

1 **Modelling of the hydro-acoustic signal as a Tsunami**  
2 **Precursor**

Francesco Chierici

3 Istituto di Radioastronomia, Istituto Nazionale di Astrofisica, sez. Bologna,  
4 via Gobetti, 101 - 40129 Bologna, Italy

Luca Pignagnoli

5 Istituto di Scienze Marine, Consiglio Nazionale delle Ricerca, sez. Bologna,  
6 via Gobetti, 101 - 40129 Bologna, Italy

Davide Embriaco

7 Istituto Nazionale di Geofisica e Vulcanologia, sezione Roma 2, via Pezzino  
8 Basso, 2 - 19025 Portovenere (SP), Italy

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F. Chierici, IRA-INAF (chierici@ira.inaf.it)

9 **Abstract.** In the frame of a 2-D compressible tsunami generation model  
10 with flat porous seabed, we show that acoustic waves are generated and travel  
11 outside the source area at sound speed. These waves carry information as  
12 to sea floor motion. The acoustic wave period depends on water height at  
13 the source area and is given by four times the travel time the sound takes  
14 to reach the sea surface from the sea bottom. The fundamental frequency  
15 ranges from 1 to 0.05 Hz, at 400 m and 8000 m water depth, respectively.  
16 The sound waves produced by seafloor motion can propagate far from the  
17 source, with a small attenuation in amplitude. Moreover, the typical wave-  
18 lengths of the acoustic waves produced by the water layer oscillation allows  
19 the waves to overcome most of the seafloor reliefs. The semi-analytical so-  
20 lution of the 2-D compressible water layer model overlying a porous seabed  
21 is presented.

## 1. Introduction

22 Tsunami waves, which travel long distances at speeds depending on water depth, can  
23 be very dangerous and destructive, as shown by the recent as well as disastrous Sumatra  
24 earthquake (*Lomnitz and Nilsen-Hofseth* [2005], *Merrifield et al.* [2005]). Tsunamis can  
25 be generated by a number of different mechanisms, such as shallow-depth submarine  
26 earthquakes, sub-aerial and submarine landslides or volcanic eruptions and consequent  
27 submarine landslides (*Synolakis et al.* [2002] , *Tinti et al.* [2004]), meteoric impacts or  
28 sudden and large barometric variations at sea (i.e. storm surges). The most common  
29 and effective mechanism is the earthquake, as reported by historical sources (*Boschi et al.*  
30 [1997] , *Tinti et al.* [2004] , NGDC Tsunami Catalog [@]).

31 Starting from 1980s, many different theoretical approaches, both analytical (*Ward*  
32 [1980], *Comer* [1984], *Okal* [1988], *Panza et al.* [2000]) and numerical (*Titov et al.* [2005],  
33 *Kowalik et al.* [2005]), have been developed to model tsunami generation. Most of these  
34 studies take into account a wide variety of physical characteristics within the framework  
35 of incompressible fluid theory with just a few exceptions (e.g. *Nosov* [1999], *Ohmachi*  
36 *et al.* [2001]). These theoretical approaches are mainly based on an elastic half-space  
37 coupled with an incompressible water layer on a spherical domain (*Ward* [1980], *Ward*  
38 [1981], *Ward* [1982]) or in a plane domain (*Comer* [1984]) or coupled with a stratified  
39 incompressible fluid (*Panza et al.* [2000]). Recently, some authors have accounted for the  
40 relevant role played by water compressibility in tsunami generation, in particular showing  
41 that the compressibility is relevant only in the generation phase and not in the propagation  
42 (*Nosov* [1999], *Nosov and Skachko* [2002], *Nosov and Kolesov* [2007]). The general con-

43 tribution of compressibility in tsunami evolution was presented in the works of (*Miyoshi*  
44 [1954], *Sells* [1965], *Kajiura* [1970]). The assumption of the local compressibility of the  
45 water layer allows the sound waves, that is pressure waves, to form and propagate into  
46 the water layer.

47 The first convincing experimental proof of the existence of elastic waves generated in  
48 the fluid by a bottom motion with frequency inversely proportional to the water depth  
49 was obtained during the Tokachi-Oki 2003 tsunami event, when the real-time JAMSTEC  
50 observatory detected the acoustic pressure signal, with 0.05 Hz frequency generated by  
51 the seafloor motion caused by the earthquake (*Nosov et al.* [2007], *Nosov and Kolesov*  
52 [2007]). The two pressure sensors operated by JAMSTEC were located in the epicentre  
53 area, allowing for a direct measurement of water pressure variation during the earthquake.

54 In spite of the great scientific and technological effort made to deal with the tsunami  
55 hazard over the past few years and in spite of the numerous studies performed on tsunami-  
56 genic sources (e.g. *Synolakis et al.* [1997], *Ma et al.* [1997], *Zitellini et al.* [1999], *Baptista*  
57 *et al.* [2003]), propagation and flooding of tsunami waves (*Synolakis* [1995], *Titov et al.*  
58 [2005]), the details of tsunami generation processes are still poorly understood, mainly  
59 because of the scarcity of direct measurements in tsunami generation areas.

60 We present a simple model, which by taking account of local water compressibility  
61 and porous seabed, signals the important characteristics of tsunami generation processes  
62 that can enhance the present tsunami warning capability (DART project [ @ ], DONET  
63 project [ @ ], GITEWS project [ @ ], NEAREST project [ @ ], TRANSFER project [ @ ]) and  
64 the understanding of the source ground motion.

## 2. Model

We have developed a new 2-D model with a compressible water layer overlying a porous sea bottom, which we solved semi-analytically (*Nosov* [1999], *Nosov and Skachko* [2001], *Nosov and Skachko* [2002], *Gu and Wang* [1991], *Habel and Bagtzoglou* [2005]). We assumed the approximation of small-amplitude waves that allows us to simplify the model to a linear problem. In particular, by introducing a boundary condition that equals the vertical component of the fluid velocity and the pressure at the water-sediment interface, we estimated the pressure field in the vicinity of the seafloor generated by the fluid escape from the sediment, caused by the "earthquake".

In this paper we have omitted to show the solution of the pressure field in the sediment layer and the results of the high number of numerical simulations we ran with the different kinds of seafloor motions implemented, i.e. many different elastic motions, with different initial polarity, amplitudes, phases, durations, combined with different permanent displacements and with different parameters. Nor have we presented the pressure and the velocity field maps computed, both in the fluid and in the porous layers. We have postponed a complete presentation of these results to a future paper.

We have focused only on some aspects of the outputs of the simulations concerning hydro-acoustic signal, which for sake of simplicity and brevity, are better illustrated by showing the solution in the water layer and for permanent displacement.

The Navier-Stokes equation is the governing equation in the water layer, while in the porous layer we used the Darcy equation. The Navier-Stokes equation is:

$$\begin{cases} \frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{u}) = 0 \\ \frac{\partial \vec{U}}{\partial t} + \vec{U} \cdot \nabla \vec{U} = -\frac{1}{\rho} \nabla P - \vec{g} + \nu \nabla^2 \vec{U} \end{cases} \quad (1)$$

The Darcy equation is:

$$\begin{cases} \nabla \cdot \vec{Q} = 0 \\ \nabla P_s = \frac{\mu}{K_p} \vec{Q} + \frac{\rho}{n} \frac{\partial \vec{Q}}{\partial t} \end{cases} \quad (2)$$

83 where in eq. (1)  $\rho$  is the fluid density (set equal to 1020 Kg/m<sup>3</sup>),  $\vec{U}$  the fluid velocity,  
84  $P$  the pressure,  $\nu$  the kinematic viscosity (set equal to  $2 \times 10^{-6}$  m<sup>2</sup>/s), and  $g$  the gravity  
85 acceleration.

86 In the Darcy eq. (2)  $\vec{Q} = (Q_x, Q_z)$  is the discharge velocity,  $P_s$  the pore pressure,  $K_p$   
87 and  $n$  the intrinsic permeability and volumetric porosity, respectively,  $\mu = \nu\rho$  the fluid  
88 dynamic viscosity.

We have introduced some simplifying assumptions to solve the model analytically. In particular, the small-amplitude-wave approximation, i.e. a wave amplitude small with respect to its wavelength, that is satisfied also by huge tsunami waves in the open ocean, and the non-viscous fluid approximation in the water layer (viscosity is not significant at typical tsunami scales):

$$\frac{A}{\lambda} \ll 1 \quad (3)$$

$$\nu = 0 \Rightarrow \vec{U} = \nabla\varphi \quad (4)$$

where  $A$  is the wave amplitude,  $\lambda$  is the wavelength and  $\varphi$  is the potential field. Applying these approximations we obtained:

$$\nabla^2\varphi = \frac{1}{c^2} \frac{\partial^2\varphi}{\partial t^2} \quad (5)$$

$$P = -\rho \frac{\partial\varphi}{\partial t} - \rho g z \quad (6)$$

89 where  $c$  is the sound velocity in the water (about 1500 m/sec, depending on sea water  
90 temperature and salinity), and  $z$  the vertical upwards direction.

The boundary conditions at free surface ( $z = 0$ ) are

$$\frac{\partial \varphi}{\partial t} = -g\xi \Big|_{z=0} \quad (7)$$

$$\frac{\partial \xi}{\partial t} = \frac{\partial \varphi}{\partial z} \Big|_{z=0} \quad (8)$$

91 that represent the dynamic and the kinematic conditions, respectively,  $\xi$  is the free surface  
 92 perturbation.

The boundary conditions at the water-sediment interface ( $z = -h$ ) are

$$\rho \frac{\partial \varphi}{\partial t} = P_s \Big|_{z=-h} \quad (9)$$

$$\frac{\partial \varphi}{\partial z} = Q_z \Big|_{z=-h} \quad (10)$$

93 that represent the continuity of the stress field and of the vertical component of the fluid  
 94 velocity, respectively.

We assumed the non-permeability of the sea bottom underlying the porous sedimentary layer: the boundary condition at the bottom of the porous sedimentary layer ( $z = -(h + h_s)$ ) is given by:

$$Q_z = \frac{\partial \eta}{\partial t} \Big|_{z=-(h+h_s)} \quad (11)$$

95 where  $\eta(x, t)$  is the bottom motion. The small-amplitude approximation, i.e.  $\eta/h \ll 1$ ,  
 96 must be satisfied.

We solved the equations by obtaining the potential field and the pressure in the porous layer by Fourier transforming with respect to  $x$  and Laplace transforming with respect to the time  $t$ :

$$\varphi(x, z, t) = \frac{1}{4\pi^2 i} \int_{s-i\infty}^{s+i\infty} d\omega \int_{-\infty}^{+\infty} dk [A(k, \omega) \sinh(-\alpha z) + B(k, \omega) \cosh(-\alpha z)] e^{\omega t + ikx} \quad (12)$$

$$P_s(x, z, t) = \frac{1}{4\pi^2 i} \int_{s-i\infty}^{s+i\infty} d\omega \int_{-\infty}^{+\infty} dk [C(k, \omega) \sinh(-kz) + D(k, \omega) \cosh(-kz)] e^{\omega t + ikx} \quad (13)$$

97 from which the desired quantities can be computed by using (4), (6) and (7). The A, B  
 98 and  $\alpha$  expressions are given in Appendix A, while the solution within the porous medium  
 99 (C and D) is not given here.

### 3. Results

100 The solved model allows us to study the signal amplitude and shape in the water layer  
 101 at different distances and depths, for different bottom motions. In this paper we have only  
 102 presented a simple kind of motion, i.e. the piston-like motion caused by a seabed displace-  
 103 ment of fixed length  $2a$ , which rises at constant velocity  $v_B$ , reaching the final permanent  
 104 elevation  $\eta_0$  in time  $\tau$  (permanent displacement). We have computed far more compli-  
 105 cated motions by combining this basic motion with a time shift operation (see Appendix  
 106 B) to obtain, for instance, an elastic seafloor motion with many different superimposed  
 107 frequencies whether followed or not by permanent displacement. On the other hand, the  
 108 contribution of different piston-like motions with different lengths, amplitudes, phases,  
 109 durations, polarities and time shifts has been investigated.

110 As a consequence of model linearity, it can be shown that all the output parameters  
 111 (i.e. sea level displacement  $\xi$ , the pressure  $P$ , etc.) are proportional to seafloor motion  
 112 amplitude  $\eta_0$ . The indicative value of  $\eta_0=1\text{m}$  for the amplitude of the vertical displacement  
 113 has been used.

114 In Fig. 1 the water surface at different observation points is shown, given a positive  
 115 permanent displacement of the sea bottom of 25-s duration. The displacement length is  
 116 chosen at  $2a=60$  km with a 3000 m water depth. The observing points are located at  $\bar{x}=\$



117 100, 200, 300 and 1000 km from the source, respectively. A 1500 m-thick porous seabed,  
 118 with volumetric porosity  $n=0.3$ , and a permeability  $K_p = 10^{-6} \text{ cm}^2$ , is assumed.

119 In Fig. 2 is shown the same simulations of Fig. 1, with the same parameters except for  
 120 the observation point, chosen at 1500 m depth. In the latter case, the vertical axis unit  
 121 is given in hPascal, roughly corresponding to 1 cm of equivalent water height, to favour a  
 122 direct comparison between the two simulations.

123 In both cases the signal amplitude decreases with the distance as  $\bar{x}^{-1/2}$ , showing small  
 124 attenuation amplitude also at a long distance from the source. The two Figures clearly  
 125 show the acoustic signal and its modulation, induced in the compressible water layer by  
 126 the seafloor motion. The signal vibrates at frequencies  $\nu_l = c(2l + 1)/4h$ , where  $h$  is the  
 127 water depth and  $l = 0, 1, 2, \dots$  (Nosov [1999]). The acoustic signal travels at sound speed  
 128  $c$  (here assumed equal to 1500 m/s), and reaches the observing points at time  $t_s = \bar{x}/c$   
 129 ( $\bar{x}=100, 200, 300, 1000\text{km}$ ), well preceding the arrival of the tsunami wave, which travels  
 130 at a lower speed  $v_T = (gh)^{1/2}$ . There is a shape difference between the signal modulation at  
 131 the water surface and the signal modulation in depth, described by the function  $f_p(k, \omega, z)$   
 132 in the  $(k, \omega)$  domain (see Appendix A), which acts as a sort of transfer function.

133 An interesting feature of the soundwave emitted by the compressible water layer os-  
 134 cillation, excited by the sea bottom motion, is its amplitude modulation. This acoustic  
 135 modulation carries information about seafloor motion and geometry.

136 In Fig. 3 the variation of the wave amplitude versus the source length (chosen as  $2a=30,$   
 137  $60, 90$  km respectively) is shown. The same bottom displacement is used as in Figs.1 and  
 138 2, at an observation distance of 300 km in a 1500 m-thick water layer with a 750 m

139 sedimentary bottom, for a 1 s motion duration. Permeability and porosity are the same  
 140 as in the previous simulations.

141 The envelopes can be obtained by applying a demodulation technique to the signals  
 142 of Fig. 3, as a Hilbert transform or the “square and low pass”. It is easy to see that  
 143 the number of modulation packets (pulses) is proportional to the ratio among the source  
 144 lengths: as the length of the source increases the number of modulations packets within  
 145 a time-interval increases by the same ratio. Furthermore, the mean slopes of the pulses  
 146 scales proportionally with the source lengths; the mean slope has been defined as the  
 147 difference between the relative maximum pulse and its relative minimum divided by the  
 148 pulse semi-length. The mean slope of the pulses varies with the energy released by the  
 149 bottom motion into the water layer due to different source lengths. As shown by Nosov  
 150 (*Nosov* [1999], *Nosov et al.* [2007], *Nosov and Kolesov* [2007]), within the frame of a  
 151 compressible model the energy transmitted to the water layer by the bottom motion  
 152 is given by  $W = \rho c V^2 S \tau$ . While tsunami energy, computed in the approximation of  
 153 incompressible fluid, is roughly given by  $W_T = 0.5 \rho g S (V \tau)^2$ , where  $S$  is the source area,  
 154  $V$  the sea bottom velocity and  $\tau$  the duration of the motion. Taking into account that  
 155 the bottom velocity is given by  $V = \eta_0 / \tau$ , where  $\eta_0$  is amplitude of the ground motion,  
 156 and substituting  $S$  with  $L$  for a source in the 2-D model, we can rewrite  $W = \rho c V L \eta_0$ . It  
 157 is straightforward to argue that if the mean slope is effectively an indicator of the energy  
 158 released by the bottom motion into the water layer and it scales proportionally to the  
 159 source length (see Fig. 3), it should also scale proportionally to the bottom velocity.

160 Fig. 4 shows the modulated acoustic waves caused by the same seafloor motion as in  
 161 Fig. 3, but with different velocities  $v_B$  of the source (here with a fixed length of  $2a=30$

162 km), which reaches the observation point located at a distance of  $\bar{x}=300$  km from the  
163 tsunami source. All the other parameters, such as water depth, porosity, etc., are the  
164 same as in the previous cases. Apart from the different amplitudes, the modulations  
165 appear to be quite similar. The mean slope of the envelopes scales with the same ratio of  
166 the different velocities as expected.

167 Summarizing, by using a semi-empirical approach to the data interpretation, we showed  
168 that within the acoustic modulation there is information of the source length, the ground  
169 motion velocity and amplitude, and the water depth at the source location. This in-  
170 formation can be extracted; in fact the source velocity, length and amplitude  $\eta_0$  can be  
171 calibrated taking into account the fact that the source distance, the duration of the mo-  
172 tion and the earthquake moment can, for instance, be independently retrieved from the  
173 seismic network.

174 A tsunami is always produced if a permanent seafloor displacement occurs. In princi-  
175 ple, within the framework of the model, by using the characteristics of the acoustic waves  
176 propagating into the water layer and the equations of continuous mechanics in the approx-  
177 imation of an elastic half-plane (again if the distance from the source and the earthquake  
178 magnitude are independently known) we can estimate the stress field at the source to  
179 evaluate the possible seafloor rupture; in this sense, the acoustic signal can be considered  
180 a tsunami precursor.

181 In Figs. 5 and 6 the effect due to the interference between the frequency of ground  
182 motion and the fundamental frequency of oscillation of the water column is shown. In  
183 this case a 1500 m water depth (the proper frequency of the water layer is 0.25 Hz and we  
184 use this frequency and its harmonics for the bottom motion), a 750 m sediment thickness,

185 a 30 km source length and an observation point at 375 km from the source have been  
186 used. As can easily be seen, the shape of the modulation in the "resonant" case is quite  
187 different from the modulation produced by ground motions with periods different from  
188 the period of the fundamental oscillation of the water column. Moreover, the amplitude  
189 of the "resonant" signal is much smaller than the "non-resonant" one (see Figs. 1, 2,  
190 3). The permanent displacement "resonant" modulation presents a typical and clearly  
191 recognizable feature with respect to the "non-resonant" one, and scales monotonically  
192 with the seafloor velocity as demonstrated by looking at the envelopes and at Fig. 5 that  
193 again shows a linear relationship among the mean slopes and the bottom velocities with  
194 correlation coefficient  $r^2=0.9987$ . After a first-pulse train, which scales proportionally to  
195 the velocities, the modulations turn into tails where the magnitude of the signals is almost  
196 the same for any "resonant velocity" before the tsunami arrives. Differences are in the  
197 order  $10^{-3}$  times the signal amplitude values. The very first part of the demodulation must  
198 be discharged in this particular case because the low pass filter demodulation technique  
199 fails in tightly follow the first high frequency pulses, due to the filter parameters settings.  
200 On the contrary, the tsunami wave amplitude is not affected by this sort of interference  
201 (see Fig. 9). The features of "resonant" acoustic signal is clearly recognizable from  
202 the non-resonant one, both from the signal pattern as well as from power spectra. The  
203 interference due to the same period of the seafloor motion and of the seawater-layer  
204 fundamental oscillation does not erase the source parameter information carried by the  
205 acoustic signal. This result remains valid also for much more complicated motions (see  
206 Appendix B). The information about the source parameters is contained in the acoustic  
207 modulation and can be retrieved.

208 In Fig 7 the acoustic modulation produced by a bottom motion with period far from res-  
209 onance is shown for pattern comparison (the observation point is placed at same distance  
210 of 375km from the source).

211 In Fig. 8 the spectra of "resonant" and "non-resonant" modulations are shown. Both  
212 spectra are peaked at the fundamental frequency of the water layer. Odd harmonics are  
213 also present. The "resonance" spectrum is characterised by a lower amplitude of the  
214 peaks, but a much more distributed power.

215 In Fig. 9 an example of tsunami generated by a permanent displacement bottom motion  
216 with 4 s "resonant period " is shown. The observing distance is 100 km from the source.  
217 The other parameters are the same used in Fig. 1.

218 Finally, in Fig. 10 the comparison between the compressible water layer perturbation  
219 with or without taking into account the porous bottom effect is shown. The presented  
220 model reduces to the Nosov's compressible model, in the limit of null-sediment thickness.  
221 The main effect of the porous layer is a lowering of the signal amplitude, during both  
222 generation and propagation, and also a high frequency smoothing. Fig. 10 (b) shows  
223 the comparison between the outputs of the compressible model with or without a porous  
224 bottom: by introducing the sediment effect the signal amplitude is damped. To magnify  
225 the effect here a porosity of 0.5 and a 1800 m sediment thickness were used. In Figs.  
226 10 (c) and (d) the comparison between the corresponding power spectra is shown. The  
227 porous layer causes a cut-off effect on the high frequencies as can be seen in Figure 10  
228 (d). The tsunami wave height is also influenced by the presence of a porous bottom that  
229 causes a small reduction of the wave amplitude.

#### 4. Discussion

230 Some results of a 2-D semi-analytical model for tsunami generation have been presented  
231 in the case of "piston-like" motion with residual permanent displacement, also taking into  
232 account local water compressibility and seabed porosity. In Appendix B we show that  
233 much more complicated sea-bottom motions can be obtained by combining the piston-  
234 like motion and the time-shift operator. Hence, the results obtained for the permanent  
235 displacement are still valid for these motions. In appendix A it is proven that the acoustic  
236 modulation obtained at depth can be always related to an equivalent acoustic modulation  
237 at the free surface.

238 Attention has to be paid to the interpretation of the results obtained for many dif-  
239 ferent reasons. The model is a simplified representation in respect to the real ocean.  
240 Moreover, this work uses a flat bottom 2-D model that neither takes into account possi-  
241 ble interference effects due to a 3-D wave generation and the bathymetric gradients nor  
242 eventual signal masking due to the environmental noise. Also the contribution of the  
243 non linear effects during the generation process is not taken into account (*Nosov and*  
244 *Skachko* [2001, 2002]). Nevertheless, the acoustic signal generated by the ground motion  
245 shows relevant features directly related to the distance, extension, velocity, amplitude,  
246 frequency and water-column height at the source. These characteristics are still present  
247 in the acoustic signal generated by more complicated seafloor motion (see Appendix B).  
248 The sea bottom motion always generates acoustic waves in the water layer, but the pres-  
249 ence of acoustic signals within the water column is not in itself an indicator of a tsunami  
250 wave. In fact, only residual seafloor displacement definitely generates tsunami, while  
251 elastic seafloor motion may generate a tsunami depending on the motion frequency and

252 on the water-column height (*Nosov* [1999]; *Nosov and Kolesov* [2007]). Nevertheless, by  
253 knowing the distance from the source and the earthquake magnitude, the information  
254 about the extension, the velocity and the amplitude of the ground motion at the source  
255 can be recovered from the modulation. In turn this information allows us to infer whether  
256 a seafloor rupture has been probably produced or not with a residual displacement. In  
257 this sense, the acoustic modulation can be considered as a tsunami precursor.

258 The method we devised to extract information on the modulation has to be understood  
259 as an heuristic method. It is important to underline that the distance from the source and  
260 the earthquake magnitude are parameters that can be acquired from the data collected  
261 by the seismic networks.

262 The existence of acoustic waves generated by seafloor motion in the actual oceanic  
263 environment is demonstrated by the in-situ measurements performed by the real-time  
264 JAMSTEC observatory during the Tokachi-Oki-2003 earthquake and consequent tsunami.  
265 The spectral analysis of the water pressure records clearly shows the low-frequency elastic  
266 oscillation of the water column (*Nosov et al.* [2007]; *Nosov and Kolesov* [2007]) expected  
267 and predicted by the compressible fluid formulation. The paper on the Tokachi-Oki event  
268 is also a good example of how much attention has to be paid in the application of model  
269 results to the actual environment. As expected from the theory, the elastic oscillation  
270 carries information on the water-column height at the source (7500 m in the Tokachi-Oki  
271 area), and also shows other frequency components that are much harder to interpret.

## 5. Conclusions

272 Some remarkable characteristics can be extracted from the acoustic signal generated  
273 in the water layer by the seafloor motion by applying a semi-empirical approach to the  
274 outputs of a number of simulations:

275 1. the acoustic signal generated by the sea-floor motion travels from the source at sound  
276 speed, reaching the observation points much earlier than the possible tsunami wave, also  
277 in case of occurrence in very deep waters;

278 2. the acoustic signal shows a low attenuation in amplitude also at a long distance from  
279 the source;

280 3. the amplitude of the acoustic signal scales with  $\bar{x}^{-1/2}$  where  $\bar{x}$  is the observing point-  
281 source distance;

282 4. the number of modulation packets, the amplitude of the acoustic signal and the  
283 mean slope of the pulse scale with the source length;

284 5. the acoustic signal carries information on sea bottom velocity and water depth at  
285 the source;

286 6. "resonance" interference between the bottom motion and the elastic proper fre-  
287 quency of the water layer does not clear the source motion information contained in the  
288 acoustic signal;

289 7. the main effect of the porosity is a low-pass filtering of the signals and a damping of  
290 the tsunami wave amplitude and the acoustic modulation.

291 In conclusion, the pulses of the acoustic envelope carry a surprising amount of infor-  
292 mation as to the source parameters, the source bottom motion, and the energy that the  
293 ground motion releases to the water column. This information may allow for the de-



294 velopment of a tsunami-warning technique based on this acoustic precursor and gives  
 295 outstanding information as to source ground motion. To apply these conclusions to the  
 296 ocean itself will require a great deal of work on the theoretical as well as the experimental  
 297 sides.

### Appendix A: Relationship between Inner Pressure and Free Surface

298 Some details on the solution of the equation of motion within the water layer, see eq.  
 299 (12), are here reported, while the explicit solution for the porous sediment layer is not  
 300 given. In particular, solving equations (2) and (5), in the Laplace and Fourier space  
 301 and imposing the boundary conditions, the problem reduces to a linear system of four  
 302 equations in the four variable  $A(k, \omega)$ ,  $B(k, \omega)$ ,  $C(k, \omega)$ ,  $D(k, \omega)$ . The first two defining  
 303 the pressure field into the water column, while the other two define the pressure field  
 304 within the porous sediment layer. Moreover, using the linear de-convolution algorithm, it  
 305 is possible to reconstruct the free-surface signal starting from the pressure signal within  
 306 the water layer, evaluated at a fixed depth  $z_0$ .

307 The functions  $A(k, \omega)$  and  $B(k, \omega)$ , used in eq. (12), are defined as:

$$A = \frac{\omega^2}{g\alpha} B \tag{A1}$$

$$B = -2\mu_p(\omega)\omega \frac{\sinh(kh)}{\cosh[k(h + h_s)]} \frac{\psi}{A_s(k, \omega)\sinh(\alpha h) + A_c(k, \omega)\cosh(\alpha h)} \tag{A2}$$

308 The functions  $C(k, \omega)$  and  $D(k, \omega)$  describing the pressure field into the porous domain  
 309 (that are not presented here) can be derived from  $A(k, \omega)$  and  $B(k, \omega)$ .

310 The symbols used in  $A(k, \omega)$  and  $B(k, \omega)$  are defined as:

$$\mu_p(\omega) = \frac{\mu}{K_p} + \frac{\rho\omega}{n} \quad (\text{A3})$$

$$\alpha^2 = k^2 + \frac{\omega^2}{c^2} \quad (\text{A4})$$

$$A_s(k, \omega) = \frac{2k\rho\omega^3}{\alpha g} [1 - \cosh^2(kh)t_h(k)] + \mu_p(\omega)\alpha \sinh(2kh)t_h(k) \quad (\text{A5})$$

$$A_c(k, \omega) = 2k\rho\omega [1 - \cosh^2(kh)t_h(k)] + \frac{\mu_p(\omega)\omega^2}{g} \sinh(2kh)t_h(k) \quad (\text{A6})$$

$$t_h(k) = 1 - \tanh(kh)\tanh[k(h + h_s)] \quad (\text{A7})$$

311  $\psi(k, \omega)$  is the Laplace (time) and Fourier (x space coordinate) transform of the bottom  
 312 floor motion  $\eta(x, t)$ :

$$\eta(x, t) = \frac{1}{2\pi i} \int_{s-i\infty}^{s+i\infty} d\omega \left[ \frac{1}{2\pi} \int_{-\infty}^{+\infty} dk \psi(k, \omega) e^{\omega t + ikx} \right] \quad (\text{A8})$$

313 The permanent displacement is described by the function:

$$\eta(x, t) = \eta_0 [\theta(x+a) - \theta(x-a)] \left[ \frac{\theta(t)t - \theta(t-\tau)(t-\tau)}{\tau} \right] \quad (\text{A9})$$

314 Where  $\theta$  is the Heaviside function.

315 The pressure fluctuations within the water column, at depth  $z$ , can be obtained using

316 eq. (6):  
 D R A F T

$$P(x, z, t) = -\rho \frac{1}{4\pi^2 i} \int_{s-i\infty}^{s+i\infty} d\omega \int_{-\infty}^{+\infty} dk \omega f_p(k, \omega, z) B(k, \omega) e^{\omega t + ikx} \quad (\text{A10})$$

317 where:

$$f_p(k, \omega, z) = \frac{\omega^2}{g\alpha} \sinh(-\alpha z) + \cosh(-\alpha z) \quad (\text{A11})$$

318 The free-surface elevation, that can be obtained using eq. (7), is similar to the previous  
 319 expression given for pressure field, except for the multiplying integrand factor  $f_p(k, \omega, z)$ .

320 In fact:

$$\xi(x, t) = -\frac{1}{g} \frac{1}{4\pi^2 i} \int_{s-i\infty}^{s+i\infty} d\omega \int_{-\infty}^{+\infty} dk \omega B(k, \omega) e^{\omega t + ikx} \quad (\text{A12})$$

321 Using the properties of the Laplace and Fourier transforms, the pressure field at depth,  
 322 given by equation (A10), can be obtained from the linear convolution between the free-  
 323 surface perturbation, given by equation (A12), and the inverse Laplace and Fourier trans-  
 324 forms of the function  $f_p(k, \omega, z)$ . In other word, the source information carried in the  
 325 free-surface modulation is still present at depth and can be recovered applying a linear  
 326 de-convolution.

## Appendix B: Composition of motion

327 In the case of seafloor motion with final permanent displacement, the free-surface solution,  
 328 evaluated at fixed location  $\bar{x}$ , carries on relevant information concerning the source motion  
 329 and geometry. Different and more complicated sea bottom motions can be obtained com-  
 330 bining the permanent displacements with time-shift operations. The free-surface solution,

331 corresponding to these different motions, can be related to the solution obtained for the  
 332 permanent displacement, moreover it can be inverted using the Laplace transform and its  
 333 properties. As a consequence, also in the case of more complicated seafloor motions, the  
 334 acoustic modulation still carries on the same information about the source motion and  
 335 geometry. In Fig. (11) some examples of seafloor motions are displayed, which can be  
 336 obtained combining the time-shift operator with the permanent displacement. The three  
 337 different kind of motions can be used in turn for the construction of more complicated  
 338 seafloor motions.

Introducing the time-shift operator:

$$T_\tau f(t) = f(t - \tau) \quad (\text{B1})$$

the simpler elastic seafloor motion (rise and fall)  $\eta_e(t)$  is:

$$\eta_e = (1 - T_\tau)\eta_p \quad (\text{B2})$$

where  $\eta_p(t)$  is the function describing the permanent seafloor motion. From equation eq. (A12) of Appendix A the free-surface solution at fixed observing point is:

$$\xi(x, t) = F^{-1}I(k, \omega)F\eta(x, t) = H\eta(x, t) \quad (\text{B3})$$

where:

$$I(k, \omega) = -\frac{\omega B}{g \psi} \quad (\text{B4})$$

$F$  is the operator corresponding to direct Laplace and Fourier transforms. Using the properties of the Laplace transform, with some algebra, we can show that the two operators  $H$  and  $T_\tau$  commute:

$$HT_\tau\eta(x, t) = H\eta(x, t - \tau) = F^{-1}I(k, \omega)e^{-\omega\tau}F\eta(x, t) = \xi(x, t - \tau) = T_\tau H\eta(x, t) \quad (\text{B5})$$

339 Using this property, the free-surface solution  $\xi_e$ , corresponding to the elastic seafloor  
 340 motion described in eq. (B2), can be written as a function of the solution  $\xi_p$  corresponding  
 341 to permanent displacement:

$$\xi_e = H\eta_e = H(1 - T_\tau)\eta_p = (1 - T_\tau)H\eta_p = (1 - T_\tau)\xi_p \quad (\text{B6})$$

342 The same conclusion can be easily extended to more complicated bottom motions. Eq.  
 343 (B6) can be inverted using Laplace transform:

$$\tilde{\xi}_p(x, \omega) = \frac{1}{1 - e^{-\omega\tau}} \tilde{\xi}_e(x, \omega) \quad (\text{B7})$$

344 where the tilde denotes the Laplace transformed function with respect to  $t$ . If the pa-  
 345 rameter  $\tau$  is known (for instance from the seismic network), then equation (B7) can be  
 346 solved and, as in the case of the permanent displacement, the information  $\xi_p$  on the source  
 347 motion can be estimated.

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**Figure 1.** The acoustic modulation and tsunami: free surface plot at 100km (a), 200km (b), 300km (c) and 1000km (d) distance from the source respectively. The parameters of the simulation are: amplitude of the bottom displacement  $\eta_0 = 1\text{m}$ , duration of motion  $\tau = 25$  second. The source length is  $2a = 60$  km and the water depth is  $h = 3000\text{m}$ , the porous sea bed thickness is 1500 m, with volumetric porosity  $n = 0.3$  and a permeability  $K_p = 10^{-6}\text{cm}^2$ .

**Figure 2.** Inset (a), (b) and (c): the same plots of corresponding inset in figure 1, except for the depth of the observing point, here chosen at 1500m depth. The acoustic modulation is similar to the one plotted at the free surface, but distorted by the factor  $f_p(k, \omega)$  described in the appendix A. The tsunami is indicated by the arrows. The inset (d) shows a relevant amplitude of the acoustic signal at a 1000 km distance from the source.

**Figure 3.** The acoustic modulation due to different source length  $a$  of 30km, 60km and 90km respectively for inset (a), (b), and (c). The observing distance is 300km and the water layer is 1500m deep. The sedimentary bottom has a thickness of 750m, the motion duration is 1 second (bottom motion amplitude, permeability and porosity are the same as in previous simulations). As can be easily noticed by the zoomed envelopes of inset (d) the number of pulses in same time interval varies with the source length, scaling with the ratio among the lengths. Inset (e): the mean slope of the pulses varies with the energy released in the water column by the sources of different length and vary with the ratio among the source lengths  $2a$ .

**Figure 4.** The inset (a), (b), (c) show the acoustic modulation, with the envelopes superposed, due to different source velocities. Inset (d) shows the comparison among the envelopes, with the value of the mean slope of the pulses. The mean slopes variation is directly proportional to the velocities variation. As in figure 3 the mean slope is an indicator of the energy released into the water by the ground motion, but differently from there the number of pulses is the same within the same time interval. The length of the source is  $2a = 30$  km. The observation point is located at distance 300 km from the source and all the other parameters, such as water depth, porosity etc. are the same of Figure 3.

**Figure 5.** The envelopes of permanent displacement motions with periods 4, 8, 12 and 16 seconds are respectively shown in inset (a), (b), (c) and (d). Here the water depth is 1500m, the sediment thickness is 750m, the source length is 30km and the observation point is at 375km from the source. All the other parameters are the same of figure 3. The interference between the sea floor motion frequency and the water layer fundamental frequency of oscillation brings to a very different modulation pattern with respect to the one caused by same sea floor motion with frequencies far from the water layer fundamental frequency. Moreover the modulation amplitude is smaller.

**Figure 6.** As can be noticed by the inset on the left, different "resonant" period choices for sea floor motion produce similar envelopes, which in the first pulses scale in amplitude with the period (i.e. inverse of bottom velocities) and then flatten on tails of equal amplitudes before the tsunami arrival (about 3100 seconds in this simulation). Right inset: mean slopes against "resonant" bottom motion periods The linear trend is clearly recognizable; the correlation coefficient is  $r^2 = 0.9987$ .

**Figure 7.** The acoustic modulation produced by a bottom motion with period far from resonance is shown for pattern comparison with respect to Fig 5 (the observation point is placed at same distance of 375km from the source).

**Figure 8.** The amplitude spectrum corresponding to fig.5b ("resonant" bottom motion period of 8 seconds) and its zoomed sketch, boxes (a) and (b) respectively. In inset (c) and (d) the amplitude spectrum and zoomed sketch are shown for a bottom motion of period 10 seconds, far from the resonance (the other parameters are the same). Note the different spectral distribution and amplitudes.

**Figure 9.** The tsunami generated by a 4 sec period "resonant" permanent displacement, the observation point is at  $\bar{x} = 100$ km distance from the source. The tsunami amplitude is the same as in Fig.1.

**Figure 10.** Comparison of tsunami generation process with (black line) or without (red line) a porous layer. Inset (a): tsunami profile at fixed time  $t=100$  s after the initial bottom motion; green dotted lines delimit the bottom motion area. Inset (b): free surface signals at 800 km distance from the source. Inset (c) and (d): amplitude spectrum corresponding to inset (b) and its zoomed sketch. The effect of the porosity is a lowering of the acoustic modulation and tsunami amplitude and a frequency smoothing. The frequency cut off due to the action of porosity is clearly shown. The sediment layer is 1800 m thick and the porosity is chosen as  $n = 0.5$ . The motion duration is 20 second and the source extension is  $2a = 30$  km.

**Figure 11.** Some "basic " kind of motion are shown: on the left the time history and on the right corresponding piston motions.























