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1 A Mineralogical and Organic Geochemical Overview of the Effects of Holocene
2 Changes in Amazon River Flow on Floodplain Lakes

3
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21 **ABSTRACT**

22 A synthesis of the impacts of the Amazon River hydrological changes on the
23 **sedimentation** process of organic matter (OM) in three different floodplain lakes (Santa
24 Ninha, Maracá, and Comprido lakes) is presented in this study. **Today** the Santa Ninha
25 and Maracá lakes are directly and permanently connected with the main channel of the
26 Amazon River, in contrast to Comprido Lake, which is indirectly and periodically
27 influenced by the Amazon River due to its **high** distance from the main channel. All the
28 sedimentary lake records **showed** a reduced river inflow due to dry climatic conditions
29 during the Early and Middle Holocene followed by a humid Late Holocene with an
30 increased fluvial input. In Santa Ninha and Maraca Lakes the reduced river inflow
31 period was characterized by sediments with a low abundance of smectite (on average
32 ~20 wt. %), a clay mineral mainly transported by the fluvial system, high total organic
33 carbon (TOC) contents (on average ~8.2 wt. %) and a predominant acidic soil OM input
34 evidenced by high branched glycerol dialkyl glycerol tetraethers (GDGT)
35 concentrations (on average $180 \mu\text{g g}_{\text{TOC}}^{-1}$). During the Late Holocene, a higher smectite
36 abundance (on average ~43 wt. %) and a low TOC content (on average ~1.4 wt. %)
37 **pointed to dilution** with the riverine lithogenic matter. This was accompanied by a
38 proportional increase in the aquatically-produced crenarchaeol, suggesting an increased
39 lake water level. In Comprido Lake, a sedimentation gap occurred during the Early and
40 Middle Holocene. The humid Late Holocene, after 3,000 cal years BP, was
41 characterized by high TOC values (on average ~9 wt. %) as well as a sharp increase in
42 soil OM input as revealed by the increase in branched GDGT concentrations (on
43 average $\sim 81 \mu\text{g g}_{\text{TOC}}^{-1}$), but the smectite content was low (on average ~14 %). This
44 suggests that in Comprido Lake the soil OM input from the local catchment area was
45 predominant during the humid Late Holocene due to its **high** distance from the Amazon

46 River main stem. Consequently, our study shows that the [sedimentation](#) processes of
47 OM in Amazonian floodplain lakes are strongly influenced by variations in the
48 hydrodynamic [regime](#) of the Amazon River during the Holocene. However, its impacts
49 on floodplain lakes were different, mainly depending on the distance from the main
50 stem of the Amazon River.

51

52 Keywords: sedimentary organic matter; glycerol dialkyl glycerol tetraethers;
53 Amazonian floodplain lakes; Holocene

54

55

56

57 **1. Introduction**

58 A study of sedimentation process allows a better understanding of the driving
59 forces and impacts of past climate change on the ecosystems (Jones et al., 2009). In the
60 Amazonian Basin, pollen, microscopic charcoal, and geochemical data have been used
61 to determine the relationship between vegetation dynamics and climate changes during
62 the Holocene (Absy,1974; Sifeddine et al., 1994, 2001; Cordeiro et al., 1997, 2008,
63 2011; Turcq et al.,1998; Behling and Hooghiemstra, 1999; Behling et al., 2001; Weng
64 et al., 2006; Bush et al., 2007; De Toledo and Bush, 2007; Hillyer et al. 2009;
65 Hermanowski et al., 2012; Moreira et al., 2012, 2013a,b). These studies indicated that
66 the climatic conditions in the Amazon Basin during the Early and Middle Holocene
67 were much drier (e.g. Hermanowski et al., 2012; Mayle and Power, 2008; Cordeiro et
68 al., 2008; Sifeddine et al., 1998, 2001; Mayle, 2000; Absy, 1979) and a transition to a
69 wettest climate was observed in the Late Holocene (e.g. Cordeiro et al., 2008; Bush et
70 al., 2007; Behling and Costa, 2000). However, much of the Amazon
71 paleoenvironmental history has been derived from studies of lakes isolated from the
72 hydrological dynamics of the Amazon Basin. Despite of the large area of the
73 floodplains, which occupy approximately 44 % of the Amazon Basin (Guyot et al.,
74 2007), and their connection with the Amazon River, the paleoclimatic impacts on the
75 floodplain sedimentation is limited.

76 In order to understand the Amazon River influence on Amazonian floodplain
77 lakes during the Holocene and its impacts on changes of sedimentary organic matter
78 (OM) sources, a comparison of the organic and mineralogical parameters was conducted
79 by Moreira et al. (2012, 2013a,b) in three different floodplain lakes. The authors
80 showed that the Amazon River hydrological variations exercised an important impact
81 on the sedimentation process in floodplain lakes, reflected by the records of erosive

82 events and variations in the total organic carbon (TOC) content and its stable carbon
83 isotopic composition. These variations were accompanied by changes in the
84 mineralogical composition that markedly indicated the variations of the Amazon River
85 influence on floodplain lakes and allowed the determination of periods of lower and
86 higher sediment input of particles from the Amazon River into the floodplain lakes. In
87 addition, the Amazon River paleohydrological variations presented different impacts on
88 floodplain lakes depending on the distance from the main channel. For instance,
89 Comprido Lake, located in the eastern central Amazon Basin, has been indirectly but
90 constantly under the influence of the Amazon River and its sedimentary record provided
91 information on regional Holocene climate changes (Moreira et al., 2013a). In contrast,
92 the sedimentary records from lakes closer to the main stem of the Amazon River, such
93 as Maracá Lake (Moreira et al., 2013b) and Santa Nina Lake (Moreira et al., 2012)
94 presented a series of erosive events during the Holocene as a consequence of the
95 hydrological variations of the Amazon River. However, the sources of the sedimentary
96 OM in those lakes are still poorly understood.

97 Although Santa Nina, Maracá, and Comprido Lakes were previously studied
98 (Moreira et al., 2012, 2013a,b), a comprehensive comparison of these lakes have not
99 been performed yet. In this study, we, therefore, synthesize the previous results for a
100 direct comparison between the different types of floodplain lakes in order to better
101 understand the sources and the [sedimentation](#) processes of OM in these lakes and their
102 links to the hydrological variations of the Amazon River during the Holocene. In
103 addition [to the previously published data, we newly obtained Holocene records of the](#)
104 [concentrations and distributions of crenarchaeol and branched glycerol dialkyl glycerol](#)
105 [tetraethers \(GDGTs\) from Santa Nina and Comprido Lakes](#), complementing our recent

106 efforts on paleohydrological and paleoclimatic reconstructions in Amazonian floodplain
107 lakes.

108

109 **2. Study area**

110 Santa Nina Lake is located in Várzea do Lago Grande de Curuai, a complex
111 floodplain system of more than 30 interconnected lakes, all permanently connected to
112 the Amazon main stem by small channels. This floodplain is situated between 1°50'S–
113 02°15'S and 55°00'W–56°05'W on the southern margin of the Amazon River, at 850
114 km from the Amazon River mouth (Fig. 1). The northern limit of Curuai floodplain is
115 formed by river banks. Southwards the 'terra firme' forest is located on elevated terrain
116 with dense forest (Martinez and Le Toan, 2007). Around the lakes the pioneer
117 formations are dominated by *Echinochloa polystachya*, *Paspalum repens*, and
118 *Paspalum fasciculatum* as C₄ plants and *Salvínea auriculata*, *Pistia stratiotes*, and
119 *Eichornia crassipes* as C₃ plants.

120 Maracá and Comprido Lakes are situated between 54°0'W–53°52'W and 02°8'S–
121 02°16'S. This floodplain system is near the city of Monte Alegre on the south bank of
122 the Amazon River at 500 km from the Amazon River mouth. Maracá Lake is
123 characterized by a direct and permanent connection with the Amazon River throughout
124 the year. In contrast, Comprido Lake is completely isolated during low water phases.
125 Both lakes are surrounded by a dense tropical rain forest (terra firme forest) in the
126 southern bank, and a forest-savanna transition in the northern bank (Radambrasil,
127 1974). Around the lakes there are also pioneer formations (grasslands) with the
128 predominance of *Paspalum fasciculatum*, *Paspalum repens*, *Echinochloa polystachya*
129 (C₄ plants), and *Eichornia crassipes* (a C₃ plant).

130 In the catchments of Santa Ninha, Maracá and Comprido Lakes the bedrock of the
131 terra firme (i.e. unflooded upland) comprises the Cretaceous Alter do Chão Formation
132 (Latrubesse et al., 2009) which is a succession of feldspathic-kaolinitic sandstones,
133 conglomerates and mudstones (Nogueira and Sarges, 2001; Mendes et al., 2012). The
134 main clay mineral delivered by terra firme creeks is predominantly kaolinite (Behling et
135 al., 2001; Guyot et al., 2007; Amorim, 2010). The catchment area is characterized by a
136 humid tropical climate without long dry periods. The annual mean precipitation is about
137 2200 mm and the annual mean air temperature is about 27°C (Radambrasil, 1974).

138

139 **3. Methods**

140 *3.1. Sediment cores*

141 The TA14 core was collected in Santa Ninha Lake (S02°07'31.2" and
142 W55°49'29") using a “vibra-core”. The MAR2 and COM1 cores were collected
143 manually in Maracá and Comprido Lake at S02°10'14.3"/W053°55'57.4" and
144 S02°12'18.5"/W53°54'01.8", respectively (Fig. 1). The cores were opened, described
145 and sampled in the Laboratory at Universidade Federal Fluminense, Niteroi, Brazil.

146

147 *3.2. Radiocarbon (¹⁴C) analysis*

148 The ¹⁴C measurements were performed on TOC by an Artemis accelerator mass
149 spectrometry (AMS) system based on a 3MV Pelletron from National Electrostatics
150 Corporation (NEC, Middleton, Wisconsin, USA) at Laboratoire de Mesure du Carbone
151 14 (LMC14) - UMS 2572 (CEA/DSM CNRS IRD IRSN – Ministère de la Culture et de
152 la Communication), Paris, France. To consistently establish chronologies for three
153 sediment cores, the calibrated ages were newly obtained using the CALIB 7.0, available
154 at <http://radiocarbon.pa.qub.ac.uk/calib> (Stuiver et al., 1998) and the calibration curve

155 used was [SHcal13 \(Hogg et al., 2013\)](#). In order to obtain age-depth models the software
156 [‘Bacon’](#), version 2.2 (Blaauw and Christen, 2011) was used.

157

158 *3.3. Clay mineral and bulk OM analysis*

159 Clay mineralogy, TOC, total nitrogen (TN) and stable isotopic compositions of
160 TOC ($\delta^{13}\text{C}_{\text{TOC}}$) were determined as described by Moreira et al. (2012, 2013a,b).

161

162 *3.4. GDGT analysis and calculation of indices*

163 Freeze-dried samples were extracted with an Accelerated Solvent Extractor
164 (DIONEX ASE 200) using a mixture of dichloromethane (DCM): methanol (MeOH,
165 9:1 v:v). The extract was separated into apolar, ketone, and polar fractions over an
166 Al_2O_3 column using hexane:DCM (9:1 v:v), hexane:DCM (1:1 v:v), and DCM:MeOH
167 (1:1 v:v), respectively. The polar fractions (DCM:MeOH, 1:1 v:v) were analyzed for
168 GDGTs according to the procedure described by Schouten et al. (2007). The polar
169 fractions were dried down under nitrogen, re-dissolved by sonication (5 min) in
170 hexane:propanol (99:1 v:v), and filtered through 0.45 μm polytetrafluoroethylene
171 (PTFE) filters. The samples were analysed using high performance liquid
172 chromatography-atmospheric pressure positive ion chemical ionization mass
173 spectrometry (HPLC-APCI-MS). GDGTs were detected by selected ion monitoring of
174 their $(\text{M}+\text{H})^+$ ions (dwell time 237 ms) and quantification of the GDGT compounds was
175 achieved by integrating the peak areas and using the C_{46} GDGT internal standard
176 according to Huguet et al. (2006).

177 In order to quantify the different GDGT distributions along the cores, the
178 branched and isoprenoid tetraether (BIT) index (Hopmans et al., 2004), the methylation
179 index of branched tetraethers (MBT) (Weijers et al., 2007), the cyclization ratio of

180 branched tetraethers (CBT) (Weijers et al., 2007), and the degree of cyclization (DC)
181 (Sinninghe Damsté et al., 2009) were calculated as follows:

182

$$183 \quad \text{BIT index} = \frac{[\text{Ia}] + [\text{IIa}] + [\text{IIIa}]}{[\text{Ia}] + [\text{IIa}] + [\text{IIIa}] + [\text{IV}]} \quad (1)$$

184

$$185 \quad \text{MBT} = \frac{[\text{Ia}] + [\text{Ib}] + [\text{Ic}]}{[\text{Ia}] + [\text{Ib}] + [\text{Ic}] + [\text{IIa}] + [\text{IIb}] + [\text{IIc}] + [\text{IIIa}] + [\text{IIIb}] + [\text{IIIc}]} \quad (2)$$

186

$$187 \quad \text{CBT} = -\log\left(\frac{[\text{Ib}] + [\text{IIb}]}{[\text{Ia}] + [\text{IIa}]}\right) \quad (3)$$

188

$$189 \quad \text{DC} = \frac{[\text{Ib}] + [\text{IIb}]}{[\text{Ia}] + [\text{Ib}] + [\text{IIa}] + [\text{IIb}]} \quad (4)$$

190

191 The roman numerals refer to the GDGTs indicated in Fig. 2. Ia-c, IIa-c, and IIIa-c are
192 branched GDGTs and IV is the isoprenoid GDGT, crenarchaeol. For the calculation of
193 the CBT-derived pH and the MBT/CBT-derived mean annual air temperature (MAAT),
194 the regional soil calibrations for the Amazon Basin were used (Bendle et al., 2010):

195

$$196 \quad \text{CBT} = 4.2313 - 0.5782 \times \text{pH} \quad (r^2 = 0.75) \quad (5)$$

197

$$198 \quad \text{MBT} = 0.1874 + 0.0829 \times \text{CBT} + 0.0250 \times \text{MAAT} \quad (r^2 = 0.91) \quad (6)$$

199

200 **4. Results**

201 *4.1. Chronology and lithology*

202 The AMS ¹⁴C data of the sediment cores investigated were summarized in Table 1
203 and the age–depth models were illustrated in Fig. 3. The TA14 chronological model was

204 based on fourteen TOC AMS radiocarbon dates and showed a basal age of 5,600 cal
205 years BP (Moreira et al., 2012). The MAR2 age-depth model was constructed with
206 seven TOC AMS radiocarbon dates and presented a basal age of 3,600 cal years BP
207 (Moreira et al., 2013b). The COM1 chronology was based on seven TOC AMS
208 radiocarbon dates and showed a basal age of 10,300 cal years BP (Moreira et al.,
209 2013a).

210 The TA14 (Santa Ninha Lake) and MAR2 (Maracá Lake) sediment cores mainly
211 consisted of clay (Fig. 4). The base of core TA14 (270-165 cm), according to the visual
212 lithological inspection, was composed by thin horizontal laminations of dark grey clay
213 and plant remains (Moreira et al., 2012, 2013b) and contained high amounts of TOC
214 (>10 wt. %; Fig. 5). A sharp contact was identified at 34 cm. A transition to a dark
215 greyish-brown silty-clay layer without plant remains was found in the following units
216 until the top. The base of MAR2 (86-72 cm) was also composed by organic-rich clay
217 layers (Fig. 4) and in the rest of the core, no vegetal remains were found, except at the
218 base of this core (Fig. 4). A sharp contact due to strong erosive events can also be
219 observed in this core, at 35.5 cm and 51.5 cm. In the COM1 core (Fig. 4), from the base
220 to 95 cm, the sediment was characterized by the presence of very dark grey clay,
221 without vegetal remains (Moreira et al., 2013a) and a low TOC content (Fig. 5). In the
222 following units, a predominantly organic rich clay layer with vegetal remains was found
223 on the top of COM1 core.

224 In the three sediment cores, two distinct periods were observed. A period with low
225 river influence, classified as Unit II, occurred between 5,600 and 5,000 cal years BP in
226 Santa Ninha Lake, between 3,600 and 2,700 cal years BP in Maracá Lake, and between
227 10,300 and 3,000 cal years BP in Comprido Lake. A transition to a period with high
228 river input into the lakes was evidenced since the Late Holocene. This period

229 corresponds to Unit I which occurred during the last 5,000 years in Santa Ninha Lake,
230 during the last 2,700 years in Maracá Lake, and since the last 3,000 years in Comprido
231 Lake. These units and their mean values of the mineralogical and organic geochemistry
232 results are discussed below.

233

234 *4.2. Mineralogical characterization*

235 The kaolinite content in the Unit II of TA14 and MAR2 cores presented an
236 average of 40 % and 73.8 %, respectively, which decreased in the Unit I, with mean
237 values of 27.8 % and 39 %, respectively. In contrast, the smectite content in the Unit II
238 of both TA14 and MAR2 cores was low, with mean values of 25.1 % and 6.8 %,
239 respectively, while the Unit I showed a substantial increase, with mean values of 43.2
240 % and 42.7 %, respectively (Fig. 5). For more details, the mineralogical characterization
241 of the TA14 and MAR2 cores were presented by Moreira et al. (2012, 2013b). In
242 COM1 core, the predominance of kaolinite occurred along the record, with the mean
243 value of 53.8 %, while the smectite content presented the mean value of 14.5 %, with
244 the peak value of 25 % (Fig.6), as described by Moreira et al. (2013a).

245

246 *4.3. Characterization of the bulk OM*

247 In Santa Ninha Lake (TA14), the Unit II was characterized by high TOC values
248 and C:N atomic ratios, with the mean values of 8.2 wt. % and 23, respectively (Figs. 5-
249 6). A decrease in TOC values and C:N atomic ratio can be observed in the Unit I, with
250 an average of 0.48 wt. % and 4.3, respectively. The mean value of $\delta^{13}\text{C}_{\text{TOC}}$ during the
251 Unit II was -28.7 ‰ and during the Unit I the carbon isotopic compositions were
252 heavier than the other periods but no significant variations were observed after 4,000 cal
253 years BP, as described by Moreira et al. (2012).

254 In the Unit II of MAR2 core the mean values of TOC and C:N atomic ratios were
255 15.3 wt. % and 23, respectively (Figs. 5-6). The mean values of TOC and C:N atomic
256 ratio for the Unit I were 2.3 wt. % and 14, respectively. The $\delta^{13}\text{C}_{\text{TOC}}$ values in the Unit
257 II presented an average of -25.8 ‰, while the Unit I had enriched $\delta^{13}\text{C}_{\text{TOC}}$ values, with
258 an average of -20.5 ‰. After 1880 cal years BP, the mean value of $\delta^{13}\text{C}_{\text{TOC}}$ was -27 ‰
259 as described by Moreira et al. (2013b).

260 The Unit II in COM1 core showed the lowest TOC content and C:N atomic ratio
261 with the mean values of 0.4 wt. % and 5.6, respectively (Figs. 5-6). These values
262 gradually increased toward the core top and the mean values of the Unit I were 9.3 wt.
263 % and 14.3, respectively. A large increase in $\delta^{13}\text{C}_{\text{TOC}}$ was observed between 9,300 and
264 8,600 cal years BP, ranging from -23.7 ‰ to -17.6 ‰. After this period, $\delta^{13}\text{C}_{\text{TOC}}$ values
265 were lower, with the mean value of -28.4 ‰. Moreira et al. (2013a) provide more
266 detailed characterization of the bulk OM for COM1 core.

267

268 4.4. GDGT concentration and distribution

269 In general, the GDGT Ia was the most abundant branched GDGT in all the lake
270 sediments analysed and followed by the GDGT Ib and IIa with similar proportions. The
271 GDGT IIIb and IIIc were mostly absent, which is consistent with the finding that these
272 compounds were not detected in 63 % of the global surface soil set (Peterse et al., 2012)
273 and in most of Amazon soils (Zell et al., 2013a).

274 In the Unit II of TA14 core from Santa Ninha Lake, the concentrations of
275 crenarchaeol and the summed branched GDGTs varied between 0.6 and 4 $\mu\text{g g}_{\text{TOC}}^{-1}$ and
276 between 37 and 130 $\mu\text{g g}_{\text{TOC}}^{-1}$, respectively (Fig. 5). Note that the summed branched
277 GDGTs were calculated as the sum of the concentrations of the major three branched
278 GDGTs, i.e. GDGT Ia, IIa, and IIIa. The BIT index was the highest during this period,

279 varying between 0.94 and 0.98, similar to that reported for Amazonian soils (Kim et al.,
280 2012; Zell et al., 2013a). The DC (Sinninghe Damsté et al., 2009) ranged from 0.02 to
281 0.05 and the CBT-derived pH followed the pattern of the DC, with the values of 4-5
282 (Fig. 7). The MBT/CBT-derived MAAT in this phase varied between 23.9 and 26.4°C
283 and followed the pattern of the MBT (Fig. 7). The Unit I revealed a decrease in the
284 concentrations of summed branched GDGTs, ranging from 7 to 90 $\mu\text{g g}_{\text{TOC}}^{-1}$. In
285 contrast, the concentrations of crenarchaeol reached the highest value during this period,
286 up to 18 $\mu\text{g g}_{\text{TOC}}^{-1}$. The BIT index revealed substantial variations, ranging from 0.77 to
287 0.97. The DC ranged from 0.06 to 0.31 and the CBT-derived pH presented high values
288 than the Unit II, varying between 5.3 and 6.4. The MBT/CBT-derived MAAT varied
289 between 21.6 and 26.4 °C and also followed the pattern of the MBT.

290 In Maracá Lake (MAR2) the concentrations of crenarchaeol and the summed
291 branched GDGTs in the Unit II varied between 4 and 13 $\mu\text{g g}_{\text{TOC}}^{-1}$ and between 370 and
292 900 $\mu\text{g g}_{\text{TOC}}^{-1}$, respectively (Fig. 5). The BIT index was high and no variation was
293 detected in this phase, with a mean value of 0.99, ranging between 0.98 and 0.99 (Fig.
294 5). The MBT and the DC also revealed low variations during this period, with the mean
295 values of 0.97 (ranging from 0.97 to 0.96) and 0.02 (ranging from 0.01 to 0.03),
296 respectively (Fig. 7). The CBT-derived pH and MBT/CBT-derived MAAT also
297 presented low variations during this period, with mean values of 4.4 (varying between
298 4.1 and 4.7) and 25.6 °C (varying between 25.2 °C and 26.1 °C), respectively (Fig. 7). In
299 the Unit I, the crenarchaeol contribution to the sedimentary GDGT pool increased and
300 consequently a slight reduction of the BIT values (ranging from 0.92 to 0.98) occurred.
301 In this unit, a reduction in the summed branched GDGT concentration was also
302 observed, varying between 34 and 130 $\mu\text{g g}_{\text{TOC}}^{-1}$. A significant increase in the DC and
303 CBT-derived pH occurred during this period, ranging from 0.03 to 0.28 and 4.8 to 6.4,

304 respectively. The MBT/CBT-derived MAAT presented a slight decrease varying
305 between 23.1 and 25.8°C.

306 In COM1 core, extremely low concentrations of crenarchaeol and summed
307 branched GDGTs were found in the Unit II, with mean values of 0.01 $\mu\text{g g}_{\text{TOC}}^{-1}$
308 (varying between 0.007 and 0.02 $\mu\text{g g}_{\text{TOC}}^{-1}$) and 0.4 $\mu\text{g g}_{\text{TOC}}^{-1}$ (varying between 0.3
309 and 0.4 $\mu\text{g g}_{\text{TOC}}^{-1}$), respectively (Fig. 5). The BIT index was an average of 0.98 without
310 large variations, ranging from 0.96 to 0.98 (Fig. 5). The MBT and the DC, in the Unit
311 II, also showed low variations with the averages of 0.89 (ranging between 0.87 and
312 0.91) and 0.06 (varying between 0.05 and 0.06), respectively (Fig. 7). The values of
313 CBT-derived pH and MBT/CBT-derived temperatures also presented low variations
314 with an average of 5.2 and 24 °C, respectively (Fig. 7). During the last 3,000 cal years
315 BP, a significant increase in the summed branched GDGT concentrations was observed,
316 with the minimum of 39 $\mu\text{g g}_{\text{TOC}}^{-1}$ and the maximum of 110 $\mu\text{g g}_{\text{TOC}}^{-1}$, with an average
317 of 81.4 $\mu\text{g g}_{\text{TOC}}^{-1}$. The concentrations of crenarchaeol for the last 3,000 cal years BP
318 were low when compared with the other records. However, a slight increase occurred,
319 ranging from 0.3 to 2 $\mu\text{g g}_{\text{TOC}}^{-1}$, with higher values during the last 300 cal years BP. The
320 BIT index was constant with mean value of 0.99. The MBT and the DC, after 3,000 cal
321 years BP, showed mean values of 0.92 (ranging from 0.87 to 0.94) and 0.07 (ranging
322 from 0.03 to 0.12), respectively. The CBT-derived pH and MBT/CBT-derived
323 temperatures varied between 4.7 and 5.7 and between 24.4 and 26 °C, respectively.

324

325 **5. Discussion**

326 In the floodplain lakes located in the central Amazon Basin, we carried out a
327 multi-proxy study, effectively combining mineralogical data with organic geochemical
328 data. The Amazon River sediments have a clay assemblage characterized by relatively

329 high smectite content (Guyot et al., 2007). In contrast, kaolinite is the main clay mineral
330 in the catchment areas of the lowland Amazon Basin (Behling et al., 2001; Guyot et al.,
331 2007; Amorim, 2010). Hence, variations in the clay assemblage in the floodplain lake
332 sediments are linked to changes in the sediment supply sources into the studied lakes,
333 i.e. the Amazon River versus the local catchment area. Besides the clay assemblage, the
334 carbon elemental and isotopic composition as well as a number of parameters based on
335 crenarchaeol and branched GDGTs were applied to characterize the OM sources and to
336 reconstruct pH and MAAT. Crenarchaeol is considered to be the specific membrane-
337 spanning lipid of aquatic planktonic Thaumarchaeota (e.g. Sinninghe Damsté et al.,
338 2002; Pitcher et al., 2011), formerly known as Group I Crenarchaeota (Spang et al.,
339 2010). Recent studies in the central Amazon Basin showed that crenarchaeol is mainly
340 produced in the aquatic system, with relatively low amounts in soils (Kim et al., 2012;
341 Zell et al., 2013a,b). Branched GDGTs are ubiquitous and dominant in peats (e.g.
342 Sinninghe Damsté et al., 2000; Weijers et al., 2004, 2006) and soils (e.g. Weijers et al.,
343 2007; Kim et al., 2007, 2010), probably derived from anaerobic (e.g. Weijers et al.,
344 2006) and heterotrophic (e.g. Pancost and Sinninghe Damsté, 2003; Weijers et al.,
345 2010) acidobacteria (e.g. Weijers et al., 2009; Sinninghe Damsté et al., 2011). In the
346 central Amazon Basin the branched GDGTs were mainly originated from erosion of
347 lowland soils but a relatively small *in-situ* derived contribution in rivers and floodplain
348 lakes was also observed (Kim et al., 2012; Zell et al., 2013a,b). Accordingly, the
349 application of the BIT index based on the relative abundance of branched GDGTs
350 versus crenarchaeol (Hopmans et al., 2004) appears to be useful to trace soil OM in the
351 central Amazon Basin (cf. Kim et al., 2012). The GDGT-based proxies thus helped us
352 to understand how the OM sources in the floodplain lakes were changed according to
353 the paleoclimatic and paleohydrological variations during the Holocene.

354

355 *5.1. Santa Ninha and Maracá Lakes: directly and permanently connected to the Amazon*
356 *River*

357 The impact of the paleohydrological variations of the Amazon River was similar
358 in the floodplain lakes which had direct and permanent connection with the Amazon
359 River during the high and low water phases. During the Early and Middle Holocene, a
360 reduced Amazon River inflow into floodplain lakes, with reduced water levels of the
361 Amazon River, was evident in the two sedimentary records. In Maracá Lake, a gap in
362 sedimentation occurred between 13,100 and 3,600 cal years BP (Moreira et al., 2013b)
363 suggests that the lake dried up. This hiatus was most probably a consequence of a
364 reduced discharge of the Amazon River which was caused by the weakened flooding in
365 the western Amazon Basin (Moreira et al., 2012, 2013b). In Santa Ninha Lake between
366 5,600 and 5,000 cal years BP and in Maracá Lake between 3,600 and 2,700 cal years
367 BP, the smectite contents were low (Fig. 5). Low amounts of smectite during the Middle
368 Holocene suggest a reduced Amazon River influence on floodplain lakes. In Maraca
369 Lake, the reduced Amazon River inflow was evidenced by a gap in sedimentation
370 between 13,100 and 3,200 cal years BP (Moreira et al., 2013b).

371 During this period, in Santa Ninha Lake between 5,700 and 5,000 cal years BP
372 and in Maracá Lake between 3,600 and 2,700 cal years BP, the reduced river inflow was
373 associated with high levels of TOC contents. This suggests that the lake water bodies
374 were shallow which prevented from the dilution of TOC with the river transported
375 lithogenic compounds (Fig. 5). *The diminished availability of oxygen in shallow water*
376 *lakes can improve the preservation of the OM (Meyers, 1993) and thus, high levels of*
377 *TOC were recorded in Santa Ninha and Maracá Lakes during periods with low river*
378 *inflow. The OM preservation is strongly dependent on the oxygen exposure since the*

379 anaerobic organisms presented in an anoxic environment are less efficient degraders of
380 OM than aerobic organisms (Zonneveld et al., 2010). As observed by Moreira et al.
381 (2012) in Santa Ninha Lake, during this phase the microscopic analyses revealed the
382 presence of cuticles and well-preserved tissues that support an enhanced OM
383 preservation due to anoxic conditions. Such environment might correspond to a marsh
384 with low litho-clastic input (Turcq et al., 2002) and the local moisture was maintained
385 by the water supply from the local watershed, as suggested by the high kaolinite
386 contents during this period. During the periods of reduced Amazon River input into the
387 floodplain lakes, high branched GDGT concentrations were recorded in Santa Ninha
388 and Maracá Lakes (Fig. 5). The BIT values were similar in both lakes and close to the
389 average value of Amazon soils (0.97, Kim et al., 2012; Zell et al., 2013a,b) which
390 supports a predominant sedimentary supply from the watershed.

391 An increase in the Amazon River influence during the Late Holocene was
392 indicated by the increase in smectite concentration in Santa Ninha Lake after 5,000 cal
393 years BP (Moreira et al., 2012) and in Maracá Lake after 2,700 cal years BP (Moreira et
394 al., 2013b), as represented by the Fig. 5. A transition to a humid condition during Late
395 Holocene was marked by a drastic decrease in TOC contents in Santa Ninha and Maracá
396 Lakes (Moreira et al., 2012, 2013b) and highlighted the contribution of litho-clastic
397 input that diluted the sedimentary OM produced in the lacustrine environments (Turcq
398 et al., 2002). During the periods of high fluvial inflows into the floodplain lakes,
399 although branched GDGTs were still predominant, crenarchaeol proportions to the
400 sedimentary GDGT pool increased, as observed by the decreases in BIT values after
401 5,000 cal years BP in Santa Ninha Lake and after 2,700 cal years BP in Maracá Lake
402 (Fig. 5). This suggests that aquatic-produced crenarchaeol contributions increased
403 during the Late Holocene in comparison to during the Early and Middle Holocene.

404 During the Late Holocene, a sharp contact was observed in TA14 core (34 cm) and in
405 MAR2 core (35.5 cm and 55.5 cm). This may correspond to a break in sedimentation,
406 which can be interpreted as a consequence of a strong erosive event. Therefore, longer
407 periods of high water levels of the Amazon River or catastrophic flood events probably
408 had a strong influence on these floodplain lakes (Moreira et al., 2012).

409

410 *5.2. Comprido Lake: indirectly connected to the Amazon River*

411 Comprido Lake is an isolated lake that is indirectly connected to the Amazon
412 River through Maraca Lake (Moreira et al., 2013a). The sediment supply in this lake
413 primarily originates from the local drainage basin with modest contribution from the
414 flooding of the Amazon River (Moreira et al., 2013a). The predominance of kaolinite
415 along the Comprido Lake record confirms that the supply from the local watershed
416 dominates in this lake (Fig. 5). This difference, in comparison with Santa Ninha and
417 Maraca Lakes, results in a contrasting response to the variations in the paleohydrology
418 of the Amazon Basin.

419 In Comprido Lake, the weakened connection with the Amazon River was
420 recorded between 10,300 and 3,000 cal years BP. A dry climatic condition between
421 10,300 and 7,800 cal years BP was suggested by an increase in the abundance of C₄
422 grasses on unflooded mud banks followed by a gap in sedimentation due to a complete
423 dryness of the lake between 7,800 and 3,000 cal years BP. However, the mineralogical
424 composition showed no variation in the COM1 record, with kaolinite as the main clay
425 mineral (Fig. 5). This mineralogical composition indicates the predominant terrestrial
426 input by the surface runoff from the local catchment area (Moreira et al., 2013a).

427 During the dry period, low TOC contents were recorded in Comprido Lake, in
428 contrast with the preservation of the OM occurred in Santa Ninha and Maracá Lakes.

429 The different impact on TOC contents observed in the studied lakes during the periods
430 with lower fluvial inflows might be attributed to the connection with the Amazon River
431 main stem. Due to the indirect connection with the main channel the low Amazon River
432 water phase should have a more drastic impact on Comprido Lake. Therefore, the
433 periods of low river input might have caused the dryness of the lake. The low TOC
434 values can be due to the low OM sedimentation or can be a consequence of the
435 enhanced degradation due to the increased exposure time to oxygen on open unflooded
436 mud areas, characteristic of prolonged dry phases. Huguet et al (2009) observed a
437 substantial degradation of GDGTs in oxidized regions of turbidites when compared to
438 unoxidized parts. Hence, the reduced concentrations of crenarchaeol and branched
439 GDGTs (see Fig. 5) might be due to a partial degradation of these compounds as a result
440 of long term exposure to oxic conditions due to low lake water levels.

441 Although the direct river influence on Comprido Lake was difficult to constrain,
442 there was a clear increase in humidity around the lake during the Late Holocene. The
443 transition to the humid phase in Comprido Lake was characterized by the increase in
444 TOC content, in contrast with the dilution of TOC observed in Santa Ninha and Maracá
445 Lakes. This difference can be also attributed to the distance of Comprido Lake from the
446 Amazon River main channel. Since this lake is indirectly connected to the Amazon
447 River the litho-clastic input was reduced and consequently the sedimentary OM was not
448 diluted by the fluvial inflow, as can be attested by the predominance of kaolinite and
449 low smectite content during all the record (Fig. 5). In addition a gradual increase of
450 chlorophyll derivatives and *Aulacoseira* sp. during the Late Holocene suggest an
451 increase in lake productivity and in lake water level due to more humid conditions
452 (Moreira et al., 2013a). The chlorophyll derivatives described by Moreira et al (2013a)
453 represents the chlorophyll degradation products extracted from sediments with 90 %

454 acetone which are collectively defined as sedimentary chlorophyll, according to
455 Vallentyne (1955). The increasing abundance of planktonic species, like *Aulacoseira*
456 sp., and chlorophyll derivatives reflects the expansion of water bodies, higher water
457 levels and consequently increased effective moisture in the watershed (Servant and
458 Servant-Vildary, 2003; Moreira et al., 2013a).

459

460 5.3. Paleohydrological impacts on *bulk* sedimentary OM sources

461 The C:N atomic ratios and $\delta^{13}\text{C}_{\text{TOC}}$ were used as indicator for the source of the
462 sedimentary OM (Fig. 6). As observed in TA14 core, extremely low TOC contents were
463 also recorded in the lowermost section of COM1 core (Fig. 5) and the OM source in
464 these cases must also be interpreted with caution. In sediments with low TOC contents
465 (<0.3 wt. %), the relative proportion of inorganic nitrogen can be large and,
466 consequently it yields C:N atomic ratios artificially depressed (Meyers, 1997; Meyers
467 and Teranes, 2001). The samples with low TOC values were therefore excluded in Fig.
468 6.

469 The boundaries of the major source of OM presented in Fig. 6 were based on
470 previous studies in the Amazon Basin, adapted from Kim et al. (2012) and references
471 therein. In short, the C_3 plants in the Amazonian forests show a $\delta^{13}\text{C}_{\text{TOC}}$ value of -27 to -
472 35 ‰ and a C:N atomic ratio of 13-330 (Hedges et al., 1986; Martinelli et al., 1994,
473 2003). The $\delta^{13}\text{C}_{\text{TOC}}$ of C_4 plants varies between -9 and -16 ‰ and the C:N atomic ratio
474 is about 14-48 (Martinelli et al., 2003; Moreira-Turcq et al., 2013). Phytoplankton and
475 periphyton in the Amazon aquatic systems typically have low $\delta^{13}\text{C}_{\text{TOC}}$ values (-28 to -34
476 ‰) according to Araújo-Lima et al. (1986) but localised phytoplankton blooms in
477 floodplain lakes can have enriched carbon isotopic composition with the maximum of -
478 23 ‰ (Moreira-Turcq et al., 2013).

479 The periods of low Amazon River influence in Santa Ninha and Maracá Lakes
480 were characterized by predominant OM with a C₃ plant origin that may correspond to a
481 floodplain forest (Igapó forest) or to a macrophyte bank. Although the OM source in
482 COM1 core during the period of reduced Amazon River input were not shown in Fig. 6
483 due to the low TOC contents, the enriched carbon isotopic composition suggests the
484 occurrence of C₄ plants during that period, as described by Moreira et al. (2013b). The
485 enriched values of $\delta^{13}\text{C}_{\text{TOC}}$ observed between 10,300 and 7,800 cal years BP in
486 Comprido Lake were associated with low values of TOC and chlorophyll derivatives,
487 suggesting the development of a C₄ grasses (*graminea*) on unflooded areas due to
488 prolonged periods of low water levels (Moreira et al., 2013b).

489 The differences of the OM sources between the periods of low and high water
490 levels were apparent in Santa Ninha and Maracá Lakes (Fig. 6). Increased inputs of the
491 Amazon River water into the floodplain lakes led to favorable conditions for aquatic
492 primary production, reflected by lower C:N atomic ratios in these lakes. In Maracá
493 Lake, the increased inflow of the Amazon River after 2,700 cal years BP was
494 accompanied by an increase in the $\delta^{13}\text{C}_{\text{TOC}}$ values. This was interpreted as a higher
495 input of C₄ macrophyte which marked the increased fluvial input into this lake (Moreira
496 et al., 2013b). The floodplains associated with large rivers presents high levels of
497 inorganic nutrients transported by the rivers and thus, high productive levels (Piedade et
498 al., 2010). Some of the C₄ semi-aquatic and aquatic grasses (such as *P. fasciculatum* and
499 *E. polystachia*, respectively) require high levels of nutrients (Piedade et al., 2010),
500 which may thus explain the high $\delta^{13}\text{C}_{\text{TOC}}$ values accompanied by an increased fluvial
501 input into Maracá Lake. However, during the period of a higher fluvial input, an
502 increased contribution of phytoplankton was also detected in the Maraca Lake. On the
503 other hand, the predominance of C₃-derived OM during the same period as the main

504 source in Comprido Lake indicated that this lake received a large proportion of land-
505 derived OM from the local catchment area.

506

507 *5.4. Paleohydrological changes revealed by the GDGT distribution*

508 Crenarchaeol and branched GDGTs were found in all three lake sediment cores at
509 varying concentrations through time (Fig. 5). The presence of both crenarchaeol and
510 branched GDGTs in the studied lakes is consistent with their presence in the high Andes
511 and lowland Amazon soils (Weijers et al., 2006; Bendle et al., 2010; Huguet et al.,
512 2010; Kim et al., 2012; Zell et al., 2013a) as well as in suspended particulate matter of
513 Amazonian rivers and floodplain lakes in the central Amazon Basin (Zell et al.,
514 2013a,b). In general, the MBT values (representing the degree of methyl branching)
515 were high while the DC values (representing the number of cyclopentane moieties) were
516 low in all the three records (Fig. 8). These distribution patterns were thus similar to
517 those of lowland Amazon soils, but distinctive from those of high Andean soils (Fig.
518 8A). Accordingly, the MBT/CBT-derived MAAT of lake sediment cores was much
519 closer to that of lowland Amazon soils rather than high Andean soils (Fig. 8B).
520 Previously, Kim et al. (2012) showed that branched GDGTs in the suspended
521 particulate matter of Amazonian rivers did not predominantly originate from high
522 Andes soils (>2500 m in altitude). Hence, our results are in a good agreement with the
523 previous finding that the high mountainous Andes are not a major source of branched
524 GDGTs in the Amazon River (Kim et al., 2012). Taken together, the sedimentary
525 branched GDGTs in Santa Ninha, Maracá, and Comprido Lakes were primarily derived
526 from lowland Amazon soils.

527 During the Late Holocene, the increased contribution of crenarchaeol linked to
528 higher lake water levels lowered the BIT index (Fig. 5), suggesting an increase in

529 aquatic-produced crenarchaeol in Santa Ninha and Maracá Lakes. This is consistent
530 with recent studies conducted in the central Amazon Basin (Kim et al., 2012; Zell et al.,
531 2013a,b) which showed that crenarchaeol is indeed being produced *in-situ* in rivers as
532 well as in floodplain lakes and results in decreased BIT values in comparison to those of
533 lowland Amazon soils. During the same period, the MBT values decreased while the
534 DC values increased in comparison to during the Early and Middle Holocene (Fig. 5).
535 Consequently, the reconstructed pH values were generally higher than those from the
536 Early and Middle Holocene whilst the MBT/CBT-derived MAAT remained in a similar
537 range. Interestingly, these distributions of branched GDGTs (Fig. 8) were also quite
538 different from those of lowland Amazon soils, i.e. the fractional abundances of
539 branched GDGT Ib and Iib were substantially higher in Santa Ninha Lake as previously
540 observed in Maracá Lake (Moreira et al., 2013b). The lowland Amazon soil types of the
541 studied sites are classified as ferralsol and Acrisol characterized by low soil pH
542 (Quesada et al., 2009). This indicates that the branched GDGTs deposited in both Santa
543 Ninha and Maracá Lakes during the Late Holocene did not predominantly originate
544 from acidic soils transported from the surrounding terra firme through local black water
545 streams, known locally as igarapés. Accordingly, there was an apparent shift in the
546 source of branched GDGTs from the Early and Middle Holocene to the Late Holocene
547 corresponding to a change in the hydrological regime. Moreira et al. (2013b) previously
548 speculated that a major part of the branched GDGTs in the Maracá Lake during the Late
549 Holocene might be transported by the Amazon River containing branched GDGTs
550 originating from high Andean soils with higher soil pH. Similarly, the Santa Ninha Lake
551 might have received more Andean-originated branched GDGTs during the same period.
552 This hypothesis is seemingly supported by the enhanced proportion of Andean-derived
553 smectite in both Santa Ninha and Maracá Lakes during the Late Holocene (Fig. 5).

554 However, the distributions of branched GDGTs of the Late Holocene lake sediments
555 were different from those of the high Andean soils which have roughly equal amounts
556 of branched GDGT Ia and IIa, and thus low MBT values (Fig. 8). Hence, it appears that
557 branched GDGTs deposited in Santa Ninha and Maracá Lakes during the Late Holocene
558 did not predominantly originate from high Andes soils, and thus the major sources of
559 sediment and branched GDGTs were different during that period. However, we cannot
560 exclude that they might have the sources of the lower montane forest vegetation belt
561 (500–2500 m in altitude) in the Andes. It should be noted that branched GDGTs are also
562 being produced *in-situ* in floodplain lakes and in rivers in the central Amazon Basin
563 (Zell et al., 2013a,b). Hence, alternatively, the aquatic production in rivers might have
564 been at least partly responsible for changing the branched GDGT distribution during the
565 Late Holocene. However, the distribution of branched GDGTs of Santa Ninha and
566 Maracá Lake sediments during the Late Holocene was different from those of the
567 Amazon River suspended particulate matter (SPM) as well, with a higher DC but
568 similar MBT range (Fig. 8). As a result, reconstructed pH and MAAT were higher than
569 those of the Amazon River SPM. This suggests that the distribution of the *in-situ*
570 produced branched GDGTs in floodplain lakes might be different in comparison to that
571 in rivers, although the reasons are yet unknown.

572 In Comprido Lake, the concentrations of branched GDGTs were much higher
573 during the Late Holocene than during the Early and Middle Holocene (Fig. 5).
574 However, the BIT values remained high through time. The MBT and the DC were
575 different in comparison to those from Santa Ninha and Maracá Lakes during the same
576 period (Fig. 8). In Comprido Lake, the MBT and DC values were much closer to those
577 of the lowland Amazon soils and deviated from those of high Andean soils and the
578 Amazon River SPM (Fig. 8). This suggests that in comparison to Santa Ninha and

579 Maracá Lakes, Comprido Lake had an increased supply of branched GDGTs from the
580 local catchment area than by the Amazon River or by the *in-situ* production in the lake
581 itself. This hypothesis can be supported by higher proportion of lowland-derived
582 kaolinite than Andean-derived smectite during the entire Holocene (Fig. 5).

583

584 *5.5. Comparison with other Amazonian paleoclimatic records*

585 The evidences of low influence of the Amazon River on the floodplain lakes in
586 our study sites during the Early and Middle Holocene are in agreement with periods of
587 generally drier climatic conditions recorded in different locations around the Amazonian
588 Basin. During the Early and Middle Holocene, charcoal depositions increased in lakes
589 and soils, savannas were expanded, and lake water levels lowered. These evidences
590 come from various parts of the Amazon Basin based on a large number of multi-proxy
591 lake sediment and soil studies: Northern Amazonia (Saldarriaga and West, 1986;
592 Desjardins et al., 1996; Behling and Hoogmestra, 1999; Turcq et al., 2002), Central
593 Amazonia (Absy, 1979; Soubies, 1979; Behling et al., 2001), Southern and South-
594 western Amazonia (Mayle et al. 2000; De Freitas et al. 2001), Eastern Amazonia
595 (Sifeddine et al. 1994, 1998, 2001; Cordeiro et al., 1997, 2008; Turcq et al. 1998; Irion
596 et al., 2006; Bush et al., 2007; Moreira et al., 2012, 2013a,b), Western Amazonia (Weng
597 et al. 2002,), and Andian regions (Baker et al. 2001; Weng et al. 2006; Hillyer et al.
598 2009). The Early and Middle Holocene dry period was attributed to the weakened
599 monsoon due to a lower summer insolation in the Southern Hemisphere during that
600 period, which replaced the inter tropical convergence zone (ITCZ) more northwards
601 than the Present.

602 Multiple lines of evidences such as the increased amount of smectite, the dilution
603 of TOC, the increased contribution of phytoplankton to the buried OM combined with

604 higher DC and lower MBT values in our study sites indicate higher fluvial input
605 associated with higher Amazon River levels during the Late Holocene. This, in turn
606 suggests a more humid phase during the Late Holocene. A shift to a wetter condition
607 towards the Late Holocene was also recorded in several lowland Amazonian sites
608 (Behling and Hooghiemstra, 1999; Behling and Costa, 2000; Behling et al., 2001;
609 Cordeiro et al., 2008). For example, Behling et al. (2001) observed a decrease in TOC
610 accompanied by a decrease of *Poacea* pollen and increased proportion of várzea/igapo
611 forests in Calado Lake, located in the central Amazon Basin, since 2,080 ¹⁴C year BP.
612 These authors interpreted these records as an evidence of a longer high-stand of water
613 levels of the Amazon River. In the central Amazon Basin, a decrease in the frequency of
614 drought events since 4,200 cal years BP was also observed in Tapajos Lake by Irion et
615 al. (2006). The last millennium was considered to be the period of highest sustained
616 lake water levels over the Amazon Basin (Bush et al., 2007).

617 Although the Santa Ninha, Maracá and Comprido Lake records showed a
618 consistent, overall pattern of wetter climatic conditions from the Early and Middle to
619 Late Holocene, which was consistent with the general hydro-climatic evolution over the
620 Amazon Basin, some discrepancies were also observed during the transitional period
621 from a drier to a wetter condition among the three lake records. Our records showed
622 about a 2,000-year lag in the timing of the increase in the Amazon River inflow between
623 the floodplain lakes. The river inflow was substantially higher in Santa Ninha Lake after
624 5,000 cal years BP, while in Maraca and Comprido Lakes, higher river inflows started
625 at 2,700 and 3,000 cal years BP, respectively. A longer dry phase was thus observed in
626 Maracá and Comprido Lakes reflected by extremely low values of TOC followed by a
627 break in sedimentation during the Early and Middle Holocene (Moreira et al., 2013a,b).
628 However it should be noted that during this period, although evidences of lower lake

629 water levels in Santa Ninha Lake was recorded, some influences of the Amazon River
630 were still detected by the presence of smectite in this lake (Fig. 5). These results suggest
631 that Maraca and Comprido Lakes, during the Early-Middle Holocene, were more
632 isolated from the Amazon River than Santa Ninha Lake. During the Late Holocene,
633 Santa Ninha Lake also presented evidences of more fluvial influence than in the other
634 lakes with highest crenarchaeol concentration and lowest BIT index. Hence, the 2,000-
635 year time lag seems to be a consequence of differences in the connection and distance of
636 the lakes to the Amazon River main channel. Nowadays, the heterogeneous, seasonal
637 patterns in precipitation across the Amazon Basin (Mayle and Power, 2008) and the size
638 and complexity of the Amazon floodplain lakes (Melack and Forsberg, 2001) are
639 subjected to oscillations in inflow of the Amazon River and its tributaries (Richey et al.
640 1989). Similarly, a complex Amazon River system during the Holocene might also be
641 responsible for the differences in the timing of the transition from a drier to a wetter
642 condition among the floodplain lakes.

643

644 **6. CONCLUSIONS**

645 The sources and the depositional processes of the sedimentary OM in Santa
646 Ninha, Maracá, and Comprido Lakes, which are located in the central Amazon Basin
647 and have different characteristics in terms of the lake size and the connectivity to the
648 Amazon River main stem, were investigated in this study. The mineralogical and
649 organic geochemical parameters used were clay mineralogy, TOC content, C:N atomic
650 ratio, $\delta^{13}\text{C}_{\text{TOC}}$, and GDGT concentrations and indices. The Early and Middle Holocene
651 was characterized by a reduced inflow of the Amazon River into the floodplain lakes, as
652 indicated by the low Andean-derived smectite content. It appears that the reduced
653 Amazon River floods during this period caused high TOC accumulations in Santa

654 Nina and Maracá Lakes due to a reduced dilution by lithogenic compounds transported
655 by the Amazon River. At the same time, sedimentary OM was predominantly derived
656 from C₃ plants and was associated with acid soil OM transported by local black water
657 streams (igarapés) to the lakes. The increase in the Amazon River influence during the
658 Late Holocene was revealed by higher Andean-derived smectite content at the expense
659 of lowland-derived kaolinite. During this period, the TOC contents decreased due to the
660 enhanced dilution by lithogenic compounds and the contribution of aquatic-produced
661 OM to sedimentary OM pool was increased in Santa Nina and Maracá Lakes. The
662 impact of hydrological variations in the Amazon River on Comprido Lake was different
663 since this lake was connected to Maracá Lake and thus indirectly influenced by the
664 Amazon River. In contrast to the Santa Nina and Maracá Lakes, the TOC contents
665 increased during the Late Holocene due to the better preservation of the soil OM
666 associated with the increased lake water level. This was attested by the predominance of
667 lowland-derived kaolinite. The sedimentary OM in Comprido Lake was characterized
668 by C₃-derived plants mainly delivered from acidic soils of the local catchment area
669 rather than by the Amazon River. Accordingly, this study showed that the Amazon
670 River hydrological changes controlled the sources and the depositional processes of
671 sedimentary OM in the floodplain lakes in different ways depending on how the lakes
672 were connected to the Amazon River main stem.

673

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691

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986

987

988 **Table legend**

989

990 [Table 1](#). The summary of AMS ^{14}C data of the sediment cores investigated according to
991 [Moreira et al. \(2012, 2013a,b\)](#). [Note that the AMS \$^{14}\text{C}\$ data were newly calibrated using](#)
992 [the calibration curve of SHcal13 \(Hogg et al., 2013\)](#).

993

994

995 **Figure captions**

996

997 [Fig. 1](#). A) A general map of the study area in the central Amazon Basin, B) [Várzea do](#)
998 [Lago Grande de Curuai with the TA14 sediment core site in the Santa Ninha Lake, and](#)
999 [C\) MAR2 and COM1 sediment core sites in Maracá and Comprido Lakes.](#)

1000

1001 [Fig. 2](#). Chemical structures of branched GDGTs and crenarchaeol considered in this
1002 study.

1003

1004 [Fig. 3](#). Age–depth models for TA14, MAR2 and COM1 sediment cores, constructed
1005 based on the linear interpolation using the software Bacon ([Blaauw and Christen, 2011](#)).

1006

1007 [Fig. 4](#). Lithological description of the TA14, MAR2 and COM1 sediment cores. Note
1008 that the TA14 and MAR2 cores were collected in the lakes directly connected to the
1009 Amazon River, while the COM1 core site was indirectly connection to the Amazon
1010 River. The grey bars indicate Unit II and the non-grey bare fields correspond to Unit I.

1011

1012 Fig. 5. TOC (wt. %), crenarchaeol ($\mu\text{g g}_{\text{TOC}}^{-1}$), summed branched GDGTs (i.e. the sum
1013 of the main branched GDGT Ia, IIa, and IIIa) ($\mu\text{g g}_{\text{TOC}}^{-1}$), the BIT index, and clay
1014 fraction (%) for A) TA14, B) MAR2, and C) COM1 sediment cores.

1015

1016 Fig. 6. Scatter plot of $\delta^{13}\text{C}_{\text{TOC}}$ (‰ VPDB) and C:N atomic ratio of the cores TA14,
1017 MAR2, and COM1. The boundaries of major OM sources are adapted from Kim et al.
1018 (2012).

1019

1020 Fig. 7. The methylation index of branched tetraethers (MBT), the degree of cyclization
1021 (DC), reconstructed pH, and MBT/CBT-derived MAAT for A) TA14, B) MAR2, and
1022 C) COM1 sediment cores.

1023

1024 Fig. 8. Scatter plot of A) the methylation index of branched tetraethers (MBT) versus
1025 the degree of cyclization (DC) and B) reconstructed pH vs reconstructed MAAT using
1026 the regional calibrations (Bendle et al., 2010) for comparison of branched GDGT
1027 distributions of TA14, MAR2, and COM1 cores with those of Amazon soils (Kim et al.,
1028 2012; Zell et al., 2013a) and Amazon River SPM (Zell et al., 2013b).

1029

1030

1031 Table 1.

1032

Sediment core	Depth (cm)	Lab internal number	¹⁴ C years BP ± analytical error	Calibrated ages (cal years BP, 2 sigma)	Mean calibrated age (cal years BP)
TA14	24	SacA3265	525 ± 69	344-663	530
	30	SacA5575	590 ± 30	514-629	545
	34	SacA3266	2313 ± 81	2049-2678	2300
	57	SacA5576	3335 ± 50	3395-3639	3560
	69	SacA5577	3000 ± 30	2994-3228	3100
	144	SacA8753	3920 ± 30	4159-4417	4350
	150	SacA8754	4525 ± 35	4975-5298	5200
	159	SacA3267	4354 ± 30	4832-4968	4850
	184	SacA3268	4430 ± 30	4856-5211	4950
	186	SacA3269	4455 ± 92	4842-5301	5000
	198	SacA3270	4510 ± 94	4853-5436	5200
	224	SacA3271	4588 ± 73	4964-5465	5300
	257	SacA3272	4549 ± 81	4872-5443	5260
268	SacA5579	4900 ± 30	5482-5657	5600	
MAR2	22	SacA 10680	260 ± 30	150-320	300
	37	SacA 10681	1980 ± 30	1823-1995	1880
	53	SacA 10682	1850 ± 30	1618-1827	1720
	62	SacA 21011	2080 ± 30	1924-2086	2000
	66	SacA 25868	1980 ± 35	1755-1997	1880
	75	SacA 21012	3065 ± 30	3078-3345	3300
	83	SacA 10683	3395 ± 30	3479-3690	3600
COM1	2	SacA25863	225 ± 30	0-300	150
	19	SacA10669	345 ± 30	305-455	325
	43	SacA10670	1005 ± 30	797-930	900
	69	SacA10671	1865 ± 30	1632-1833	1720
	92	SacA10672	2945 ± 30	2929-3163	3000
	94	SacA24996	7050 ± 45	7718-7943	7850
	120	SacA10673	9000 ± 30	9920-10224	10200

1033

Fig. 1

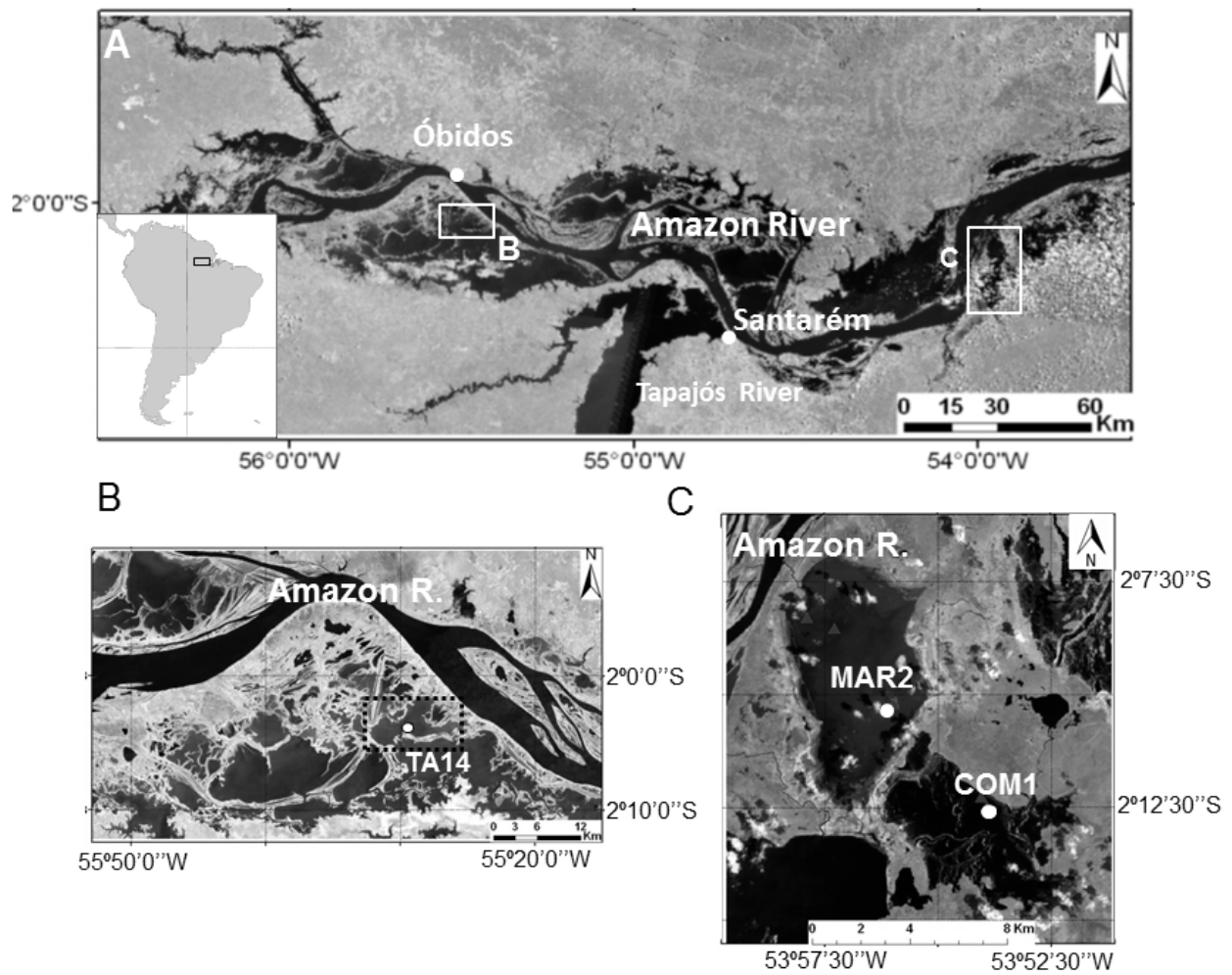


Fig. 2

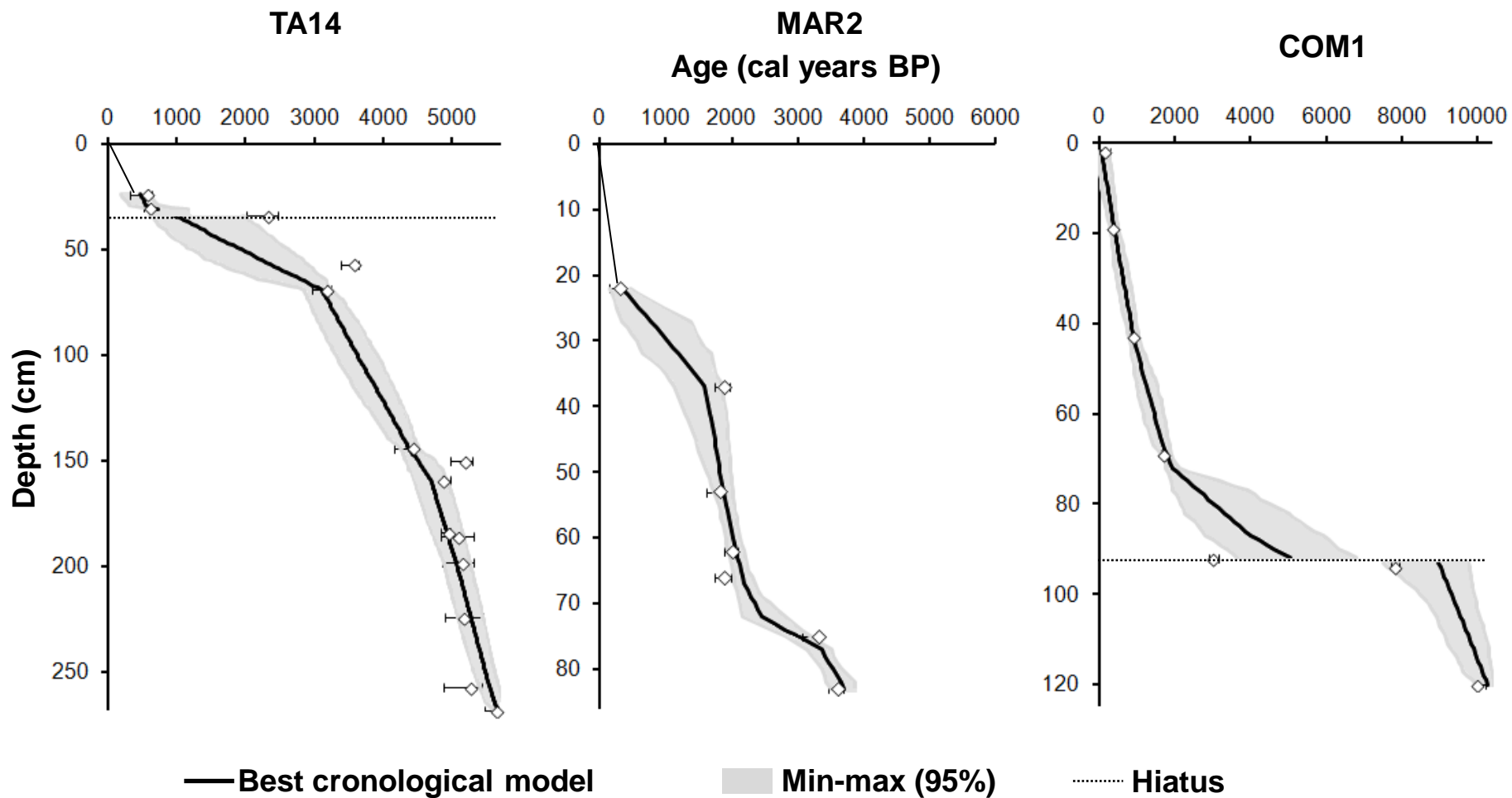


Fig. 3

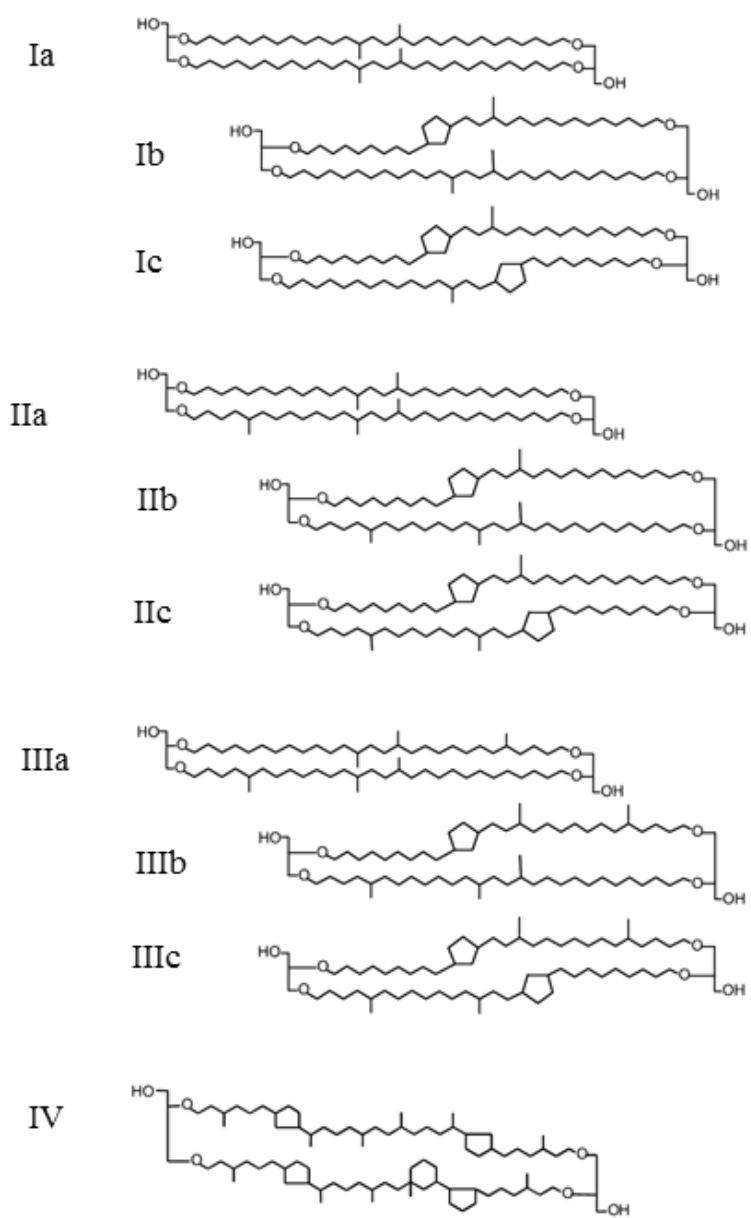


Fig. 4

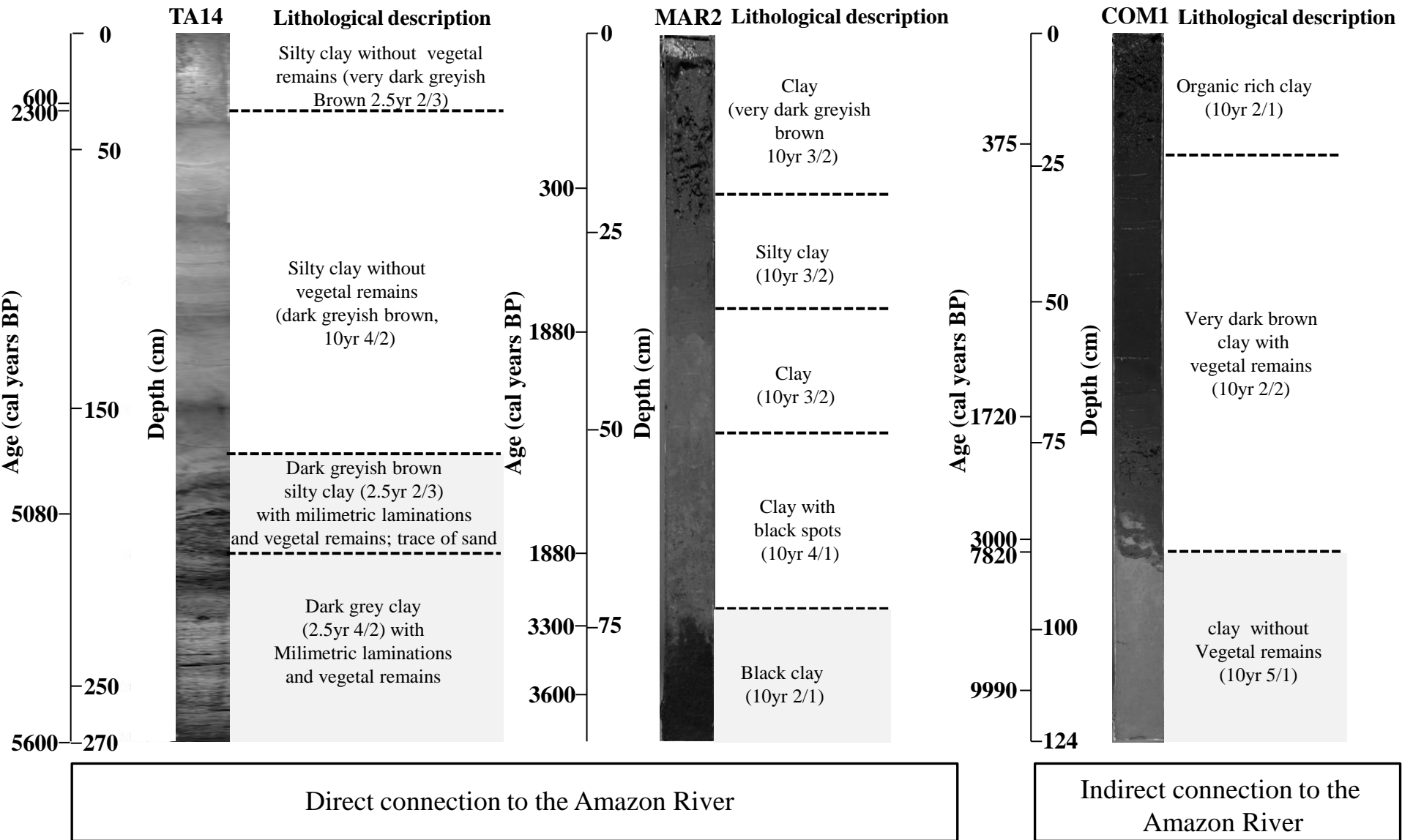


Fig. 5

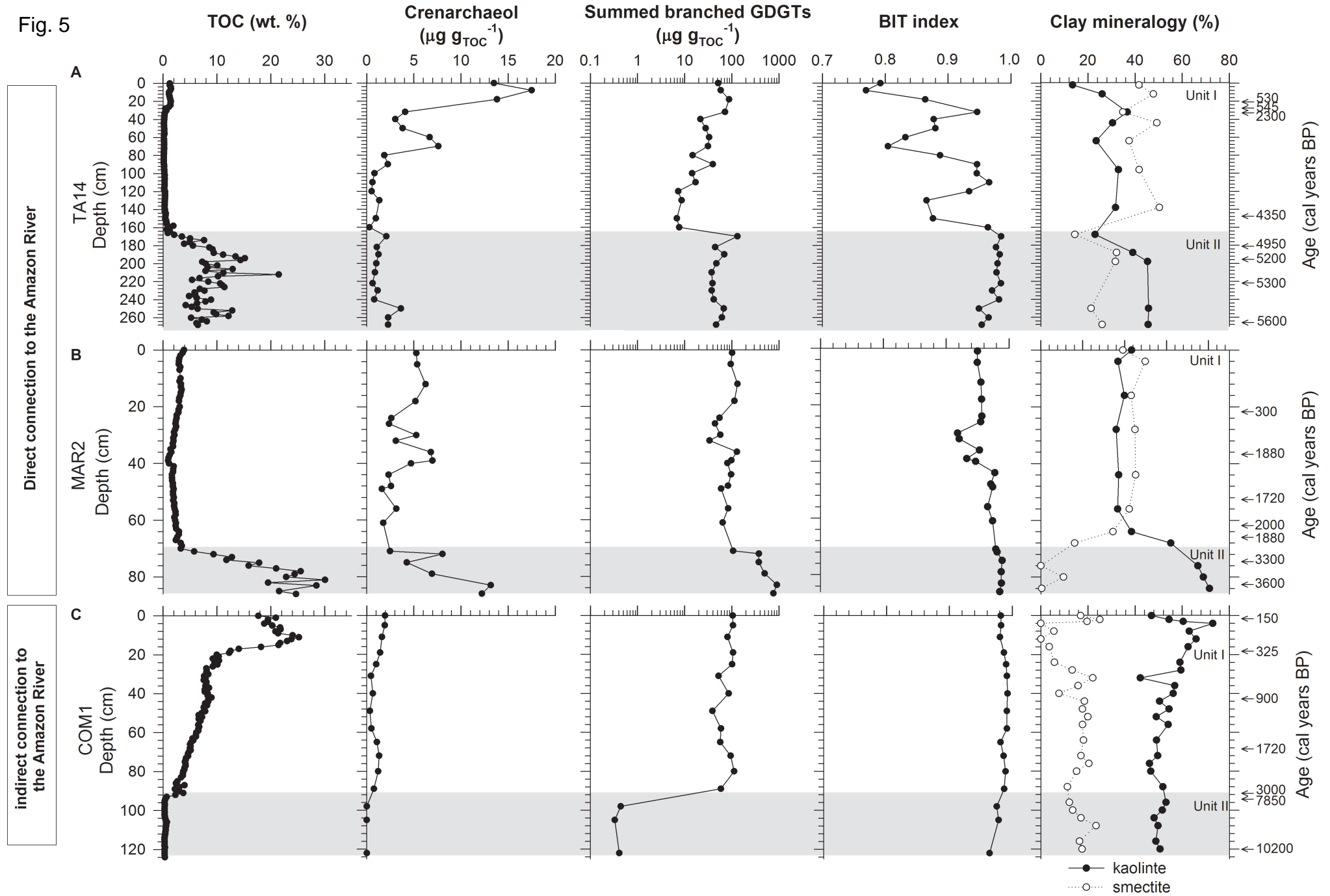
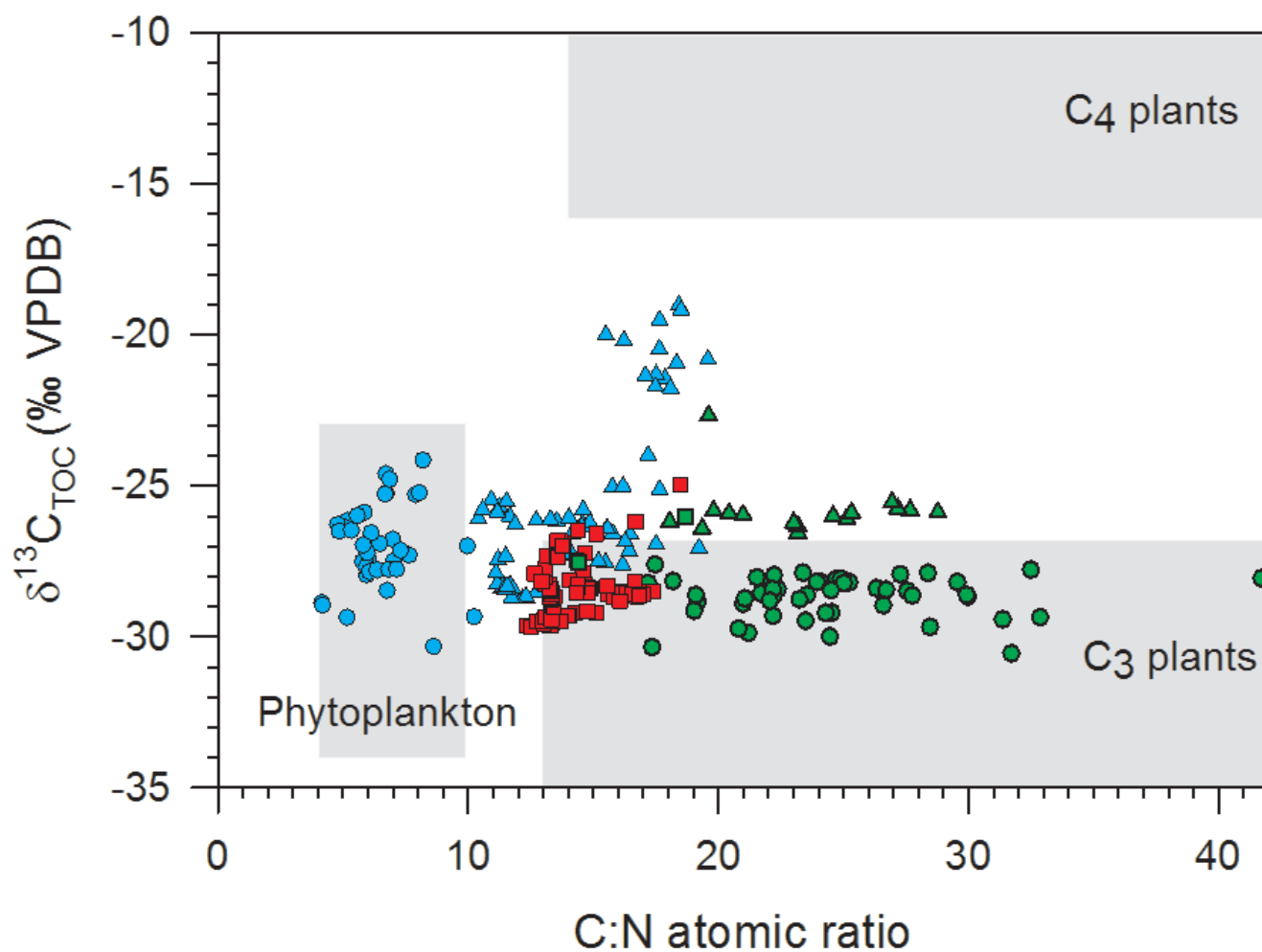


Fig. 6



- TA14 (High Amazon River influence)
- TA14 (Low Amazon River influence)
- ▲ MAR2 (High Amazon River influence)
- ▲ MAR2 (Low Amazon River influence)
- COM1 (High Amazon River influence)
- COM1 (Low Amazon River influence)

Fig. 7

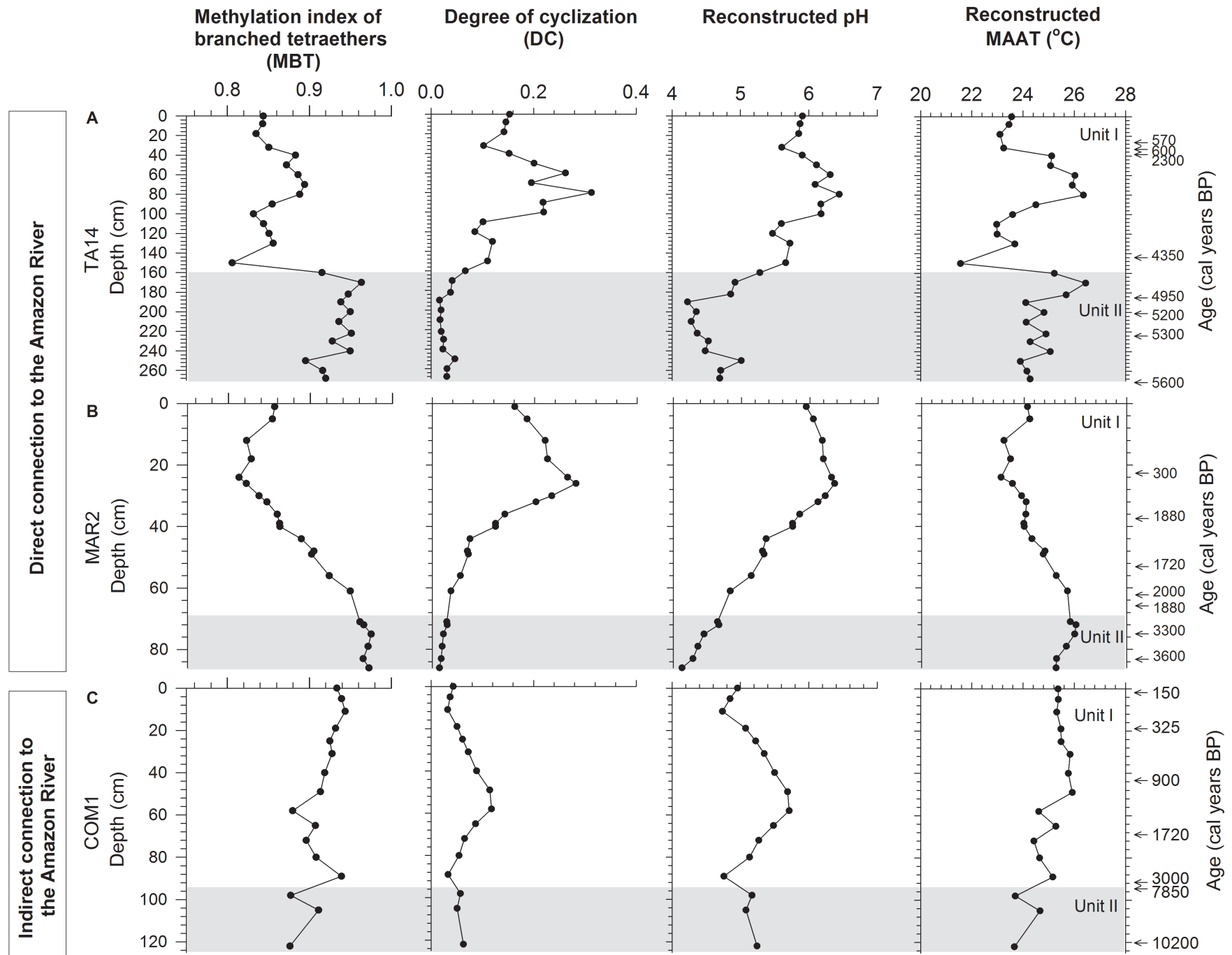
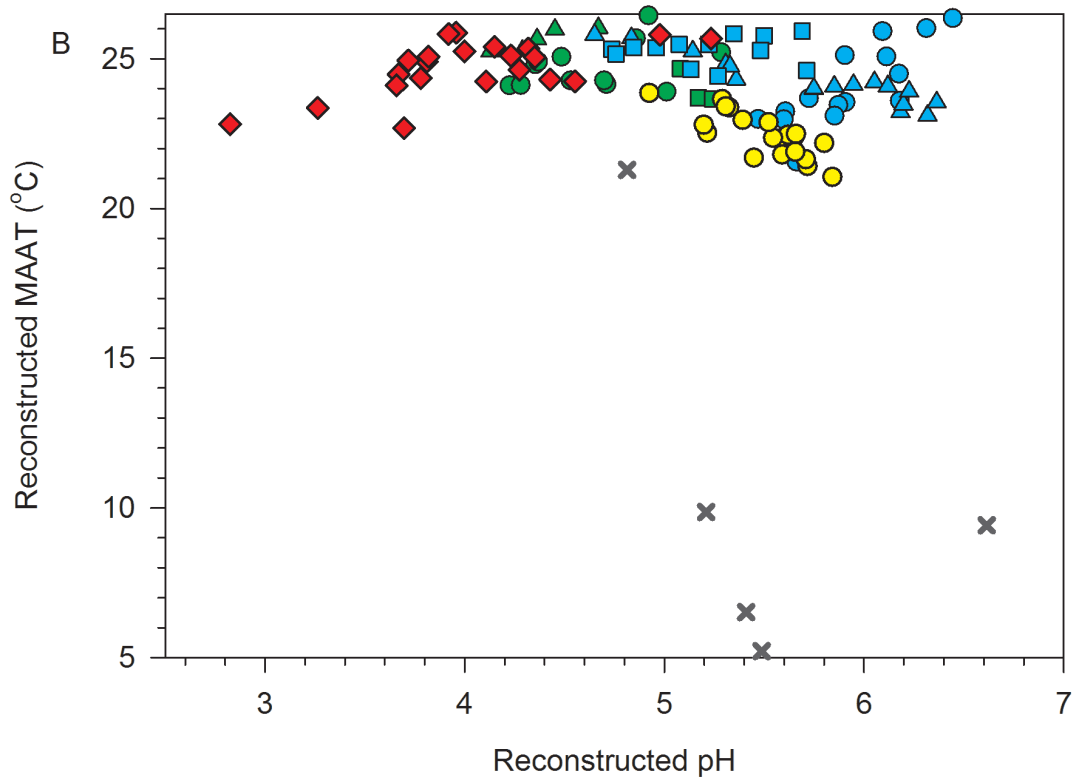
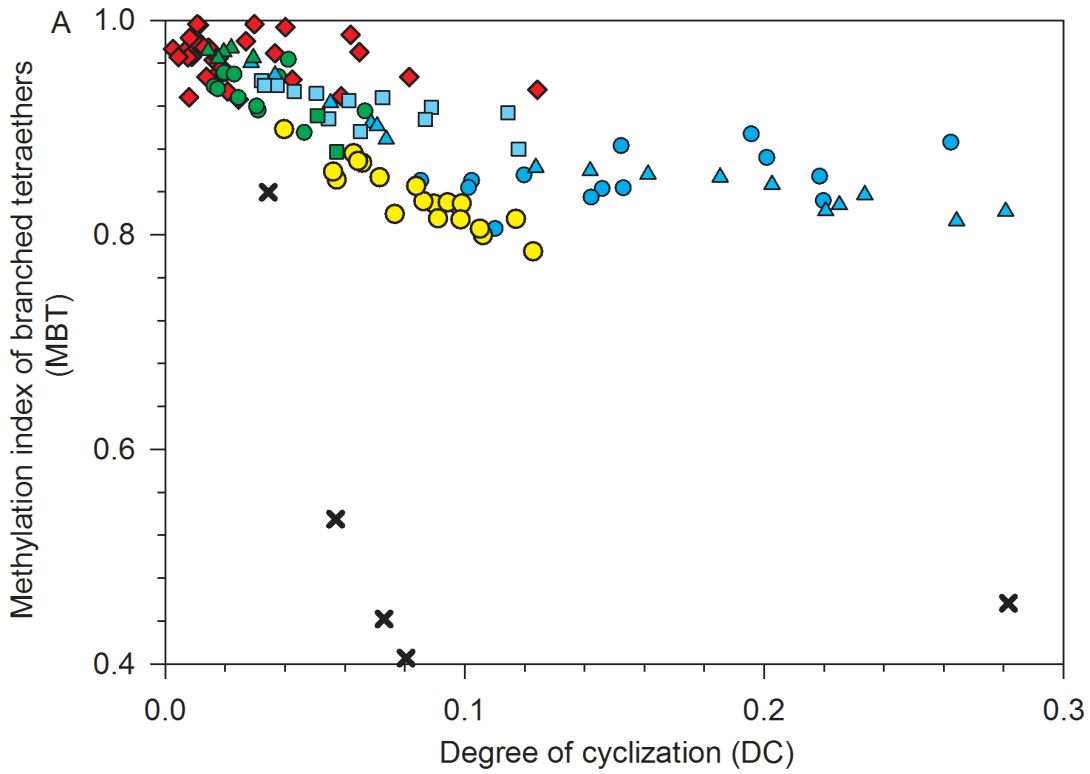


Fig. 8



- ◆ Lowland Amazon soils (<500 m in altitude)
- ✕ High Andean soils (>2000 m in altitude)
- TA14 (High Amazon River influence)
- TA14 (Low Amazon River influence)
- ▲ MAR2 (High Amazon River influence)
- ▲ MAR2 (Low Amazon River influence)
- COM1 (High Amazon River influence)
- COM1 (Low Amazon River influence)
- Amazon River suspended particulate matter