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2	Towards an automatic monitoring system of infrasonic events at Mt. Etna:
3	strategies for source location and modelling
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6	P. Montalto
7 8	Istituto Nazionale di Geofisica e Vulcanologia, Sezione di Catania, Piazza Roma 2, 95123 Catania, Italy
9	Dipartimento di Ingegneria Elettrica, Elettronica e dei Sistemi, Università di Catania, Viale Andrea
10	Doria 6. 95125 Catania. Italy
11	
12	A. Cannata
13	Istituto Nazionale di Geofisica e Vulcanologia, Sezione di Catania, Piazza Roma 2,
14	95123 Catania, Italy
15	
16	E. Privitera
17	Istituto Nazionale di Geofisica e Vulcanologia, Sezione di Catania, Piazza Roma 2,
18	95123 Catania, Italy
19	
20	S. Gresta
21	Dipartimento di Scienze Geologiche, Università di Catania, Corso Italia 57,
22	95129 Catania, Italy
23	
24	G. Nunnari
25	Dipartimento di Ingegneria Elettrica, Elettronica e dei Sistemi, Università di Catania,
26	Viale Andrea Doria 6, 95125 Catania, Italy
27	
28	D. Patanè
29	Istituto Nazionale di Geofisica e Vulcanologia, Sezione di Catania, Piazza Roma 2,
30	95123 Catania, Italy

31 Abstract

32 Active volcanoes characterized by open conduit conditions generate sonic and infrasonic signals, 33 whose investigation provides useful information for both monitoring purposes and studying the 34 dynamics of explosive processes. In this work, we discuss the automatic procedures implemented 35 for a real-time application to the data acquired by a permanent network of five infrasound stations 36 running at Mt. Etna volcano. The infrasound signals at Mt. Etna consist in amplitude transients, 37 called infrasound events. The adopted procedure uses a multi-algorithm approach for event 38 detection, counting, characterization and location. It is designed for an efficient and accurate 39 processing of infrasound records provided by single-site and array stations. Moreover, the source 40 mechanism of these events can be investigated off-line or in near real-time by using three different 41 models: i) Strombolian bubble; ii) resonating conduit and iii) Helmholtz resonator. The infrasound 42 waveforms allow us to choose the most suitable model, to get quantitative information about the 43 source and to follow the time evolution of the source parameters.

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45 *Keywords:* infrasound, monitoring system, source location, source modelling, Mt. Etna volcano.

46

47 1. Introduction

48 Volcanic unrest is often evidenced by time variations of some physical and geochemical parameters. 49 The geophysical surveillance of volcanoes is routinely performed mainly by observing the patterns 50 of seismic activity and ground deformations (Scarpa and Gasparini, 1996). In recent years, new 51 insights into explosive volcanic processes have been made by studying infrasonic signals (e.g. 52 Vergniolle and Brandeis, 1994; Ripepe et al., 1996; 2001a). Indeed, infrasonic activity on volcanoes 53 is generally evidence of open conduit conditions and can provide important indications on the 54 dynamics of the explosive processes. Unlike the seismic signal, whose wavefield can be strongly 55 affected by topography (Neuberg and Pointer, 2000) and path effects (Gordeev, 1993), the 56 infrasonic signal keeps its features almost unchanged during propagation. In fact, for short distances 57 the infrasonic signal travels in an almost homogenous atmosphere with no structures that can scatter, 58 attenuate or reflect acoustic waves, allowing to easily obtain information on the source. This can be 59 explained by the simpler Green's functions for a fluid atmosphere than those for a complex, 60 heterogeneous volcanic edifice, which supports compressional, shear, and surface waves (Johnson, 61 2005). However, the source mechanism of the sound radiated during eruptions is still open to debate. 62 Several phenomena are able to generate infrasound signals such as rockfalls or pyroclastic flows 63 (e.g. Moran et al., 2008; Oshima and Maekawa, 2001). Nevertheless, in most cases these signals are 64 related to internal magma dynamics, like the acoustic resonance of fluids in the conduit, triggered

by explosive sources; this implies propagation of sound waves in both magma and atmosphere 65 66 (Garces and McNutt, 1997). According to some authors (e.g. Ferrick et al., 1982; Julian, 1994; Seidl and Hellweg, 2003), seismic and acoustic wave generation in volcanoes can be caused by nonlinear 67 68 processes. Unlike linear models, nonlinear ones allow the harmonic frequencies to be not 69 proportional to a geometric length scale, which for example may explain why tremor frequencies 70 are similar at volcanoes of vastly different size (Hagerty and Benites, 2003). Another attraction of 71 nonlinear models is that they are able to produce a large range of complex behaviours for relatively 72 small changes in some control parameters (Hagerty and Benites, 2003). Recent studies relate the 73 source of sound to the sudden uncorking of the volcano (Johnson and Lees, 2000), opening of 74 "valves" sealing fluid-filled cracks (Matoza et al., 2009), local coalescence within a magma foam 75 (Vergniolle and Caplan-Auerbach, 2004) and Strombolian bubble vibration (Vergniolle and 76 Brandeis, 1996; Vergniolle et al., 2004). Some techniques have been developed to locate the source 77 of this signal (e.g. Ripepe and Marchetti, 2002; Garces et al., 2003; Johnson, 2005; Matoza et al., 78 2007; Jones et al., 2008). At multi-vent systems, as Stromboli (Ripepe and Marchetti, 2002), 79 Kilauea (Garces et al., 2003) and Mt. Etna (Cannata et al., 2009a,b), methods based on the 80 comparison of the infrasonic signals by cross correlation or semblance functions have enabled to 81 monitoring and discriminating the explosive activity of distinct craters.

Recently, joint analysis of seismic, infrasonic and thermal signals has proved very useful to 82 83 investigate explosive processes and distinguish the different eruptive styles and dynamics in various 84 volcanoes, such as Stromboli (Ripepe et al., 2002), Santiaguito (Sahetapy-Engel et al., 2008), 85 Villarica and Fuego (Marchetti et al., 2009). Moreover, recent multiparametric approaches, based 86 on the investigation of infrasound, several different types of seismic signals, such as earthquakes 87 and seismo-volcanic signals, ground deformation and so on, have allowed tracking the evolution of 88 activity in both deep and shallow parts of volcanoes (e.g. Sherrod et al., 2008; Di Grazia et al., 89 2009; Peltier et al., 2009).

90 for several years, the surveillance of Mt. Etna volcano (Italy) has been performed by using 91 permanent seismic, GPS, tilt and video camera networks. However, information provided by these 92 networks is sometimes insufficient to characterise well and locate very shallow phenomena such as 93 explosive activity episodes, especially when the visibility of the volcano summit is poor. In the light 94 of this, the staff of Istituto Nazionale di Geofisica e Vulcanologia (INGV) section of Catania has 95 recently started recording and studying the infrasound signal, strictly related to the volcano 96 shallowest dynamics, with the aim of integrating the information provided by the aforementioned 97 networks. During the second half of the 20th century, Mt. Etna was characterized by an unusually 98 high level of eruptive activity, with a clear increase in effusive rates and in the frequency of summit

99 and flank eruptions observed in the last decades (Behncke and Neri, 2003). A remarkable increase 100 in the frequency of short-lived, but violent eruptive episodes at the summit craters has also been 101 observed. Between 1900 and 1970 about 30 paroxysmal eruptive episodes occurred at the summit 102 craters, while there have been more than 180 since then (Behncke and Neri, 2003). The location and 103 characterization of the source of the infrasonic activity are of great importance for the monitoring of 104 the explosive activity of the volcano. The first infrasound investigations at Mt. Etna were performed 105 by temporary experiments (e.g. Ripepe et al., 2001b; Gresta et al., 2004). Since 2006 a permanent 106 infrasound network has been deployed and has allowed to continuously record infrasounds and 107 investigate their link with volcanic activity (Cannata et al., 2009a,b; Di Grazia et al., 2009). The 108 summit area of Mt. Etna is currently characterized by four active craters: Voragine, Bocca Nuova, 109 South-East Crater and North-East Crater (hereafter referred to as VOR, BN, SEC and NEC, 110 respectively; see Fig. 1). These craters are characterized by persistent activity that can be of different and sometimes coexistent types: degassing, lava filling or collapses, low rate lava 111 112 emissions, phreatic, phreato-magmatic or strombolian explosions, and lava fountains (Cannata et al., 113 2008). Some recent studies have evidenced how the infrasonic signal at Mt. Etna is generally 114 composed of amplitude transients (named infrasonic events), characterised by short duration (from 115 1 to over 10 s), impulsive compression onsets and peaked spectra with most of energy in the frequency range 1-5 Hz (Fig. 2; Gresta et al., 2004; Cannata et al., 2009a,b). Similar features are 116 117 also observed at several volcanoes, even characterised by different volcanic activity, such as: 118 Stromboli (Ripepe et al., 1996), Klyuchevskoj (Firstov and Kravchenko, 1996), Sangay (Johnson 119 and Lees, 2000), Karymsky (Johnson and Lees, 2000), Erebus (Rowe et al., 2000), Arenal (Hagerty 120 et al., 2000), Tungurahua (Ruiz et al., 2006).

In this paper, we illustrate the architecture of the infrasound monitoring system operating at Mt. Etna, and details of the implemented procedures are also reported. In particular, the techniques of detection and characterization of "infrasonic events", source location and modelling will be described. We will highlight the different features of the events as well as show the importance of the information that the waveform modelling can provide to understand the explosive eruption dynamics.

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128 2. Infrasound monitoring system

Infrasound at Mt. Etna has been routinely monitored from September 2006. The permanent infrasound network of Mt. Etna, run by INGV, Section of Catania, comprises five stations, located at distances ranging between 1.5 and 7 km from the centre of the summit area (**Fig. 1**). The whole system may be described by the following parts: i) data acquisition, ii) event detection, iii) event characterization, iv) source location and v) modelling (Fig. 3). The steps i-iv) are designed for a
real-time application, whereas the step v) for near real-time or off-line analysis.

The most active vents during 2007-2008 were SEC and NEC, mainly characterised by explosive and degassing activity, respectively. Moreover, an eruptive fissure (EF in Fig. 1) opened on May 13, 2008, in the upper part of the Valle del Bove and was characterised by both effusive and explosive

137 2008, in the upper part of the Valle del Bove and was characterised by both effusive and explosive138 activity, ended on July 6, 2009.

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140 2.1 Data acquisition

The infrasonic sensors consist of a Monacor condenser microphone MC-2005, with a sensitivity of 80 mV/Pa in the 1–20 Hz infrasonic band. The infrasonic signals are transmitted in real-time by radio link to the data acquisition centre in Catania where they are acquired at a sampling rate of 100 Hz.

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146 2.2 Event detection

147 Once the infrasound signal is recorded, the signal portions of interest, which are the infrasonic 148 events, have to be extracted. A reference station is chosen, according to the best signal to noise ratio. 149 At Mt. Etna we use EBEL as reference station. Therefore, the STA/LTA (short time average/long time average) method is applied, that evaluates the ratio of short- to long-term energy density. The 150 151 optimal window lengths of STA and LTA depend on the frequency content of the investigated 152 signal (e.g. Withers et al., 1998). This method is used both to pick the onset of the events and to 153 count them. The picking allows performing the location analysis (see section 2.4). The rate of 154 occurrence of infrasonic events is useful for monitoring explosive activity. In fact, the occurrence 155 rate of the events increases during the explosive activity (see Fig. 4). The efficiency of 156 videocameras and thermal sensors, that visually detect changes in explosive activity (Bertagnini et 157 al., 1999; Harris et al., 1997), is strongly reduced (or inhibited) if there are clouds, fog or gas 158 plumes. Thus the detection and characterization of explosive activity by infrasounds is very useful 159 especially when the visibility of the volcano summit is poor (e.g. Cannata et al., 2009a).

160 Nevertheless, it is worth noting that infrasonic events occurring during lava fountains are not 161 detectable. The very high occurrence rate of events during the paroxysmal stages gives rise to an 162 almost continuous signal (the so-called infrasonic tremor), preventing the detection of single events. 163 On the other hand, also the events occurring during periods characterised by strong wind are not 164 detectable because of the high noise.

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166 2.3 Event characterization

Recent studies performed at Mt. Etna have allowed recognising SEC and NEC as the most active 167 168 summit craters from an infrasonic point of view (Cannata et al., 2009a,b). During 2007-2008 the 169 former was characterised by both degassing and explosive activity (strombolian activity and lava 170 fountaining), while the latter mainly by degassing. According to Cannata et al. (2009a,b) these craters generate infrasound signals with different spectral features and duration: "NEC events", 171 172 lasting up to 10 seconds and characterised by dominant frequency generally lower than 2.5 Hz, are 173 related to the degassing activity of NEC and are recorded almost continuously (Fig. 2a); "SEC 174 events", with duration of about 2 seconds, dominant frequency mainly higher than 2.5 Hz and higher peak-to-peak amplitude than the NEC events, are recorded during explosive activity at SEC 175 176 (Fig. 2b). Moreover, during the 2008-2009 eruption a third infrasound source, coinciding with the 177 lowermost tip of the eruptive fissure, was active. During periods with explosive activity, this source 178 generated signals, called "EF events", with features similar to the SEC events (Fig. 2c).

179 On this basis and according to Cannata et al. (2009b), a simple spectral analysis of the infrasonic 180 events recorded at a single station, together with the amplitude estimation, can give preliminary 181 information on the ongoing volcanic activity and active craters. In particular, spectral and amplitude 182 variations over time of such infrasound signals can be a good indicator of changes in the volcanic 183 activity. Therefore, the third step of the automatic monitoring system consists of extracting spectral 184 features and the peak-to-peak amplitudes from the waveforms of the detected events (Fig. 3). As 185 shown in Cannata et al. (2009b), Sompi method (Kumagai, 2006 and reference therein) is a useful 186 algorithm to calculate the dominant frequency and the quality factor of the events. Similarly to the 187 detecting step, the infrasound characterization is carried out on the signal recorded by EBEL, 188 considered as reference station.

189 In Fig. 5 the time variation of peak-to-peak amplitude, frequency and quality factor values of events, 190 recorded during January-June 2008, is reported, together with the source location and a scheme 191 summarising the volcanic activity. There are strict relationships between variations of the 192 infrasound event features and changes in the eruptive activity (Fig. 5). For example the explosive 193 activity at SEC, taking place on February 12, 2008, and mainly consisting in ash emission (Corsaro, 194 2008), was accompanied by increases in both amplitudes and frequency peak values. Similar 195 changes in the infrasound activity occurred on May 13, 2008, at the onset of the eruption at the 196 eruptive fissure opened on the same day in the upper part of the Valle del Bove (Di Grazia et al., 197 2009).

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199 2.4 Source location

200 Unlike the seismic source location, whose epicentral location resolution is seldom better than 100 m, 201 the infrasonic location can be as good as 3 m for a well-positioned array (Johnson, 2005). This 202 difference is due to the much lower propagation velocity of the infrasonic waves (roughly 340 m/s) 203 than the seismic ones (generally >1000 m/s).

Different techniques have been developed to locate the infrasound sources (e.g. Ripepe and Marchetti, 2002; Garces et al., 2003; Johnson, 2005; Matoza et al., 2007; Jones et al., 2008). At Mt. Etna, the location is performed by using the semblance method (Neidell and Taner, 1971), which is also followed to locate long period (LP) and very long period (VLP) events at the same volcano (e.g. Patanè et al., 2008; Cannata et al., 2009c). This method is based on the semblance function that is a measure of the similarity of multichannel data. Considering traces U acquired by a certain number of sensors N, the semblance is defined as (Neidell and Taner, 1971):

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212
$$S_{0} = \frac{\sum_{j=1}^{M} \left(\sum_{i=1}^{N} U_{i}(\tau_{i} + j\Delta t)\right)^{2}}{N \sum_{j=1}^{M} \sum_{i=1}^{N} U_{i}(\tau_{i} + j\Delta t)^{2}}$$
(1)

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214 where Δt is the sampling interval, τ_i is the origin time of the window sampling the *i*-th trace, $U_i(\tau_i)$ 215 $+i\Delta t$ is the *i*-th time sample of the trace *i*-th U, and M represents the number of samples in the 216 window. S_0 is a number between 0 and 1. The value 1 is only reached when the signals are identical, 217 not only in waveform but also in amplitude. If the traces are normalized, and then the semblance 218 values only depend on the shape of the signals, it can be demonstrated that the definition of 219 semblance is equivalent to an averaging of the correlation coefficients of all the possible trace pairs 220 (Almendros and Chouet, 2003). The semblance method consists in finding a set of arrival times (τ_i , *i* 221 = 1, ..., N), that yields a maximum semblance for the N-channel data. The procedure is composed of 222 several steps. First of all, a broad enough region of interest has to be determined to include the 223 actual source. Since the vent radiating infrasound can be considered a source point located on the 224 topographical surface, this region can be defined by bi-dimensional grid of assumed source 225 positions coinciding with the topography. A start time t_s is fixed as the time of first arrival at a 226 reference station (generally chosen on the basis of the highest signal to noise ratio) by visual 227 inspection or triggering algorithm (see section 2.2). The source is assumed to be in each node of the 228 grid, and for each node the origin time t_o is calculated, assuming a certain value of propagation 229 velocity of the infrasonic waves v (generally considered equal to 340 m/s; Lighthill, 1978), as 230 follows:

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232 233 $t_o = t_s - r/v \tag{2}$

where *r* is the distance between the reference station and the node of the grid assumed as source location. Successively, the theoretical travel times are calculated at all the sensors t_i (i = 1,..., N, number of stations):

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$$t_i = r_i / v \tag{3}$$

240 where r_i is the distance between the station *i*-th and the node of the grid assumed as source location. 241 Then, by these theoretical travel times and the origin time, signals at the different stations are 242 delayed and compared by the semblance function. Signal windows containing between one and two 243 cycles of the dominant period are generally used to calculate the semblance value because they 244 provide the best performance (Almendros and Chouet, 2003). Therefore, the semblance function is 245 assumed representative of the probability that a node has to be the source location. The source is 246 finally located in the node where the delayed signals show the largest semblance value. This method 247 is suited to locate infrasound events recorded by sensors arranged in sparse networks as well as with 248 array configurations.

Since the location procedure is to be performed in near real-time, the computational time has to be shorter than the analysed period. Therefore, if the event rate is high and then not all the detected events can be located, only the "best" transients must be analysed. The choice is based on both the signal to noise ratio at all the available stations and the peak-to-peak amplitude of the events at the reference station. Finally, the number of events that can be located depends on the used space grid and on the available computational power.

255 We locate the infrasonic source by the permanent network of Fig. 1 by using a grid of 2.5×2.5 km, 256 with spacing of 10 m. For example during 2007-2008 three different sources, coinciding with NEC, 257 SEC and EF have been found. In Fig. 6 three examples of semblance distribution and infrasound traces are reported. It is worth noting that, as infrasonic signals are sinusoidal, semblance space 258 259 distribution is roughly sinusoidal too. The wavelength of such sinusoidal semblance function 260 strictly depends on the wavelength of the infrasonic event. The higher the frequency of the 261 infrasound event, the shorter the wavelength of the semblance function. Fig. 5 and 7 show the 262 source locations of some events detected during January-June 2008.

In order to estimate the location error, the method described in Almendros and Chouet (2003) and applied on VLP events can be followed by simply replacing the seismic signals with the infrasound ones and considering a 2D rather than a 3D space distribution of semblance values. Firstly, we

calculate the signal to noise ratio (hereafter called SNR) for the event by the following equation 266 267 (derived from the equation 15 in Almendros and Chouet, 2003):

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$$SNR = \frac{1}{N} \sum_{i=1}^{N} \frac{\sigma_i^s - \sigma_i^n}{\sigma_i^n}$$
(4)

 $S_{th} = (1 - \delta S) S_{max}$

270

where N is the number of stations, σ_i^s and σ_i^n are RMS (root mean square) of the infrasound signal 271 272 windows at the *i*-th station containing the event and only noise preceding the event, respectively. σ_i^s and σ_i^n are obtained taking into account 2-second-long and 10-second-long windows, 273 274 respectively. Successively, in order to define an error region, a semblance threshold, indicated by 275 S_{th} , is fixed for each event such that:

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279 where S_{max} is the maximum value of semblance, reached in the whole grid, and δS , depending on 280 SNR value, is given by (equation 16 in Almendros and Chouet, 2003):

- 281
- $\delta S = 0.062 \ SNR^{-1.54}$ 282 (6)
- 283

Finally, the extension of the region with semblance value higher than S_{th} is calculated in the two 284 space directions (longitude and latitude) and the corresponding errors are estimated (see error bar in 285 286 Fig. 5e,f).

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288 2.5 Source modelling

289 Following the sketch of Fig. 3, once the waveforms of infrasonic events have been extracted and 290 characterized, and the source located, the source mechanism can be investigated. Since this task is 291 not critical from the monitoring point of view, it can be performed in near real-time or even off-line. 292 As aforementioned the source process of infrasound in volcanic areas is still open to debate. 293 However, among the infrasound source models (mentioned in section 1), three have been well 294 developed and applied on observed data: 1) the resonating conduit (Buckingham and Garces, 1996; 295 Garces and McNutt, 1997; Hagerty et al., 2000); 2) the Strombolian bubble vibration (Vergniolle 296 and Brandeis, 1994, 1996; Vergniolle et al., 1996, 2004; Vergniolle and Ripepe, 2008); 3) the local 297 coalescence within a magma foam (Vergniolle and Caplan-Auerbach, 2004).

(5)

The first model is based on a pipe-like conduit, that, if affected by trigger mechanisms such as explosive processes, can resonate generating seismic and infrasonic signals, whose waveforms strictly depend on the geometrical-chemical-physical features and specific boundary conditions of the conduit (see **Appendix A1**). The acoustic signal, thus generated, consists in gradually decaying sinusoids with a fundamental mode and harmonics. A candidate example recorded at Mt. Etna is shown in **Fig. 2a**.

- 304 On the other hand, in the Strombolian bubble vibration model the infrasound is produced by the 305 vibration of a thin layer of magma, pushed by a variation of pressure inside a shallow metric bubble 306 prior to bursting (see Appendix A2). The bubble shape is approximated by a hemispherical head 307 and a cylindrical tail, as expected in slug-flow (Fig. 8a). The propagation of pressure waves is 308 radial and the waveform of the resulting infrasound signal is composed of a first energetic part 309 roughly composed of one cycle – one cycle and a half (corresponding to the bubble vibration), 310 followed by a second part with various weaker oscillations sometimes with higher frequency 311 (radiated during and after the bubble bursting) (e.g. Fig. 2b,c).
- 312 Finally, according to the Helmholtz resonator model the source of infrasound signals is the 313 coalescence of the very shallow part of a foam building up into the conduit, which produces large 314 gas bubbles (see Appendix A3). In this case the gas escapes through a tiny upper hole. The shape of 315 the bubble is similar to the Strombolian bubble model with the exception of a tiny upper hole (Fig. 316 **8b**). The resulting acoustic signal consists in gradually decaying monochromatic sinusoids and can 317 be modelled by a Helmholtz resonator (Vergniolle and Caplan-Auerbach, 2004). Also this source 318 mechanism, like the resonating conduit model, could give rise to harmonics in the signal. In fact the 319 event in Fig. 2a can be interpreted as generated either by a resonating conduit or by a Helmholtz 320 resonator.
- The infrasound events at Mt. Etna, recorded during 2007-2008, can be interpreted as generated bythe aforementioned source models.

323 The choice of the model to apply strictly depends on the waveform of the investigated signal. If the 324 infrasound signal is composed of one cycle – one cycle and a half, followed by a second part with 325 weaker oscillations (Fig. 2b,c), the Strombolian bubble model should be applied. On the other hand, 326 if the infrasonic event is characterized by gradually decaying sinusoids with a fundamental mode 327 and harmonics or with monochromatic spectral content (Fig. 2a), two different models can be 328 applied: the resonating conduit and the Helmholtz resonator. The method to choose the model has 329 still to be defined. We suggest using the damping features of the oscillations composing the 330 infrasonic events as a quantitative parameter indicating the source type. For example, slow damping, 331 that means many cycles, would be indicative either of a resonating conduit or of a Helmholtz

resonator. Conversely, quick damping, and then one or two cycles, could be due to a Strombolian bubble model. Therefore, the quality factor values, computed in the iii) step and describing the damping features of the infrasound waveforms, can be chosen as a model discriminator. If the quality factor is less than a certain threshold the Strombolian bubble model will be applied, otherwise the Helmholtz resonator or the resonating conduit model will be considered.

337 In the resonating conduit model, if there is information about the fluid filling the conduit, the length 338 of the resonating portion of the conduit can be calculated by using the equation (A1). For example 339 assuming that the event, shown in Fig. 2a and generated by the NEC, is caused by a resonant 340 conduit and that the fluid filling the conduit is gas, we infer that the length of the resonating portion 341 of the conduit roughly ranges between 150 and 320 m, according to the air/gas conditions. However, 342 since the ranges of variability of fluid features are very wide and the conduit resonance model 343 oversimplified, the variations over time of the model parameters are to be taken into account rather 344 than the exact values. In the other two models, there are three unknown source parameters: radius 345 of the bubble/hole (Strombolian bubble and Helmholtz resonator models, respectively), length of the bubble and initial overpressure (R or R_{hole} , L and ΔP , respectively). It is worth noting that the 346 347 Helmholtz resonator model requires that the radius of the bubble, which can be inferred by the vent 348 radius, is known. In order to constrain these unknowns, the estimation of the similarity between synthetic and observed infrasound signals is required. The synthetic signals can be calculated by 349 350 using the equations (A12) and (A13) for the Strombolian bubble and Helmholtz resonator models, 351 respectively. The comparison is carried out by the following equation:

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$$E = \frac{\sum_{i=1}^{M} (U_{obs\ i} - U_{syn\ i})^2}{M}$$
(7)

354

353

where *E* is the misfit between observed and synthetic signals, called prediction error, U_{obs} and U_{syn} are the observed and synthetic signals, and *M* is their number of samples.

357 Model identification consists of two tasks. The first task is a structural identification of the 358 equations and the second one is an estimation of the model parameters. The optimization method 359 chosen to look for the best fit between observed and synthetic signals is the Genetic Algorithm 360 (GA) that applies Darwin's evolutionary theory to general optimization problems. This kind of 361 algorithm represents a highly idealized model of a natural process and as such can be legitimately 362 viewed as a very high level of abstraction. Biological strategies of behaviour adaptation and 363 synthesis are used to enhance the probability of survival and propagation during their evolution 364 (Ghoshray et al., 1995). This method is based on individuals, grouped into populations that

365 represent the parameters searched in the estimation process. The GA method can be reassumed by 366 the following steps: i) an initial set of candidate solutions, called initial population, are generated; 367 ii) the evaluation of the candidate solutions is performed according to some fitness criteria; iii) on 368 the basis of the performed evaluation some candidate solutions are kept and the others are 369 discarded; iv) finally, certain variants are produced by using some kinds of operator on the 370 surviving candidate solutions (Mitchell, 1996). The identification problem can be formulated as an 371 optimization task whose aim is to find a set of parameters that minimize the prediction error 372 between measured data and the model output (Fig. 9). The inversion task can be considered 373 completed if the prediction error is lower than a fixed threshold or if a time-out condition occurs. In 374 the former case the source parameters are stored in a database, while in the latter the event is 375 discarded (Fig. 9).

376 Following Vergniolle and Caplan-Auerbach (2004), when the Helmholtz resonator model is applied, 377 the fit between observed and synthetic signals should be optimised at the beginning of the 378 oscillations in case there are other sources of damping not considered in the model. Examples of 379 waveform inversion are reported in Fig. 10. By the waveform inversion of the event of Fig. 10a, 380 due to Strombolian bubble vibration, the obtained radius and length of the bubble were equal to 4 m 381 and 6 m, respectively, with an initial overpressure of 0.13 MPa. On the other hand, assuming that 382 the event reported in Fig. 10b was generated by the Helmholtz resonator and fixing the bubble 383 radius to 6 m, the obtained radius of the hole and length of the bubble would be equal to 0.5 m and 384 40 m, respectively, with an initial overpressure of 0.02 MPa. Similarly to the resonating conduit 385 model, also the changes over time of the calculated source parameters of Helmholtz resonator and 386 Strombolian bubble models can be important information to track the evolution of the volcanic 387 activity. Vergniolle and Ripepe (2008), studying the infrasound signals during the explosive activity 388 occurring at Mt. Etna on July 12, 2001, noted bubble length increases at the transition towards lava 389 fountain.

390

391 *3. Conclusions*

During recent decades global infrasound monitoring systems have been developed to provide notification and information about energetic events taking place in the atmosphere, such as bolides, rocket launches, explosions and lightning (e.g. Hedlin et al., 2002; Arrowsmith et al., 2008; Assink et al., 2008). These systems have also proved very useful to remotely detect volcanic eruptions (e.g. Evers and Haak, 2005; Guilbert et al., 2005). However, detailed and precise data regarding location of the active vents and infrasound source mechanism require dedicated infrasonic observations close to volcanoes (e.g. Ripepe and Marchetti, 2002; Vergniolle and Ripepe, 2008; Cannata et al.,

399 2009a,b). This information is very useful for the monitoring of explosive activity (e.g. Ripepe et al., 400 2001a; Johnson, 2005; Cannata et al., 2009a) and to shed a light on explosive eruption dynamics. 401 To this end, we have developed an infrasound monitoring system at Mt. Etna (Fig. 3). First of all, it 402 allows extracting the signal portions of interest and to investigate time variations of the occurrence 403 rate of the infrasonic events. Then, preliminary information on the ongoing volcanic activity and 404 active craters can be provided by the investigation of the infrasonic waveforms in terms of peak-to-405 peak amplitude and spectral features. Successively, an effective location method, based on the 406 similarity of multi-channel data, enables pinpointing the vent generating acoustic waves. Finally, in 407 order to investigate the source mechanisms and the related parameters the source modelling is 408 performed. Unlike the previous steps of this system that are designed as real-time applications, the 409 source modelling, considered a non-critical task from a monitoring point of view, is developed as 410 near real-time or even off-line analysis. Three infrasound source models are explained in detail 411 highlighting the main features of the generated infrasound signals as well as the main information 412 provided. In particular, by constraining bubble radius, length and overpressure, the bubble volume 413 can be computed for both Strombolian bubble and Helmholtz resonator models. Then, the gas 414 volume expelled in the atmosphere can be deduced from the bubble volume at the vent by using the 415 overpressure values and the perfect gas law (Vergniolle and Ripepe, 2008). By summing the gas 416 volume obtained for all the infrasonic events, the degassing rate of the volcano can also be 417 estimated (Cannata et al., 2009b). However, since gas at volcanoes is also emitted without 418 detectable infrasound radiation (Vergniolle and Ripepe, 2008), the estimated gas flux is to be 419 considered an underestimation. Finally, the bubble radius can be considered as an estimation of the 420 vent radius, while the overpressure as a useful parameter to estimate the "strength of an eruption" 421 (Vergniolle et al., 2004).

Summing up, infrasound signal analyses enable us to obtain useful information on the volcanic activity, on its location and on the characteristics of the acoustic source. The described automatic monitoring system represents a step forward in our ability to monitor and understand volcanic phenomena. The next step will be the development of an integrated multiparametric system. This should be able to collect information provided by automatically analysing not only infrasound events but also seismo-volcanic signals, such as volcanic tremor, LP and VLP signals.

428

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433

434 *References*

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584 *Figure captions*

- **Fig. 1.** Map of the summit area of Mt. Etna with the location of the five infrasonic sensors (triangles), composing the permanent infrasound network, and the eruptive fissure opened on May 13, 2008 (black line "EF"). The digital elevation model in the upper left corner shows the distribution of the four summit craters (VOR=Voragine, BN=Bocca Nuova, SEC=South-East Crater, NEC=North-East Crater).
- 590 Fig. 2. Infrasonic events recorded by EBEL station and corresponding spectra. The grey areas in
- 591 (a,b,c) show the signal windows used to calculate the spectra. In particular, the events (a), (b) and
- 592 (c) were generated at NEC, SEC and at the lowermost tip of EF, respectively.
- 593 **Fig. 3.** Scheme of the infrasound monitoring system (see text for details).
- Fig. 4. Histogram showing the daily number of infrasonic events from August 1 to September 10,
 2007, detected at EBEL station. The light grey rectangle indicates the period characterised by
 explosive activity at SEC.
- **Fig. 5. (a)** Scheme of the volcanic activity of Mt. Etna at SEC, NEC and EF during January-June 2008 (1: explosive activity; 2: effusive activity; 3: degassing and/or deep explosive activity with no ash emission). (b) Peak-to-peak amplitude, (c) frequency, (d) quality factor and (e,f) source location of about 450 infrasonic events recorded during January-June 2008. The error bar in (e,f), calculated by using the method explained in section 2.4, was multiplied by a factor of 5 to become more visible. The volcanological information in (a) was provided by the internal reports of INGV (*http://www.ct.ingv.it/Etna2007/Main.htm*).
- **Fig. 6.** (a,c,e) Examples of space distribution of semblance values calculated by locating three infrasonic events at Mt. Etna and (b,d,f) corresponding infrasonic signals at four different stations shifted by the time delay that allows obtaining the maximum semblance. The red squares and circles in (a,c,e) indicate four station sites and the nodes with the maximum semblance value, respectively. The black lines in (a,c,e) are the altitude contour lines from 3 to 3.3 km a.s.l..
- **Fig. 7.** Digital elevation model of Mt. Etna summit with the source locations of the infrasound events, indicated by red circles, occurring during January-June 2008. The radii of the circles are proportional to the number of the locations in each grid node (see white circles and numbers reported in the lower right corner of the map). The sites of four infrasonic sensors are indicated with triangles.
- Fig. 8. (a) Sketch of a vibrating bubble at the top of a magma column. *R*, *L* and *h* are, respectively, bubble radius and length, and thickness of the magma layer above the bubble (redrawn from Vergniolle et al., 2004). (b) The Helmholtz resonator is a rigid cavity of radius *R* and length *L*. Gas can escape through a small hole of radius R_{hole} with a velocity large enough to produce sound waves.

- h is the thickness of magma layer above bubble (redrawn from Vergniolle and Caplan-Auerbach,
- 619 2004).
- 620 Fig. 9. The principle scheme for parameter estimation by Genetic Algorithm (GA). T_{comp} and T_{out}
- 621 indicate the computational time and the fixed time-out, respectively.
- 622 Fig. 10. Comparison between the observed waveforms of infrasonic events recorded by EBEL
- 623 station (red) and the synthetic ones (blue) considering Strombolian bubble vibration (a) and
- 624 Helmholtz resonator (b) models. In (b) the bubble radius was fixed to 6 m. The observed waveform
- 625 in (b) was low-pass filtered below 1.5 Hz, in order to remove harmonics.

626 Appendix A

Three source models of infrasound signals are applied in the proposed system: resonance of fluids
in a conduit (Buckingham and Garces, 1996; Garces and McNutt, 1997; Hagerty et al., 2000),
Strombolian bubble vibration (Vergniolle and Brandeis, 1994, 1996; Vergniolle et al., 1996, 2004;
Vergniolle and Ripepe, 2008) and local coalescence within a foam (Vergniolle and CaplanAuerbach, 2004).

632 A1. Resonating conduit

A pipe-like conduit, if affected by trigger mechanisms such as explosive processes, can resonate 633 634 generating seismic and infrasonic signals. Both these signals are characterized by spectra with a 635 fundamental mode and harmonics. The spectral content depends on conduit geometrical-chemical-636 physical features. The first characteristic influencing the frequency content of the radiated waves is 637 the conduit length (L). The longer the conduit, the lower the frequency content. Moreover, also 638 specific boundary conditions influence the resonating system. In fact, if the conduit is an open-open 639 or closed-closed system has $n\lambda/2$ (λ = wavelength, n = 1, 2, 3, ...) waves as longitudinal resonance 640 modes whereas a system with one open and one closed end has $(2n-1) \lambda/4$ waves. Therefore, open-641 open or closed-closed systems produce signals with spectra characterized by evenly spaced peaks 642 consisting of the fundamental mode, f_0 , and a set of *n* integer harmonics which are multiples of f_0 (f_0 , $fl = 2 f_0, f_2 = 3 f_0, \dots, f_n = n f_0$; on the other hand spectra that have equally spaced peaks and contain 643 only odd harmonics (f_0 , $f_1 = 3f_0$, $f_2 = 5f_0$, ..., $f_n = (2n-1)f_0$) are linked to resonant systems with one 644 645 open and one closed end (De Angelis and McNutt, 2007). Finally, the frequency is also directly 646 related to the wave velocity of the fluid in the conduit (c). The fluid could be gas or bubbly magma with a very wide range of variability of velocity. If air or pure hot gas are considered, their wave 647 648 velocity are equal to 0.34 and 0.704 km/s (Weill et al., 1992), respectively. If we take into account 649 bubbly magma, the wave velocity ranges from 0.3 km/s (Aki et al. 1977) to 2.5 km/s (Murase and 650 McBirney, 1973), according to different flow conditions and magma properties (above all the gas 651 fraction). Considering these prospective fluids filling the conduit, the lower end of the resonating 652 system can consist in the interface between bubbly-magma or gas and non-vesciculated magma. 653 Because of the strong impedance contrast between the source fluid and the underlying non-654 vesciculated magma, this termination acts like a closed termination (De Angelis and McNutt, 2007). 655 The upper end of the conduit can be either open to the atmosphere and act as an open termination, 656 or obstructed by a relatively viscous plug at the vent acting as a closed boundary. In any case, the 657 observation that the conduit is plugged at the vent (e.g. high porosity materials) does not necessarily 658 imply that it is an acoustically closed boundary (Garces and McNutt, 1997).

In the light of these parameters, the fundamental mode of the generated signal is equal to (Hagertyet al., 2000):

661

662

$$f_0 = \frac{c}{2L} \tag{A1}$$

663

664 A2. Strombolian bubble vibration

In the Strombolian bubble vibration model the infrasound is produced by the vibration of a thin layer of magma, pushed by a variation of pressure inside a shallow metric bubble prior bursting. The bubble shape is approximated by a hemispherical head and a cylindrical tail, as expected in slug-flow (**Fig. 8a**). The radius of the bubble *R* varies around its equilibrium radius R_{eq} by (Vergniolle and Brandeis, 1996):

670

671

673 where ε is the dimensionless radius of the bubble. The bubble volume V_g can be calculated by 674 (Vergniolle and Brandeis, 1996):

 $R = R_{eq} \left(1 + \varepsilon \right)$

 $V_{g} = \frac{2\pi R^{3}}{3} + \pi R_{0}^{2}L$

675

676

677

678 where *L* is the bubble length, R_0 is the initial radius. R_{eq} can be obtained by the following adiabatic 679 law (Vergniolle and Brandeis, 1996):

680

681
$$R_{eq} = \left\{ \frac{3R_0^2}{2} \left[\left(\frac{2R_0}{3} + L \right) \left(1 + \frac{\Delta P}{p_{air}} \right)^{\frac{1}{\gamma}} - L \right] \right\}^{\frac{1}{3}}$$
(A4)

682

683 where ΔP is the initial overpressure, p_{air} is the air pressure, γ is the ratio of specific heats. The 684 Strombolian bubble vibration model is based on the general equation for the bubble vibration 685 (Vergniolle and Brandeis, 1996):

686

(A2)

(A3)

687
$$\ddot{\varepsilon} + \left(\frac{12\mu}{\rho_l R_{eq}^2}\right)\dot{\varepsilon} + \frac{p_{air}\left[1 - \left(\frac{V_{eq}}{V_g}\right)^{\gamma}\right]}{\rho_l R_{eq}h}(1+\varepsilon)^2 = 0$$
(A5)

688

where μ is the viscosity, ρ_l is the magma density, V_{eq} is the equilibrium value of the gas volume, *h* is the thickness of the thin upper membrane. It is worth noting that V_g is a function of ε . The first initial condition to be specified is the initial value of the dimensionless radius ε_0 . The second initial condition is the initial radial acceleration $\ddot{\varepsilon}_0$, which depends on the initial force applied to the layer of magma. Assuming that the bubble, at rest at the magma-air interface is suddenly overpressurized by an amount ΔP , this force is directly related to the bubble overpressure. Therefore the initial conditions are (Vergniolle and Brandeis, 1996):

696

697

$$\ddot{\varepsilon}_0 = \frac{\Delta P R_0^2}{\rho_l R_{eq}^3 h_{eq}} \tag{A6}$$

699

698

Ignoring viscous damping and assuming small oscillations our equation has only one physically
 possible solution, which is a simple oscillator. Therefore, on the basis of these assumptions the
 excess pressure in air is expressed as a sinusoidal function:

 $\varepsilon_0 = \frac{R_0}{R_{eq}} - 1$

703

704

$$p_{ac} - p_{air} = -\rho_{air} R_{eq}^3 \frac{A\omega^2}{r} \sin(\omega t + \phi)$$
(A8)

705

706 where the amplitude A, the radian frequency ω and the phase delay φ are:

707

708
$$A = \frac{\Delta P R_0^2}{3 \gamma p_{air} R_{eq}^2} \left(\frac{2 + 3L/R_{eq}}{2}\right)$$
(A9)

709

710
$$\omega = \left[\frac{3\gamma p_{ext}}{\rho_l R_{eq} h_{eq}} \left(\frac{2}{2 + 3L/R_{eq}}\right)\right]^{1/2}$$
(A10)

711

(A7)

713

where p_{ext} is close to the atmospheric pressure p_{air} . In general, the **equation (A5)** has no analytical solution. We solved it by numerical integration (a fourth order Runge-Kutta method). Finally, in order to calculate the excess pressure in air, the following equation is used (Vergniolle and Brandeis, 1996):

 $\phi = -\frac{\pi}{2}$

718

719
$$p_{ac} - p_{air} = \left[2\dot{R}^{2} (t - r/c) + R (t - r/c) \ddot{R} (t - r/c)\right] \frac{\rho_{air} R (t - r/c)}{r}$$
(A12)

720

where *t* is time, *r* is the distance source-sensor and *c* is the speed of sound in air, 340 m/s (Lighthill, 1978).

723 A3. Helmholtz resonator

For a piston emitting sound in a halfspace, acoustic pressure is (Vergniolle and Caplan-Auerbach,2004):

- 726
- 727

$$p_{ac} - p_{air} = \frac{\rho_{air} \ddot{\xi} R_{hole}^2}{2\pi r}$$
(A13)

728

729 where ξ is the displacement of air, R_{hole} is the hole radius. If the dimensions of the resonator are 730 small compared to the wavelength, the behavior of an element of air in the neck of an undriven 731 Helmholtz resonator is (Vergniolle and Caplan-Auerbach, 2004):

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733

 $m_{helm}\ddot{\xi} + R_{helm}\dot{\xi} + s_{helm}\xi = 0 \tag{A14}$

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where m_{helm} , R_{helm} and s_{helm} are the mass, the resistance coefficient leading to damping and the stiffness coefficient of the oscillator, respectively (Vergniolle and Caplan-Auerbach, 2004):

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738

$$m_{helm} = \rho_{air} \varepsilon S_{hole} \tag{A15}$$

739
$$R_{helm} = \frac{\rho_{air} \omega^2 S_{hole}^2}{2\pi c}$$
(A16)

740
$$s_{helm} = \frac{\rho_{air} c^2 S_{hole}^2}{V_{helm}}$$
(A17)

24

(A11)

741 742 where ρ_{air} is the air density, S_{hole} is the hole area, V_{helm} is the volume of the resonator, ε is the effective length of the orifice (calculated as $\varepsilon = 8R_{hole}/3\pi$; Temkin, 1981). Shole and Vhelm are 743 744 calculated as follows (Vergniolle and Caplan-Auerbach, 2004): 745 $S_{hole} = \pi R_{hole}^2$ 746 (A18) $V_{helm} = \pi R^2 L + 2\pi R^3 / 3$ 747 (A19) 748 where R and L are radius and length of the bubble, respectively. The air acceleration $\ddot{\xi}$ can be 749 750 calculated by (Vergniolle and Caplan-Auerbach, 2004): 751 $\ddot{\xi} = -\omega^2 A \exp(-t/\tau) \cos(\omega t + \varphi)$ 752 (A20) 753 754 where ω and τ , radian frequency and relaxation time, respectively, are: 755 $\omega = c (S_{hole} / \varepsilon V_{helm})^{1/2}$ 756 (A21) $\tau = \frac{2m_{helm}}{R_{helm}}$ 757 (A22) 758 and, finally, A and φ are arbitrary constants for a damped harmonic solution and are calculated as 759 760 follows: 761 $A = -\frac{\Delta P}{\rho_{air} \varepsilon \omega^2 \cos \varphi}$ 762 (A23) $\varphi = \arctan\left[\frac{-1}{\omega\tau}\right]$ 763 (A24) 764 765 These equations of the Helmholtz resonator are not able to model harmonics, but only the

fundamental mode of vibration.











Fig. 3





776777 Fig. 5







781 Fig. 7





784785 Fig. 9





Fig. 10