1	Optimization of antioxidants extraction from soybeans fermented by
2	Aspergillus oryzae
3	
4	Dyah H. Wardhani ¹ , José A. Vázquez ^{1,2} and Severino S. Pandiella ¹ *
5	
6	¹ School of Chemical Engineering and Analytical Science
7	The University of Manchester
8	Sackville Street, PO Box 88, Manchester M60 1QD, UK
9	
10	² Grupo de Reciclado y Valorización de Materiales Residuales
11	Instituto de Investigacións Mariñas (CSIC)
12	r/ Eduardo Cabello, 6. Vigo-36208. Galicia – Spain
13	
14	
15	* Corresponding author e-mail: s.pandiella@manchester.ac.uk
16	Tel +44 (0)161 306 4429, Fax +44 (0) 161 306 9321
17	
18	
19	
20	
21	
22	
23	

24 ABSTRACT

25

26 The extraction of antioxidant compounds from soybeans fermented with Aspergillus oryzae 27 was optimised using a factorial design. A kinetic study of the total phenolic production and 28 DPPH scavenging activity was first performed at the points selected in the factorial design. 29 In both cases, the experimental profiles were fitted to a modified first-order kinetic model. 30 To investigate the combined effects of temperature and solvent concentration on the 31 extraction, the parameters obtained from the fitted kinetic models were used as response 32 variables in a rotatable second-order design with quintuple replications in the centre of the 33 experimental domain. The results obtained indicate that temperature had the most 34 significant effect. The response surfaces show a maximum in the experimental domain 35 studied. The optimum conditions for the extraction of total phenolic content were 65.3°C 36 and 73.1% ethanol, in which 56.2 mg of GAE/g were predicted. A scavenging activity of 37 81.6% DPPH was predicted at the optimum conditions of 61.6°C and 60% ethanol.

38

39

40 Keywords: Total phenolic; DPPH; soybean; *Aspergillus oryzae*; antioxidant extraction;
41 factorial design; kinetics optimization.

42 **INTRODUCTION**

43 Antioxidant compounds play an important role in human health. A diet rich in foods 44 containing molecules with antioxidant properties can reduce the risk of human diseases 45 (Halliwell, & Gutteridge, 1999; Tsao, & Deng, 2004). However, the growing concern 46 about potential health hazards caused by the use of synthetic antioxidants in food products 47 has led to the scrutiny of natural antioxidants (Wettasinghe, & Shahidi, 1999). Among 48 these, phenolic compounds, which are widely found in plants, are the most promising group 49 of molecules (Pratt, & Hudson, 1990; Cuppett, & Schnepf, 1997; Shahidi, & Wanasundara, 50 1997). Soybeans and their products are nutritionally rich foodstuff and they contain various 51 amounts of phytochemicals (isoflavones, saponins, phytic acid, phytosterols, Kunitz and 52 Bowman-Birk trypsin inhibitors, phenolic acids) that show functional, antioxidants and 53 radical scavenging properties (Pratt, & Birac, 1979; Hayes, Bookwalter, & Bagley, 1977; Da Silva Pinto, Lajolo, & Genovese, 2005; Wardhani, Vázquez, & Pandiella, 2008; Isanga, 54 55 & Zhang, 2008; Hubert, Berger, Nepveu, Paul, & Daydé, 2008). In some cases the 56 antioxidant effect could be significantly enhanced through fermentation using aspergilli 57 (Romero, Doval, Sturla, & Judis, 2004; McCue, & Shetty, 2003; Esaki, Onozaki, 58 Kawakishi, & Osawa, 1997; Lin, Wei, & Chou, 2006).

59

For a practical application in the food industry antioxidants should be first extracted. The efficiency of the extraction process affects the antioxidant capacity of the extract (Hinneburg, & Neubert, 2005). Studies on the extraction of the antioxidant activity in unfermented soybeans and vine have reported a variation of the total phenolic concentration when different solvents were used, which is due to differences in their polarities (Naczk, & Shahidi, 2006; Calliste, Trouillas, Allais, Simon, & Duroux, 2001). Limited information is

66 available regarding the extraction of antioxidant compounds in fermented soybeans. 67 However, significant higher concentration of phenolics was obtained after fermentation 68 when compare to unfermented soybeans (McCue, & Shetty, 2003; Esaki, Onozaki, 69 Kawakishi, & Osawa, 1997; Lin, Wei, & Chou, 2006; Wardhani, Vázquez, & Pandiella, 70 2009). A universal extraction protocol would be difficult to establish due to the complex 71 composition of the beans and the structural diversity of the antioxidant compounds of the 72 natural source. The extraction efficiency is affected by multiple variables, amongst which 73 temperature and the nature of the solvent are the most important factors, which may act 74 dependently or independently (Liu, & Ang, 2000).

75

76 Processes are commonly optimised using one-factor-at-a-time approaches. Optimal 77 conditions or interactions between variables cannnot be predicted with this methodology. 78 This limitation can be overcome using experimental design methodologies (DOE: Box, 79 Hunter, & Hunter, 1989; Akhnazarova, & Kafarov, 1982). DOE is a collection of statistical 80 and mathematical techniques that have been successfully used in developing, improving 81 and optimizing bio-processes (Livana-Pathirana, & Shahidi, 2005; Juntachote, Berghofer, 82 Bauer, & Siebenhandl, 2006; Paz, Vázquez, Riobó, & Franco, 2006; Vázquez, González, & 83 Murado, 2006; Bandeira, Tininis, Bolzani, & Cavalheiro, 2006).

84

In this study, the optimal conditions for antioxidant extraction from soybeans fermented with *Aspergillus oryzae* were investigated using two complementary and sequential approaches. A factorial design was initially proposed. Kinetic analyses were then performed at the temperature-ethanol concentration points of the design. The parameters obtained from the fits of the kinetic data to a modified first-order model were the dependent

90	variables to formulate the empirical equations of the second order design. Finally, optimal
91	conditions for a maximum antioxidant extraction were obtained from the response surfaces.
92	
93	MATHERIALS AND METHODS
94	Microorganism
95	Aspergillus oryzae was originally obtained from ABM Chemicals Ltd. (Woodley, Cheshire,
96	UK). A distilled water suspension of the fungi spores was kept at -30°C until used. The
97	volume of inoculum was 1.5 mL with a cell concentration of 1.2×10^8 cells/mL.
98	
99	Soybeans fermentation
100	Split soybeans (150 g) and 73.5 mL of distilled water were placed in 500 mL capped Duran
101	bottles and autoclaved at 121°C for 20 minutes. After soybeans and distilled water cooled
102	down (at room temperature), the spore suspension was mixed with the sterile medium and
103	the bottle was manually shaken (vertically and horizontally) for 10 minutes to homogenise
104	the inoculum. The inoculated soybeans were poured into Petri dishes and incubated at 30° C
105	for 5 days. Soybean samples were crushed with mortar and pestle before sealed in plastic
106	bag and store at -30°C until used.
107	
108	Crude phenolic extraction
109	Detailed extraction conditions of temperature and concentration of ethanol are shown in
110	Table 1. Ground samples (2 g) were extracted with 20 mL of the corresponding aqueous
111	ethanol concentration at the temperature pre-established in the factorial design using a
112	Soxhlet System HT (1043 – Tecator). Subsequently, the extract was dehydrated to obtain a
113	dry extract and diluted with ethanol up to 20 mg/mL of extract concentration. After that, the

extract was centrifugated at $16,249 \times g$ for 5 min, and the supernatant was used for the antioxidant determination.

116

117 Determination of total phenolic content

118 The total phenolic content was determined based on the method of Singleton, Orthofer, and 119 Lamuela-Raventós (1999), using the Folin-Ciocalteu Reagent (FCR) with gallic acid as a 120 standard. 50 μ L of sample or blank were added to 3 mL of distilled water in 12 mL test 121 tubes. A volume of FCR (250 μ L) was placed into the tube and mixed before adding 750 122 μ L of saturated Na₂CO₃. The final volume of the reaction mixture was adjusted to 5 mL 123 with distilled water. The absorbance at 765 nm was read in 1-cm cuvettes after incubation 124 for 2 h at room temperature, and readings were compared with a standard curve of gallic 125 acid. The total phenolic content was expressed as mg of gallic acid equivalent per gram dry 126 basis of fermented soybeans (mg GAE /g db).

127

128 Determination of DPPH radical scavenging activity

The effect of the extract on 2,2-di(4-*tert*-octylphenyl)-1-picrylhydrazyl (DPPH) radical was estimated according to the procedure described by Brand-Williams, Cuvelier, and Berset (1995). The extract (0.1 mL) was added to 3.9 mL of DPPH 6×10^{-5} M in methanol which was prepared daily. The decrease in absorbance was determined at 515 nm after incubation for 30 min. A DPPH solution without sample was used as control and the DPPH percentage inhibition was calculated according to the following equation:

136 DPPH scavenging effect (%) =
$$\left(1 - \frac{absorbance_{sample}}{absorbance_{control}}\right) \times 100\%$$
 (1)

138 Experimental design and statistical analysis

The antioxidant activities (total phenolic content and DPPH scavenging capacity) as a function of the extraction time was studied using a rotatable second order design with quintuple replication in the centre of the experimental domain (Box, Hunter, & Hunter, 1989; Akhnazarova, & Kafarov, 1982). The range of independent variables studied, temperature (T) and ethanol concentration (E), is shown in Table 1.

144

The experiments were planned using two different approaches. Initially, the variation of the antioxidant extraction (measured as total phenolic concentration and DPPH scavenging activity) with time were fitted to appropriate mathematical models to obtain a group of kinetic parameters that could describe these trends. Finally, a rotatable second order design was implemented using the kinetic parameters as response.

150

151 In the first case, the calculation was carried out using a non linear least-squares (quasi-152 Newton) method via the macro 'Solver' in the Microsoft Excel XP spreadsheet. Later, the 153 Statistica 6.0 program (StatSoft, Inc. 2001) was used to calculate the significance of the 154 estimated parameters (Student t-test, α =0.05) and the robustness of the model (Fisher F 155 test, α =0.05). Results of the factorial designs were employed to obtain empirical equations 156 that describe the significant parameters as a function of temperature and ethanol 157 concentration. The statistical significance of the coefficients was verified by means of the 158 Student t-test (α =0.05), and the model consistency by the Fisher F test (α =0.05) using the 159 following mean squares ratios:

the model is acceptable if $F_1 = \text{Model / Total error}$ $F_1 \ge F_{den}^{num}$ $F_2 = (\text{Model + Lack of fitting}) / \text{Model}$ $F_2 \le F_{den}^{num}$ $F_3 = \text{Total error / Experimental error}$ $F_3 \le F_{den}^{num}$ $F_4 = \text{Lack of fitting / Experimental error}$ $F_4 \le F_{den}^{num}$

161

162 **RESULTS AND DISCUSSION**

Previous experiments using different solvents (acetone, methanol, ethanol, hexane and ethyl acetate) at various concentrations demonstrated that methanol and ethanol were the most efficient compounds in the extraction of antioxidant compounds from fermented soybeans (data not shown). Among these, ethanol was selected since it has less restrictions in food applications. Therefore, the aim of this study was to evaluate the combined effects of extraction temperature and ethanol concentration for the recovery of antioxidant compounds from fermented soybeans.

170

171 Kinetics of antioxidants activities

Kinetics of antioxidants extraction were firstly performed at the points selected in the factorial design. The results for total phenolic content and DPPH scavenging activity are shown in Figures 1 and 2. In both cases the experimental data follow hyperbolic curves, and for this reason a modified first order kinetic model with a final asymptote was chosen to describe the extraction of antioxidants with time

177

178
$$P = P_m \cdot \left(1 - e^{-k_p \cdot t}\right)$$
 (2)

180 where, *P* is the phenolic concentration at time *t* (mg GAE/g db), P_m is the maximum 181 concentration when time approaches infinite, and k_p is the specific rate of the total phenolic 182 concentration (min⁻¹). Similarly, for the DPPH scavenging capacity

183

184
$$D = D_m \cdot \left(1 - e^{-k_d \cdot t}\right) \tag{3}$$

185

186 where, *D* is the DPPH scavenging activity (%), D_m the maximum DPPH scavenging 187 activity when time approaches infinite, and k_d is the specific rate of DPPH scavenging 188 activity (min⁻¹). The continuous curves in Figures 1 and 2 represent the models obtained by 189 fitting the experimental data to these equations. The statistical analyses of the kinetic 190 models are summarised in Tables 2 and 3.

191

In general, the proposed models were statistically robust (Fisher's *F*-test and *p*-values < 0.001), and the parametric estimations were significant (Student's *t*-test $\alpha = 0.05$). The coefficients of linear correlation (*r*) between predicted and observed values were in all cases higher than 0.964. This indicates that the proposed kinetic models can be used to describe and predict the extraction of antioxidants from fermented soybeans in the range of temperature and ethanol concentration assayed.

198

From the values of the parameters in the fitted models, it can be concluded that the highest phenolic concentration (P_m) and specific rate of total phenolic content (k_p) are found at the highest temperature studied (74°C). The highest DPPH scavenging activity (D_m) was achieved at the centre of the experimental domain (T=0, E=0), but the maximum specific rate of DPPH scavenging activity (k_d) is obtained at the point T=1.41 and E=0 (see Table 1).

205 Factorial design

As stated before, the second approach was to study the correlation between the kinetic parameters and the combined effects of temperature (T) and ethanol concentration (E). The parameters obtained from the fitted kinetic models were adjusted to the polynomic function 209

210
$$R=b_0+b_1T+b_2E+b_{12}TE+b_{11}T^2+b_{22}E^2$$
(4)

211

212 where *R* is any of the response variables $(D_m, P_m, k_p \text{ or } k_d)$.

213

The best-fit model and the statistical analysis of the rotatable second-order design when Rwas the maximum total phenolic content (P_m) are shown in Table 4. The statistical analysis indicates that the combined term *TE* in equation (4) was not significant.

217

The response surfaces obtained from fitting the total phenolic parameters P_m and k_p to equation (4) are plotted in Figure 3. The maximum phenolic content (P_m , left) shows a well defined maximum within the experimental domain. The maximum can be calculated deriving the response equation with respect to the independent variables *T* and *E*

223
$$\frac{\partial P_m}{\partial T}\Big|_{T=T_m} = 11.915 - 17.32T$$
 and $\frac{\partial P_m}{\partial E}\Big|_{E=E_m} = 2.937 - 6.29E$

Since at the maximum both derivatives must be zero, it is possible to calculate the optimum temperature and ethanol concentration for a maximum antioxidant extaction; $T_m = 0.690$ and $E_m = 0.467$ in codified values, equivalent to 65.3°C and 73.1% ethanol in real values (see Table 1 for codification/decodification). At this point the predicted maximum total phenolic concentration was 56.2 mg GAE/g db.

230

The best-fit model and the statistical analysis of the rotatable second-order design for the specific rate of the total phenolic concentration (k_p) are shown in Table 5. In this case the statistical analysis indicates that neither the combined term *TE* nor the *E* term in equation (4) are significant. The response for k_p (Figure 3, right) is a convex surface with a line of maxima at E = 0. An absolute maximum response cannot be obtained within the experimental domain. However, in all cases the specific rate of total phenolic concentration increases with temperature.

238

The results for maximum DPPH scavenging activity (D_m) were similar to total phenolic. Figure 4 (left) shows the parabolic response surface obtained from the equation in Table 6. Both the *E* and *TE* terms in equation (4) were not significant. The maximum can be equally calculated deriving the response equation with respect to the independent variables *T* and *E*

244

245
$$\left. \frac{\partial D_m}{\partial T} \right|_{T=T_m} = 3.35 - 8.70T \text{ and } \left. \frac{\partial D_m}{\partial E} \right|_{E=E_m} = -13.24E$$

The maximum D_m was found at $T_m = 0.385$ (61.6°C) and $E_m = 0$ (60%). At this point the predicted maximum DPPH scavenging activity was 81.6%.

249

Table 7 summarises the results of the factorial design for the specific rate of DPPH scavenging activity (k_d) plotted in Figure 4 (right). Only the combined term *TE* was not significant, and the model defines a concave response surface with a line of maximum slope in the proximity of *E*=0. As for the k_p model, a maximum response cannot be calculated within the experimental domain, but k_d increases with the temperature.

255

The empirical models obtained show a good fitting and consistency. The correlation with the observed values $(r_{adjusted}^2)$ was higher that 0.85 and the experimental variability of the replica in the centre of the experimental domain was considerably low, allowing for construction of highly predictive models.

260

261 The improvement of the antioxidant extraction with temperature was probably due to the 262 increasing diffusivity of the solvent in the solid matrix and the solubility of the phenolic 263 compounds in the solvent, which favour the extraction (Juntachote, Berghofer, Bauer, & 264 Siebenhandl, 2006; Cacace, & Mazza, 2003; Herrero, Martin-Alvarez, Señoráns, Cifuentes, 265 & Ibáñez, 2005). However, it should be noted that increasing temperature beyond a certain 266 value can lead to decomposition of some phenolic compounds. Rostagno, Palma, and 267 Barroso (2007) reported decomposition of isoflavones in soybean during heat treatments. 268 Malonyl isoflavones also degrade when extraction is performed between 75 and 100°C. 269 Extraction between 100-125°C affects acetyl isoflavones and higher temperatures sharply 270 reduced the glucosides concentrations.

272 It is not surprising to find out that the DPPH results showed a similar trend to the total 273 phenolic concentration. However, the optimum extraction conditions were slightly different 274 for the two assays. This could be due to the fact that each assay measures different kind of 275 phenolics, and each phenolic compound shows different antioxidant properties, which 276 depends on the chemical structure and substitution position (Pokorny, 2003).

277

278 The fit of models to second-order polynomial equations was in agreement with other 279 authors who used temperature, solvent concentration and time as variables in a similar 280 approach using other food matrices. Wettasinghe, & Shahidi (1999) studied the antioxidant 281 properties of an ethanol extract of defatted borage seeds, and Herrero, Martin-Alvarez, 282 Señoráns, Cifuentes, & Ibáñez (2005) investigated antioxidants from Spirulina platensis 283 microalga. Livana-Pathirana, & Shahidi (2005) studied phenolic compounds from wheat, 284 and Juntachote, Berghofer, Bauer, & Siebenhandl (2006) tested phenolic extracts of lemon 285 grass, galangal, holy basil and rosemary. However, only Herrero, Martin-Alvarez, Señoráns, 286 Cifuentes, & Ibáñez (2005) reported that temperature had the strongest influence amongst 287 all variables. In the other studies, the solvent concentration was the main factor affecting 288 antioxidant extraction. These discrepancies highlight the need for appropriate extraction 289 protocols, with suitable solvent polarity, time and temperature for each food matrix, and 290 using multivariable experimental design techniques.

291

292 CONCLUSIONS

293 A factorial design combined with a kinetic approach was successfully applied to maximise 294 the extraction of antioxidant compounds from soybeans fermented with Aspergillus oryzae.

295 The highest values of P_m and D_m were obtained close to the centre of the experimental 296 domain studied. Both k_p and k_d showed a marked increase with temperature, but absolute 297 maxima for this parameters were not predicted within the experimental domain. In general, 298 higher temperatures lead to higher yields of total phenolics and DPPH scavenging activity. 299 However, over a certain temperature value decomposition of some phenolic compounds 300 may occur. In this case, the optimal conditions for antioxidant extraction were 65.3°C and 301 73.1% ethanol for maximum total phenolic concentration, and 61.6°C and 60% ethanol for 302 maximum DPPH scavenging activity.

303

304 ACKNOWLEDGEMENTS

The authors wish to acknowledge the SPMU-TPSD Diponegoro University (Indonesia) for the grant awarded to Dyah Hesti Wardhani to do this research (ADB loan N° 1792-INO). Drs. Pablo Fuciños and José Antonio Vázquez has been awarded a postdoctoral grant (Programa de bolsas para estadías fóra de Galicia, 2007 and 2008 respectively) by the Dirección Xeral de Investigación, Desenvolvemento e Innovación, Xunta de Galicia, Spain.

311 **REFERENCES**

312 Akhnazarova, S., & Kafarov, V. (1982). *Experiment optimization in chemistry and*313 *chemical engineering*. Moscow: MIR Publishers.

314

Bandeira, K. F., Tininis, A. G, Bolzani, V. D. S, & Cavalheiro, A. J. (2006). Optimisation
of conditions for the extraction of casearins from *Caesaria sylvestris* using response surface
methodology. *Phytochemical Analysis*, *17*, 168-175.

319	Box, G. E., Hunter, J. S., & Hunter, W. G. (2005). Statistics for experimenters: design,
320	innovation, and discovery. John Wiley & Sons, Inc., Hoboken, New Jersey.
321	
322	Brand-Williams, W., Cuvelier, M. E., & Berset, C. (1995). Use of a free radical method to
323	evaluate antioxidant activity. Lebensmittel Wissenschaft und Technologie, 28, 25-30.
324	
325	Cacace, J. E., & Mazza, G. (2003). Mass transfer process during extraction of phenolic
326	compounds from milled berries. Journal of Food Engineering, 59, 379-389.
327	
328	Calliste, C. A., Trouillas, P., Allais, D. P., Simon, A., & Duroux, J. L. (2001). Free radical
329	scavenging activities measured by electron spin resonance spectroscopy and B16 cell
330	antiproliferative behaviors of seven plants. Journal of Agricultural and Food Chemistry, 49,
331	3321-3327.
332	
333	Cuppett, S., Schnepf, M., & Hall, C. (1997). Natural antioxidants-are they a reality? In: F.
334	Shahidi, Natural antioxidants: chemistry, health effects, and applications (pp. 12-24).
335	Illinois: AOCS Press, Illinois.
336	

Da Silva Pinto, M., Lajolo, F. M., & Genovese, M. I. (2005). Effect of storage temperature
and water activity on the content and profile of isoflavones, antioxidant activity, and in
vitro protein digestibility of soy protein isolates and defatted soy flours. *Journal of Agricultural and Food Chemistry*, *53*, 6340-6346.

342	Esaki, H., Onozaki, H., Kawakishi, S., & Osawa, T. (1997). Antioxidant activity and
343	isolation from soybeans fermented with Aspergillus spp. Journal of Agricultural and Food
344	Chemistry, 45, 2020-2024.
345	

Halliwell, B., & Gutteridge, J. M. C. (1999). *Free radicals in Biology and Medicine, 3rd edition*. Oxford: Oxford University Press.

348

Hayes, R. E., Bookwalter, G. N., & Bagley, E.B. (1977). Antioxidant activity of soybean

350 flour and derivatives — a review. *Journal of Food Science*, 42, 1527-1532.

351

352 Herrero, M., Martin-Alvarez, P. J., Señoráns, F. J., Cifuentes, A., & Ibáñez, E. (2005).

Optimization of accelerated solvent extraction of antioxidants from *Spirulina plantesis*microalga. *Food Chemistry*, *93*, 417-423.

355

356 Hinneburg, I., & Neubert, R. H. H. (2005). Influence of extraction parameters of the

357 phytochemical characteristics of extracts from buckwheat (Fagopyrum esculentum) herb.

358 Journal of Agricultural and Food Chemistry, 53, 3-7.

359

- Hubert, J., Berger, M., Nepveu, F., Paul, F., & Daydé, J. (2008). Effects of fermentation on
 the phytochemical composition and antioxidant properties of soy germ. *Food Chemistry*, *109*, 709-721.
- 363

Isanga, J. & Zhang, G.-N. (2008). Soybean bioactive components and their implications to

365 health - A review. *Food Reviews International*, 24, 252-276.

- Juntachote, T., Berghofer, E., Bauer, F., & Siebenhandl, S. (2006). The application of
 response surface methodology to the production of phenolic extracts of lemon grass,
 galangal, holy basil and rosemary. *International Journal of Food Science & Technology, 41*,
 121-133.
- 371
- Lin, C. H., Wei, Y. T., & Chou, C. C. (2006). Enhanced antioxidative activity of soybean
 koji prepared with various filamentous fungi. *Food Microbiology*, *23*, 628-633.
- 374
- Liu, F. F., Ang, C. Y. W., & Springer, D. (2000). Optimization of extraction conditions for
 active components in *Hypericum perforatum* using response surface methodology. *Journal of Agricultural and Food Chemistry*, 48, 3364-3371.
- 378
- Liyana-Pathirana, C., & Shahidi, F. (2005). Optimization of extraction of phenolic
 compounds from wheat using response surface methodology. *Food Chemistry*, 93, 47-56.
- 381
- McCue, P., & Shetty, K. (2003). Role of carbohydrate-claving enzyme in phenolic
 antioxidant mobilization from whole soybean fermented with *Rhizopus oligosporus*. *Food Biotechnology*, *17*, 27-37.
- 385
- Naczk, M., & Shahidi, F. (2006). Phenolics in cereal, fruits and vegetables: Occurrence,
 extraction and analysis. *Journal of Pharmaceutical and Biomedical Analysis*, *41*, 15231542.
- 389

- Paz, B., Vázquez, J. A., Riobó, P., & Franco, J. M. (2006). Study of the effect of
 temperature, irradiance and salinity on growth and yessotoxin production by the
 dinoflagellate *Protoceratium reticulatum* in culture by using a kinetic and factorial
 approach. *Marine Environmental Research*, 62, 286-300.
- 394
- Pokorny, J. (2003). Natural antioxidants. In: P. Zeuthen, & L. S. Sorensen, *Food preservation techniques* (pp. 31-48). Cambridge: Woodhead Publishing Ltd.
- 397
- Pratt, D. E., & Birac, P. M. (1979). Source of antioxidant activity of soybeans and soy
 products. *Journal of Food Science*, 44, 170-1722.
- 400
- 401 Pratt, D. E., & Hudson, B. J. F. (1990). Natural antioxidants not exploited commercially. In:
- B. J. F. Hudson, *Food antoxidants* (pp. 171-191). London: Elsevier Science Publishers Ltd.
- 404 Romero, A. M., Doval, M. M., Sturla, M. A., & Judis, M. A. (2004). Antioxidant properties
- 405 of polyphenol-containing extract from soybean fermented with Saccharomyces cerevisiae.
- 406 European Journal of Lipid Science and Technology, 106, 424-431.
- 407
- Rostagno, M. A., Palma, M., & Barroso, C. G. (2007). Microwave assisted extraction of
 soy isoflavones. *Analytica Chimica Acta*, 588, 274-282.
- 410
- 411 Shahidi, F., & Wanasundara, U. N. (1997). Measurement of lipid oxidation and evalutation
- 412 of antioxidant activity. In: F. Shahidi, Natural antioxidants: chemistry, health effects, and
- 413 applications (pp. 379-396). Illinois: AOCS Press.

- Singleton, V. L., Orthofer, R., & Lamuela-Raventós, R. M. (1999). Analysis of total
 phenols and other oxidation substrates and antioxidants by means of Folin-Ciocalteu
 reagent. In: L. Packer, *Methods in Enzimology*, vol. 299 (pp. 152-178), Oxidants and
 antioxidants. San Diego CA: Academic Press.
- 419
- Tsao, R., & Deng, Z. (2004). Separation procedures for naturally occurring antioxidant
 phytochemicals. *Journal of Chromatography B*, 812, 85-99.
- 422
- 423 Vázquez, J. A., González, M. P., & Murado, M. A. (2006). Preliminary assays on the nisin
 424 and pediocin production using waste protein sources. Factorial and kinetic studies.
 425 *Bioresource Technology*, 97, 605-613.
- 426
- Wardhani, D. H., Vázquez, J. A., & Pandiella, S. S. (2008). Kinetics of daidzin and genistin
 transformations and water absorption during soybean soaking at different temperatures. *Food Chemistry*, *111*, 13-19.
- 430
- Wardhani, D. H., Vázquez, J. A., & Pandiella, S. S. (2009). Mathematical modeling of the
 development of antioxidant activity in soybeans fermented with *Aspergillus oryzae* and *Aspergillus awamori* in the solid state. *Journal of Agricultural and Food Chemistry*, 57,
 540-544.

- 436 Wettasinghe, M., & Shahidi, F. (1999). Antioxidant and free radical-scavenging properties
- 437 of ethanolic extracts of defatted borage (Borago officinalis L.) seeds. Food Chemistry, 67,
- 438 399-414.
- 439
- 440

441 FIGURE CAPTIONS

443 Figure 1: Kinetics of total phenolic content extracted from soybeans fermented with 444 Aspergillus orvzae in each one of the experimental conditions (in natural values) defined in 445 Table 1. The experimental data (symbols) were fitted to the model (2) (continuous line). 446 447 Figure 2: Kinetics of DPPH scavenging activity extracted from soybeans fermented with 448 Aspergillus orvzae in each one of the experimental conditions (in natural values) defined in 449 Table 1. The experimental data (symbols) were fitted to the model (3) (continuous line). 450 451 Figure 3: Response surface corresponding to the joint effect of ethanol (E) and temperature 452 (T) on the maximum total phenolic production (P_m , left) and in the specific rates of total phenolic production $(k_p, \text{ right})$ according to the equations described in Tables 4 and 5. 453 454 Independent variables are expressed in codified values. 455 456 Figure 4: Response surface corresponding to the joint effect of ethanol (E) and temperature 457 (T) on the maximum DPPH scavenging activity (D_m, left) and in the specific rates of DPPH 458 scavenging activity (k_d , right) according to the equations described in Tables 6 and 7. 459 Independent variables are expressed in codified values.

460 TABLE CAPTIONS

Table 1: Experimental domain and codification of independent variables in the factorial463 rotatable design.

Table 2: Parametric estimations corresponding to the modified first order kinetic model (2)
466 applied to the extraction of total phenolic compounds from fermented soybeans by
467 Aspergillus oryzae at the experimental conditions studied. Independent variables are
468 expressed in natural values in brackets.

Table 3: Parametric estimations corresponding to the modified first order kinetic model (3)
applied to the extraction of DPPH scavenging activity from fermented soybeans by *Aspergillus oryzae* at the experimental conditions studied. Independent variables are
expressed in natural values in brackets.

Table 4: Results of the factorial design and tests of significance for the model of maximum 476 total phenolic concentration (P_m).

Table 5: Results of the factorial design and tests of significance for the model of the 479 specific rate of total phenolic production (k_p) .

Table 6: Results of the factorial design and tests of significance for the model of maximum
482 DPPH scavenging activity (*D_m*).

484	Table 7: Results of the factorial design and tests of significance for the model of the
485	specific rate of DPPH scavenging activity (k_d) .
486	
487	
488	
489	
490	
491	
492	
493	
494	
495	
496	
497	
498	
499	
500	
501	
502	
503	
504	
505	
506	
507	

Table 7: Results of the factorial design and tests of significance for the model of the

TABLES Table 1

-

	Natural values of temperature (T) and ethanol concentration (E)				
Coded values	7 (°C)	E (%)			
-1.41	40	21			
-1	45	32			
0	57	60			
+1	69	88			
+1.41	74	100			

 $\begin{array}{l} \mbox{Codification: } V_c = (V_n - V_0)/\; \Delta V_n \;\; ; \;\; \mbox{Decodification: } V_n = V_0 + (\Delta V_n \times V_c) \\ V_n = natural \; \mbox{value in the centre of the domain;} \end{array}$ ΔV_n = increment of V_n per unit of V_c .

Shaded area: values corresponding to the first order design.

Table 2

Design Conditions	<i>ªP</i> m± bCI	$^{c}k_{ ho}\pm\mathrm{CI}$	^d <i>F</i> (df ₁ =2, df ₂ =7; α=0.05)	<i>p</i> -value	^e r (0:P)
<i>T</i> :-1 (45°C); <i>E</i> :-1 (32%)	27.61 ± 12.82	0.0043 ± 0.0029	702.72	<0.0001	0.983
<i>T</i> : 1 (69°C); <i>E</i> :-1 (32%)	49.15 ± 3.20	0.0142 ± 0.0023	2931.01	<0.0001	0.995
<i>T</i> :-1 (45°C); <i>E</i> : 1 (88%)	33.59 ± 26.58	0.0039 ± 0.0038	305.02	<0.0001	0.964
<i>T</i> : 1 (69°C); <i>E</i> : 1 (88%)	51.08 ± 2.07	0.0151 ± 0.0016	6904.27	<0.0001	0.998
<i>T</i> :-1.41 (40°C); <i>E</i> : 0 (60%)	13.54 ± 3.29	0.0098 ± 0.0048	416.68	<0.0001	0.967
<i>T</i> : 1.41 (74°C); <i>E</i> : 0 (60%)	53.26 ± 1.07	0.0195 ± 0.0012	20067.38	<0.0001	0.999
<i>T</i> : 0 (57°C); <i>E</i> :-1.41 (21%)	38.86 ± 13.56	0.0076 ± 0.0048	345.59	<0.0001	0.966
<i>T</i> : 0 (57°C); <i>E</i> : 1.41 (100%)	49.87 ± 7.75	0.0092 ± 0.0028	1160.07	<0.0001	0.988
<i>T</i> : 0 (57°C); <i>E</i> : 0 (60%)	52.85 ± 5.74	0.0096 ± 0.0021	2210.10	<0.0001	0.994
<i>T</i> : 0 (57°C); <i>E</i> : 0 (60%)	49.80 ± 3.32	0.0108 ± 0.0015	4580.68	<0.0001	0.997
<i>T</i> : 0 (57°C); <i>E</i> : 0 (60%)	52.55 ± 5.11	0.0097 ± 0.0019	2668.48	<0.0001	0.995
<i>T</i> : 0 (57°C); <i>E</i> : 0 (60%)	52.41 ± 7.53	0.0101 ± 0.0030	1118.99	<0.0001	0.988
<i>T</i> : 0 (57°C); <i>E</i> : 0 (60%)	49.37 ± 5.21	0.0114 ± 0.0026	1688.54	<0.0001	0.992

^aMaximum total phenolic concentration. ^bConfidence intervals ($\alpha = 0.05$; df = 7). ^cSpecific rates of total phenolic production. ^dF-Fisher test (df_7 = degrees of freedom of the model; df_2 = degrees of freedom of the error) ^eCorrelation coefficient between observed and predicted data.

524 525

Design Conditions	<i>ªDm</i> ± ^b CI	$^{c}k_{d}\pm \mathrm{CI}$	^d <i>F</i> (df ₁ =2, df ₂ =7; α=0.05)	<i>p</i> -value	^e r (0:P)
<i>T</i> :-1 (45°C); <i>E</i> :-1 (32%)	65.51 ± 6.11	0.0152 ± 0.0041	1124.24	<0.0001	0.992
T: 1 (69°C); E:-1 (32%)	74.48 ± 3.17	0.0248 ± 0.0037	3386.46	<0.0001	0.997
<i>T</i> :-1 (45°C); <i>E</i> : 1 (88%)	61.32 ± 1.03	0.0232 ± 0.0013	23578.91	<0.0001	0.999
<i>T</i> : 1 (69°C); <i>E</i> : 1 (88%)	70.71 ± 3.75	0.0284 ± 0.0057	1978.03	<0.0001	0.994
<i>T</i> :-1.41 (40°C); <i>E</i> : 0 (60%)	70.32 ± 6.65	0.0147 ± 0.0042	1071.03	<0.0001	0.991
<i>T</i> : 1.41 (74°C); <i>E</i> : 0 (60%)	76.23 ± 2.49	0.0308 ± 0.0041	4860.86	<0.0001	0.998
<i>T</i> : 0 (57°C); <i>E</i> :-1.41 (21%)	66.53 ± 4.03	0.0221 ± 0.0042	1961.18	<0.0001	0.995
<i>T</i> : 0 (57°C); <i>E</i> : 1.41 (100%)	70.99 ± 3.02	0.0249 ± 0.0037	3453.07	<0.0001	0.997
<i>T</i> : 0 (57°C); <i>E</i> : 0 (60%)	79.34 ± 2.76	0.0199 ± 0.0021	6576.57	<0.0001	0.999
<i>T</i> : 0 (57°C); <i>E</i> : 0 (60%)	79.91 ± 2.14	0.0206 ± 0.0017	10498.72	<0.0001	0.999
<i>T</i> : 0 (57°C); <i>E</i> : 0 (60%)	82.02 ± 6.11	0.0192 ± 0.0039	1569.18	<0.0001	0.994
<i>T</i> : 0 (57°C); <i>E</i> : 0 (60%)	79.96 ± 5.15	0.0185 ± 0.0034	2103.97	<0.0001	0.996
<i>T</i> : 0 (57°C); <i>E</i> : 0 (60%)	80.98 ± 3.70	0.0206 ± 0.0026	4099.41	<0.0001	0.997

539 540 ^aMaximum DPPH scavenging activity. ^bConfidence intervals ($\alpha = 0.05$; df = 7). ^cSpecific rates of DPPH scavenging activity. ^dF-Fisher test (df_1 = degrees of freedom of the model; df_2 = degrees of freedom of the error) ^eCorrelation coefficient between observed and predicted data.

542	Table 4
J74	

Т	Ε	$^{a}P_{m}$	${}^{b}\hat{P}_{m}$	Coefficients from the least-squares regression	t	cAdji	usted Model
-1	-1	27.61	24.74	51.39	68.84	51.39	i.t.
1	-1	49.15	48.57	11.92	20.16	11.92	Т
-1	1	33.59	30.61	2.94	4.97	2.94	Ε
1	1	51.08	54.44	-1.01	1.21	NS	TE
-1.41	0	13.54	17.38	-8.66	13.62	-8.66	T2
1.41	0	53.26	50.98	-3.15	4.95	-3.15	E ²
0	-1.41	38.86	40.99				
0	1.41	49.87	49.28				
0	0	52.85	51.39		age value	= 44.149	
0	0	49.80	51.39	Expected aver-		= 51.396	
0	0	52.55	51.39		Var(Ee)	= 2.7867	
0	0	52.41	51.39	t(α<0).05; υ=4)	= 2.776	
0	0	49.37	51.39				
	dSS	eυ	fMS	^g Mean Squares	Ratios		
Model	1747.66	4	436.92	MSM/MSE= 5	3.99	F_8^4 (α	=0.05)= 3.838
Error	64.74	8	8.092	MSMLF/MSM=	0.515	F_{4}^{8} (a	=0.05)= 6.041
Exp. Error	11.15	4	2.787	MSE/MSEe=2	2.904	F_{4}^{8} (a	=0.05)= 6.041
Lack of fitting	53.59	4	13.398	MSLF/MSEe=	4.808	F_{4}^{4} (a	=0.05)= 6.388
Total	1812.40	12					
					r ² =	0.964	
				r ² ;	adjusted=	0.946	

544 545 546 547 548 ^aExperimental values of maximum total phenolic concentration. ^bEstimated values of maximum total phenolic concentration from the adjusted model. Coefficients for the terms of the adjusted model: i.t., independent term; E, ethanol concentration (%); T, temperature (°C); NS, not significant coefficient. dSS: sum of squares. v: degrees of freedom. fMS: mean squares. Mean Square Ratios: MSM, mean squares of the model; MSE, mean squares for error; MSMLF, mean squares for model lack of fit; MSEe, mean squares for experimental error.

549 550

553 Table 5

Т	Ε	$^{a}k_{p}$	${}^{b}\hat{k}_{p}$	Coefficients from the least-squares regression	t	cAdju	sted Model
-1	-1	0.0043	0.0061	0.0103	30.29	0.0103	i.t.
1	-1	0.0142	0.0148	0.0043	16.07	0.0043	Τ
-1	1	0.0039	0.0061	0.0003	1.24	NS	Ε
1	1	0.0151	0.0148	0.0003	0.83	NS	TE
-1.41	0	0.0098	0.0074	0.0016	5.60	0.0016	T^2
1.41	0	0.0195	0.0197	-0.0015	5.15	-0.0015	E ²
0	-1.41	0.0076	0.0074				
0	1.41	0.0092	0.0074				
0	0	0.0096	0.0103		ge value	= 0.0104	
0	0	0.0108	0.0103	Expected avera	•	= 0.0103	
0	0	0.0097	0.0103		Var(Ee)	< 0.00001	
0	0	0.0101	0.0103	t(α<0.	05; v=4)	= 2.776	
0	0	0.0114	0.0103				
	dSS	eυ	fMS	^g Mean Squares I	Ratios		
Model	0.00019	3	0.000063	MSM/MSE= 28	8.34	F_9^3 (α =	0.05)= 3.863
Error	0.00002	9	0.000002	MSMLF/MSM=	0.410	F ₃ ⁸ (α=	0.05)= 8.845
Exp. Error	0.000002	4	0.000001	MSE/MSEe= 3	.818	F_4^9 (α =	0.05)= 5.999
Lack of fitting	0.00002	5	0.000004	MSLF/MSEe= 6	5.073	F_4^5 (α =	0.05)= 6.256
Total	0.00021	12					
					r ² =	0.904	
				r ² a	djusted=	0.872	

³Experimental values of the specific rates of total phenolic production. ^bEstimated values of the specific rates of total phenolic production from the adjusted model. ^cCoefficients for the terms of the adjusted model: i.t., independent term; E, ethanol concentration (%); T, temperature (^oC); NS, not significant coefficient. ^dSS: sum of squares. ^eD: degrees of freedom. ^lMS: mean squares. ^gMean Square Ratios: MSM, mean squares of the model; MSE, mean squares for error; MSMLF, mean squares for model lack of fit; MSEe, mean squares for experimental error.

562563 Table 6

Т	Ε	$^{a}D_{m}$	${}^{b}\hat{D}_{m}$	Coefficients from the least-squares regression	t	cAdju	usted Model
-1	-1	65.51	66.13	80.45	169.31	80.45	i.t.
1	-1	74.48	72.82	3.35	8.90	3.35	Т
-1	1	61.32	66.13	-0.21	0.55	NS	Ε
1	1	70.71	72.82	0.10	0.20	NS	TE
-1.41	0	70.32	67.03	-4.35	10.76	-4.35	T ²
1.41	0	76.23	76.52	-6.62	16.37	-6.62	E^2
0	-1.41	66.53	67.28				
0	1.41	70.99	67.28				
0	0	79.34	80.45	Averag	e value	= 73.716	
0	0	79.91	80.45	Expected averag	e value	= 80.443	
0	0	82.02	80.45	\	Var(Ee)	= 1.1290	
0	0	79.96	80.45	t(α<0.0	5; v=4)	= 2.776	
0	0	80.98	80.45				
	dSS	еv	fMS	⁹ Mean Squares Ra	atios		
Model	478.29	3	159.430	MSM/MSE= 23.8	38	F_9^3 (α	=0.05)= 3.863
Error	60.09	9	6.677	MSMLF/MSM= 0.4	419	F_3^8 (α	=0.05)= 8.845
Exp. Error	4.52	4	1.129	MSE/MSEe= 5.9	14	F_{4}^{9} (a	=0.05)= 5.999
Lack of fitting	55.58	5	11.115	-			-
Total	538.38	12					
					r ² =	0.881	
				r ² ad	justed=	0.851	

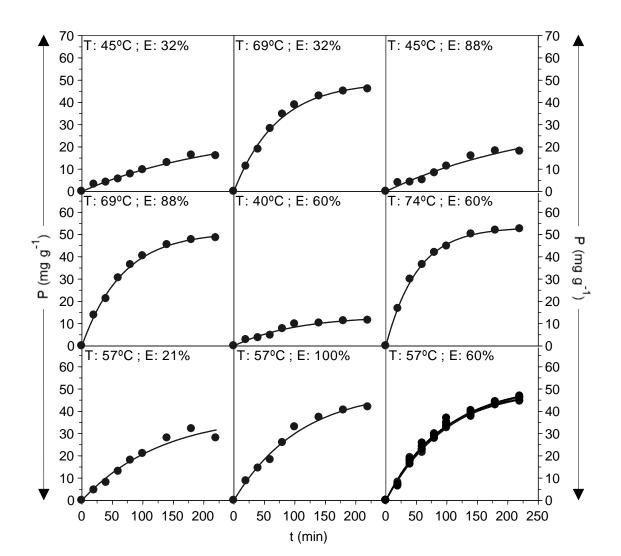
³Experimental values of maximum DPPH scavenging activity. ^bEstimated values of maximum DPPH scavenging activity from the adjusted model. ^cCoefficients for the terms of the adjusted model: i.t., independent term; E, ethanol concentration (%); T, temperature (^cC); NS, not significant coefficient. ^dSS: sum of squares. ^e_D: degrees of freedom. ^fMS: mean squares. ^gMean Square Ratios: MSM, mean squares of the model; MSE, mean squares for error; MSMLF, mean squares for model lack of fit; MSEe, mean squares for experimental error.

Table 7

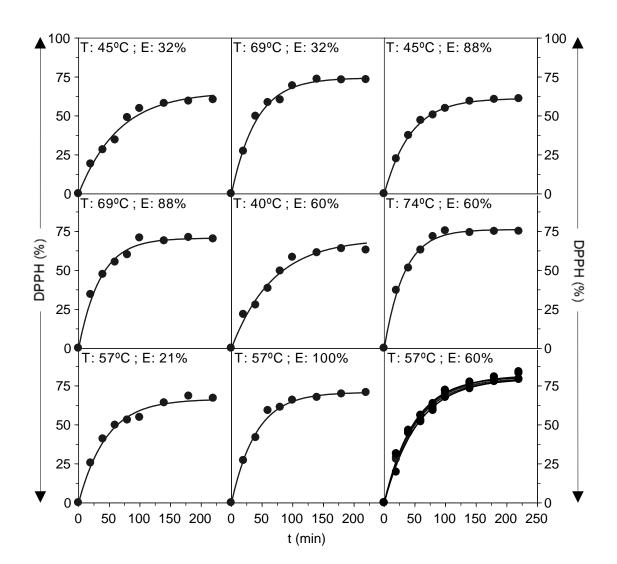
Т	Ε	$^{a}k_{d}$	${}^{b}\hat{k}_{d}$	Coefficients from the least-squares regression	t	cAdjusted Model
-1	-1	0.0152	0.0164	0.0198	47.28	0.0198 <i>i.t.</i>
1	-1	0.0248	0.0258	0.0047	14.22	0.0047 <i>T</i>
-1	1	0.0232	0.0203	0.0019	5.86	0.0019 <i>E</i>
1	1	0.0284	0.0297	-0.0011	2.32	NS <i>TE</i>
-1.41	0	0.0147	0.0160	0.0015	4.09	0.0015 <i>T</i> ²
1.41	0	0.0308	0.0293	0.0018	5.12	0.0018 <i>E</i> ²
0	-1.41	0.0221	0.0206			
0	1.41	0.0249	0.0261			= 0.0218
0	0	0.0199	0.0198	0	Average value	
0	0	0.0206	0.0198	Expected average value = 0.0198 Var(Ee) < 0.00001 $t(\alpha < 0.05; \upsilon = 4) = 2.776$		
0	0	0.0192	0.0198			
0	0	0.0185	0.0198			
0	0	0.0206	0.0198			
	dSS	eυ	fMS	^g Mean Squares Ra	atios	
Model	0.00024	4	0.000060	MSM/MSE= 20.	52	F_8^4 (α =0.05)= 3.838
Error	0.00002	8	0.000003	MSMLF/MSM= 0.	541	F_4^8 (α =0.05)= 6.041
Exp. Error	0.000003	4	0.000001	MSE/MSEe= 3.3	MSE/MSEe= 3.349	
Lack of fitting	0.00002	4	0.000005	MSLF/MSEe= 5.0	MSLF/MSEe= 5.698	
Total	0.00026	12				
					r ² =	
				r ² adj	usted=	0.867

³Experimental values of the specific rates of DPPH scavenging activity. ^bEstimated values of the specific rates of DPPH scavenging activity from the adjusted model. ^cCoefficients for the terms of the adjusted model: i.t., independent term; E, ethanol concentration (%);
T, temperature (°C); NS, not significant coefficient. ^dSS: sum of squares. ^eo: degrees of freedom. ^fMS: mean squares. ^gMean Square Ratios: MSM, mean squares of the model; MSE, mean squares for error; MSMLF, mean squares for model lack of fit; MSEe, mean squares for experimental error.

- **FIGURE 1**



- 608 FIGURE 2



- **FIGURE 3**

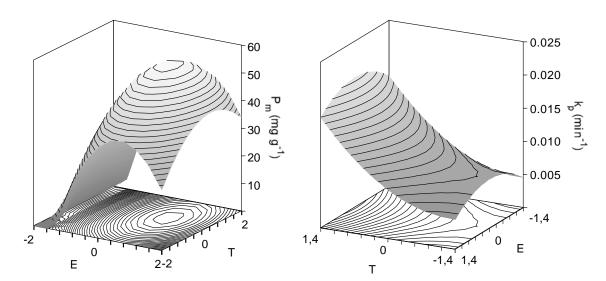
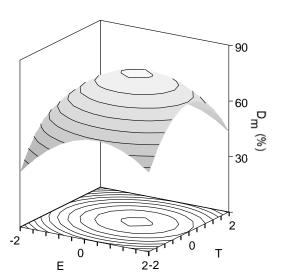
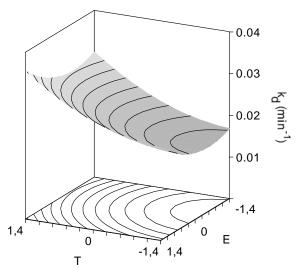


FIGURE 4





- 671 672