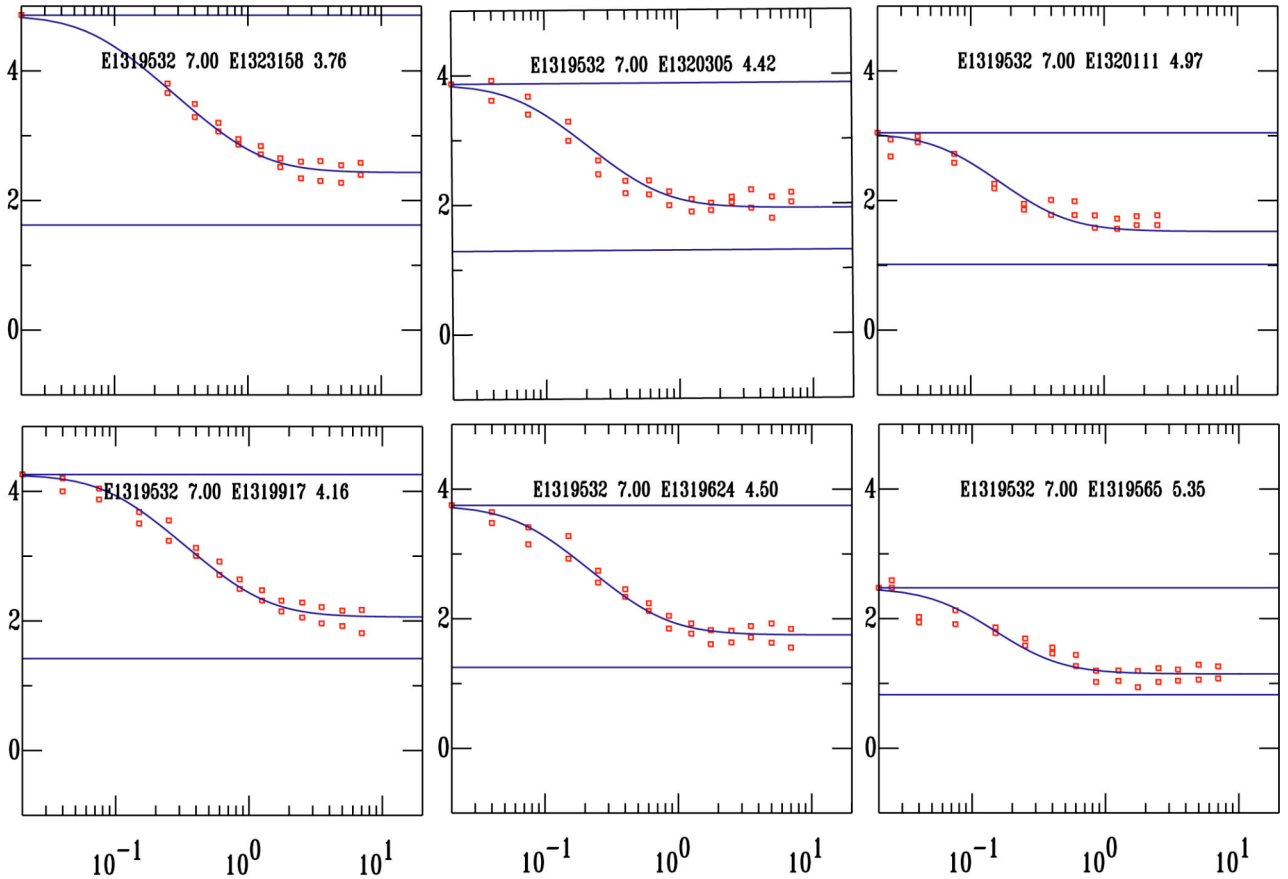
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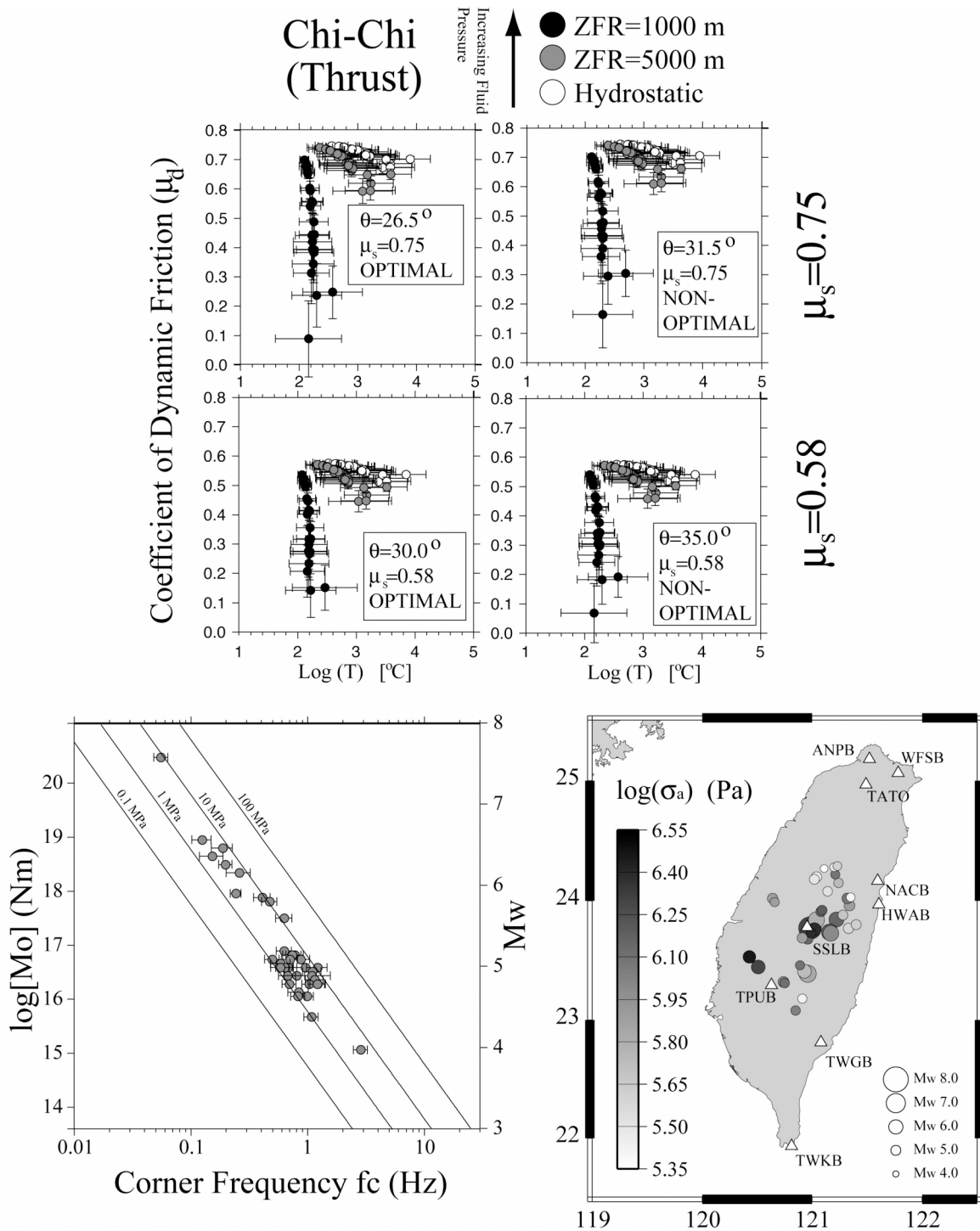
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Figure A7. Coefficients of dynamic friction for the evens of the Hector Mine seismic sequence, as a function of the fault temperature. Darker symbols indicate shallower gradient transition depths, and thus

1354 higher pore fluid pressures. We sample two typical coefficients of static friction (0.75 and 0.58), and three
 1355 possible orientations.
 1356 Bottom frame: distribution of the seismic moments and corner frequencies for the sequence. The data set
 1357 may be consistent with a functional form: $M_0 \propto f_c^{-3}$, with a discontinuity at $M_w 5.5$, or with a
 1358 functional form: $M_0 \propto f_c^{-3+\epsilon}$, with $\epsilon = 0.9 \pm 0.4$.



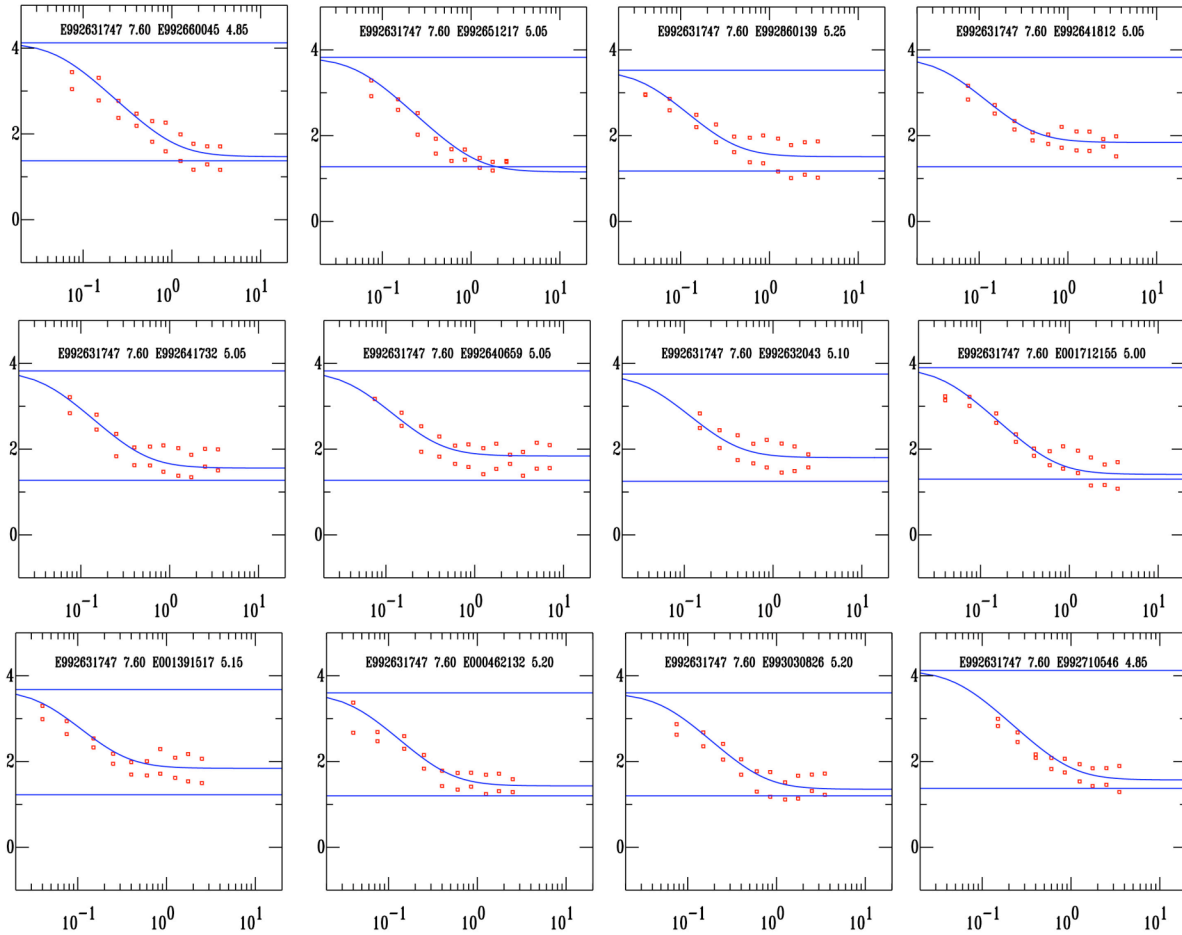
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 1360 **Figure A8.** Fits between data (red squares) and the theoretical best scaling model (blue curve) for spectral
 1361 ratios of the Hector Mine seismic sequence. Solid horizontal lines in blue represent the asymptotes
 1362 expected for self-similar scaling. In the frame of each spectral ratio are indicated both the event
 1363 identification numbers, with the earthquakes' moment magnitudes.



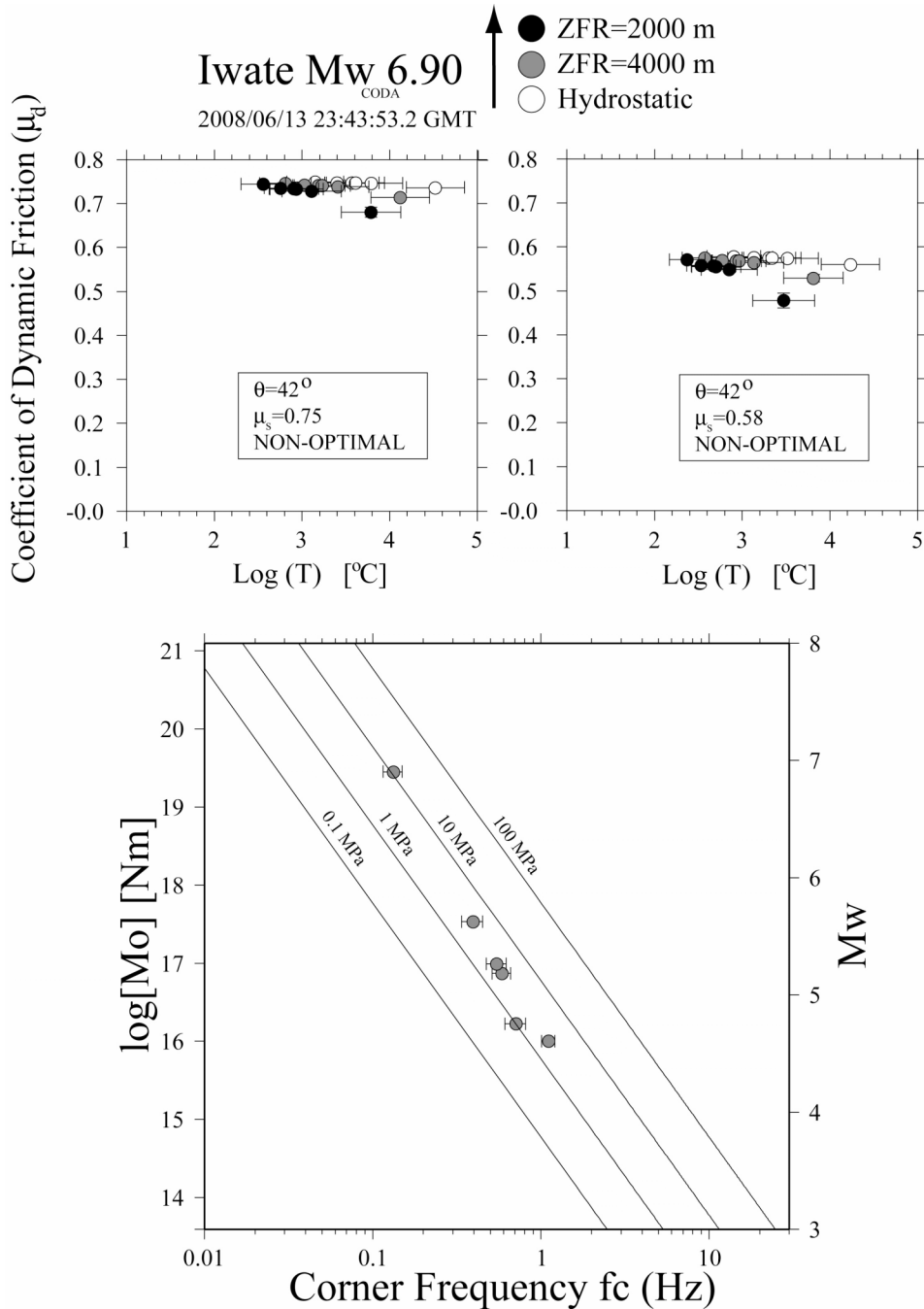
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Figure A9. Upper frame: coefficients of dynamic friction for the evens of the Chi-Chi seismic sequence, as a function of the fault temperature. Darker symbols indicate shallower gradient transition depths, and thus higher pore fluid pressures. We sample only one typical coefficients of static friction ($\mu_s=0.75$), because there is evidence (Tanikawa and Shimamoto, 2009) for a large coefficient of static friction. We use an optimal dip angle (26.5°) that is very close to the dip of the CMT solution (25°). The high-pressure

1370 gradient transition depth was put at 725 m in order to match, on average, the coefficients of dynamic
 1371 friction obtained in the lab for the Chelungpu fault (Tanikawa and Shimamoto, 2009).
 1372 Bottom left frame: distribution of the seismic moments and corner frequencies for the sequence. The data
 1373 set shows a self-similar behavior. Bottom right frame: map of the island, where we plot the locations of the
 1374 events used here, with an estimate of the logarithm of the apparent stress. The mainshock and the largest
 1375 aftershocks are characterized by similar apparent stresses.



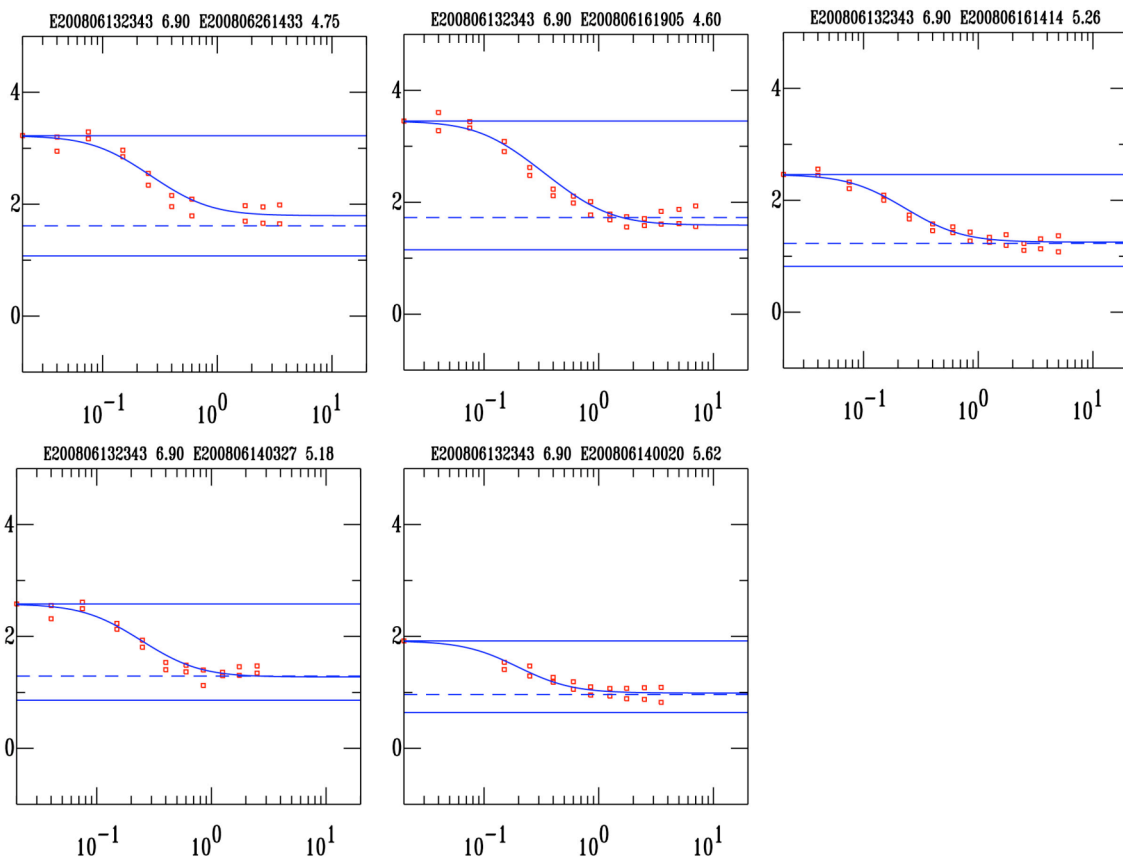
1376
 1377 **Figure A10.** Fits between data (red squares) and the theoretical best scaling model (blue curve) for spectral
 1378 ratios of the Chi-Chi seismic sequence. Solid horizontal lines in blue represent the asymptotes expected for
 1379 self-similar scaling. In the frame of each spectral ratio are indicated both the event identification numbers,
 1380 with the earthquakes' moment magnitudes.



1381

1382 **Figure A11.** Coefficients of dynamic friction for the evens of the Iwate seismic sequence, as a function of
 1383 the fault temperature. Darker symbols indicate shallower gradient transition depths, and thus higher pore
 1384 fluid pressures. We sample two typical coefficients of static friction (0.75 and 0.58), and the orientation of
 1385 the focal mechanism.

1386 Bottom frame: distribution of the seismic moments and corner frequencies for the sequence. The data set
 1387 may be consistent with a functional form: $M_0 \propto f_c^{-3}$, with a discontinuity at $M_w \approx 5.5 - 6.0$, or with a
 1388 functional form: $M_0 \propto f_c^{-3+\epsilon}$, with $\epsilon > 0$.



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Figure A12. Fits between data (red squares) and the theoretical best scaling model (blue curve) for spectral ratios of the Iwate seismic sequence. Solid horizontal lines in blue represent the asymptotes expected for self-similar scaling. In the frame of each spectral ratio are indicated both the event identification numbers, with the earthquakes' moment magnitudes.