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## Food phenolics and lactic acid bacteria

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24

25 **Abstract**

26

27 Phenolic compounds are important constituents of food products of plant  
28 origin. These compounds are directly related to sensory characteristics of foods  
29 such as flavour, astringency, and colour. In addition, the presence of phenolic  
30 compounds on the diet is beneficial to health due to their chemopreventive  
31 activities against carcinogenesis and mutagenesis, mainly due to their antioxidant  
32 activities. Lactic acid bacteria (LAB) are autochthonous microbiota of raw  
33 vegetables. To get desirable properties on fermented plant-derived food products,  
34 LAB has to be adapted to the characteristics of the plant raw materials where  
35 phenolic compounds are abundant. *Lactobacillus plantarum* is the commercial  
36 starter most frequently used in the fermentation of food products of plant origin.  
37 However, scarce information is still available on the influence of phenolic  
38 compounds on the growth and viability of *L. plantarum* and other LAB species.  
39 Moreover, metabolic pathways of biosynthesis or degradation of phenolic  
40 compounds in LAB have not been completely described. Results obtained in *L.*  
41 *plantarum* showed that *L. plantarum* was able to degrade some food phenolic  
42 compounds giving compounds influencing food aroma as well as compounds  
43 presenting increased antioxidant activity. Recently, several *L. plantarum* proteins  
44 involved in the metabolism of phenolic compounds have been genetically and  
45 biochemically characterized. The aim of this review is to give a complete and  
46 updated overview of the current knowledge among LAB and food phenolics  
47 interaction, which could facilitate the possible application of selected bacteria or  
48 their enzymes in the elaboration of food products with improved characteristics.

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70 **1. Introduction**

71

72 In the last years, researchers and food manufacturers have become increasingly  
73 interested in phenolic compounds. The reason for this interest is the recognition of their  
74 antioxidant properties, their great abundance in our diet, and their probable role in the  
75 prevention of various diseases associated with oxidative stress, such as cancer, and  
76 cardiovascular and degenerative diseases (Manach et al., 2004).

77 The term “phenolic compound” described several hundred molecules found in  
78 edible plants that possess on their structure a benzenic ring substituted by, at least, one  
79 hydroxyl group. These compounds may be classified into different groups as a function  
80 of the number of phenol rings that they contain and of the structural elements that bind  
81 these rings to one another. Distinctions are thus made between phenolic acids (benzoic  
82 or hydroxycinnamic acid derivatives), flavonoids, stilbenes, and lignans. The flavonoids  
83 may themselves be divided into flavonols, flavones, isoflavones, flavanones,  
84 anthocyanidins, and flavanols (catechins and proanthocyanidins). In addition to this  
85 diversity, polyphenols may be associated with various carbohydrates and organic acids  
86 (Manach et al., 2004).

87 Traditionally, and from a basic knowledge, phenolic compounds have been  
88 considered nutritionally undesirable because they precipitate proteins, inhibit digestive  
89 enzymes and affect the utilization of vitamins and minerals, reducing the nutritional  
90 values of foods. However, the recent recognition of their antioxidant properties reduced  
91 the investigations of their adverse health effects. The presence of phenolic compounds  
92 on the diet is beneficial to health due to their chemopreventive activities against  
93 carcinogenesis and mutagenesis. The health effects of phenolic compounds depend on

94 the amount consumed and on their bioavailability (Chung et al., 1998; Shen et al.,  
95 2007).

96 In addition to having nutritional and antioxidant properties, phenolic compounds  
97 influence multiple sensorial food properties, such as flavour, astringency, and colour.  
98 Phenolic compounds contribute to the aroma and taste of numerous food products of  
99 plant origin. The contribution of phenolic compounds to aroma is mainly due to the  
100 presence of volatile phenols. Volatile phenols could be produced by the hydrolysis of  
101 superior alcohols or by the metabolism of microorganisms, yeast and LAB. In addition,  
102 food phenolics also contribute to food astringency. Some phenolic substances, mostly  
103 tannins, present in foods are able to bring about a puckering and drying sensation  
104 referred to as astringency which is related to the ability of the substance to precipitate  
105 salivary proteins (Lea and Arnold, 1978). Moreover, phenolic compounds are natural  
106 food pigments that greatly influence the colour of vegetable food products. Among  
107 flavonoids, the anthocyanins are responsible for the pink, scarlet, red, mauve, blue and  
108 violet colors of vegetables, fruits, fruit juices and wine (Harborne, 1988). Most  
109 flavonoids are present in plant cells in the form of glycosides.

110 Fruits, vegetables and beverages, such as tea, are the main sources of phenolic  
111 compounds in the human diet (Kapur and Kapoor, 2001). The Mediterranean diet  
112 includes fermented vegetable food products, such as wine and table olives, for which  
113 phenolic compounds are responsible of some of their sensorial and nutritional  
114 characteristics.

115

## 116 **2. Lactic acid bacteria in fermented food products of plant origin**

117

118           Vegetables are strongly recommended in the human diet since they are rich in  
119 antioxidant, vitamins, dietary fibres and minerals. The major part of the vegetables  
120 consumed in the human diet are fresh, minimally processed, pasteurized or cooked by  
121 boiling in water or microwaving. Minimally processed and, especially, fresh vegetables  
122 have a very short-life since subjected to rapid microbial spoilage and the above cooking  
123 processes would bring about a number of not always desirable changes in physical  
124 characteristics and chemical composition of vegetables. Among the various  
125 technological options, fermentation by lactic acid bacteria (LAB) may be considered as  
126 a simple and valuable biotechnology for maintaining and/or improving the safety,  
127 nutritional, sensory and shelf-life properties of vegetables. Lactic acid fermentation of  
128 vegetables has nowadays an industrial significance for cucumbers, cabbages and olives.  
129 Several other varieties of vegetables (e.g., carrots, French beans, marrows, artichokes,  
130 capers and eggplants) also increase their safety, nutritional, sensory and shelf-life  
131 properties through lactic acid fermentation under standardized industrial conditions.

132           Composition of microbiota and its development are important factors  
133 influencing fermentation and final product quality. Overall, LAB are a small part of the  
134 autochthonous microbiota of raw vegetables. To get desirable properties of fermented  
135 vegetable food products, LAB has to be adapted to the intrinsic characteristics of the  
136 raw materials. Spontaneous fermentations typically result from the competitive  
137 activities of a variety of autochthonous and contaminating microorganisms. Those best  
138 adapted to the conditions during the fermentation process will eventually dominate.  
139 Initiation of a spontaneous process takes a relatively long time, with a high risk for  
140 failure. Failure of fermentation processes can result in spoilage and/or the survival of  
141 pathogens, thereby creating unexpected health risks in food products. Thus, from both a  
142 hygiene and safety point of view, the use of starter cultures is recommended, as it would

143 lead to a rapid acidification of the product and thus inhibit the growth of spoilage and  
144 pathogenic bacteria, and to a product with consistent quality. Although a large number  
145 of LAB starters are routinely used in dairy, meat and baked food fermentations, only a  
146 few cultures have been used for vegetable fermentations. *Lactobacillus plantarum* is the  
147 commercial starter most frequently used in the fermentation of cucumbers, cabbages  
148 and olives (Vega Leal-Sánchez et al., 2003; Ruíz-Barba et al., 1994b).

149 Table olives are one of the most important fermented vegetables in the world  
150 economy. Table olives are produced as Spanish-style green olives in brine, as naturally  
151 black olives in brine, and as ripe olives, according to well-established processes. In  
152 naturally black olives, yeasts and LAB are responsible for fermentation but in Spanish-  
153 style olives this role is mainly played by LAB. In other processing methodologies  
154 competition between yeasts and LAB has been reported. The composition of the  
155 microbiota of the olives before brine making is one of the factors that could affect the  
156 dynamics of the fermentation and the quality of the product. In addition, resident LAB  
157 of manufacturing plants LAB are recognized to play an important role in olive  
158 fermentation. *L. plantarum* and *Lactobacillus pentosus* are, in fact, regarded as the main  
159 species leading this process being often used as starter in guided olive fermentations,  
160 although other LAB species such as *Leuconostoc mesenteroides*, *Leuconostoc*  
161 *pseudomesenteroides*, or *Pediococcus pentosaceus*, among others, have been also  
162 isolated (Table 1) (Ruiz-Barba et al., 1994a, 1994b; Nychas et al., 2002; Panagou et al.,  
163 2003; Vega Leal-Sánchez et al., 2003; Ercolini et al., 2006; Chamkha et al., 2008;  
164 Hurtado et al., 2008).

165 Cabbage or sauerkraut fermentation involves many physical, chemical, and  
166 microbiological changes that influence the quality and safety of the products. This  
167 fermentation can be broadly categorized as having successive stages, including an initial

168 heterofermentative stage followed by a homofermentative stage. Historically, four  
169 species of LAB have been identified as organisms that are present in sauerkraut  
170 fermentations *L. mesenteroides*, *Lactobacillus brevis*, *P. pentosaceus*, and *L. plantarum*,  
171 although recent results indicated that the species of LAB present are more diverse than  
172 previously reported and include, among others, *Leuconostoc citreum* and *Lactobacillus*  
173 *paraplantarum* strains (Plengvidhya et al., 2007) (Table 1).

174         Similar LAB species, *L. plantarum*, *L. brevis*, *L. pentosus* and *Leuconostoc* spp.  
175 have been found to occur mainly in the spontaneous fermentation of cucumbers  
176 (Tamminen et al., 2004). It have been also described that *Leuconostoc* and  
177 *Lactobacillus* genera predominated during the early hours of fermentation;  
178 subsequently, *Lactobacillus* and *Pediococcus* emerged as the dominant genera, and  
179 finally, *Pediococcus* appeared as a dominant genera during the late stages of  
180 fermentation (Singh and Ramesh, 2008). *Lactobacillus* species were shown to be the  
181 only lactic microbiota participating in the process of spontaneous fermentation of  
182 “Almagro” eggplant, with *L. plantarum*, *Lactobacillus fermentum* being isolated most  
183 frequently and, *L. pentosus* and *L. brevis* being less common (Sánchez et al., 2004;  
184 Seseña et al., 2005; Seseña and Palop, 2007). Fermentation of caper and caper berries  
185 relies on the spontaneous growth of LAB, being *L. plantarum* the predominant species  
186 in the fermentation, although strains of *L. paraplantarum*, *L. pentosus*, *L. brevis*, and *L.*  
187 *fermentum* and pediococci are also isolated (Pérez Pulido et al., 2007). Strains of  
188 *L. mesenteroides*, *L. plantarum*, *Enterococcus faecalis*, *P. pentosaceus* and *L. fermentum*  
189 were identified from raw carrots, French beans and marrows (Di Cagno et al., 2008). In  
190 sourdoughs, microbiological studies have revealed that *L. plantarum*, *L. brevis*, *Weisella*  
191 *cibaria*, and *P. pentosaceus* are the dominant LAB species (Iacumin et al., 2009). *L.*  
192 *plantarum*, *L. brevis*, *L. mesenteroides*, and *L. pseudomesenteroides* have been found to



193 occur mainly in the spontaneous fermentation of Korean kimchi (Kim and Chun, 2005).  
194 Predominant LAB strains associated with fermented bamboo shoot products were  
195 identified as *L. plantarum*, *L. brevis*, *P. pentosaceus*, *L. mesenteroides*, among others  
196 (Tamang et al., 2008). In cassava, the major staple crop for over 500 million people, *L.*  
197 *plantarum* could be isolated from spontaneous fermentations (Huch et al., 2008). *L.*  
198 *plantarum* and *L. brevis* are the starter cultures most frequently used for fermentation of  
199 vegetable juices, e.g., autochthonous *L. plantarum* strains were used to increase health-  
200 promoting and sensory properties of tomato juices (Di Cagno et al., 2009). In grape  
201 musts and wines, *Oenococcus oeni* is the most important LAB species and is applied as  
202 starter culture; however, within species of LAB that may also occur, *L. plantarum*, *L.*  
203 *brevis*, *Lactobacillus hilgardii*, and *L. mesenteroides* strains could be found (Moreno-  
204 Arribas et al., 2003; Rodas et al., 2005) (Table 1).

205         Considering the LAB microbiota found in vegetable fermentations, it seems that  
206 only few LAB species are well adapted to growth in raw vegetable material where  
207 phenolic compounds are abundant.

208

### 209 **3. Influence of phenolics on the growth and viability of lactic acid bacteria**

210

#### 211 *3.1. Lactobacillus plantarum*

212

213         In spite that most vegetable fermentations are spontaneous, *L. plantarum* is the  
214 commercial starter most frequently used in the fermentation of vegetable food products.  
215 However, only a limited number of studies have been made to study the influence of  
216 phenolic compounds on the growth and viability of *L. plantarum* strains.

217           The role of quinate and shikimate in the metabolism of lactobacilli was studied by  
218 Whiting and Coggins (1969). They described that *L. plantarum* reduced quinate and  
219 shikimate under anaerobic conditions in the presence of suitable hydrogen donors. Salih  
220 et al. (2000) studied the effect of hydroxycinnamic acids, their quinic esters and quinic  
221 acid (a non-phenolic acid) on the growth of *L. plantarum*. Results showed that, from the  
222 compounds assayed, bacterial growth was only affected by hydroxycinnamic acid at the  
223 concentrations used (up to 3 mM). A decreasing inhibitory effect was shown from  
224 ferulic acid to *p*-coumaric acid and caffeic acid (Figure 1). Biomass production was not  
225 affected, and only the apparent growth rate was affected in *L. plantarum*. Marsilio and  
226 Lanza (1998) described that *L. plantarum* growth was significantly reduced in the  
227 presence of 1 g/l *p*-coumaric acid and the inhibitory activity increased in the presence of  
228 NaCl. Growth was particularly low when *p*-coumaric acid was combined with 40 g/l  
229 NaCl and negligible in the presence of 60 g/l NaCl. Lower concentrations (0.5 g/l) of *p*-  
230 coumaric acid did not show inhibitory activity against *L. plantarum*. Landete et al  
231 (2007) analyzed the antimicrobial activities of ten wine phenolics compounds against *L.*  
232 *plantarum* strains. They reported that inhibition increased in this order: catechin = gallic  
233 acid < gallate epicatechin = salicylic acid < methyl gallate = caffeic acid < ferulic acid =  
234 tryptophol < *p*-coumaric acid.

235           In a laboratory medium, the effect of caffeic and ferulic acids on the *L. plantarum*  
236 viability was reported to be concentration-dependent (Rozès and Peres, 1998). The same  
237 authors reported that low tannin concentrations (0.1 or 0.2 g/l) did not inhibited *L.*  
238 *plantarum* growth, but high amounts of tannin (1 g/l) delayed bacterial growth (Rozès  
239 and Peres, 1998).

240           Ruiz-Barba et al. (1990) studied the bactericidal effect of phenolic compounds  
241 from olives on *L. plantarum*. Their results indicated that the phenolic compounds

242 contained in the non-alkali treated green olive brines exhibit a pronounced bactericidal  
243 effect on *L. plantarum*. The bacteriostatic effect of these compounds was avoided by the  
244 use of appropriate phenolic inactivating agents. All the phenolic compounds assayed  
245 affected the survival of *L. plantarum*, although with differing cellular viabilities. Later,  
246 Durán et al. (1993) studied the survival of *L. plantarum* during the first days of ripe  
247 olive brining. They reported that the inhibitory effect of diffused phenolic compounds  
248 on *L. plantarum* during these days was significant only when it was associated with  
249 NaCl (3% NaCl in brines). The combined effect of 6% NaCl and the phenolic  
250 concentration caused a marked decrease in survival in 7 days of olive brines. It have  
251 been reported that oleuropein, a bitter glucoside present in significant amounts in the  
252 pulp of olive fruits, is not the most inhibiting compound of *L. plantarum* growth.  
253 Several authors have studied the effects of oleuropein and its hydrolysis products on the  
254 survival of bacteria. The results reported are different according to the antibacterial test  
255 methods used. Juven and Henis (1970) reported that oleuropein inhibit *L. plantarum*  
256 growth. This inhibition was augmented by reducing the concentration of organic  
257 nitrogenous compounds, increasing the NaCl concentration in the medium, and  
258 decreasing the inoculum size. Marsilio and Lanza (1998) studied the ability of *L.*  
259 *plantarum* to grow in the presence of oleuropein, hydroxytyrosol, and *p*-coumaric acid  
260 combined with various concentrations of NaCl. *L. plantarum* grew well in NaCl  
261 concentrations up to 60 g/l, levels of 80 g/l delayed the onset of growth rather than  
262 retarding the rate and the growth was suppressed in the presence of 100 g/l of NaCl.  
263 Under all conditions tested, oleuropein in combination with NaCl increased  
264 significantly the bacterial growth and therefore did not appear to have bactericidal  
265 effects. Similar results were obtained in the presence of hydroxytyrosol. In the presence

266 of oleuropein, the cell density was higher than with hydroxytyrosol possibly because  
267 glucose released from hydrolysed glucoside was readily metabolised by *L. plantarum*.  
268 Rozès and Peres (1996) reported that untreated oleuropein was not inhibitory to *L.*  
269 *plantarum*. However, when the aglycon was formed in the medium, by the action of a  $\beta$ -  
270 glucosidase, and with a low sugar concentration, cell viability decreased. Ruiz-Barba et  
271 al. (1991) reported that oleuropein extracted from green olives was bactericidal against  
272 *L. plantarum* strains isolated from green olive fermentation brines. Heat-treated  
273 oleuropein also demonstrated a strong bactericidal effect but not alkali-treated  
274 oleuropein, which allowed survival of most of the strains tested. Ruiz-Barba et al.  
275 (1993) also tested the viability of *L. plantarum* in the presence of single or combined  
276 fractions of the phenolic compounds isolated from NaOH-treated and untreated olive  
277 brines. When assayed at the concentrations found in brines, only the single phenolic  
278 fraction containing hydroxytyrosol strongly inhibited *L. plantarum*. When tested as  
279 single phenolic fractions, vanillic acid, verbascoside and luteolin-7-glucoside, none of  
280 them had bactericidal effect against the lactobacilli even at the maximum concentration  
281 found in brines. However, inhibition of *L. plantarum* was observed when double  
282 phenolic fractions (e.g. the glucosides, oleuropein and verbascoside) were used; this  
283 showed a combined effect in the inhibition. Recently Landete et al. (2008a) evaluated  
284 inhibitory growth activities of nine olive phenolic compounds against *L. plantarum*  
285 strains isolated from different sources. None of the nine compounds assayed  
286 (oleuropein, hydroxytyrosol, tyrosol, as well as vanillic, *p*-hydroxybenzoic, sinapic,  
287 syringic, protocatechuic and cinnamic acids) inhibited *L. plantarum* growth at the  
288 concentrations found in olive products.

289 The mechanism of growth inhibition is not clear. Some authors proposed that  
290 oleuropein and its hydrolysis products induce leakage of glutamate and inorganic

291 phosphate from the bacterial cell as well as the degradation of the cell wall itself.  
292 Oleuropein had no effect on the rate of glycolysis when added to resting cells of *L.*  
293 *plantarum*, but it caused a decrease in the ATP content of the cells (Juven et al., 1972).

294 It have been described that the bactericidal effect of phenolic compounds is related  
295 to alterations at two different levels of the cellular ultrastructure: cell wall and  
296 cytoplasmic membrane (Ruíz-Barba et al., 1990). These alterations possibly led to the  
297 disruption of the cell envelope. Scanning electron micrographs of *L. plantarum* whole  
298 cells revealed that after 24 h of incubation in phenolic compounds, the bacterial surface  
299 become irregular and rough. Transmission electron micrographs of the same cells also  
300 revealed that cell wall structures become irregular and several projections appear on the  
301 surface of the bacteria (Figure 2). After 11 days of incubation in phenolics, the cell  
302 envelope almost disappeared but whole cells contained mesosomes could be seen (Ruíz-  
303 Barba et al., 1990). It was also described that the bactericidal effect of oleuropein was  
304 accompanied by changes in the typical bacillary structure of *L. plantarum* and also the  
305 typical Gram-positive appearance was lost and a Gram-negative profile was observed  
306 (Ruiz-Barba et al., 1991). These changes occur between 30-60 min of incubation in  
307 oleuropein. The authors suggest that this could indicate that oleuropein promoted  
308 disruption of the peptidoglycan, which could lead to cell death by destruction of the cell  
309 envelope.

310 Alterations in the cytoplasmic membrane produced by phenolic compounds have  
311 been also described. Rozès and Peres (1998) studied the effects of phenolic compounds  
312 on the fatty acid composition of *L. plantarum* membranes. They described that  
313 increasing amounts of caffeic and ferulic acids induced a gradual increase in the  
314 amounts of myristic, palmitoleic, stearic and 9,10-methylenehexadecanoic acid with a  
315 concomitant decrease of lactobacilli acid. On the other hand, the addition of tannins

316 induced an increase in the lactobacillic acid level at the expense of vaccenic acid  
317 content. Their results suggest that, in the presence of acidic phenols, the fatty acid  
318 composition is altered in terms of what occurs in response to low temperature or high  
319 alcohol concentration. An opposite phenomenon occurs when tannins are added. Their  
320 effect seems comparable to the effect of increases in growth temperature.

321

322

### 323 3.2. *Other lactic acid bacteria species*

324

325 Limited studies have been done on the influence of phenolic compounds on the  
326 growth and viability of other LAB species. These studies were mainly focused on wine  
327 LAB species such as *O. oeni*, *L. hilgardii* and *L. brevis*.

328 *O. oeni* is the main LAB species involved in malolactic fermentation during  
329 winemaking. Phenolic compounds are important components of red wine and  
330 potentially affect malolactic fermentation. In *O. oeni*, the effect of some phenolic  
331 compounds on the physiology, metabolism, and growth inhibition have been studied.  
332 The effects of phenolic acids and free anthocyanins was studied by Vivas et al. (1997).  
333 Gallic acid and free anthocyanins seems to activated cell growth and the rate of malic  
334 acid degradation. Vanillic acid showed a slight inhibiting effect, while protocatechuic  
335 acid had no effect. Later, Vivas et al (2000) reported that grape tannins, procyanidins,  
336 and oak wood tannins, elagitannins, do no have the same effect on *O. oeni*. Oligomer  
337 procyanidins are powerful inhibitors, affecting bacterial viability in non-growing  
338 conditions, bacterial growth and malolactic activity. On the contrary, pure ellagitannins  
339 appear to be propitious to the viability of *O. oeni*, while total oak extract is also a  
340 powerful inhibitor. These authors demonstrated that ellagitannins when oxidized have a

341 strong inhibiting effect, as opposed to procyanidins which lose all effect when oxidised.  
342 They suggest that the adsorption on the bacterial cells may be the mechanisms involved  
343 in this observation. Salih et al. (2000) studied the effects of the following  
344 hydroxycinnamic acids: ferulic, *p*-coumaric, caffeic, *p*-coumaroyl quinic, 5'-caffeoyl  
345 quinic and the non-phenolic acid, quinic acid on *O. oeni* growth. Quinic acid was not  
346 active and *O. oeni* growth was only affected by hydroxycinnamic acid concentrations.  
347 Apparent growth rate and biomass production decreases in the presence of  
348 hydroxycinnamic acids. Similar results were obtained in studies from other authors.  
349 Reguant et al. (2000) found that the growth of *O. oeni* is affected by phenolic  
350 compounds in different ways, depending on their type and concentration. Generally they  
351 have no effects at low concentrations, but hydroxycinnamic acids are inhibitory at high  
352 concentrations. Campos et al. (2003) described that hydroxycinnamic acids were more  
353 inhibitory to the growth of *O. oeni* than hydroxybenzoic acids, being *p*-coumaric acid  
354 which showed the strongest inhibitory effect on growth and survival.

355 Cultures of *O. oeni* in the presence of phenolic compounds in a synthetic media  
356 or under wine conditions were examined to know how these compounds affect *O. oeni*  
357 growth. Reguant et al. (2000) in a synthetic medium found that malolactic fermentation  
358 was stimulated in the presence of catechin and quercetin, but increasingly delayed with  
359 increasing amounts of *p*-coumaric acid. Gallic acid appeared to delay or inhibit the  
360 formation of acetic acid from citric acid. Rozès et al. (2003) found that fifty milligrams  
361 per litre or more of phenolic compounds stimulated *O. oeni* growth under wine  
362 conditions. These authors also described that phenolic compounds reduced the rate of  
363 sugar consumption and enhanced citric acid consumption, increasing the yield of acetic  
364 acid.

365 De Revel et al. (2005) described that, in laboratory medium, the heating of wood  
366 favoured *O. oeni* viability more than the simple addition of wood shaving. Theobald et  
367 al (2007) found that green tea could cause a growth stimulation of *O. oeni* as a result of  
368 the phenolic compounds present on green tea, especially epigallocatechin gallate.  
369 However, depending on its concentration, this compound could also inhibit *O. oeni*  
370 growth. They also described that individual catechins have a minor influence on the  
371 growth of oenococci. Figueiredo et al. (2008) studied the effects of phenolic aldehydes  
372 and flavonoids on growth and inactivation of *O. oeni*. Of the phenolic aldehydes tested,  
373 inapaldehyde, coniferaldehyde, *p*-hydroxybenzaldehyde, 3, 4-dihydrobenzaldehyde, and  
374 3, 4, 5-trihydroxybenzaldehyde significantly inhibited *O. oeni* growth, while vanillin  
375 and syringaldehyde had no effect at the concentration tested. Among the flavonoids,  
376 quercetin and kaempferol exerted an inhibitory effect on *O. oeni*. Myricetin, catequin  
377 and epicatequin did not affect considerably *O. oeni* growth. Condensed tannins were  
378 found to strongly affect *O. oeni* viability.

379 *Lactobacillus hilgardii* growth has been identified as a cause of wine spoilage  
380 (Rodríguez et al., 2007a). The effects of different concentrations of gallic acid and  
381 catequin on *L. hilgardii* growth was studied by Alberto et al. (2001). These phenolic  
382 compounds, at concentrations normally present in wine, not only stimulated the growth  
383 rate but also resulted in greater cell densities during the stationary phase of growth.  
384 During the first hours of growth both phenolic compounds activated the rate of glucose  
385 and fructose utilization and only catechin increases the malic acid consumption rate.  
386 Later, Alberto et al. (2002) when studied the effect of wine phenolic compounds on *L.*  
387 *hilgardii* viability described that in decolorized wines, which result in tannin losses, the  
388 viable cell counts increase. They also found a relationship between *L. hilgardii* tannin  
389 binding and its viability loss. The influence of some phenolics acids on growth and



390 inactivation of *L. hilgardii* was also studied by Campos et al. (2003). *p*-Coumaric acid  
391 showed the strongest inhibitory effect on growth and survival, showing caffeic and  
392 ferulic acids a beneficial effect on *L. hilgardii* growth. Recently, the effect of phenolic  
393 compounds on *L. hilgardii* putrescine production was studied (Alberto et al., 2007).  
394 They found that bacterial growth was stimulated by phenolic compounds, except for  
395 gallic acid and quercetin. Putrescine formation from agmatine diminished in the  
396 presence of protocatechuic, vanillic and caffeic acids, and the flavonoids catechin and  
397 rutin. Figueredo et al. (2008) studied the effect of phenolic aldehydes and flavonoids in  
398 *L. hilgardii* growth and found that, among the aldehydes tested, it was only inhibited by  
399 sinapaldehyde and coniferaldehyde. Similarly to *O.oeni*, myricetin, catechin and  
400 epicatechin did not affect considerably *L. hilgardii* growth. However, condensed tannins  
401 strongly affect cell viability. The effect of a tannin, tannic acid, on *L. hilgardii* was  
402 analyzed by a proteomic assay (Bossi et al., 2007). Although the mechanisms ruling the  
403 interaction between tannic acid and *L. hilgardii* cells were not elucidated, the proteomic  
404 approach suggests the interference of tannins on cell protein expression. The  
405 involvement of important metabolic enzymes, ribosomal and functional membrane  
406 proteins could explain the inhibition of cells growing in presence of tannins.

407         The effects of hydroxycinnamic acids on the growth of two other species of  
408 wine-spoilage LAB, *Lactobacillus collinoides* and *L. brevis*, was studied by Stead  
409 (1993). At concentrations of 500 and 1000 mg/l, caffeic, coumaric and ferulic acids  
410 markedly inhibited growth; coumaric and ferulic acids were more effective than caffeic  
411 acid. At concentrations of 100 mg/l, all compounds stimulated growth. In general, *L.*  
412 *collinoides* strains were more susceptible both to inhibition and stimulation by the  
413 hydroxycinnamic acids that was *L. brevis*. Later, the effect of chlorogenic, gallic and  
414 quinic acids, at concentrations of 100, 500 and 1000 mg/l on *L. collinoides* and *L. brevis*

415 growth was evaluated by Stead (1994). During early stages of growth, all the complex  
416 acids at each concentration stimulated growth of *L. collinoides* but not of *L. brevis*.  
417 During stationary phase, chlorogenic and gallic acids produced greater cell densities of  
418 both species, whereas quinic acid generally had loss effect.

419

#### 420 **4. Metabolism of food phenolics by lactic acid bacteria**

421

##### 422 *4.1. Lactobacillus plantarum*

423

424 *Lactobacillus plantarum* is a LAB species that is most frequently encountered in  
425 the fermentation of plant materials where phenolic compounds are abundant. However,  
426 nowadays most of the metabolism of phenolic compounds remains unknown, as well as  
427 its induction or repression by the presence of different sugar sources (Muscariello et al.,  
428 2001).

429 As early as 1975, Whiting described that *L. plantarum* in anaerobic conditions  
430 reduce quinate to dihydroxycyclohexanecarboxylate and acetic acid (Table 2). This  
431 pathway involves eleven steps, catalyzed by inducible enzymes. *L. plantarum* not only  
432 reduces quinate but at the same time, even under anaerobic conditions, oxidizes a  
433 proportion to catechol, in a pathway involving a NAD-dependent dehydrogenase and a  
434 protocatechuic acid decarboxylase that remains genetically uncharacterized (Whiting  
435 and Coggins, 1974; Whiting, 1975).

436 Ciafardini et al (1994) and Marsilio et al. (1996) reported that *L. plantarum*  
437 strains degraded oleuropein, the main phenolic glucoside of olive fruit. Strains of *L.*  
438 *plantarum* initially hydrolyze the oleuropein by means of a  $\beta$ -glucosidase action, with  
439 formation of an aglycone, and in a second step, this derivative, by means of an esterase

440 action, gives rise to hydroxytyrosol and elenoic acid (Table 2).  $\beta$ -glucosidase activity  
441 was partially inhibited by glucose, however, esterase activity involved in the second  
442 step of biodegradation process, was not influenced by glucose (Marsilio and Lanza,  
443 1988). Grimaldi et al. (2005) found that *L. plantarum* Lac26 strain exhibited a  
444 detectable capacity for the hydrolysis of the  $\beta$ - and  $\alpha$ -D-glucopyranosides. However,  
445 contradictory data were obtained in relation to the *L. plantarum* protein possessing this  
446 activity. A  $\beta$ -glucosidase, with a molecular mass of 40 kDa, was purified from *L.*  
447 *plantarum* extracts (Sestelo et al., 2004). However, Spano et al. (2005), by sequence  
448 similarity, described the coding region of a putative  $\beta$ -glucosidase of 61.2 kDa which  
449 expression was analysed by reverse transcriptase (RT-PCR) and Northern-blot analysis.  
450 The results indicated that the gene was regulated by abiotic stresses such as temperature,  
451 ethanol and pH.

452 Hydroxycinnamic acids (*p*-coumaric and ferulic acids) derivatives are important  
453 in the food aroma. The decarboxylation of these phenolic acids originates the formation  
454 of 4-vinyl phenol and 4-vinyl guaiacol that are considered food additives and are  
455 approved as flavouring agents (JECFA, 2001). The reduction of these vinyl phenols,  
456 originates ethyl phenol and ethyl guaiacol, which are considered the most important  
457 flavour components of fermented soy sauce (Yokosuka, 1986) or, on the other hand, are  
458 considered as *off flavour* and responsible of sensorial wine alterations (Chatonnet et al.,  
459 1992). It have been demonstrated that *L. plantarum* possessed two inducible phenolic  
460 acid decarboxylases. The phenolic acid decarboxylase (PDC) decarboxylates *p*-  
461 coumaric, ferulic and caffeic acids to their corresponding vinyl derivatives (Figure 1).  
462 Knockout of the *pdc* gene from *L. plantarum* reveals the existence of a second phenol  
463 acid decarboxylase enzyme, better induced with ferulic acid than with *p*-coumaric acid  
464 (Barthelmebs et al., 2000). This second decarboxylase remains uncharacterized. In

465 addition, *L. plantarum* also displayed an uncharacterized inducible acid phenol  
466 reductase activity, able to reduce the vinyl derivatives into ethyl derivatives, and to  
467 metabolize *p*-coumaric acid into phloretic acid (Table 2). It have been suggested that the  
468 synthesis of these inducible enzymes could be considered a specific chemical stress  
469 response to overcome phenolic acid toxicity (Gury et al., 2004).

470         It have been described that *L. plantarum* is a LAB species able to degrade  
471 tannins (Nishitani and Osawa, 2003; Nishitani et al., 2004; Vaquero et al., 2004). Gallic  
472 acid and the antioxidant pyrogallol were detected as final metabolic intermediates from  
473 tannic acid (Rodríguez et al., 2008a) (Table 2). Moreover, the degradation of phenolic  
474 compounds present in some plant-derived foods was studied. When the degradation of  
475 nine phenolic compounds found in olive products was tested it was found that only  
476 oleuropein and protocatechuic acid were metabolized by *L. plantarum* strains (Landete  
477 et al., 2008a). Oleuropein was metabolized mainly to hydroxytyrosol, while  
478 protocatechuic acid was decarboxylated to catechol (Table 2). The  $\beta$ -glucosidase and  
479 decarboxylase activities are involved in these degradations. Similarly, the *L. plantarum*  
480 capacity to degrade some phenolic compounds found in wine was studied (Landete et  
481 al., 2007). Of the ten compounds analyzed, only some hydroxycinnamic acids, gallic  
482 acid, and methyl gallate were metabolized by the *L. plantarum* strains analyzed. Vinyl  
483 and ethyl derivatives were originated from hydroxycinnamic acids, and pyrogallol from  
484 gallic acid. The enzymes involved on these degradations are a reductase and one (or  
485 several) decarboxylase. Since it has been described that *L. plantarum* possess several  
486 phenolic acid decarboxylases (Cavin et al., 1997b; Barthelmebs et al. 2000; Rodríguez  
487 et al., 2008c), the ability of several *L. plantarum* strains to metabolize nineteen food  
488 phenolic acids (hydroxycinnamic and benzoic acids) abundant in food substrates was  
489 also studied (Rodríguez et al., 2008d). Only six out 19 phenolic acids were metabolized

490 by the action of one (or several) decarboxylases and reductases (*p*-coumaric, caffeic,  
491 ferulic, *m*-coumaric, gallic, and protocatechuic acids) (Table 2 and Figure 1).

492 From the above results it could be deduced that *L. plantarum* possess metabolic  
493 ability to degrade some phenolic compounds. Nowadays, only tannase, *p*-coumaric acid  
494 decarboxylase (PAD), and benzyl alcohol dehydrogenase have been genetically  
495 characterized, while other enzymatic activities on phenolic compounds remain  
496 biochemical and genetically uncharacterized. The knowledge of the metabolism of  
497 phenolic compounds in *L. plantarum* is of great interest in food science and technology,  
498 as this bacterium possesses enzymes for the obtention of high-added value compounds,  
499 such as powerful antioxidants (hydroxytyrosol and pyrogallol) or food additives  
500 approved as flavouring agents (4-vinyl phenol and 4-vinyl guaiacol).

501

#### 502 4.1.1. Tannase

503

504 Tannase or tannin acyl hydrolase (EC 3.1.1.20) catalyses the hydrolysis reaction  
505 of the ester bonds present in the hydrolysable tannins and gallic acid esters. At the  
506 moment, the main commercial application of tannase is the elaboration of instantaneous  
507 tea or of acorn liquor, and in the production of the gallic acid, which is used in the food  
508 industry because is a substrate for the synthesis of propylgallate, a potent antioxidant.  
509 Also, tannase is used as clarifying agent in some wines, juices of fruits and in refreshing  
510 drinks with coffee flavour, and during manufacture of beer to avoid the undesirable  
511 turbidity due to the accomplishing of proteins with tannins (Lehka and Lonsane, 1997;  
512 Belmares et al., 2004; Aguilar et al., 2007). Tannase could be used in the treatment of  
513 food effluents that contains high amounts of tannins, mainly polyphenols, which are

514 dangerous pollutants. The use of tannase on these residues represents a cheap treatment  
515 and cash for the removal of these compounds

516 Osawa et al. (2000) reported for the first time tannase activity in *L. plantarum*  
517 isolates. Later, this property was confirmed in *L. plantarum* strains isolated from  
518 various food substrates (Nishitani and Osawa, 2003; Nishitani et al., 2004; Vaquero et  
519 al., 2004). It has been postulated that this enzymatic property has an ecological  
520 advantage for this species, as it is often associated with fermentations of plant materials.  
521 In all these studies was used methyl gallate, a simple galloylester of methanol, as a  
522 substrate to be hydrolyzed by the *L. plantarum* tannase, and then, the gallic acid  
523 released from methyl gallate was oxidized to give a green to brown coloration,  
524 sufficient to be recognized visually or in a spectrometer (Inoue and Hagerman, 1988). A  
525 similar spectrophometric method was used by Lamia and Hamdi (2002) to demonstrate  
526 that *L. plantarum* produces an extracellular tannase after 24 h growth on minimal  
527 medium of amino acids containing tannic acid. However, Rodriguez et al. (2008b)  
528 described that HPLC chromatograms from supernatants of *L. plantarum* grown on a  
529 basal medium containing 1mM tannic acid for a week, were similar to the medium  
530 incubated in the same conditions. Therefore, if *L. plantarum* is able to produce an  
531 extracellular tannase to degrade tannic acid, more differences would be expected. In  
532 addition, *L. plantarum* tannase activity on complex hydrolysable tannins, as tannic acid,  
533 was firstly demonstrated by Rodriguez et al. (2008b) by using an HPLC analysis.

534 In order to confirm tannic acid degradation by *L. plantarum*, cell-free extracts  
535 obtained from disrupted *L. plantarum* cells were incubated in presence of tannic acid  
536 (Rodríguez et al., 2008a). After different incubation times, they were extracted and  
537 subjected to HPLC analysis. The results obtained that *L. plantarum* degrades tannic  
538 acid, a complex gallotannin, by depolymerization of high molecular weight tannins and

539 a reduction of low molecular weight tannins (Figure 3). Moreover, *L. plantarum* cell-  
540 free extracts, on a 6 h incubation period, degrades as much as 95% of the tannic acid  
541 compounds. The authors indicated that the proposed biochemical pathway for the  
542 degradation of tannic acid by *L. plantarum* implies that tannic acid is hydrolysed to  
543 gallic acid and glucose, and the gallic acid formed is decarboxylated to pyrogallol  
544 (Rodríguez et al., 2008a). This metabolic transformation implies the presence of tannase  
545 and gallate decarboxylase activities (Table 2). The presence of a gallate decarboxylase  
546 in *L. plantarum* has been previously reported (Osawa et al., 2000).

547         The biochemical properties of *L. plantarum* tannase in cell-free extracts have  
548 been described by using a colorimetric assay (Rodríguez et al., 2008b). Tannase activity  
549 was optimal at pH 5, whereas at pH 6 the enzyme retained only 40% of maximal  
550 activity. The optimum temperature for enzyme activity was found to be 30 °C. With  
551 further increase in temperature tannase activity was found to decrease. There was  
552 considerably good activity even at 50 °C, this is an additional advantage since some of  
553 the processes assisted by tannase are preformed at increased temperature. The effect of  
554 metal ions on the *L. plantarum* tannase activity was also studied (Rodríguez et al.,  
555 2008b). Tannase was found to be partially inactivated by the presence of  $Hg^{2+}$  and  $Mg^{2+}$   
556 ions. However, metal ions like  $K^+$ ,  $Ca^{2+}$ , and  $Zn^{2+}$  did not affect *L. plantarum* tannase  
557 activity. The addition of some surfactants (Tween 80), chelators (EDTA), inhibitors  
558 (DMSO), and denaturing agents (urea) does not affect *L. plantarum* tannase activity at  
559 the concentration tested (Rodríguez et al., 2008b).

560         In order to identify *L. plantarum* tannase, concentrated cell-free extracts were  
561 fractionated by non-denaturing SDS-PAGE, and examined for enzyme activity by a  
562 zymogram. Tannase activity was localized in a single band (Rodríguez et al., 2008b).  
563 Recently, based on the tannase from *Staphylococcus lugdunensis*, Iwamoto et al. (2008)

564 searched on the complete genome sequence of *L. plantarum* WCFS1 for a similar  
565 protein. They found that lp\_2956 (TanLp1) showed a 28.8% identity to *S. lugdunensis*  
566 tannase (Noguchi et al., 2007). The ORF of TanLp1, spanning 1410 bp, encoded a 469-  
567 amino acid protein with several conserved motifs with *S. lugdunensis* tannase, that were  
568 absent in other tannases reported for bacteria and fungi. TanLp1 was expressed in *E.*  
569 *coli* and the purified recombinant protein seemed to be a monomer polypeptide of  
570 approximately 50 kDa in size. Subsequent enzymatic characterization revealed that  
571 TanLp1 was most active in an alkaline pH range at 40 °C (Iwamoto et al., 2008). The  
572 results obtained suggest that *L. plantarum* tannase should be classified into a novel  
573 family of tannases.

574

#### 575 4.1.2. Phenolic acid decarboxylase (PAD or PDC)

576

577         There are multiple reasons for improving the understanding of PAD enzymes as  
578 these enzymes are involved in the formation of useful volatile phenols derivatives  
579 which contribute naturally to aroma in wines and other fermented foods and beverages.

580         PAD activity may confer a selective advantage upon microorganisms during  
581 growth on plants, where PAD expression could constitute a stress response induced by  
582 phenolic acid. The *L. plantarum* phenolic acid decarboxylase (PAD) and its  
583 transcriptional repressor (PadR) have been cloned in *E. coli* and characterized at  
584 molecular level (Cavin et al., 1997a; Gury et al., 2004). It has been described that, in the  
585 absence of phenolic acids, PadR interacts with an operating DNA sequence downstream  
586 from the promoter boxes, which blocks the transcription of *padA* (Gury et al., 2004).  
587 When *p*-coumaric, ferulic or caffeic acid is added to a growing culture of *L. plantarum*,  
588 it inactivates PadR through a mechanism that is not yet entirely elucidated, but which



589 could need a specific mediator. The resulting production of PAD enzyme rapidly  
590 degrades the phenolic acid, and thus eliminates the stress caused by it. In *L. plantarum*  
591 the deletion of *padaA* gene leads to the inhibition of growth in the presence of *p*-  
592 coumaric acid above 3 mM, especially at low pH (Barthelmebs et al., 2000). The  
593 deletion of *PadR* leads to a constitutive overexpression of the *padaA* gene (Gury et al.,  
594 2004). *PadR* is divergently oriented from *padaA*; moreover, it forms an operonic  
595 structure with *usp1*, a gene whose products display similarity to universal stress  
596 proteins. The expression of the three genes is very low in the non-induced condition,  
597 while the addition of 1.2 mM *p*-coumaric acid induces an increase in the expression of  
598 *padaA*, *padR* and *usp1* by factors of 8,000, 37 and 13, respectively (Licantro-Seraut et  
599 al., 2008).

600         The *padaA* gene from *L. plantarum* LPCHL2 has been cloned (Cavin et al.,  
601 1997a) and the recombinant protein overproduced in *E. coli*. Cavin et al. (1997b) have  
602 analyzed the substrate specificity of the purified PAD using 10 hydroxycinnamic acids,  
603 concluding that only the acids with a *para* hydroxyl group with respect to the  
604 unsaturated side chain and with a substitution of –H or –OH in position *meta* were  
605 metabolized. The construction of four chimeric bacterial PAD enzymes, which were  
606 functional and which displayed enzymatic activities different from those of the native  
607 activity, especially substrate specificity (Barthelmebs et al., 2001).

608         The complete genome sequence of *L. plantarum* WCFS1 and *L. plantarum*  
609 CECT 748, type strain, revealed that their PAD enzymes were identical and differed,  
610 mainly in their C-terminal region, from the enzyme previously purified from *L.*  
611 *plantarum* LPCHL2. The PAD from *L. plantarum* CECT 748 was also overproduced in  
612 *E. coli* (Rodríguez et al., 2008c) (Figure 4). Contrarily to *L. plantarum* LPCHL2, the  
613 recombinant PAD from *L. plantarum* CECT 748 is a heat-labile enzyme, showing

614 optimal activity at 22 °C. From the nineteen phenolic acids assayed, this PAD is able to  
615 decarboxylate exclusively *p*-coumaric, caffeic and ferulic acids (Table 2 and Figure 1).  
616 Kinetic parameters indicated that at high substrate concentrations, both *p*-coumaric acid  
617 and caffeic acids are much more efficiently decarboxylated than ferulic acid (Rodríguez  
618 et al., 2008c). This result indicates that the presence of bulky moieties in the *meta*  
619 position of the aromatic ring of the substrate clearly affects the binding step to the  
620 enzyme.

621 The purified PAD from *L. plantarum* behaves as a monomer-dimer associative  
622 equilibrium in solution (Rodríguez et al., 2007b). Its crystal structure has been solved  
623 and refined at 1.38 Å resolution. *L. plantarum* PAD is a homodimeric enzyme with  
624 single-domain subunits which show a novel fold consisting of a central  $\beta$ -sandwich  
625 endowed with a pseudo  $\beta$ -barrel topology (manuscript in preparation). Single point  
626 mutants have permitted identifying a potential substrate-binding pocket and proposed a  
627 catalytic mechanism for decarboxylation of hydroxycinnamic acids by *L. plantarum*  
628 PAD (manuscript in preparation).

629

#### 630 4.1.3. Benzyl alcohol dehydrogenase

631

632 Aroma is an important sensory parameter of food products. LAB have enzymatic  
633 activities that could be important in the modification of food aroma. Several studies  
634 indicated that *L. plantarum* strains possess some glycosidase activities to hydrolyze  
635 odourless non-volatile glycosides to generate odor-active aglycons (Marsilio et al.,  
636 1996; Sestelo et al., 2004; Spano et al., 2005). The presence in *L. plantarum* of  
637 additional enzymatic activities able to modify the aglycons generated, such as some  
638 aromatic alcohols, has been also studied. From the analysis of the *L. plantarum* WCFS1

639 complete genome sequence, a protein annotated as aryl or benzyl alcohol  
640 dehydrogenase (lp\_3054) (EC 1.1.1.90) could be identified (Kleerebezem et al., 2003).  
641 Benzyl alcohol dehydrogenase catalyzes the reversible oxidation of some aromatic  
642 alcohols to aldehydes with the concomitant reduction of NAD<sup>+</sup>. To confirm its  
643 enzymatic activity, lp\_3054 from *L. plantarum* have been overexpressed and purified  
644 (Landete et al., 2008b). Protein alignment indicated that lp\_3054 is a member of the  
645 family of NAD(P)-dependent long-chain zinc-dependent alcohol dehydrogenases. In  
646 lp\_3054 all the residues involved in zinc and cofactor binding are conserved. It is also  
647 conserved the residue that determines the specificity of the dehydrogenase toward  
648 NAD<sup>+</sup> rather than NADP<sup>+</sup> and, therefore *L. plantarum* benzyl alcohol dehydrogenase  
649 was less active in the presence of NADP<sup>+</sup> than in the presence of NAD<sup>+</sup>. The purified  
650 dehydrogenase exhibited optimal activity at pH 5.0 and 30 °C. Aromatic alcohol  
651 dehydrogenases are, in general, specific for aromatic alcohols, with a preference for  
652 aromatic alcohols with small substituent groups. Several compounds frequent in food  
653 substrates were tested as substrates for *L. plantarum* dehydrogenase (Landete et al.,  
654 2008b). Nonalcohol compounds (e.g. *p*-coumaric and caffeic acids) was well as some  
655 nonaromatic alcohols (e.g., ethanol) were not reduced by benzyl alcohol dehydrogenase.  
656 From the compounds assayed, benzyl alcohol was an effective alcohol substrate for the  
657 *L. plantarum* enzyme. The other enzyme substrates were most of them aromatic in  
658 nature. It was also described that the electron-withdrawing properties of the substituent  
659 groups on the aromatic ring may also be involved in dictating the acceptability of a  
660 particular substrate. *L. plantarum* benzyl alcohol dehydrogenase is in general specific  
661 for aromatic alcohols or cyclic alcohols such as perillyl, cinnamyl, and coniferyl alcohol  
662 (Table 2); however, it was also able to catalyze efficiently the oxidation of some allylic  
663 alcohols, such as geraniol and nerol.

664 Cinnamyl and coniferyl alcohol are both known intermediates of lignin  
665 biosynthesis and degradation. Although cinnamyl alcohol or, presumably, coniferyl  
666 alcohol cannot serve as sole carbon source for *L. plantarum*, their oxidation could give  
667 some energy to the bacteria, and the compounds produced would then be available for  
668 metabolism of other microorganisms.

669 Among the aromatic alcohols oxidized by *L. plantarum* benzyl alcohol  
670 dehydrogenase, benzyl alcohol and phenethyl alcohol, as well as the benzaldehyde  
671 produced, are volatile compounds important for the aroma of food products, such as  
672 grape must or wine (Rosillo et al., 1999).

673

#### 674 4.2. Other lactic acid bacteria species

675

676 Similarly to *L. plantarum*, the studies on the metabolism of phenolic compounds  
677 on other LAB species are scarce. Only a few reports have been described on *L.*  
678 *paracollinoides*, *L. hilgardii* and *O. oeni* metabolism.

679 Whiting and Carr (1957) described that chlorogenic acid frequently disappeared  
680 during cider fermentation. Studies using cell-extracts from *L. paracollinoides* (formerly  
681 named *L. pastorianus* var. *quinicus*) indicated that the first stage into the metabolism of  
682 chlorogenic acid was the hydrolysis to caffeic acid and quinic acids. Both products were  
683 further metabolised. Later, it was show that caffeic acid is metabolised with the  
684 formation of dihydrocaffeic acid and ethyl catechol (Whiting and Carr, 1959). In  
685 addition, *L. paracollinoides* was able to reduce the side-chain of 3, 4-hydroxy and 3-  
686 hydroxycinnamic acids. Their products may then be decarboxylated to ethyl catechol  
687 and ethyl phenol. Whiting and Coggins (1969) also described that *L. paracollinoides*  
688 reduces quinate to shikimate and to dihydroshikimate.

689 It has been described that *L. hilgardii* degraded gallic acid and catechin (Alberto  
690 et al., 2004). *L. hilgardii* was grown in a complex medium containing gallic acid or  
691 catechin. In acid gallic-grown cultures, gallic acid, pyrogallol, catechol, protocatechuic  
692 acid, *p*-hydroxybenzoic acid, *p*-hydroxybenzaldehyde, and *p*-hydroxybenzyl alcohol  
693 were detected. In catechin-grown cultures, catechin, gallic acid, pyrogallol, catechol, *p*-  
694 hydroxybenzoic acid, acetovanillone, and homovanillic acid were detected.

695 LoCascio et al. (2006) described that *P. pentosaceus* cultures reduce 21.3% the  
696 quercetin present in the culture media, however, the mechanism of quercetin loss  
697 (absorption or transport into the cell) remains unknown. It has been also described that  
698 *O. oeni* strains, by a glycosidase action, metabolize anthocyanins and other phenolics  
699 producing important wine aroma compounds (Vivas et al., 1997; Boido et al., 2002;  
700 Ugliano et al., 2002; D’Incecco et al., 2004; de Revel et al., 2005; Bloem et al., 2008).  
701 As glycosidases are interesting enzymes for the hydrolysis of glycoconjugated  
702 precursors, therefore several surveys of glycosidase activities has been made on *O. oeni*  
703 strains, commercial (Grimaldi et al., 2005) as well as selected wild strains (Barbagallo  
704 et al., 2004). A remarkable variability was found in the  $\beta$ -glucosidase activity among  
705 the analyzed *O. oeni* strains.

706 Bloem et al. (2007) studied the production of vanillin from simple phenols by  
707 wine-associated LAB. They found that LAB were not able to form vanillin from  
708 eugenol, isoeugenol or vanillic acid. However, *O. oeni* or *Lactobacillus* sp. could  
709 convert ferulic acid to vanillin, but in low yield. Moreover, LAB reduced vanillin to the  
710 corresponding vanillin alcohol. Concerning the production of volatile phenols, Cavin et  
711 al. (1993) have shown that *p*-coumaric and ferulic acids were decarboxylated by *L.*  
712 *brevis*, *L. plantarum*, and *P. pentosaceus*. Later, Chatonnet et al. (1995) reported that  
713 some strains of *L. brevis* and *P. pentosaceus* were able to decarboxylate *p*-coumaric

714 acid to form 4-vinyl phenol. *Oenococcus oeni* synthesized small quantities of 4-vinyl  
715 phenols, and only *L. plantarum* was able to produce ethyl phenols. Recently, Couto et  
716 al. (2006) screened the ability to produce volatile phenols in lactic acid bacteria  
717 belonging to 20 different species. They found that only species from the *Lactobacillus*  
718 genera (*L. brevis*, *L. collinoides*, and *L. plantarum*) were able to reduce vinyl phenols to  
719 the corresponding ethyl phenols. *p*-Coumaric acid was not metabolized by the *O. oeni*  
720 and the *L. mesenteroides* strains analyzed. *Lactobacillus hilgardii* strains were unable to  
721 metabolize *p*-coumaric acid (Couto et al., 2006). Van Beek and Priest (2000) established  
722 the wide distribution of the gene *padA* (or *pdc*) encoding a phenolic acid decarboxylase  
723 (PAD or PDC) in several strains of *Lactobacillus* isolated from whisky fermentations  
724 and described two primers for the PCR detection of the *padA* gene. Recently, based on  
725 an alignment of the PAD genes present on the database from the recently sequenced  
726 LAB genomes (Makarova et al., 2006; Makarova and Koonin, 2007), De las Rivas et al.  
727 (2009) described a PCR assay for the detection of LAB that potentially produce volatile  
728 phenols. The *padA* PCR method was applied to strains belonging to the six main wine  
729 LAB species. The results showed that *L. plantarum*, *L. brevis*, and *P. pentosaceus*  
730 strains produce a positive response in the *padA* PCR assay, whereas *O. oeni*, *L.*  
731 *hilgardii*, and *L. mesenteroides* strains did not produce the expected PCR product. A  
732 relationship was found between the presence of the *padA* gene and volatile phenol  
733 production; so, the LAB strains that gave a positive *padA* PCR response produce  
734 volatile phenols, whereas strains that did not produce a PCR amplicon did not produce  
735 volatile phenols.

736

## 737 **5. Treatment of food by-products by lactic acid bacteria**

738

739 Disposal of the waste generated by several food industries constitutes a serious  
740 environmental problem due to the presence of phenolic compounds that causes  
741 difficulties for their biological treatment (Arvanitoyannis and Kassaveti, 2007). There is  
742 a growing interest in the exploitation of these by-products in order to obtain high-added  
743 value compounds and to reduce the environmental problem (Lafka et al., 2007; Agalias  
744 et al., 2007; Brenes et al., 2004). There are some proposals of the use of LAB for the  
745 revaloration of food by-products where phenolics compounds are abundant.

746 LAB with their capacity to reduce oxygen pressure, redox potential and pH,  
747 offers a new promising approach to the bioconversion of phenolic compounds present in  
748 olive wastes (Arvanitoyannis and Kassaveti, 2007). Generally the olive industry  
749 produces two residues, solids and olive mill wastewater (OMW). OMW is one of the  
750 most complex plant effluents. The ecological problem of OMW is due primarily to the  
751 presence of phenolic compounds, which make OMW toxic and resistant to biological  
752 degradation. OMW is unstable and turns black under aerobic conditions because of the  
753 auto-oxidation of phenolic compounds (Arvanitoyannis and Kassaveti, 2007). The  
754 effects of *L. plantarum* growth on the reductive decolorization and biodegradation of  
755 olive phenolic compounds was evaluated by Lamia and Moktar (2003). *L. plantarum*  
756 growth on fresh OMW induced the depolymerization of phenolic compounds of high  
757 molecular weight, with a resultant decolorization of fresh OMW. These authors found  
758 that approximately 58% of the colour, 55% of the chemical oxygen demand, and 46%  
759 of the phenolic compounds were removed when OMW was diluted ten times. The  
760 removal of phenolic compounds was associated with the depolymerization, the partial  
761 adsorption on the cells and the biodegradation of certain simple phenolic compounds. In  
762 addition, it had been described that the application of *L. plantarum* to the olive fruit  
763 during crushing could constitute a new microbiological process for olive oil quality

764 improvement. Kachouri and Hamdi (2004) studied the transformation of phenolic  
765 compounds contained in OMW into valuable products using *L. plantarum*, in order to  
766 increase their transportation from OMW to olive oil. Incubation of olive oil samples  
767 with fermented OMW by *L. plantarum* caused polyphenols to decrease in OMW and  
768 increase in oil. Fermentation with *L. plantarum* induced reductive depolymerization of  
769 OMW which is more soluble in olive oil. The analysis of the phenolic compounds found  
770 in olive oil after storage showed that the application of *L. plantarum* favours the  
771 increase of all phenolic compounds in olive oil, especially by depolymerisation and by  
772 reductive conversion of phenolic compounds of olive and oxygen fixation. The authors  
773 concluded that olive oil mixed with the OMW and fermented by *L. plantarum* had a  
774 higher quality and stability because of a higher content of simple phenolic compounds.

775         Disposal of the waste from wine production has long been a problem from  
776 wineries. In total, more than 20% of wine production is waste, comprising thousands of  
777 tons, which constitutes a serious environmental problem (Arvanitoyannis et al., 2006).  
778 *p*-Coumaric acid is a representative compound of the phenolic fraction of wine distillery  
779 wastewater (Arvanitoyannis et al., 2006). *L. plantarum* is able to decarboxylate *p*-  
780 coumaric acid to vinyl phenol by the action of PAD; therefore, the growth of *L.*  
781 *plantarum*, or the use of purified *L. plantarum* PAD, in a *p*-coumaric acid solution will  
782 convert this phenolic compound into a less toxic derivative, vinyl phenol, which is a  
783 valuable intermediate in the biotechnological production of new fragrance chemicals,  
784 and also is considered a food flavouring agent (JECFA, 2001). Moreover, leachates,  
785 which are solutions of tannins and other compounds that are separated from the grape  
786 marc during wine-making elaboration, can cause oxygen depletion in the soil and can  
787 infiltrate surface soil and ground water. *L. plantarum*, of its pure tannase enzyme, could  
788 be used to obtain high-added-value antioxidants from the degradation of contaminant



789 phenolic compounds found in wine wastes as *L. plantarum* strains possess tannase  
790 activity able to hydrolyze ester bonds present in hydrolyzable tannins, releasing gallic  
791 acid which is subsequently decarboxylated to produce pyrogallol. Gallic acid and  
792 pyrogallol are powerful antioxidants compounds (Ordoudi and Tsimidou, 2006).

793

## 794 **6. Conclusions**

795         Some LAB species are adapted to growth in plant-derived food substrates where  
796 phenolic compounds are abundant. Most of the phenolic compounds studied exert an  
797 inhibitory effect on LAB growth. This inhibition activity seems to be related to  
798 alterations in cytoplasmic membranes and in the cell wall. Up to now, metabolisms of a  
799 limited number of phenolic compounds have been described on LAB. Therefore, there  
800 is a potential in further research in this field. The elucidation of these metabolic  
801 pathways will lead to obtain biotechnologically useful strains and proteins. These  
802 strains or proteins will be adequate in the elaboration procedures to obtain food with  
803 improved sensorial or nutritional characteristics. In addition, it might be possible to use  
804 these strains or enzymes to obtain high-added-value compounds, such as antioxidants,  
805 from the degradation of phenolic compounds present in food wastes.

806

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808

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816

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1198  
 1199

1200 **Figure captions**

1201

1202 Figure 1. Structure of some phenolic acids reported to be metabolized by *L. plantarum*  
 1203 strains whose growth effects have been also studied.

1204

1205 Figure 2. Transmission electron micrographs of a septum among two *L. plantarum*  
1206 CECT 748<sup>T</sup> cells grown in the presence of a phenolic compound (0.5 mM tannic acid)  
1207 (100000 X). Black bar represents 200 nm.

1208

1209 Figure 3. HPLC chromatograms showing disappearance of tannic acid by cell-free  
1210 extracts of *L. plantarum* CECT 748<sup>T</sup> after 20 h incubation at 37 °C. Chromatograms  
1211 were recorded at 280 nm. AU, absorbance units.

1212

1213 Figure 4. Expression and purification of the PAD protein from *L. plantarum* CECT  
1214 748<sup>T</sup>. SDS-PAGE analysis of soluble cell extracts of *E.coli* cultures from control cells  
1215 (lane 1), and from cells carrying pURI-PAD plasmid (lane 2). Fractions eluted after  
1216 affinity column (lane 3 to 8). The positions of molecular mass markers (Bio-Rad) are  
1217 indicated on the left.

Table 1. Main LAB species isolated from some vegetable fermentations

Vegetable source	LAB species	References
Olives	<i>Lactobacillus plantarum</i>	Ruiz-Barba et al., 1994a, 1994b
	<i>Lactobacillus pentosus</i>	Nychas et al., 2002
	<i>Leuconostoc mesenteroides</i>	Panagou et al., 2003
	<i>Leuconostoc pseudomesenteroides</i>	Vega Leal-Sánchez et al., 2003
	<i>Pediococcus pentosaceus</i>	Ercolini et al., 2006 Chamkha et al., 2008 Hurtado et al., 2008
Cabbage	<i>Leuconostoc mesenteroides</i>	Plengvidhya et al., 2007
	<i>Lactobacillus brevis</i>	
	<i>Pediococcus pentosaceus</i>	
	<i>Lactobacillus plantarum</i>	
	<i>Leuconostoc citreum</i>	
Cucumbers	<i>Lactobacillus paraplantarum</i>	
	<i>Lactobacillus plantarum</i>	Tamminen et al., 2004
	<i>Lactobacillus brevis</i>	
	<i>Lactobacillus pentosus</i>	
	<i>Leuconostoc</i> sp. <i>Pediococcus</i> sp.	
Eggplants	<i>Lactobacillus plantarum</i>	Sánchez et al., 2004
	<i>Lactobacillus fermentum</i>	Seseña et al., 2005
	<i>Lactobacillus pentosus</i>	Seseña and Palop, 2007
	<i>Lactobacillus brevis</i>	
Caper berries	<i>Lactobacillus plantarum</i>	Pérez-Pulido et al., 2007
	<i>Lactobacillus paraplantarum</i>	
	<i>Lactobacillus pentosus</i>	
	<i>Lactobacillus brevis</i>	
Grape must	<i>Lactobacillus fermentum</i>	
	<i>Oenococcus oeni</i>	Moreno-Arribas et al., 2003
	<i>Lactobacillus plantarum</i>	Rodas et al., 2005
	<i>Lactobacillus brevis</i>	
	<i>Lactobacillus hilgardii</i> <i>Leuconostoc mesenteroides</i>	

Table 2. Metabolism of aromatic compounds by *L. plantarum* strains

Compound assayed	Compound produced	Enzymes involved	References
Benzyl alcohol	Benzaldehyde	Benzyl alcohol dehydrogenase	Landete et al., 2008b
Caffeic acid	Vinyl catechol Ethyl catechol	PAD Reductase	Cavin et al., 1997a Cavin et al., 1997b Barthelmebs et al., 2000 Rodríguez et al., 2008c Rodríguez et al., 2008d
Cinnamyl alcohol	Cinnamyl aldehyde	Benzyl alcohol dehydrogenase	Landete et al., 2008b
Coniferyl alcohol	Coniferyl aldehyde	Benzyl alcohol dehydrogenase	Landete et al., 2008b
<i>m</i> -Coumaric acid	3-(3-hydroxyphenyl) propionic acid	Reductase	Rodríguez et al., 2008d
<i>p</i> -Coumaric acid	Vinyl phenol Ethyl phenol	PAD Reductase	Cavin et al., 1997a Cavin et al., 1997b Rodríguez et al., 2008c Rodríguez et al., 2008d
Ferulic acid	Phloretic acid Vinyl guaiacol Ethyl guaiacol	Reductase PAD Reductase	Barthelmebs et al., 2000 Cavin et al., 1997a Cavin et al., 1997b Rodríguez et al., 2008c Rodríguez et al., 2008d
Gallic acid	Hydroferulic acid	Reductase	De las Rivas et al., 2009
Methyl gallate	Pyrogallol Gallic acid	Decarboxylase Tanase	Rodríguez et al., 2008d Rodríguez et al., 2008d
Oleuropein	Pyrogallol Hydroxytyrosol	Decarboxylase $\beta$ -Glucosidase Esterase	Rodríguez et al., 2008d Ciafardini et al., 1994 Marsilio et al., 1996 Marsilio and Lanza, 1998 Landete et al., 2008a
Phenethylalcohol	Phenethylaldehyde	Benzyl alcohol dehydrogenase	Landete et al., 2008b
Protocatechuic acid	Catechol	Decarboxylase	Rodríguez et al., 2008d
Quinic acid	Catechol	Several enzymes	Whiting and Coggins, 1971 Whiting and Coggins, 1974 Whiting, 1975
Shikimic acid	Catechol	Several enzymes	Whiting and Coggins, 1971 Whiting and Coggins, 1974 Whiting, 1975
Tannic acid	Gallic acid Pyrogallol	Tannase Decarboxylase	Rodríguez et al., 2008a Rodríguez et al., 2008b

Figure 1

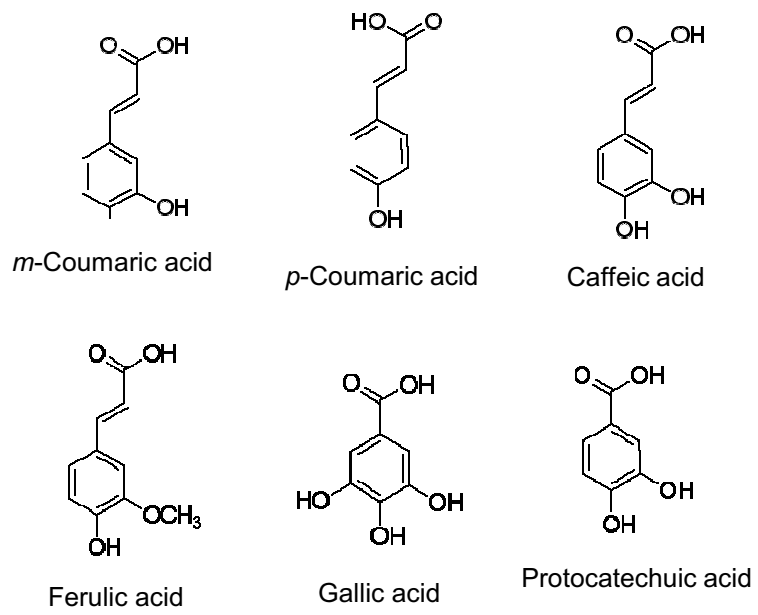


Figure 2

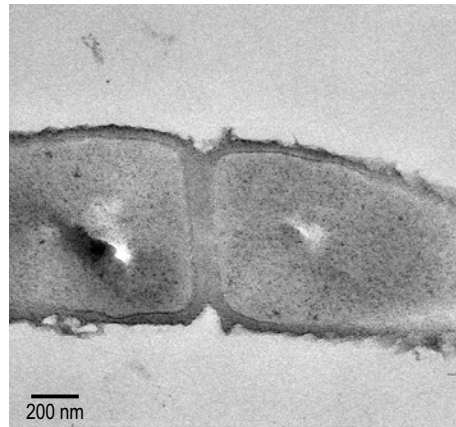


Figure 3

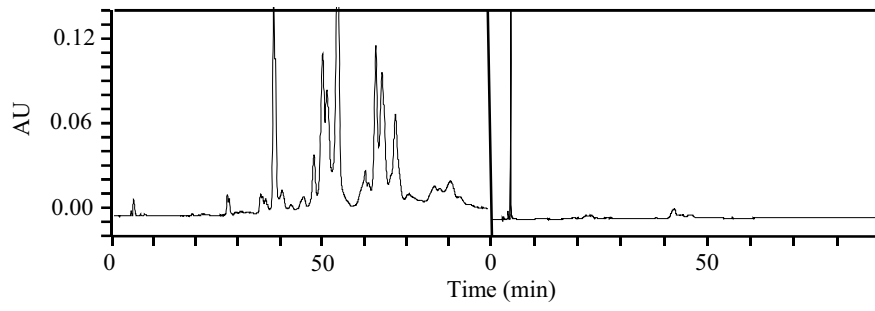


Figure 4

