

1 **The recyclable waste recycling potential towards zero waste**
2 **cities - A comparison of three cities in China**

3

4 Binxian Gu ^{a, b, c, *}, Xinyi Tang ^a, Lingxuan Liu ^c, Yuanyuan Li ^a, Takeshi Fujiwara ^d,
5 Haohui Sun ^e, Aijun Gu ^f, Yanbing Yao ^a, Ruiyang Duan ^a, Jie Song ^a, Renfu Jia ^{g, *}

6

7 ^a College of Environmental Science and Engineering, Yangzhou University, Yangzhou, 225127, China

8 ^b Jiangsu Collaborative Innovation Center for Solid Organic Waste Resource Utilization, Nanjing,
9 210095, China

10 ^c Management School, Lancaster University, LA1 4YX, Bailrigg, Lancashire, United Kingdom

11 ^d Graduate School of Environmental Science, Okayama University, 3-1-1 Tsushima-naka, Kuta-ku,
12 Okayama, 700-8530, Japan

13 ^e Electrical Engineering and Automation, North China University of Water Resources and Electric
14 Power, Zhengzhou, 450045, China

15 ^f College of Hydraulic, Energy and Power Engineering, Yangzhou University, Yangzhou, 225127,
16 China

17 ^g College of Civil Science and Engineering, Yangzhou University, Yangzhou, 225127, China

18 *Corresponding Author: bxgu@yzu.edu.cn (B. Gu), rfjia@yzu.edu.cn (R. Jia)

19 ¹

20

21 **1. Introduction**

22 Urbanization, population growth, and industrialization are three key reasons for
23 the increase of municipal solid waste (MSW) generation (Maghmoumi et al., 2020;
24 Zhang et al., 2019). Over half of the world's population lives in urban areas, and
25 almost all regions of the world will be predominantly urban by the mid-21st century
26 (United Nations Department of Economic and Social Affairs, 2018). Coincident with
27 industrialization and urbanization is the increase of MSW. By 2050, the world's

¹ municipal solid waste (MSW); zero waste (ZW); recyclable waste (RW); recyclable organic (RO);
recyclable waste recycling potential (RWRP); high resource value recyclable material (HRVRM); low
resource value recyclable material (LRVRM); no resource value recyclable material (NRVRM); school
district housing (SDH); household consumption structure (HCS); individual consumption expenditures
(ICE).

28 MSW generation is expected to increase by 70%, from 2.01 billion tonnes in 2016 to
29 3.40 billion tonnes annually (World Bank, 2018). In China, MSW generation
30 increased from 31.3 million tonnes in 1980 to 228.0 million tonnes in 2018 (NBSC,
31 1981-2019). China was known as the world's largest waste generator in 2004 when it
32 exceeded the amount generated by the U.S. In 2030, China will generate twice as
33 much MSW as the U. S. (Hoornweg and Bhada-Tate, 2012), and the MSW will
34 increase continuously to 480.0 million tonnes (World Bank, 2018). World Bank
35 (2018) and Kaza et al. (2018) summed up the critical aspects of poorly managed waste
36 as "contaminating the world's oceans, clogging drains and causing flooding,
37 transmitting diseases, increasing respiratory problems from burning, and harming
38 animals that consume waste unknowingly." These problems can affect millions of
39 people personally, and it also affects economic development, thwarting the growth of
40 tourism and businesses. But, if well-managed, the waste can be a source of resources
41 and has potential to create social wealth (Ayodele et al., 2018; Hering, 2012).
42 However, no matter how professional the MSW management system is, in some cases,
43 China's MSW management system is faced with problems that are unavoidable and
44 insurmountable. These factors include the high cost of exporting MSW due to limited
45 land use, the cost of equipment and the large scale of waste disposal that exceeds the
46 capacity of landfills or disposal sites (Chen et al., 2018; Huang et al., 2016; Wu et al.,
47 2014).

48 Some previous efforts (Barrett and Scott, 2012; Fudala-Ksiazek et al., 2016;
49 Geng et al., 2013; Paes et al., 2020; Pietzsch et al., 2017; Shahbazi et al., 2016; Wan
50 et al., 2018; Xiao et al., 2020) emphasized the importance of Zero Waste (ZW)
51 initiative to promote circular economy and sustainable social development, which no
52 longer focuses solely on the disposal, but instead considers recycling. The concept of

53 ZW attracted worldwide attention in a press release issued by C40 in August 2018,
54 which is a network of international megacities dedicated to supporting measurable and
55 sustainable ways to achieve climate change. The mayors of 23 cities signed the C40's
56 "Advancing Towards Zero Waste Declaration," with the overall goal of reducing the
57 amount of waste in landfills and incineration facilities by 50% by 2030 as well as 15%
58 reduction in waste per person (C40 Cities Climate Leadership UK, 2018).
59 Furthermore, five cities signed the "Advancing Towards Zero Waste Declaration," in
60 2019 (C40 Cities Climate Leadership Group, U.S, 2019). The 28 cities (e.g., New
61 York, London and Tokyo) are listed in the Table S1. The case for ZW acceleration on
62 a global scale is clearly set forth in this ongoing movement. Obviously, recycling is an
63 essential practice for ZW city acceleration, and has always been an important strategy.
64 The minimum recovery rate in China's 13th Five-Year Plan was set at 35.0%.
65 Moreover, in order to achieve this goal, 11 cities were designated as ZW pilot cities in
66 China (Table S2), which represented a strong signal from central government.

67 Despite the government's promotion of recycling, the success in achieving the
68 planned recovery rate with ZW cities acceleration by the end of this year (based on
69 the time of this article's submission in November of 2020) is not optimistic. Since
70 2011, we have been conducting a field tracking survey of MSW stream generation in
71 Suzhou (Gu et al., (2014; 2015; 2018)). We also reviewed China's MSW stream
72 generation in 78 Chinese cities (Gu et al., 2017b). We found a significant gap between
73 political slogans and practical implementation of recycling goals. Some local
74 governments have produced their recycling policies or guidelines based on the
75 experiences of other developed regions and cities, and their recycling initiatives have
76 become a political task rather than a strategic planning and management. We also
77 found that local policy-makers are task oriented when it comes to recycling because of

78 the lack of reliable data on the local recyclable waste recycling potential (RWRP).
79 Our investigation revealed that most recyclable waste (RW) information is currently
80 unreliable; thus, alternative data have been widely adopted, causing poorly
81 implemented and inefficient local recycling policies. A reliable RW database is
82 urgently needed to provide credible information to national and local city authorities.

83 Some previous efforts have focused on RWRP. At the case city level, Chang and
84 Davila (2008) found that RW accounted for 93.0% of the MSW in Texas, U.S. Saeed
85 et al. (2009) found that recyclable organics (RO, 58.0%) were the major contributor to
86 MSW in Kuala Lumpur, Malaysia. Thanh and Matsui (2010) reported that RO and
87 non-organic recyclable material (RM) accounted for (65.0-98.0)% and (2.5-45.4)%,
88 respectively, in Ho Chi Minh City, Vietnam. Ibikunle et al. (2020) manually sorted
89 the MSW and found that RO and RM accounted for 15.8% and 76.6%, respectively,
90 in Ilorin, Nigeria. At the national level, the lead author of this paper and her research
91 group (Gu et al., 2017b) adopted a stochastic simulation review based on 78 Chinese
92 cities mentioned above, which indicated that the best estimate of RWRP was
93 approximately 88.7%. Ma et al. (2020) extended the work of Gu et al. (2017b) and
94 estimated the RO and RM of 135 prefecture-level cities in China as 53.7% and 34.9%,
95 respectively, using the quantitative models established by a back-propagation neural
96 network methodology. At the global level, Margallo et al. (2019) evaluated that RO
97 was 44.0%, and RM was 38.0% with an average RWRP of 85.6% based on the results
98 from 20 countries. The algorithm presented herein refers to previous studies (Gu et al,
99 (2017b; 2018)), where food waste, wood and grass add up to RO, and paper, plastics,
100 glass, metal and textile add up to RM. And, RO and RM add up to RW. However,
101 those results are not sufficient to generate managerial insights and policy implication
102 for ZW acceleration. Further local recyclable waste recycling potential (RWRP) data

103 is required. Thus, more RWRP data is needed to verify the high-, low- and
104 no-resource value of RW as it relates to the support and cooperation of community
105 recycling enterprises and those who can provide better equipment or assistance of any
106 kind, since many are not well funded or equipped with the basic set up needed for a
107 successful operation (Ghanimeh et al., 2019; Jang et al., 2020; Ozcan et al., 2016).
108 The characteristics of RW are related to city authorities' responsible management and
109 national recycling strategy (Fernández-González et al., 2017). However, detailed
110 quantitative results of RWRP in the resource value (RV) dimension are apparently
111 lacking. Although high resource values (HRVs) and low resource values (LRVs)
112 accounted for 64.8% and 26.0% of the quantified recyclables in Suzhou, China,
113 respectively (Gu et al., 2018), the limitation lies in the fact that these RWRP represent
114 a developed city. In China, there are 293 prefecture-level cities (CNBS, 2020), whose
115 economic development levels and urbanization process are uneven. It is important to
116 consider the local RWRP in each city based on the different stages of recycling and
117 their relationship to each city's development of the ZW initiative or construction
118 when formulating operational recycling policies.

119 The hypothesis presented here revolves around the lack of a level playing field
120 when it comes to getting the help needed to advance the construction of a ZW city. A
121 further hypothesis is that if the help is available that will be based on local RWRP's
122 characterization. Therefore, we explored the data and methods of quantizing and
123 recognizing the RWRP in three types of cities, based on case city classification of a
124 high-, middle- and low-income-level. This effort represents an extension of our
125 preliminary case study of Suzhou, a developed high-income level city (Gu et al.,
126 (2014; 2015; 2018)). Three key questions are answered in this study:

127 (1) What are the dynamic changes in RWRP in the case cities?

128 (2) What are the disparities of RWRP between intra-cities (inner-cities) and
129 inter-cities (city-to city)?

130 (3) What are the key factors influencing RW stream generation?

131 To answer the above questions, systematic field tracking surveys covering
132 multiple longitudinal case cities were implemented between 2016 and 2019. Results
133 and discussion are presented in Section 3, including a discussion of dynamic changes
134 in RWRP in case study cities. Moreover, RWRP disparities between inter-cities and in
135 intra-cities are compared to other cities in the world. We also conducted fundamental
136 driving factor analyses, followed by an analysis of the practical implications of this
137 study (reported in Section 4). Finally, conclusions are drawn in Section 5.2.

138

139 **2. Data and methods**

140 2.1. Case cities and data collection

141 The RW samples of this study are from MSW. Generally, household solid waste,
142 group institution waste, and street cleaning waste are recorded as MSW in China.
143 Samples of waste for this survey were collected from a mixture of four designated
144 sources (residential households, street cleaning, small businesses, and institutions).
145 Collecting waste at generation sites and directly sorting them is one of the most
146 accurate approaches for quantizing and characterizing RWRP (Chang and Davila,
147 2008; Ibikunle et al., 2020; Thanh et al., 2010). Any city's RW stream generation is
148 affected by these factors, such as the social-economic level, urbanization development
149 stage, local customs, culture, resident lifestyles, and geographical position (Gu et al.,
150 2017a; Gu et al., 2018; Khandelwal et al., 2019). Since 2011, a systematic field
151 tracking survey of the Suzhou case study was implemented, as mentioned in the
152 introduction. Furthermore, from 2016 to 2019, extended surveys were implemented in

153 the three representative Chinese cities, Suzhou, Yangzhou, and Suqian, which
 154 represent the cities of high-, middle- and low-income levels, respectively. In each city,
 155 four sampling locations were selected, two each in the old and new districts. Fig. 1
 156 shows the 12 waste generation sites (①-⑫) that were sampled, which remained
 157 unchanged during the survey period. The definition of new and old districts is based
 158 on the development level/stage of a modular pattern. General information on the three
 159 case study cities, including GDP, population, MSW generation, etc. are provided in
 160 Table 1 and Figs. S1-2. Some contextual information on global cities is also provided
 161 so that non-Chinese readers can understand the size and status of our case study cities.
 162 More geographic location information is presented in Table S3.

163
 164

Table 1. Description of Suzhou, Yangzhou and Suqian

City	GDP (per capita annual, \$)	Urban residential population (million person)	Urban area (km ²)	ICE (per capita annual, \$)	MSW generation (thousand tons)	RP (%)	Recycling rate (%)
Case cities							
Suzhou	25,508	815	4,653	5,501	234,000	87.0	6.4
Yangzhou	17,741	304	2,306	3,819	80,000	87.6	-
Suqian	8,212	296	2,153	2,553	41,000	72.8	-
Comparing with global major cities							
City	GDP (per capita annual, \$)	City	GDP (per capita annual, \$)	City	GDP (per capita annual, \$)	City	GDP (per capita annual, \$)
New York	75,092	Maribor	23,747	San Luis Potosi	15,303 ^a	Tlaxcala ^a	9,431
London	59,892	Webb	25,913	Miskolc	17,319	Bogota ^a	7,692
Sydney	44,930	Gunsan	26,494	Rancagua	19,398	Tapachula ^a	6,696

165
 166
 167
 168
 169
 170
 171
 172
 173
 174

Note: 2018 GDP data, residential population, urban area, and individual consumption expenditures (ICE) were extracted from official statistics (Jiangsu Bureau of Statistics of China (JBSC), 2019). The GDP and ICE exchange rates between the RMB and the U.S. dollar were calculated at 6.8. The 2019 MSW generation data are from the local government. The 2019 recycling potential (RP) data was calculated using the “RW generation amount and the MSW generation amount” in a city as well as data from RW generation and MSW generation based on a survey sample. The Suzhou 2019 recycling rate was calculated based on 150,000 recyclable tons and an MSW generation amount of 2.34 million recyclable tons (<http://www.suzhou.gov.cn/zszyhsly/index.shtml>, accessed 2021.01.17). Additionally, 120,000 tons were recycled in 2018 (<http://www.cn-hw.net/html/china/201811/63714.html>, accessed 2020.01.17) with an annual recycling rate of 4.8%. In Yangzhou and Suqian, no clearly quantifiable recycling data were available; however, we extracted the

175 data from local governments, recycling companies, and a community property company. For the global major
176 cities from OECD (2018), the superscript “a” represents Bogota’s 2015, 2016, and 2019 data (Ghanimeh et al.,
177 2019). Additionally, Case cities include New York, USA; London, UK; Maribor, Slovenia; Webb, NY, USA;
178 Gunsan, South Korea; San Luis Potosi, Mexico; Rancagua, Chile; Tlaxcala, Mexico; Bogota, Columbia; Tapachula,
179 Mexico.

180

181 A total of 5,538kg of sample waste was manually sorted and weighed as it was
182 quantified into several categories, enabling first-hand RW data to be collected. Each
183 category of waste was weighed, and all data was recorded on site (Fig. S3).
184 Quantization steps were: 1) 11 physical components of MSW were quantified. 2) RO
185 and five traditional RMs were quantified. 3) RMs were further quantified as HRV RM
186 (HRVRM), LRV RM (LRVRM), and no RV RM (NRVRM) based on their local
187 current market value. HRVRM (e.g., water bottles, plastic buckets) can be sold
188 directly and recycled, which is favored by the recycling business, residents and
189 scavengers. LRVRM (e.g., plastic packaging for yogurt, plastic packaging for instant
190 noodles) cannot be recycled directly and must first be treated (sorted and cleaned).
191 NRVRM (e.g., baby diapers, napkins) is designated as waste and is not counted as
192 RW, which is an improvement in this study, compared to our previous study (Gu et al.,
193 2018). More category information is presented in Table S4.

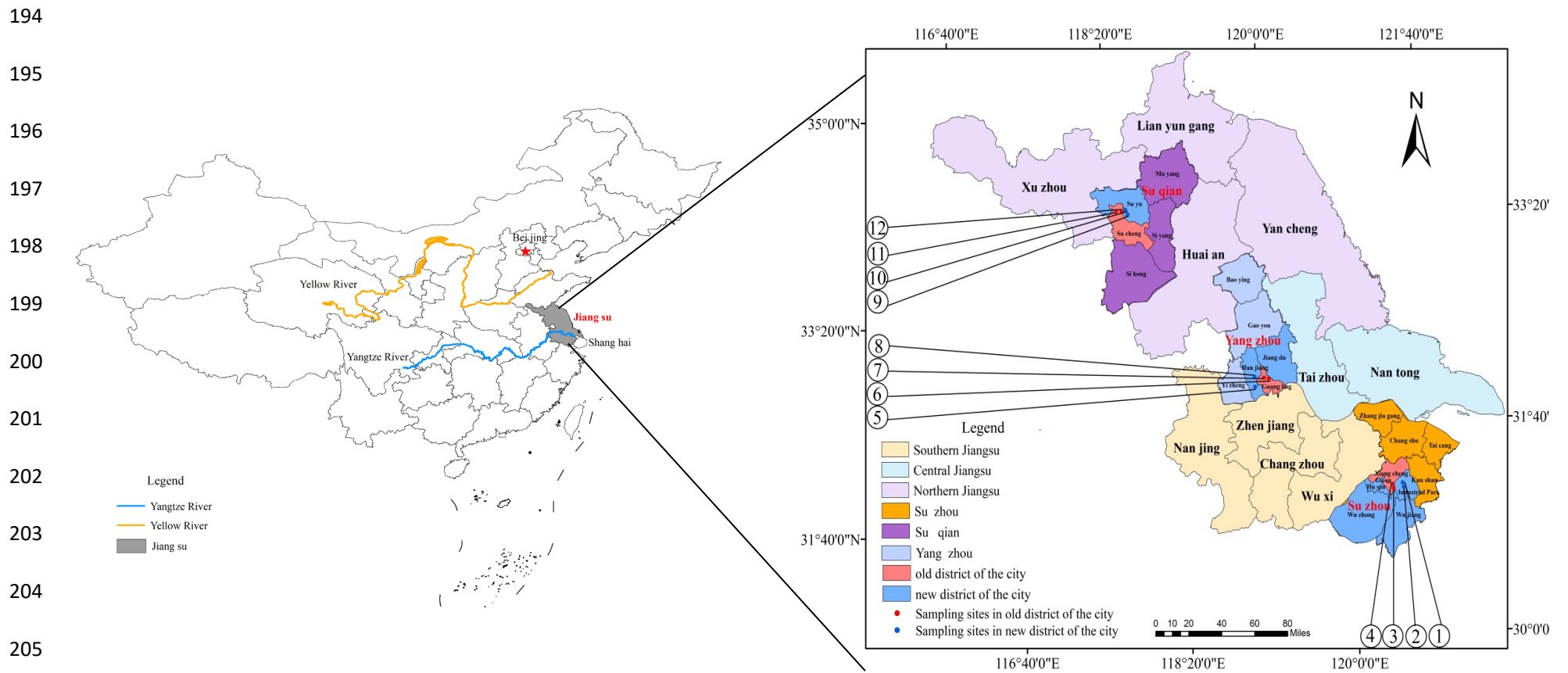


Fig. 1. Locations of Suzhou, Yangzhou, and Suqian, China

Note: Suzhou is shown in orange with old and new district of city in red and blue, respectively (1-4), Yangzhou is shown in a light blue with old and new district in red and darker blue, respectively (5-8), and Suqian is shown in purple with old and new district of city in red and blue, respectively (9-12). All are located in Jiangsu province, China.

210 2.2. Data consolidation and verification

211 The RWRP was obtained by calculating the proportion component of MSW:

212

$$213 \text{Pro}_{i,j,k,t} = \frac{RW_{i,j,k,t}}{MSW_t} \times 100\%$$

214

$$215 MSW_t = \sum_{i=1}^{11} \sum_{j=7}^7 \sum_{k=1}^{17} RW_{i,j,k,t} + \sum_{i=1}^{11} N_{i,t}$$

216

217 where i refers to RW in kg; j is sub-RW in the physical component dimension, e.g.,
218 RO, paper, kg; k refers to sub-RW in the RV dimension, e.g., HRV paper and LRV
219 plastic, kg; t is a year; $\text{Pro}_{i,j,k,t}$ is the RP of $RW_{i,j,k,t}$ in MSW, %; $RW_{i,j,k,t}$ is the RW
220 of the i, j, and k categories; MSW_t is the MSW generation sample amount in the
221 survey of one city or one district or one year, kg. The weight unit, “kg” is flexible and
222 could be converted to “g” or “tons.” There are eleven components in the physical
223 dimension, seven components in the RW dimension, and 17 components in the RV
224 dimension.

225 The survey is a random sample, but in a fixed sample source and fixed time
226 intervals, undetected categories are considered no-generation and recorded as zero.
227 Undetected categories and monitored categories are added together to estimate the
228 mean value of every single RW categories. Both physical discrimination and
229 statistical discrimination were combined as well as adopted for judging and removing
230 outliers.

231 2.3. Statistical analysis

232 Linear regression analysis was performed between the RW stream generation and

233 the assumed influence factors. The linear function model is represented as:

234

$$235 \quad Y_{i,t} = \alpha + \sum_i \beta_{i,t} X_{i,t} + \varepsilon$$

236

237 where, $Y_{i,t}$ is the RW generation in year t in kg/tons; α is the intercept, which refers

238 to the mean value of the response variable; $\beta_{i,t}$ is the slope indicating an average

239 change in the response variable i ($i = 1, 2, \dots, n-1, n$) in year t ; $X_{i,t}$ are the variable

240 factors i ($i = 1, 2, \dots, n-1, n$) in year t , i.e, the GDP per capita and ICE; ε is term of

241 the average random error, and its expected value equals zero; t is the period between

242 2016 and 2019. Oracle's Crystal Ball as a Microsoft Excel add-in component was

243 utilized to perform the uncertainty analysis. The Monte Carlo stochastic simulation

244 approach was employed to model the probability distributions of key input parameters,

245 and uncertainties were estimated. Monte Carlo sampling trials were set at 10,000.

246 Standard deviation (Std. Dev.) represents the deviation between the primary data (the

247 survey's first-hand data) and the mean value of uncertainties, which is expressed as:

248

$$249 \quad \sigma = \sqrt{\frac{1}{N-1} \sum_{i,t=1}^N (X_{i,t} - \mu)^2}$$

250

251 where, σ is the Std. Dev. Value, a small value means a small deviation; vice versa. N

252 denotes sample size; $X_{i,t}$ is the RWRP of i ; μ is the mean value of $X_{i,t}$; t refers to

253 one year.

254 3. Results and discussion

255 3.1. Dynamic changes in recyclable waste recycling potential (RWRP) in case cities

256 Our results show that case cities with different income levels have different
257 dynamic changes trends in RWRP, in which, RO is a constant, and RM fluctuates. It
258 should be noted that the results of this study are expected to be more useful to a wider
259 range of recipients such as decision-makers, enterprise producers, and municipality
260 planners. The dynamic changes of the RWRP in Suzhou are shown from 2011 to 2019,
261 and the data between 2011 and 2017 are provided in our existing study (Gu et al.,
262 2018). The corresponding dynamic changes of the RWRP in Yangzhou and Suqian
263 between 2016 and 2019 are also presented herein.

264 As shown in Fig. 2, an important contributor to RWRP is RO, which can be
265 converted into compost in the three case study cities with mean values of around
266 55.0%. The RO in Suzhou was 55.0% with a maximum of 56.2% in 2017 and a
267 minimum of 54.0% in 2013. The RO in Yangzhou was 55.5% with a maximum of
268 56.5% in 2016 and a minimum of 54.1% in 2017. The RO in Suqian was 53.7% with
269 a maximum of 55.5% in 2017 and a minimum of 52.9% in 2016. Notably, RO is
270 dominated by food waste (Fig. S4). This is almost constant due to the Chinese
271 preference for dominant food that is unprocessed and unpackaged, especially local
272 green vegetables and fruit; thus, more dominant RO food waste is found in China than
273 in Western cultures (Margallo et al., 2019). This implies that the RO value will
274 continue to rise in the future, even as the economy and urbanization rapidly develop
275 (Figs. S5-6). Composting has been recognized as a feasible policy that should be
276 widely applied in China, and the implications are reported in Section 4.1. Results of
277 mainstream RO agrees with previous Chinese studies (Chen et al., 2010; Gu et al.,
278 2017b; Tai et al., 2011; Zheng et al., 2014); however, these studies are contrary to

279 foreign findings indicating that lower economic level regions/cities produce more RO
280 (Khandelwal et al., 2019; Vergara and Tchobanoglous, 2012).

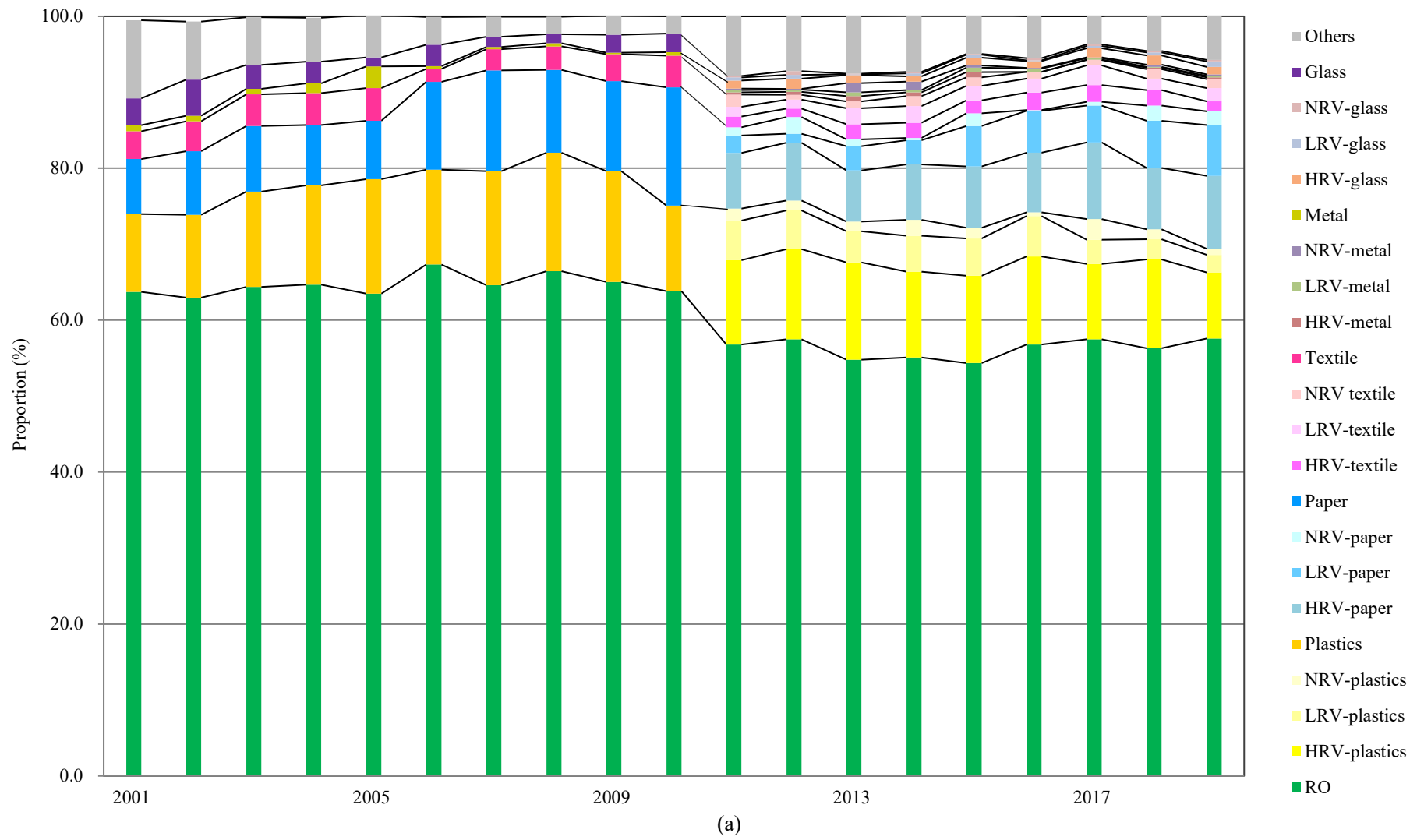
281 Another major contributor of RWRP is the RM in case study cities, where plastic
282 and paper are the mainstream waste materials. On average, the RV of plastic and
283 paper in Suzhou was 15.3% and 12.3%, respectively. The corresponding data on
284 plastic and paper in Yangzhou is 15.1% and 8.3%, respectively. In Suqian, the
285 proportion of plastic and paper are 5.9% and 5.6%, respectively. Additionally,
286 significant dynamic changes in availability of plastic and paper were observed. In
287 Suzhou, a slight decline in plastics occurred, and inversely, a slight increase in paper
288 occurred. Plastic and paper were further defined in the RV dimension (Fig. S7 (a-c)).
289 An interesting phenomenon was observed when the reduced amount of plastic with
290 LRV was replaced by more paper with LRV. The dynamic changes in Yangzhou were
291 similar to those in Suzhou, where it was noted that as the amount of plastic decreased,
292 paper increased, especially LRV paper (Fig.2 (b) and Fig.S8 (a-c)). This could be
293 attributed to the Chinese environmental protection policy and challenge initiatives.
294 For instance, in 2008, after the “pay for plastic shopping bags” program was
295 implemented, there was an immediate reduction in plastics, which was observed in Gu
296 et al. (2017b). In 2013, a temporary restriction known as the “Green Fence” limited
297 plastic waste entering China; in 2017, a policy permanently banning the import of
298 nonindustrial plastic waste was announced. These policies revealed that plastics are
299 undesirable; thus, the ban on imported plastics and cuts in overall use naturally
300 resulted in plastic waste reduction (Brooks et al., 2018).

301 Notably, Suqian is different from Suzhou and Yangzhou. Plastic and paper have
302 simultaneously grown rapidly due to the increase of NRV plastics and LRV paper
303 (Fig. 2(c) and Fig. S9(a-c)). This could be due to the improvement of people’s living

304 standards. For instance, ICEs and individual consumption quantity of meat products,
305 aquatic products, melons and fruits are growing rapidly (Fig. S10 (a-b)). A large
306 number of packaged meat, fish, shrimp, and crabs as well as melons and fruit were
307 found during the investigation in Suqian, but the packaging mainly consisted of LRV
308 and NRV plastic and paper. Several similar studies that have analyzed packaging
309 waste from different perspectives. Gu et al. (2015) reported packaging waste as
310 comprising 66.8% of Suzhou's household solid waste (not counting home-grown food
311 waste); hence, the largest proportion of packaging waste was for drinks, fast food and
312 secondary-use shopping bags, which accounted for 19.7%, 12.9% and 6.6% of
313 packaging waste, respectively. Geyer et al. (2017) reported that plastic packaging and
314 containers are the largest component (36%) among industrial applications from
315 worldwide plastic production (approximately 400 million tonnes annually). Liu et al.
316 (2020) reported that food packaging accounts for 15.7% of the total MSW generated
317 in the Jing-Jin-Ji region of China. It needs to be emphasized that the packaging waste
318 mentioned by Gu et al. (2015) came from household solid waste, while the packaging
319 waste in this study focuses on MSW. Additionally, the packaging waste of Geyer et al.
320 (2017) analyzes industrial solid waste, while Liu et al. (2020) features food packaging
321 waste in their study.

322 Some Chinese food markets feature open-top packaging, especially in developing
323 cities. This type of packaging is extremely cheap for the vendor, and it is free to
324 consumers. It needs to be emphasized that food market packaging is different from the
325 "pay for plastic shopping bags." Paid packaging is defined as HRVRM in this study
326 (Table S4). The results recorded in Suqian agree with the World Bank (2018) and
327 Hoornweg and Bhada-Tate (2012), which noted that the trend toward increased plastic
328 and paper generation is growing faster in China's MSW. It is expected that

329 LRV-plastics will continue to grow for a while in developing Chinese cities but will
330 soon be replaced by degradable paper and textiles. However, the dynamic changes of
331 textile in these case study cities were not obvious, averaging 5.0%. Furthermore, the
332 combined proportions of metal and glass were no more than 3.0% in each case study
333 city.
334



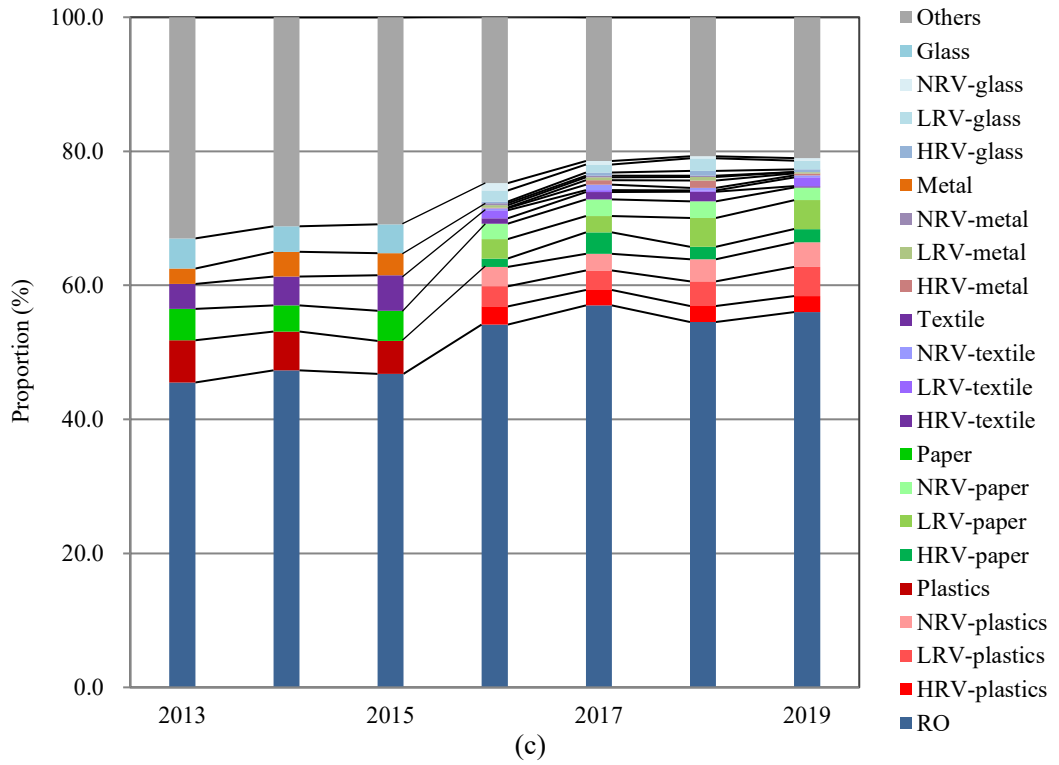
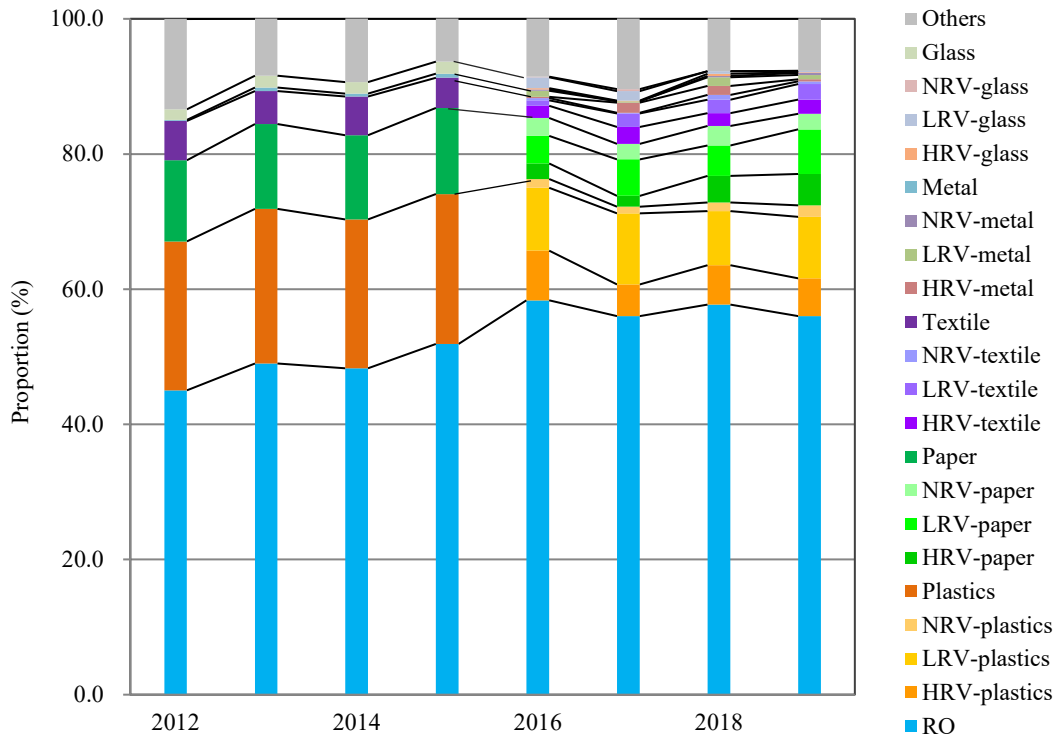
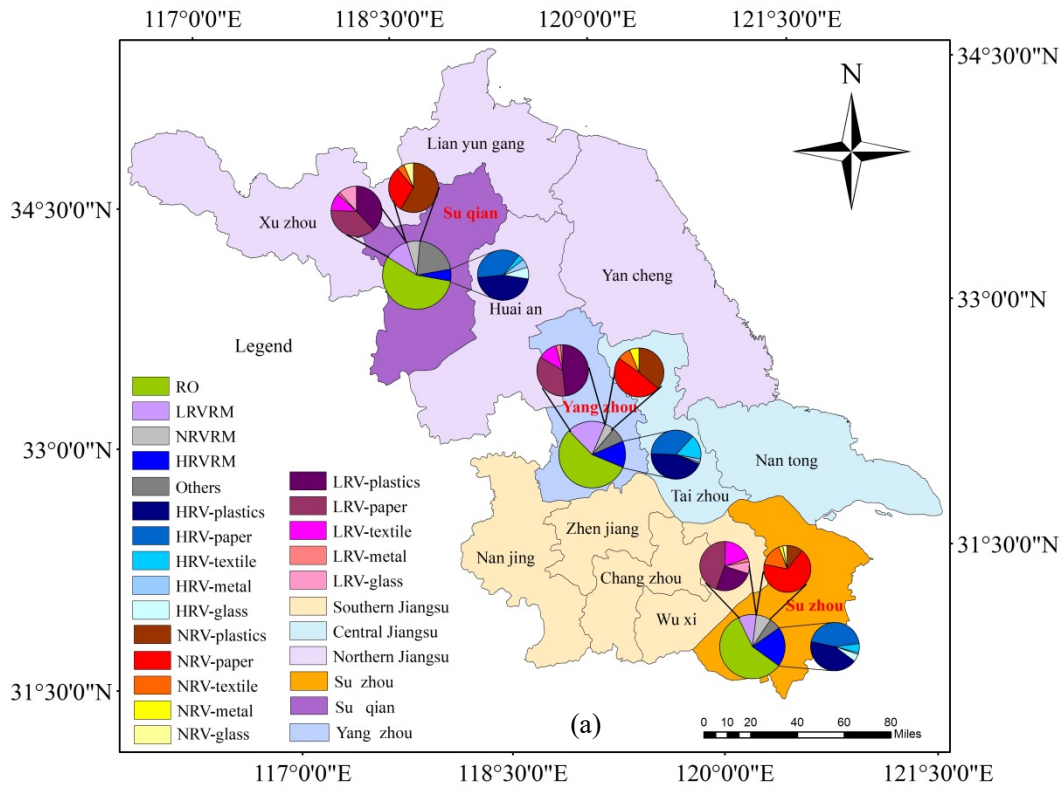


Fig. 2. Dynamic changes of RWRP in (a) Suzhou, (b) Yangzhou, and (c) Suqian.

343 3.2. Recyclable waste recycling potential (RWRP) disparities in 2019

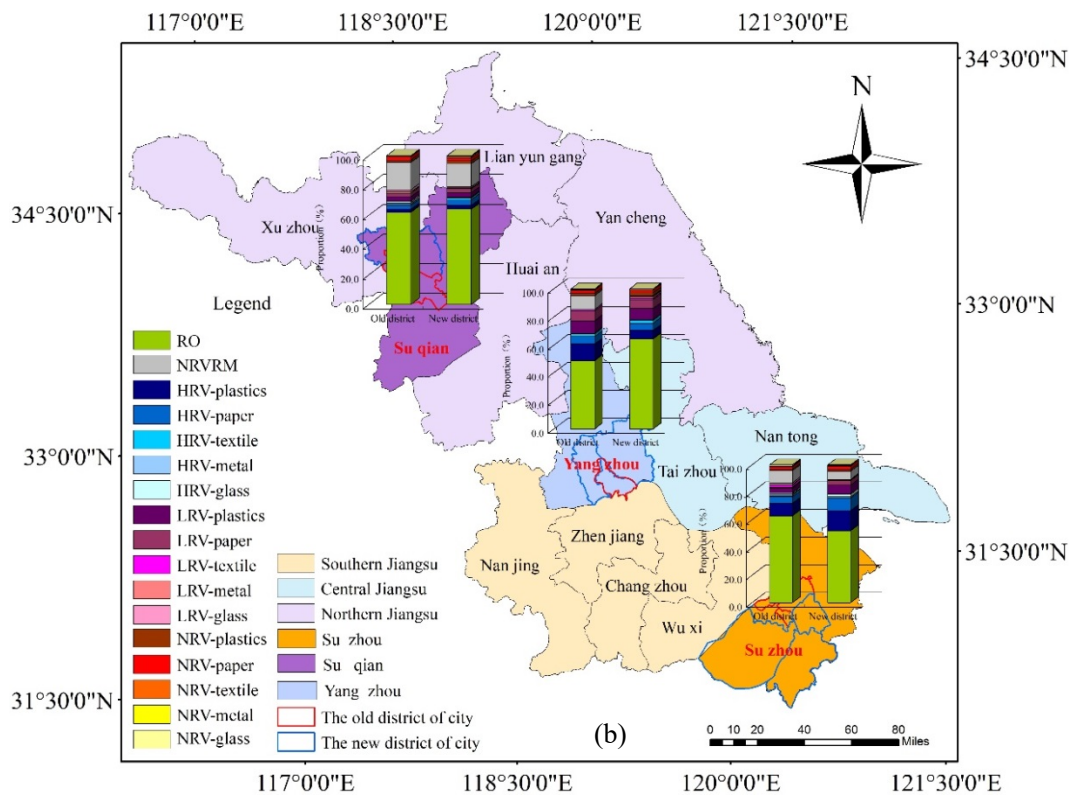
344 Obvious spatial disparities of RWRP were observed between inter-city and
345 within intra-city in these case studies. This was verified by the latest data for 2019
346 based on our study of the three cities via surveys shown in Fig. 3. The inter-city
347 disparities of RW stream generation are presented in Fig. 3(a). First, the RWRP was
348 analyzed. Suzhou (87.0%) is on a par with Yangzhou (88.0%). Furthermore, both
349 cities had a higher RWRP than Suqian (72.8%). Second, mainstream RO was
350 analyzed, which showed similar levels in the three case cities (57.6% for Suzhou,
351 56.0% for Yangzhou, and 56.0% for Suqian) due to the high food waste contribution,
352 mentioned in Section 3.1. Third, RVRM was analyzed and results showed 29.4% in
353 Suzhou, 31.6% in Yangzhou, and 16.8% in Suqian, respectively. Furthermore, more
354 HRVRMs (20.3%) were observed in Suzhou, in which, plastics, paper, textile, metal
355 and glass accounted for 8.7%, 9.1%, 1.3%, 0.2% and 1.0%, respectively. One of the
356 main reasons for this phenomenon is that citizens in Suzhou tend to consume more
357 multiple pre-packed products made of plastic and paper. It was found that packaging
358 with multiple container products of MSW accounted for 70.7% in Suzhou (Gu et al.,
359 2018). More LRVRMs (18.9%) were observed in Yangzhou, in which, plastics, paper,
360 textile, metal and glass accounted for 9.1%, 6.6%, 2.3%, 0.7% and 0.2%,
361 respectively. This could be attributed to Yangzhou's development as a small and
362 exquisite tourism city. In 2018, the number of inbound tourists reached 76.4 million
363 people, and tourism revenue was \$8.3 million, which accounted for 16.6% of the
364 local GDP that year (YBSC, 2019). A lot of local snack packages (e.g., Yangzhou old
365 goose, Beggar's chicken) were found during the investigation, which were mainly
366 made of LRV-paper and LRV-plastic. The waste sampling locations were located
367 near tourist attractions, which indicated the tourist effect on RW stream generation.

368 Suzhou is a developed tourist city with a wider urban area than Yangzhou (Table 1);
369 thus, scenic areas and tourist stops are scattered, causing corresponding tourism
370 waste to be diluted to the point where snack packages are not obvious in Suzhou.
371 MSW “tracks” the whereabouts of humans and scatters in every corner of the city
372 (Gu et al., 2017b), so does RW, because RW is an important physical component of
373 MSW. More NRVRMs (27.3%) were observed in Suqian, which could be attributed
374 to the improvement of people’s living standards, mentioned in Section 3.1. However,
375 HRVRMs and LRVRMs were 5.3% and 11.5%, respectively. Suqian’s RVRMs are
376 expected to increase in the future, along with local economic development.
377



378

379



380

381

382

383

384

385

Fig. 3. RW stream generation in Suzhou, Yangzhou, and Suqian in 2019.

Note: (a) This illustration uses pie charts to show the old districts' the inter-city disparities of RW stream generation within the scope of intra-city Geographic Information Software, while (b) shows the differences in the intra-city RWRP of the new districts. More data information is presented in Tables S5-6.

386 The intra-city disparities of RW stream generation are presented in Fig. 3(b). In
387 Suzhou, more RO (63.0%) were generated in old districts, and more RM (37.3%) was
388 generated in new districts. Additionally, more HRV-plastics (14.7%) and HRV-paper
389 (9.0%) were generated in new districts. The reasons behind these increases could be
390 because: 1) more than 65.0% of Suzhou's restaurants and attractions are located in old
391 districts; 2) many adult Suzhou children eat in an old district and live in a new district;
392 3) people living in a new district are mainly young white-collar workers who prefer to
393 go shopping, resulting in the generation of more plastics and paper packaging (Gu et
394 al., (2015; 2018)). Generally, these packages are made of HRV-plastics and
395 HRV-paper.

396 In Yangzhou, more RO (64.2%) were generated in new districts due to food
397 waste (64.0%). In Yangzhou's old districts, food waste was 47.0% and was different
398 from that in Suzhou. Yangzhou's schools, supermarkets, and government offices are
399 concentrated in the old districts. Moreover, most residential buildings in old districts
400 are school district housing (SDH). In most Chinese cities, a primary school student's
401 assigned school district is based on his or her household registration. If a student can
402 enter a high-level primary or secondary school, his/her domicile house is called an
403 SDH, which is a special phenomenon in China. Generally, Chinese parents buy an
404 SDH to keep their children "winning at the starting line." In Yangzhou, the housing
405 area of SDH is greater than or equal to 30 square meters. In fact, the SDH is
406 uninhabitable. Thus, most primary school students are registered in SDH in old
407 districts, but they actually live in new districts. In other words, many SDHs in old
408 districts are vacant. Thus, household registrants in old districts eat and live in new
409 districts. As a result, more ROs are generated in the new Yangzhou districts.
410 Additionally, more RVRM (36.9%) was generated in the old Yangzhou district, in

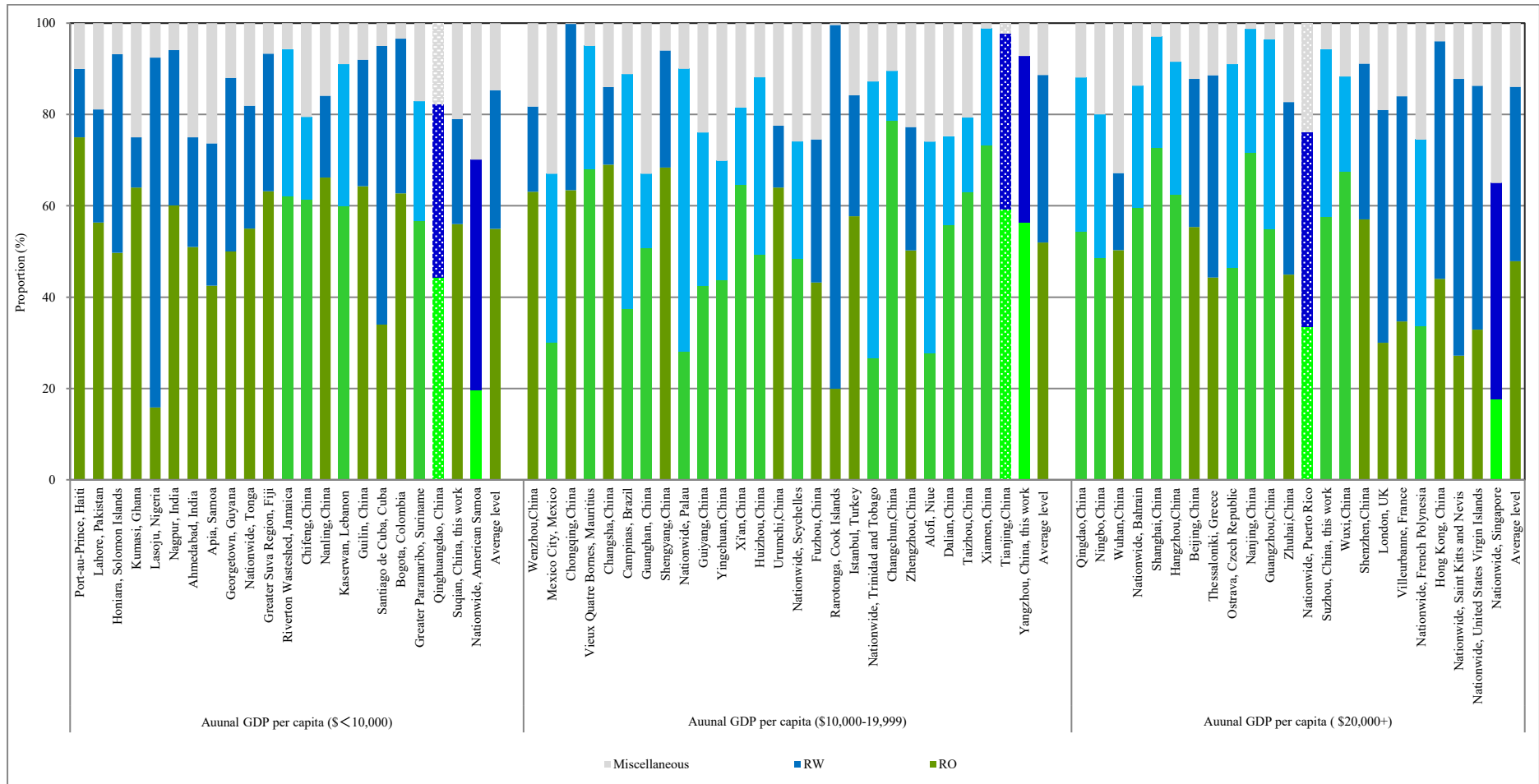
411 which plastics and paper were 21.0% and 12.1%, respectively. This is because the old
412 district has primary, secondary and university campuses, and they adjoin areas where
413 supermarkets and government offices are concentrated; thus, the old district generated
414 more recycled plastic and paper. RW stream generation is influenced by prominent
415 characteristics referred to as “small but delicate” in Yangzhou.

416 There is no significant difference in RO and RM in the intra-city of Suqian. The
417 only explanation is that the level of economic development is relatively low, and local
418 residents’ lifestyle and consumption preferences are similar.

419 3.3. Comparison with other cities in the world

420 This study used the RWRP data of Suzhou, Yangzhou, and Suqian to compare the
421 regional discrepancies in the RWRP of RW data from 69 international cities reported
422 in established English/Chinese journals. The addition of the three case cities in this
423 study gave us an overview of RWRP in 72 cities worldwide (Fig. 4). Our analysis
424 finds that there are no significant differences in the RP of RO and RM among the
425 cities in this overview based on each city’s income levels. Previous studies
426 (Hoorweg and Bhada-Tate, 2012; Vergara and Tchobanoglous, 2012) reported that
427 more RM was generated in wealthy regions and more RO were generated in
428 economically underdeveloped regions. With that in mind, we classified the 72 cities
429 into three groups based on per capita annual GDP: 1) lower than \$10,000, 2) 10,000 to
430 less than \$20,000, and 3) \$20,000 or more. The criteria for grouping and the case
431 cities selection of this study are the same (see Table 1). No direct relation was found
432 between the RW stream generation and income levels. Moreover, after switching
433 views to RWRP between Chinese cities and foreign cities, a higher proportion of RO
434 was observed in China. This is due to Chinese dietary habits and preference for

435 unpackaged fruit and vegetables.



436

437 Fig. 4. RWRP in 72 cities throughout the world.

438 Note: Data sources for the references are provided in Table S7.

439 At the end of the 72-city international comparison, a notable lack of obvious
440 differences in RWRP was recorded. However, significant fluctuations were noted in
441 individual cities. For example, the highest RO proportion (75.0%) was in the low-
442 income city of Port-au-Prince, Haiti (Vázquez-Rowe et al., 2015), and the highest RM
443 (79.7%) was in the middle-income city of Rarotonga, Cook Islands (Mohee et al.,
444 2015).

445 Another example of high RM is in the low-income city is Ilorin, Nigeria (Ibikunle et
446 al., 2020). This is not surprising. Haiti is a low income country with a per capita
447 annual GDP of \$730.3. Surprisingly, it had the highest RO, which is typically linked
448 to lower purchase power. Margallo et al. (2019) ultimately connects a higher RO
449 proportion with more food purchases, and Haiti is also a family-farm reliant country,
450 which has benefited from USAID (USAID, 2020), making RO a prominent part of
451 their MSW. Cook Islands is located in the central-southern Pacific Ocean and is
452 comprised of 15 islands. In this country, tourism and offshore finances are very
453 developed, which explains the high import rates of consumer products, accompanied
454 by high levels of packaging waste. The plastic, glass and metal components are 22.1%,
455 23.5%, and 33.5%, respectively (Mohee et al., 2015). Nigeria has a higher RM of
456 76.6%, with plastic and nylon accounting for 57.7%. The nylon is imported from the
457 sale of sachet water packaged in polyethylene sachets, also known as
458 cellophane/nylon bags. It is a low cost way to capture water in the perennial high
459 temperatures above 30 C near Niger (Ibikunle et al., 2020).

460 To provide a more comprehensive understanding of RWRP, further estimations
461 and comparisons were carried out. Distribution curve ranges were characterized; then,
462 10,000 simulations were set. Table 2 presents the results of three case studies versus
463 69 other cities in the world, and 35 Chinese cities versus 37 foreign cities, as well as

464 previous studies' results. RO proportions of Chinese cities were on a similar level
465 ranging from 56.0 to 59.3% of the total MSW. Foreign cities had an RO proportion
466 that was inversely proportional to the economic development level. Resultant RO
467 proportions of this study agree with the World Bank's reports (World Bank, 2012) and
468 Hoornweg and Bhada-Tata (2012), but the RO proportions were higher than the global
469 components (Margallo et al., 2019). Vergara and Tchobanoglous (2012) agreed that
470 RM is positively correlated with income level. Several factors support this finding:
471 First, factors, such as economic situation, dietary habits, residential lifestyles,
472 consumption structure, geographical location, energy usage, local customs and culture
473 influence the RW stream generation (Chen et al., 2010; Gu et al, 2017a; Margallo et
474 al., 2019; Vergara and Tchobanoglous, 2012). Second, the definition of a city differs
475 from country to country. Thus, the definition of a city in China is broader than in some
476 other countries (Wang et al., 2012). As a result, many tourist attractions, industrial
477 parks, and even rural suburbs are included within the city limits of different cities in
478 China. Different urban areas generate different RW streams. Third, RW data include
479 several cities, sources, and authors as well as data covering longer time spans.
480 Although the data represents results after 2000.

Table 2. RWRP in this and previous studies

Income level	Cities	RO	RM	Others
Case cities versus other cities				
High	Suzhou	57.6	36.7	5.7
	Other 20 cities in the world	47.9	38.2	13.9
Middle	Yangzhou	56.4	36.4	7.2
	Other 26 cities in the world	51.9	32.0	16.1
Low	Suqian	53.2	32.5	14.3
	Other 23 cities in the world	55.0	30.4	14.7
Chinese cities versus foreign cities				
High	Chinese cities	57.0	32.1	10.8
	Other cities in the world	36.0	46.1	17.9
Middle	Chinese cities	59.3	25.7	16.6
	Other cities in the world	42.7	41.7	15.6
Low	Chinese cities	58.4	24.2	17.4
	Other cities in the world	53.9	32.1	14.0
The World Bank, (2005)				
China		57.0	21.0	22.0
Ma et al., (2020)				
China		53.7	34.9	11.4
Margallo et al., (2019)				
Global in 2016		44.0	38.0	18.0

482

483 3.4. Factors impacting recyclable waste (RW) stream generation

484 Modeling results show that the RW stream generation was positively correlated
485 with these factors of household consumption structure (HCS), urban resident
486 population, and economic development (Table 3), in which, HCS plays an important
487 role. The HCS in this study is presented by the eight different ICE items (see the note
488 in Table 3).

489 In Suzhou, the linear regression between two variables (RW generation and GDP)
490 is statistically significant ($t_{stat} = 5.444$, $sig. = 0.002$) and has an R^2 of 0.832. In
491 Yangzhou and Suqian, the driving factors of ICE were significantly associated with
492 their RW, and the ICE items were the same in the two cities as shown in the statistical

493 test results in Yangzhou ($t_{stat} = 4.711$, $sig. = 0.002$, and $R^2 = 0.760$) and in Suqian (t_{stat}
494 $= 5.949$, $sig. = 0.001$, and $R^2 = 0.855$), respectively. Take RO as another example: In
495 Suzhou, the linear regression of RO and urban resident population is statistically
496 significant ($t_{stat} = 7.884$, $sig. = 0.000$) with a good fit ($R^2 = 0.899$); in Yangzhou, the
497 linear regression of RO and food items is statistically significant ($t_{stat} = 7.110$, $sig. =$
498 0.000) with a good fit ($R^2 = 0.592$); in Suqian, the linear regression of RO and food
499 items is statistically significant ($t_{stat} = 10.262$, $sig. = 0.000$) with a good fit ($R^2 =$
500 0.968).

501 All RW stream generation models are shown in Table 3, where HCS affected the
502 RW stream generation and was well verified in the case cities. The results of this
503 study agree with some previous studies (Gu et al., 2017a; Li et al., 2011; Liu et al.,
504 2019), indicating that RW is an important physical component of MSW coming from
505 urban residents' consumption. However, the per capita annual GDP factor was found
506 in Suzhou, and the urban resident population factor was found in Yangzhou. This
507 could explain the HCS's impact on RW stream generation, which was counteracted by
508 rapid economic development and urban population growth. Suzhou is a typical
509 developed city, bordering Shanghai, with an annual average growth rate of 10.7% in
510 2019 (according to a local official), which is faster than China's overall growth rate
511 (6.1%). Factors resulting from our study in Suzhou agree with our preliminary finding
512 (Gu et al., 2018) wherein the per capita annual GDP is not only a variable used to
513 explain the MSW generation, but also a better indicator of change than the size of the
514 residential population. Some talent introduction policies were carried out in Yangzhou.
515 With more and more people crowding into the city, the corresponding MSW and RW
516 stream generation are changing. More details policy implications are available in
517 Section 4.2.

Table 3. Linear regression analysis for RW stream generation in case cities.

Cities	Variables	Coefficients (unstandardized)		<i>t-stat</i>	<i>Sig.</i>	90% CI		<i>R</i> ²	<i>AdR</i> ²	Durbin–Watson	
		Coefficients	Standard Error								
Suzhou	RW										
	Constant	-843.362	176.609	-4.775	0.003	-1186.545	-500.178	0.832	0.804	2.974	
	GDP	1.221	0.224	5.444	0.002	0.785	1.657				
	RO										
	Constant	-8.454	3.514	-2.606	0.037	-15.111	-1.797	0.899	0.884	2.299	
	GDP	0.008	0.001	7.884	0.000	0.006	0.010				
	RM										
	Constant	39.553	5.266	7.510	0.000	29.319	49.787	0.883	0.863	2.178	
	HOUS	0.005	0.001	6.722	0.001	0.004	0.007				
	HRVRM										
	Constant	18.056	5.290	3.413	0.014	7.777	28.335	0.856	0.831	2.612	
	ICE (HOUS+Education+RECE)	0.003	0.001	5.961	0.001	0.002	0.004				
	LRVRM										
	Constant	-74.736	8.144	-9.177	0.000	-90.165	-59.307	0.951	0.945	1.353	
GDP	0.336	0.029	11.718	0.000	0.282	0.391					
ICE (Food)	0.001	0.000	3.419	0.011	0.000	0.002					
Traditional RW											
Constant	69.224	3.778	18.322	0.000	62.066	76.382	0.849	0.824	2.568		
ICE (HOUS+clothing+Education+RECE)	0.012	0.002	5.812	0.001	0.008	0.016					
Yangzhou	RW										
	Constant	-25.363	9.415	-2.694	0.031	-43.200	-7.526	0.760	0.726	2.015	
	ICE (Clothing+FUNI+HLTH+OTHR)	0.008	0.002	4.711	0.002	0.005	0.011				
	RO										
	Constant	64.772	2.213	29.269	0.000	60.580	68.965	0.592	0.534	2.311	
	ICE (Food)	0.067	0.000	7.110	0.000	0.048	0.086				
	RM										
	Constant	26.167	0.599	43.707	0.000	25.032	27.301	0.793	0.763	1.611	
	Urban resident population	0.055	0.000	5.178	0.001	0.036	0.075				
	HRVRM										
Constant	-2.234	0.914	-2.443	0.035	-3.967	-0.502	0.713	0.672	1.510		
ICE (HOUS+Education+RECE)	0.002	0.000	4.173	0.004	0.001	0.003					
LRVRM											
Constant	-203.956	59.087	-3.452	0.014	-318.773	-89.139	0.710	0.662	1.074		
ICE (Food+OTHR)	0.288	0.075	3.835	0.009	0.142	0.434					

		Traditional RW									
Suqian	Constant	-29.309	8.572	-3.419	0.011	-45.549	-13.069	0.830	0.806	1.868	
	ICE (Food+Clothing+FUNI+Education+RECE+HLTH+OTHR)	0.003	0.001	5.847	0.001	0.002	0.004				
			RW								
	Constant	-1416.152	269.700	-5.251	0.002	-1940.228	-892.076	0.855	0.831	2.678	
	ICE (Clothing+FUNI+HLTH+OTHR)	2.038	0.343	5.949	0.001	1.373	2.704				
			RO								
	Constant	-15.960	3.008	-5.306	0.001	-21.659	-10.261	0.968	0.938	1.923	
	ICE (Food)	0.006	0.001	10.262	0.000	0.005	0.007				
			RM								
	Constant	-2.809	1.096	-2.562	0.037	-4.886	-0.732	0.854	0.834	2.396	
	ICE (Clothing+FUNI+HLTH+OTHR)	0.002	0.000	6.407	0.000	0.001	0.003				
			HRVRM								
	Constant	-52.300	6.534	-8.004	0.000	-64.679	-39.921	0.947	0.939	1.201	
	ICE (HOUS+Education+RECE)	0.269	0.024	11.180	0.000	0.224	0.315				
			LRVRM								
	Constant	-4.263	0.982	-4.342	0.003	-6.123	-2.403	0.874	0.857	2.345	
ICE (Food)	0.001	0.000	6.984	0.000	0.001	0.002					
		Traditional RW									
Constant	-13.071	2.958	-4.419	0.003	-18.676	-7.467	0.950	0.943	2.431		
ICE (Food+Clothing+FUNI+Education+RECE+HLTH+OTHR)	0.003	0.000	11.528	0.000	0.003	0.004					

519 Note: HOUS represents “household appliances,” RECE represents “cultural and recreation services,” FUNI represents “furniture articles and services,” HLTH represents “medicine and
520 medical services,” OTHR represents “miscellaneous commodities and services.” The ICE used the Yuan (RMB), the GDP per capita is 10,000 Yuan (RMB) and the urban resident population
521 consists of approximately 10,000 people (population). Generally, the monetary unit does not affect RW stream generation, and more attention is paid to the factors, factors’ plus or minus, and
522 factors’ intensity.

523 4. Policy implications

524 Policy implications of this study are valuable for other cities nationwide, and the
525 results of this study represent high-, middle- and low-income cities in China, which
526 can be compared to other cities around the world. Based on understanding the RWRP
527 systematic multiple longitudinal field tracking survey results, three policy
528 implementations are recommended: 1) initiating flexible and well planned recycling
529 strategies, 2) developing a composting product distribution market as well as 3)
530 incorporating economic policies and demographic policies as follows.

531 First, flexible and well planned recycling strategies should be implemented to
532 accommodate localized RM generation characterization. The results show that
533 significant spatial disparities of RWRP were observed between inter-city and within
534 intra-city (Fig. 3). A major contributor of RW in the case study cities is RVRM.
535 Suzhou has more HRV-plastics (14.7%) and HRV-paper (9.0%) in new districts.
536 Yangzhou has more LRV-plastics (10.0%) and HRV-paper (6.8%) in new districts.
537 Additionally, more RVRM is always generated during weekends and before Lunar
538 New Year's events, which are represented in our existing investigation results (Gu et
539 al., (2015; 2018)). Additionally, more RO (63.0%) were found in the old districts of
540 Suzhou, and more RO (59.2%) were found in the new districts of Yangzhou (Fig. 3).
541 Therefore, recycling policies should be more flexible to accommodate well planned
542 management. For instance, the professional recovery equipment for HRV-plastics and
543 HRV-paper should increase in Suzhou, especially in new districts. Sustainable
544 development officials of Yangzhou and Suqian should focus more attention on finding
545 ways to reduce LRVRM to promote recycling and transform or reverse other negative
546 effects of waste prevention. However, there is no significant difference between RO
547 and RM in the intra-city of Suqian. It is inevitable that more and more RVRM will

548 generate H-L-RV paper and H-L-RV plastics, along with the development of the
549 economy and improved living standards.

550 Second, a regulated market should be established to promote compost product
551 distribution. RO contributes more than 55.0% to the overall MSW in Suzhou,
552 Yangzhou, and Suqian, as noted in this study (Figs. 2 and 3). RO is a reliable and
553 constant recycling source in Chinese cities (Gu et al., (2015; 2017b; 2018)), which
554 break down the MSW. The RO will remain stable due to Chinese dietary habits, which
555 has been proven consistent throughout the country's history. Compost application is
556 seen as a measure that brings nutrients from urban compost products back to the soil
557 that supports the harvest in the rural areas and makes composting possible (Ardolino
558 et al., 2020; Matter et al., 2015). Thus, current composting technology should be
559 developed for market composted fertilizer. RO represents one of the main challenges
560 of a sustainable society and/or city development in China (Guo et al., 2019).
561 Unfortunately, composting is lagging behind in China, especially composting product
562 sales (Wei et al., 2017). To avoid the dissemination of low-quality compost products
563 in the market, a regulated market must be established. Relevant departments should
564 strictly check the quality of composting products. Fiscal incentives should
565 progressively encourage the development of the recycling industry. Moreover, a
566 comprehensive multi-objective green supply chain should be established (Iqbal et al.,
567 2020; Paul and Bussemaker, 2020; Xu et al., 2017).

568 Third, the economic policy and demographic policy shall be intricately linked
569 and integrated into RW recycling and management. RW stream generations in the
570 three case study cities were driven by HCS; moreover, RW stream generation in
571 Suzhou and Yangzhou was influenced by urban resident population and per capita
572 annual GDP (Table 3). China has endorsed further development of economic

573 revitalization and urbanization in the next decade. However, the sudden onset of
574 COVID-19 in 2020 caused a two-month shutdown of all non-essential businesses. The
575 Chinese government moved quickly to contain the economic fallout, and economic
576 activity has started to rebound. The rapid development of China's economy is an
577 inevitable trend. At the same time, the Chinese urban population increased from 43.4%
578 (556.9 million population) in 2005 to 60.1% (839.5 million population) in 2018
579 (CNBS, 1981-2019), and will increase continuously based on a conservative forecast
580 of 70.0% (1,008.8 million population) in 2030. The 1,008.8 million urban population
581 is calculated, according to the estimation of 1441.2 million Chinese people in 2030
582 with at least a 10% increase in urban population (Wang et al., 2012). In 2018, the
583 urban population in Suzhou, Yangzhou, and Suqian accounted for 76.1% (8.2 million
584 population), 67.1% (3.1 million population), and 60.0% (2.9 million population),
585 respectively (JBSC, 2019). Jiangsu is a typical developed province on China's eastern
586 coast with an urban population of 68.7% in 2018, which is higher than the national
587 average level (SBSC, 2019; NBSC, 1981-2019). In this context, our three case study
588 cities are expected to substantially increase undergoing efficient economic
589 development and their urban populations. Moreover, Suzhou is an attractive city with
590 130.3 million tourists in 2018 (SBSC, 2019). Yangzhou has promulgated a talent
591 introduction system, and Suqian is promoting enterprise investment. Therefore, more
592 urban people, more consumption, and more diversity will affect these cities' RW
593 stream generation. Appropriate policy changes aimed at controlling urban population
594 are unlikely to occur in the next decade in the background of economic development
595 and urbanization. But some policies aimed at achieving a reasonable population
596 density are feasible to deal with the excessive rapidly growing urban population's
597 need to recycle. For instance, Suzhou could implement a residence certificate system

598 that would strengthen the floating population registration and an overall service
599 management system for its citizens. Yangzhou could raise the threshold of talent
600 recruitments; Suqian could access cleaner production and eco-environmental
601 enterprises, making Suqian more environmentally friendly to enter (Wu et al., 2020).
602 We suggested that economic policy and demographic policy should be intricately
603 linked and integrated into RW recycling and management. However, there are 293
604 prefecture-level cities in China (CNBS, 2020). The three case study cities represent
605 high-, middle- and low-income levels, which are typical when considering the
606 microcosm of Chinese cities, especially, the southeast coastal area cities. Furthermore,
607 in 2050, almost 66% of the people (approximately 6.4 billion) live in urban areas
608 (United Nations Department of Economic and Social Affairs, 2018); thus, the topics
609 of economic policy, demographic policy, and recycling policy will merit attention in
610 the near future.

611

612 **5. Conclusions**

613 ZW cities are springing up all over the world to abate the increasing and
614 uncontrollable MSW. Recycling is an essential practice for a ZW city to be
615 constructed successfully. Most RW information is currently unreliable. Quantifying
616 and recognizing the local RWRP are essential to building a strong foundation for
617 future ZW cities. A systematic field tracking survey covering multiple longitudinal
618 case cities was implemented in Suzhou, Yangzhou, and Suqian, which represent high-,
619 middle- and low-income cities, respectively in China, between 2016 and 2019.

620 Results show that the case cities with different income levels have different
621 dynamic changes in RWRP. Suzhou showed a slight increase in HRV-paper;
622 Yangzhou had a slight increase in LRV-paper, and Suqian had a huge increase in NRV

623 paper and plastic. Moreover, RO was a constant in the three cities. An important
624 finding is that spatial disparities of RMRP existed between inter-city and within
625 intra-city. For instance, Suzhou had more HRV-plastics and HRV-paper in its new
626 districts and more RO in its old districts; Yangzhou had more LRV-plastics and
627 HRV-paper in its old districts, more RO in its new districts. However, there was no
628 significant difference between RO and RM in the intra-city of Suqian. Additionally,
629 the HCS plays an important role in RW stream generation. Furthermore, other factors,
630 such as economic development, urban resident population, consumption preferences,
631 local customs, culture, and residential lifestyles also influence RW stream generation.
632 Finally, three policy implications (flexible and well planned recycling strategies,
633 developing a composting products distribution market, and incorporating an economic
634 policy and demographic policy) are proposed.

635 The dynamic changes and disparities of RWRP were reasonably quantified, and
636 the key factors influencing the RW stream generation were recognized in the three
637 case cities, along with their limitations. RW data came from our field survey covering
638 the period from 2016 to 2019, as well as our Suzhou's survey initiated in 2011. We
639 plan to carry out more multiple longitudinal case surveys in more cities, including
640 internationally representative cities around the world. Although models were
641 established, the future forecast of RWRP and RW stream generation was not
642 determined due to incomplete data. This investigation represents the beginning of our
643 quest for reliable RW data that can serve research, planning, business development,
644 and environmental management. Our ultimate goal is to establish an integrated MSW
645 management system that can ensure the successful implementation of a national
646 recycling strategy to promote ZW cities construction. The ultimate goal is to develop
647 a model for MSW management system that can serve as a model for all countries.

648

649 Acknowledgments

650 This work was supported by the National Natural Science Foundation of China
651 (Project No. 71603227 and Project No. 71974166). We also appreciate the reviewers
652 for their valuable comments and suggestions on improving the quality of this paper.

653

654 References

- 655 Ardolino, F., Colaleo, G., Arena, U., 2020. The cleaner option for energy production from a
656 municipal solid biowaste. *J. Clean. Prod.* 266, 121908.
657 <https://doi.org/10.1016/j.jclepro.2020.121908>.
- 658 Ayodele, T.R., Alao, M.A., Ogunjuyigbe, A.S.O., 2018. Recyclable resources from municipal
659 solid waste: Assessment of its energy, economic and environmental benefits in Nigeria.
660 *Resour. Conserv. Recycl.* 134, 165–173. [https://doi.org](https://doi.org/10.1016/j.resconrec.2018.03.017)
661 [/10.1016/j.resconrec.2018.03.017](https://doi.org/10.1016/j.resconrec.2018.03.017).
- 662 Barrett, J., Scott, K., 2012. Link between climate change mitigation and resource efficiency: a
663 UK case study. *Global Environ. Change* 22 (1) 299–307.
664 <https://doi.org/10.1016/j.gloenvcha.2011.11.003>.
- 665 Brooks, A.L., Wang, S., Jambeck, J.R., 2018. The Chinese import ban and its impact on
666 global plastic waste trade. *Sci. Adv.* 4, 1–8. <https://doi.org/10.1126/sciadv.aat0131>.
- 667 C40 Cities Climate Leadership UK. 2018. August 28, 2018 press release entitled “23 Global
668 Cities and Regions Advance Towards Zero Waste,” available at
669 https://www.c40.org/press_releases/global-cities-and-regions-advance-towards-zero-waste
670 with complete list of signatories at
671 <https://www.c40.org/other/zero-waste-declarationavailable> and original declaration.
- 672 C40 Cities Climate Leadership Group, U.S. 2019. Advancing Towards Zero Waste
673 Declaration, at
674 https://www.c40.org/press_releases/global-cities-and-regions-advance-towards-zero-waste
675 and with complete list of signatories at <https://www.c40.org/other/zero-waste-declaration>.
- 676 Chang, N.B., Davila, E., 2008. Municipal solid waste characterizations and management
677 strategies for the Lower Rio Grande Valley, Texas. *Waste Manag.* 28, 776–794.
678 <https://doi.org/10.1016/j.wasman.2007.04.002>.
- 679 Chen, H., Jing, L., Teng, Y., Wang, J., 2018. Characterization of antibiotics in a large-scale
680 river system of China: Occurrence pattern, spatiotemporal distribution and
681 environmental risks. *Sci. Total Environ.* 618, 409–418.
682 <https://doi.org/10.1016/j.scitotenv.2017.11.054>.
- 683 Chen, X., Geng, Y., Fujita, T., 2010. An overview of municipal solid waste management in
684 China. *Waste Manag.* 30, 716–724. <https://doi.org/10.1016/j.wasman.2009.10.011>.
- 685 China National Bureau of Statistics (CNBS), 1981-2020. *China Statistical Yearbook*. China
686 Statistical Press, Beijing (in Chinese).

- 687 Fernández-González, J.M., Grindlay, A.L., Serrano-Bernardo, F., Rodríguez-Rojas, M.I.,
688 Zamorano, M., 2017. Economic and environmental review of Waste-to-Energy systems
689 for municipal solid waste management in medium and small municipalities. *Waste*
690 *Management*, 67, 360–374. <https://doi.org/10.1016/j.wasman.2017.05.003>.
- 691 Fudala-Ksiazek, S., Pierpaoli, M., Kulbat, E., Luczkiewicz, A., 2016. A modern solid waste
692 management strategy—the generation of new by-products. *Waste Management* 49, 516–
693 529. <https://doi.org/10.1016/j.wasman.2016.01.022>.
- 694 Geng, Y., Sarkis J., Ulgiati S, Z.P., 2013. Measuring China’s Circular Economy. *Science* (80).
695 339, 1526–1527. <https://doi.org/10.1126/science.1227059>.
- 696 Geyer, R., Jambeck, J.R., Law, K.L., 2017. Production, use, and fate of all plastics ever made.
697 *Sci. Adv.* 3 (7), e1700782. <https://doi.org/10.1126/sciadv.1700782>.
- 698 Ghanimeh, S., Gómez-Sanabria, A., Tsydenova, N., Štrbová, K., Iossifidou, M., Kumar, A.,
699 2019. Two-level comparison of waste management systems in low-, middle-, and
700 high-income cities. *Environ. Eng. Sci.* 36, 1281–1295.
701 <https://doi.org/10.1089/ees.2019.0047>.
- 702 Gu, B., Fujiwara, T., Jia, R., Duan, R., Gu, A., 2017a. Methodological aspects of modeling
703 household solid waste generation in Japan: Evidence from Okayama and Otsu cities.
704 *Waste Manag. Res.* 35, 1237–1246. <https://doi.org/10.1177/0734242X17738338>.
- 705 Gu, B., Jiang, S., Wang, H., Wang, Z., Jia, R., Yang, J., He, S., Cheng, R., 2017b.
706 Characterization, quantification and management of China’s municipal solid waste in
707 spatiotemporal distributions: A review. *Waste Manag.* 61, 67–77.
708 <https://doi.org/10.1016/j.wasman.2016.11.039>.
- 709 Gu, B., Li, Y., Jin, D., Yi, S., Gu, A., Bu, X., Zhou, H., He, S., Cheng, R., Jia, R., 2018.
710 Quantizing, recognizing, and characterizing the recycling potential of recyclable waste in
711 China: A field tracking study of Suzhou. *J. Clean. Prod.* 201, 948–957.
712 <https://doi.org/10.1016/j.jclepro.2018.08.085>.
- 713 Gu, B., Wang, H., Chen, Z., Jiang, S., Zhu, W., Liu, M., Chen, Y., Wu, Y., He, S., Cheng, R.,
714 Yang, J., Bi, J., 2015. Characterization, quantification and management of household
715 solid waste: A case study in China. *Resour. Conserv. Recycl.* 98, 67–75.
716 <https://doi.org/10.1016/j.resconrec.2015.03.001>.
- 717 Gu, B., Zhu, W., Wang, H., Zhang, R., Liu, M., Chen, Y., Wu, Y., Yang, X., He, S., Cheng, R.,
718 Yang, J., Bi, J., 2014. Household hazardous waste quantification, characterization and
719 management in China’s cities: A case study of Suzhou. *Waste Manag.* 34, 2414–2423.
720 <https://doi.org/10.1016/j.wasman.2014.06.002>.
- 721 Guo, H., Zhao, Y., Damgaard, A., Wang, Q., Lu, W., Wang, H., Christensen, T.H., 2019.
722 Material flow analysis of alternative biorefinery systems for managing Chinese food
723 waste. *Resour. Conserv. Recycl.* 149, 197–209.
724 <https://doi.org/10.1016/j.resconrec.2019.05.010>.
- 725 Hering, J.G., 2012. An end to waste? *Science* (80). 337, 623.
726 <https://doi.org/10.1126/science.1227092>.
- 727 Hoornweg, Dan., Bhada-Tata, Perinaz., 2012. What a Waste: A Global Review of Solid Waste
728 Management, Urban Development Series Knowledge Paper #15, Produced by the World
729 Bank’s Urban Development and Local Government Unit of the Sustainable

730 Development Network, © World Bank, 2012.

731 Huang, C.L., Yu, C.P., Lin, T., Ye, Z., 2016. Water conservation significance of municipal
732 solid waste management: A case of Xiamen in China. *J. Clean. Prod.* 129, 693–703.
733 <https://doi.org/10.1016/j.jclepro.2016.03.062>.

734 Ibikunle, R.A., Titiladunayo, I.F. Lukmanc, A.F. Dahunsi, S.O., Akeju, E.A., 2020. Municipal
735 solid waste sampling, quantification and seasonal characterization for power evaluation:
736 Energy potential and statistical modeling. *Fuel.* 277, 118122.
737 <https://doi.org/10.1016/j.fuel.2020.118122>.

738 Iqbal, M.W., Kang, Y., Jeon, H. W., 2020. Zero waste strategy for green supply chain
739 management with minimization of energy consumption. *J. Clean. Prod.* 245, 118827.
740 <https://doi.org/10.1016/j.jclepro.2019.118827>.

741 Jang, Y.C., Lee, G., Kwon, Y., Lim, J.H., Jeong, J.H., 2020. Recycling and management
742 practices of plastic packaging waste towards a circular economy in South Korea. *Resour.*
743 *Conserv. Recycl.* 158, 104798. <https://doi.org/10.1016/j.resconrec.2020.104798>.

744 Jiangsu Bureau of Statistics of China (JBSC), 2019. Jiangsu Statistical Yearbook. Jiangsu
745 Statistical Press, Jiangsu (in Chinese).

746 Kaza, Silpa; Yao, Lisa C.; Bhada-Tata, Perinaz; Van Woerden, Frank. 2018. What a Waste 2.0 :
747 A Global Snapshot of Solid Waste Management to 2050. Urban Development;
748 Washington, DC: World Bank. © World Bank.
749 <https://openknowledge.worldbank.org/handle/10986/30317> License: CC BY 3.0 IGO.”

750 Khandelwal, H., Dhar, H., Thalla, A.K., Kumar, S., 2019. Application of life cycle assessment
751 in municipal solid waste management: A worldwide critical review. *J. Clean. Prod.* 209,
752 630–654. <https://doi.org/10.1016/j.jclepro.2018.10.233>.

753 Li, Z., Fu, H., Qu, X., 2011. Estimating municipal solid waste generation by different
754 activities and various resident groups: A case study of Beijing. *Sci. Total Environ.* 409,
755 4406–4414. <https://doi.org/10.1016/j.scitotenv.2011.07.018>.

756 Liu, G., Agostinho, F., Duan, H., Song, G., Wang, X., Giannetti, B.F., Santagata, R., Casazza,
757 M., Lega, M., 2020. Environmental impacts characterization of packaging waste
758 generated by urban food delivery services. A big-data analysis in Jing-Jin-Ji region
759 (China). *Waste Manag.* 117, 157–169. <https://doi.org/10.1016/j.wasman.2020.07.028>.

760 Liu, J., Li, Q., Gu, W., Wang, C., 2019. The impact of consumption patterns on the generation
761 of municipal solid waste in China: Evidences from provincial data. *Int. J. Environ. Res.*
762 *Public Health* 16, 1–19. <https://doi.org/10.3390/ijerph16101717>.

763 Ma, S., Zhou, C., Chi, C., Liu, Y., Yang, G., 2020. Estimating Physical Composition of
764 Municipal Solid Waste in China by Applying Artificial Neural Network Method. *Environ.*
765 *Sci. Technol.* 2020, 54, 9609–9617. <https://dx.doi.org/10.1021/acs.est.0c01802>.

766 Maghmoumi, A., Marashi, F., Houshfar, E., 2020. Environmental and economic assessment of
767 sustainable municipal solid waste management strategies in Iran. *Sustainable Cities and*
768 *Society.* 59, 102161. <https://doi.org/10.1016/j.scs.2020.102161>.

769 Margallo, M., Ziegler-Rodriguez, K., Vázquez-Rowe, I., Aldaco, R., Irabien, Á., Kahhat, R.,
770 2019. Enhancing waste management strategies in Latin America under a holistic
771 environmental assessment perspective: A review for policy support. *Sci. Total Environ.*

772 689, 1255–1275. <https://doi.org/10.1016/j.scitotenv.2019.06.393>.

773 Matter, A., Ahsan, M., Marbach, M., Zurbrügg, C., 2015. Impacts of policy and market
774 incentives for solid waste recycling in Dhaka, Bangladesh. *Waste Manag.* 39, 321–328.
775 <https://doi.org/10.1016/j.wasman.2015.01.032>.

776 Mohee, R., Mauthoor, S., Bundhoo, Z.M.A., Somaroo, G., Soobhany, N., Gunasee, S., 2015.
777 Current status of solid waste management in small island developing states: A review.
778 *Waste Manag.* 43, 539–549. <https://doi.org/10.1016/j.wasman.2015.06.012>.

779 National Bureau of Statistics of China (NBSC), 1981-2019. *China Statistical Yearbook*. China
780 Statistics Press, Beijing (in Chinese).

781 Organization for Economic Co-operation and Development (OECD), 2018. *Environment Data:*
782 *Compendium 2018*. <http://www.oecd.org>.

783 Ozcan, H.K., Guvenc, S.Y., Guvenc, L., Demir, G., 2016. Municipal solid waste
784 characterization according to different income levels: A case study. *Sustain.* 8.
785 <https://doi.org/10.3390/su8101044>.

786 Paes, M.X., de Medeiros, G.A., Mancini, S.D., Gasol, C., Pons, J.R., Durany, X.G., 2020.
787 Transition towards eco-efficiency in municipal solid waste management to reduce GHG
788 emissions: The case of Brazil. *J. Clean. Prod.* 263, 121370.
789 <https://doi.org/10.1016/j.jclepro.2020.121370>.

790 Paul, M., Bussemaker M.J., 2020. A web-based geographic interface system to support
791 decision making for municipal solid waste management in England. *J. Clean. Prod.* 263,
792 121461. <https://doi.org/10.1016/j.jclepro.2020.121461>.

793 Pietzsch, N., Ribeiro, J.L.D., de Medeiros, J.F., 2017. Benefits, challenges and critical factors
794 of success for Zero Waste: A systematic literature review. *Waste Manag.* 67, 324–353.
795 <https://doi.org/10.1016/j.wasman.2017.05.004>.

796 Saeed, M.O., Hassan, M.N., Mujeebu, M.A., 2009. Assessment of municipal solid waste
797 generation and recyclable materials potential in Kuala Lumpur, Malaysia. *Waste Manag.*
798 29, 2209–2213. <https://doi.org/10.1016/j.wasman.2009.02.017>.

799 Shahbazi, S., Wiktorsson, M., Kurdve, M., Jönsson, C., Bjelkemyr, M., 2016. Material
800 efficiency in manufacturing: Swedish evidence on potential, barriers and strategies. *J.*
801 *Clean. Prod.* 127, 438–450. <https://doi.org/10.1016/j.jclepro.2016.03.143>.

802 Suzhou Bureau of Statistics of China (SBSC), 2019. *Suzhou Statistical Yearbook*. China
803 Statistical Press, Suzhou (in Chinese).

804 Tai, J., Zhang, W., Che, Y., Feng, D., 2011. Municipal solid waste source-separated collection
805 in China: A comparative analysis. *Waste Manag.* 31, 1673–1682.
806 <https://doi.org/10.1016/j.wasman.2011.03.014>.

807 Thanh, N.P., Matsui, Y., Fujiwara, T., 2010. Household solid waste generation and
808 characteristic in a Mekong Delta City, Vietnam. *J. Environ. Manag.* 91, 2307-2321.
809 [doi:10.1016/j.jenvman.2010.06.016](https://doi.org/10.1016/j.jenvman.2010.06.016).

810 United Nations Department of Economic and Social Affairs Population Division , 2018
811 *Revision of World Urbanization Prospect, 2018*.

812 US Aid. 2020. *Economic Growth and Agricultural Development*, online USAID report on

813 Haiti, <https://www.usaid.gov/haiti/agriculture-and-food-security>.

814 Vázquez-Rowe, I., Golkowska, K., Lebuf, V., Vaneeckhaute, C., Michels, E., Meers, E.,
815 Benetto, E., Koster, D., 2015. Environmental assessment of digestate treatment
816 technologies using LCA methodology. *Waste Manag.* 43, 442–459.
817 <https://doi.org/10.1016/j.wasman.2015.05.007>.

818 Vergara, S.E., Tchobanoglous, G., 2012. Municipal solid waste and the environment: A global
819 perspective, *Annual Review of Environment and Resources*.
820 <https://doi.org/10.1146/annurev-environ-050511-122532>.

821 Wan, C., Shen, G.Q., Choi, S., 2018. Understanding public support for recycling policy: To
822 unveil the political side of influence and implications. *Environ. Sci. Policy* 82, 30–43.
823 <https://doi.org/10.1016/j.envsci.2018.01.005>.

824 Wang, H., Zhang, R., Liu, M., Bi, J., 2012. The carbon emissions of Chinese cities. *Atmos.*
825 *Chem. Phys.* 12, 6197–6206. <https://doi.org/10.5194/acp-12-6197-2012>.

826 Wei, Y., Li, J., Shi, D., Liu, G., Zhao, Y., Shimaoka, T., 2017. Environmental challenges
827 impeding the composting of biodegradable municipal solid waste: A critical review.
828 *Resour. Conserv. Recycl.* 122, 51–65. <http://dx.doi.org/10.1016/j.resconrec.2017.01.024>.

829 Wu, D., Zhang, C., Lü, F., Shao, L., He, P., 2014. The operation of cost-effective on-site
830 process for the bio-treatment of mixed municipal solid waste in rural areas. *Waste Manag.*
831 34, 999–1005. <https://doi.org/10.1016/j.wasman.2013.12.002>.

832 Wu, W., Zhang Q., Liang Z., 2020. Environmentally responsible closed-loop supply chain
833 models for joint environmental responsibility investment, recycling and pricing decisions.
834 *J. Clean. Prod.* 259, 120776. <https://doi.org/10.1016/j.jclepro.2020.120776>.

835 World Bank, 2012. *Waste Management in China: Issues and Recommendations*. In: 9,
836 U.D.W.P. (Ed.), East Asia Infrastructure Department.

837 World Bank, 2018. "What a Waste: An Updated Look into the Future of Solid Waste
838 Management," an online news article published Sept. 20, 2018, available at
839 <https://www.worldbank.org/en/news/immersive-story/2018/09/20/what-a-waste-an-updat>
840 [ed-look-into-the-future-of-solid-waste-management](https://www.worldbank.org/en/news/immersive-story/2018/09/20/what-a-waste-an-updat-ed-look-into-the-future-of-solid-waste-management).

841 Xiao, S., Dong, H., Geng, Y., Tian, X., Liu, C., Li, H., 2020. Policy impacts on Municipal
842 Solid Waste management in Shanghai: A system dynamics model analysis. *J. Clean. Prod.*
843 262, 121366. <https://doi.org/10.1016/j.jclepro.2020.121366>.

844 Xu, Z., Elomri, A., Pokharel, S., Zhang, Q., Ming, X.G., Liu, W., 2017. Global reverse supply
845 chain design for solid waste recycling under uncertainties and carbon emission constraint.
846 *Waste Manag.* 64, 358–370. <https://doi.org/10.1016/j.wasman.2017.02.024>.

847 Yangzhou Bureau of Statistics of China (YBSC), 2019. *Yangzhou Statistical Yearbook*. China
848 Statistical Press, Yangzhou (in Chinese).

849 Zhang, F., Wang, Y., Ma, X., Wang, Y., Yang, G., Zhu, L., 2019. Evaluation of resources and
850 environmental carrying capacity of 36 large cities in China based on a support-pressure
851 coupling mechanism. *Sci. Total Environ.* 688, 838–854.
852 <https://doi.org/10.1016/j.scitotenv.2019.06.247>.

853 Zheng, L., Song, J., Li, C., Gao, Y., Geng, P., Qu, B., Lin, L., 2014. Preferential policies
854 promote municipal solid waste (MSW) to energy in China: Current status and prospects.

