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# Comparison of several mathematical models for describing the joint effect of

- 2 temperature and pH on glucanex activity
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### **ABSTRACT**

The aim of the present work was to evaluate with different statistical criteria the suitability of nine equations for describing and optimizing the simultaneous effect of temperature and pH on glucanex activity using two characteristic polysaccharides (curdlan and laminarin) as substrates. The most satisfactory solutions were found with an empirical equation constituted with parameters of practical interest (Rosso model), and a hybrid model between the Arrhenius equation and the mathematical expression generated by the protonation-hydroxylation mechanism (Tijskens model). The joint optimal values of pH and temperature calculated with the Rosso model were obtained at 4.64 and 50°C with curdlan and 4.64 and 48°C using laminarin as substrate.

**Keywords:** glucanex, enzymatic activity, curdlan, laminarin, mathematical modelling, pH and temperature effects.

### 1. INTRODUCTION

Describing the combined effect of temperature and pH on the enzymatic reaction rate is a frequent problem that is not always solved satisfactorily. When they are studied independently, the two variables produce well-known rate profiles that increase to a maximum point which is then followed by a drop in activity. The results from experiments repeatedly demonstrate that the profiles obtained for the independent variables are linked to each other, generally in a non-additive interaction. The correct modeling of these experimental profiles is especially important when a rigorous and predictive quantification of the maximum enzymatic activity, and minimum, optimum and maximum pH and temperature values are necessary, for example, in the case of an enzyme reactor that is controlled by software.

The Arrhenius model provides a possible resource for modelling temperature when it is applied to the three rates involved in the process: the substrate transformation rate and the enzyme denaturation rate at high and low temperatures. This approach was used to describe the metabolism of poikilotherm organisms in which the restrictive factor of the rate is defined by only one enzyme. The equation obtained was later reformed by Schoolfield et al. who inserted a reference rate at a pre-established temperature and redefined the parameters (six in both mathematical expressions) in order to reduce the correlation among them. In spite of the formal basis of this last approach, the empirical equation proposed by different authors when several mathematical models for assessing the effect of temperature on the bacterial growth of *Lactobacillus plantarum* on a conventional culture medium MRS were compared. The same model led to satisfactory fits for describing *Salmonella* growth on soudjouk-style fermented semi-dry sausage. In enzyme kinetics, the Arrhenius equation has been used extensively to model the effect of temperature on the increase in reaction rate, 12,13,14 on the deactivation constant on the thermal stability of enzymes.

The requirements for modelling pH are similar or larger than those defined by the Arrhenius equation for temperature. The most formal description is based on an acid-base dissociation mechanism.<sup>1,2,3</sup> The use of this resource provides good fit results as well as parameters with a clear physical meaning and in some cases for defining the optimal intervals of enzyme activity.

Glucanex is a multicomponent enzyme preparation consisting of several isoenzymes that contain  $\beta$ -1,3 glucanase activity,<sup>19</sup> that is used commonly for hydrolyzing the oligosaccharides from yeast cell walls in order to obtain  $\beta$ -glucans,<sup>20</sup> to control wine spoilage yeasts, protoplast preparation and as a biocontrol agent against plant pathogenic fungi.<sup>21,22,23</sup> Nevertheless, there are no studies describing the kinetic characteristics of this enzyme, such as the combined effect

of temperature and pH on glucanex activity, and there are no data on the optimum temperature

and pH in the literature.

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- 69 The aim of the present work was to evaluate and compare the suitability of nine equations for
- describing the combined effect of pH and temperature on the catalytic activity of a commercial
- 71 glucanolytic preparation (glucanex) in the hydrolysis of two gluco-polysaccharides substrates:
- 72 curdlan ( $\beta(1\rightarrow 3)$ ) and laminarin ( $\beta(1\rightarrow 3):\beta(1\rightarrow 6)$  ratio of 3:1).

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### 2. MATERIALS AND METHODS

- 75 *2.1. Chemicals*
- 76 Both substrates, laminarin from Laminaria digitata and curdlan from Alcaligenes faecalis were
- provided by Sigma (St. Louis, MO, USA). Glucanex<sup>®</sup> 200G was obtained from Novozymes
- 78 Corp (Copenhagen, Denmark). Other reagents used in enzymatic assays were of analytical grade
- and purchased from Sigma.

- 81 2.2. Methodology for measuring the enzyme activity of Glucanex<sup>®</sup> 200G
- 82 Two different substrates (curdlan and laminarin) were dissolved in 0.02M citric/phosphate buffer
- at varius pHs. The enzyme activity was tested by placing 0.4 mL of the substrate solution, at the
- required pH for the analysis, into a 30 mL tube (in duplicate) with a teflon cap. The tubes were
- 85 then put into a controlled thermostatic water bath with continuous mild agitation. After reaching
- 86 the bath temperature, 0.1 mL of a fresh enzyme solution (0.005 M citric/phosphate buffer for
- each pH assay) of Glucanex<sup>®</sup> 200G (the concentration varied) was added, and thus 2.5 mg/L of
- 88 substrate was obtained in the final reaction solution. The reaction was ended when the analytical
- 89 time (varied) finished by adding 0.5 mL of 3,5-dinitrosalicylic acid (DNS). The enzyme activity
- was measured by determining the sugars released by the reaction with DNS using glucose as the
- 91 substrate.<sup>23</sup>

2.3. Preliminary assays for establishing the initial conditions

In order to determine the proper conditions for evaluating the joint effect of temperature and pH on the enzymatic activity, initial experiments were carried out to establish: a) an appropriate range for the temperature and pH; b) a suitable ratio between the substrate and enzyme (Glucanex® 200G); and c) an analytical time in which the product formation rate would continue to show a linear profile.

### 2.3.1. The temperature and pH range

The appropriate pH and temperature ranges were obtained by studying the two variables separately (with a constant pH of 4.5 for the temperature assays, and at 45°C for the pH assays) with curdlan as the substrate, an analytical time of 20 min and an enzyme-substrate ratio of 3:10 (750 µg/L). The maximum enzyme activity was obtained at 45 °C and pH 4.5 (Figure 1a). The final range selection for pH (3.5 to 6) and temperature (32 to 60 °C) were chosen around the individual optima where the product conversion reaches 50% (see experimental points included into the selected range box, Figure 1a). The experimental data were expressed as the percentage of the maximum concentration of the product formed (RS).

### 2.3.2. The substrate and enzyme ratio

The suitable substrate/enzyme ratio was selected by carrying out a kinetic assay, measuring the enzyme activity with different enzyme concentrations (from 0 to 1000  $\mu g/L$ ) at pH 4.5 and 45°C with a constant curdlan concentration of 2.5 mg/L in the final solution. The experimental results are shown in Figure 1b together with the profiles obtained by fitting the data to a first-order kinetic model:

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$$A_{Ez} = K(1 - e^{-\alpha t})$$
 [1]

where  $A_{Ez}$  as the enzymatic activity of glucanex (mg/L of RS released), t is the time of hydrolysis (min), K is the asymptotic product formation (mg/L) obtained and  $\alpha$  is the specific RS production rate (min<sup>-1</sup>). In the case of Glucanex, due to the absence of saturation, the value of K it is equal to the maximum possible product conversion (2.5 mg/L) for all enzymatic concentrations tested except for the case when no enzyme is present. The parameter  $\alpha$  increases as the enzyme concentration increases.

Finally, Figure 1c shows the nonlinear relation between the specific rate  $\alpha$ , and the enzyme concentration along with the fit to a similar mathematical expression [1], allowing us to analyse its derivative and to obtain the optimum value for the enzyme-substrate ratio. The ideal concentration was found to be approximately 250  $\mu$ g of glucanex/L (a ratio of 1:10) and this value was maintained for all subsequent experiments.

#### 2.3.3. The optimum analytical time

The results obtained for the initial times (<1 h) are plotted in Figure 1d. A linear correlation between the product formed and reaction time was observed for all enzyme concentrations over the initial 30 minutes. An analytical time of 15 min was chosen in order to ensure the linearity of product formation (mg/L) throughout the experiment. This time choice also avoids enzyme denaturation, shortens the time of the assay, and produces enough reducing sugars for accurate quantification.

### 2.4. Combined effect of pH and temperature on glucanex activity

The combined effect on glucanex activity (GA) was measured at several pHs (from 3.5 to 6 in steps of 0.5) and at different incubation temperatures (from 32 to 60°C with different interval steps for each substrate) with curdlan and laminarin as substrates. The enzyme activity (with

144 0.02M citric/phosphate buffer) was tested following the procedure described above using the 145 conditions previously selected: an analytical time of 15 min and enzyme/substrate ratio of 1:10 146 (250 μg/L) in the final solution. The experimental data were expressed as a percentage of the 147 maximum concentration obtained for each substrate.

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- 149 2.5. Fitting procedure and common statistical values
- Fitting procedures and parametric estimates from the experimental results were performed by minimizing the sum of quadratic differences between the observed and model-predicted values, using the nonlinear least-squares (quasi-Newton) method provided by the macro 'Solver' of the *Microsoft Excel XP* spreadsheet. The confidence intervals from the parametric estimates (Student's *t* test) and the goodness of fit and consistency of the mathematical models (Fisher's *F*

test) were determined using *DataFit 9.0.59* (Oakdale Engineering, Oakdale, PA, USA).

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- 2.6. Criteria used to assess the selection of the best model
- 158 2.6.1. Criteria based on model selection criteria (MSC)
- In the present work, the AICc, BIC, RIC, Cp, R<sup>2</sup><sub>adj</sub>, FPE and MSC criteria (Table 1) were directly obtained using an *Excel* spreadsheet. The leave one out cross-validation (LOO-CV) procedure and Monte Carlo cross-validation (MCCV) were obtained with an *Excel* spreadsheet using the *Excel* add-in *Solverstat* macro. This selected group is a combination of different criteria that can discriminate between the models based on their goodness of fit, complexity, overfitting
- and generalizability.
- 165 2.6.2. Additional statistical criteria
- Additional criteria based on the following features were used to evaluate the mathematical
- models: a) the residual distribution; b) the number of non-significant (NS) parameters ( $\alpha = 0.05$ );
- 168 c) the number of parameters with biological or physical meaning.

### 3. RESULTS AND DISCUSSION

- 3.1. Mathematical models describing the combined effect of temperature and pH on GA
- We reviewed and studied the appropriateness of nine models from the literature for predicting
- GA under different pH and temperature conditions. Those equations have different origins and
- mathematical structure and can be classified as: a) regular models with empirical forms
- 175 (polynomials) whose parameters do not have any physical meaning; b) models useful and widely
- in other fields of knowledge (i.e., microbial growth) for similar purpose; c) structured models
- developed to study the combined effect of temperature and pH on enzymatic reactions.

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- 179 3.1.1. Regular models
- 180 Model 1: The simplest approach for describing the joint effect of temperature and pH is defined
- by a quadratic polynomial with a multiplicative term that combines the action of the two
- independent variables on the response (enzymatic activity). This resource has been applied, for
- example, to study amylase,  $^1$  chitinase  $^{33}$  and 1,3-glucanase  $^{34}$  activity. When r is the enzymatic
- activity, the mathematical function is as follows:

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$$r = b_0 + b_1 T + b_2 p H + b_{12} T p H + b_{11} T^2 + b_{22} p H^2$$
 [2]

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- Model 2: The previous equation [2] defines a parabolic surface that can acceptably approach the
- response in a nearby environment to its maximum value. Thus, the following equation [3] could
- 190 represent a useful resource for determining the temperature and pH values that maximize the
- activity. However, the response is generally asymmetric, and thus better fits are obtained by
- adding two more terms to [2]:

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$$r = b_0 + b_1 T + b_2 p H + b_{12} T p H + b_{11} T^2 + b_{22} p H^2 + b_{112} T^2 p H + b_{122} T p H^2$$
 [3]

196 *3.1.2. Models used in other fields of knowledge* 

Model 3: If the objective is to describe a profile with an asymmetric dome form, a function with

bias towards the right side (*i.e.*, with an abrupt drop when  $X \to 0$  and gentle drop when  $X \to \infty$ )

199 is:

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$$201 r = aX^n \exp(-bX) [4]$$

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When n = 1, the equation represents a classic model of the population dynamics that describes

with basic principles the effect of intraspecific competition on reproductive success.<sup>35</sup> The

arbitrary resource of allowing n values that are different from 1 makes the profile of the

206 mathematical function more versatile.

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Equation [4] was used by Murado et al.<sup>36</sup> to describe the production of amylases in solid-state

cultures by Aspergillus oryzae as a function of the saturation of the support in the liquid phase.

The same authors found that the results obtained by Lindenfelser and Ciegler, 37 relative to the

effect of the humidity percentage in ochratoxin A production with Aspergillus ochraceus using

solid-state fermentation on wheat grains, were satisfactorily fitted to equation [4].

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The bivariate model applied to the activity is the multiplication of two equations [4]:

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$$r = aT^{n_1}pH^{n_2}\exp(-b_3T - b_4pH)$$
 [5]

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However, since equation [4] defines a curve with an initial null ordinate, to use equation [5] in

our context we need to modify the origin by introducing two parameters ( $T_0$  and  $pH_0$ ) that

represent the T and pH values that make the activity null:

222 
$$r = a(T - T_0)^{n_1} (pH - pH_0)^{n_2} \exp[-b_3(T - T_0) - b_4(pH - pH_0)]$$
 [6]

- 224 Model 4: The effect of temperature on the rate of nucleotide decomposition (r) in cold-stored
- carp muscle was described by Ohta and Hirabara<sup>38</sup> with the empirical relation:  $r^{1/2} = 0.065T + 0.065T$
- 226 0.518, which<sup>8</sup> is generalized as:

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$$228 r^{1/2} = c(T - T_0) [7]$$

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- 230 It was applied to bacterial growth in a range of temperatures (in K) that covers a range from the
- 231 minimum temperature  $(T_0)$  when the growth rate is null to the maximum temperature of growth.

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- 233 Later on, the equation was reformed<sup>7</sup> to expand its descriptive capacity to any temperature, and
- took on the following mathematical form:

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$$r^{1/2} = c \left( T - T_{\min} \right) \left\{ 1 - \exp \left[ a_1 \left( T - T_{\max} \right) \right] \right\}$$
 [8]

237

- where  $T_{\min}$  (with the same meaning as  $T_0$  in equation [7]) and  $T_{\max}$  represent the limits of the
- 239 temperature range beyond which the growth rate is null. Indeed, the exponential term becomes
- 240 nil when  $T_{\text{max}} >> T$  (so that equation [8] can be simplified to equation [7]) and increases when T
- is close to  $T_{\text{max}}$ , so that r decreases from a certain T value and tends to zero when  $T = T_{\text{max}}$ .

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In a subsequent modification, Pronk et al. 10 proposed the equation:

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$$r = c (T - T_{\min})^2 \{1 - \exp[a_1 (T - T_{\max})]\}$$
 [9]

247 This equation differs from [6] because the decrease in *r* from a maximum is due to an exponential function instead of its square. In both cases, equations [8] and [9] produce more versatile profiles than [4], with the possibility of obtaining biases to the left or right.

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- 251 The inclusion of the pH in this model can be done by multiplying [9] by a polynomial equation
- 252 formulated with this variable:

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254 
$$r = c \left( T - T_{\min} \right)^2 \left\{ 1 - \exp \left[ a_1 \left( T - T_{\max} \right) \right] \right\} \left( c_0 + c_1 p H + c_2 p H^2 \right)$$
 [10]

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- 256 Model 5: Another option would be to accept that the relationship between the pH and the
- enzymatic activity leads to a function with the same structure as that used for the temperature.
- 258 Thus, the combined response could be described by multiplying the two effects:

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$$r = c(T - T_{\min})^2 \{1 - \exp[a_1(T - T_{\max})]\} (pH - pH_{\min})^2 \{1 - \exp[a_2(pH - pH_{\max})]\}$$
 [11]

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- Model 6: Initially, the Rosso equation was used to describe the joint effect of temperature and
- pH on microbial growth, <sup>39</sup> but it can also be used in other fields, such as enzyme kinetics. It
- establishes the enzymatic activity (r) as a dependent variable:

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266 
$$f(T) = \frac{(T - T_{min})^{2} (T - T_{max})}{(T_{opt} - T_{min}) [(T_{opt} - T_{min}) (T - T_{opt}) - (T_{opt} - T_{max}) (T_{opt} + T_{min} - 2T)]}$$

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268 
$$f(pH) = \frac{(pH - pH_{min})(pH - pH_{max})}{\left[(pH - pH_{min})(pH - pH_{max}) - (pH - pH_{opt})^{2}\right]}$$

 $r = r_m f(T) f(pH)$  [12]

where r is the enzymatic activity,  $r_m$  is the maximum enzymatic activity, T is the temperature (°C),  $T_{min}$  is the temperature below which no activity occurs,  $T_{max}$  is the temperature above which no enzymatic activity occurs,  $T_{opt}$  is the temperature at which the enzyme activity is optimal,  $pH_{min}$  is the pH below which no catalytic activity occurs,  $pH_{max}$  is the pH above which no activity occurs, and  $pH_{opt}$  is the pH at which the enzyme activity is optimal.

Model 7: The accumulated function of the Weibull distribution is a very versatile resource when a symmetric sigmoid or parabolic profiles do not need to be simulated.<sup>40</sup> It has been successfully used in diverse experimental fields such as the study of biotoxins<sup>41</sup> and the antioxidant capacity of different compounds.<sup>42</sup> Its mathematical expression, in the case of defining the combined effect of temperature and pH on GA, can be written as:

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$$r = k \left(\frac{\alpha T^{\alpha - 1}}{q^{\alpha}}\right) \exp \left[-\left(\frac{T}{q}\right)^{\alpha}\right] \left(\frac{\beta p H^{\beta - 1}}{p^{\beta}}\right) \exp \left[-\left(\frac{p H}{p}\right)^{\beta}\right]$$
 [13]

where r is the enzymatic activity, k,  $\alpha$ , q,  $\beta$  and p are empirically determined parameters, and T is the temperature (°C).

- 289 3.1.3. Structured models
- Model 8: The model proposed by Sharpe et al.<sup>4</sup> is based on a combination of three Arrhenius equations:

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$$r = \frac{k_r \exp\left(\frac{-E_r}{RT}\right)}{1 + k_a \exp\left(\frac{-E_a}{RT}\right) + k_b \exp\left(\frac{-E_b}{RT}\right)}$$
 [14]

where T is the temperature (K), R is the ideal gas constant (8.314 J mol<sup>-1</sup> K<sup>-1</sup>), and the meaning of the parameters is defined by the Arrhenius model for each reaction considered: 1) substrate transformation (represented by subindex r), and 2) the reversible enzyme deactivations at high (subindex a) and low (subindex b) temperatures. Thus,  $k_i$  is the pre-exponential terms and  $E_i$  the activation energies (J mol<sup>-1</sup>).

301 The reformulation used by Schoolfield et al.<sup>6</sup> is:

$$r = \frac{r_r \frac{T}{T_r} \exp\left[\frac{H_r}{R} \left(\frac{1}{T_r} - \frac{1}{T}\right)\right]}{1 + \exp\left[\frac{H_a}{R} \left(\frac{1}{T_a} - \frac{1}{T}\right)\right] + \exp\left[\frac{H_b}{R} \left(\frac{1}{T_b} - \frac{1}{T}\right)\right]}$$
[15]

involving the enzymatic activity  $r_r$  to a reference temperature  $T_r$  (319 K), the substitution of activation energies by the enthalpies  $H_i$  and the introduction of temperatures  $T_a$  and  $T_b$  that determine, for excess and defect, respectively, the 50% drop in enzymatic activity. As in equation [9], the profile can be biased to the left or right.

The effect of pH could also be included by multiplying equation [15] and a quadratic polynomial as follows:

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$$r = \frac{r_r \frac{T}{T_r} \exp\left[\frac{H_r}{R} \left(\frac{1}{T_r} - \frac{1}{T}\right)\right]}{1 + \exp\left[\frac{H_a}{R} \left(\frac{1}{T_a} - \frac{1}{T}\right)\right] + \exp\left[\frac{H_b}{R} \left(\frac{1}{T_b} - \frac{1}{T}\right)\right]} \left(c_0 + c_1 pH + c_2 pH^2\right)$$
[16]

Model 9: The only theoretical approach developed for fitting the joint effect of temperature and pH on enzymatic activity was proposed by Tijskens et al.<sup>3</sup> in order to study this effect in phytase, peroxidase and lipase catalysis.<sup>43</sup> This equation combines the Arrhenius model that explains the temperature effect with an acid-base dissociation reaction for the pH effect:

320 
$$r = \frac{r_m k_{sr} \exp\left[\frac{E_s}{R} \left(\frac{1}{T_r} - \frac{1}{T}\right)\right] \exp\left[-k_{dr} t \exp\left[\frac{E_d}{R} \left(\frac{1}{T_r} - \frac{1}{T}\right)\right]\right]}{1 + \frac{H^+}{K_{EH}} + \frac{K_w}{K_{EOH}} \frac{1}{H^+}}$$
[17]

where r is the enzymatic activity,  $r_m$  is the maximum enzymatic activity, which was maintained constant at a value of 100, t is the reaction time (10 min), T is the temperature (K),  $T_r$  is the reference temperature (313 K),  $k_{sr}$  is the specific reference rate for the enzymatic process (min<sup>-1</sup>),  $k_{dr}$  is the specific reference rate for the deactivation enzymatic process (min<sup>-1</sup>),  $E_d$  is the activation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J mol<sup>-1</sup>),  $E_s$  is the deactivation energy for the catalytic process (J

The combined effects of pH and temperature on GA using laminarin and curdlan as substrates are displayed in Figure 2 and Figure A (Supplemental Material), respectively. In both cases, the experimental domains ranged from 32 to 60°C and from 3.5 to 6.0 for pH. In these figures the experimental data were fitted to the equations specified on the top of the graphs. The parametric

estimates and corresponding confidence intervals of the proposed equations are summarized in Table 2. In all cases for both substrates, the fitting of experimental data to equations ( $F_{\text{ratio}}$ >45, p<0.005) was statistically satisfactory and consistent (data not shown).

#### 3.2. Model selection by statistical criteria

Since there are many models able to fit the combined effects of T and pH reasonably well for the data presented, a selection process was carried out to determine the model that best predicts the joint effect of the two variables in the interval studied. In order to assist us with selecting the best model, we used different statistical criteria to evaluate the multivariable fit and explanatory appropriateness of the equations.

### 3.2.1. Model selection criteria (MSC)

The usefulness of MSC to compare a group of possible models is well-documented.<sup>44</sup> A model should be complex enough to extract the regularities in data, but simple enough not to overfit it and thereby reduce predictiveness. MSC adjust the goodness of fit in order to penalize model complexity, overfitting and lack of generalizability. Currently, there are a variety of MSC available, <sup>26,45</sup> but there is no one criterion that can lead to a perfect choice. <sup>46</sup> A summary of the MSC used to evaluate the results obtained for the nine models with curdlan and laminarin as substrates is shown in Table 1.

Table 3 shows the model rank (Rk) obtained for each MSC and the final ranking (Rk<sub>F</sub>) based on the ranking sum of each MSC ( $\Sigma$ Rk) for the two substrates. With curdlan, equation [3] was the best model with respect to the sum of all MSC, followed by equations [12], [13], [11] and [17]. In the case of laminarin, equation [16] was the best model with respect to the sum of all MSC, followed by equations [17], [12], [11] and [10]. When the sum of the model rank (Rk) for the

360 two substrates is applied, equation [12] followed by [17] and [16] are the models most likely to 361 be correct. 362 363 3.2.2. Additional statistical criteria The residuals should be randomly scattered around zero to avoid autocorrelation.<sup>47</sup> These 364 365 residuals should not be grouped and should not increase or decrease as a function of the 366 independent variable. In general terms all the models used showed a relatively good distribution 367 of the residuals, and autocorrelation was not observed with the Durbin-Watson test (data not 368 shown). 369 The confidence intervals at a level of 95% for each parameter are reported in Table 2. The 370 371 parametric estimates in many cases led to large confidence intervals, and therefore these 372 parameters were considered not significant. For example, in equation [6] only one parameter  $(b_3)$ 373 was significant. In equations [10] and [11] the most important coefficients with physical 374 meaning  $(T_{max}, T_{min}, pH_{max})$  were significant. Equation [16] has good fitting levels in both cases (the best fit was when laminarin was used); however; just three out of the nine parameters were 375 376 significant. 377 378 Only in equations [12] and [13] were all the parameters statistically significant. In equation [16], 379 the parameters  $K_{dr}$  and  $K_{sr}$  showed confidence levels below 95% in both cases (laminarin and curdlan). As explained by Tijskens et al.<sup>3</sup>, fitting should be carried out in two steps: first, obtain 380 381 the parameters  $K_{dr}$ ,  $E_d$ ,  $K_{sr}$  and  $E_s$ ; and second, adjust the other two parameters ( $K_{EH}$  and  $K_{EOH}$ )

separately. When these indications are followed all the parameters are significant ( $\alpha = 0.05$ ).

Conversely, when the fitting procedure is applied in one single step, as we reported here, the

parameters  $K_{dr}$  and  $K_{sr}$  are not statistically significant.

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Finally, many parameters from equations [2], [3], [6] and [13] do not have a biological or simple physical meaning, and therefore these equations are not very appropriate for describing enzymatic activity. Equations [10] and [11] have a small number of interpretable parameters. Only in equations [12] and [17] do all the parameters have physical meaning. In equation [17], additional values for  $pH_{opt}$ ,  $pH_{min}$  and  $pH_{max}$  can be calculated from the parameters obtained. However, equation [12] seems to be more convenient (from an industrial point of view) because its parameters that describe the limits and optimal conditions of pH and T as well as the maximum amount of product released are easily interpreted. Many authors have also emphasized that the applied models should have a clear meaning and use the minimum number of parameters that can be successfully employed for biological optimizations and descriptions.  $^{39,48}$ 

### 3.3. Model selection and application

The combination of the above mentioned criteria indicated that equations [12] and [17] are suitable for predicting the join effect of temperature and pH on GA with both substrates. In the case of curdlan, equation [12] seems to be more accurate than [17]; however, with laminarin, equation [17] was the best model for predicting the experimental data. These models can be used to determine a set of parameters with geometric and physical meaning that describe completely the joint effect of pH and T on the enzyme activity.

#### *3.3.1.* Application when curdlan is used as substrate

- Figure 3 shows the interactive effects of pH and temperature on GA using curdlan as a substrate.
- Experimental data were fitted to equation [12], which showed that a joint maximum is achieved
- 408 from 46 to 53°C and in the pH range 4.5 to 5.0.

Practical and operative descriptions of the limits and optimal glucanex activity can be established using the numerical values of the biologically meaningful parameters from equation [12] (Table

2). Thus, the joint optimal pH  $(pH_{opt})$  and temperature  $(T_{opt})$  for GA was  $4.64\pm0.22$  and  $50.48\pm1.38^{\circ}$ C, respectively, using curdlan as substrate. Both values were in agreement with the results obtained by using 1,3-glucanase from *Trichoderma harziaum*, but studying one factor at a time. Other interesting parameters obtained from equation [16] were the maximum temperature  $(T_{max})$  and pH  $(pH_{max})$  and minimum temperature  $(T_{min})$  and pH  $(pH_{min})$  for enzymatic activity. The values for glucanex with curdlan were  $65.55\pm2.29^{\circ}$ C,  $6.83\pm0.51$ ,  $22.96\pm4.63^{\circ}$ C and  $2.88\pm0.42$  respectively.

- 420 3.3.2. Application when laminarin is used as substrate
- The experimental data and the simulated profiles fitted to equation [17] are shown in Figure B (Supplementary Material). The optimal activity was found at 47.58±0.70°C for T and 4.64±0.11 for pH. Using a conventional study of one factor at a time with laminarin, other authors established the optimal pH and T conditions for an exo-β-1,3-glucanase from *Trichoderma* as 5.1 and 55°C, respectively [35].

The large number of runs in the residual plot indicates that there is no clustering in the distribution in certain zones, which suggests that this distribution is random and that the equation estimated all datasets perfectly (avoiding under or overestimations) (see Figure B, Supplemental Material).

### 4. CONCLUSIONS

The results of the comparison of several mathematical models for describing the experimental profiles of the combined effect of pH and temperature on glucanex activity highlighted the fitting and description capacities of the Rosso [12] and Tijskens [17] equations. The two models were used separately to obtain a set of parameters, based on first principles or with clear geometric and physical meaning, which described the GA characteristics completely.

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#### FIGURE CAPTIONS

Figure 1: Determination of the optimal conditions for enzyme assay in order to evaluate the combined effect of temperature and pH on GA: a) selection of pH and T intervals; b) kinetics data obtained at different enzyme concentrations (0  $\blacksquare$ , 15  $\square$ , 30  $\bullet$ , 75  $\bigcirc$ , 150  $\blacktriangle$ , 250  $\triangle$ , 500  $\bullet$ , 1000  $\diamondsuit$  µg/L) and adjusted to the equation (1); c) values of specific rate of RS produced ( $\alpha$ ) for each glucanex concentration; d) selection of the analytical time in the linear section of the initial rates.

**Figure 2:** Response surfaces of the combined effect of temperature and pH on GA (%) with laminarin as substrate. Fit of the experimental results (●) according to the equations defined in the text.

**Figure 3:** Combined effect of temperature and pH on GA (%) with curdlan as substrate. **A:** 2D representation of pH and T effects. Fit of the experimental results (●) according to the equation (12) (continuous line). **B:** correlation between expected and observed data and plots of residuals (%) in relation with pH, T and GA. **C:** 3D representation of pH and T effects on GA. Fit of the experimental results (●) according to the equation (12) (response surface).

**Supplemental Figure A:** Response surfaces of the combined effect of temperature and pH on GA (%) with curdlan as substrate. Fit of the experimental results (●) according to the equations defined.

**Supplemental Figure B:** Combined effect of temperature and pH on GA (%) with laminarin as substrate. **A:** 2D representation of pH and T effects. Fit of the experimental results (●) according to the equation (17) (continuous line). **B:** correlation between expected and observed data and plots of residuals (%) in relation with pH, T and GA. **C:** 3D representation of pH and T effects on GA. Fit of the experimental results (●) according to the equation (17) (response surface).

475476 TABLE CAPTIONS

 **Table 1:** Different model selection criteria (MSC) used to compare the nine models reviewed from the bibliography to predict the joint effect of pH and T. n: number of independent measurements considered in the fit. k: number of fitted parameters. RSS: residual sum of squares. ESS: explained sum of squares.

**Table 2:** Parametric estimates and confidence intervals obtained from the equations used in the evaluation of the joint effect of pH and temperature on the glucanex activity with curdlan (A) and laminarin (B) as substrate. CI: confidence intervals were evaluated by t-Student test ( $\alpha$ =0.05). NS: non significant. \*\* Further interesting values calculated from the parameters of equation (17).

**Table 3:** Model ranking (Rk) obtained for each MSC and the final ranking (Rk<sub>F</sub>) based on the total ranking average ( $\sum Rk$ ) for the two substrates.

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# **FIGURES**

Figure 1

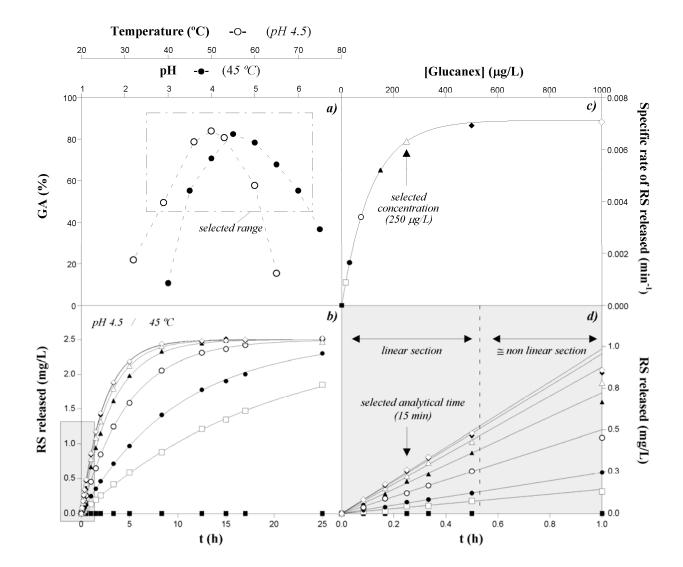


Figure 2

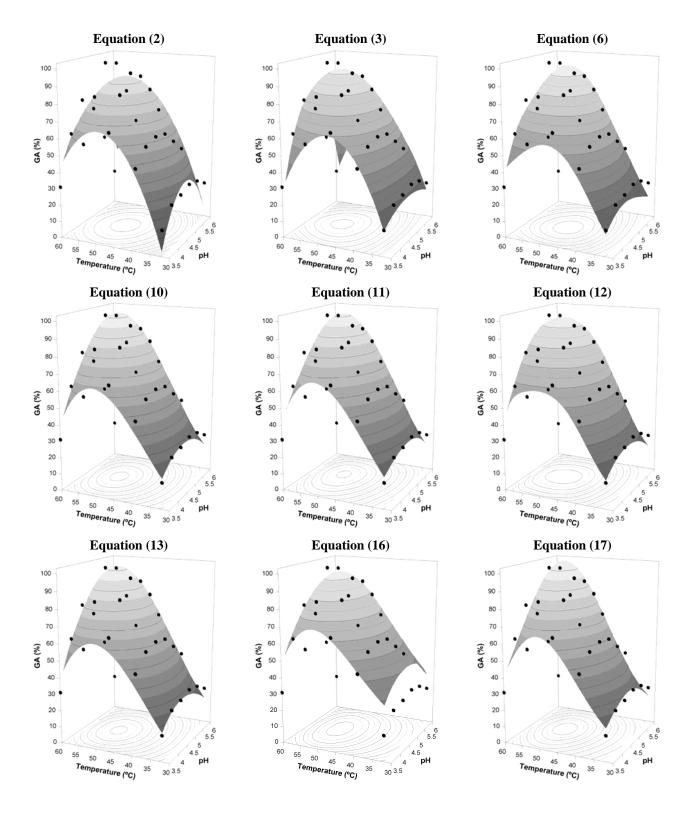


Figure 3

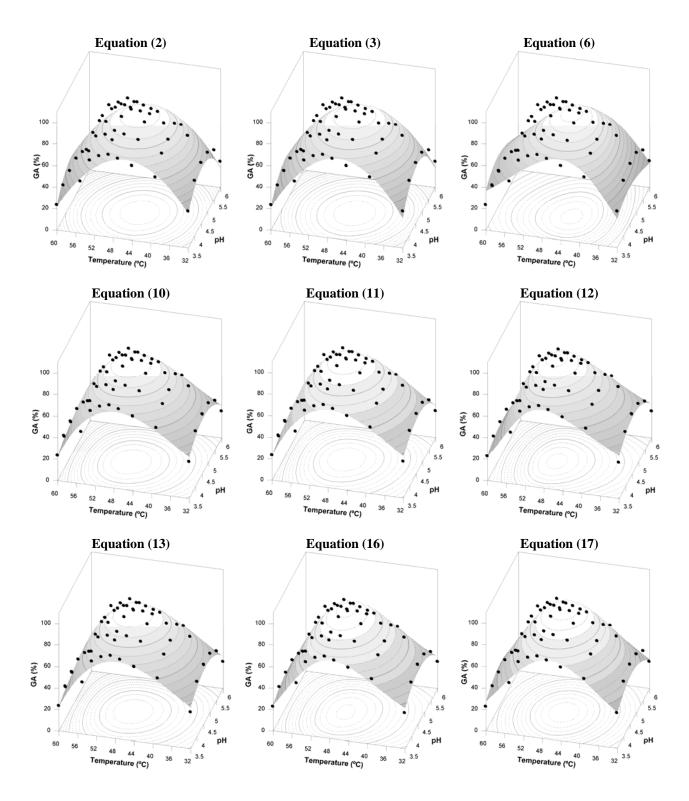


Figure 4

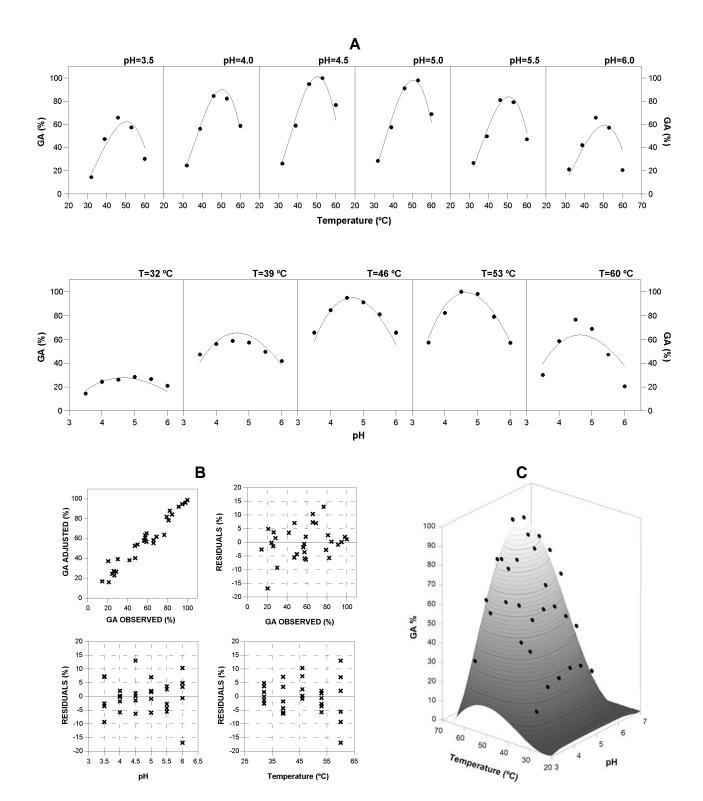
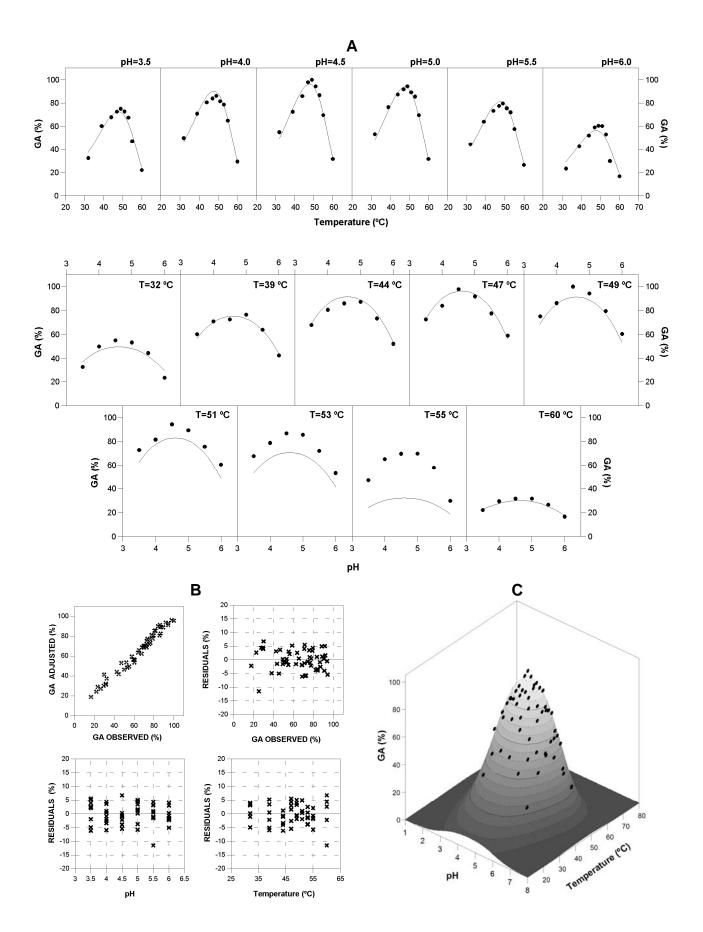


Figure 5



### **TABLES**

**Table 1:** Different model selection criteria (MSC) used to compare the nine models reviewed from the bibliography to predict the joint effect of pH and T. n: number of independent measurements considered in the fit. k: number of fitted parameters. RSS: residual sum of squares. ESS: explained sum of squares.

| Criterion   | Key         | Claim                             | Formula  |
|---|-------------|-----------------------------------|--|
| Akaike Information Criterion Corrected <sup>23,24</sup> | AICc        | complexity<br>(efficient)         | $AIC_C = n \ln \left( \frac{RSS}{n} \right) + \left( \frac{2(k+1)}{n-k-2} \right)$ |
| Bayesan Information Criterion <sup>25</sup>             | BIC         | complexity<br>(consistent)        | $BIC = n \ln (RSS) + \ln (n)k$   |
| Akaike's Final Prediction Error <sup>24</sup>           | FPE         | complexity                        | $FPE = n \frac{RSS(n+k)}{(n-k)}$   |
| Mallows' Cp <sup>23,24</sup>                            | Ср          | goodness of fit /<br>overfitting  | $C_{p} = n \left[ RSS / (ESS / n - 1) \right] - n + 2k$                            |
| Adjusted Coefficient of Determination <sup>24</sup>     | $R^2_{adj}$ | goodness of fit /<br>complexity   | $R_{adj}^2 = \frac{\left(n-1\right)R^2 - k}{n-1-k}$                                |
| Residual Information Criterion <sup>24</sup>            | RIC         | goodness of fit /<br>overfitting  | $RIC = (n-k)\ln(RSS) + k[\ln(n)-1] + \frac{4}{n-k-2}$                              |
| Model Selection Criterion <sup>26</sup>                 | MSC         | goodness of fit                   | $MSC = \ln\left(\frac{ESS}{RSS}\right) - \frac{2k}{n}$                             |
| Leave One Out Cross-Validation <sup>26,27,28,29</sup>   | LOO-CV      | generalizability                  |  |
| Monte Carlo Cross-Validation <sup>27,28,30</sup>        | MCCV        | generalizability /<br>overfitting |  |

**Table 2:** Parametric estimates and confidence intervals obtained from the equations used in the evaluation of the joint effect of pH and temperature on GA with curdlan (A) and laminarin (B) as substrate. CI: confidence intervals were evaluated by t-Student test ( $\alpha$ =0.05). NS: non significant.

|           |                         | 7.1                     |                      |                       | WITH CURDLAN                           | 7.0 000011011                          | _                    |                           |                           |
|-----------|-------------------------|-------------------------|----------------------|-----------------------|--|--|----------------------|---------------------------|---------------------------|
| Equations | <b>b</b> 00             | <b>b</b> 12             | <b>b</b> 1           | <b>b</b> 11           | b <sub>2</sub>                         | <b>b</b> 22                            | <b>b</b> 221         | <b>b</b> 112              | -                         |
| (2)       | -856±164                | -0.186 (NS)             | 181±53               | -18.37±5.22           | 21.42±4.10                             | -0.21±0.04                             |                      |                           |                           |
| (3)       | 116.2 (NS)              | 9.01±4.42               | -240±170             | 25.90±16.64           | 0.146 (NS)                             | -0.21±0.15                             | -0.0006 (NS)         | -0.96±0.35                |                           |
|           | а                       | T <sub>0</sub>          | <b>n</b> 1           | pH₀                   | n <sub>2</sub>                         | <b>b</b> 3                             | b <sub>4</sub>       |                           | -                         |
| (6)       | 6x10 <sup>-6</sup> (NS) | 17.17 (NS)              | 6.54 (NS)            | 17.17 (NS)            | 6.31 (NS)                              | 0.210±0.207                            | 2.06 (NS)            |                           |                           |
|           | $T_{max}$               | <b>T</b> <sub>min</sub> | С                    | <b>a</b> 1            | <b>C</b> 0                             | <b>C</b> 1                             | <b>C</b> 2           |                           |                           |
| (10)      | 65.12±2.25              | 21.97±4.98              | 22.20 (NS)           | 0.0003 (NS)           | -5.92 (NS)                             | 3.05 (NS)                              | -0.32 (NS)           |                           |                           |
|           | $T_{max}$               | <b>T</b> <sub>min</sub> | $pH_{max}$           | $pH_{min}$            | С                                      | <b>a</b> 1                             | <b>a</b> 2           |                           |                           |
| (11)      | 65.11±2.45              | 21.98±5.42              | 6.52±0.36            | 1.26 (NS)             | 40.68 (NS)                             | 0.0001 (NS)                            | 0.083 (NS)           |                           |                           |
|           | $T_{max}$               | $T_{min}$               | $pH_{max}$           | $pH_{min}$            | <b>r</b> <sub>m</sub>                  | $T_{opt}$                              | $pH_{opt}$           |                           |                           |
| (12)      | 65.55±2.29              | 22.96±4.63              | 6.83±0.51            | 2.88±0.42             | 101.76±6.55                            | 50.48±1.38                             | 4.64±0.22            |                           |                           |
|           | k                       | α                       | q                    | β                     | p                                      |  | -                    |                           |                           |
| (13)      | 8445±758                | 5.32±0.40               | 52.35±0.70           | 4.33±0.45             | 5.04±0.09                              |  |                      |                           |                           |
|           | <b>C</b> 0              | <b>C</b> 1              | <b>C</b> 2           | <b>r</b> <sub>r</sub> | Hr                                     | Ta                                     | Ha                   | Tb                        | Hь                        |
| (16)      | -5.71 (NS)              | 2.950 (NS)              | -0.313 (NS)          | 1.189 (NS)            | -17.37 (NS)                            | 334.0±46.6                             | 687.7 (NS)           | 311.9±41                  | -177 (NS)                 |
|           | <b>E</b> s              | <b>E</b> d              | pH <sub>max</sub> ** | $pH_{min}^{**}$       | <b>K</b> EH                            | <b>K</b> EOH                           | $pH_{opt}^{**}$      | <b>k</b> sr               | <b>K</b> dr               |
| (17)      | 65.5±42.6               | 104.28±92.59            | 7,96**               | 3,38**                | 0.0004±0.0002                          | 1.1x10-8±10 <sup>-10</sup>             | 4,70**               | 7.5x10 <sup>10</sup> (NS) | 3.83x10 <sup>15</sup> (NS |
|           |                         | В)                      | PARAMETER:           | S OBTAINED V          | VITH LAMINARII                         | N AS SUBSTRA                           | TE                   |                           |                           |
| Equations | <b>b</b> 00             | <b>b</b> 12             | <b>b</b> 1           | <b>b</b> 11           | b <sub>2</sub>                         | <b>b</b> 22                            | <b>b</b> 221         | <b>b</b> 112              |                           |
| (2)       | -747.8±94.4             | 0.032 (NS)              | 153.1±28.2           | -16.77±2.69           | 21.58±2.42                             | -0.24±0.02                             |                      |                           |                           |
| (3)       | -1029±447               | -2.59 (NS)              | 245.3±164.4          | $-23.5 \pm 16.3$      | 30.72±14.11                            | -0.31±0.13                             | 0.01 (NS)            | 0.14 (NS)                 |                           |
|           | а                       | <b>T</b> <sub>0</sub>   | <b>n</b> 1           | pH₀                   | n <sub>2</sub>                         | <b>b</b> 3                             | <b>b</b> 4           |                           |                           |
| (6)       | 0.0001 (NS)             | 15.22 (NS)              | 6.26 (NS)            | 1.13 (NS)             | 6.08 (NS)                              | 0.21 (NS)                              | 1.82 (NS)            |                           |                           |
|           | $T_{max}$               | T <sub>min</sub>        | С                    | <b>a</b> 1            | C <sub>0</sub>                         | <b>C</b> 1                             | <b>C</b> 2           |                           |                           |
| (10)      | 62.17±0.52              | 12.50±5.51              | 0.38 (NS)            | 0.03 (NS)             | -2.29 (NS)                             | 1.28 (NS)                              | -0.14 (NS)           |                           |                           |
|           | $T_{max}$               | $T_{min}$               | $pH_{max}$           | $pH_{min}$            | С                                      | <b>a</b> 1                             | <b>a</b> 2           |                           |                           |
| (11)      | 62.11±0.52              | 12.51±5.60              | 6.60±0.18            | 0.75 (NS)             | 0.026 (NS)                             | 0.047 (NS)                             | 0.18 (NS)            |                           |                           |
|           | $T_{max}$               | <b>T</b> <sub>min</sub> | pH <sub>max</sub>    | $pH_{min}$            | <b>r</b> <sub>m</sub>                  | $T_{opt}$                              | $pH_{opt}$           |                           |                           |
| (12)      | 62.18±0.54              | 13.10±4.58              | 6.69±0.22            | 2.26±0.45             | 94.82±2.44                             | 47.58±0.70                             | 4.64±0.11            |                           |                           |
|           | k                       | α                       | q                    | β                     | p                                      |  | -                    |                           |                           |
| (13)      | 9019±280                | 4.87±0.24               | 48.81±0.42           | 3.83±0.26             | 5.00±0.06                              |  |                      |                           |                           |
|           | <b>C</b> 0              | C <sub>1</sub>          | C <sub>2</sub>       | <b>r</b> <sub>r</sub> | Hr                                     | <b>T</b> a                             | Ha                   | T <sub>b</sub>            | Нь                        |
| (16)      | -318.6 (NS)             | 178.8 (NS)              | -19.43 (NS)          | 1.14 (NS)             | -52.33 (NS)                            | 327.4±25.6                             | 226.4 (NS)           | 329.2±27.6                | -98.35±46                 |
| - •       | <b>E</b> s              | <b>E</b> d              | pH <sub>max</sub> ** | pH <sub>min</sub> **  | <b>K</b> EH                            | <b>K</b> EOH                           | pH <sub>opt</sub> ** | <b>k</b> sr               | <b>K</b> dr               |
|           | 59.09±14.3              | 109.1±24.9              | 7,91**               | 3,11**                | 8x10 <sup>-4</sup> ±2x10 <sup>-4</sup> | 1x10 <sup>-8</sup> ±2x10 <sup>-9</sup> | 4,60**               | 7.8x10 <sup>9</sup> (NS)  |                           |

<sup>\*\*</sup> Further interesting values calculated from the parameters of equation (17).

 $\textbf{Table 3:} \ \, \textbf{Model ranking (Rk) obtained for each MSC and the final ranking (Rk_F) based on the total ranking average ($\sum Rk$) for the two substrates.}$ 

| CRITERIA  | AICc   |     | BIC    |     | RIC    |     | Ср     |       | $R^{2}_{adj}$ |      | FPE        |       | MSC   |     | LOO-CV (MEP) |       | MCCV (MEP) |     | AVERAGE |                 |
|-----------|--------|-----|--------|-----|--------|-----|--------|-------|---------------|------|------------|-------|-------|-----|--------------|-------|------------|-----|---------|-----------------|
| Equations | Value  | Rk  | Value  | Rk  | Value  | Rk  | Value  | Rk    | Value         | Rk   | Value      | Rk    | Value | Rk  | Value        | Rk    | Value      | Rk  | ∑Rk     | Rk <sub>F</sub> |
|           |        |     |        |     |        |     | A) MOD | EL RA | NK USING      | CURD | LAN AS SU  | BSTRA | TE    |     |              |       |            |     |         |                 |
| (2)       | 123.30 | (9) | 245.11 | (8) | 194.35 | (9) | 65.1   | (9)   | 0.8798        | (9)  | 80551.8    | (9)   | 1.95  | (9) | 114.24       | (9)   | 124.57     | (6) | 77      | (9)             |
| (3)       | 96.70  | (1) | 225.04 | (1) | 164.49 | (1) | 19.9   | (1)   | 0.9448        | (1)  | 37878.6    | (1)   | 2.71  | (1) | 37.87        | (2)   | 47.35      | (3) | 12      | (1)             |
| (6)       | 115.63 | (8) | 240.72 | (7) | 183.30 | (6) | 48.1   | (8)   | 0.9005        | (8)  | 66629.4    | (7)   | 2.14  | (7) | 43.06        | (3)   | 43.02      | (2) | 56      | (7)             |
| (ÌÓ)      | 113.96 | (6) | 239.04 | (6) | 182.01 | (5) | 44.6   | (5)   | 0.9213        | (4)  | 63014.2    | (5)   | 2.20  | (5) | 58.94        | (7)   | 164.41     | (8) | 51      | (6)             |
| (11)      | 108.80 | (4) | 233.88 | (4) | 178.05 | (4) | 35.1   | (4)   | 0.9074        | (7)  | 53050.8    | (4)   | 2.37  | (4) | 54.07        | (5)   | 135.91     | (7) | 43      | (4)             |
| (12)      | 107.76 | (2) | 232.84 | (3) | 177.26 | (3) | 33.3   | (3)   | 0.9241        | (3)  | 51243.9    | (3)   | 2.40  | (3) | 36.43        | (1)   | 40.69      | (1) | 22      | (2)             |
| (13)      | 108.31 | (3) | 226.83 | (2) | 187.03 | (8) | 30.6   | (2)   | 0.9288        | (2)  | 45786.7    | (2)   | 2.51  | (2) | 56.99        | (6)   | 60.87      | (5) | 32      | (3)             |
| (16)      | 113.85 | (5) | 245.44 | (9) | 172.20 | (2) | 47.8   | (7)   | 0.9122        | (6)  | 71771.1    | (8)   | 2.08  | (8) | 95.81        | (8)   | 234.96     | (9) | 62      | (8)             |
| (17)      | 114.89 | (7) | 238.70 | (5) | 186.22 | (7) | 47.1   | (6)   | 0.9160        | (5)  | 65061.9    | (6)   | 2.16  | (6) | 53.87        | (4)   | 54.87      | (4) | 50      | (5)             |
| (,        |        | (,, | 2000   | (0) | .00.22 | (,) |        |       |               |      | ARIN AS SU | ` ′   |       | (0) | 00.07        | ( . / | 0          | (., |         | (0)             |
| (2)       | 189.74 | (8) | 428.77 | (7) | 377.88 | (8) | 163.4  | (8)   | 0.9191        | (7)  | 121683.6   | (7)   | 2.41  | (7) | 41.42        | (7)   | 42.61      | (7) | 66      | (7)             |
| (3)       | 187.79 | (7) | 434.70 | (8) | 367.12 | (6) | 159.7  | (7)   | 0.9186        | (8)  | 126311.3   | (8)   | 2.38  | (8) | 47.25        | (8)   | 50.27      | (8) | 68      | (8)             |
| (6)       | 237.01 | (9) | 479.99 | (9) | 414.48 | (9) | 452.3  | (9)   | 0.8018        | (9)  | 302935.9   | (9)   | 1.50  | (9) | 74.33        | (9)   | 74.05      | (9) | 81      | (9)             |
| (10)      | 152.21 | (5) | 395.18 | (5) | 340.66 | (5) | 62.4   | (5)   | 0.9610        | (4)  | 62992.5    | (5)   | 3.07  | (5) | 19.86        | (3)   | 16.78      | (3) | 40      | (5)             |
| (11)      | 150.23 | (4) | 393.20 | (4) | 338.94 | (4) | 58.7   | (4)   | 0.9603        | (5)  | 60727.3    | (4)   | 3.11  | (4) | 20.24        | (4)   | 21.02      | (4) | 37      | (4)             |
| (12)      | 148.64 | (3) | 391.61 | (3) | 337.55 | (3) | 55.8   | (3)   | 0.9614        | (3)  | 58962.6    | (3)   | 3.14  | (3) | 20.45        | (5)   | 21.56      | (5) | 31      | (3)             |
| (13)      | 176.93 | (6) | 412.03 | (6) | 370.81 | (7) | 118.1  | (6)   | 0.9374        | (6)  | 92548.7    | (6)   | 2.69  | (6) | 32.57        | (6)   | 33.39      | (6) | 55      | (6)             |
| (16)      | 129.43 | (1) | 380.27 | (1) | 313.96 | (1) | 31.0   | (1)   | 0.9719        | (1)  | 44470.9    | (1)   | 3.42  | (1) | 13.80        | (2)   | 11.84      | (1) | 10      | (1)             |
| (17)      | 141.31 | (2) | 380.35 | (2) | 334.83 | (2) | 41.8   | (2)   | 0.9670        | (2)  | 49632.8    | (2)   | 3.31  | (2) | 13.62        | (1)   | 13.50      | (2) | 17      | (2)             |