

1 **Static stress drop associated with brittle slip events on exhumed**  
2 **faults**

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8

9 **Abstract**

10 We estimate the static stress drop on small exhumed strike-slip faults in the Lake Edison  
11 granodiorite of the central Sierra Nevada (California). The sub-vertical strike-slip faults were  
12 exhumed from 4-15 km depth, and were chosen because they are exposed in outcrop along their  
13 entire tip-to-tip lengths of 8-12 m. Slip nucleated on joints and accumulated by ductile shearing  
14 (forming quartz mylonites from early quartz vein filling in joints) and successive brittle faulting  
15 (forming epidote-bearing cataclasites). The occurrence of thin, < 1 mm wide, pseudotachylytes  
16 along some small faults throughout the study area suggests that some portion of the brittle slip  
17 was seismic. We suggest that the contribution of seismic slip to the total slip along the studied  
18 cataclasite-bearing small faults may be estimated by the length of epidote-filled, rhombohedral  
19 dilatational jogs (rhombochasms) distributed semi-periodically along the length of the faults.

20 The interpretation that slip recorded by rhombochasms occurred in single events is based  
21 on evidence that: 1) epidote crystals are randomly oriented and undeformed within the  
22 rhombochasm; and 2) cataclasite structure in principal slip zones does not include clasts of  
23 previous cataclasite. We thereby constrain *both* the rupture length and slip. Based on these  
24 measurements, we calculate stress drops ranging over 90-250 MPa, i.e., one to two orders of  
25 magnitude larger than typical seismological estimates for earthquakes, but similar in magnitude  
26 to recent observations of small (< M2) earthquakes from the San Andreas Fault Observatory at  
27 Depth (SAFOD). These inferred seismic ruptures occurred along small, deep-seated faults, and,

28 given the calculated stress drops and observations that brittle faults exploited joints sealed by  
29 quartz-bearing mylonite, we conclude that these were “strong” faults.

## 30 ***Introduction***

### 31 **Statement of the problem**

32 The static shear stress drop associated with earthquakes is a fundamental quantity that  
33 scales linearly with slip, particle velocity and acceleration [*Scholz*, 2002], and is typically  
34 calculated using a characteristic source dimension (e.g., fault radius for a circular fault), average  
35 slip, and the estimated shear modulus of the host rock. The stress drop ( $\Delta\sigma$ ) is defined as the  
36 difference between  $\sigma_{zx}^r$ , the peak shear stress in the remote field, and  $\sigma_{zx}^c$ , the shear stress  
37 resolved on the fault after slip, where slip is in the  $x$  direction and  $z$  is normal to the fault.  
38 *Kanamori* [1994] distinguishes two end-member characteristic faults based on the stress  $\sigma_{zx}^r$  at  
39 which they fail: (1) *weak* faults for which  $\sigma_{zx}^r \approx 20\text{MPa}$ ; and (2) *strong* faults for which  
40  $\sigma_{zx}^r \approx 200\text{MPa}$ .

41 Stress drops measured in triaxial experiments of shear failure of intact rocks are typically  
42 on the order of hundreds of MPa to GPa [e.g., *Brace and Byerlee*, 1966]. Triaxial experiments  
43 with sawcut samples have yielded slightly smaller stress drops in the range from tens to hundreds  
44 of MPa [*Brace and Byerlee*, 1966]. These results indicate that, at least in the laboratory, faults in  
45 geomaterials are strong. In both types of experiments, the shear stress drop was a fraction of the  
46 peak yield stress; however stress drops on the order of hundreds of MPa in the upper crust would  
47 constitute a near total stress drop ( $\sigma_{zx}^c \approx 0$ ). Laboratory stress drops stand in stark contrast to  
48 seismologically observed stress drops, typically on the order of 0.1-100 MPa, a fraction of the  
49 shear strength of intact rock at seismogenic depths [e.g., *Kanamori*, 1994; *Abercrombie*, 1995].

50           The earthquake rupture process has long been considered to follow a self-similar scaling  
51 relationship, as stress drop has been observed to be independent of magnitude [Aki, 1967];  
52 however this scale independence has been observed to break down for earthquakes below  $M_L \sim 3$ ,  
53 as small earthquakes appear to be associated with smaller stress drops [e.g., Archuleta *et al.*,  
54 1982; Hanks, 1982]. Minimum source dimensions on the order of 100 m have been inferred for  
55 earthquakes, offering one solution for the apparent deviation in scaling [Archuleta *et al.*, 1982,  
56 Guo *et al.*, 1992]. If 100 m is truly a minimum source dimension (presumably controlled by  
57 geometry or nucleation length), then smaller observed moments must be attributed to a lower  
58 values of average fault slip leading to smaller calculated stress drops. More recently, however,  
59 this breakdown in scaling for small earthquakes has been established to be an artifact of severe  
60 near surface attenuation of high frequency waves [e.g., Hanks, 1982]. Attenuation of high  
61 frequency waves artificially distorts the amplitude spectrum, making interpretation of the corner  
62 frequency difficult [e.g., Hanks, 1982; Hough and Anderson, 1988; Abercrombie, 1995; Prejean  
63 and Ellsworth, 2001].

64           Researchers have attempted to circumvent the problem of small source resolution by  
65 examining data recorded by seismometers closer to the source, in deep boreholes [Abercrombie,  
66 1995], or in deep South African gold mines [e.g., Richardson and Jordan, 2002]. Recent studies  
67 suggest that using borehole seismometers may not effectively alleviate problems with high  
68 frequency attenuation [Ide and Beroza, 2001; Imanishi and Ellsworth, 2006]. Using a stable  
69 spectral ratio method to analyze microearthquakes from the San Andreas Fault Observatory at  
70 Depth (SAFOD) seismic array in Parkfield, CA, Imanishi and Ellsworth [2006] showed that  
71 there is no scaling breakdown for stress drop or apparent stress and found that half of the  
72 microearthquakes examined had static stress drops greater than 10 MPa.

73           An alternative approach to studying the source of small earthquakes, which effectively  
74 removes any spatial ambiguity introduced by inferring earthquake source dimensions, is to study  
75 the earthquake source by direct examination of ancient earthquake ruptures along faults exhumed  
76 from hypocentral depths. Detailed investigation of faults at the outcrop and microscopic scale  
77 can resolve to high precision the spatial extent and geometry of rupture in two dimensions and  
78 the fault slip. Given these parameters and the shear modulus of the fault host rocks the stress  
79 drop can be calculated with the same elastic dislocation models commonly employed by  
80 earthquake seismologists. Unfortunately, such estimates have been difficult to come by because a  
81 number of factors need to be present in outcrop to successfully estimate the stress drop:

- 82           1) The fault must be exposed along its entire length in order to measure the source  
83           dimension, and the fault must be isolated from nearby faults to lessen the potential for  
84           fault interaction;
- 85           2) Slip must be measured for a single slip event;
- 86           3) Evidence of seismic slip must be present if the slip is interpreted to be related to  
87           ancient earthquakes. For exhumed faults, this means that pseudotachylyte (solidified  
88           friction-induced melts produced during seismic slip; *Sibson, 1975*) must be present  
89           within the slipping zone [*Cowan, 1999*].

90           Complete slip distributions have been measured along the entire length of faults exposed  
91 at the Earth's surface via remote sensing and field mapping [e.g., *Maerten et al., 2001*;  
92 *Manighetti et al., 2001*], and 3D seismic datasets [e.g., *Willemsse, 1997*; *Kattenhorn and Pollard,*  
93 *2001*]. Slip estimates along small exhumed faults most typically consist of sparse (one or two)  
94 measurements of piercing points along the fault representing only the relative particle  
95 displacement at the point of measurement [e.g., *Shipton et al., 2006*]. With few exceptions [e.g.,

96 *Di Toro et al.*, 2005], slip measurements along natural faults almost always represent the total  
97 accumulated slip (representing multiple slip episodes) during the history of fault activity.

98         Here we attempt to use geological observations to calculate the stress drops for slip  
99 events along small exhumed faults by describing deformation associated with single seismic slip  
100 events which can be mapped in two dimensions from one tip of the fault to the other. The results  
101 of these calculations are compared to data from the seismological record. This approach  
102 effectively removes ambiguity about the source dimensions and geometry inherent in the  
103 seismological inverse problem by affording direct observation of the source.

#### 104 ***Bear Creek Faults***

105         Fracturing and faulting has been documented in the Bear Creek drainage, in the southern  
106 half of the Mount Abbot quadrangle in the Sierra Nevada batholith [e.g., *Lockwood and Lydon*,  
107 1975; *Segall and Pollard*, 1983a, 1983b; *Martel et al.*, 1988]. These studies have concentrated  
108 on the Lake Edison Granodiorite (~88 Ma) whose depth of emplacement is estimated at 4-15 km  
109 based on amphibole geobarometry [*Ague and Brimhall*, 1988]. K-Ar ages from muscovite in the  
110 fractures date the Bear Creek faults at 79 Ma, and these faults have been interpreted as having  
111 grown soon after pluton emplacement [*Segall et al.*, 1990].

112         Post-magmatic structures in Bear Creek include nearly vertical joints and faults [e.g.,  
113 *Segall and Pollard*, 1983a, 1983b; *Martel et al.*, 1988]. Initial fracturing produced a single set of  
114 joints (Figure 1I) striking predominantly ENE [*Segall and Pollard*, 1983a; *Martel et al.*, 1988].  
115 Subsequent crystal-plastic shearing localized on the joints, producing quartz (from former quartz  
116 veins filling the joints) and granodiorite mylonites (Figure 1II, A). As pluton cooling progressed,  
117 cataclasis became the dominant deformation mechanism. Brittle slip localized on the boundaries  
118 between tabular quartz mylonites and host granodiorites (Figure 1II-III, B). *Griffith et al.* [2008]

119 showed that some of these brittle slip zones are associated with thin ( $<300\ \mu\text{m}$ ), discontinuous  
120 pseudotachylyte veins (Figure 1II-III, C). The pseudotachylytes are typically found in small  
121 patches in narrow ( $< 200\ \mu\text{m}$ ) slip zones where cataclasite is either poorly developed or  
122 completely absent [Griffith *et al.*, 2008]. This observation suggests that pseudotachylyte was  
123 either not formed in thicker ( $>200\ \mu\text{m}$ ) cataclasite slip zones due to distributed shear (and thus  
124 broadened frictional heat generation), or were poorly preserved due to enhanced permeability of  
125 the thicker granular cataclasite during exhumation. The presence of pseudotachylyte veins along  
126 the Bear Creek faults suggests that these faults were seismic during the brittle phase of their  
127 evolution.

## 128 ***Rhombochasms***

### 129 **Field Observations**

130 The Bear Creek small faults are commonly associated with epidote veins in extensional  
131 domains along the faults (Figure 2), as for example: (1) at releasing bends along faults (Figure  
132 2A); (2) where two fault segments link in dilational steps (e.g. left step for left-lateral faults;  
133 Figure 2B); and (3) at fault tips as extensional splays [Segall and Pollard, 1983a]. A fourth  
134 structure, distinctly different from the former three, occurs where the cataclastic slip zone jumps  
135 from one side of a quartz mylonite to the other (Figure 2C). In this latter case the veins have a  
136 typical rhomboidal shape and are referred to as *rhombochasms* throughout the following  
137 sections. Where rhombochasms are present, they are often distributed semi-periodically along a  
138 fault (Figure 3). The rhombochasms and the epidote-veins are often associated with bleached  
139 halos in the adjacent host rock (Figure 2A-C), a result of plagioclase and biotite alteration to  
140 saussurite and chlorite, respectively. In outcrops orthogonal to faults and parallel to the fault  
141 slickenlines (approximately the case in the Bear Creek subhorizontal outcrops) the length of

142 rhombochasms sides that are parallel to the fault provide a direct estimate of the fault slip as the  
143 rhombochasm formed (e.g., Figure 3).

144 Minerals in the rhombochasms typically appear to be uniform in color and texture  
145 (prismatic epidote grains with no apparent preferred orientation, and minor chlorite). In some  
146 cases epidote are interspersed with brecciated subangular clasts of quartz and the host  
147 granodiorite (Figure 2C) resembling implosion breccias [Sibson, 1985; 1986].

#### 148 **Microstructural Observations**

149 Rhombochasms are filled principally with randomly oriented columnar (~0.1 to 2.5 mm  
150 in length) epidote and variably with chlorite embedding subangular quartz clasts (Figure 4A-C).  
151 The vein microstructure indicates growth in an open fluid-filled cavity without evidence of  
152 incremental growth by the crack-seal mechanism [Ramsay, 1980] (Figure 4A). Crystals are not  
153 deformed or broken (Figure 4C). Slip zones (generally <1mm thick) entering and departing on  
154 the opposite sides of the rhombochasm (Figure 4B) are always left-stepped, switching from the  
155 one boundary between quartz vein and host granodiorite to the other, consistent with the left-  
156 lateral sense of shear. Rhombochasms along the same fault consistently show the same stepping  
157 asymmetry. We interpret this observation to mean that the length of each rhombochasm  
158 approximates the brittle slip magnitude that occurred at the corresponding position along the  
159 fault.

160 In some cases, thin (<200  $\mu\text{m}$ ), veins outline the slip zones and extend partly into the  
161 rhombochasms (Figure 4B, D). In Figure 4B, these veins overlap the rhombochasm by  
162 approximately 9 mm along the northeast boundary and approximately 5 mm on the southwest  
163 boundary. Thin epidote veins on the upper left and lower right corners of rhombochasms also  
164 extend for a short distance (a few cm) along the granodiorite-mylonite interface, but these

165 terminate at the rhombochasm opening (Figure 4B). The typical rhombochasm boundary is  
166 knife-edged with no cataclasis of the host rock or the minerals in the rhombochasm at the  
167 boundary (Figure 4E), whereas slip zones that penetrate into the rhombochasm form a contact  
168 between undeformed rhombochasm mineral fillings and the host granodiorite. In some places,  
169 slip zones penetrating into rhombochasms can be seen to truncate clasts within the rhombochasm,  
170 while these slip zones are truncated at their tips by undeformed epidote crystals, suggesting that  
171 rhombochasm mineral infilling was synchronous with slip (Figure 4F). In other cases the slip  
172 zone terminates at the rhombochasm opening (Figure 4G).

### 173 **Conceptual Model**

174  
175 The component of brittle slip along small faults resulting in cataclasite formation may be  
176 distinguished from the slip contribution from previous ductile shearing because epidote-filled,  
177 rhombohedron-shaped dilational jogs opened at bends and step-overs during brittle slip, and are  
178 distributed along the length of the faults. We argue that brittle slip occurred along the measured  
179 fault lengths in single slip events. Epidote crystals are randomly oriented and undeformed within  
180 the rhombochasms (Figure 4C). The epidotes were not broken after initial opening and  
181 precipitation as should be expected if the rhombochasms opened incrementally, as epidote  
182 precipitation was coincident with slip along the faults. Also, some small faults in the Bear Creek  
183 area contain pseudotachylytes [Griffith *et al.*, 2008] suggesting that seismic slip occurred during  
184 brittle deformation along these faults. However, as previously noted, pseudotachylytes are only  
185 developed (or preserved) locally along small faults and tend to be absent in cataclasite slip zones  
186 thicker than  $\sim 200 \mu\text{m}$  [Griffith *et al.*, 2008]. Because cataclasites along these faults are  
187 consistently thicker than  $200 \mu\text{m}$ , we should not expect to see pseudotachylyte if our previous  
188 observations are predictive. However pseudotachylytes described by Griffith *et al.*, 2008 do



189 show common static overgrowths of epidote. This suggests that the rhombochasm-bearing small  
190 faults investigated in this study may also have developed during the same ambient conditions as  
191 the pseudotachylyte-bearing faults, and is evidence that the the rhombochasm-bearing faults may  
192 also have been seismic. In some places rhombochasms contain breccias resembling implosion  
193 breccias [e.g., *Sibson, 1985; Sibson, 1986*] that also point toward a fast rate of deformation.  
194 Slickenlines on faults commonly plunge less than  $15^\circ$  on studied faults and the offset measured  
195 from the rhombohedron lengths on subhorizontal outcrop should underestimate the actual slip by  
196 at most 4% (error =  $1 - \cos 15^\circ$ ).

197 The unique combination of factors listed above distinguishes these observations from  
198 previous attempts to estimate coseismic parameters such as stress drop from exhumed faults  
199 because we can constrain *both* the rupture length and slip [e.g., *Sibson, 1975; Di Toro et al.,*  
200 *2005*].

### 201 **Slip Distributions**

202 Figure 3 shows the profiles of four small faults in the Bear Creek area exposed along  
203 their entire lengths, ranging from 8 to 12 m. We measured the total slip (from aplite dike offset)  
204 and the distributions of rhombochasm lengths for two faults, the Bear Creek Meadows Fault  
205 (BCMF) and the Upper Hilgard Fault (UHF) (Figure 5).

206 The BCMF consist of an en-echelon array of highly overlapping, right-stepping fault  
207 segments. We estimated brittle slip using seven rhombochasms distributed along the central  
208 segment (Figure 3, BCMF). No hard linkage was observed along the overlapping echelon  
209 segments on BCMF, nor was any macroscopic evidence evident for brittle reactivation of the  
210 mylonite fracture fillings on these segments overlapping the central one. The zero slip data  
211 points on either side of the slip distribution (Figure 5A) correspond to the tips of the central fault

212 segment. Rhombochasms occur within the data gaps (e.g. position ranges 590-770 cm and 870-  
213 1350 cm), but they were too complicated in shape to use as slip markers. The presence of  
214 rhombochasms along the length of the BCMF does indicate that brittle slip occurred along the  
215 entire length of the fault segment; therefore the measured length of the fault segment accurately  
216 represents the source dimension. The slip maximum occurs slightly west of center and appears to  
217 taper toward the fault tips. The average measured brittle slip on the fault is 2.1 cm.

218 The UHF consists of two hard-linked fault segments (i.e. the fault segments are linked by  
219 fractures across the relay zone). In the case of the UHF, only the easternmost fault tip is exposed.  
220 The westernmost fault tip is buried under debris. This debris cover is approximately 2-3 m wide,  
221 and the fault does not continue on the other side. Near the debris cover, splay cracks are  
222 concentrated on the southern side of the fault. These two observations suggest that the fault  
223 length is no more than a couple of meters greater than the visible length. Rhombochasms are  
224 distributed more evenly than in the BCMF, therefore the slip distribution is more clearly  
225 discernable (Figure 5B). The slip distribution appears to be flat along most of the fault and  
226 tapers sharply at the western end. Slip tapers more gently on each segment in the relay zone.  
227 The average measured brittle slip on the UHF is 2.0 cm.

228 As suggested previously, the rhombochasm length distribution measured from outcrop  
229 maps should closely approximate the brittle slip distribution, and possibly the seismic slip  
230 distribution, along these faults. The observed offset of an aplite dike on the BCMF is  
231 approximately 35 cm, while that measured along the UHF is 42 cm. Both of these are  
232 significantly greater than the measured brittle slips. This gives us an indirect way to estimate the  
233 ductile (i.e., crystal-plastic shear of quartz) contribution to slip. The discrepancy between brittle

234 slip and total slip should approximate the offset from ductile shearing of the tabular quartz  
 235 mylonites extending much of the length of the faults.

236 **Stress Drop**

237 The constant stress drop model used to calculate the stress drops of ruptures on the  
 238 rhombochasm-bearing Bear Creek faults, and in many seismological models [e.g., *Kanamori and*  
 239 *Anderson, 1975*], is a special case of the *Eshelby* [1957] analytical solution for the elastic field of  
 240 an ellipsoidal inclusion. The circular “penny-shaped crack” model assumes that the principal  
 241 ellipsoidal axis  $c$  is much smaller than the other principal axes,  $a$  and  $b$  (Figure 6). If the  
 242 elliptical fault can be approximated as a circle ( $a = b$ ) the slip distribution is given by [*Eshelby,*  
 243 1957, eq. 5.7]:

$$244 \quad \pm D(r) = \frac{\Delta\sigma}{\mu} \frac{4(2-\nu)}{\pi(1-\nu)} R \sqrt{1 - \frac{r^2}{R^2}} = \frac{1}{2} \Delta D(r) \quad (1)$$

245 where  $D$  is the particle displacement on either side of the fault and  $\Delta D$  is the displacement  
 246 discontinuity (slip) across the fault at each point  $r$ ,  $\Delta\sigma$  is the stress drop,  $\mu$  is the elastic shear  
 247 modulus of the medium and  $\nu$  the Poisson ratio. The model assumes a constant stress drop on a  
 248 circular fault of radius  $R$  in a linear elastic whole space. The expression for  $\Delta\sigma$  of an  
 249 earthquake given the average slip  $\Delta\bar{D}$  across the fault and assuming a Poisson solid ( $\nu = 0.25$ ) is  
 250 [e.g., *Keilis Borok, 1959; Kanamori and Anderson, 1975*]:

$$251 \quad \Delta\sigma = \frac{7\pi}{16} \mu \frac{\Delta\bar{D}}{R} \quad (2)$$

252 The assumption of a Poisson solid is a good approximation for granodiorite based on laboratory  
 253 tests [*Gercek, 2007*]. Using this relationship, a shear modulus of  $\mu = 30\text{GPa}$ , average slip  $\Delta\bar{D} \approx$   
 254 2.1 cm, and radius  $R = 5.75$  m (Table 1) the coseismic static stress drop on the BCMF is

255 approximately  $\Delta\sigma \approx 150$  MPa. A similar calculation based on the UHF yields a stress drop of  
 256 130 MPa. These are large stress drop values relative to the expected range 0.1 to 100 MPa.  
 257 Before we discuss the implications of these findings, we first analyze potential sources of error in  
 258 the analysis.

## 259 **Discussion**

260

### 261 **Uncertainty and Analysis Limitations**

262 Here we identify and attempt to quantify the sources of error for the stress drops  
 263 calculated in the previous section. Possible sources of error are divided into two groups: (1)  
 264 assumption of the source geometry (2) differentiation between seismic and aseismic slip; and (3)  
 265 measurement of slip. The calculated stress drops for the BCMF and the UHF are summarized in  
 266 Table 1.

#### 267 (1) Source Dimensions

268 Eshelby's general solution for slip on an elliptical crack with aspect ratio  $a/b$  on which  
 269 slip is parallel to the  $a$  axis is given by:

$$270 \quad \pm D(x, y) = \frac{b\Delta\sigma}{C_1\mu} \sqrt{1 - \frac{x^2}{a^2} - \frac{y^2}{b^2}} = \frac{1}{2} \Delta D(x, y) \quad (3)$$

271 This is the more general form of eq. (1) in Cartesian coordinates where slip is parallel to the  $x$ -  
 272 axis. The coefficient  $C_1$  is a function of the aspect ratio of the elliptical fault geometry and is  
 273 given by *Eshelby* [1957, eq. 5.3]:

$$274 \quad C_1 = E(k) + \frac{\nu}{1-\nu} \frac{K(k) - E(k)}{k^2}; \quad a > b, k = \sqrt{1 - b^2/a^2}$$

$$= \frac{b}{a} \left[ E(k) + \frac{\nu}{1-\nu} \frac{K(k) - a^2/b^2 E(k)}{k^2} \right]; \quad b > a, k = \sqrt{1 - a^2/b^2} \quad (4)$$

$$= \frac{\pi(2-\nu)}{4(1-\nu)}; \quad a = b$$

275 Here  $E(k)$  and  $K(k)$  are complete elliptical integrals of the first and second kind respectively.  
 276 *Madariaga* [1977] evaluated similar expressions for the stress drop in terms of the *average slip*,  
 277  $\Delta\bar{D}$ :

$$278 \quad \Delta\sigma = \frac{\mu}{C_2} \frac{\Delta\bar{D}}{W} \quad (5)$$

279 Where  $W$  is the shortest principal axis of the fault model (i.e.  $W=R$  for the circular fault). For the  
 280 circular ('penny-shaped') fault the commonly cited result for the coefficient  $C_2$  is  $16/7\pi$  [e.g.,  
 281 *Kanamori and Anderson, 1975*].  $C_2$  can be calculated for elliptical model faults of a variety of  
 282 aspect ratios using [*Madariaga, 1977*]:

$$283 \quad C_2 = 4 \left[ 3E(k) + \frac{K(k) - b^2/a^2 E(k)}{k^2} \right]^{-1}; \quad a > b, k = \sqrt{1 - b^2/a^2}$$

$$= 4 \left[ 3E(k) + \frac{a^2 K(k) - E(k)}{b^2 k^2} \right]^{-1}; \quad b > a, k = \sqrt{1 - a^2/b^2} \quad (6)$$

284 In calculating the stress drop, we previously made the simplifying assumptions that (i) the fault  
 285 mapped in two dimensions with measured half length  $R_a$  can be represented in three dimension  
 286 by a circular fault; and (ii) that the radius  $R$  of the fault is equivalent to the measured half length  
 287  $R_a$ . Below we assess the net effect of each of these assumptions.

288 First, we keep the assumption that the fault is circular, but we relax the assumption that  
 289 the apparent radius  $R_a$  exposed at the earth's surface is equal to the actual radius  $R$  of the crack.  
 290 Let the line A-B (Figure 6A) be the intersection of the circular fault with the Earth's surface.  
 291 Because the slip maximum according to eq. 1 occurs at the center of the fault ( $r=R$ ), the stress  
 292 drop can be expressed as a function of the maximum slip,  $\Delta D_{max}$ :

$$293 \quad \Delta\sigma = C_1 \mu \frac{\Delta D_{max}}{R} \quad (7)$$

294 With no knowledge of the actual size, using the apparent radius  $R_a$  and the maximum  
 295 apparent slip  $D_a$  measured at point  $m$ , an apparent stress drop  $\Delta\sigma_a$  is obtained:

$$296 \quad \Delta\sigma_a = C_1\mu \frac{\Delta D_a}{R_a} \quad (8)$$

297 However, according to Figure 6A we may replace  $r = R \sin \theta$  in eq. 1 to obtain:

$$298 \quad D(r) = \frac{\Delta\sigma}{C_1\mu} R \sqrt{1 - \sin^2 \theta} \quad (9)$$

299 and using the fact that  $R_a = R \cos \theta$  we obtain:

$$300 \quad \Delta\sigma_a = \frac{\Delta\sigma \sqrt{1 - \sin^2 \theta}}{\cos \theta} = \Delta\sigma \quad (10)$$

301 In conclusion, the ratio  $D_a/R_a$  remains identical to  $D/R$ ; as a consequence, measurement  
 302 of apparent maximum slip and radius along any arbitrary section of a circular model fault yields  
 303 the correct stress drop estimate through eq. (3). The same observations apply when using average  
 304 apparent slip, instead of the maximum apparent slip: the correct scaling with stress drop is  
 305 maintained through any section of the model fault. It can also be shown that the apparent half  
 306 length,  $a$ , of any elliptical fault scales linearly with slip.

307 If the assumption that the crack is circular is dropped, and the possibility is considered  
 308 that the fault may approximate an ellipse, the resulting stress drop will vary depending on the  
 309 aspect ratio of the fault and the length of the semi-minor axis. We can calculate the stress drop  
 310 for elliptical faults using eq. (5) and (6). If we assume that a reasonable range of elliptical fault  
 311 aspect ratios is in the range  $0.5 \leq a/b \leq 2$ , then we can calculate the range of possible stress drops  
 312 represented by two dimensional fault exposures. Faults of aspect ratios less than one, on which  
 313 the slip direction is parallel to the semi-minor axis (Figure 6B), were called ‘transversal slip’  
 314 faults by *Madariaga* [1977] while faults with aspect ratios greater than one, on which slip is

315 parallel to the semi-major axis, were classified as ‘longitudinal slip’ faults (Figure 6C). If the  
316 BCMF is a transversal slip fault with an aspect ratio equal to 0.5, the corresponding stress drop is  
317 reduced to  $\Delta\sigma \approx 110\text{MPa}$ . Assuming the BCMF is a longitudinal slip fault with an aspect ratio  
318 equal to 2 implies that the semi-minor axis is vertical, and the apparent length of the semi-minor  
319 axis is half the measured length of the fault in the field. Correspondingly, using the value  
320  $W=b=3\text{m}$ , and the appropriate expression from eq. (6), the corresponding stress drop is  $\Delta\sigma \approx 250$   
321 MPa. Thus, taking into account the geometric error introduced by extrapolating the two  
322 dimensional map to three dimensions extends the range of possible stress drop values to  $110$   
323  $\text{MPa} \leq \Delta\sigma \leq 250 \text{MPa}$ . Similar estimates for the stress drop on the UHF yield stress drops of  $90$   
324  $\text{MPa} \leq \Delta\sigma \leq 230 \text{MPa}$ .

### 325 (2) *Differentiation between seismic and aseismic slip*

326 Ultimately, stress drop and slip under brittle conditions should not be very different  
327 whether they occurred quasi-statically or seismically. Due to dynamic overshoot, slip (and stress  
328 drop, may be as much as 30% larger during seismic slip [Madariaga, 1976]. The recognition of  
329 seismic slip from outcrop observations along faults remains a difficult and controversial topic  
330 [e.g., Cowan, 1999]. We argue that the slip recorded by rhombochasms may have been seismic  
331 based on two lines of evidence: i) textures within the opening rhombochasms suggest that the  
332 associated slip was nearly instantaneous. These textures include randomly oriented epidote  
333 grains in all rhombochasms as well as implosion breccias. The fact that these textures show no  
334 post-formation shearing disruption suggest that they formed in a single event. (ii) Thin (<1mm)  
335 pseudotachylite veins are found on nearby Bear Creek faults [Griffith *et al.*, 2008]. These  
336 observations support the interpretation that brittle slip along these faults was seismic.

### 337 (3) *Measurement of slip*

338 Here we present a kinematic model for the rhombochasm formation (Figure 7) to justify  
339 in more detail our assumption that the length of the rhombochasm represents the magnitude of  
340 slip in a single event. The faulting begins as a tabular quartz mylonite with distributed crystal-  
341 plastic shearing across its thickness and bounded on either side by granodiorite (Figure 7i).  
342 Shearing across the quartz mylonite involves no slip at the mylonite-granodiorite interfaces.  
343 Subsequently, more localized brittle shearing develops at the interfaces forming cataclasite slip  
344 zones in a left stepping configuration (Figure 7ii). A small amount of localized shearing  
345 continues until the tensile strength of the quartz mylonite in the relay zone is exceeded [e.g.,  
346 *Segall and Pollard, 1980; Martel and Pollard, 1989; Mutlu and Pollard, 2008*], and a tensile  
347 opening fracture forms, linking the two cataclastic slip zones (Figure 7iii). This linking fracture  
348 cuts the cataclastic slip zones into short, abandoned, non-shearing zones including the tips, and  
349 actively shearing echelon zones on either side of the tabular quartz mylonite. As slip progresses  
350 in the echelon cataclastic zones, deformation in the quartz mylonite is primarily ascribed to  
351 opening of the rhombochasm (Figure 7iv). On the interface between the granodiorite and  
352 rhombochasm interior, there is no new cataclasite generation, thus cataclasite thins from the  
353 actively slipping zone to the distal edge of the rhombochasm. As slip progresses, thin cataclasite  
354 far from the actively shearing zone is spalled into the rhombochasm and/or dissolved as fluids  
355 are sucked into the rhombochasm from the adjacent granodiorite (Figure 7v). As shearing ceases,  
356 within the rhombochasm only the portion of the cataclastic zone closest to the actively shearing  
357 cataclasite zone if any at all is preserved. Epidote is precipitated and fills the rhombochasm with  
358 euhedral, randomly oriented crystals. In this model, the length of the rhombochasm should  
359 approximate the magnitude of slip,  $\Delta D$ , of a single slipping event.



360 We note that a small amount of underestimation is introduced by assumption that this  
361 process can be approximated by the kinematic block model summarized above. In Figure 7iii, a  
362 small amount of slip must accumulate along the cataclastic zones before the tensile strength in  
363 the relay zone is exceeded [e.g. Segall and Pollard, 1980]. This slip is not recorded by the  
364 rhombochasm opening; however we expect the amount of slip necessary to breach the relay zone  
365 to be very small relative to the rhombochasm length. Also of note is that average measured  
366 rhombochasm length measured on the Bear Creek faults is approximately 2 cm, within the range  
367 of single slip values estimated for nearby pseudotachylyte-bearing faults based on conductive  
368 heat transfer calculations [Griffith *et al.*, 2008].

### 369 **Stress Drop Implications**

370 The stress drops estimated here are large relative to the majority of data available in the  
371 seismological literature [e.g., *Abercrombie*, 1995]; however they are not without precedent.  
372 Stress drops on the order of hundreds of MPa appear to be rare, but have been observed using  
373 seismology [Kanamori, 1994]. *Munguia and Brune* [1984] calculated stress drops in excess of  
374 200 MPa for events in the Victoria, Baja California earthquake swarm of 1978. *Kanamori et al* [,  
375 1990; 1993] estimated stress drops for a small earthquake in Pasadena, CA between 30 and 200  
376 MPa. *Imanishi and Ellsworth* [2006] studied 34 M-0.2-M2.1 earthquakes near Parkfield, CA  
377 from the SAFOD Pilot Hole Array and found that half had stress drops greater than 10 MPa, with  
378 some exceeding 50 MPa. *Nadeau and Johnson* [1998] estimated stress drops on the order of 100  
379 MPa and greater for repeating micro-earthquakes near Parkfield. They found that stress drops  
380 increased with decreasing seismic moment up to  $\Delta\sigma \approx 2000$  MPa for the smallest earthquakes  
381 ( $W \approx 0.5$ ) [Nadeau and Johnson, 1998]. This is approximately the lattice shear strength of an  
382 asperity in granitoid rocks with no unhealed flaws [Sammis *et al.*, 2001].

383           Stress drops calculated using values from field mapping of the BCMF and UHF are in the  
384 range  $90 \text{ MPa} \leq \Delta\sigma \leq 250 \text{ MPa}$  considering a range of three dimensional source geometries.  
385 These values are near the upper bound of scaling relationships based on the bulk of  
386 seismological data available [Kanamori, 1994; Abercrombie, 1995], yet they lie within observed  
387 ranges of microearthquakes near Parkfield. Because they are on the order of hundreds of MPa,  
388 we suggest that these were “strong” faults as defined by Kanamori [1994].

389           The interpretation that the Bear Creek faults represent “strong” faults is consistent with  
390 interpretations regarding observations of pseudotachylite-bearing faults in other locations [e.g.,  
391 *Di Toro and Pennacchioni, 2005; Sibson and Toy, 2006*]. Our observations indicate that the  
392 stress drop associated with earthquake ruptures along these faults represent a large percentage of  
393 total fault strength. The reason for this may lie in the nature of the interface on which the slip  
394 events occurred. All of the brittle slip that took place on the rhombochasm-bearing faults  
395 occurred on the interface between mylonitized quartz veins and the adjacent host granodiorite.  
396 This interface may have represented an at least partially healed asperity, stronger than a typical  
397 frictional fault, yet weaker than the surrounding undamaged granodiorite such that flaws  
398 concentrated and grew along the quartz mylonite-granodiorite interface.

## 399 **Conclusions**

400           Using detailed mapping of small faults in the Bear Creek drainage of the central Sierra  
401 Nevada, we estimate coseismic stress drops for ancient earthquakes using rhombochasms as slip  
402 markers. This method constrains, with high resolution in two dimensions, the source dimensions  
403 of ancient brittle slip events. Errors introduced in the stress drop calculations by inferring the  
404 three dimensional fault geometry from the mapped geometry is approximately a factor of two.  
405 The total range of possible stress drops is  $90 \text{ MPa} \leq \Delta\sigma \leq 250 \text{ MPa}$ . This is larger than typical

406 estimates for small earthquakes, and at the upper limit of self-similar scaling laws for all  
407 earthquakes. Therefore we suggest that faults of the Bear Creek area were strong faults, and  
408 earthquakes along these faults represented static stress drops that were a large fraction of the  
409 total fault strength.

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### 530 **Figure Captions**

531

532 Figure 1: Conceptual model of macroscopic and microscopic evolution of faults in Bear Creek.

533 I-III correspond to the macroscopic evolution of faults suggested by Martel et al (1988), where  
534 in stage I joints form in response to thermal stresses; stage II is marked by slip nucleation along

535 joints and subsequent linkage to form small faults; and in stage III simple fault zones composed  
536 of paired small faults linked by secondary fractures form due to interaction of neighbouring  
537 faults. Martel (1990) also proposed a fourth stage of development in which simple fault zones  
538 coalesce to form large, complex fault zones, but the fourth stage has been omitted from this  
539 figure for simplicity. Dashed circles in II and III indicate the location of A, B, C, and D. A-D  
540 represent the microstructural evolution of faults from the time that shearing commences to the  
541 transition from shearing deformation to zeolite veining. (A) Quartz precipitated in dilatational  
542 regions along faults is sheared at temperatures greater than 400°C producing quartz mylonites. (B)  
543 Boundaries between mylonites and granodiorites are filled with epidote veins and reactivated by  
544 cataclasites cemented by epidote. Locally cataclasites and epidote veins cut across mylonites. (C)  
545 Thin, discontinuous pseudotachylyte veins form due to seismic slip, and locally cut mylonites  
546 and cataclasites. (D) The termination of shear deformation is signified by opening of faults and  
547 zeolite mineralization.

548

549 Figure 2: Field photographs (left side) and schematic drawings (right side) of three different  
550 types of epidote-filled dilatational jogs found along Bear Creek faults. (A) A releasing bend in a  
551 fault. (B) A dilatational stepover between two small faults. (C) A dilatational stepover between two  
552 cataclastic slip surfaces localized on the boundary between tabular quartz mylonites and host  
553 granodiorite. The configurations shown in B and C produce rhombohedron-shaped openings  
554 (rhombochasms) which can be used as slip markers along the faults. Gray = granodiorite; green  
555 = epidote; white = quartz mylonite; and bleached/speckled = altered granodiorite.

556

557 Figure 3: Trace maps of rhombochasm-bearing faults. (BCMF) Bear Creek Meadows Fault;  
558 (UHF) Upper Hilgard Meadows Fault with segments 1 and 2 from Figure 5B; (LHF); Lower  
559 Hilgard Meadows Fault; (BJF) Big Juniper Fault.

560

561 Figure 4: Rhombocham microstructures. (A) Field photograph of rhombochasm pictured in B. (B)  
562 Photomosaic of a rhombochasm on the Bear Creek Meadows Rhomb Fault (Fig. 3, BCMF)  
563 (optical photomicrograph, plane polarized light). (C) Epidote crystals and quartz grains from  
564 Lower Hilgard Meadows Fault (Figure. 3, LHF) (optical photomicrograph, plane polarized light).  
565 (D) Dark vein penetrating into the rhombochasm from BCMF (see Figure 4G). This vein is part  
566 of the slip zone extending into the rhombochasm, and has some identifying characteristics of  
567 pseudotachylyte (injection vein, aphanitic, optically cloudy), but upon closer inspection consists  
568 of ultrafine-grained epidote. The dark vein is truncated by a later zeolite-bearing vein (optical  
569 photomicrograph, plane polarized light). (E) Contact between rhombochasm filling and  
570 granodiorite. No evidence of slip is preserved along this contact (SEM backscattered electron  
571 image). (F) The ~0.2-0.4 mm thick slip zone separating rhombochasm filling and granodiorite  
572 (SEM backscattered electron image). Images E and F were taken along the same (upper “slip  
573 zone”??) rhombochasm-granodiorite contact from fault BCM. (G) Slip zone along the BCMF  
574 which does not penetrate into the rhombochasm. Note that fabric formed by epidotes is seamless  
575 between the rhombochasm and the slip zone. Image is taken from a rhombochasm several  
576 centimeters from the rhombochasm from Figure 4 E & F along the BCMF.

577

578 Figure 5: Slip distributions along (A) the Bear Creek Meadows Rhomb Fault (BCMF) and (B)  
 579 the Upper Hilgard Rhomb Fault (UHF), as determined by measuring the length of  
 580 rhombochasms (brittle slip) and dike offset (ductile slip).

581  
 582 Figure 6: Constant stress dropped models for elliptical faults with aspect ratios ( $a/b$ ) varying  
 583 from 0.5 to 2. For each model, the upper diagram shows the coordinate system and the  
 584 hypothetical erosional surface exposed in outcrop is shown by the line A-B. The lower plot in  
 585 each diagram shows predicted slip distributions for a given constant stress drop. Note that the  
 586 horizontal axis  $a$  is equal in all plots. Only the unknown  $b$  axis varies in each plot. (A) Penny  
 587 shaped-crack model ( $a/b=1$ ). (B) Transversal slip fault ( $a<b$ ). (C) Longitudinal slip fault ( $a>b$ ).  
 588 See text for more detail.

589  
 590 Figure 7: Kinematic model for rhombochasm formation where stages in (A) indicate the steps of  
 591 rhombocasm initiation and (B) and (C) illustrate possible stages during the rhombochasm  
 592 evolution. See text for complete discussion.

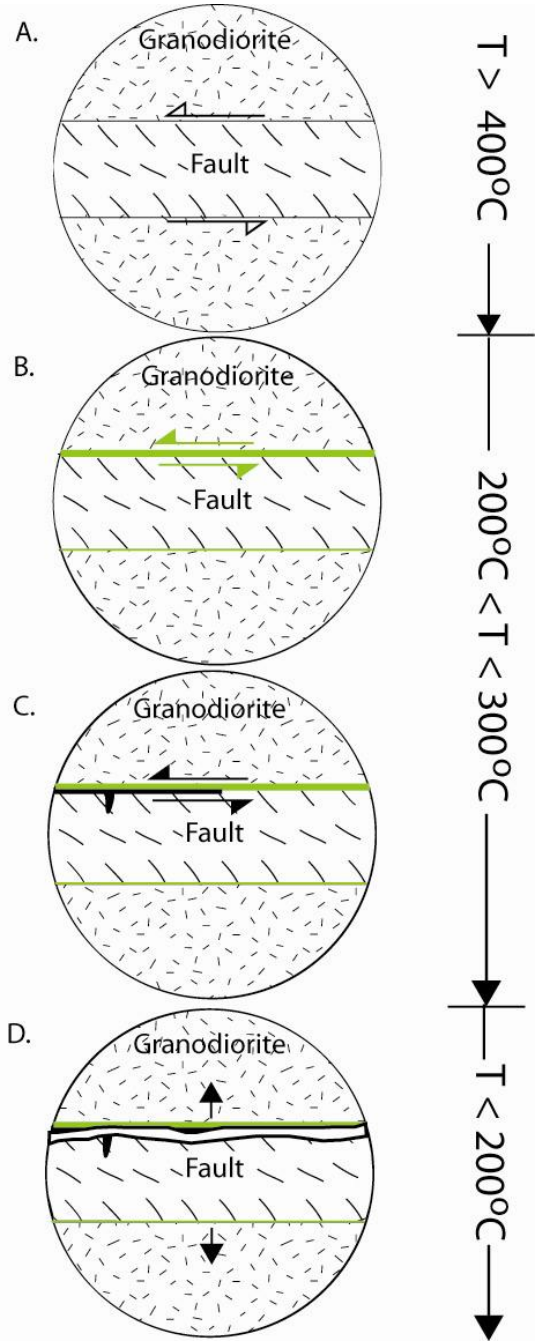
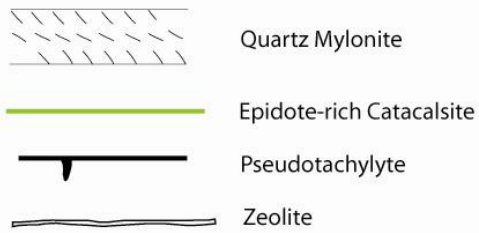
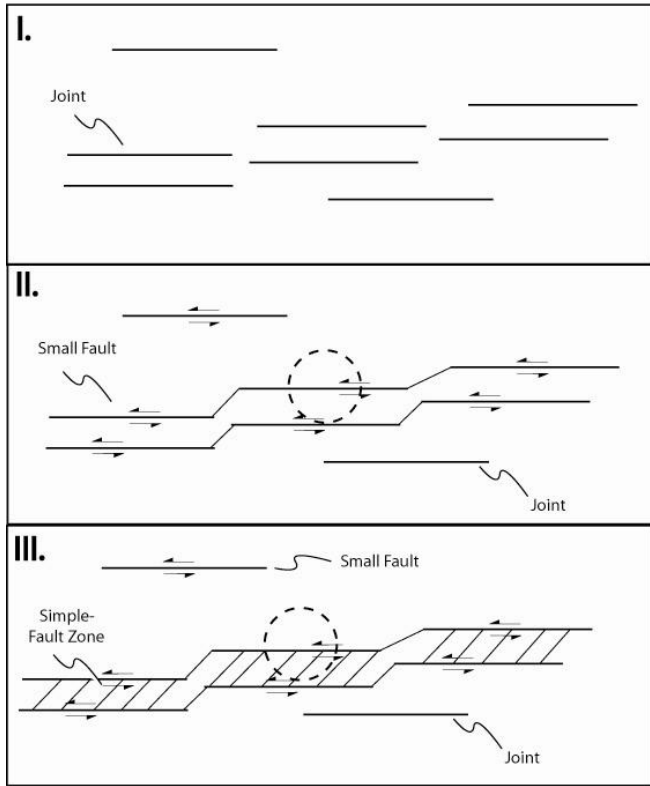
593 **Tables**

594 Table 1: Summary of Fault Source Parameters

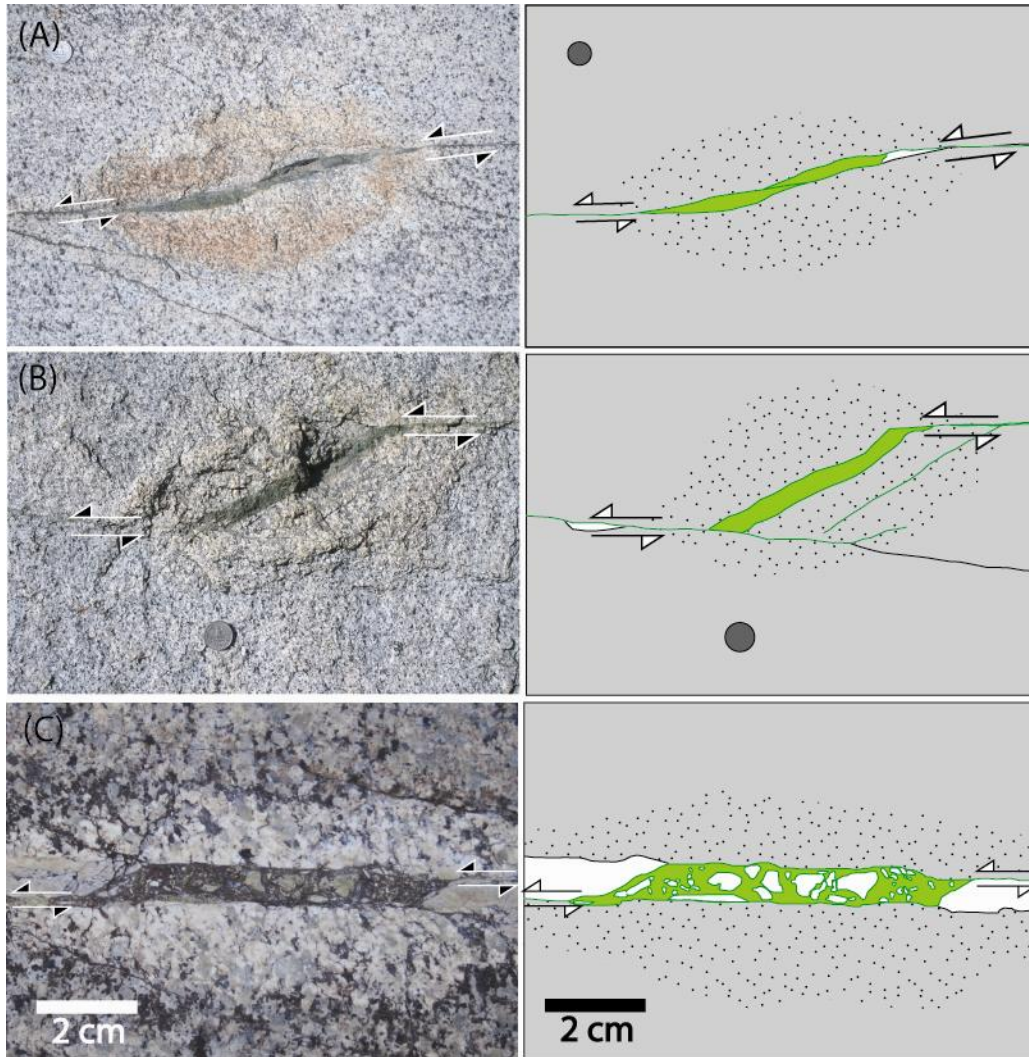
<b>Fault</b>	<b>W (m)</b>	<b>a/b</b>	<b><math>\Delta D_{ave}</math> (cm)</b>	<b><math>\Delta D_{max}</math> (cm)</b>	<b>Dike (cm)</b>	<b>offset <math>\Delta\sigma</math> (MPa)</b>
BCMF	5.75	1	0.8-2.1	4.5	35	150
BCMF	5.75	0.5	0.8-2.1	4.5	35	110
BCMF	2.88	2	0.8-2.1	4.5	35	250
UHF	6.25	1	0.8-2.0	3.6	42	130
UHF	6.25	0.5	0.8-2.0	3.6	42	90
UHF	3.13	2	0.8-2.0	3.6	42	220
LHF	-	-	2.6	5.1	14	-
BJF	-	-	1.2	2.6	4.8	-

595





596  
597 Figure 1  
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599  
 600 Figure 2  
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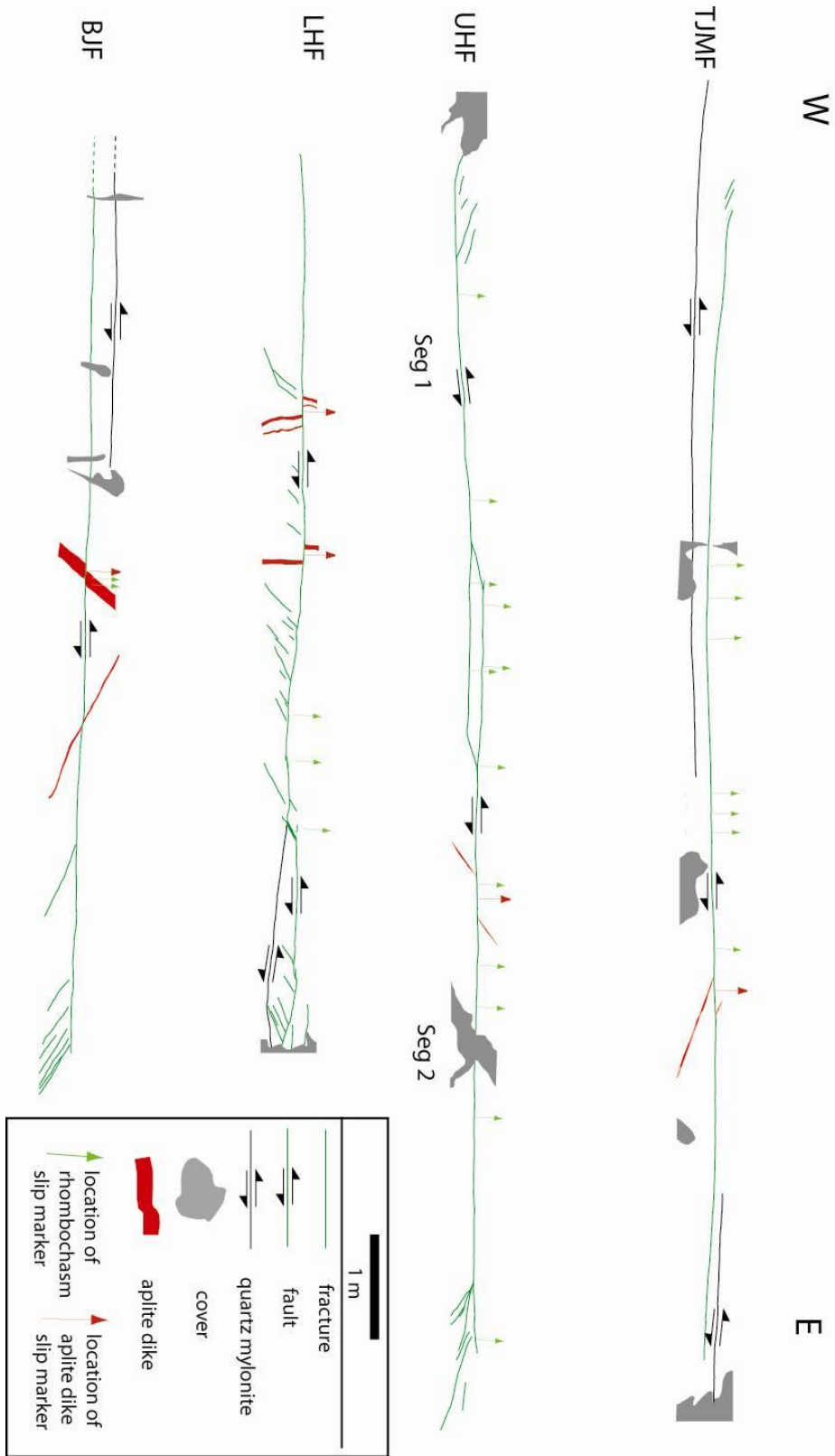
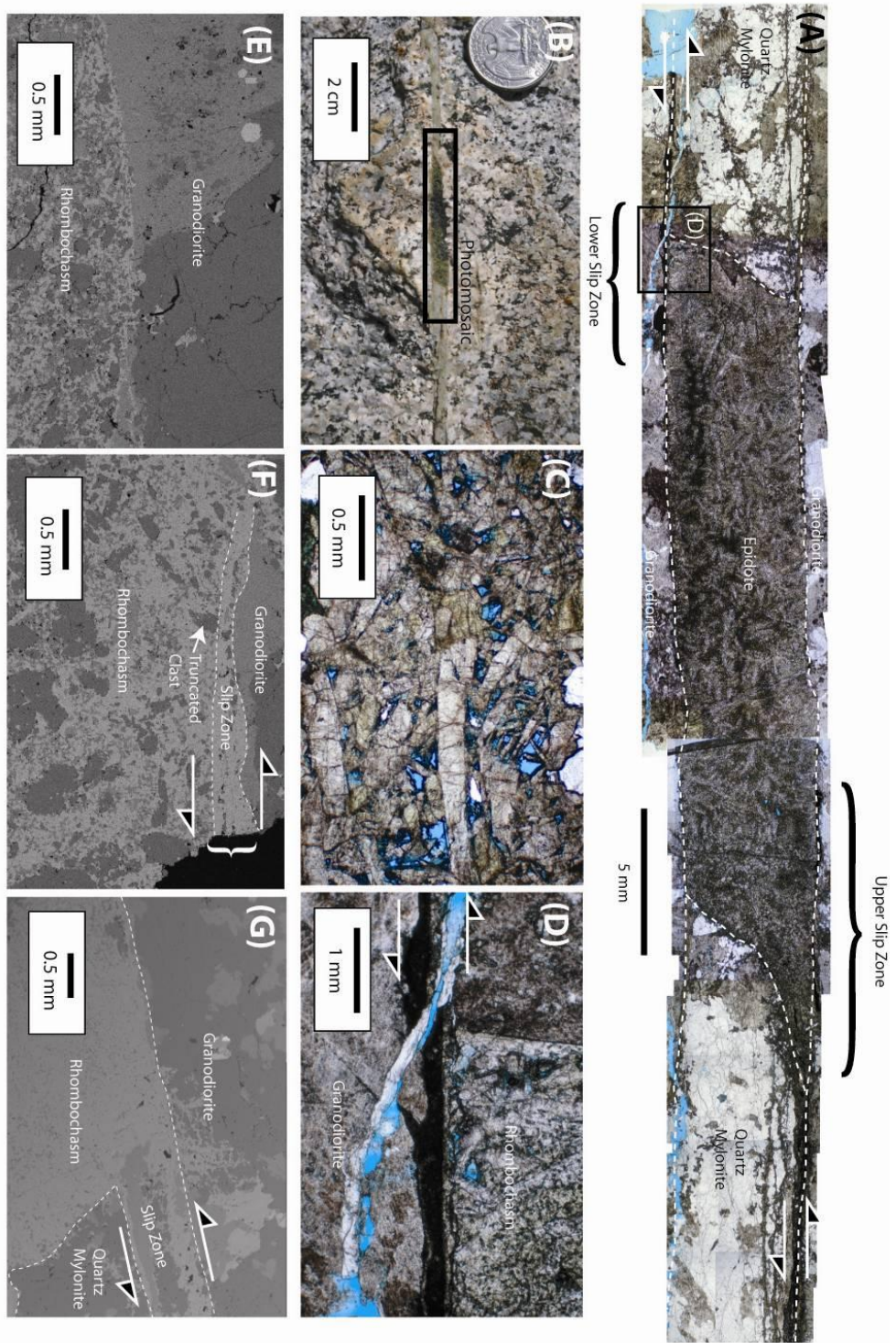
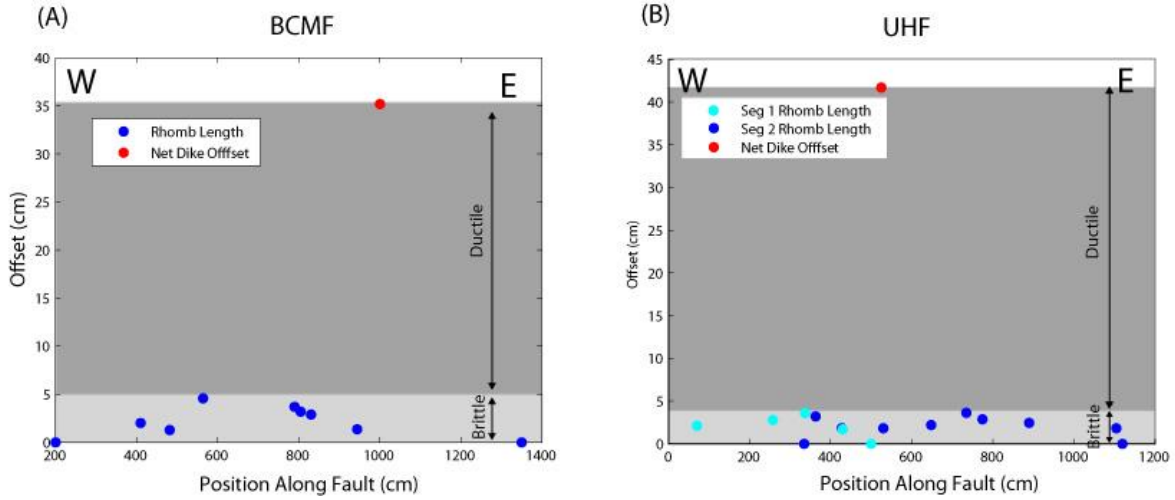


Figure 3

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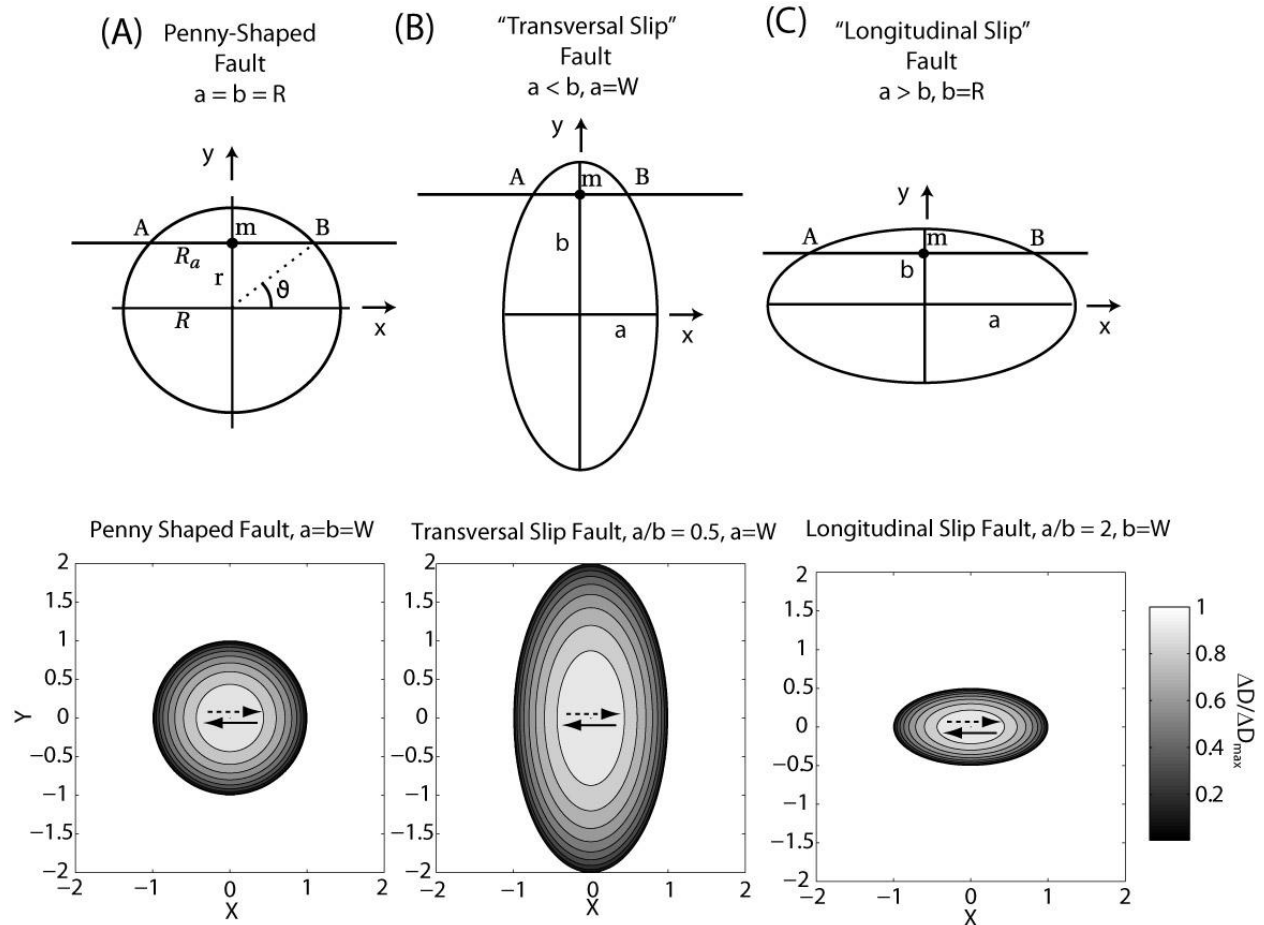


605  
 606 Figure 4  
 607



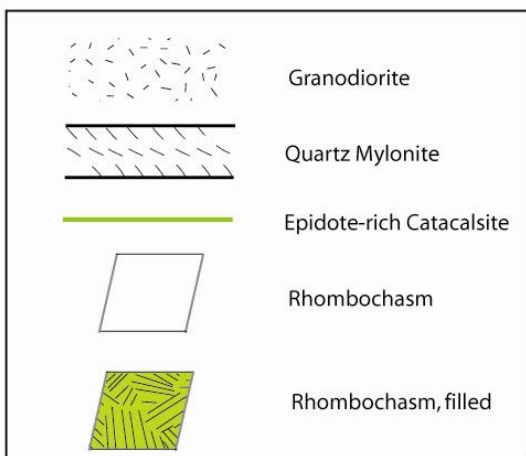
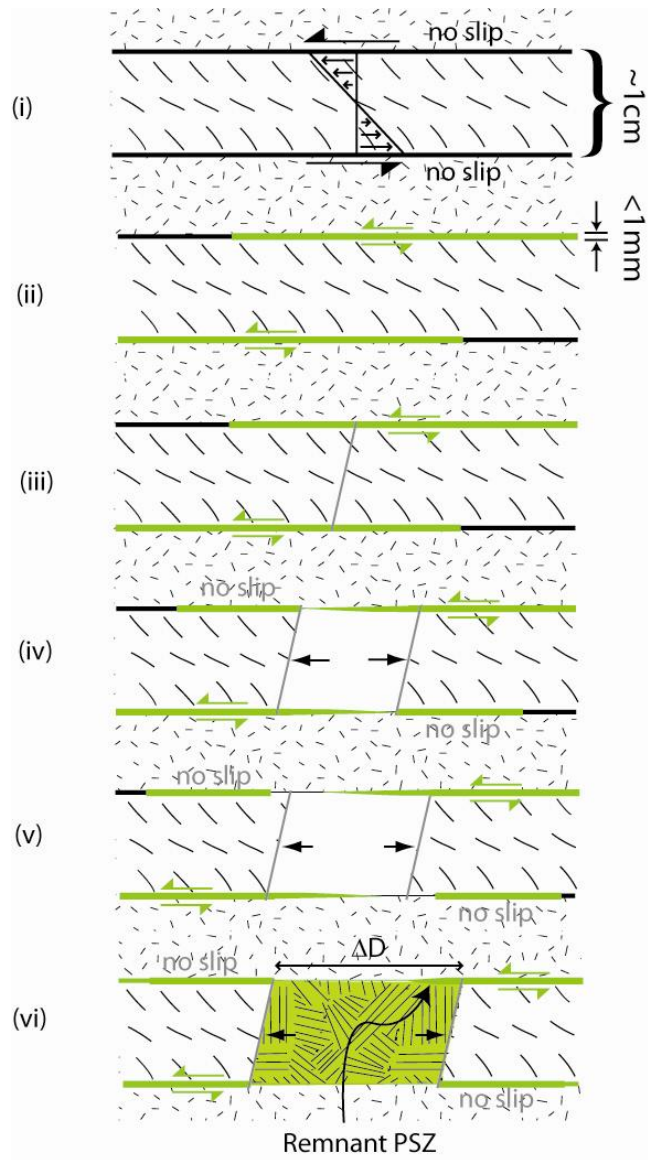
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Figure 5



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Figure 6



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Figure 7