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Crystal-field excitations and spin-phonon interactions in DyNi$_2$B$_2$C: Raman scattering study

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We report polarized Raman results of magnetic superconductor DyNi$_2$B$_2$C ($T_c$=6.2 K, $T_N$=10.3 K) to explore crystal-field (CF) excitations and spin-phonon interactions. In addition to the Ni-$B_{1g}$ phonon mode at 199 cm$^{-1}$, we observed additional Raman modes at 124, 151, and 221 cm$^{-1}$. By careful analysis of the temperature evolution of these modes, we attribute the 124 cm$^{-1}$ excitation to a CF transition. The 151 and 221 cm$^{-1}$ modes correspond to zone-folded phonons because they are completely quenched for $T>T_N$. With increasing temperature across $T_N$, the 124 cm$^{-1}$ excitation diminishes rapidly in intensity and, interestingly, an additional mode appears at 119 cm$^{-1}$. This excitation grows in intensity with increasing temperature toward 50 K and gradually decreases with increasing temperature further. We attribute the 119 cm$^{-1}$ excitation to an excited CF transition from a low-lying CF level at 5 cm$^{-1}$ to the higher CF level at 124 cm$^{-1}$. Anomalous temperature-dependent behavior of the Ni-$B_{1g}$ phonon mode was observed in peak energy and in spectral width, i.e., both the phonon energy and the linewidth are enhanced in the vicinity of $T_N$, suggesting the presence of strong spin-phonon interactions near the antiferromagnetic ordering temperature in DyNi$_2$B$_2$C.

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I. INTRODUCTION

The local order of magnetic moments strongly correlates with superconductivity in rare-earth-bearing compounds, such as RMo$_6$(S,Se)$_8$, RRh$_3$B$_4$, and RNi$_2$B$_2$C (R=rare-earth ion).$^1$ Rare-earth nickel borocarbide compounds RNi$_2$B$_2$C provide a useful means of understanding the interplay between superconductivity and magnetism. For R=Dy, Ho, Er, and Tm, superconductivity can coexist with antiferromagnetic (AF) order.$^1$–$^5$ Among these compounds, DyNi$_2$B$_2$C exhibits some unique properties in its magnetic structures. For instance, DyNi$_2$B$_2$C becomes a superconductor ($T_c$=6.2 K) below the AF ordering temperature ($T_N$=10.3 K).$^2$ For RNi$_2$B$_2$C (R=Ho,Er,Tm), AF order appears below $T_c$.$^3$–$^5$ The crossover between the $T_c>T_N$ regime and the $T_N>T_c$ regime occurs between HoNi$_2$B$_2$C and DyNi$_2$B$_2$C. For rare-earth nickel borocarbide compounds, both $T_N$ and $T_c$ are scaled by the de Gennes factor, i.e., $T_N$ and the suppression of $T_c$ exhibit linear dependence on the de Gennes factor.$^1$ Interestingly, detailed study of Ho$_{1−x}$Dy$_x$Ni$_2$B$_2$C has revealed that dependence of $T_c$ on the de Gennes factor disappears for $T_c<T_N$.$^6$ Unlike RNi$_2$B$_2$C (R=Ho,Er,Tm) which exhibit local extrema in the temperature-dependent upper critical field $H_c2(T)$, DyNi$_2$B$_2$C exhibits a linear monotonic decrease in $H_c2(T)$ with increasing temperature.$^2$–$^5$–$^7$–$^8$ Magnetic correlations in RNi$_2$B$_2$C manifest themselves as large anisotropic magnetic susceptibilities at low temperature and as Schottky anomalies in specific-heat measurements.$^2$–$^5$–$^9$ Therefore, rare-earth elements in RNi$_2$B$_2$C play a crucial role in the interplay between magnetism and superconductivity. The magnetic properties of these compounds are strongly influenced by the rare-earth sublattice and are closely related to the crystal-field (CF) interactions.$^{10}$–$^{11}$ CF energy levels can be used to calculate CF parameters and, as a result, provide useful information on the magnetic properties such as magnetic susceptibility$^{12}$ and the Schottky specific heat.$^{13}$

CF excitations can be directly probed using experimental methods such as Raman scattering,$^{14}$ inelastic neutron scattering,$^{13,}$–$^{15}$–$^{17}$ and Mössbauer spectroscopy.$^{11}$ Among these methods, polarized Raman scattering is unique in that it can probe not only CF excitations but also scattering symmetries of the individual CF excitations. Information on the scattering symmetries enables one to properly assign transition characteristics of the CF excitations.$^{14}$ Furthermore, Raman scattering can provide useful information on magnetic correlations to optical phonons. However, only a few papers using the Raman scattering technique have reported optical phonon behaviors in RNi$_2$B$_2$C for R=Y, Ho, and Lu (Refs. 18–20) and CF excitations in ErNi$_2$B$_2$C.$^{16}$ In this paper, we investigate CF excitations and optical phonon behaviors related to the AF order in DyNi$_2$B$_2$C.

II. EXPERIMENT

Raman scattering measurements were performed using a single-crystal DyNi$_2$B$_2$C sample$^2$ mounted inside a continuous He-flow cryostat. A 647.1-nm Kr-ion laser was focused on the sample in a backscattering geometry along the growth...
(c axis) direction of the sample. Various polarization configurations were employed using linearly or circularly polarized light to identify the scattering symmetries for DyNi$_2$B$_2$C: $(\mathbf{E}_i, \mathbf{E}_f) = (x, x)$, $B_{1g} + A_{1g}$; $(x, y)$, $B_{2g} + A_{2g}$; $(x', x)$, $B_{2g} + A_{1g}$; $(x', y)$, $B_{1g} + A_{2g}$; $(L, L)$, $A_{1g} + A_{2g}$; and $(L, R)$, $B_{1g} + B_{2g}$, where $\mathbf{E}_i$ and $\mathbf{E}_f$ denote the incident and the analyzed polarization directions, respectively, and $x\parallel[1, 0, 0]$, $y\parallel[0, 1, 0]$, $z\parallel[0, 0, 1]$, $x'\parallel[1, 1, 0]$, and $y'\parallel[1, 1, 0]$. Symbols $L$ and $R$ denote left and right circularly polarized light, respectively. $B_{1g}$, $A_{1g}$, $B_{2g}$, and $A_{2g}$ are irreducible representations of the space group $D_{4h}$. Scattered light was dispersed using a triple-stage spectrometer and recorded using a liquid-nitrogen-cooled charge-coupled device (CCD) detector. After the CCD dark current response was removed from the raw spectra, a calibrated white light source was used to normalize the spectrometer response in order to correct the spectral artifacts due to the collection optics between the sample and the CCD detector. The corrected spectra were divided by the Bose thermal factor $[1 - \exp(-\hbar\omega/k_BT)]^{-1}$, giving rise to the Raman response proportional to the imaginary part of the Raman susceptibility.

### III. RESULTS AND DISCUSSION

DyNi$_2$B$_2$C single crystals have body-centered-tetragonal structure, belonging to space group $I4/mmm$ ($D_{4h}^{15}$). The ground state of the nine $4f$ electrons ($4f^9$) in Dy$_3$B$_4$ is a 16-fold degenerate $^6H_{15/2}$ multiplet. The CF level splits the ground state multiplet into eight Kramers doublets within the $D_{4h}$ site symmetry at the Dy site. Polarized Raman scattering is useful for identifying scattering symmetries of individual Raman modes. Figure 1(a) shows Raman spectra obtained at $T = 4$ K in various polarization configurations. A strong mode at 199 cm$^{-1}$ can be seen in the $B_{1g} + A_{2g}$, $B_{1g} + A_{1g}$, and $B_{1g} + B_{2g}$ Raman spectra, indicating that this mode has $B_{1g}$ scattering symmetry. Indeed, the 199 cm$^{-1}$ mode corresponds to the $B_{1g}$ phonon mode from the $c$-axis Ni vibration. In addition to the $B_{1g}$, phonon mode, three Raman modes are additionally observed at 124, 151, and 221 cm$^{-1}$. The sharp 124 cm$^{-1}$ mode appears strongly in the $B_{1g} + A_{2g}$, $B_{1g} + A_{1g}$, and $B_{1g} + B_{2g}$ Raman spectra. This mode has mostly $B_{1g}$ scattering symmetry. The 124 cm$^{-1}$ mode also appears, though weakly, in the $A_{1g} + A_{2g}$ and the $B_{2g} + A_{2g}$ scattering symmetries. Consequently, the 124 cm$^{-1}$ mode has a mixed characteristic of the $B_{1g}$ and the $A_{2g}$ scattering symmetries. A molecular field of $B=2.21$ T along the (110) direction in the commensurate AF state$^{15}$ lowers the symmetry from $D_{4h}$ to $C_{2h}$. Note that the twofold axis is along the (110) direction in $C_{2h}$. In this circumstance, the correlation table for $D_{4h}$ tells us that both the $B_{1g}$ and the $A_{2g}$ symmetries become the $B_{2g}$ symmetry. Thus, the mixed $B_{1g}$ and $A_{2g}$ symmetries observed for the 124 cm$^{-1}$ mode are most likely due to the presence of the molecular field in DyNi$_2$B$_2$C. The weak 151 cm$^{-1}$ mode appears in the $B_{1g} + A_{2g}$ and the $B_{2g} + A_{2g}$ scattering symmetries. Thus, this mode has $A_{2g}$ scattering symmetry. Similarly, the 221 cm$^{-1}$ mode appears in the $B_{1g} + A_{2g}$, $B_{1g} + A_{1g}$, and $B_{1g} + B_{2g}$ Raman spectra, indicating that this mode has $B_{1g}$ scattering symmetry.

Figure 1(b) shows Raman spectra obtained at $T = 15$ K in various polarization configurations. The linewidth of the Ni-$B_{1g}$ phonon mode is broadened mostly due to spin-phonon interactions as well as thermal effect with increasing temperature through the AF ordering temperature. Temperature dependence of the Ni-$B_{1g}$ phonon mode will be discussed in detail later. One noticeable feature in Fig. 1(b) is that the weak responses at 151 and 221 cm$^{-1}$, observed in the low temperature regime for $T < T_N$, disappear completely for $T > T_N=10.3$ K. Note that, in contrast, the 124 cm$^{-1}$ mode persists even for $T > T_N$. The quenching of the 151 and 221 cm$^{-1}$ modes above the Néel temperature indicates the correlation of these modes to the commensurate AF order in DyNi$_2$B$_2$C, suggesting that these modes correspond to zone-folded phonons. Zone-folded phonons can appear when zone-boundary phonons are folded into the zone center due to the magnetic periodicity of DyNi$_2$B$_2$C below the AF ordering temperature. The zone-folded phonons quench quickly with a disappearance of the magnetic periodicity above $T_N$. Such zone-folded phonons were previously observed in the commensurately ordered AF state in CuO. $^{23}$

![Figure 1](image-url)
The 124 cm\(^{-1}\) response appears very weakly and its linewidth is significantly broadened at \(T=15\) K. Interestingly, in addition to the 124 cm\(^{-1}\) mode, a broad peak is newly observed at 119 cm\(^{-1}\). The 119 cm\(^{-1}\) response is not a consequence of the thermal quenching of the 124 cm\(^{-1}\) mode with increasing temperature. As clearly shown in Fig. 1, the broad 119 cm\(^{-1}\) mode appears in the \(B_{1g}+A_{2g}\), \(B_{1g}+A_{1g}\), and \(B_{1g}+B_{2g}\) Raman spectra, indicating that this excitation has \(B_{1g}\) scattering symmetry.

In order to identify the origins of the 124 cm\(^{-1}\) mode and the 119 cm\(^{-1}\) mode nearby, Raman spectra were carefully obtained as a function of temperature. Figure 2 shows the temperature evolution of the 124 and the 119 cm\(^{-1}\) modes. At very low temperatures \((T<5\) K\), only the sharp peak at 124 cm\(^{-1}\) is observed. With increasing temperature above \(T=5\) K, the 124 cm\(^{-1}\) response decreases in intensity and broadens in spectral width. Interestingly, a broad response at 119 cm\(^{-1}\) appears at the lower frequency region of this sharp mode. Figure 3(a) shows a representative Raman spectrum at \(T=8\) K, together with the fitting curves, that clearly exhibits the coexistence of the 124 and the 119 cm\(^{-1}\) modes. The intensity and spectral width changes extracted by fitting the individual Raman spectra to a Lorentzian line shape for the 119 and the 124 cm\(^{-1}\) modes are summarized in Figs. 3(b) and 3(c), respectively. With increasing temperature further above \(T=10\) K, the 124 cm\(^{-1}\) response decreases rapidly in intensity. Furthermore, spectral width becomes significantly broadened. The rapid decrease in intensity of the sharp response near \(T=10\) K may indicate the correlation of the 124 cm\(^{-1}\) mode to the commensurate AF order in DyNi\(_2\)B\(_2\)C, suggesting that the 124 cm\(^{-1}\) mode can be related to a zone-folded optical phonon. However, this assumption is unlikely to be correct. The temperature-dependent behaviors, i.e., thermal quenching and linewidth broadening upon raising temperature, of the 124 cm\(^{-1}\) response suggest that this mode corresponds to a CF excitation.\(^{14}\) Indeed, inelastic neutron scattering from DyNi\(_{11}\)B\(_2\)C revealed a CF transition around 15 meV (121 cm\(^{-1}\))\(^{15}\) which is very close to the peak energy (124 cm\(^{-1}\)) of the sharp mode observed in our results. Thus, we attribute the peak at 124 cm\(^{-1}\) to a CF excitation. The 119 and the 124 cm\(^{-1}\) responses coexist in the temperature regime for \(6\) K \(\leq T \leq 30\) K. Figure 2 shows that the 124 cm\(^{-1}\) response at 30 K, on the high-frequency side of the 119 cm\(^{-1}\) mode, is significantly weakened and exhibits significantly broadened line shape. When the temperature is higher than 30 K, only the broad response at 119 cm\(^{-1}\) appears in the Raman spectrum. While the 124 cm\(^{-1}\) mode rapidly diminishes in intensity with increasing temperature, the broad 119 cm\(^{-1}\) mode grows in intensity as temperature is further increased from 6 to 50 K. The 119 cm\(^{-1}\) mode gradually diminishes in intensity with increasing temperature further above \(T=50\) K, and is hardly observable for \(T>150\) K. Both the 119 and the 124 cm\(^{-1}\) modes are broadened systematically in spectral width with increasing temperature.

Using the CF Hamiltonian and CF parameters for DyNi\(_2\)B\(_2\)C, Gasser et al.\(^{13,15}\) calculated a low-lying Kramers doublet at about 0.3 meV (2.4 cm\(^{-1}\)). In addition, an inclusion...
The spectral width are enhanced in the vicinity of $T_N$. Furthermore, the spectral widths of the Ni-$B_{1g}$ modes in DyNi$_2$B$_2$C are much broader in all temperature ranges than those in both LuNi$_2$B$_2$C and ErNi$_2$B$_2$C. The anomalous temperature dependence of the Ni-$B_{1g}$ mode near $T_N$ suggests that this phonon mode strongly couples to the AF order in DyNi$_2$B$_2$C. In contrast, as shown in Fig. 4, we did not observe any temperature-dependent phonon anomalies in nonmagnetic LuNi$_2$B$_2$C ($T_c=16.6$ K) nor in magnetic ErNi$_2$B$_2$C ($T_N=6$ K, $T_c=10$ K). Similar anomalous phonon-energy changes in the vicinity of the AF ordering temperature were previously reported for several AF compounds such as MnTe ($T_N \sim 307$ K), YCrO$_3$ ($T_N \sim 141$ K), and GdCrO$_3$ ($T_N \sim 170$ K). In nonsuperconducting GdSr$_2$Ru$_2$O$_8$, an enhancement of the phonon energies below the magnetic ordering temperature ($\sim 140$ K) was attributed to the presence of strong spin-phonon interactions in this compound. Recently, Raman scattering from magnetic superconductor RuSr$_2$(Eu$_{1.5}$Ce$_{0.5}$)Cu$_2$O$_8$ revealed that some phonon modes exhibited anomalous softening and spectral width broadening below 100 K. This anomalous phonon-mode behavior is attributed to spin-phonon interactions. All of these results unambiguously demonstrate that the onset of magnetic order can strongly couple to phonons. Thus, the anomalous temperature-dependent behavior of the Ni-$B_{1g}$ mode near $T_N$ in DyNi$_2$B$_2$C is most likely due to the spin-phonon correlation in the vicinity of $T_N$.

**IV. CONCLUSIONS**

In conclusion, polarized Raman spectra from DyNi$_2$B$_2$C revealed a Ni-$B_{1g}$ mode at 199 cm$^{-1}$ and additional modes at 124, 151, and 221 cm$^{-1}$. The 124 cm$^{-1}$ mode exhibits mostly $B_{1g}$ scattering symmetry. The 151 and 221 cm$^{-1}$ modes exhibit $A_{2g}$ and $B_{1g}$ scattering symmetries, respectively. Rapid quenching of both the 151 and 221 cm$^{-1}$ modes below the AF ordering temperature suggests that these modes correspond to zone-folded phonons. The 124 cm$^{-1}$ mode is attributed to CF excitation, as evidenced by temperature-dependent behaviors in its intensities and spectral widths. The 119 cm$^{-1}$ mode observed at higher temperatures corresponds to an excited CF transition. The enhancement in both the linewidth broadening and the peak-energy decrease in the Ni-$B_{1g}$ mode at 199 cm$^{-1}$ in the vicinity of $T_N$ indicates that strong spin-phonon interactions are present in DyNi$_2$B$_2$C. Our results demonstrate that Raman scattering studies can provide rich information on the CF excitations, optical phonons, and their spin correlations.

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22 The possibility of these modes coming from the CF or magnon excitations cannot be ruled out.


29 In addition, DyNi2B2C is likely to be nonuniform in its local magnetic properties. Further, the possibility remains that CF excitations may exist at energies comparable to the phonon energy that might couple to the phonon due to broken symmetry.