

ON THE SINGULAR NUMBERS FOR SOME INTEGRAL OPERATORS

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Abstract

Two-sided estimates of Schatten-von Neumann norms for weighted Volterra integral operators are established. Analogous problems for some potential-type operators defined on \mathbb{R}^n are solved.

Let H be a separable Hilbert space and let $\sigma_\infty(H)$ be the class of all compact operators $T : H \rightarrow H$, which forms an ideal in the normed algebra \mathbb{B} of all bounded linear operators on H . To construct a Schatten-von Neumann ideal $\sigma_p(H)$ ($0 < p \leq \infty$) in $\sigma_\infty(H)$, the sequence of singular numbers $s_j(T) \equiv \lambda_j(|T|)$ is used, where the eigenvalues $\lambda_j(|T|)$ ($|T| \equiv (T^*T)^{1/2}$) are non-negative and are repeated according to their multiplicity and arranged in decreasing order. A Schatten-von Neumann quasinorm (norm if $1 \leq p \leq \infty$) is defined as follows:

$$\|T\|_{\sigma_p(H)} \equiv \left(\sum_j s_j^p(T) \right)^{1/p}, \quad 0 < p < \infty,$$

with the usual modification if $p = \infty$. Thus we have $\|T\|_{\sigma_\infty(H)} = \|T\|$ and $\|T\|_{\sigma_2(H)}$ is the Hilbert-Schmidt norm given by the formula

$$\|T\|_{\sigma_2(H)} = \left(\int \int |T_1(x, y)|^2 dx dy \right)^{1/2} \quad (1)$$

for an integral operator

$$Tf(x) = \int T_1(x, y)f(y)dy.$$

We refer, for example, to [2], [6], [7] for more information concerning Schatten-von Neumann ideals.

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In this paper necessary and sufficient conditions for the weighted Volterra integral operator

$$K_v f(x) = v(x) \int_0^x f(y)k(x, y)dy, \quad x \in (0, a),$$

to belong to Schatten-von Neumann ideals are established, where v is a measurable function on $(0, a)$ ($0 < a \leq \infty$).

Two-sided estimates of Schatten-von Neumann p -norms for the weighted Riemann–Liouville operator

$$R_{\alpha, v} f(t) = v(x) \int_0^x f(t)(x - t)^{\alpha-1} dt,$$

when $\alpha > 1/2$ and $p > 1/\alpha$, were established in [13] (for $\alpha = 1$ and $p > 1$ see [14]). Analogous results for the weighted Hardy operator

$$H_{v, u} f(x) = v(x) \int_0^x u(y)f(y)dy$$

were obtained in [3]. Similar problems for the Riemann-Liouville operator with two weights

$$R_{\alpha, v, u} f(x) = v(x) \int_0^x u(t)f(t)(x - t)^{\alpha-1} dt,$$

when $\alpha \in \mathbb{N}$ and $p \geq 1$, were solved in [4]. Further, upper and lower bounds for Schatten–von Neumann p -norms ($p \geq 2$) of certain Volterra integral operators, involving $R_{\alpha, v, u}$ only for $\alpha \geq 1$, were proved in [4] and [18].

Our main goal is to generalize the results of [13] and [14] for integral transforms with kernels and to give two-sided estimates of the above-mentioned norms for these operators in terms of their kernels.

We denote by $L_w^p(\Omega)$, $\Omega \subseteq \mathbb{R}^n$, a weighted Lebesgue space with respect to the weight w defined on Ω .

Throughout the paper the expression $A \approx B$ is interpreted as $c_1 A \leq B \leq c_2 A$ with some positive constants c_1 and c_2 .

Let us recall some definitions from [10] (see also [8]).

We say that a kernel $k : \{(x, y) : 0 < y < x < a\} \rightarrow \mathbb{R}_+$ belongs to V ($k \in V$) if there exists a positive constant d_1 such that for all x, y, z with $0 < y < z < x < a$ the inequality

$$k(x, y) \leq d_1 k(x, z)$$

holds. Further, $k \in V_\lambda$ ($1 < \lambda < \infty$) if there exists a positive constant d_2 such that for all $x, x \in (0, a)$, the inequality

$$\int_{x/2}^x k^{\lambda'}(x, y)dy \leq d_2 x k^{\lambda'}(x, x/2), \quad \lambda' = \frac{\lambda}{\lambda - 1}.$$

is fulfilled.

For example, if $k_1(x) = x^{\alpha-1}$, where $\frac{1}{\lambda} < \alpha \leq 1$, then $k(x, y) = k_1(x - y)$ belongs to $V \cap V_\lambda$ (for other examples of kernel k see [10], [8]).

First we investigate the mapping properties of K_v in Lebesgue spaces.

The following statements in equivalent form were proved in [10] (see also [8], [11]).

Theorem A. *Let $1 < p \leq q < \infty$, $a = \infty$ and let $k \in V \cap V_p$. Then*

(a) K_v is bounded from $L^p(0, \infty)$ into $L^q(0, \infty)$ if and only if

$$D_\infty \equiv \sup_{j \in \mathbb{Z}} D_\infty(j) \equiv \sup_{j \in \mathbb{Z}} \left(\int_{2^j}^{2^{j+1}} k^q(x, x/2) x^{q/p'} |v(x)|^q dx \right)^{\frac{1}{q}} < \infty.$$

Moreover, $\|K_v\| \approx D_\infty$.

(b) K_v acts compactly from $L^p(0, a)$ into $L^q(0, a)$ if and only if $D_\infty < \infty$ and $\lim_{j \rightarrow +\infty} D_\infty(j) = \lim_{j \rightarrow -\infty} D_\infty(j) = 0$.

Theorem B. *Let $1 < p \leq q < \infty$, $a < \infty$ and let $k \in V \cap V_p$. Then*

(a) K_v is bounded from $L^p(0, a)$ to $L^q(0, a)$ if and only if

$$D_a \equiv \sup_{j \geq 0} D_a(j) \equiv \sup_{j \geq 0} \left(\int_{2^{-(j+1)a}}^{2^{-ja}} |v(x)|^q k^q(x, x/2) x^{q/p'} dx \right)^{\frac{1}{q}} < \infty.$$

Moreover, $\|K_v\| \approx D_a$.

(b) K_v acts compactly from $L^p(0, a)$ into $L^q(0, a)$ if and only if $D_a < \infty$ and $\lim_{j \rightarrow +\infty} D_a(j) = 0$;

Analogous problems for the Riemann-Liouville operator for $\alpha > 1/p$ were solved in [9] (For boundedness two-weight criteria of general integral operators with positive kernels see [5], Chapter 3).

Let $0 < a \leq \infty$, $k : \{(x, y) : 0 < y < x < a\} \rightarrow \mathbb{R}_+^1$ be a kernel and let $k_0(x) \equiv x k^2(x, x/2)$.

We denote by $l^p(L^2_{k_0}(0, a))$ the set of all measurable functions $g : (0, a) \rightarrow \mathbb{R}^1$ for which

$$\|g\|_{l^p(L^2_{k_0}(0, \infty))} = \left(\sum_{n \in \mathbb{Z}} \left(\int_{2^n}^{2^{n+1}} |g(x)|^2 k_0(x) dx \right)^{p/2} \right)^{1/p} < \infty$$

if $a = \infty$ and

$$\|g\|_{l^p(L^2_{k_0}(0, a))} = \left(\sum_{n=0}^{+\infty} \left(\int_{2^{-(n+1)a}}^{2^{-na}} |g(x)|^2 k_0(x) dx \right)^{p/2} \right)^{1/p} < \infty$$

if $a < \infty$, with the usual modification for $p = \infty$.

We shall need the following interpolation result (see, e.g., [19], p. 147 for the interpolation properties of the Schatten classes, and p. 127 for the corresponding properties of the sequence spaces. See also [1], Theorem 5.1.2):

Proposition A. *Let $0 < a \leq \infty$, $1 \leq p_0, p_1 \leq \infty$, $0 \leq \theta \leq 1$, $\frac{1}{p} = \frac{1-\theta}{p_0} + \frac{\theta}{p_1}$. If T is a bounded operator from $l^{p_i}(L^2_{k_0}(0, a))$ into $\sigma_{p_i}(L^2(0, a))$, where $i = 0, 1$, then it is also bounded from $l^p(L^2_{k_0}(0, a))$ into $\sigma_p(L^2(0, a))$. Moreover,*

$$\|T\|_{l^p(L^2_{k_0}) \rightarrow \sigma_p(L^2)} \leq \|T\|_{l^{p_0}(L^2_{k_0}) \rightarrow \sigma_{p_0}(L^2)}^{1-\theta} \|T\|_{l^{p_1}(L^2_{k_0}) \rightarrow \sigma_{p_1}(L^2)}^\theta.$$

The next statement is obvious when $p = \infty$; and when $1 \leq p < \infty$ it follows from Lemma 2.11.12 of [15].

Proposition B. *Let $1 \leq p \leq \infty$ and let $\{f_k\}, \{g_k\}$ be orthonormal systems in a Hilbert space H . If $T \in \sigma_p(H)$, then*

$$\|T\|_{\sigma_p(H)} \geq \left(\sum_n |\langle T f_n, g_n \rangle|^p \right)^{1/p}.$$

Now we prove the main results.

In the sequel we shall assume that $v \in L^2_{k_0}(2^n, 2^{n+1})$ for all $n \in \mathbb{Z}$.

Theorem 1. *Let $a = \infty$, $2 \leq p < \infty$ and let $k \in V \cap V_2$. Then K_v belongs to $\sigma_p(L^2(0, \infty))$ if and only if $v \in l^p(L^2_{k_0}(0, \infty))$. Moreover, there exist positive constants b_1 and b_2 such that*

$$b_1 \|v\|_{l^p(L^2_{k_0}(0, \infty))} \leq \|K_v\|_{\sigma_p(L^2(0, \infty))} \leq b_2 \|v\|_{l^p(L^2_{k_0}(0, \infty))}.$$

Proof. *Sufficiency.* Note that the fact $k \in V \cap V_2$ implies

$$I(x) \equiv \int_0^x k^2(x, y) dy \leq ck_0(x) \quad (2)$$

for some positive constant c independent of x . Indeed, by the condition $k \in V \cap V_2$ we have

$$I(x) = \int_0^{x/2} k^2(x, y) dy + \int_{x/2}^x k^2(x, y) dy \leq c_1 k_0(x) + c_2 k_0(x) = c_3 k_0(x).$$

Consequently, using the Hilbert-Schmidt formula (1) and taking into account (2), we find that

$$\begin{aligned} \|K_v\|_{\sigma_2(L^2(0, \infty))} &= \left(\int_0^\infty \int_0^x k^2(x, y) v^2(x) dx dy \right)^{1/2} \\ &= \left(\int_0^\infty v^2(x) \left(\int_0^x k^2(x, y) dy \right) dx \right)^{1/2} \leq c_4 \left(\int_0^\infty v^2(x) k_0(x) dx \right)^{1/2} \\ &= c_4 \left(\sum_{n \in \mathbb{Z}} \int_{2^n}^{2^{n+1}} v^2(x) k_0(x) dx \right)^{1/2} = c_4 \|v\|_{l^2(L_{k_0}^2(0, \infty))}. \end{aligned}$$

On the other hand, in view of Theorem A we see that there exist positive constants c_5 and c_6 such that

$$c_5 \|v\|_{l^\infty(L_{k_0}^2(0, \infty))} \leq \|K_v\|_{\sigma_\infty(L^2(0, \infty))} \leq c_6 \|v\|_{l^\infty(L_{k_0}^2(0, \infty))}.$$

Further, Proposition A yields

$$\|K_v\|_{\sigma_p(L^2(0, \infty))} \leq c_7 \|v\|_{l^p(L_{k_0}^2(0, \infty))},$$

where $2 \leq p < \infty$.

Necessity. Let $K_v \in \sigma_p(L^2(0, \infty))$ and let

$$f_n(x) = \chi_{[2^n, 2^{n+1})}(x) 2^{-n/2},$$

$$g_n(x) = v(x) x^{1/2} \chi_{[3 \cdot 2^{n-1}, 2^{n+1})}(x) k(x, x/2) \alpha_n^{-1/2},$$

where

$$\alpha_n = \int_{3 \cdot 2^{n-1}}^{2^{n+1}} v^2(y)k_0(y)dy.$$

Then it is easy to verify that $\{f_n\}$ and $\{g_n\}$ are orthonormal systems. Further, by virtue of Proposition B (for $p \geq 1$) we have

$$\begin{aligned} \infty > \|K_v\|_{\sigma_p(L^2(0,\infty))} &\geq \left(\sum_{n \in \mathbb{Z}} |\langle K_v f_n, g_n \rangle|^p \right)^{1/p} \\ &= \left(\sum_{n \in \mathbb{Z}} \left(\int_{3 \cdot 2^{n-1}}^{2^{n+1}} \left(\int_{2^n}^x 2^{-n/2} k(x, y) dy \right) v^2(x) x^{1/2} k(x, x/2) \alpha_n^{-1/2} dx \right)^p \right)^{1/p} \\ &\geq c_8 \left(\sum_{n \in \mathbb{Z}} \left(\alpha_n^{-1/2} \int_{3 \cdot 2^{n-1}}^{2^{n+1}} 2^{-n/2} k(x, x/2) v^2(x) (x - 2^n) x^{1/2} dx \right)^p \right)^{1/p} \\ &\geq c_9 \left(\sum_{n \in \mathbb{Z}} \left(\alpha_n^{-1/2} \int_{3 \cdot 2^{n-1}}^{2^{n+1}} k_0(x) v^2(x) dx \right)^p \right)^{1/p} = c_9 \left(\sum_{n \in \mathbb{Z}} \alpha_n^{p/2} \right)^{1/p}. \end{aligned}$$

Now let

$$f'_n(x) = \chi_{[3 \cdot 2^{n-2}, 3 \cdot 2^{n-1})}(x) (3 \cdot 2^{n-2})^{-1/2}$$

and

$$g'_n(x) = v(x) x^{1/2} \chi_{[2^n, 3 \cdot 2^{n-1})}(x) k(x, x/2) \beta_n^{-1/2},$$

where

$$\beta_n = \int_{2^n}^{3 \cdot 2^{n-1}} v^2(y)k_0(y)dy.$$

Then it is easy to verify that $\{f'_m\}$ and $\{g'_m\}$ are orthonormal systems. Further,

$$\begin{aligned} \infty > \|K_v\|_{\sigma_p(L^2(0,\infty))} &\geq \left(\sum_{n \in \mathbb{Z}} |\langle K_v f'_n, g'_n \rangle|^p \right)^{1/p} \\ &= \left(\sum_{n \in \mathbb{Z}} \left(\int_{2^n}^{3 \cdot 2^{n-1}} \left(\int_{3 \cdot 2^{n-2}}^x (3 \cdot 2^{n-2})^{-1/2} k(x, y) dy \right) \right. \right. \\ &\quad \left. \left. \times v^2(x) x^{1/2} k(x, x/2) \beta_n^{-1/2} dx \right)^p \right)^{1/p} \end{aligned}$$

$$\begin{aligned} &\geq c_{10} \left(\sum_{n \in \mathbb{Z}} \left(\beta_n^{-1/2} \int_{2^n}^{3 \cdot 2^{n-1}} 2^{-(n-2)/2} k^2(x, x/2) v^2(x) \right. \right. \\ &\quad \left. \left. \times (x - 3 \cdot 2^{n-2}) x^{1/2} dx \right)^p \right)^{1/p} \\ &\geq c_{11} \left(\sum_{n \in \mathbb{Z}} \left(\beta_n^{-1/2} \int_{2^n}^{3 \cdot 2^{n-1}} k_0(x) v^2(x) dx \right)^p \right)^{1/p} = c_{11} \left(\sum_{n \in \mathbb{Z}} \beta_n^{p/2} \right)^{1/p}, \end{aligned}$$

where $p \geq 1$. Consequently

$$\begin{aligned} &\left(\sum_{n \in \mathbb{Z}} \left(\int_{2^n}^{2^{n+1}} v^2(x) k_0(x) dx \right)^{p/2} \right)^{1/p} \leq \left(\sum_{n \in \mathbb{Z}} (\beta_n + \alpha_n)^{p/2} \right)^{1/p} \\ &\leq c_{12} \|K_v\|_{\sigma_p(L^2(0, \infty))} + c_{12} \|K_v\|_{\sigma_p(L^2(0, \infty))} \\ &\leq c_{13} \|K_v\|_{\sigma_p(L^2(0, \infty))} < \infty. \end{aligned}$$

■

Let us now consider the case $a < \infty$. We have the following statement:

Theorem 2. *Let $0 < a < \infty$, $2 \leq p < \infty$ and let $k \in V \cap V_2$. Then K_v belongs to $\sigma_p(L^2(0, a))$ if and only if $v \in l^p(L^2_{k_0}(0, a))$. Moreover, there exists positive constants b_1 and b_2 such that*

$$b_1 \|v\|_{l^p(L^2_{k_0}(0, a))} \leq \|K_v\|_{\sigma_p(L^2(0, a))} \leq b_2 \|v\|_{l^p(L^2_{k_0}(0, a))}.$$

Proof. *Sufficiency.* The Hilbert–Schmidt formula and the condition $k \in V \cap V_2$ yield

$$\begin{aligned} \|K_v\|_{\sigma_p(L^2(0, a))} &= \left(\int_0^a v^2(x) \left(\int_0^x k^2(x, y) dy \right) dx \right)^{1/2} \\ &\leq c_1 \left(\int_0^a v^2(x) k_0(x) dx \right)^{1/2} \\ &= c_1 \left(\sum_{n=0}^{\infty} \int_{2^{-(n+1)a}}^{2^{-na}} v^2(x) k_0(x) dx \right)^{1/2} = c_1 \|v\|_{l^2(L^2_{k_0}(0, a))}. \end{aligned}$$

In view of Theorem B (part (a)) we arrive at

$$\|K_v\|_{\sigma_\infty(L^2(0,a))} \approx \|v\|_{l^\infty(L_{k_0}^2(0,a))}.$$

Using Proposition A we derive

$$\|K_v\|_{\sigma_p(L^2(0,a))} \leq c_2 \|v\|_{l^p(L_{k_0}^2(0,a))}$$

when $p \geq 2$.

To prove necessity we take the orthonormal systems of functions defined on $(0, a)$:

$$f_n(x) = \chi_{[2^{-(n+1)}a, 2^{-n}a)}(x)(2^{-(n+1)}a)^{-1/2}$$

and

$$g_n(x) = v(x)x^{1/2}\chi_{[3 \cdot 2^{-(n+2)}a, 2^{-n}a)}(x)k(x, x/2)\alpha_n^{-1/2},$$

where

$$\alpha_n = \int_{3 \cdot 2^{-(n+2)}a}^{2^{-n}a} v^2(y)k_0(y)dy$$

and $n = 0, 1, 2, \dots$. Consequently Proposition B yields

$$\begin{aligned} \infty > \|K_v\|_{\sigma_p(L^2(0,a))} &\geq \left(\sum_{n=0}^{+\infty} |\langle K_v f_n, g_n \rangle|^p \right)^{1/p} \\ &= \left(\sum_{n=0}^{\infty} \left(\int_{3 \cdot 2^{-(n+2)}a}^{2^{-n}a} x^{1/2} v^2(x) k(x, x/2) \right. \right. \\ &\quad \left. \left. \times \left(\int_{2^{-(n+1)}a}^x (2^{-(n+1)}a)^{-1/2} k(x, y) dy \right) \alpha_n^{-1/2} dx \right)^p \right)^{1/p} \\ &\geq c_3 \left(\sum_{n=0}^{\infty} \alpha_n^{p/2} \right)^{1/p}. \end{aligned}$$

If we take the following orthonormal systems:

$$f'_n(x) = \chi_{[3 \cdot 2^{-(n+3)}a, 3 \cdot 2^{-(n+2)}a)}(x)(3 \cdot 2^{-(n+3)}a)^{-1/2},$$

$$g'_n(x) = v(x)x^{1/2}\chi_{[2^{-(n+1)}a, 3 \cdot 2^{-(n+2)}a)}(x)k(x, x/2)\beta_n^{-1/2},$$

where

$$\beta_n = \int_{2^{-(n+1)a}}^{3 \cdot 2^{-(n+2)a}} v^2(y) k_0(y) dy,$$

then we arrive at the estimate

$$\|K_v\|_{\sigma_p(L^2(0,a))} \geq c_4 \left(\sum_{n=0}^{\infty} \beta_n^{p/2} \right)^{1/p}.$$

Finally we have the lower estimate for $\|K_v\|_{\sigma_p(L^2(0,a))}$. ■

Remark 1. It follows from the proof of Theorems 1 and 2 that the lower estimate of $\|K_v\|_{\sigma_p(L^2(0,a))}$ holds for $1 \leq p \leq \infty$.

Now we formulate and prove the next statement.

Proposition 1. *Let $1 \leq p < \infty$. Then*

$$\|v\|_{l^p(L_{k_0}^2(0,\infty))} \approx J(v, p),$$

where

$$J(v, p) = \left(\int_0^\infty \left(\int_{x/2}^{2x} v^2(y) k^2(y, y/2) dy \right)^{p/2} x^{p/2-1} dx \right)^{1/p}.$$

Proof. We have

$$\begin{aligned} \|v\|_{l^p(L_{k_0}^2(0,\infty))} &= \left(\sum_{n \in \mathbb{Z}} \left(\int_{2^n}^{2^{n+1}} v^2(x) k_0(x) dx \right)^{p/2} \right)^{1/p} \\ &\leq \left(\sum_{n \in \mathbb{Z}} \left(\int_{2^n}^{2^{n+1}} v^2(x) k^2(x, x/2) dx \right)^{p/2} 2^{(n+1)p/2} \right)^{1/p} \\ &= c_1 \left(\sum_{n \in \mathbb{Z}} \left(\int_{2^n}^{2^{n+1}} v^2(x) k^2(x, x/2) dx \right)^{p/2} 2^{np/2} \right)^{1/p} \\ &\leq c_2 \left(\sum_{n \in \mathbb{Z}} \int_{2^n}^{2^{n+1}} y^{p/2-1} \left(\int_{2^n}^{2^{n+1}} v^2(x) k^2(x, x/2) dx \right)^{p/2} dy \right)^{1/p} \\ &\leq c_2 \left(\sum_{n \in \mathbb{Z}} \int_{2^n}^{2^{n+1}} y^{p/2-1} \left(\int_{y/2}^{2y} v^2(x) k^2(x, x/2) dx \right)^{p/2} dy \right)^{1/p} = c_2 J(v, p). \end{aligned}$$

To prove the reverse inequality we observe that

$$\begin{aligned}
 J(v, p) &= \left(\sum_{n \in \mathbb{Z}} \int_{2^n}^{2^{n+1}} y^{p/2-1} \left(\int_{y/2}^{2y} v^2(x) k^2(x, x/2) dx \right)^{p/2} dy \right)^{1/p} \\
 &\leq \left(\sum_{n \in \mathbb{Z}} \left(\int_{2^n}^{2^{n+1}} y^{p/2-1} dy \right) \left(\int_{2^{n-1}}^{2^{n+2}} v^2(x) k^2(x, x/2) dx \right)^{p/2} \right)^{1/p} \\
 &\leq c_3 \left(\sum_{n \in \mathbb{Z}} 2^{np/2} \left(\int_{2^{n-1}}^{2^n} v^2(x) k^2(x, x/2) dx \right)^{p/2} \right)^{1/p} \\
 &\quad + c_3 \left(\sum_{n \in \mathbb{Z}} 2^{np/2} \left(\int_{2^n}^{2^{n+1}} v^2(x) k^2(x, x/2) dx \right)^{p/2} \right)^{1/p} \\
 &+ c_3 \left(\sum_{n \in \mathbb{Z}} 2^{np/2} \left(\int_{2^{n+1}}^{2^{n+2}} v^2(x) k^2(x, x/2) dx \right)^{p/2} \right)^{1/p} \leq c_4 \|v\|_{L^p(L^2_{k_0}(0, \infty))}.
 \end{aligned}$$

■

From Theorem 1 and Proposition 1 we easily derive the following statement:

Theorem 3. *Let $2 \leq p < \infty$ and let $k \in V \cap V_\lambda$. Then*

$$\|K_v\|_{\sigma_p(L^2(0, \infty))} \approx J(v, p).$$

A result analogous to Theorem 1 was obtained in [13] for the Riemann-Liouville operator $R_{\alpha, v}$, assuming that $\alpha > 1/2$ and $p > 1/\alpha$ (see [14] for $\alpha = 1$ and $p > 1$).

Let us now consider the multidimensional case. In particular, we shall deal with the operator

$$B_{+, v}^\alpha f(x) = v(x) \int_{|y| < |x|} \frac{(|x|^2 - |y|^2)^\alpha}{|x - y|^n} f(y) dy, \quad \alpha > 0,$$

where v is a Lebesgue-measurable function on \mathbb{R}^n with $v \in L^2(\{2^n < |y| < 2^{n+1}\})$ for all $n \in \mathbb{Z}$ (for the definition and some properties of $B_{+, v}$, where $v \equiv 1$, see, e.g., [16], Chapter 7, and [17], Section 29).

Let w be a measurable a.e. positive function on \mathbb{R}^n . We denote by $l^p(L_w^2(\mathbb{R}^n))$ a set of all measurable functions $\varphi : \mathbb{R}^n \rightarrow \mathbb{R}^1$ for which

$$\|\varphi\|_{l^p(L_w^2(\mathbb{R}^n))} = \left(\sum_{k \in \mathbb{Z}} \left(\int_{2^k < |x| < 2^{k+1}} \varphi^2(x)w(x)dx \right)^{p/2} \right)^{1/p} < \infty.$$

The next result is from [19] (pp. 127, 147).

Proposition C. *Let $1 \leq p_0, p_1 \leq \infty, 0 \leq \theta \leq 1, \frac{1}{p} = \frac{1-\theta}{p_0} + \frac{\theta}{p_1}$. If T is a bounded operator from $l^{p_i}(L_w^2(\mathbb{R}^n))$ into $\sigma_{p_i}(L_w^2(\mathbb{R}^n))$, where $i = 0, 1$, then it is also bounded from $l^p(L_w^2(\mathbb{R}^n))$ into $\sigma_p(L_w^2(\mathbb{R}^n))$.*

In the sequel we shall use the notation $l^p(L_{|x|^\beta}^2(\mathbb{R}^n)) \equiv l^p(L_\beta^2(\mathbb{R}^n))$.

First we formulate some statements concerning the mapping properties of $B_{+,v}^\alpha$.

Theorem C ([12]). *Let $1 < p \leq q < \infty, \alpha > \frac{n}{p}$. Then $B_{+,v}^\alpha$ acts boundedly from $L^p(\mathbb{R}^n)$ into $L^q(\mathbb{R}^n)$ if and only if*

$$F \equiv \sup_{j \in \mathbb{Z}} F(j) \equiv \sup_{j \in \mathbb{Z}} \left(\int_{2^j < |x| < 2^{j+1}} |v(x)|^q |x|^{q(2\alpha-n/p)} dx \right)^{1/q} < \infty.$$

Moreover, $\|B_{+,v}^\alpha\| \approx F$.

The following result can be obtained in the same as Theorem 5 from [12], therefore we omit the proof (see also [11]).

Theorem D. *Let $1 < p \leq q < \infty$ and let $\alpha > \frac{n}{p}$. Then $B_{+,v}^\alpha$ acts compactly from $L^p(\mathbb{R}^n)$ into $L^q(\mathbb{R}^n)$ if and only if $F < \infty$ and $\lim_{j \rightarrow -\infty} F(j) = \lim_{j \rightarrow +\infty} F(j) = 0$.*

Now we state and prove the following Theorem:

Theorem 4. *Let $2 \leq p < \infty$ and let $\alpha > n/2$. Then $B_{+,v}^\alpha \in \sigma_p(L^2(\mathbb{R}^n))$ if and only if $v \in l^p(L_{4\alpha-n}^2(\mathbb{R}^n))$. Moreover, there exist positive constants b_1 and b_2 such that*

$$b_1 \|v\|_{l^p(L_{4\alpha-n}^2(\mathbb{R}^n))} \leq \|B_{+,v}^\alpha\|_{\sigma_p(L^2(\mathbb{R}^n))} \leq b_2 \|v\|_{l^p(L_{4\alpha-n}^2(\mathbb{R}^n))}.$$

Proof. For sufficiency, we use the Hilbert-Schmidt formula (1) and the condition $\alpha > \frac{n}{2}$. Thus,

$$\begin{aligned} \|B_{+,v}^\alpha\|_{\sigma_2(L^2(\mathbb{R}^n))} &= \left(\int_{\mathbb{R}^n} v^2(x) \left(\int_{|y|<|x|} \frac{(|x|^2 - |y|^2)^{2\alpha}}{|x-y|^{2n}} dy \right) dx \right)^{\frac{1}{2}} \\ &\leq c_1 \left(\int_{\mathbb{R}^n} |x|^{2\alpha} v^2(x) \left(\int_{|y|<|x|} |x-y|^{(\alpha-n)2} dy \right) dx \right)^{\frac{1}{2}} \\ &\leq c_2 \left(\int_{\mathbb{R}^n} |x|^{4\alpha-n} v^2(x) dx \right)^{\frac{1}{2}} = c_2 \left(\sum_{k=-\infty}^{+\infty} a_k^2 \right)^{\frac{1}{2}}, \end{aligned}$$

where

$$a_k = \left(\int_{2^k < |y| < 2^{k+1}} |x|^{4\alpha-n} v^2(x) dx \right)^{1/2}.$$

Moreover, using Theorem C we arrive at the following two-sided inequality:

$$c_3 \|v\|_{l^\infty(L^2_{4\alpha-n}(\mathbb{R}^n))} \leq \|B_{+,v}^\alpha\|_{\sigma_\infty(L^2(\mathbb{R}^n))} \leq c_4 \|v\|_{l^\infty(L^2_{4\alpha-n}(\mathbb{R}^n))}.$$

By Proposition C we conclude that

$$\|B_{+,v}^\alpha\|_{\sigma_p(L^2(\mathbb{R}^n))} \leq c_5 \|v\|_{l^p(L^2_{4\alpha-n}(\mathbb{R}^n))}, \quad 2 \leq p < \infty.$$

Now we prove necessity. For this we take the orthonormal systems $\{f_k\}$ and $\{g_k\}$, where

$$f_k(x) = \chi_{\{2^{k-2} < |y| < 2^{k-1}\}}(x) 2^{-(k-2)n/2} \cdot \lambda_n^{-\frac{1}{2}},$$

$$g_k(x) = \chi_{\{2^k \leq |y| < 2^{k+1}\}}(x) |x|^{2\alpha-\frac{n}{2}} v(x) \alpha_k^{-\frac{1}{2}},$$

$\lambda_n = (2^n - 1)\pi^{n/2}/\Gamma(n/2 + 1)$ and

$$\alpha_k = \int_{2^k \leq |x| < 2^{k+1}} v^2(x) |x|^{4\alpha-n} dx.$$

Then in view of Proposition B we have

$$\begin{aligned} \infty > \|B_{+,v}^\alpha\|_{\sigma_p(L^2(\mathbb{R}^n))} &\geq c_6 \left(\sum_{k \in \mathbb{Z}} \left(\alpha_k^{-1/2} \int_{2^k < |x| < 2^{k+1}} v^2(x) |x|^{2\alpha - \frac{n}{2}} \right. \right. \\ &\quad \left. \left. \times \left(\int_{2^{k-2} < |y| < 2^{k-1}} \frac{(|x|^2 - |y|^2)^\alpha}{|x-y|^n} 2^{-(k-2)n/2} dy \right) dx \right)^p \right)^{\frac{1}{p}} \\ &\geq c_7 \left(\sum_{k \in \mathbb{Z}} \alpha_k^{p/2} \right)^{1/p} = c_7 \|v\|_{l^p(L_{4\alpha-n}^2(\mathbb{R}^n))} \end{aligned}$$

which completes the proof. \blacksquare

The following result is also true:

Theorem 5. *Let $2 \leq p < \infty$ and let $\alpha > n/2$. Then $B_{+,v}^\alpha \in \sigma_p(L^2(\mathbb{R}^n))$ if and only if*

$$I(v, p, \alpha) \equiv \left(\int_{\mathbb{R}^n} \left(\int_{\frac{|x|}{2} < |y| < 2|x|} v^2(y) |y|^{4\alpha-2n} dy \right)^{p/2} |x|^{np/2-n} dx \right)^{\frac{1}{p}} < \infty.$$

Moreover,

$$c_1 I(v, p, \alpha) \leq \|B_{+,v}^\alpha\|_{\sigma_p(L^2(\mathbb{R}^n))} \leq c_2 I(v, p, \alpha)$$

for some positive constants c_1 and c_2 .

Proof. Taking into account Theorem 4, the statement will be proved if we show that

$$\|v\|_{l^p(L_{4\alpha-n}^2(\mathbb{R}^n))} \approx I(v, p, \alpha).$$

Indeed, we have

$$\begin{aligned} \|v\|_{l^p(L_{4\alpha-n}^2(\mathbb{R}^n))} &\leq \left(\sum_{k \in \mathbb{Z}} \left(\int_{2^k < |x| < 2^{k+1}} v^2(x) |x|^{4\alpha-2n} dx \right)^{p/2} 2^{(k+1)np/2} \right)^{\frac{1}{p}} \\ &= b_1 \left(\sum_{k \in \mathbb{Z}} \int_{2^k < |y| < 2^{k+1}} |y|^{np/2-n} \left(\int_{\frac{|y|}{2} < |x| < 2|y|} v^2(x) |x|^{4\alpha-2n} dx \right)^{p/2} dy \right)^{1/p} \end{aligned}$$

$$= b_1 I(v, p, \alpha).$$

The reverse inequality follows similarly. ■

Remark 2. Some results of this paper were announced in [11].

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