

1 Horizon scanning for management of emerging parasitic infections in fishery products

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14

15 Abstract

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17 Public organizations operating in health and food-safety sectors are increasingly realizing the advantages of
18 the long-term view of risk uncertainties associated to biological hazards, served-up in the short-term to
19 anticipate the problem and its handling. Thus, the horizon scanning is becoming a major strand in proactive
20 risk management and patient-consumer protection continuity. This approach was recently explained in the
21 scientific opinion on risk assessment of parasites in fishery products by the European Food Safety Authority,
22 EFSA (2010), followed by the launching of a funding scheme for a specific EU Framework Program Project
23 under the Knowledge Based Bio-Economy concept, KBBE (FP7-KBBE-2012-6), which drives the new EU
24 2020 strategy. The aim of this paper is to examine horizon scanning issues in relation to public health and
25 industrial concern on the presence of parasites in fishery products recorded in the Rapid Alert System for
26 Food and Feed (RASFF) System. We focus on specific threats, targets, methods and challenges as a means of
27 acquiring management goals and future objectives. The proposed horizon scanning identifies emerging
28 ideas/technologies for an early handling of parasitized fish stocks/products for priority setting to inform
29 strategic planning of stakeholders, policy-makers and health services. In order to accomplish this, a set of risk
30 GIS maps illustrating the state of art about the effect of the zoonotic *Anisakis* spp. on commercial fish stocks
31 of the last 65 years was firstly developed. Secondly, a program of 108 surveys among fish sellers of Galicia
32 (NW Spain) were carried out with the main objective of getting information about hazard recognition, fish
33 product management practices, quality self-controls and corrective and preventive measures in use.
34 Additionally, during the “I International Symposium on strategies for management of parasitized seafood
35 products” (Vigo, Spain), groups of researchers, technologists, official inspectors and industries participated in
36 roundtables with 3 different perspectives: market-industry, inspection and academia. All scanners agreed that
37 the *status quo* to manage fish parasites in the production-to-consumption food pathway is unsatisfactory. The
38 central message proposed a stable network performance based on collaborative software to provide multi-

39 level information for industrial management of parasite contaminants in fish products. The discussion group
40 also proposed to invigorate collaborative translational research and professional training as key drivers to fuel
41 technological innovations and tech transfer, which may help to minimize/eliminate the risk of parasites that
42 have public health and economic impacts in fish products.

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45 **Keywords**

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47 Horizon scanning; fishery products; parasite; public health; commercial value; inspection
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50 **1. Introduction**

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52 Marine parasites constitute an important health and quality threat in fishery products (Sabater & Sabater,
53 2000). Since the middle 20th century, scientific evidences have confirmed the presence of a high and raising
54 prevalence of a “dirty dozen” of parasites in wild stocks of fishery products of commercial interest around the
55 world (Køie, 1993; Wharton, Hassall & Aalders, 1999; Mladineo, 2001; Quijada, Lima dos Santos &
56 Avdalov, 2005; Valero, López-Cuello, Benítez & Adroher, 2006; Smith & Wootten, 1979; McClelland, Misra
57 & Martell, 1985; Adams, Murrell & Ross, 1997; Abollo, Gestal & Pascual, 2001; Rello, Adroher, Benítez &
58 Valero, 2009). Reasons for these emerging fish diseases in fishery products are diverse. Primarily, outbreaks
59 depend on the nature and life-cycle strategy of the parasites, but mostly on an uncontrolled ecosystem
60 management and on new consumers feeding habits. Well-know examples of ecosystem-based implications for
61 parasites are the outbreak spreading of *Giardia* and *Cryptosporidium* protozoans around shellfish harvesting
62 areas due to fecal contamination by river and waste waters (Freire-Santos et al., 2000; Gómez-Couso,
63 Mendez-Hermida, Castro-Hermida & Ares-Mazas, 2005), or protectionist policies for marine mammals
64 followed by several fishing practices that may increase the recruitment of zoonotic, allergenic anisakid
65 nematodes at fishing grounds (McClelland, Misra & Martell, 1990; Abollo et al., 2001; Rodriguez et al.,
66 2009). Furthermore, the new wave of increasingly eating raw or undercooked fishery products has also
67 epidemiological implications in industrialized countries. Specifically, *Giardia*, *Cryptosporidium*, some
68 species of anisakids and more recently *Kudoa* have been recognized as human health hazards responsible for
69 emergent zoonoses, that causes from gastro-allergic disorders in consumers (Chen et al., 2008; Dick, Dixon &
70 Choudhury, 1991; Smith & Wootten, 1978; Audicana, Ansotegui, Fernández de Corres & Kennedy, 2002;
71 Vidacek, de las Heras & Tejada, 2009; Kawai et al., 2012) to occupathional-asma in fish-farming workers
72 (Plessis, Lopata & Steinman, 2004; Nieuwenhuizen et al., 2006). Besides these detrimental effects on public
73 health, the presence of parasites in fishery products may also hamper the commercial value of products
74 reducing thus its marketability (Crowden & Boom, 1980; Brassard, Rau & Curtis, 1982; Arthur, Margolis,
75 Whitaker & McDonald, 1982; Lom & Dykova, 1992; Williams & Jones, 1994; Kumaraguru, Beamish &
76 Woo, 1995; Woo, 1995). As an example, the economic losses among fish processing industries caused by
77 anisakid larvae in fish flesh have been estimated to reach several millions of dollars (Bonnell, 1994).

78

79 The “dirty dozen” genera that affect the quality and/or safety of fishery products comprise micro and
80 macroparasites. Concerning microparasites (apart from waterborne *Giardia* and *Cryptosporidium*), the
81 mixosporidians (*Kudoa* spp.) and the microsporidians (*Pleistophora* spp. and *Spraguea* spp.) are highly
82 prevalent in the flesh of gadoid fish, mostly merluccidae and anglerfishes (Whipps & Diggles, 2006; Pascual
83 & Abollo, 2008; Leiro, Ortega, Iglesias, Estévez & Sanmartín, 1996; Freeman, Yokoyama & Ogawa, 2004;
84 Casal et al., 2012). Among the macroparasites, didymozoid trematodes occurring in scombrids (Pascual,
85 Abollo & Azevedo, 2006), cestodes (*Gymnorhynchus* spp., *Molicola* spp.) present in pomfret fish and
86 swordfish, the cosmopolitan anisakid nematodes (*Anisakis* spp, *Pseudoterranova* spp., *Contracaecum* spp.)
87 and crustaceans of *Pennella* spp. in the swordfish, represent the relevant target parasites during veterinary
88 inspections of fresh and frozen products in the European fish industry.

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90 The nematode *Anisakis* is a good candidate to be eligible as a sentinel model for targeting a horizon scanning
91 for managing emerging parasites in fishery products. The reasons are: i) it is by far the most prevalent
92 macroparasite in fish products from major stocks around the world, with significant demographic infection
93 values regardless of the host species and fishing area. Especially of concern is the fact that during fish
94 inspections anisakids are usually found in high amount on the gut cavity (Vidacek et al., 2009), in a lower
95 quantity on the belly flaps (Abollo et al., 2001), and sometimes in the flesh (Smith, 1984; Wharton et al.,
96 1999; Valero et al., 2006; Llarena-Reino, González, Vello, Outeiriño & Pascual, 2012); ii) in the last 20 years
97 anisakids have been a trending topic within the scientific community, fish consumers and the industry dealing
98 with biological risks in seafood products. This results from many social alarms in most southern European
99 countries (Poli, 2005; León, Meacham & Cláudio, 2006) linked with the trending record of available medical
100 literature concerning the public health implications of anisakids in general, and the genus *Anisakis* in
101 particular; iii) besides the repercussion they have on seafood safety, quality aspects in parasitized fish
102 decrease its commercial value by affecting the aesthetic of products (Fig. 1). This fact is hampering
103 marketability of seafood products within a fair international trade and European consumer preferences which
104 demand products with high standard quality (Vidacek et al., 2009; Pascual, Antonio, Cabo & Piñeiro, 2010);
105 iv) because the parasite recruitment is successfully adapted to the marine trophic webs, alterations in the
106 ecosystem reflect changes in the epidemiological status of this hazard in fish stocks and products (Deardorff,
107 1991; Slifko, Smith & Rose, 2000; Marcogliese, 2001; Pascual, González & Guerra, 2007; Wood, Lafferty &
108 Micheli, 2010). This reinforces the idea of a management strategy enlarged from the net to the plates which
109 also should include a study of viability of parasites in unprocessed marine fish waste used for feeding
110 aquaculture fish, as juvenile wild fish on-grown in captivity; v) the risk assessment of this hazard demands a
111 management strategy as the base of a fair international trade for products of different origin and production
112 methods. In most cases neither the strategy is implemented nor available tools are integrated in the industry.

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114 In relation to the discussion paper on the guide interpretation of Regulation (EC) 853/2004, recently the
115 European Commission considered necessary to carry out a consultation to operators regarding the regulation
116 of consumer information on such legislation. This work aimed to propose the elaboration of a detailed and

117 complete horizon scanning of the situation resulting from the impact of the most relevant parasites on the
118 value chain of commercial fishery products. To this end and following the mentioned example of the
119 European Commission, authors decided to arrange a meticulous analysis and discussion by using the
120 “consultation” method with fisheries stakeholders. Thus a triple strategy was put in practice:
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- 122 (1) As a previous step it was considered the elaboration of risk GIS maps illustrating the state of the art
123 concerning the condition of commercial fish stocks during the last 65 years, regarding the effect of
124 the zoonotic parasite: *Anisakis* spp. Nowadays, there is an increasing interest on the use of GIS as an
125 innovative technology to combine epidemiology, statistics and geographic information. This skill
126 facilitates decision making, processing and analysis of information on several multidisciplinary areas.
- 127 (2) Secondly, it was planned a program of surveys to fishmongers. The consultative and anonymous
128 character of this methodology, the potential amount of information available that offers this tool, the
129 “consumer representation” made by fish sellers, and the “intermediary” role played by them within
130 the fishing guild (exerts great influence on the extractive sector and on consumers), were important
131 enough reasons to choose this methodology.
- 132 (3) Finally, it was carried out the organization of three round tables framed within an international
133 symposium. Those panel discussions had the objective of agglutinating separately scientists, health
134 inspectors and representatives of fishing companies, as the extractive sector, aquaculture businesses,
135 restaurants, distributors, wholesalers and retailers of fish, etc. The main reason why horizon scanning
136 was used as a suitable and useful method to identify key issues of concern and provide solutions to
137 this biological hazard, is that the practice of horizon scanning is becoming a major strand in proactive
138 risk management and business continuity.

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141 **2. Materials and Methods**

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143 EU legislation forces food market and industry to ensure, from the catch to the plate, that no contaminated
144 fish reach the consumer. To that end stakeholders shall put in place, implement and maintain permanent
145 procedures based on the HACCP principles (Regulations (EC) 852-854/2004; Commission Regulation (EC)
146 2074/2005). The European Hygiene Package (Council Directive 91/493/EEC; Commission Decision
147 93/140/EEC; Regulations (EC) 852-854/2004, Council Regulation (EC) 2406/96; Commission Regulation
148 (EC) 2074/2005) and its modifications (Commission Regulations (EC) 1662-1664/2006), establishes that food
149 business operators shall ensure that all stages of production, processing and distribution satisfy and comply
150 with general and relevant hygiene requirements. Therefore fish industry has become responsible of the
151 submission of fishery products for human consumption to visual inspection for the purpose of detecting
152 visible parasites before being placed on the market. Considering the scientific literature to date and taking the
153 European legislation in perspective, we defined the end-user prospect in a triple scheme:

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155 **2.1 Maps**

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157 In order to agglutinate available data illustrating the impact of parasitism by *Anisakis* spp. over fisheries, a
158 literature search using the ISI Web of Knowledge databases was performed to compile articles published from
159 1947 to 2011 related to the keyword "*Anisakis*" in Atlantic Ocean. As a result a total of 929 publications were
160 obtained and information from 104 selected papers with geo-referenced samples was extracted. The resulting
161 1287 registers were added to a computerized database. The retrieved information covered parasite and host
162 species, sampling size, geographic location, date, anatomical site of infection, prevalence, mean intensity,
163 mean abundance and density of infection, and the methods used for parasite detection. According to compiled
164 information, overall infection parameters were calculated for each FAO fishing subzone. Geographic
165 Information Systems (GIS) software ArcGIS 9.3. was used to link epidemiological information to FAO
166 fishing areas' vector layer. This map layer identified each fishing subzone by a unique ID polygon. A series
167 of maps were produced to show the averages of the registered parameters of infection for each polygon in the
168 Atlantic Area (Fig. 2). The cartography generated included a specific set of maps showing overall
169 demographic infection values for *Anisakis* spp. for FAO subzones and also information relative to both host
170 order and species of fishery importance.

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172 **2.2 Inquiries**

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174 A program of 108 surveys to fish sellers from fish stands, whose main objective was to get information about
175 (1) hazard recognition, (2) fish product management practices, (3) quality self-controls at points of
176 distribution or sale, and (4) corrective/preventive measures in use. All those fish stands were placed in: 17 city
177 market squares, 20 village market squares, 4 super/hypermarkets and 4 fish shops, all located in Galicia (NW
178 Spain). A brief description of each type of establishment aims to achieve a better understanding:

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- 180 - Market square: a place where different establishments sold daily food from agriculture, livestock and
181 fishing.
- 182 - Super/hypermarkets: self-service expansive facilities offering a wide variety of food and household
183 products. These establishments sells fish, meat, fresh produce, dairy, and baked goods, along with
184 shelf space reserved for canned and packaged goods as well as for various non-food items.
- 185 - Fish shop: a shop that sells fish; a fishmonger's

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187 The reason why there was an over-representation of market squares in the survey and an under-representation
188 of super/hypermarkets and fish shops, is because the surveys claimed to reflect the consumption habits of the
189 population in the area studied. A total of 2 interviewers executed the surveys as individual and anonymous
190 interviews composed of 8 questions. Selected queries for interviews were previously planned and described
191 by a group of marine scientists, parasitologists and veterinarians whose lines of research are closely linked to
192 parasites in commercial fish species. Those questions dealt with the recognition and the presence of anisakids
193 in fish, handling practices and with improvements in sanitary conditions of the establishments.

194 The questions were as follows:

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1. Type of establishment interviewed (city market square, village market square, super/hypermarket, fish shop)
 2. Which improvements do you consider essential to ensure sanitary and quality conditions of fish at the point of sale: hot potable water, marine water, improved cleaning, better refrigerators, rain water system with timer, better illumination, flake ice machine, refrigerated desk, individual potable water, nothing?
 3. Do you eviscerate any of the following fish species or remove the hypaxial muscle before placing fish for sale? (*Engraulis encrasicolus*, *Merluccius Merluccius*, *Micromesistius poutassou*, *Conger conger*, *Lophius* spp., *Lepidorhombus* spp., *Sardinapilchardus*, *Zeus faber*, *Scomberscombrus*, *Trachurus* spp., other fish species)
 4. Do you eviscerate any fish species at points of sale before keeping fish overnight? (yes, no, certain species)
 5. Do you remove the hypaxial muscle at any fish species at points of sale before keeping fish overnight? (yes, no, certain species)
 6. Do you know anisakids? (yes, no)
 7. Do you usually reject fish species due to the presence of anisakids? (yes, no, which species)
 8. Do you usually have claims from consumers due to the presence of anisakids in any fish species? (yes, no, which species)

215 The results from the surveys performed were compiled, submitted to a descriptive analysis, worked out,
216 compared, matched when necessary, and then represented in graphics (Fig. 3). Furthermore, a Spearman Rank
217 Order Correlation was carried out to test the statistical inference between sellers' rejections and consumers'
218 claims due to fish infected by anisakids.

220 **2.3 Round tables**

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222 The "I International Symposium on strategies for management of parasitized seafood products" gathered and
223 organized in Vigo (Spain) in November 2010 (<http://www.iim.csic.es/parcode/>), had a total of 200 participants
224 from different countries and professional areas. Among them, 30% were fisheries industry agents (from more
225 than 50 fishing companies) including representatives of the extractive sector, aquaculture, distributors,
226 wholesalers and retailers of fish, restaurants, etc., 30% were veterinarians responsible of inspection services
227 for the Administration, 22% of the assistants came from academic institutions, and 18% were consumers,
228 students and independent professionals. This event have represented an important approach between scientific
229 researchers involved in the presence of parasites in seafood, and all the agents that in any way are affected by
230 this problem.

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232 Parallel to the symposium, a set of round tables with 3 different groups of representative horizon scanners
233 took place, by means of 3 different perspectives: academia, inspection and market-industry. Those 3 groups

234 included (a) 12 scientific researchers, (b) 25 public health official inspectors and (c) 25 technologists from the
235 fish industry. The round tables began with a series of individual and illustrative presentations which included
236 oral explanations of the current situation. In the case of scientific researchers' round table, each participant
237 presented his point of view of the *status quo* during around 10-15 minutes. In the cases of official inspectors'
238 and fish industries' round tables, some representatives of each group presented their professional approach to
239 this problem. Posteriorly the moderator opened a panel discussion, with a starting question which was focused
240 on technology push vs. market pull as forces of innovation in this field. The central message was the need to
241 progress on the use of the knowledge already generated with the aim of minimizing the repercussions that
242 parasites in general have on consumers and seafood industry. More specifically, the matter that was discussed
243 in more detail was "anisakids", firstly due to their recognition by the European Food Safety Authority as the
244 only family of parasites that potentially causes allergic reactions in humans, and secondly by reason of the
245 rejections caused in consumers since it can be sometimes easily detected macroscopically.

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248 **3. Results and Discussion**

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250 **3.1 Maps**

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252 Epidemiological maps of *Anisakis* spp. created on the basis of the available scientific literature, shows a
253 cosmopolitan distribution of this "species complex" spreading throughout the Atlantic Ocean, even though the
254 sampling effort was not equitable in whole Atlantic area, neither for all species. However, a number of "hot
255 spots" can be identified, particularly in the Northeast Atlantic, South Africa and South America. Furthermore,
256 distribution of marine helminth parasites can be influenced by a wide range of abiotic factors, as well as by a
257 trophic relationship between final, intermediate and transport hosts (Kuhn, García-Màrquez & Klimpel,
258 2011), a fact which may complicate the predictive mapping on infection parameters concerning commercial
259 fish species. Despite this, the developed maps constitute a prospective valuable tool since they provide an
260 overview of anisakids distribution and its incidence in major fish stocks. Although the impact of the
261 epidemiological dynamics of *Anisakis* spp. on marine trophic structures and in fish populations are the subject
262 of intensive studies, the spatial epidemiology of this re-emergent marine parasite with zoonotic and economic
263 relevance have been disregarded so far. Nowadays, this useful tool brings important improvements to
264 researches in the fields of medicine, health and environmental sciences. The creation of risk maps may help to
265 underline hot-spot infection areas, as a pre-harvest control measure to reduce or minimize the risk of anisakids
266 infection during the value chain of fishery products.

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268 **3.2 Inquiries**

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270 Among the 108 total surveys, 98 were performed in market squares. From them, a total of 68 (60% from the
271 total) were conducted in cities and other 30 interviews (28%) in villages (Fig. 3.1). With the aim of finding
272 out the most important aspects of concern to fish sellers in order to improve sanitary and quality condition of

273 seafood, we asked them about the changes they would apply at their workplaces. Around the 30% of the
274 survey respondents considered that they have optimal conditions and no changes must be done, despite the
275 lack of hot potable water for cleaning, flake ice machine, adequate refrigerators (in size and quality), or
276 sometimes the need of an improved cleaning, which are essential aspects to ensure a proper management of
277 commercial and sanitary quality of seafood. Furthermore, other less related or more commercial contributions
278 like having a rain water system with timer, better illumination over the desk, improvements in the building
279 and in the stands, or some advances in marketing and promotion (the last two improvements not reflected in
280 the graphic) were proposed by them as some necessary changes in the points of sale (Fig. 3.2). Concerning the
281 practice of evisceration or removing specific parts of certain fish species before placing them for sale, about
282 17% of the sellers confirmed the practice of evisceration in the case of *Pollachius pollachius*, and 6% in the
283 case of *Trisopterus luscus*. For *Merluccius Merluccius*, 8% of the responders declared to eviscerate the fish
284 and 3% said they removed the fish hypaxial muscle (Fig. 3.3), due to the fact that hypaxial muscle and viscera
285 are the anatomical regions with higher amounts of larvae in parasitized fishes. Fish species with absence
286 (*Sardina pilchardus*, *Zeus faber*, *Scomber scombrus*, *Lophius* spp., *Micromesistius poutassou* and *Engraulis*
287 *encrasicolus*) or with lower (*Conger conger*, *Lepidorhombus* spp., *Trachurus* spp., *Gadus morhua* and
288 *Thunnus* spp.) percentages of evisceration and/or hypaxial muscle removing were not represented in graphics.
289 A similar question about eviscerating and removing the hypaxial muscle before keeping fishes overnight was
290 made. About eviscerating 13% of the responders confirmed the practice, 28% performed evisceration only for
291 certain species, and the remained 59% did not manipulate the fish. Moreover, no more than 9% of the sellers
292 responded that sometimes remove the hypaxial muscle, depending on the species (Fig. 3.4). The majority
293 answered “yes” to the question of whether they knew anisakids worms (94% of the responders) (Fig. 3.5).
294

295 Finally the two following questions dealt with fish rejections and claims caused by obvious and annoying
296 presence of anisakids in fishes. The most remarkable data is that 50% of the sellers are currently rejecting
297 fishes (of any species), and almost 50% of them are receiving complaints from customers due to an excessive
298 presence of anisakids. Fish species involved in both type of incidences were represented in one single graphic,
299 in order to compare them by descriptive analysis (Fig. 3.6). For *Merluccius* spp. and *Trigloporus lastoviza*
300 almost the same number of rejections were made by consumers and sellers. For *Brama brama* the number of
301 consumers’ claims was higher than the amount of sellers’ refusals. For *Micromesistius poutassou*, the quantity
302 of both kind of refusals was exactly the same. For other species included in this point of the surveys there
303 were no coincidence between rejections and claims; so they have not been represented in the graph.
304 Moreover, as Table 1 shows, the results from Spearman Rank Order Correlations revealed that the
305 relationship between refusals led by sellers and consumers’ complaints in the species represented in Fig. 3.6,
306 was evident ($r=0.2861$; $p=0.0026$). Specifically, for *Trigloporus lastoviza* r value was 0.699, for *Brama*
307 *brama* $r=0.292$ and for *Micromesistius poutassou* the correlation between refusals and complaints was the
308 highest, giving a significant value of r (0.864). However, for *Merluccius merluccius* the correlation was not
309 significant. Despite this species gave the highest number of customers’ claims due to the massive presence of
310 anisakids, fish sellers believe that there are two types of Atlantic hake; the one which comes from nearby

311 waters (“high quality” Hake), and other from distant waters (“very parasitized” Hake). From this point, they
312 associate consumers’ claims to a distant origin, rather than the species.

313

314 After talking with respondents it could be established that: (1) the main reason why there is a positive
315 relationship between these two variables is because sellers usually reject fish species that generate customers
316 complaints due to an evident presence of anisakids; (2) the fact that a fish species is highly parasitized do not
317 lead sellers to consider it as a product unfit for human consumption, if that species can be sold eviscerated or
318 without specific parts of musculature (more parasitized). These facts suggests a lack of sanitary education
319 among fish sellers. The need of a training to this guild is more important since sellers are representing the
320 sector, and have the opportunity to sensitize consumers on good management and consumption practices.

321

322 **3.3 Round tables**

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324 During the Symposium and round tables all horizon scanners agreed that the *status quo* to manage the parasite
325 hazard in the production-to-consumption food pathway is clearly unsatisfactory. They also emphasized the
326 advantages of the long-term view of risk uncertainties associated to biological hazards for anticipating the
327 problem and its handling. As the European Food Safety Authority, EFSA (2010) recently explained in the
328 scientific opinion on risk assessment of parasites in fishery products, the horizon scanning is becoming a
329 major strand in proactive risk management and patient-consumer protection continuity.

330 Lastly, agents showed much concern for commercial rejections, their consequential economic losses and the
331 increasing lack of confidence that anisakids and many other different types of parasites present in fishery
332 products are currently producing.

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334 Half a dozen of key issues to conduct research, to inform policy and to practice were specifically identified by
335 scanners during the round tables:

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337 3.3.1 Standardization

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339 The lack of standardization is one of the most concerned bottleneck problems during parasite inspection in the
340 fish industry. Improvement plans would require the development of more efficient, low cost, quick and
341 accurate validated methods of parasite examination and detection during fish inspections. That lack of a
342 golden standardization for fast and easy detection methods is hampering the consensus of parasite detection
343 and diagnosis protocols at the fishing industry, thus reducing customer confidence in market transactions. The
344 most debatable issue was the subjectivity and ambiguity of some concepts defined by legislation such as
345 “visible parasite”, “clearly contaminated” and “obviously infested with parasites”, as specified in the
346 European Hygiene Package (Council Directive 91/493/EEC; Commission Decision 93/140/EEC; Regulations
347 (EC) 852-854/2004, Council Regulation (EC) 2406/96; Commission Regulation (EC) 2074/2005) and in its
348 modifications (Commission Regulations (EC) 1662-1664/2006). These concepts evidence a lack of standard
349 settings regarding the “*quantum satis*” conception, because no limit is defined between zero risk vs. tolerable

350 risk. Therefore, a detection limit provided by sanitary authorities for an allowable number of larvae or amount
351 of DNA-antigen traces in fresh fish musculature is desirable (Pascual et al., 2010). Furthermore, the accuracy
352 of a “visual examination” scheme in the fish industry depends on the training and skills of inspectors (Levsen,
353 Lunestad & Berland, 2005), but mostly on a well-tested statistical significance between the number of
354 observable parasites in the abdominal cavity and surrounded organs, and the number of parasites in
355 musculature (Llarena-Reino et al., 2012). Although this method does not guarantee a parasite-free edible part
356 of fish, no other method as a golden standardization has been accepted as the international reference protocol
357 accomplish with the industrial requirements. Moreover, the establishment of epidemiological monitoring
358 programmes to standardize the methodology for fish inspections should comprise the definition of the
359 concepts “sampling size” or “epidemiological unit” which are not defined by legislation. These issues
360 represent a source for uncertainty in hazard analysis during fish safety and quality self controls.

361

362 3.3.2 Monitoring

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364 As most of scanners stated the industry as responsible of food security and quality, needs tools to detect
365 parasites, sanitize seafood products and develop effective management strategies. They proposed that
366 proactive self-inspections carried out by fish operators could provide a chance to transform the parataxonomic
367 inspection carried out by the industry into a zoosanitary vigilance program by networking an industrial
368 upgrading of national sanitary defense associations, as it is the case in aquaculture production. Furthermore, it
369 also would be advisable to take into account samples from oceanographic and evaluation resource campaigns
370 financed by national governments and international funds, which periodically are operated by research
371 entities.

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373 3.3.3 Innovation

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375 With the increasing demand for ready-to-eat, fresh, and minimally processed fish, new ecology routes for
376 parasite survival have emerged as it was demonstrated in modified atmosphere packaging (Pascual et al,
377 2010). In order to minimize the loss of quality and to control parasite hazard, hurdle technology was
378 suggested in the design of preservation systems for minimally processed foods at various stages of the food
379 chain. However these new and other emergent technologies such as ultrasounds, electrolyzed oxidizing water,
380 etc..., should be specifically evaluated for parasite hazards. Group discussion proposed to invigorate
381 collaborative translational research and professional training as key drivers to fuel technological innovations
382 and tech transfer, which may help to minimize or eliminate the risk of parasites with public health and/or
383 economic concerns in fish products. Additionally, the proportionality of innovations that take into account the
384 weight up of cost-benefit ratios for different interventions in the food chain was also stressed by industrial
385 scanners. Finally, they also identified technological and economic benefits in outsourcing R&D in an open
386 innovation strategy for component improvements, design and new process/product innovations.

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388 3.3.4 Training

389

390 In general all fish food industry employees in Europe are educated and trained in relevant food safety
391 practices, beyond basic food handler training. Some available guidebooks describe the good manufacturing
392 practices and safe fish handling procedures that help fishermen, fish processors, truckers and retailers to
393 assure and maintain the food safety and fish products quality from the boat to the retail counter. Nevertheless,
394 educational seminars for relevant emerging topics like parasite hazards are needed and are still absent in many
395 European regions. As surveys revealed, there is lack of sanitary education concerning parasites among fish
396 sellers; they confuse basic notions and are not able to differentiate those parasites which can cause zoonotic
397 disease, from those innocuous to public health.

398

399 3.3.5 Risk assessment

400

401 Among the surveys' findings, it was noted that fish sellers' rejections due to excessive parasitism matched in
402 amount and fish species with consumers' complaints. Repeatedly, sellers' criteria seems to be conditioned by
403 consumers' reactions to parasites. That absence of a proactive behaviour at points of sale implies that
404 prevention is not being applied. Much more risk assessment information, both in fish products and for
405 consumers and sellers has been a relevant plea throughout horizon scanning roundtables. A friendly SMART
406 (self-monitoring and intelligence reporting technology) platform has been suggested to generate pre-harvest
407 control tools (e.g., risk maps and epidemiological reporting). The creation of methodologies of categorization
408 or staging which should include the parasite identity, the spread of parasites in the edible part of fish, and the
409 food quality and safety implications of this biological hazard, was also recommended. The development of
410 this kind of risk-based metrics (point and probabilistic estimates) should be incorporated, implemented and
411 monitored in HACCP plans. Risk assessment from a public health perspective demands attending natural
412 variability and scientific uncertainty through statistical inference for relationships between catch origin, fish
413 species, fish stock structure and parasite quantitative descriptors in different "what-if" and simulations
414 scenarios for parasite animals, traces and antigens. Mapping of *Anisakis* allergens in seafood and a deeper
415 understanding of immune response to the parasite antigens were noted as important tasks for research.
416 Furthermore, integration of epidemiological information on infectivity and inactivation of parasites taking the
417 whole production-to-consumption food pathway, and the incidence of this zoonotic infection in humans, will
418 aid to analyze, predict and prevent the probability of illness, complaints and fish rejections, thus enhancing
419 public awareness and the effectiveness of control measures. As one of the more strong initiatives, scanners
420 also proposed to create and develop a thematic network performance based on collaborative software to
421 provide multi-level information (on-site and at-line) for industrial management of parasite contaminants in
422 fish products. The ultimate goal for all implicated horizon scanners during this event was the collaboration
423 and the creation of common spaces between agents, industries and scientists, getting thereby better advances
424 in the strategies and technologies to fight against this important hazard. Only by achieving this purpose the
425 international competitiveness of fish products could be enhanced.

426

427 3.3.6 Risk communication

428
429 Risk communication was determined by scanners as a matter of concern to manage alerts instead of alarms. It
430 was suggested to elaborate a risk profile for each emergent parasite species with the aim of sharing multi-level
431 information and to aid technology-knowledge transfer. Each “parasite array” will assure communication with
432 public regulatory authorities and the industry, thus reinforcing the industry’s competitiveness by
433 implementing added-value strategies to guarantee a high standard quality in healthy fishery products.
434 Similarly to the above knowledge-based bio-economic approach, it would be of high priority to spread the
435 knowledge to the broader society to ensure consumer protection within an open public access plan.
436
437 To be relevant and useful the participants agreed to bring horizon scanning under a QCA perspective by
438 repeating the process and collation annually, and to include the topic and the information in the working
439 groups of the European Fish Technology Platform.
440

441 442 **4. Conclusions**

443
444 The data collected from the maps, inquiries and during the round tables, contains valuable suggestions
445 orienting current and future strategies, identifying key problems with the existing procedures and providing
446 advices that could improve public health policy and reduce economic losses. These ideas have been
447 summarized and compiled around six key issues conforming a very constructive horizon scanning effort for
448 managing emerging parasites in fishery products, as follows:

- 449
450 ▪ The lack of standardization during parasite inspection in the fish industry is the main reason why the
451 industry demands that the transfer of food safety co-responsibility from governs to companies should be
452 led by a tough and progressive program of unified standards more closely monitored by governs. This
453 lack of consensus and standardization concerning self-control, makes easier a free criteria and
454 heterogeneity when internal inspection of batches, manufacturing facilities or processes take place. FAO
455 protocols, facto standards by CODEX, military standards or statistical standards are some examples of
456 quality criteria in use for internal controls by food companies.
457
- 458 ▪ Supervised proactive self-inspections at industries could lead to set up stable zoosanitary vigilance
459 programs. The monitoring of demographic values of infection by parasites in fishes could be integrated
460 for its study as a part of the evaluation programs during oceanographic campaigns.
461
- 462 ▪ The setting of innovations based in positive weight up of cost-benefit ratios as labeling requirements for
463 parasite-free trademarks, could provide a chance for enable commercial blister beneficiaries of process
464 monitoring programs, for periodic analysis of products and for preventive and corrective measures for
465 parasites with public health and economic implications. Furthermore, the elaboration of an innovation

466 guide directory with the portfolio of services was suggested as a key drive to help identify organizations
467 which do outsourcing R&D work for fish companies.

- 468
- 469 ▪ Educational seminars concerning relevant emerging topics like parasite hazards, for industry employees
470 and retailers should be implemented in all European regions, especially the establishment of proof-of-
471 concepts and demos linked to GMP and SOP programs within the legal scenarios to monitor into real-life.
472 Fish sellers represent a critical point that must be conscientiously trained and instructed, since they are the
473 target vehicle to reach the consumer in an immediate, inexpensive, effective, continuous and conservative
474 way.
 - 475
 - 476 ▪ Regardless of the method used for fish inspection, it is essential to design methodologies of categorization
477 or staging which should be incorporated, implemented and monitored in HACCP plans. Integration of
478 epidemiological information of parasites will aid to study, predict and avoid fish rejections and zoonoses,
479 and will enhance public consciousness and the success of control measures.
 - 480
 - 481 ▪ With the aim of improving risk communication to the broader society it would be indispensable to spread
482 the knowledge to ensure consumer protection within an open public access plan.
- 483

484

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486

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494

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699

700

701 **Table**

702

<i>Fish species</i>	<i>N</i>	<i>r</i>	<i>t (N-2)</i>	<i>p-level</i>
<i>Merluccius merluccius</i>	108	0.166583	1.60274	0.112495
<i>Brama brama</i>	108	0.292306	2.89971	0.004693
<i>Trigloporus lastoviza</i>	108	0.699164	9.27722	0.000000
<i>Micromesistius poutassou</i>	108	0.864426	16.31130	0.000000

703

704 **Table 1** Spearman Rank Order Correlations between sellers' rejections and consumers' claims due to
705 commercial fish infected by anisakids.

706

707

708 **Figures Captions**

709

710 **Fig. 1** The unaesthetic figures that many parasites produce on seafood products represent a serious problem
711 that has a significant impact on consumer's preferences by decreasing enormously the commercial value of
712 affected products. Regardless of the concern for the public health, the effects that parasites causes on
713 marketability forces seafood industry to discard large quantities of fish and to intensify quality inspection
714 protocols on seafood products. At this point, the most valuable goals of the industry are increasing the quality
715 of parasitized products and the consumer's confidence. A-H. Macrophotographs showing unaesthetic
716 problems associated to visible parasites found in commercial fish lots. 1. Up to 3 copepods belonging to
717 *Pennella* sp. with the anterior end anchored internally in the musculature of *Xiphias gladius*. 2. *Pennella* sp
718 causing inflammatory and ulcerous wounds around the entrance hole followed by abscesses in host
719 musculature. 3. Large number of *Molicola* sp. within the flesh of *X. gladius*. 4. Pseudocysts of *Kudoa* sp. in
720 the flesh of *Salmo salar*, at times associated to post-mortem myoliquefaction ("milky flesh syndrome"). 5.
721 Microsporidian xenomas of *Spraguea lophii* infecting nervous tissues of *Lophius budegassa*, usually located
722 along the length of the vertebral column (body), and on the medulla oblongata of the hind brain (head). 6.
723 Encysted larval of *Anisakis* sp. in the flesh of *Micromesistius poutassou*. 7. Encysted larvae of *Anisakis* sp. in
724 the gut cavity and belly flap of *M. poutassou*. 8. Larval of *Anisakis* sp. migrating under the skin of *M.*
725 *poutassou*. 9. Larval of *Pseudoterranova decipiens* in the flesh of *Lophius piscatorius*. 10. Old encysted
726 (melanin capsules) larvae of *Anisakis* sp. embedded in the flesh of *Merluccius merluccius*. 11. Copepod

727 belonging to the family Lernaeopodidae in *Sebastes mentella*, anchored internally in the musculature
728 surrounding fins.

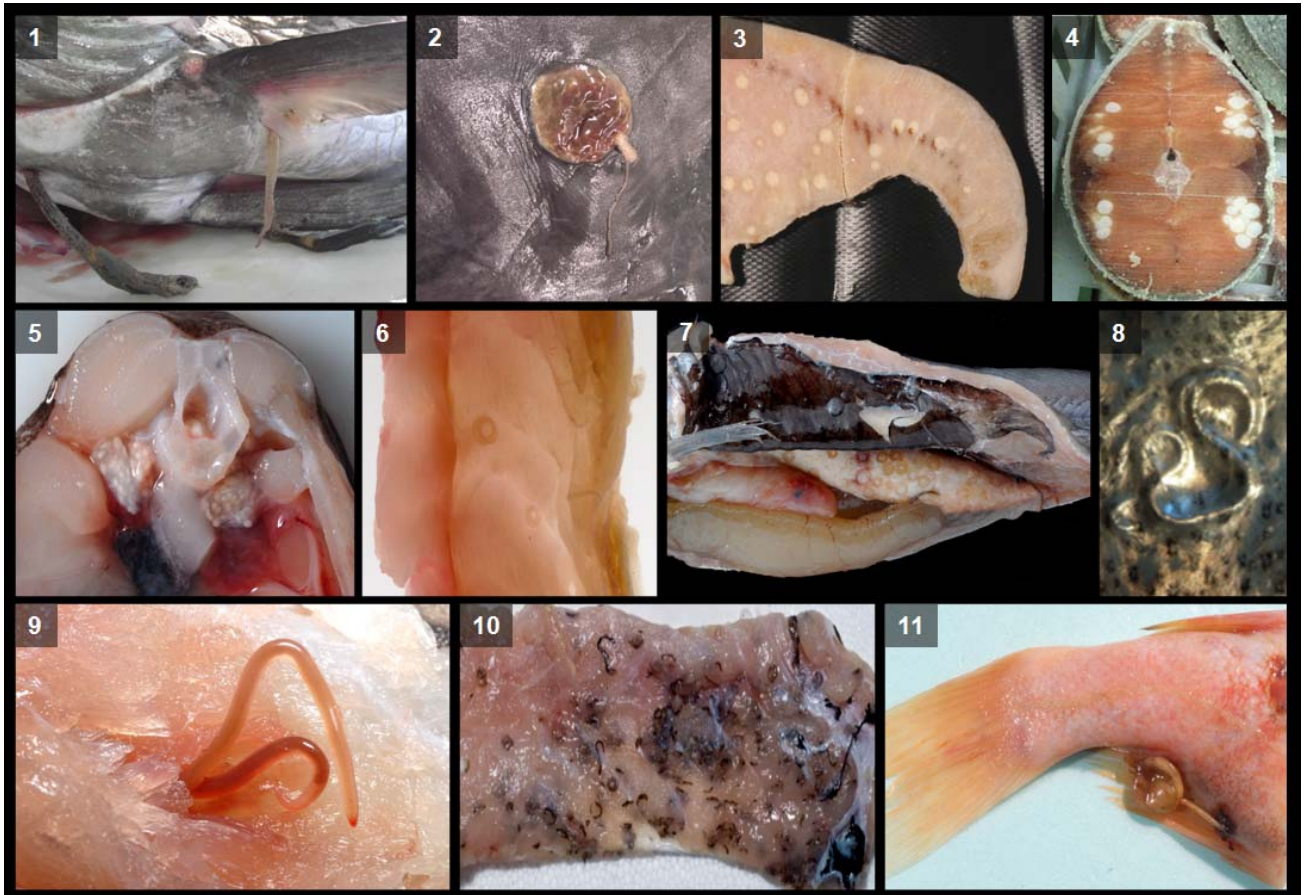
729

730 **Fig. 2** Cartography that includes specific set of maps illustrating the averages of demographic infection values
731 for *Anisakis* spp. in each Atlantic FAO fishing subarea (1st row), and related to both host order (2nd row) and
732 species of fishery importance (3rd row).

733

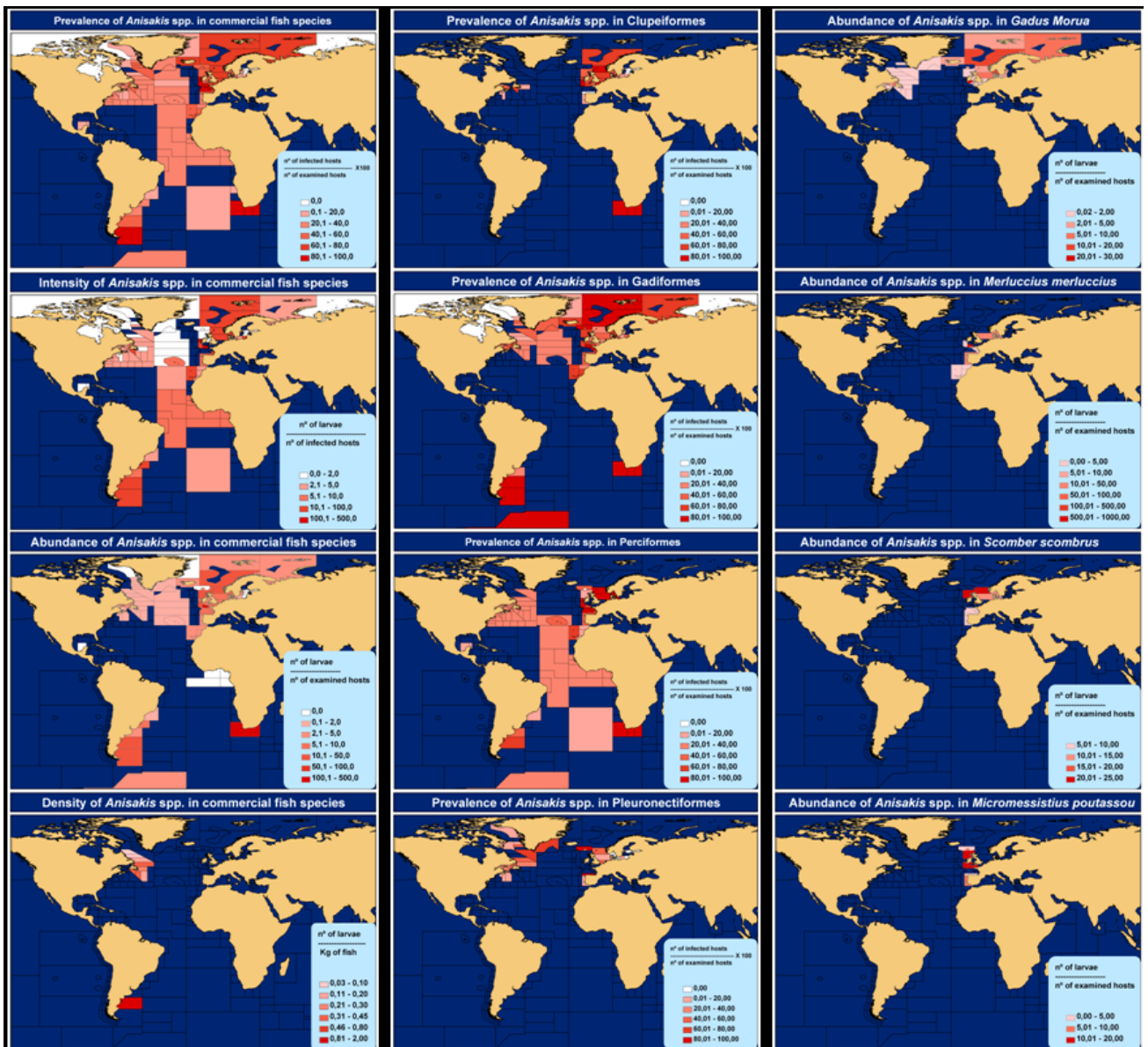
734 **Fig. 3** Graphical representation of the results obtained after carrying out a total of 108 surveys among fish
735 sellers in Galicia, NW Spain.

736

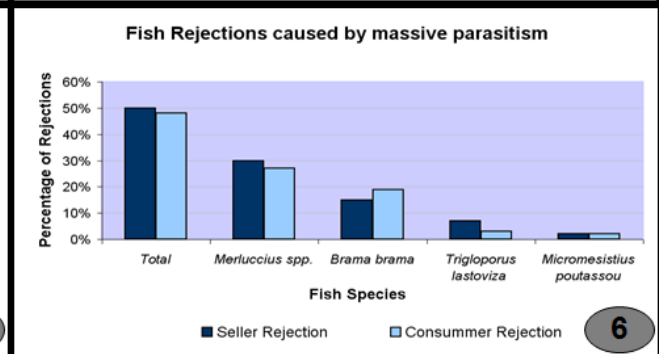
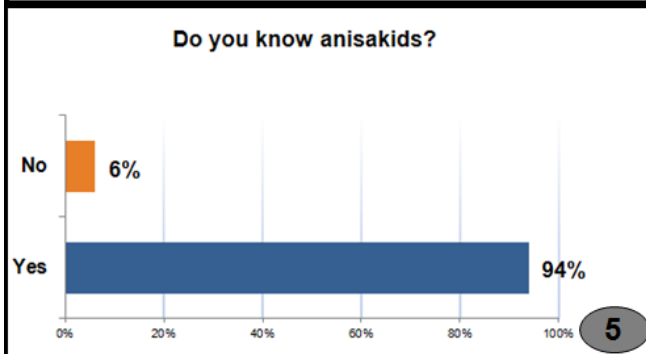
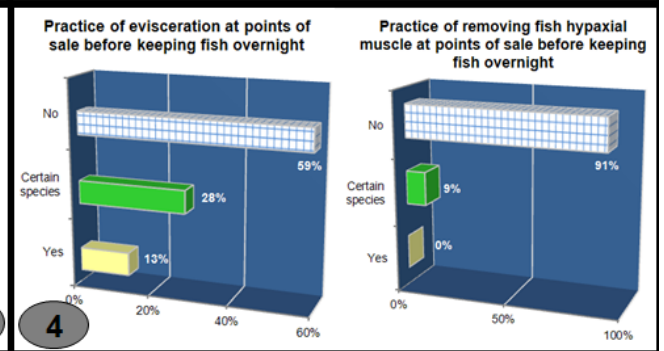
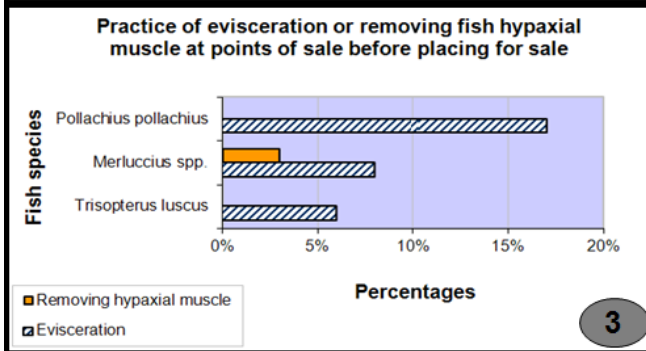
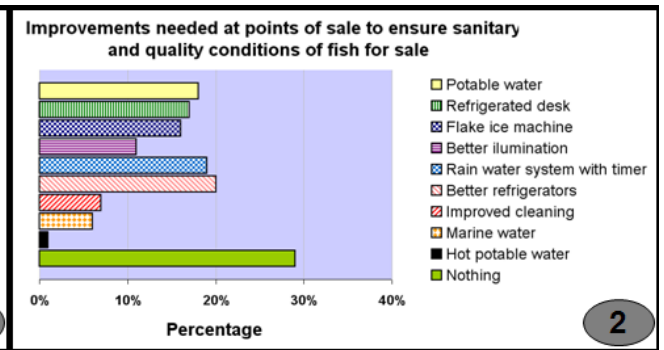
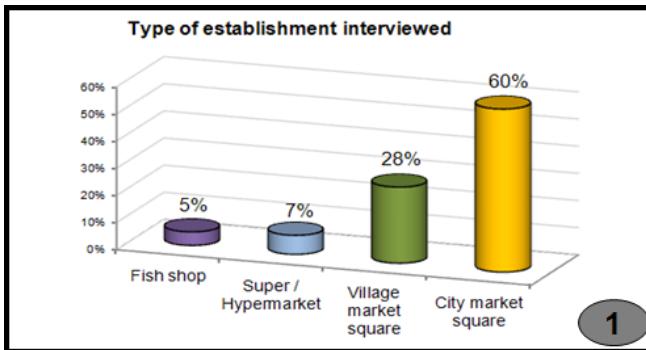


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