

1 **Topographic control on lava flow paths at Mt. Etna (Italy): implications for hazard**
2 **assessment**

3
4 Massimiliano Favalli¹, Francesco Mazzarini¹, Maria Teresa Pareschi¹, Enzo Boschi¹
5 1- Istituto Nazionale di Geofisica e Vulcanologia, Pisa, Italy
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7
8 **Abstract**

9 Assessment of the hazard from lava flow inundation at the active volcano of Mt. Etna (Italy)
10 was performed by calculating the probability of lava flow inundation at each position on the
11 volcano. A probability distribution for the formation of new vents was calculated using geological
12 and volcanological data from past eruptions. The simulated lava flows from these vents were
13 emplaced using a maximum expected flow length derived from geological data on previous lava
14 flows. Simulations were run using DOWNFLOW, a DEM-based model designed to predict lava
15 flow paths. Different eruptive scenarios were simulated by varying the elevation and probability
16 distribution of eruptive points. Inundation maps show that the city of Catania and the coastal zone
17 may only be impacted by flows erupted from low-altitude vents (< 1500 m elevation), and that flank
18 eruptions at elevations > 2000 m preferentially inundate the northeast and southern sectors of the
19 volcano as well as the Valle del Bove. Eruptions occurring in the summit area (> 3000 m elevation)
20 pose no threat to the local population. Discrepancies between the results of simple, hydrological
21 models and those of the DOWNFLOW model show that hydrological approaches are inappropriate
22 when dealing with Etnean lava flows. Because hydrological approaches are not designed to
23 reproduce the full complexity of lava flow spreading, they underestimate the catchment basins when
24 the fluid has a complex rheology.

25

26 **1. Introduction**

27 The flanks of several basaltic volcanoes are highly populated, and the towns, cities and
28 associated infrastructures may be impacted by lava flows during effusive eruptions. Lava flow
29 hazards on the flanks of such volcanoes (e.g., Mauna Loa, Mt. Etna) are of great concern for the

30 protection of civilians because of the frequent eruptions and the growing vulnerable population [e.g.
31 Trusdell 1995; Rowland et al., 2005]. It is difficult to assess lava hazard through computer
32 simulations of lava flow [e.g. Crisci et al., 2004a] because of the evolving topography during an
33 eruption: as new lava flows are emplaced, they produce new topographic features that can influence
34 the paths of subsequent lava flows. Lava flows are unconfined, multiphase and multicomponent
35 flows in which temperature, rheology and effusion rates all vary in time and space. Given the high
36 complexity of these processes, in most real case applications it would be impractical to perform a
37 direct numerical simulation of the complete set of flow conservation laws. Because the real-time
38 forecast of lava flow paths is essential during volcanic crises, a number of “simplified” models have
39 been developed; these models are mainly based on the assumption that lava follows the steepest
40 gradient downhill and spreads laterally according to its critical thickness and mass conservation
41 [e.g. Wadge et al., 1994]. Other models are based on a probabilistic definition of lava flow
42 spreading [e.g. Favalli et al., 2005]; they generally involve low CPU execution times and do not
43 require accurate specification of the physical properties of lava (e.g. flow rate, temperature,
44 cristallinity, viscosity, yield strength). Other models are based on cellular automata or neural
45 network approaches [e.g. Crisci et al., 2004a] or on various simplifications of the governing
46 physical equations [e.g. Dragoni et al., 1986; Harris and Rowland, 2001; Costa and Macedonio,
47 2005].

48 Here we use a modified version of DOWNFLOW [Favalli et al., 2005]. The previous versions
49 of the DOWNFLOW code [Favalli et al., 2005; Favalli et al., 2006] predicted which areas would be
50 inundated by lava flows erupting from a single vent. The new DOWNFLOW version adopts
51 probability distributions for vent opening and lava flow length distributions to create maps of lava
52 inundation probability.

53 We applied this new model to lava flow hazard assessment on Mt. Etna volcano, located on the
54 East coast of Sicily (Italy). Mt. Etna is characterized by numerous (near yearly) eruptions
55 accompanied by effusive and moderate explosive activity [Behncke and Neri, 2003; Neri and

56 Acocella, 2006; Allard et al., 2006]. Eruptions from both summit and flank vents at variable
57 effusion rates have produced numerous composite lava fields [e.g. Harris et al., 2000; Branca and
58 Del Carlo, 2004; Calvari and Pinkerton, 1998; Romano and Sturiale, 1982]. Much of the erupted
59 lava has flowed into a deep scar resulting from flank instability on the eastern flank of the volcano
60 (Valle del Bove) [Borgia et al., 1992].

61 Existing hazard zonation maps of Etna have been compiled considering the main volcanological
62 parameters of all eruptions since A.D. 1600 (e.g., including vent location, age, type of eruption,
63 volume of lava flow and tephra) and the areas invaded by lava flows since 700 B.C.; they assume
64 that the characteristics of future eruptions will be similar to those of past eruptions [Guest and
65 Murray, 1979; Andronico and Lodato, 2005; Behncke et al., 2005]. We propose a different hazard
66 assessment approach based not only on the frequency of coverage of past lava flows, but also on the
67 numerical simulation of possible lava flow paths, taking into account the actual topography over
68 which lavas flow. Updated high resolution digital elevation models (DEM) of Mt. Etna have
69 recently been derived from LiDAR (Light Detection and Ranging) data [Mazzarini et al., 2005;
70 2007]. Using vent and lava flow length distributions based on the statistical analysis of past
71 eruptions, DOWNFLOW performs simulations of all possible future lava flow paths and produces
72 detailed quantitative maps of the probability of inundation by lava flows. This is possible because:
73 i) DOWNFLOW accounts for the most relevant emplacement characteristics of real lava flows,
74 such as filling of topographic depressions, overriding of topographic obstacles and lava flow
75 spreading, without requiring detailed input parameters; ii) the code is able to compute invasion
76 areas from each vent in a very short computational time; iii) simulation outputs require relatively
77 little disk space. Lava flow paths from all possible vents can therefore easily be simulated and
78 stored, results can be post-processed to produce quantitative hazard maps for different scenarios,
79 and lava catchment areas can be identified rigorously by considering all the vents from which lava
80 flows can invade a target area.

82 **2. Mt. Etna Digital Elevation Model**

83 Airborne altimetric LiDAR data were used to generate a high-resolution (2 m) DEM of most of
84 Mt. Etna from data acquired during flights on September 29 (8:00-11:00 a.m. local time) and
85 September 30 (8:00-10:30 a.m. local time) 2005. LiDAR data have already proved useful in
86 analyzing the morphology of rapidly evolving features of the landscape, for example active
87 volcanoes [e.g. Mazzarini et al., 2005; Mazzarini et al., 2007; Ventura and Vilaro, 2007], as well
88 as in lava flow modeling [e.g. Harris et al., 2007].

89 An ALTM 3033 (Optech®) laser altimeter (see Table 1 for instrument characteristics) was used
90 to survey an area of 616 km². Measurements were made at a frequency of 33 kHz, resulting in a
91 mean ground point density of 1 point per 2.4 m² (generally one point every 1.5 x 1.5 m²). The
92 LiDAR survey consists of more than 2.57 x 10⁸ data points. These points (echoes) were distributed
93 along 34 NNE-SSW trending strips covering part of Etna's western flanks and the majority of its
94 eastern flanks. The resulting DEM, geocoded to a UTM-WGS 84 projection, has an elevation
95 accuracy of ±0.4 m and a horizontal accuracy of ±1.5 m.

96 At the LiDAR operating wavelength ($\lambda = 1.064 \mu\text{m}$), atmospheric absorption is minimum;
97 however, during an eruption, ash and gases can greatly hamper data acquisition. Likewise,
98 persistent degassing such as that which feeds a constant plume at Etna can cause unwanted
99 atmospheric effects, especially near the summit craters. Our September 2005 LiDAR survey (with
100 flight altitudes ranging from 1500 to 3500 m) occurred during a period of low volcanic activity
101 characterized by clear atmospheric conditions. A 10 m step DEM was derived from the LiDAR
102 data. Outside the region covered by the LiDAR survey, the LiDAR DEM was merged with a 10 m
103 step DEM of all of Italy created by Tarquini et al. [2007].

104

105 **3 Lava flow simulation**

106 **3.1 The DOWNFLOW probabilistic code**

107 DOWNFLOW [Favalli et al., 2005] computes maximum slope paths on a stochastically
108 perturbed topography. It is based on an assessment of the steepest descent path, which is derived
109 multiple times (generally thousands of times) on a randomly perturbed topography in an attempt to
110 quantify all possible flow paths. For each emission point, only two model parameters must be input.
111 The first parameter (Δh) represents the maximum vertical perturbation (either positive or negative)
112 which is applied to the DEM during each iteration. The second parameter (n) represents the number
113 of steepest descent path calculations performed for any single run from a user-defined emission
114 point [Favalli et al., 2005; 2006].

115 Favalli et al. [2005] performed a dimensional analysis of steady-state lava flows on an inclined
116 plane; results indicated that lava spreading is controlled by the competing viscous and gravitational/
117 self-gravitational (hydrostatic) forces. These forces can be characterized by a vertical scale length H
118 that approximates the size of obstacles which can be overridden by the flow. Stochastic variations in
119 ground elevation by a characteristic vertical height equal to $2\Delta h \cong H$ employed in the computation
120 of maximum slope paths therefore account for first-order variations in lava flow spreading. An
121 appropriate value of Δh can be obtained through the best fit of simulated and actual lava flows; such
122 a value relates to the characteristic height of obstacles capable of diverging the flow. In the case of
123 Mt. Etna, the best fit to lava flow maps for the 1991-1993, 2001 and 2002 flank eruptions is given
124 by $\Delta h = 3$ m and $n = 10,000$ [Favalli et al., 2005]. For example, the simulated inundation area of the
125 2001 flow covers 90% of the actual flow. We thus assume that these Δh and n values are
126 appropriate for typical flank eruptions at Etna. Comparison between real and simulated flow fields
127 at Etna and Nyiragongo indicates that the DOWNFLOW approach yields realistic emplacement
128 areas [Favalli et al., 2005; 2006].

129 We applied DOWNFLOW to Mt. Etna, for which a high resolution LIDAR-derived DEM is
130 available. We checked the sensitivity of DOWNFLOW against the DEM resolution in the case of
131 the southern 2001 lava flow at Mt. Etna [Favalli et al., 2005]. The 1998 DEM of Tarquini et al.
132 [2007], with a cell size of 10m, was used as a topographic base. The DEM root mean square (RMS)

133 vertical error in the study area is 1.43 m. By resampling the original DEM, we generated a series of
134 new DEMs with different cell sizes (up to 120 m) and vertical errors (up to 4.23 m), as reported in
135 Table 2. We then performed simulations with DOWNFLOW for each newly generated DEM, using
136 the 2001 vent as the starting point, with $\Delta h = 3$ m and $n = 10,000$. To compare the simulations with
137 the actual flow, for each simulation we calculated the parameter μ :

138
$$\mu = \frac{A_S \cap A_R}{A_S \cup A_R}$$

139 where A_S is the area covered by our simulation and A_R is the area covered by the actual 2001 flow;
140 μ is 1 when these two areas coincide. In the case of the 10-m DEM we obtained a μ value of 0.52,
141 which indicates that there is good agreement between the simulated flow and the actual flow. As
142 shown in Table 2, the agreement between the simulated flow and the actual 2001 lava flow is
143 almost constant up to grid cells of 90 m (or up to RMS vertical errors of 3.27 m), whereas μ values
144 gently decrease for higher cell dimensions. The probabilistic nature of DOWNFLOW is such that
145 intrinsic errors in the adopted DEM are overcome, since random perturbations of the topography
146 already add random ‘errors’ to the topography.

147 Recently DOWNFLOW was applied on DEMs of coarser resolution. In particular,
148 DOWNFLOW has successfully reproduced the 1977 and 2002 lava flows at Nyiragongo Volcano
149 using a 90-m pixel DEM derived from the Shuttle Radar Topographic Mission (SRTM;
150 <http://www2.jpl.nasa.gov/srtm>) as a topographic base [Favalli et al., 2008]. This indicates that
151 DOWNFLOW can be used with the SRTM digital elevation model for most volcanoes in the world
152 where high resolution data are not yet available.

153 However, the model allows neither a description of the time evolution of lava flows and of the
154 effects of effusion rates, nor the definition of flow lengths. Accordingly, the length distribution for
155 the simulated lava flow must be input.

156

157 **3.2 Probability distribution of vents**

158 Although eruptions at Mt. Etna occur from both the summit and flanks, we focus on the hazard
159 due to flank eruptions, which have proved to be the most dangerous in the past [Chester et al., 1985;
160 Mulargia et al., 1985; Crisci et al., 2004b; Andronico and Lodato, 2005; Behncke et al., 2005] and
161 often have the highest effusion rates [Harris et al., 2000; Wadge 1981]. According to Guest and
162 Murray [1979] and Chester et al. [1985], certain flank areas of Mt. Etna are more likely to produce
163 eruptions than others; these areas correspond to zones with a high density of cones and eruptive
164 fractures, i.e. the NE, S and W rift zones [e.g. Kieffer, 1975].

165 The probability of a vent opening in a certain location is usually based on the assumption that
166 future vents will form in zones with the highest density of old vents [Guest and Murray, 1979;
167 Behncke et al., 2005]. The location of more than 400 vents were thus extracted from LiDAR-based
168 DEMs of Mt. Etna and published maps [Mazzarini and Armienti, 2001]. From this discrete set of
169 points we estimated the vent opening probability distribution through a kernel smoothing technique
170 [Bowman and Azzalini, 2003] using a Gaussian kernel with a bandwidth of 1 km. In Fig. 1 we plot
171 the smoothing kernel over the vent spacing distribution. The distribution of vent spacing (vent
172 separation) was calculated by computing the distance between each vent and the nearest neighbor
173 vent. Results show that there is a very low probability that a vent will open less than 80 m from
174 another vent. The probability distribution for vent opening was thus assessed using the vent density
175 map and the 80 m proximity threshold (Fig. 2). This vent opening distribution does not, of course,
176 take into account the possibility of vents or vent clusters opening in areas where vents are currently
177 not exposed at the surface. This possibility is taken into account, as described in the following
178 sections, by using a uniform vent distribution.

179

180 **3.3 Lava flow length distribution**

181 Following Favalli et al. [2005], data from Guest [1982], Behncke et al. [2005], Allard et al.
182 [2006], and Neri and Acocella [2006] was plotted on a flow length versus vent elevation diagram

183 (Fig. 3). In the last two decades eruptions have occurred above 2000 m and have been characterized
184 by a maximum runout distance of 8.75 km [Behncke et al., 2005].

185 According to Guest [1982] and Lopes and Guest [1982], at Mt. Etna there is an inverse
186 correlation between vent elevation (h_v) and the length of the erupted lava flow (L): the lower the
187 elevation the longer the lava flow. The points in the h_v - L space for the lava flows at Mt. Etna (Fig.
188 3) are bounded by a straight line defined by the following equation [Favalli et al., 2005]:

$$189 \quad L_l = -6.25 h_v + 26,075 \quad (1)$$

190 where h_v (m) is the vent elevation and L_l (m) is the maximum runout distance of the lava flow front.

191 For our purposes, we need to know the probability PL_{ij} that a lava flow originating from a
192 given location i reaches a site j . We thus assume $PL_{ij} = 0$ if the distance along the flow path from
193 vent i to site j (L_{ij}) is greater than L_l , as defined in equation (1); $PL_{ij} = 1$ when $L_{ij} \leq 0.5L_l$, and it
194 increases linearly from 0 to 1 when $L_l > L_{ij} > 0.5L_l$. Equation (1) gives the maximum runout
195 distance for lava flows erupting at a given elevation. It actually overestimates maximum lava flow
196 lengths for vents at altitudes < 2000 m. For example, using equation (1), the maximum length of
197 lava flows erupted at an elevation of 1500 m is 16,700 m. To quantify the impact of length
198 overestimation on the final probability maps, let us assume that the real length of lava flows erupted
199 at 1500 m is 14,000 m instead of 16,700 m. This would produce a variation of only 2% in the
200 probabilities of inundation in the final hazard map.

201

202

203 **4. Maps of the probability of lava inundation**

204 Maps of the probability of inundation by lava flows were compiled for Mt. Etna using
205 DOWNFLOW [Favalli et al., 2005]. The maps represent the probability that a given location will be
206 inundated by lava flows in the case of a flank eruption. The inundation probability maps are based
207 on: (i) the probability distribution of future vents (PV), and (ii) the probability length distribution of
208 future lava flows (PL).

209 The probability of inundation (P_j) by lava flows at a generic site j is defined as:

$$210 \quad P_j = \sum_i PV_i \times PS_{ij} \times PL_{ij} \quad (2)$$

211 where the sum is over all the vents i , PV_i is the probability that a vent forms at location i , PS_{ij} is the
212 probability that site j is inundated by a lava flow erupted from a vent at location i (using the
213 DOWNFLOW simulation code), and PL_{ij} is the probability that a lava flow originating from
214 location i has the capability to reach site j , as defined in the previous section.

215 The 10 meter DEM was used as a computational grid for the simulations. The selected vent or
216 source region includes all areas with a vent opening probability higher than 0.1 %/km², for a total
217 surface area of 320 km². Computational vents were chosen on a regular mesh with a node
218 separation of 80 m.

219 To test the influence of the initial vent distribution, several inundation maps were prepared
220 (Figs. 4 to 7). The maps were compiled on the basis of 5×10^4 simulations, i.e. simulations were run
221 from 5×10^4 likely vent locations, which allowed the computation of a total of 5×10^8 lava flow
222 paths. Each of these simulations took a few minutes. The vent probability threshold (0.1 %/km²)
223 was used to obtain an acceptable compromise between CPU time and the accuracy of the final
224 inundation maps. The inclusion of regions with vent opening probabilities lower than 0.1 %/km²
225 contributes negligibly to the final maps but increases the computational time by more than 100
226 days.

227 Using the total vent probability distribution as a source region (Fig. 2), the highest inundation
228 probabilities are in the south-southeastern, western, and northeastern sectors, at elevations below
229 1500 m above sea level (Fig. 4). The longest lava flows are able to impact Catania and the coastal
230 areas; these flows originate from source vents located in two main areas, one at an elevation of
231 about 1500 m and the other at about 800 m. Comparison of Fig. 4 with Fig. 3 in Guest and Murray
232 [1979] reveals that the main advantage of our approach is that it allows the quantification of hazard
233 (inundation probability): the Guest and Murray [1979] approach cannot be used to identify regions
234 most prone to inundation by lava flows, whereas their identification is straightforward in Fig. 4.

235 Based on the steepest descent paths, Guest and Murray [1979] produced maps of the maximum
236 extent of lava flows erupted from the outer edge of the region with a probability of vent opening > 1
237 vent per km^2 . A comparison of their maps with our probability maps for venting above 2000 m is
238 shown in Fig. 8 for the northern sector of the volcano. In comparing these maps, we must consider
239 the different accuracy of the two different topographic bases (i.e. our 2 m LIDAR DEM versus 25
240 m contour topographic maps) and the time interval between the two (about 30 years of volcanic
241 activity). The map by Guest and Murray [1979] only qualitatively displays the lava paths and does
242 not specify the probability that any given point along a path will be invaded by lava. For example,
243 based on our maps, zones a and b in Fig. 8 have very different probabilities of invasion (0.2% and
244 12%, respectively), whereas no probability estimate is provided in the map by Guest and Murray
245 [1979]. Even if we consider the density of steepest descent paths as a rough indicator of the
246 probability of invasion in Guest and Murray [1979], areas with very high path densities in their map
247 correspond to areas with low lava flow invasion probability in Fig 8 (e.g., zone c). Moreover, Guest
248 and Murray's [1979] map was derived specifying vents only on the outer edge of the region having
249 a probability of vent opening greater than 1 vent per km^2 and not considering the actual spatial
250 distribution of the vent opening probability, i.e. neglecting the contribution of vents inside the 1
251 vent per km^2 contour (e.g., Fig. 2 of Guest and Murray [1979]).

252 In the last decade, flank activity at Mt. Etna has been characterized by eruptions from vents
253 located at elevations above 2000 m [e.g. Andronico and Lodato, 2005]. Accordingly, an inundation
254 probability map was compiled considering the possibility of new vents opening above an elevation
255 of 2000 m only (Fig. 5). The resulting map shows the highest inundation probability in areas where
256 lava flows have been emplaced recently (Figs. 5, 9 and 10). With the 2000 m vent elevation
257 threshold, the zones with the highest probability move uphill due to the higher elevation of the
258 starting positions and the maximum length condition imposed by equation 1 (Fig. 5).

259 To highlight the low hazard in the case of vent opening in the summit region, a map was
260 produced assuming a uniform vent distribution for vents located above an elevation of 3000 m. For

261 eruptions from vents located above 3000 m, the inundation region is limited to a distance of about 7
262 km from the summit (Fig. 6), extending downhill to an elevation of 1500 m, thereby posing no
263 threat of lava flow inundation to inhabited areas. The rims of the Valle del Bove (VDB in Fig. 2)
264 clearly protect portions of the flank from summit eruptions, which are contained within the valley.
265 Moreover, the 2002-2003 cones and lava field play a role in controlling the path of simulated lava
266 flows: the newly formed volcanic constructs hinder the southward propagation of simulated lava
267 flows (Fig. 6).

268 Lava flows modify the landscape, generating new morphologies that may or may not hinder the
269 path of future flows. This process is evident from the fields emplaced during the 2001 and 2002-
270 2003 Mt. Etna eruptions. In the case of the southern 2001 eruption (Fig. 9), the highest probability
271 of inundation is mainly attained along a strip parallel to the 2001 flow, and the thick 2001 flow
272 front has a near-zero probability of inundation because it is now a topographic high. In contrast, the
273 2002-2003 flow (Fig. 10) was emplaced in a valley with a high probability of inundation, and future
274 flows will thus pile up on the 2002-2003 flow.

275 All the presented maps are based on the 2005 topography. During July-November 2006, a new
276 summit eruption occurred that produced two main lava flows: a southwest flow and an easterly flow
277 (<http://www.ct.ingv.it/Etna2006/Default.htm>). We used this eruption to check the accuracy of our
278 methodology. The probability map of flow inundation for a uniform vent distribution across the
279 summit zone (i.e. above an elevation of 3000 m) was compared with the actual flows erupted in
280 2006 (Fig. 11). The use of a uniform vent distribution does not completely account for the actual
281 vent distribution in the summit zone. For example, it underestimates the relevance of the small area
282 occupied by the SE Crater (SEC), which has been the principal site of effusive eruptions in the last
283 few years [Allard et al. 2006]. According to Fig. 6, this frequently inundated region downstream of
284 the SEC has low inundation probability values. This incongruity relates to the use of a uniform vent
285 distribution; the inundation probability values depend on the extent of the area where vents may
286 open: the larger the area, the higher the probability.

287 The area covered by the southwest lava flow, erupted from a fissure located on the southern
288 flank of the summit crater (Fig. 11), matches well with the predicted high probability zone in Figs. 6
289 and 10. The eastern lava flow (Fig. 11) erupted from the base of the SEC covers a medium-
290 probability (3-8%) zone; at the end of its path it enters the high-probability (8-16%) area supplied
291 by lava from the entire summit crater.

292 To highlight the importance of vent distribution, a map of the probability of inundation was
293 produced considering the possible opening of new vents above an elevation of 2000 m only and
294 assuming a uniform vent distribution (Fig. 7). The overall symmetry of the volcano's edifice results
295 in an almost symmetrical distribution of inundation probabilities. Deviations from this general
296 pattern occur in the principal basins. For example, concavities in the 2000 m contour tend to focus
297 flow paths towards the center of each concavity, resulting in high inundation probabilities
298 downslope of such topographic features (Fig. 7). Comparison of the probability maps generated
299 using non-uniform (Fig. 5) and uniform (Fig. 7) vent distributions and considering the possible
300 opening of vents above 2000 m only reveals that the non-uniform simulation better accounts for the
301 effusive activity of the last 10 years. This is evident when comparing the probability maps with the
302 map of real lava flows at Mt. Etna from 1995 to 2005 (Fig. 1 in Allard et al., [2006]).

303 These probability maps give the spatial probability of inundation for the opening of a new vent.
304 We next considered the probability $p_{erupt}(x,t)$ that, in a given time interval (t), a given location (x)
305 would be inundated by lava. To do this, we combined (1) the probability $p_{erupt}(t)$ that at least one
306 eruption would occur during time t with (2) the probability $p_{in}(x)$ that each eruption would inundate
307 a given location. Figs. 4 to 7 display $p_{in}(x)$ values for each vent distribution scenario considered
308 herein.

309 Assuming that eruptions are randomly distributed in time and have an average recurrence
310 interval (T), following Kauahikaua et al. [1995] we used a Poisson distribution to estimate the
311 probability that at least one eruption would occur within time t :

312
$$p_{erupt}(t) = \sum_{n=1}^{+\infty} p_n(t) = 1 - e^{-\frac{t}{T}} \quad (3)$$

313 in which

314
$$p_n(t) = \frac{1}{n!} \left(\frac{t}{T} \right)^n e^{-\frac{t}{T}} \quad (4)$$

315 where this is the probability of the occurrence of n lava flows within time t , and $e^{-t/T}$ is the
 316 probability that no eruptions would occur in time interval t . The probability that at least one
 317 eruption would inundate a given location therefore becomes:

318
$$p_{erupt}(x, t) = \sum_{n=1}^{+\infty} p_n(t) \cdot [1 - (1 - p_{in}(x))^n] = 1 - e^{-\frac{t}{T} p_{in}(x)} \quad (5)$$

319 where the term within the square brackets represents the probability that at least one of n lava flows
 320 would inundate location x .

321 As an example, we calculated the probability that the zone invaded by the eastern 2002-2003
 322 flow (Fig. 10) would be inundated again within the next year. The spatial inundation probability
 323 $p_{in}(x)$ for the 2002-2003 inundation zones is 15% (Fig. 10). During 1989 – 2006, there were 8 flank
 324 eruptions [Behncke et al., 2005], all from vents above 2000 m and with an average recurrence time
 325 of 2.2 years (i.e., 17 years divided by 8 eruptions). By applying equation (3), the probability of a
 326 flank eruption in the next year is 37%. Based on equation (5), the probability $p_{erupt}(x, t)$ that the zone
 327 invaded by the 2002-2003 eastern flow would be invaded again in the next year is 6.8%.

328

329 **5. Lava catchments**

330 For hazard management purposes it is important to identify areas able to produce lava flows that
 331 can potentially invade populated areas. Guest and Murray [1979] and Kauahikaua et al. [1995] used
 332 catchment area and watershed (or lava-shed) methods to estimate lava flow hazard at Mt. Etna and
 333 Mauna Loa, respectively. The use of a simple hydrological catchment-based approach to identify
 334 source areas that could generate flows capable of inundating a given location is not appropriate for

335 lava flows. Lava flows are generally some meters thick: they can thus spread out and override
336 obstacles. Lava flows do not necessarily follow the maximum slope path, but can split into different
337 branches and form complex patterns. DOWNFLOW provides realistic emplacement areas, whereas
338 a single maximum slope path (which is the basic element in building hydrological catchment areas)
339 does not account for the actual extent of lava flows, e.g. as in the case of the 2001 south flank lava
340 flow (Fig. 9).

341 Our simulation-derived database allows the identification of all simulated flows that are able to
342 inundate a selected city, town or village. By identifying those vents from which simulated lava
343 flows are capable of reaching a given region (e.g., a village), we can create a map of areas posing a
344 threat to the region (lava catchments).

345 In Fig. 12, selected lava catchments (colored areas) are compared to the corresponding
346 hydrologically-derived catchments (black lines) for selected villages (brown areas). The computed
347 lava catchments were divided into three different subregions according to the length of the lava path
348 required to reach the villages. Catchments areas in Fig. 12 are thus color-coded according to the
349 three main regions in the elevation-length plot (Fig. 3). The cyan region in Fig. 12 corresponds to
350 source areas for which the length of the simulated lava flows is always shorter than the distance
351 between vent and village, i.e. the flow cannot reach the village. The orange region on Fig. 12
352 produces lava flows that, according to the simulations, are always able to reach the villages. The
353 yellow region represents source areas in which the probability that the simulated flow would reach
354 the village is between 0 and 1.

355 We note that lava-sheds generated by the hydrological approach may account for only minor
356 portions of those generated by the DOWNFLOW approach. Previous hydrological approaches (e.g.
357 see Fig. 6 of Guest and Murray, 1979) generally underestimate the hazard by lava flow inundation.
358 For example, in the case of the town of Nicolosi the hydrologically-derived area is about one
359 quarter of that obtained using the DOWNFLOW-based approach (Fig. 12).

360

361 **6. Conclusions**

362 The DOWNFLOW topography-based stochastic model requires very short computational times
363 and is as effective as more sophisticated physical models in assessing lava flow hazard.
364 DOWNFLOW does not require the specification of physical quantities such as effusion rates,
365 temperature, crystallinity, viscosity, yield strength, etc., and does not simulate the time evolution of
366 single lava flows. It determines, instead, the total area inundated by lava flows, i.e. an essential
367 parameter for producing hazard maps. Our lava flow hazard maps for Mt. Etna quantitatively define
368 the probability of inundation by lava flows based on a probabilistic approach dependent on the
369 topography, probable opening locations, and likely lava flow run-out distances. The reliability of
370 the proposed method requires the use of updated elevation models of the volcanic area. Simulations
371 were run on a DEM derived from 2005 LiDAR data. Depending on effusion rates and duration,
372 eruptions determine the formation of topographic features that may impede or favor inundation by
373 successive lava flows and our simulations support this.

374 Different vent opening probability distributions were used to simulate different eruptive
375 scenarios: (a) an unconstrained vent opening distribution (i.e., vents can open at any elevation); (b)
376 vent opening above 2000 m; (c) vent opening above 3000 m; and (d) a uniform distribution of vents
377 opening above 2000 m. Here, (a), (b) and (c) consider a non-uniform vent distribution, whereas (d)
378 assumes a uniform distribution. Based on the analysis of these maps we come to the following
379 conclusions:

- 380 1) The city of Catania and the coastal zone are only susceptible to inundation from flows originating
381 from low altitude vents (below 1500 m).
- 382 2) Flank eruptions above 2000 m preferentially inundate the northeast and southern sectors of the
383 volcano, as well as the Valle del Bove.
- 384 3) Eruptions in the summit area (above 3000 m) pose no threat to populated areas.

385 4) Simple hydrological approaches based on static topography are inappropriate when dealing with
386 Etnean lava flows. They tend to produce a single, overly simplified path, whereas DOWNFLOW is
387 calibrated to simulate the full complexity of lava flow spreading.

388 The inundation probability maps provide detailed spatial distributions of the possible lava flow
389 paths and are useful tools in long-term planning and decision-making for mitigation of lava flow
390 inundation hazard. This is a particularly important issue in the case of Mt. Etna because of its high
391 population density and the frequency of eruptions.

392

393

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396

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481 Table 1. ALTM 3033 (Optech®) laser altimeter characteristics.

482

Operating scan frequency	33 kHz up to 40 kHz
Operating altitude	80 to 3500 m
Maximum scan angle	$\pm 40^\circ$
Horizontal accuracy	1/2000 x altitude at 1 sigma
Elevation accuracy	± 15 cm at 1.2 km and ± 35 cm at 3000 m at 1 sigma
Operating wavelength	1.064 μm

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485 Table 2. RMS vertical error and measure (μ) of the agreement between simulated and actual lava

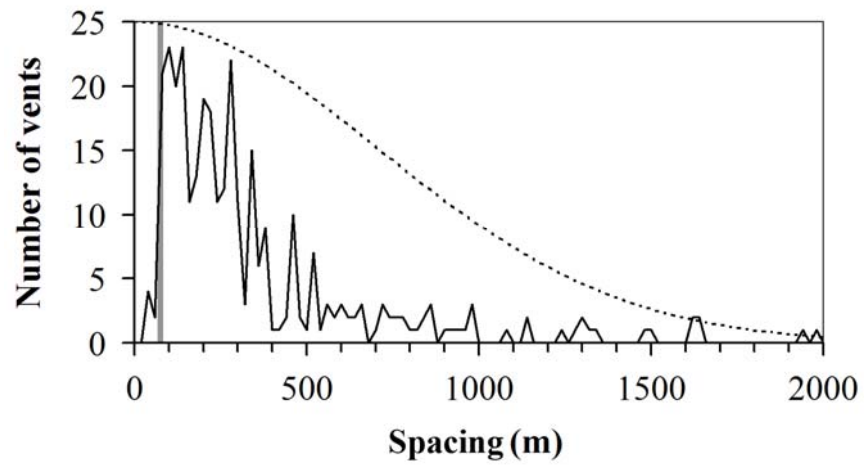
486 flows for various grid steps in the case of the 2001 southern lava flow.

487

Grid step (m)	RMS vertical error (m)	μ
10	1.43	0.52
20	1.59	0.53
30	1.74	0.52
40	1.97	0.51
50	2.22	0.46
60	2.53	0.49
70	2.84	0.44
80	3.13	0.51
90	3.37	0.48
100	3.68	0.42
110	4.03	0.33
120	4.23	0.26

488 **Figure Captions**

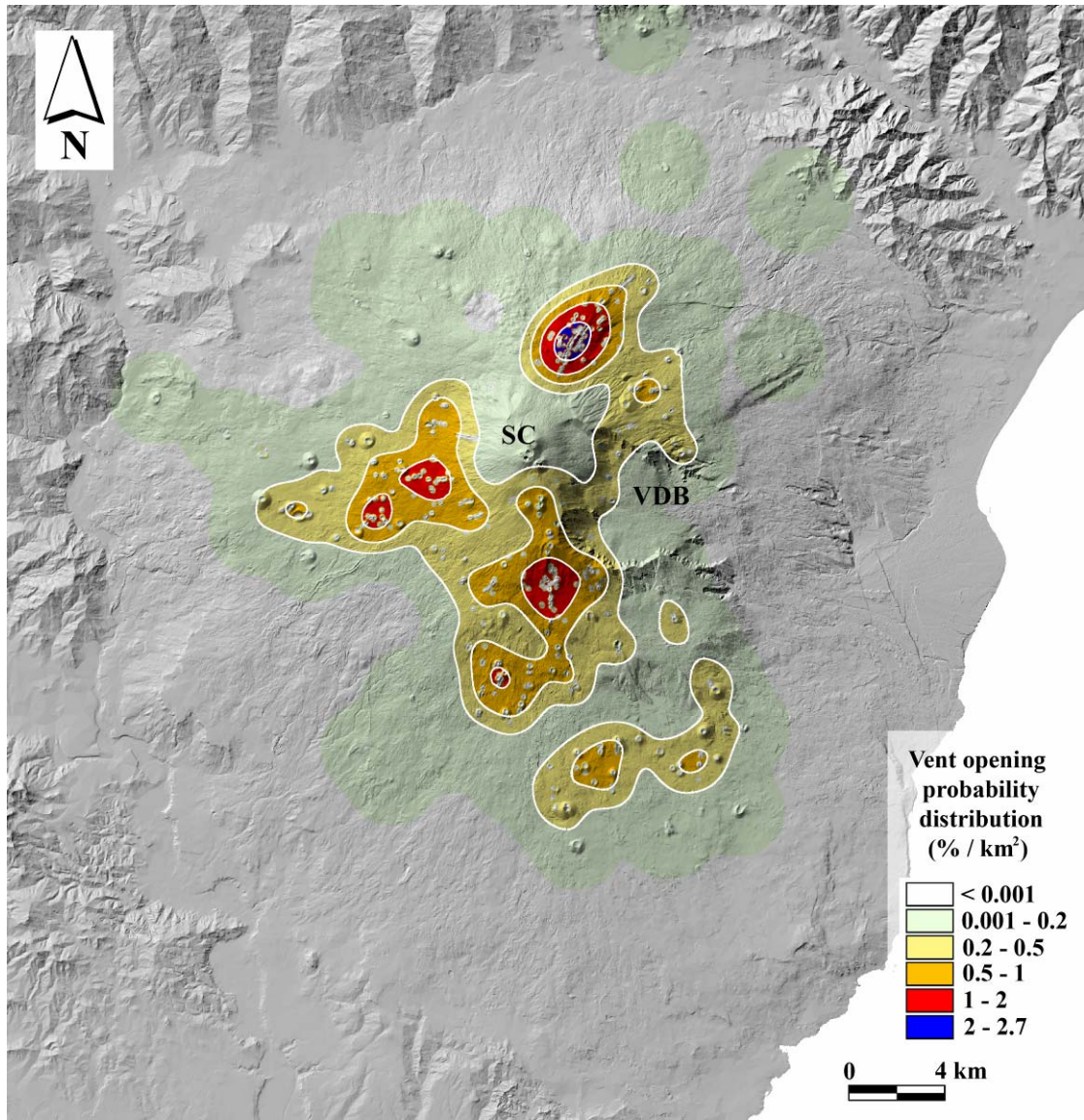
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492 **Fig. 1.** Plot of the vent spacing distribution. The thick black line is the frequency distribution of
493 vent spacing. The 80 m threshold used to locate new vents is represented as a thick vertical grey
494 line. The dashed line is the smoothing Gaussian kernel used to derive the vent opening probability
495 distribution.

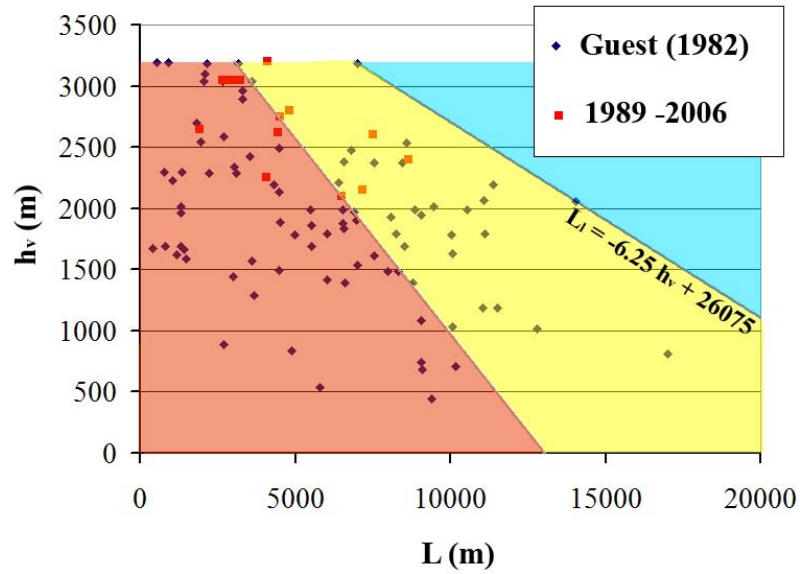


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498 **Fig. 2.** Map of the vent opening probability used in equation 2 (see text) to estimate the probability

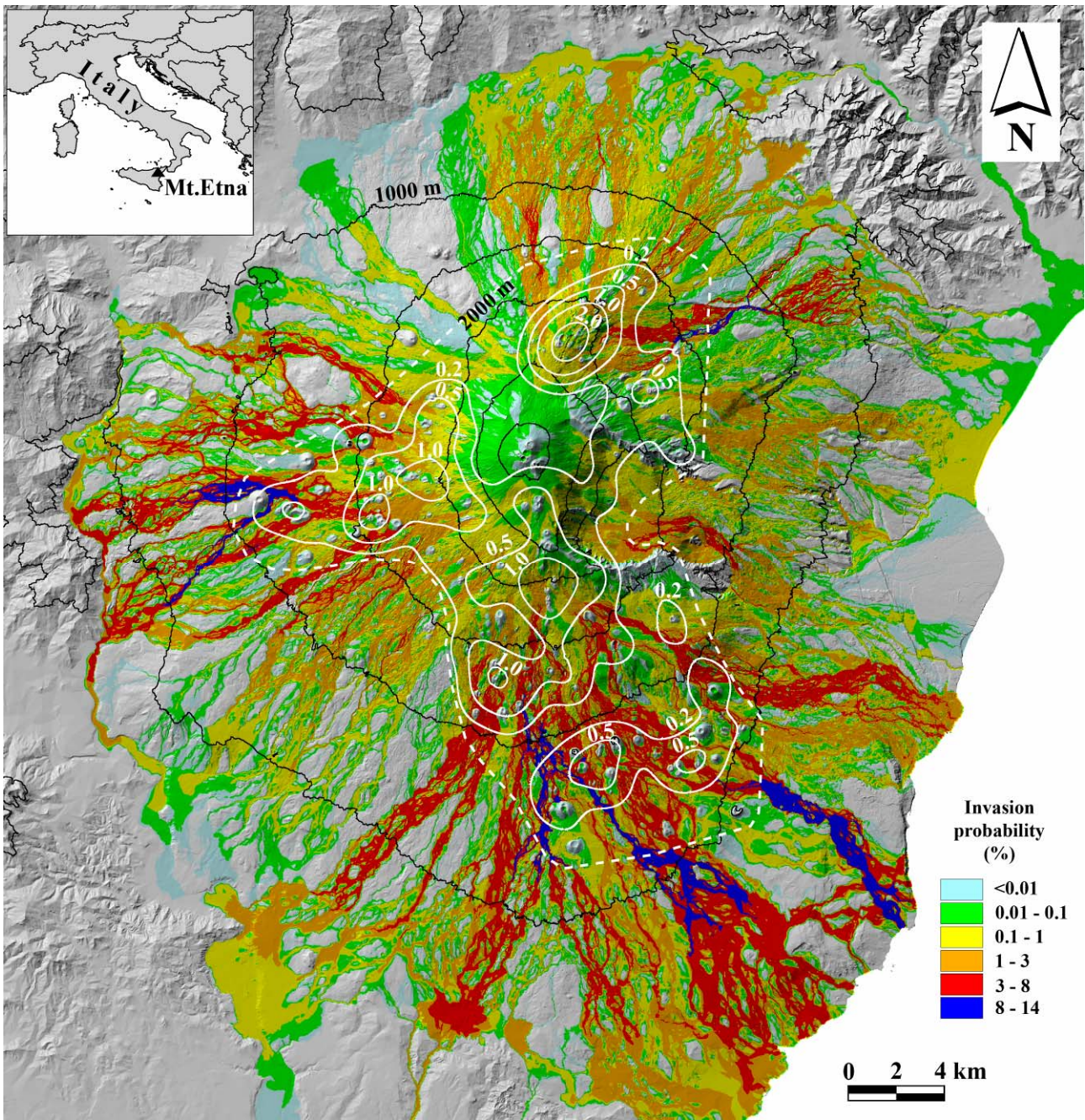
499 (PV_i) of vent formation at location i .



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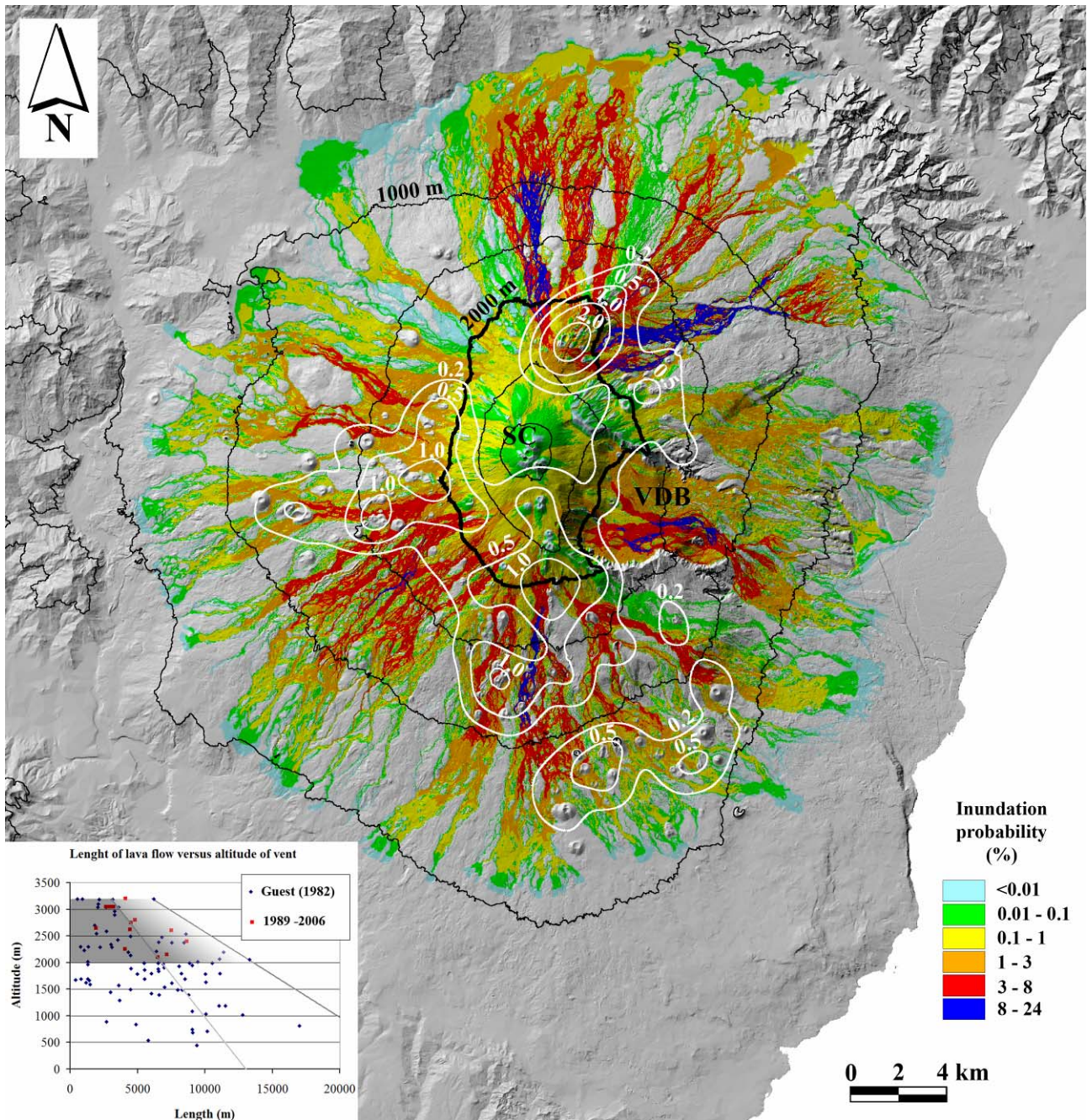
502 **Fig. 3.** Plot of lava flow length (L) vs. vent elevation (h_v) for Mt. Etna (black diamonds after Guest,
 503 1982). The orange color in the plot represents the zone in which the flow length is $\leq 0.5L_l$, where L_l
 504 is derived from equation 1 in the main text. In the yellow zone the length of flows is in the $0.5L_l < L$
 505 $< L_l$ range. The cyan color represents zones in which the flow length is $\geq L_l$. Red squares in the plot
 506 are derived from new digital elevation models of the volcano and from data in Behncke et al.
 507 (2005), Allard et al., (2006) and Neri and Acocella (2006).



508

509

510 **Fig. 4.** Map of the probability of lava flow inundation of the Mt. Etna area by flank eruptions
 511 sourced according to the observed density of vent occurrences. SC: summit craters; VDB: Valle del
 512 Bove. White lines are the contours of the probability density of vent formation expressed as % per
 513 km^2 . The dashed white line delimits the areas in which simulated new-formed vents are located and
 514 corresponds to vent opening probabilities higher than 0.1 %/ km^2 . The inundation probability
 515 (equation 2) is expressed as a percentage. Black lines are 500 m contours.

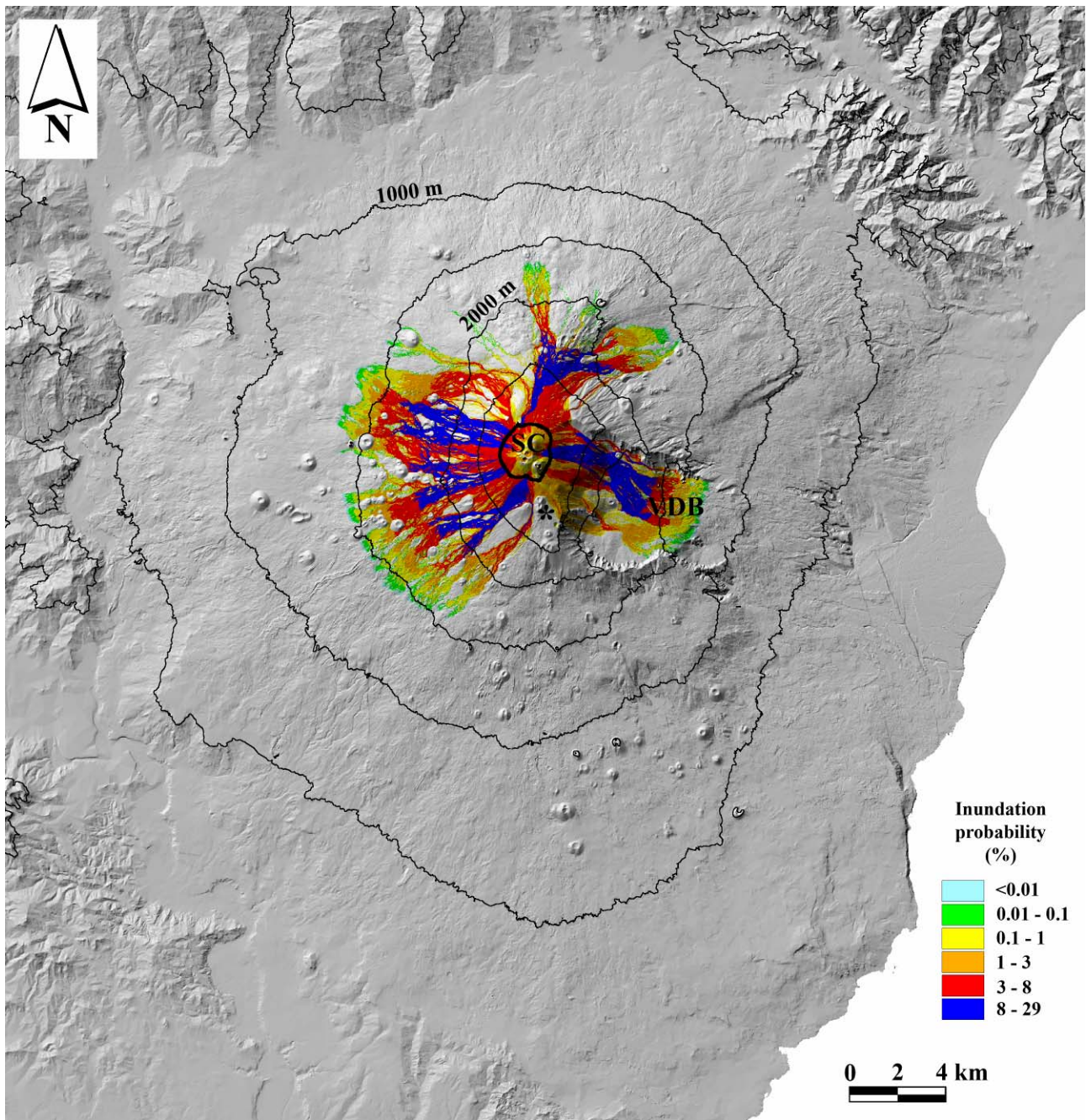


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519 **Fig. 5.** Map of the probability of lava flow inundation of Mt. Etna from flank vents at elevations
 520 above 2000 m (thick black contour). SC: summit craters; VDB: Valle del Bove. White lines are the
 521 contours of the probability density of vent formation expressed as % per km². The probability of
 522 inundation (equation 2) is expressed as a percentage. Black lines represent 500 m elevation

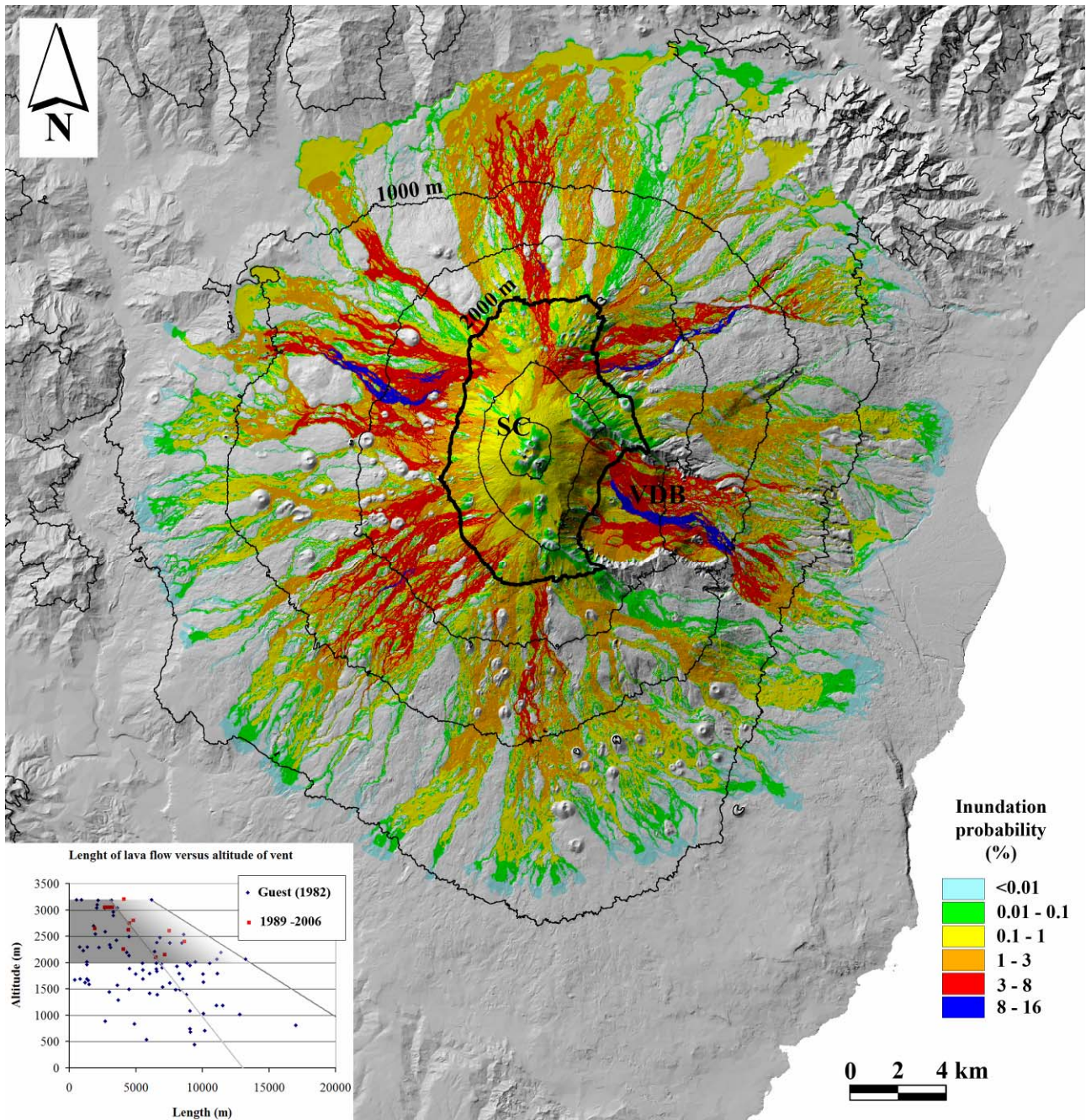
523 contours. Lower left inset is the plot length vs. altitude of vents; the grey zone represents the
524 elevations and lengths of vents used to create this map.



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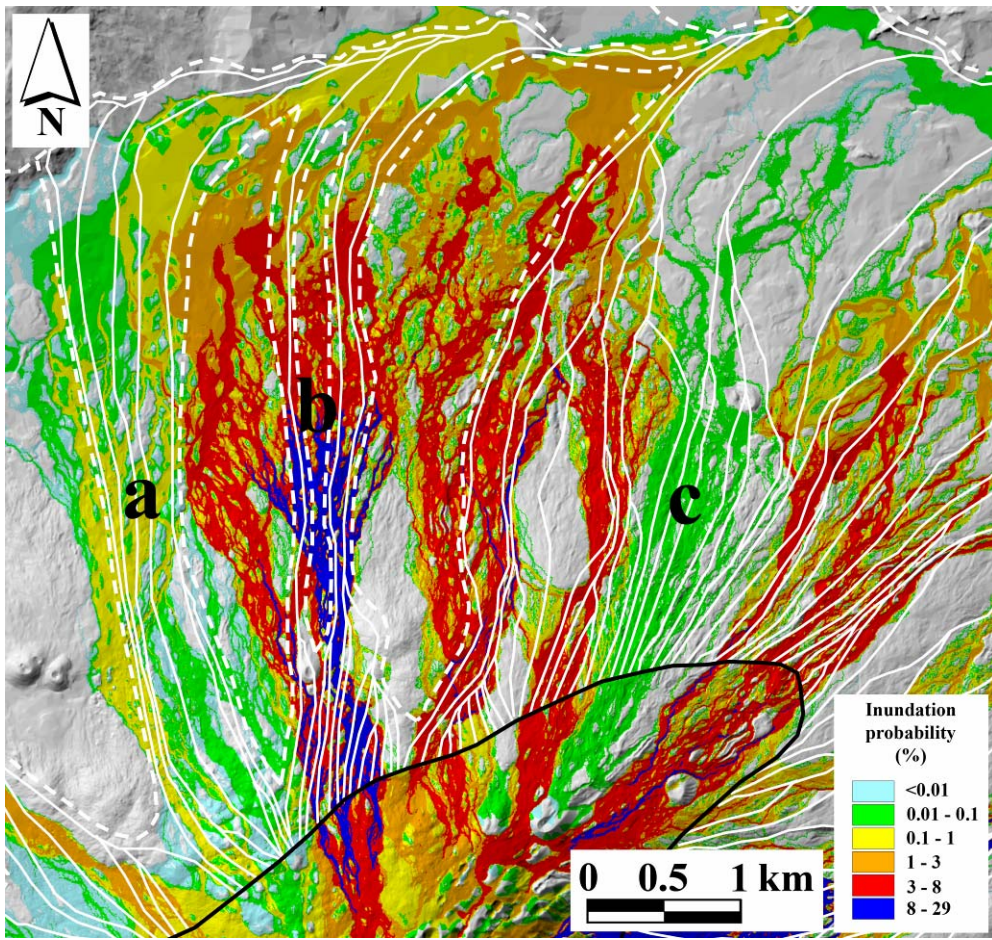
527 **Fig. 6.** Map of the probability of lava flow inundation of the Mt. Etna area by new eruptions from
 528 vents opened at elevations above 3000 m (calculated using a uniform probability of vent opening).
 529 SC: summit craters; VDB: Valle del Bove. The black asterisk indicates the location of the 2002-
 530 2003 cones. The inundation probability (equation 2) is expressed as a percentage. Black lines are
 531 500 m elevation contours. The thick black line is the 3000 m contour. The inset highlights the range
 532 in elevations and lengths for flows erupted above 3000 m.



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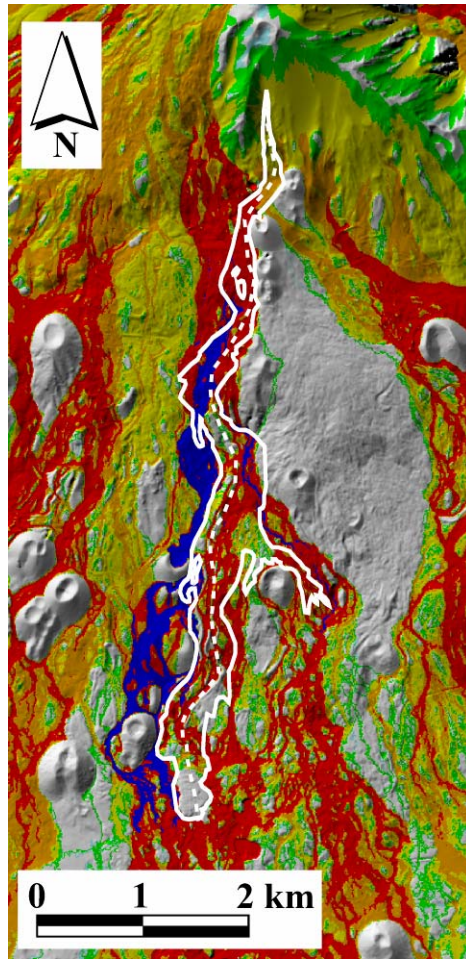
535 **Fig. 7.** Map of the probability of lava flow inundation of Mt. Etna from flank vents at elevations
 536 above 2000 m (thick black contour) calculated assuming a uniform probability of vent opening. SC:
 537 summit craters; VDB: Valle del Bove. The probability of inundation (equation 2) is expressed as a
 538 percentage. Black lines represent 500 m elevation contours. The inset highlights the range in
 539 elevations and lengths for flows erupted above 2000 m.



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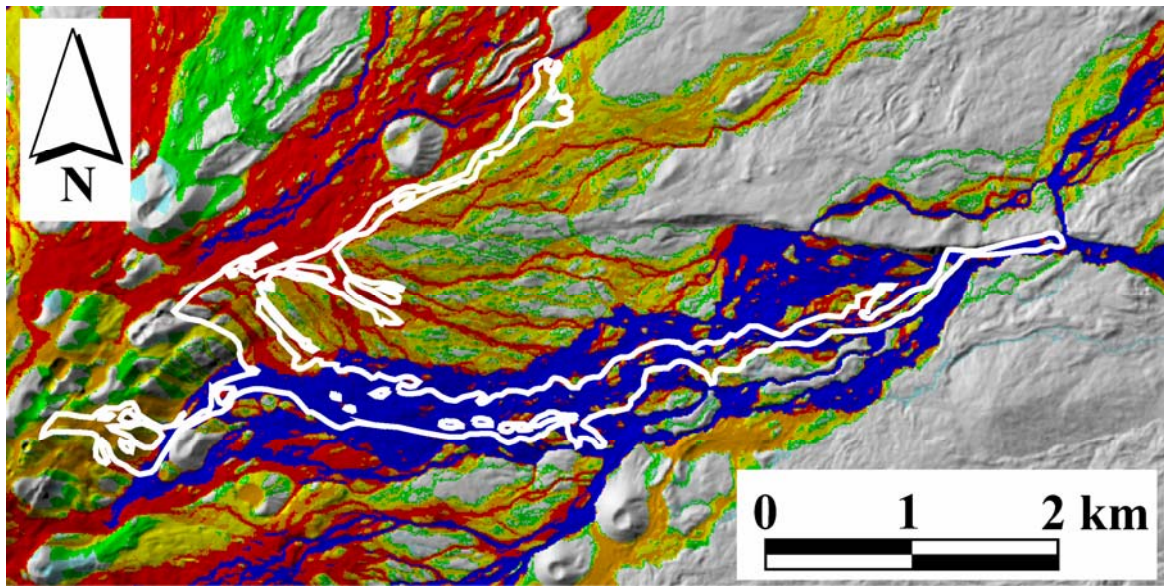
541

542 **Fig. 8.** Comparison between the map of the probability of lava flow inundation of the northern flank
 543 of Mt. Etna from flank vents at elevations above 2000 m (Fig. 5) and the possible maximum extent
 544 of lava flows (white lines) erupted from the outer edge (black line) of the region with a vent
 545 opening probability greater than 1 vent per km² (from Fig. 3 of Guest and Murray [1979]).



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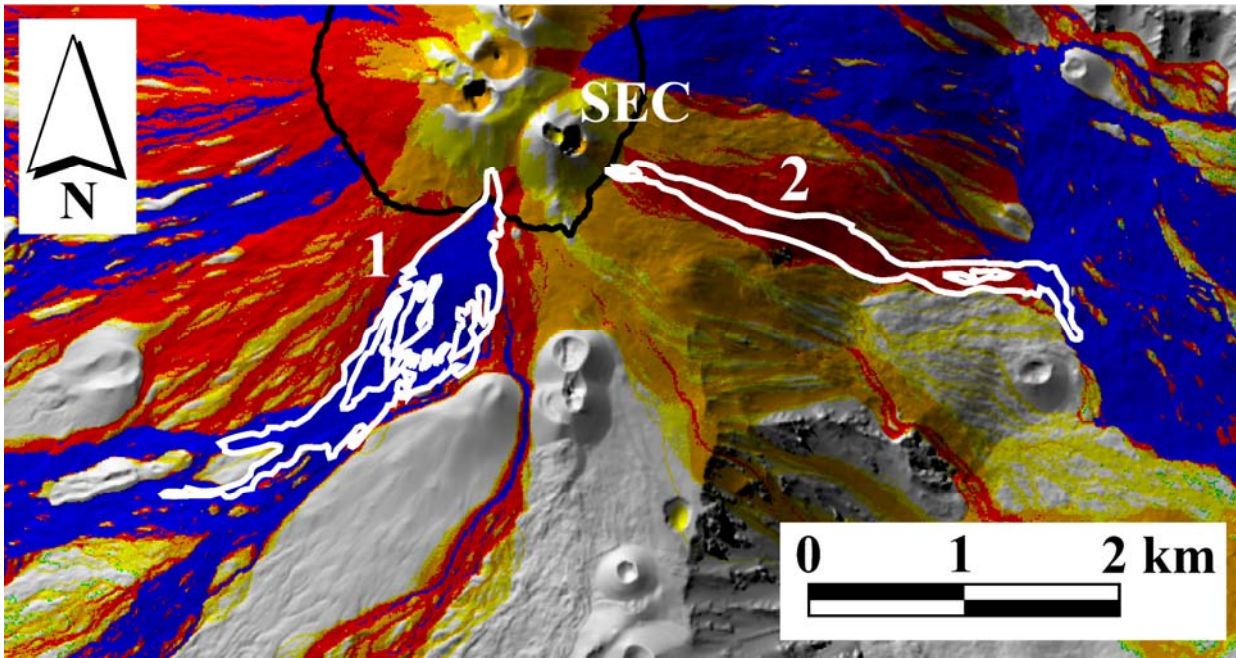
547 **Fig. 9.** Effect of the 2001 flow along the southern flank of Mt. Etna (white line; Behncke et al.,
 548 2005; Allard et al., 2006) on the map of the probability of lava flow inundation of Mt. Etna (see Fig.
 549 5). The dashed white line is the maximum slope path computed on the 1998 DEM [Tarquini et al.,
 550 2007] prior to the emplacement of the 2001 flow. Blue hues represent a high probability of
 551 inundation, pale green hues a low probability of inundation (see legend in Fig. 5). The highest
 552 probability of inundation occurs along a nearly N-S strip parallel to the 2001 flow. The 2001 flow
 553 has zones which may be invaded and others (e.g. its front) that have a very low to zero probability
 554 of inundation.



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556

557 **Fig. 10.** Effect of the 2002-2003 flow in the northeastern sector of the volcano (white line; Behncke
558 et al., 2005; Allard et al., 2006) on the map of the probability of lava flow inundation of Mt. Etna
559 (see Fig. 5). Blue hues represent a high probability of inundation, pale green hues a low probability
560 of inundation (see legend in Fig. 5). The nearly E-W trending valley, along which the main portion
561 of the 2002-2003 lava flow was emplaced, corresponds to zones with high probabilities of
562 inundation, indicating that future lava flows will likely invade these areas. Other portions, such as
563 the NE-trending branch, show low to very low probabilities of inundation.



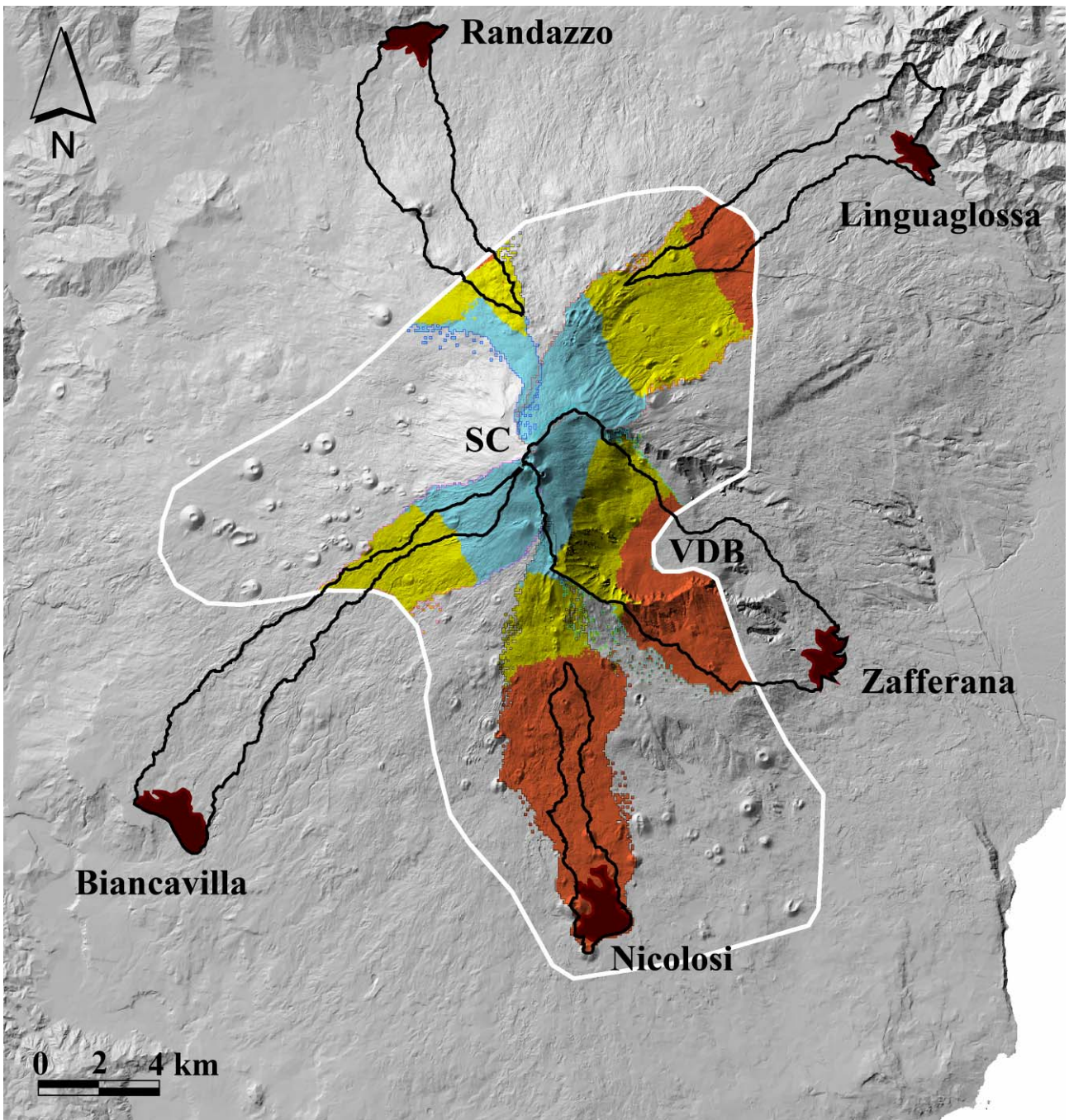
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566 **Fig. 11.** Comparison of lava flows erupted in the Mt. Etna summit area during July/November 2006
567 (white lines; see <http://www.ct.ingv.it/Etna2006/MainEng.htm>) with the lava inundation map for
568 flows erupted in the summit area (above an elevation of 3000 m; Fig. 6) using a high resolution
569 DEM updated to 2005: 1) southwest lava flows erupted from a vent at an elevation of 3050 m in
570 the period October 27 – November 27, 2006; 2) east lava flow erupted from the southeast crater on
571 July 21, 2006.

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575

576 **Fig. 12.** Map of volcano sectors likely to generate lava flows which may invade selected villages at
577 the foot of Mt. Etna. SC: summit craters; VDB: Valle del Bove. Lava erupted from new vents in the
578 colored zones may invade the villages. The thick white line delimits the area in which simulated
579 new-formed vents are located; this area is defined on the basis of the spatial distribution of vents.

580 Black lines are the hydrological catchment areas for each village. The color code of the lava
581 catchment subdivision refers to that used in figure 3: orange hues represent zones in which the
582 distance between the village and the vents is $\leq 0.5L_l$; yellow hues are zones in which the distance
583 between the village and the vents is $0.5L_l < L_{ij} < L_l$; cyan hues represent zones in which the distance
584 between the village and the vents is $\geq L_l$. According to the adopted model, lava flows from vents in
585 the cyan zones cannot reach the villages.

586