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# Comparing marine primary production estimates through different methods and development of conversion equations

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Numerous studies have compared the rates of primary production using various techniques at specific locations and times. However, these comparisons are local and cannot be used to compare or scale rates of primary production using different methods across ocean basins or seasonal time scales. Here, we quantify the range in rates of primary production derived using different techniques and provide equations that allow conversions of estimates between different methods. We do so on the basis of a compilation of data on volumetric estimates of primary production rates concurrently estimated with at least two different methods. We observed that the comparison of estimates of marine phytoplankton primary production derived from different methods reveals very large variations between methods. The highest primary production estimates are derived using the <sup>18</sup>O method, which may provide the best and more generally applicable estimate of gross primary production (GPP). The regression equations presented in this work provide the best available approach to convert data across methods and therefore integrate and synthesize available and future data derived using different methods.

**Keywords:** marine, phytoplankton, GPP, methods, conversion

## INTRODUCTION

Plankton photosynthesis, responsible for about half of the primary production in the biosphere (Field et al., 1998), is a fundamental process at the global and the ecosystem scale. At the global scale, phytoplankton primary production affects oxygen and CO<sub>2</sub> fluxes, constraining gas exchange with the atmosphere and thus the gaseous composition of the atmosphere. Furthermore, phytoplankton primary production is the main source of organic matter fueling marine food webs (Duarte et al., 1999). The measurement of phytoplankton primary production is so a fundamental property of the ocean ecosystem, receiving considerable effort that has resulted in several million estimates available to-date (del Giorgio and Williams, 2005).

Photosynthetic rates of marine phytoplankton were first measured using the oxygen evolution method in phytoplankton communities in the Oslo Fjord by Gaarder and Gran (1927). This method originally suffered from poor resolution, being unable to resolve the low primary production rates in the less productive regions of the ocean (Truesdale et al., 1955; Mortimer, 1956; Richards and Corwin, 1956). These limitations were resolved with the development of high-precision Winkler analyses using automatic titrators and end-point detection of the Winkler reaction (Carpenter, 1965; Carrit and Carpenter, 1966), which allow low primary production rates to be resolved. However, the <sup>14</sup>C method was developed before improved oxygen-based techniques

were available (Steeman Nielsen, 1952) and rapidly became the standard for the oceanographic community, used to calibrate remote sensing algorithms, despite recurrent caveats as to what exactly the <sup>14</sup>C incorporation method measures (Dring and Jewson, 1982; Behrenfeld and Falkowski, 1997a; Banse, 2002). Since then, other approaches have been derived, such as the use of tracer methods based on stable isotope additions, such as <sup>13</sup>C (Slawyk et al., 1977) and <sup>18</sup>O (Bender et al., 1987), as well as a suite of incubation-free techniques, including the use of active fluorescence, FRRF (fast repetition rate fluorometry) (Kolber and Falkowski, 1993), the non-intrusive bio-optical (OPT) method (Loisel and Morel, 1998; Claustre et al., 1999), the triple oxygen isotopes method (Luz and Barkan, 2000) or the analysis of oxygen records from buoys and gliders (Nicholson et al., 2008). These methods differ, however, in assumptions and the particular process through which primary production is represented and thus yield different results when applied to any one community (Marra, 2002). Indeed, resolving estimates derived from different methods can be a challenging exercise and the differences in estimates among various methods continue to fuel discussion (Quay et al., 2010; Marra, 2012). Awareness of the fundamental differences in the specific component of primary production addressed by the different methods to assess phytoplankton primary production has generated a demand to derive conversion equations allowing rates derived with different methods to be compared and

eventually integrated. An approach to do so is the development of equations using available concurrent, paired measurements of primary production using two or more techniques (Marra, 2002).

Whereas the majority of published studies evaluate the primary production of planktonic community using a single method, a number of reports using two or more techniques have now become available. Different approaches to measure the volumetric primary production have been compared in a series of papers examining the rates delivered by different methods for specific locations, cruises or sampling events (Williams et al., 1996; Marra, 2002; Corno et al., 2005; Juranek and Quay, 2005; Gazeau et al., 2007; González et al., 2008; Robinson et al., 2009). Most of these comparisons revealed differences among methods, varying in magnitude, attributable to differences in the specific components of primary production addressed by each method as well as to their inherent assumptions. However, these comparisons have been limited in scope to date and cannot be used with confidence to allow interconversions across estimates derived with methods. A set of different equations allowing comparisons among primary production estimates derived with different methods would allow the estimation of, for instance, gross primary production (GPP) from satellite-based primary production estimates, which are currently calibrated against the particulate  $^{14}\text{C}$  primary production method. This difficulty is not minor, as current estimates of primary production in the global ocean do not necessarily represent either gross or net primary production and cannot be readily reconciled with estimates of terrestrial primary production into estimates of global photosynthesis, introducing uncertainty into our understanding of global carbon and oxygen budgets.

Here we compare estimates of volumetric rates of marine phytoplankton primary production derived concurrently using different methods and describe the scaling among different metrics of phytoplanktonic primary production, providing equations that allow conversion of estimates among different methods. We do so based on a compilation of data on volumetric estimates of primary production rates concurrently estimated with at least two different methods, thereby allowing the comparison among the derived rates. We first provide a summary of the major assumptions, strengths and weaknesses for the various methods, and then compare concurrent estimates derived from different methods to derive conversion equations.

## MATERIALS AND METHODS

We searched the published literature for volumetric estimates of primary production of natural marine plankton communities produced using at least two different methods applied concurrently. The  $\text{O}_2:\text{Ar}$  method evaluates the net primary production while in this study, we only use GPP of plankton communities. Although the  $^{14}\text{C}$  method estimates values of primary production closer to net primary production than GPP, we considered it essential to include those data to our study due to its widespread use by the scientific community. Most *in situ* methods (i.e., non-intrusive bio-optical (OPT) method, the triple oxygen isotopes method, and estimates derived from the analysis of oxygen records obtained by gliders surveys or buoys) yield estimates of phytoplanktonic primary production integrating across the mixed layer and variable time scales and were thus not included

here as they have only occasionally paired with estimates derived from other methods, therefore precluding quantitative comparisons among estimates derived from these and other methods. The FRRF method is the only *in situ* method that allows for volumetric estimates to be derived and has been paired with other methods, thereby allowing comparisons and is therefore included in the evaluation presented here.

In this paper, we present and compare the following methods: the oxygen evolution in dark and light incubations, the FRRF method and methods based in tracer additions ( $^{18}\text{O}$ ,  $^{14}\text{C}$ , and  $^{13}\text{C}$ ).

## AN OVERVIEW OF METHODS TO MEASURE MARINE PHYTOPLANKTONIC PRIMARY PRODUCTION

During photosynthesis, carbohydrates are synthesized and  $\text{O}_2$  produced from  $\text{CO}_2$  and  $\text{H}_2\text{O}$ , respectively, using solar radiation as the energy source. Approaches to measure primary production include *in vitro* methods based on either oxygen production or inorganic carbon incorporation and methods based on the analysis of oxygen and gas field *in situ*. *In vitro* oxygen-based estimates of primary production involve the evaluation of the release of oxygen during photosynthesis from change in the bulk  $\text{O}_2$  concentration, corrected for respiratory  $\text{O}_2$  consumption of communities enclosed in bottles (i.e., dark-light method), or that of  $^{18}\text{O}_2$  when  $^{18}\text{O}$ -labeled  $\text{H}_2\text{O}$  was added (i.e.,  $^{18}\text{O}$  method). *In vitro* carbon-based estimates of primary production use tracer additions as  $^{14}\text{C}$  or  $^{13}\text{C}$  labeled bicarbonate followed by the measurement of the assimilation of the tracer onto organic carbon (particulate or total) following incubation of communities enclosed in bottles for a variable time in the light (i.e.,  $^{14}\text{C}$  and  $^{13}\text{C}$  methods). *In situ* methods involve the evaluation of oxygen fields retrieved from time series of oxygen derived from moored sensors (Dickey, 1991) or sensors mounted in gliders (Nicholson et al., 2008), corrected for atmospheric exchange, or the synoptic evaluation of triple oxygen isotopes ( $^{16}\text{O}_2$ ,  $^{17}\text{O}_2$ ,  $^{18}\text{O}_2$ ) to extract GPP from the anomalies relative to the values expected from atmospheric equilibrium.

The *in vitro* methods are prone to errors due to the confinement which may affect the organisms involved (e.g., excluding zooplankton or enhancing trophic interactions within the bottle) or the environmental conditions (e.g., temperature, light and nutrient fields), which are not affected by the *in situ* methods (Maske and Garcia-Mendoza, 1994; Karl et al., 1998; Robinson and Williams, 2005; Duarte et al., 2013; Williams et al., 2013). For instance, modifications of the light field (Kirk, 1994) are observed as the incubations have been conducted with borosilicate bottles, a material that excludes UVB radiation. Indeed, recent analyses comparing estimates derived using quartz and glass bottles have shown that estimates of net community production are affected by the removal of the ambient UVB radiation when glass or plastic materials that filter out UV-B radiation are used, as has been the case for most analyses (Godoy et al., 2012; Agustí et al., 2014; Regaudie-de-Gioux et al., 2014). Furthermore, it is rare for *in vitro* incubations to be carried out at the correct corresponding environmental temperature. Considering that temperature is a fundamental parameter for respiration rates, the oxygen-based primary production may be over- or underestimated if

the incubation temperatures differs from that *in situ*. Moreover, incubation periods for *in vitro* methods range from a few hours (0.5–6 h) for  $^{14}\text{C}$  or  $^{13}\text{C}$  methods to 24 h for the dark-light method. The different incubation time may bias the estimation of PP and the comparison between the different *in vitro* methods. In contrast, *in situ* methods are very sensitive to assumptions regarding mixing within the mixed layer and exchanges of oxygen with the atmosphere and the waters below the mixed layer (Duarte et al., 2013).

In this study, we compared estimates of volumetric primary production rates and considered therefore only estimates derived from the dark-light method, the FRRF method and methods based in tracer additions ( $^{18}\text{O}$ ,  $^{14}\text{C}$ , and  $^{13}\text{C}$ ).

The  $^{14}\text{C}$ -labeled method (Steeman Nielsen, 1952) consists of measuring the photosynthetic incorporation of  $^{14}\text{C}$  labeled inorganic C, added as a  $\text{NaH}^{14}\text{CO}_3$  solution, into particulate and total pools of organic carbon. Whereas the bulk of the measurements available (>90%) report the  $^{14}\text{C}$  incorporated into particles retained in filters, as originally proposed Steeman Nielsen (1952), the technique also allows the measurement of total organic carbon (TOC) production [i.e.,  $^{14}\text{C}$  incorporated into the dissolved organic carbon (DOC), and the particulate organic carbon (POC)], from measurements of the  $^{14}\text{C}$  activity in the water sample following removal of the  $\text{NaH}^{14}\text{CO}_3$  by purging the sample after acidification. The use of the  $^{14}\text{C}$ -labeled method to resolve total organic carbon (TOC) production requires use of high  $\text{NaH}^{14}\text{CO}_3$  activity in the sample as to yield a sufficient signal in the water tested. This method has the advantage of allowing to differentiate photosynthetic carbon retained into particulate and dissolved (as total - particulate production) fractions, and allows precise estimates to be derived over short time intervals (Table 1). Furthermore, the high sensitivity of the  $^{14}\text{C}$ -labeled method allows the determination of the photosynthesis production in unproductive oceans (Ichimura et al., 1962). However, it is also subject to bottle effects and the underestimation of primary production as it does not include any organic carbon produced that has been respired by the plankton community during the incubation (Table 1). Furthermore, the question of whether the  $^{14}\text{C}$  method measures net or gross photosynthesis has been addressed several times in the literature (Ryther, 1954, 1956a; Steemann Nielsen and Al Kholi's, 1956; Peterson, 1980; Dring and Jewson, 1982). The incubation period and nutrient availability may bias the PP estimation. Indeed, Rodhe (1958) and Vollenweider (1969) compared the summation of a series of short 4 h incubations to one long  $^{14}\text{C}$  incubation. They reported that the sum of five 4 h incubation exceeded the results of a 20 h incubation by 9–35% depending on depth. They both recommended using short incubations. As described by Morán and Estrada (2002) and other authors (Steeman Nielsen, 1952; Marra, 2002; Halsey et al., 2010; Lasternas and Agustí, 2013) a short incubation period should be selected when measuring DOC produced to match a compromise between the times needed to obtain a significant signal in the PP phase, but at the same time, minimize the loss of  $^{14}\text{C}$ -labeled DOC due to assimilation by heterotrophic prokaryotes. Short-time incubations are recommended to optimize the measurements and minimize the contribution of trophic-related processes to DOC

production. Health concerns about the use of  $^{14}\text{C}$ , international regulation and the potential of contamination on ships may be a real issue for the ongoing use of this method. Indeed, radioisotope legislation in some countries restricts (e.g., Spain) or prohibits altogether (e.g., Japan) use of  $^{14}\text{C}$  on research vessels.

The  $^{13}\text{C}$ -method has also been used to evaluate particulate organic carbon production (Slawyk et al., 1977). This method is similar to the  $^{14}\text{C}$ -labeled method except that bicarbonate is labeled with  $^{13}\text{C}$  rather than  $^{14}\text{C}$ . The main advantages compared to the  $^{14}\text{C}$ -labeled method are that the  $^{13}\text{C}$ -method allows primary production incorporated by different components of the food web to be resolved using compound-specific analyses (Boschker and Middelburg, 2002), and that  $^{13}\text{C}$  is a natural stable carbon isotope, which does not involve any risks to the operator.

The dark-light (or bulk oxygen evolution) method has been used to assess primary production for nearly one century (Gaarder and Gran, 1927). The dark-light method consists of the evaluation of changes in oxygen concentration using high-precision Winkler method, allowing 0.1% precision in oxygen determinations (Carpenter, 1965; Carrit and Carpenter, 1966), following the incubation, typically for 24 h, of natural plankton communities enclosed in clear and dark bottles. Primary production is calculated as the sum of the rate of change in oxygen concentration in clear bottles, the net community production, and that in dark bottles, the dark respiration. This estimate, which is calculated rather than derived directly, is used as a metric of GPP, defined as the total photosynthetic oxygen production prior to any losses, but relies on the assumption that respiration in the dark does not differ from that in the light (Table 1). Indeed, Grande et al. (1989) showed that natural populations show equal respiration rates during day and night. Furthermore, Marra and Barber (2004) assumed that virtually all  $\text{CO}_2$  respired during the day is re-fixed during the photosynthesis and concluded that twice the dark loss of carbon equals the 24 h rate of phytoplankton respiration. However, there is evidence that respiratory losses are often enhanced in the light (Harris and Lott, 1973), so that the dark-light method is likely to underestimate GPP.

The  $^{18}\text{O}$  method measures the GPP using the stable isotope  $^{18}\text{O}$  as a tracer of molecular oxygen production through photosynthesis (Bender et al., 1987). The sample water is enriched in  $^{18}\text{O}$  derived by the photosynthetic release of  $^{18}\text{O}$  from added  $\text{H}_2^{18}\text{O}$  (Table 1), and thus provides an estimate of GPP free of assumptions on the effect of light on respiration, but still subject to the potential bottle effects indicated above (Table 1). The error in GPP estimates derived from this method is considered lower than 2% (Bender et al., 1999). One advantage of this method is that considering the daily turnover time of phytoplankton, recycling of labeled  $\text{O}_2$  will be weak (2%) during 24 h in comparison with that of labeled  $\text{PO}^{14}\text{C}$ , which can be very large (Bender et al., 1999). A second advantage of the  $^{18}\text{O}$  method is that it can measure directly the gross photosynthetic  $\text{O}_2$  production in comparison with the dark-light or  $^{14}\text{C}$  method that measure the NCP and CR or TOC and POC production, respectively. Lastly, there are no health hazards associated with using  $^{18}\text{O}$  because it is a stable isotope. One disadvantage of this method is that gross oxygen production determined by the  $^{18}\text{O}$  method evaluates total oxygen production and it is unclear if this is directly linked to

**Table 1 | Summary of the main characteristics, advantages, and limitations of different approaches measuring volumetric phytoplanktonic primary production.**

Reference	Method	Definition	Measurement	Advantages	Disadvantages
Steeman Nielsen (1952)	$^{14}\text{C}$ method	Photosynthetic incorporation of organic carbon into particulate and dissolved fraction	Dissolved Organic Carbon (DOC) Particulate Organic Carbon (POC) Total Organic Carbon (TOC)	Method easy to use Differentiate particulate and dissolved fractions High sensitivity allowing its use in low productive oceans Estimates over short time intervals	Proned to bottle effect Misses remineralized production Filtration effect Safety concerns due to radioactive hazards Issues with interpretation of dark controls
Carpenter (1965)	Dark-light method	Analysis for dissolved oxygen changes over 24 h	Net Community Production (NCP) Community Respiration (CR)	Calculate Gross Primary Production (GPP = NCP + CR) Method easy to use High sensitivity allowing its use in low productive oceans	Proned to bottle effect Assumes dark respiration = light respiration
Slawyk et al. (1977)	$^{13}\text{C}$ method	Photosynthetic incorporation of organic carbon into particulate and dissolved fraction	Particulate Organic Carbon (POC)	Method easy to use Estimates over short time intervals Avoids hazards due to use of radioisotope	Proned to bottle effect Misses remineralized production
Bender et al. (1987)	$^{18}\text{O}$ method	Photosynthetic release of $^{18}\text{O}$ from $\text{H}_2^{18}\text{O}$ during daytime	Gross Primary Production (GPP)	Direct measurement of GPP Allows calculation of respiration in the light if use in conjunction with dark-light method	Proned to bottle effect
Kolber and Falkowski (1993)	FRRF method	Photosynthetic production from active fluorescence	Gross Primary Production (GPP)	Instantaneous depth and time measurement Measurement <i>in situ</i>	Measurement biased by CDOM Uncertainty introduced by parameters Instantaneous rates difficult scale to day or longer

carbon assimilation (Bender et al., 1999; Robinson et al., 2009). Indeed, four different metabolic pathways mainly involve oxygen consumption in the light, respiration through the cytochrome oxidase pathway, respiration by the alternative oxidase pathway, photorespiration and the Mehler reaction (Robinson et al., 2009). Although the GPP measured by the  $^{18}\text{O}$  method could be corrected by a factor of 20% for the Mehler reaction and photorespiration, it is expected that the GPP would be overestimated by a factor up to 20–50% (Laws et al., 2000; Hendricks et al., 2004) when it is converted into carbon units using a photosynthetic quotient. The  $^{18}\text{O}$  method is superior in assessing GPP to all other *in vitro* methods (Marra, 2002), but it does account for a small fraction of all *in vitro* measurements available.

The FRRF method measures phytoplanktonic production from active chlorophyll fluorescence (Kolber and Falkowski, 1993). This method evaluates the instantaneous depth and time dependent value of primary production. Indeed, it resolves

primary production at spatial (<1 m) and temporal (~1 s) resolutions that cannot be achieved by *in vitro* approaches (Robinson et al., 2009). The FRRF method has the potential to quantify rapid changes in productivity and make instantaneous measurements of certain physiological parameters (Sakshaug et al., 1997). It also provides a better signal-to-noise ratio and allows more robust measurements in oligotrophic ecosystems. However, assumptions and uncertainties have been reported in the FRRF method (Suggett et al., 2001, 2004; Moore et al., 2003). The maximum light utilization efficiency ( $\alpha^*$ ) can be overestimated by the FRRF method due to the decoupling of the electron transport rate (ETR) by the cyclic electron flow around the photosystem II (PSII) (Falkowski et al., 1986; Prášil et al., 1996), photorespiration (Raven and Johnston, 1991) and the Mehler reaction (Kana, 1992). Furthermore, Suggett et al. (2001, 2004) reported that uncertainties remain in the estimation of the photosynthetic unit size of the photosystem II ( $\text{PSU}_{\text{RCII}}$ ), in the

assumption of equal distribution of excitation energy between RCI and RCII within the wavelength of the FRRF light source, in the evaluation of the fraction of photochemically active RCII (from  $1.8/(F_v/F_a)$ ), in the measurements of the absorption of light by photosynthetic pigments, and in the use of the assumed values of the ratio of PSII reaction centers to the chlorophyll a concentration ( $n_{PSII}$ ) for prokaryotes and eukaryotes. The FRRF method may be prone to errors when the measured sample contains Colored Dissolved Organic Matter (CDOM) that can affect the spectral absorption and so the accurate *in situ* measurement of active fluorescence. In addition, the quantification of primary production in terms of carbon involves significant uncertainties as the use of appropriate controls remains a challenge and the method is prone to a number of sources of bias (Laney, 2003).

### COMPARING ACROSS PRIMARY PRODUCTION ESTIMATES

Our search of the literature yielded 19 studies measuring primary production concurrently using  $^{14}\text{C}$  and dark-light methods (Table 2) including 188 different stations and 692 individual primary production estimates. Twelve studies measured primary production concurrently using  $^{14}\text{C}$  and  $^{18}\text{O}$  methods including 65 different stations and 367 individual production estimates (Table 2). Six studies reported estimates derived using both the  $^{18}\text{O}$  and dark-light method including 45 stations and 232 individual rate estimates. Two reports determined the primary production using FRRF method with  $^{14}\text{C}$  (4 stations and 70 individual estimates) and only one with dark-light and  $^{18}\text{O}$  methods concurrently including 3 stations and 15 individual production estimates (Table 2). Finally, only one report presented primary production measured concurrently by  $^{14}\text{C}$  and  $^{13}\text{C}$  methods including 198 primary production estimates (Table 2).

The compiled  $^{14}\text{C}$  primary production data ( $^{14}\text{C}$ -TOC,  $^{14}\text{C}$ -POC, or  $^{14}\text{C}$ -DOC) have been estimated according to different cited reports from 3 to 24 h (from dawn to dawn) of incubation (Table 2). In general, the phytoplankton community receives 12 h of sunlight per day. When the primary production has been measured after 2–4 h of incubation, we estimated the hourly rate and then scaled to 12 h of light. We considered that the primary production estimated after 12 or 24 h of incubation received the same amount of light (12 h) and so, are comparable.

The relationship between paired primary production estimates derived using different methods ( $x$  and  $y$ ) were described by fitting power equations of the type,

$$PP_y = aPP_x^b$$

using reduced major axis (RMA) regression analysis on log-transformed data where log transformation was found necessary to address the problem of heteroscedasticity affecting the untransformed relationships between variables.

The predictive power of the relationship between paired primary production was tested by evaluating the errors derived from bootstrap analyses. Bootstrapping analysis is a statistical approach for assigning measures of accuracy to sample estimates. In this study, we selected randomly 90% of a paired-method dataset. From those 90% selected, we estimate the relationship between paired primary production estimates. Using the

conversion equations derived, we predict the primary production of one type of method from values obtained using another method for the 10% left of the paired-method dataset. Error (as absolute error, mean  $\pm$  SE prediction error; and relative error, as the mean  $\pm$  SE percent error) were derived from 10 bootstrapping iterations of the conversion equations obtained.

### RESULTS

The geographic distribution of the different stations which the primary production has been evaluated by at least two distinct methods (Figure 1, Table 2) shows that the studies are scattered across the ocean. Indeed, the studies where primary production was evaluated by the  $^{14}\text{C}$  and dark-light methods, the  $^{14}\text{C}$  and  $^{18}\text{O}$  methods or  $^{18}\text{O}$  and dark-light methods took place across contrasting oceanic regions such as low productive oceans (Pacific Ocean and the Mediterranean Sea), high productive oceans (Southern and Arctic Ocean) and the Atlantic Ocean, thereby spanning a broad range of communities and environmental conditions. However, the study using  $^{13}\text{C}$  and  $^{14}\text{C}$  methods concurrently was confined to individual oceanic region (North Western Atlantic Ocean), thereby limiting the ability to develop conversion equations.

The ratios between pairs of metrics ranged greatly, typically >10-fold, and up to 200-fold (Figures 2, 3). The ratios presented here are orders of magnitude greater than the ratio observed in laboratory cultures studies (Halsey et al., 2010) and in field studies (Bender et al., 1999; Marra, 2002). This broad range indicates that (a) the relation between the different components resolved by primary production methods and their sources of error are highly variable (Table 1), and (b) the use of simple ratios as conversion factors between two different methods can lead to very large errors. Some of these differences are expected, as the processes resolved by the methods differ. For instance, GPP-DO, GPP- $^{18}\text{O}$ , GPP- $\text{O}_2$ FRRF, and  $^{14}\text{C}$ -TOC intend to measure GPP whereas  $^{14}\text{C}$ -POC and  $^{13}\text{C}$ -POC attempt to resolve specific components of primary production. The comparison between the *in vitro* metrics indicated that the GPP measured by the  $^{18}\text{O}$  method (GPP- $^{18}\text{O}$ ) tends to produce the highest estimates of primary production, followed by the GPP measured by the dark-light method (GPP-DO), the TOC measured by the  $^{14}\text{C}$  method ( $^{14}\text{C}$ -TOC), the POC measured by the  $^{13}\text{C}$  and  $^{14}\text{C}$  methods respectively ( $^{13}\text{C}$ -POC and  $^{14}\text{C}$ -POC) (i.e. GPP- $^{18}\text{O}$  > GPP-DO >  $^{14}\text{C}$ -TOC >  $^{13}\text{C}$ -POC >  $^{14}\text{C}$ -POC, Figure 2, Table 3).

The only *in situ* technique reported used to measure GPP reported here, the FRRF method (GPP- $\text{O}_2$ FRRF) yielded estimates significantly higher than  $^{14}\text{C}$ -POC estimates ( $t$ -test,  $t = 6.7$ ,  $df = 69$ ,  $P < 0.0001$ ; Figure 2, Table 3). In contrast, GPP- $\text{O}_2$ FRRF was 2-times lower than GPP-DO ( $t$ -test,  $t = 3.7$ ,  $df = 14$ ,  $P = 0.0022$ ; Figure 2, Table 3) and 7-times lower than GPP- $^{18}\text{O}$  ( $t$ -test,  $t = 6$ ,  $df = 14$ ,  $P < 0.0001$ ; Figure 2, Table 3). Comparisons among *in situ* and *in vitro* methods were limited to a subset of all possible metrics. Indeed, we found two studies reporting the concurrent use of FRRF and  $^{14}\text{C}$  methods (Corno et al., 2005; Robinson et al., 2009), and only one study reporting the concurrent use of FRRF,  $^{18}\text{O}$  and dark-light to measure GPP (Robinson et al., 2009).



Table 2 | Continued

GPP methods used	Incubation time		References	Location	Studied Location	Number of stations	Number of estimates
<b><sup>14</sup>C and <sup>18</sup>O Methods</b>	<b><sup>14</sup>C</b>	<b><sup>18</sup>O</b>					
		24 h	24 h	<sup>a</sup> Bender et al., 1999; Marra, 2002	Pacific Ocean	Pacific Equatorial	8
	14–24 h	24 h	<sup>a</sup> Bender et al., 2000	Southern Ocean	Ross Sea	3	17
	14–24 h	24 h	<sup>a</sup> Data held at JGOFS website	Southern Ocean	Southern Ocean	15	72
	14–24 h	24 h	<sup>a</sup> Dickson and Orchardo, 2001	Southern Ocean	Antarctic Polar Front	1	36
	12–24 h	24 h	<sup>a</sup> Dickson et al., 2001; Marra, 2002	Indian Ocean	Arabian Sea	12	69
	<sup>b</sup> 12 h	<sup>b</sup> 12 h	González et al., 2008	Mediterranean Sea	Western Mediterranean	8	32
	12–24 h	12–24 h	Juranek and Quay, 2005	Pacific Ocean	North Subtropical Pacific	3	15
	14–24 h	14 h	Kiddon et al., 1995	Atlantic Ocean	North Western Atlantic	3	18
	14–24 h	14 h	<sup>a</sup> Kiddon et al., 1995; Marra, 2002	Atlantic Ocean	North Western Atlantic	4	22
	14–24 h	14 h	<sup>a</sup> Marra, 2002	Atlantic Ocean	North Western Atlantic	1	6
	24 h	24 h	(Robinson et al., 2009)	Atlantic Ocean	North Atlantic Ocean	5	27
	12–24 h	12–24 h	<sup>c</sup> Williams et al., 2004; Juranek and Quay, 2005	Pacific Ocean	North Subtropical Pacific	2	6
	Total				65	367	
<b><sup>18</sup>O and Dark-light Methods</b>	<b><sup>18</sup>O</b>	<b>Dark-light</b>					
		24 h	24 h	<sup>a</sup> Bender et al., 2000	Southern Ocean	Ross Sea	9
	24 h	24 h	<sup>a</sup> Data held at JGOFS website	Southern Ocean	Southern Ocean	7	41
	24 h	24 h	<sup>a</sup> Dickson and Orchardo, 2001	Southern Ocean	Antarctic Polar Front	14	75
	<sup>b</sup> 12 h	12–24 h	González et al., 2008	Mediterranean Sea	Western Mediterranean	8	31
	24 h	24 h	(Robinson et al., 2009)	Atlantic Ocean	North Atlantic Ocean	5	27
	12–24 h	24 h	<sup>c</sup> Williams et al., 2004; Juranek and Quay, 2005	Pacific Ocean	North Subtropical Pacific	2	6
	Total				45	232	
<b><sup>18</sup>O and FRRF Methods</b>	<b><sup>18</sup>O</b>	<b>FRRF</b>					
		24 h	<i>i.-f.</i>	Robinson et al., 2009	Atlantic Ocean	North Atlantic Ocean	3
	Total				3	15	
<b>Dark-light and FRRF Methods</b>	<b>Dark-light</b>	<b>FRRF</b>					
		24 h	<i>i.-f.</i>	Robinson et al., 2009	Atlantic Ocean	North Atlantic Ocean	3
	Total				3	15	

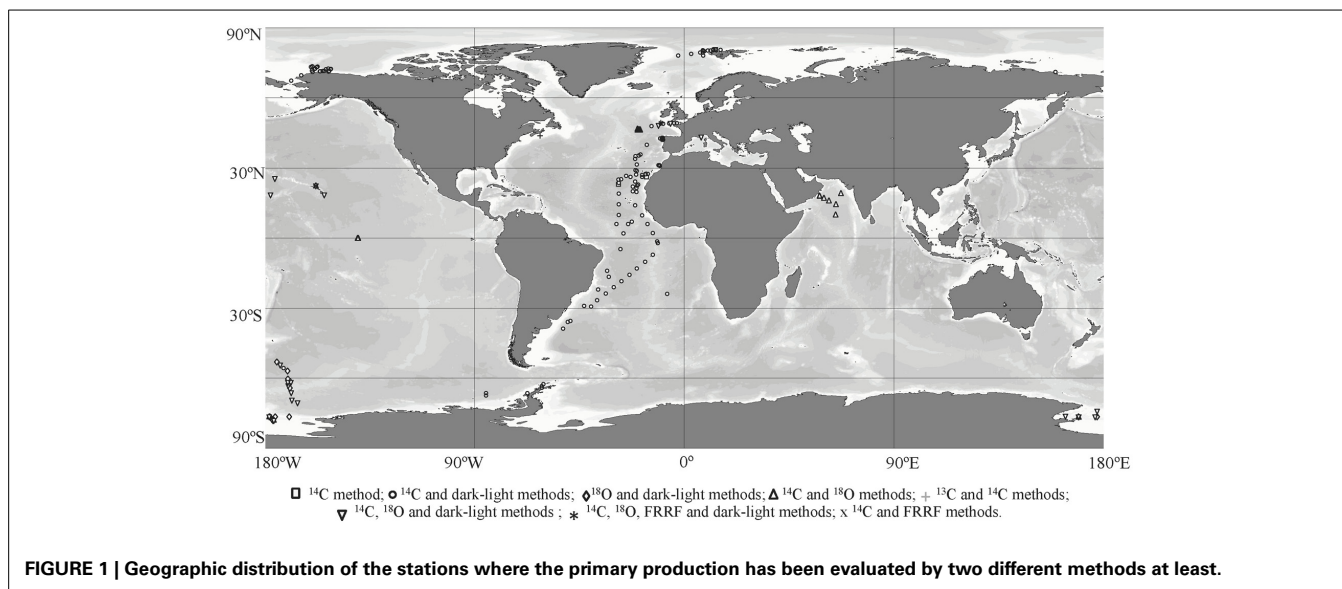
<sup>a</sup>Data compiled also on the JGOFS website.

<sup>b</sup>From sunrise to sunset.

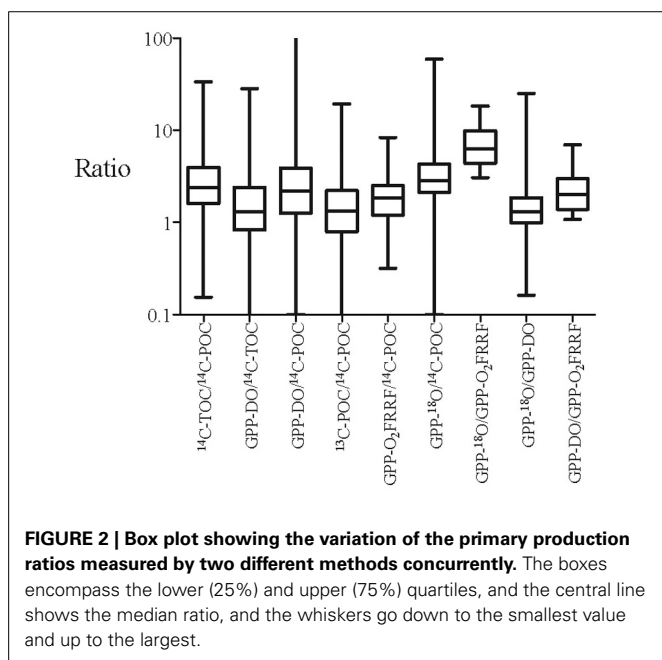
<sup>c</sup>Data shared by P. J. le B. Williams and D. M. Karl.

*i.-f.* Incubation-free technique.





**FIGURE 1 | Geographic distribution of the stations where the primary production has been evaluated by two different methods at least.**



**FIGURE 2 | Box plot showing the variation of the primary production ratios measured by two different methods concurrently.** The boxes encompass the lower (25%) and upper (75%) quartiles, and the central line shows the median ratio, and the whiskers go down to the smallest value and up to the largest.

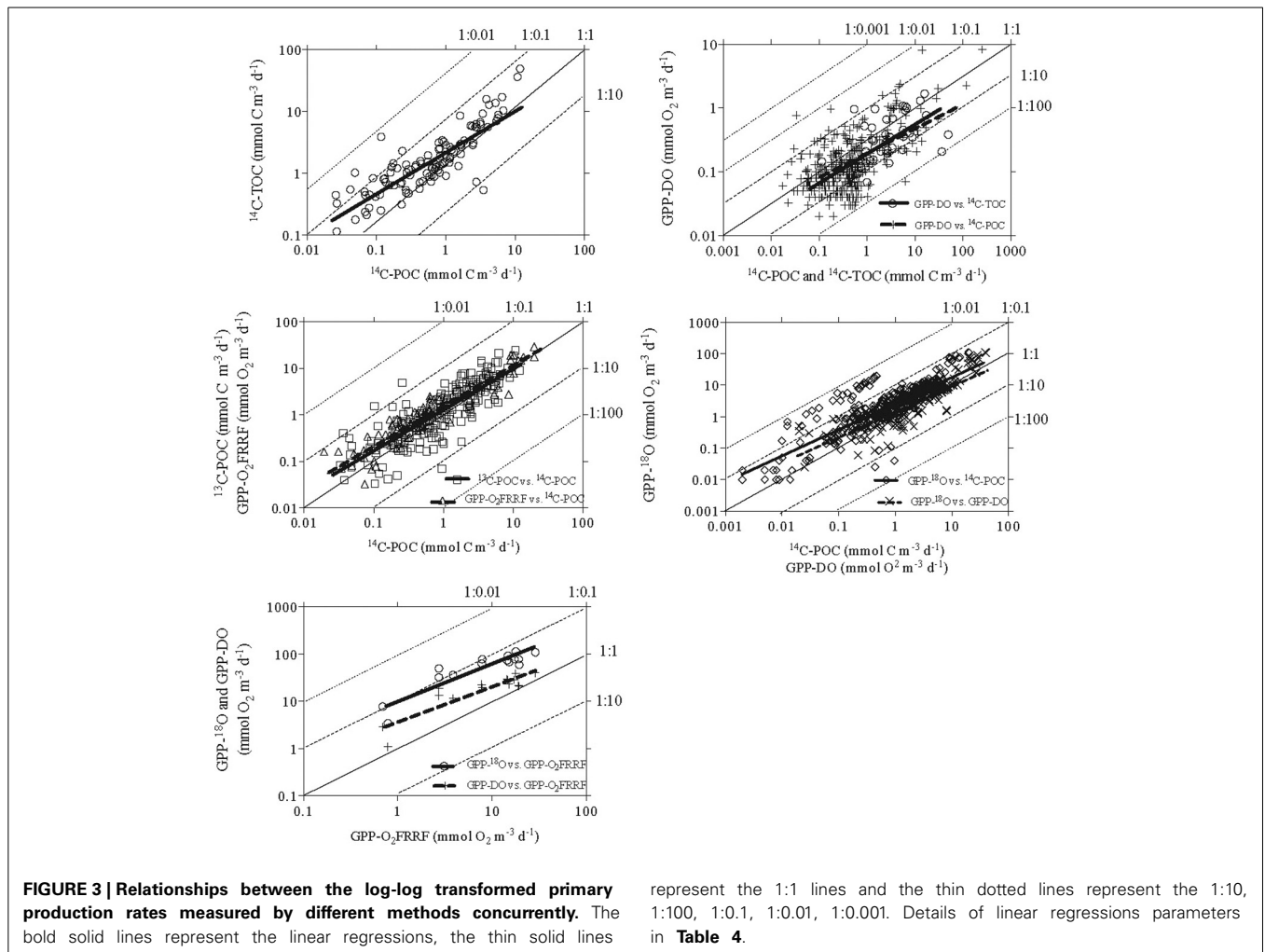
The power equation between estimates of PP derived from different methods allows for ratios between methods shifting with PP (i.e., slope  $\neq 1$ , **Figure 3, Table 4**). Slopes  $< 1$  imply that the ratio of  $y/x$  (i.e., a  $PP_y/PP_x$ ) declines with increasing  $x$  ( $PP_x$ ), and slopes  $> 1$  implies that the ratio of  $y/x$  increases with increasing  $x$  (**Table 4**). All of these pairwise relationships between methods were significant ( $P < 0.05$ ) and strong ( $R^2 > 0.35$ ). The error associated with the prediction of primary production in one type of method from values obtained by another method improved the predictive power of those relationships (**Table 5**).

The power slopes between the pairs of metrics were statistically different from 1 for most relationships, indicating that the ratios between these metrics changed systematically as primary

production increased (**Figure 3, Table 4**). The departure from 1 indicates that the ratios between estimates derived from various methods change with  $x$ , and indeed the ratios predicted from the fitted regression equations varied greatly (**Table 4**). For instance, the ratio of  $y/x$  varied greatly when the minimum or maximum value of  $x$  is used ( $x_{\min}$  or  $x_{\max}$ ) ranging about 10-fold across the range of  $PP_x$  estimates (**Table 4**). In contrast, the greater departure from a power slope of 1, indicative of a uniform ratio between the metrics, was observed between GPP-DO and  $^{14}\text{C}$ -TOC (**Table 4**).

## DISCUSSION

The comparison of estimates of marine phytoplankton primary production derived from different methods provided above reveals very large differences between methods. Some of these differences are expected, as the processes resolved by the methods differ (GPP measurement or specific components of PP measurement) or the methodology itself may be different (i.e., incubation time, temperature, light, or nutrient availability restricted by the incubation process). Indeed, GPP- $^{18}\text{O}$  provided the highest estimates of primary production and the  $^{14}\text{C}$ -POC provided the smallest ones, as expected, consistent with previous reports. Indeed, Grande et al. (1989) showed that the  $^{18}\text{O}$  content of the dissolved oxygen pool increased with photosynthesis and is 2 to 3 times larger than the pool of POC labeled by the  $^{14}\text{C}$ . Juranek and Quay (2005) observed that the GPP- $^{18}\text{O}$  rates were 1.5–2 times higher than  $^{14}\text{C}$ -POC rates. Furthermore, we observed here that GPP- $\text{O}_2$ FRRF estimates were much lower than the GPP- $^{18}\text{O}$ . These results support the observation by Robinson et al. (2009) that the main source of uncertainty in the calculation of GPP- $\text{O}_2$ FRRF is the use of fixed values for  $n_{\text{PSII}}$  (the photosynthetic unit size of PSII), which future applications of this method should aim at resolving for natural plankton communities. The  $^{13}\text{C}$  method was expected to yield estimates of particulate primary production similar to those of the  $^{14}\text{C}$  method, as the only difference is that  $^{13}\text{C}$  is a stable isotope. However, our results indicated



**Table 3 | Mean ( $\pm$ SE), median, range (minimum–maximum), and number of observations (*n*) of the primary production ratio between different methods used concurrently, and the probability *P*, statistics *t*, and degrees of freedom *df* of the *t*-test testing if primary production rates measured by two methods concurrently are significantly different (\*represents the significant difference).**

Ratio	Mean $\pm$ SE	Median	Range	<i>n</i>	<i>P</i>
$^{14}\text{C-TOC}/^{14}\text{C-POC}$	$3.7 \pm 0.4$	2.4	0.2–33.4	107	* <i>P</i> < 0.0001
$\text{GPP-DO}/^{14}\text{C-TOC}$	$2.4 \pm 0.4$	1.3	0.02–28.2	83	* <i>P</i> < 0.0001
$\text{GPP-DO}/^{14}\text{C-POC}$	$7.1 \pm 0.9$	2.2	0–293.1	661	* <i>P</i> < 0.0001
$^{13}\text{C-POC}/^{14}\text{C-POC}$	$1.8 \pm 0.1$	1.3	0.1–19.3	198	* <i>P</i> < 0.0001
$\text{GPP-O}_2\text{FRRF}/^{14}\text{C-POC}$	$2.0 \pm 0.2$	1.9	0.3–8.3	70	* <i>P</i> < 0.0001
$\text{GPP-}^{18}\text{O}/^{14}\text{C-POC}$	$6.1 \pm 0.6$	2.9	0–59	335	* <i>P</i> < 0.0001
$\text{GPP-}^{18}\text{O}/\text{GPP-O}_2\text{FRRF}$	$7.3 \pm 1.1$	6.2	3–18.1	15	* <i>P</i> < 0.0001
$\text{GPP-}^{18}\text{O}/\text{GPP-DO}$	$1.9 \pm 0.2$	1.3	0.16–25	232	* <i>P</i> < 0.0001
$\text{GPP-DO}/\text{GPP-O}_2\text{FRRF}$	$2.6 \pm 0.4$	2	1.1–6.9	15	* <i>P</i> = 0.0022

that the  $^{13}\text{C}$  method yields estimates of particulate primary production higher than those of the  $^{14}\text{C}$  method (Figure 2, Table 3). Indeed, Mousseau et al. (1995) observed that 70% of PP determined by the  $^{13}\text{C}$  method was higher than PP determined by  $^{14}\text{C}$  method. Although they were unable to give a complete explanation of the differences between the two methods, they related it

to biological and/or environmental conditions (biomass and/or irradiance).

The  $^{18}\text{O}$  method should be considered as the best approach to resolve GPP with the greatest precision (here, median 9% error for  $\text{GPP-}^{18}\text{O}$ ). Although this method is also robust against changes in respiration over the natural light/dark cycle, it seems

**Table 4 | Principal component RMA regression equations of the form  $PP_y = aPP_x^b$  showing the relationship between the log primary production estimates derived in parallel using different methods, along with the corresponding adjusted coefficient of determination ( $Adj-R^2$ ), the associated probability ( $P$ ), the probability  $P$  of the  $F$ -test testing if the slope is different from 1 (\* represents the significant difference of the slope from 1), and the  $y/x$  (i.e.,  $aPP_x^b/PP_x$ ) ratio for the minimum and the maximum values of  $x$ .**

Equation 11°		Slope ( $\pm SE$ )	Intercept ( $\pm SE$ )	$Adj-R^2$	$P$	$n$	Slope diff. from 1	$y/x$ for $x_{min}$	$y/x$ for $x_{max}$
1	$^{14}C$ -TOC vs. $^{14}C$ -POC	0.67 ( $\pm 0.04$ )	2.25 ( $\pm 1.06$ )	0.71	<0.0001	107	* $P < 0.0001$	7.5	1
2	GPP-DO vs. $^{14}C$ -TOC	0.63 ( $\pm 0.09$ )	1.50 ( $\pm 1.13$ )	0.37	<0.0001	83	* $P < 0.0001$	4.54	0.35
3	GPP-DO vs. $^{14}C$ -POC	0.76 ( $\pm 0.03$ )	2.15 ( $\pm 1.05$ )	0.49	<0.0001	657	* $P < 0.0001$	5.68	0.57
4	$^{13}C$ -POC vs. $^{14}C$ -POC	0.88 ( $\pm 0.04$ )	1.29 ( $\pm 1.06$ )	0.69	<0.0001	198	* $P = 0.0062$	1.94	0.95
5	GPP- $O_2$ FRRF vs. $^{14}C$ -POC	0.85 ( $\pm 0.04$ )	1.53 ( $\pm 1.08$ )	0.87	<0.0001	70	* $P = 0.0005$	2.76	0.98
6	GPP- $^{18}O$ vs. $^{14}C$ -POC	0.88 ( $\pm 0.03$ )	3.25 ( $\pm 1.05$ )	0.72	<0.0001	332	* $P < 0.0001$	6.85	2.27
7	GPP- $^{18}O$ vs. GPP- $O_2$ FRRF	0.75 ( $\pm 0.10$ )	10.65 ( $\pm 1.25$ )	0.81	<0.0001	15	* $P = 0.0233$	11.68	4.59
8	GPP- $^{18}O$ vs. GPP-DO	0.88 ( $\pm 0.03$ )	1.56 ( $\pm 1.05$ )	0.78	<0.0001	232	* $P < 0.0001$	2.59	0.97
9	GPP-DO vs. GPP- $O_2$ FRRF	0.74 ( $\pm 0.10$ )	3.73 ( $\pm 1.27$ )	0.78	<0.0001	15	* $P = 0.0263$	4.1	1.56

**Table 5 | Error (absolute, as mean  $\pm SE$  prediction error; and relative, as the mean  $\pm SE\%$  error) associated with the prediction of primary production in one type of method from values obtained using another method.**

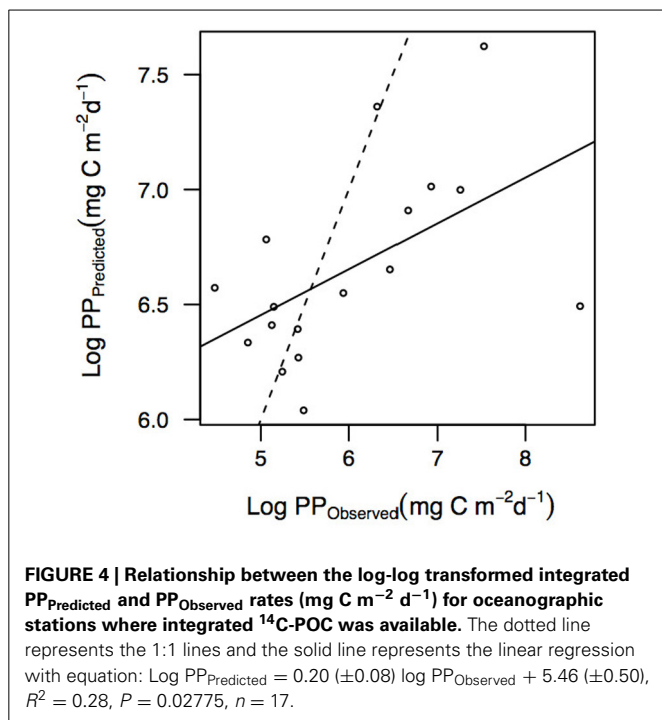
Equation $n^\circ$	Predicted value ( $Y$ )	Predictor ( $X$ )	Units	Absolute error	Relative error
				Mean $\pm SE$	% $\pm SE\%$
1	$^{14}C$ -TOC	$^{14}C$ -POC	mmol C $m^{-3} d^{-1}$	1.84 $\pm$ 0.28	58.5 $\pm$ 2.3
2	GPP-DO	$^{14}C$ -TOC	mmol $O_2$ $m^{-3} d^{-1}$	1.83 $\pm$ 0.16	95.0 $\pm$ 7.2
3	GPP-DO	$^{14}C$ -POC	mmol $O_2$ $m^{-3} d^{-1}$	2.54 $\pm$ 0.16	145.5 $\pm$ 2.3
4	$^{13}C$ -POC	$^{14}C$ -POC	mmol C $m^{-3} d^{-1}$	1.13 $\pm$ 0.12	77.8 $\pm$ 4.7
5	GPP- $O_2$ FRRF	$^{14}C$ -POC	mmol $O_2$ $m^{-3} d^{-1}$	1.34 $\pm$ 0.29	69.8 $\pm$ 4.7
6	GPP- $^{18}O$	$^{14}C$ -POC	mmol $O_2$ $m^{-3} d^{-1}$	3.23 $\pm$ 0.49	95.0 $\pm$ 4.7
7	GPP- $^{18}O$	GPP- $O_2$ FRRF	mmol $O_2$ $m^{-3} d^{-1}$	<i>nd</i> $\pm$ <i>nd</i>	<i>nd</i> $\pm$ <i>nd</i>
8	GPP- $^{18}O$	GPP-DO	mmol $O_2$ $m^{-3} d^{-1}$	3.53 $\pm$ 0.58	69.8 $\pm$ 4.7
9	GPP-DO	GPP- $O_2$ FRRF	mmol $O_2$ $m^{-3} d^{-1}$	<i>nd</i> $\pm$ <i>nd</i>	<i>nd</i> $\pm$ <i>nd</i>

The errors were derived from 10 bootstrapping iterations of the conversion equations obtained (Table 4).

to overestimate the gross organic carbon production as it measures all oxygen production without taking into account if it is directly linked to carbon fixation (Laws et al., 2000). Although a correction of 20% for the Mehler reaction and the photorespiration (Laws et al., 2000; Hendricks et al., 2004) could be applied to the GPP- $^{18}O$ , a constant correction factor may not be adequate. Indeed, Steeman Nielsen (1975) argued that photorespiration is correlated with the internal  $O_2$  concentration, which is highest at high photosynthetic rates. In this study, GPP- $^{18}O$  rates were higher than  $^{14}C$ -POC rates, the GPP-DO rates and the GPP- $O_2$ FRRF measured concurrently (Tables 3, 4, Figures 2, 3). These discrepancies may be explained by the changes in respiration over the natural day–night cycle. Indeed, Grande et al. (1989) indicated that the difference between  $^{14}C$ -POC and GPP- $^{18}O$  rates was due to respiratory  $^{14}C$  losses by both autotrophs and heterotrophs. Furthermore, although some studies reported a lack of differences between light and dark respiration (Marra and Barber, 2004; González et al., 2008), other reports found that light respiration may be higher than dark respiration leading to an underestimation of GPP measured by the dark-light method (Bender et al., 1987; Dickson and Orchardo, 2001; Dickson et al., 2001; Pringault

et al., 2007). Moreover, we observed in this study that the difference between production estimates derived using GPP-DO and GPP- $^{18}O$  increases with increasing primary production, as expected. The discrepancy observed here between GPP- $^{18}O$  and GPP-DO rates suggests that the assumption that dark and light respiration is similar maybe questioned. Our results tend to reject the assumption embedded in the dark-light method that dark respiration is equal to light respiration (Table 1), as there is a wealth of indications that respiratory processes are enhanced in the light (Harris and Lott, 1973). In contrast to GPP, NCP estimates should not be influenced by this effect, and the dark-light method should still yield reliable estimates of NCP (Duarte et al., 2013).

Estimates derived using  $^{14}C$ -POC are often indicated to provide a metric close to net primary production, NPP, which is defined as the production available to support phytoplankton growth (i.e., after accounting for losses due to respiration and excretion; Ryther and Vaccaro, 1954; Antia et al., 1963; Eppley and Sharp, 1975). However,  $^{14}C$ -POC represents strictly the production recovered in particulate form after the incubation time, includes the effects of trophic interactions within the incubated sample and does not account for DOC release nor respiratory



losses by the community (Bender et al., 1987). Indeed,  $^{14}\text{C}$ -POC probably underestimates NPP, as heterotrophic respiration of PP consumed by microzooplankton grazers also affects  $^{14}\text{C}$ -POC. The measurement of  $^{14}\text{C}$  incorporation into total organic matter partially overcomes this problem by accounting for the  $^{14}\text{C}$  recovered in the DOC pool, which can be substantial (González et al., 2008). Yet, this estimate of primary production falls short of accounting for respiratory losses, both by autotrophs and heterotrophs (Bender et al., 1987). Oxygen-based estimates are believed to derive estimates closely approaching GPP (González et al., 2008). Moreover, Ryther (1956b) concluded that respired  $\text{CO}_2$  could be reutilized into photosynthetic pathways, whereas the  $\text{O}_2$  released is not similarly consumed in respiration. For that reason,  $\text{O}_2$  based-methods provide estimates of GPP while  $^{14}\text{C}$ -POC provides estimates closer to NPP, relative to that measured by  $\text{O}_2$  (Marra, 2002). Where  $^{14}\text{C}$  needs to be used,  $^{14}\text{C}$ -TOC, which better approximates GPP, should be measured in parallel to  $^{14}\text{C}$ -POC measurements. In this study,  $^{14}\text{C}$ -TOC was significantly lower than GPP-DO (Table 3). These differences may be explained by several factors. First,  $^{14}\text{C}$  incubation time varied in this study from 2 to 12 h and may result in a  $^{14}\text{C}$ -TOC closer to NPP (<4 h of incubation) or to GPP (incubations up to 6 h). Furthermore, some uncertainties regarding the magnitude of extracellular release of newly fixed carbon may explain these differences (González et al., 2008).

We argue that none of the methods tested here resolve NPP with confidence in natural communities. We suggest that the use of NPP to assess primary production by phytoplankton communities should be replaced with a measure of GPP or NCP. Unfortunately, the global primary production of the ocean, a property of interest for multiple applications, has been derived from remote-sensing ocean color calibrated with

$^{14}\text{C}$ -POC (Behrenfeld and Falkowski, 1997b). As indicated above it is unclear what these values actually measure and what is, therefore, represented by these global primary production estimates. An option may be to convert these estimates into GPP. However, Peterson (1980) reported that the  $^{14}\text{C}$  method underestimated GPP rates by about a factor of 2–100. At the ocean time series HOT, GPP- $^{18}\text{O}$  was reported to be 2.4 at surface to 1.1-fold at 100 m greater than  $^{14}\text{C}$ -POC, which was interpreted to represent NPP (Nicholson et al., 2012). These observations confirm the results presented here that use of a single conversion factor to estimate GPP from  $^{14}\text{C}$ -POC yields biased estimates, as the ratio is highly variable (Table 4). Instead, the conversion equations reported here should be applied to the individual estimates prior to integration at the basin or global scale. Furthermore, several authors assessed the uncertainty in NPP models derived from remote sensing by comparison with *in situ*  $^{14}\text{C}$  uptake and observed a significant systematic bias (Westberry et al., 2008; Friedrichs et al., 2009; Saba et al., 2010, 2011). We were able to evaluate here the integrated PP using the vertically generalized production model (VGPM, Behrenfeld and Falkowski, 1997a) from remote sensing (irradiance and temperature) and *in situ* data (chlorophyll a) for each oceanographic station of our dataset where *in situ* integrated  $^{14}\text{C}$ -POC rates were available (data not shown here). As expected, we observed that the integrated  $PP_{\text{Predicted}}$  was very weakly related to the integrated  $PP_{\text{Observed}}$  (Figure 4,  $R^2 = 0.28$ ,  $P = 0.03$ ). Milutinović and Bertino (2011) reported that  $p^b_{\text{opt}}$  (maximum PP per unit of chlorophyll) contributes the most to the random uncertainty in VGPM NPP. Previous results that suggest that remotely sensed estimates of primary production are not precise and until new models are derived and tested widely, the estimates of NPP derived from remote sensing should be considered as approximations only.

Following a comparison of various methods, Marra (2002) concluded that there is probably no single method able to provide an absolute estimate of primary production in the ocean and that various methods should be combined in any research programme. However, the scientific community predominantly uses a single method, typically the  $^{14}\text{C}$ -POC method, possibly the method with highest uncertainties as to the process actually measured, for the assessment of primary production, and data on primary production estimates derived concurrently with various methods are limited. Moreover, many factors, such as irradiance, temperature, nutrient concentrations, plankton community structure and others may affect the variability in the ratios between estimates derived from different methods. Unfortunately, few studies publish information related to the environmental conditions or community structure so their impact on the ratios between techniques could not be assessed here.

Our study shows that the  $^{18}\text{O}$  method provides the most accurate measure of GPP with the fewest assumptions required during estimate of the rate. The remaining flaw may be the presence of bottle effects, which can be partially mitigated using quartz bottles to avoid modifications to the light field (Godoy et al., 2012) and simulating correctly the *in situ* temperature. While the scientific community embrace a new standard, the regression equations presented here (Table 4) provide the best

available approach to convert data across methods, and, therefore, integrate and synthesize available and future data derived using different methods.

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