

An A_4 model for neutrinos

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Abstract. The study of an extension of the standard model based on the flavor symmetry A_4 is presented. Neutrino Majorana mass terms arise from dimension five operator and charged lepton masses from renormalizable Yukawa couplings. We introduce three Higgs doublets that belong to one triplet irreducible representation of A_4 . We study the most general A_4 -invariant scalar potential and the phenomenological consequences of the model. We find that the reactor angle could be as large as, $\sin^2 \theta_{13\text{max}} \sim 0.03$, while the atmospheric mixing angle θ_{23} is close to maximal, $\sin^2 \theta_{23} = 1/2$.

1. Introduction

Experiments on neutrino oscillations confirmed that neutrinos are massive and mix among themselves like the quarks do. In contrast to the quark sector, neutrino oscillations have two large mixing angles [1]. In the literature continuous as well as discrete (Abelian and non-Abelian) flavor symmetries have been extensively studied. However so far we do not have a unique top-down hint for the choice of the flavor symmetry. In a bottom-up approach simplicity and predictivity are possible criteria that we can use. In the quark sector the heaviness of the top quark suggests that first and second families could belong to a doublet irreducible representation and the third family belongs to a singlet representation of the flavor symmetry group. Differently the large solar and atmospheric neutrino mixing angles suggest that the three neutrino families belong to one triplet irreducible representation of a flavor group. The group of even permutation of four objects, A_4 [2, 3], is the smallest non-Abelian discrete group with triplet irreducible representation. Most of the models based on A_4 need to introduce extra auxiliary Abelian symmetries and supersymmetry (or extra dimensions) in order to reproduce tri-bimaximal mixing [4].

In this paper we use the A_4 symmetry group as the flavor group but we renounce to predict the tri-bimaximal mixing. The Dirac mass matrices arise from renormalizable operator coupled with the Higgs, that is the Yukawa interactions. Neutrino Majorana mass terms are generated from a dimension five Weinberg operator. It is a known fact that A_4 can be broken spontaneously into its Z_3 or Z_2 subgroups assuming the vacuum expectation values (vevs) to be real¹. Recently in [5], a model for quarks mixing has been suggested where the most generic A_4 -invariant potential has complex solutions. In this case A_4 is completely broken. This solution open new possibilities for the description of the leptonic sector that deserve further investigation.

¹ When A_4 is broken into Z_3 in the charged lepton sector and into Z_2 in the neutrino sector the lepton mixing is tri-bimaximal.

In the next section we present the model, in section 3 and 4 we discuss the charged lepton and neutrino mass matrices respectively. In section 5 we discuss the implication of the model and finally, in section 6 we summarize the results of the model.

2. The Model

In our model [6] the Higgs sector is extended from one $SU(2)_L$ -doublet to three $SU(2)_L$ -doublets belonging to a triplet irreducible representation of A_4 . The left-handed as well as the right-handed charged leptons, belong to the triplet irreducible representation of A_4 . The irreducible representation assignment for the particles is given in Table 1.

fields	L_i	l_i^c	ϕ_i
$SU(2)_L$	2	1	2
A_4	3	3	3

Table 1. Lepton multiplet structure of the model

A_4 is the group of even permutations of 4 objects and is isomorphic to the symmetries of the tetrahedron. A_4 can be generated by two generators S and T with the properties

$$S^2 = T^2 = (ST)^3 = 1. \quad (1)$$

A_4 contain one 3-dimensional representation, 3, and three one-dimensional, 1, 1' and 1''. The product of two 3 gives $3 \otimes 3 = 1 \oplus 1' \oplus 1'' \oplus 3 \oplus 3$ and $1' \otimes 1' = 1''$, $1' \otimes 1'' = 1$, $1'' \otimes 1'' = 1'$ etc. For two triplets $3_a \sim (a_1, a_2, a_3)$, $3_b \sim (b_1, b_2, b_3)$ the irreducible representations obtained from their product are:

$$1 = a_1 b_1 + a_2 b_2 + a_3 b_3, \quad (2)$$

$$1' = a_1 b_1 + \omega^2 a_2 b_2 + \omega a_3 b_3, \quad (3)$$

$$1'' = a_1 b_1 + \omega a_2 b_2 + \omega^2 a_3 b_3, \quad (4)$$

$$3 \sim (a_2 b_3, a_3 b_1, a_1 b_2), \quad (5)$$

$$3 \sim (a_3 b_2, a_1 b_3, a_2 b_1), \quad (6)$$

this in the basis of S diagonal and where $\omega = e^{i2\pi/3}$.

The most general renormalizable Yukawa Lagrangian for the charged leptons in the model is

$$L_{\text{Yukawa}} = y_1 (\bar{L}_1 \phi_3 l_2^c + \bar{L}_2 \phi_1 l_3^c + \bar{L}_3 \phi_2 l_1^c) + y_2 (\bar{L}_1 \phi_2 l_3^c + \bar{L}_2 \phi_3 l_1^c + \bar{L}_3 \phi_1 l_2^c). \quad (7)$$

Once the electroweak symmetry (EW) is broken, the charged lepton mass matrix are obtained from this Yukawa Lagrangian:

$$M_l = \begin{pmatrix} 0 & y_1 \langle \phi_3 \rangle & y_2 \langle \phi_2 \rangle \\ y_2 \langle \phi_3 \rangle & 0 & y_1 \langle \phi_1 \rangle \\ y_1 \langle \phi_2 \rangle & y_2 \langle \phi_1 \rangle & 0 \end{pmatrix}. \quad (8)$$

The most general neutrino dimension five operator invariant under A_4 (see for instance [?]), is given by

$$\begin{aligned} \mathcal{L}_{5d} = & \beta (LL)_3 (HH)_3 + k (LL)_1 (HH)_1 + \alpha' (LL)_{1'} (HH)_{1''} + \alpha'' (LL)_{1''} (HH)_{1'} + \\ & + [a (LH)_{3a} (LH)_{3a} + b (LH)_{3a} (LH)_{3b} + c (LH)_{3b} (LH)_{3a} + d (LH)_{3b} (LH)_{3b}] + \\ & + l (LH)_1 (LH)_1 + l' [(LH)_{1'} (LH)_{1''} + (LH)_{1''} (LH)_{1'}], \end{aligned} \quad (9)$$

where $\beta, k, \alpha, \alpha', \alpha'', a, b, c, d$ are arbitrary complex couplings. Once the EW symmetry is broken, the Majorana neutrino mass matrix is given by

$$M_\nu = \begin{pmatrix} x\langle\phi_1\rangle^2 + y\langle\phi_2\rangle^2 + z\langle\phi_3\rangle^2 & \kappa\langle\phi_1\rangle\langle\phi_2\rangle & \kappa\langle\phi_1\rangle\langle\phi_3\rangle \\ \kappa\langle\phi_1\rangle\langle\phi_2\rangle & z\langle\phi_1\rangle^2 + x\langle\phi_2\rangle^2 + y\langle\phi_3\rangle^2 & \kappa\langle\phi_2\rangle\langle\phi_3\rangle \\ \kappa\langle\phi_1\rangle\langle\phi_3\rangle & \kappa\langle\phi_2\rangle\langle\phi_3\rangle & y\langle\phi_1\rangle^2 + z\langle\phi_2\rangle^2 + x\langle\phi_3\rangle^2 \end{pmatrix}, \quad (10)$$

where x, y, z and κ are functions of the couplings in eq. (9).

The most general renormalizable scalar potential invariant under the symmetry A_4 [5, 6] has the solution

$$\langle\phi_1\rangle = v_1, \quad \langle\phi_2\rangle = ve^{i\alpha/2}, \quad \langle\phi_3\rangle = ve^{-i\alpha/2}. \quad (11)$$

3. Charged leptons

With the vevs in eq. (11), the charged lepton mass matrix in eq. (8) takes the form

$$M_l = \begin{pmatrix} 0 & ae^{i\alpha} & be^{-i\alpha} \\ be^{i\alpha} & 0 & ar \\ ae^{-i\alpha} & br & 0 \end{pmatrix}, \quad (12)$$

with the parameters in the matrix (12) defined by $a = y_1 v$, $b = y_2 v$ and $r = v_1/v$. We write the symmetric matrix, $M_l M_l^T$

$$M_l M_l^T = \begin{pmatrix} a^2 + b^2 & abr & abr \\ abr & b^2 + a^2 r^2 & ab \\ abr & ab & a^2 + b^2 r^2 \end{pmatrix}, \quad (13)$$

which is diagonalized by an orthogonal matrix O_l . It is straightforward to obtain the analytical expressions for a, b and r as function of the charged lepton masses [6], it can be written as

$$\begin{aligned} r &\approx \frac{m_\tau}{\sqrt{m_e m_\mu}} \sqrt{1 - \frac{m_e^2 m_\mu^2}{m_\tau^4}}, \\ a &\approx \frac{m_\mu}{m_\tau} \sqrt{m_e m_\mu} \left[1 + \frac{1}{2} \frac{m_\mu^2}{m_\tau^2} \right], \\ b &\approx \sqrt{m_e m_\mu} \left[1 - \frac{1}{2} \frac{m_\mu^2}{m_\tau^2} \right]. \end{aligned} \quad (14)$$

With

$$m_e = 0.511006 \text{ MeV}, \quad m_\mu = 105.656 \text{ MeV}, \quad m_\tau = 1776.96 \text{ MeV},$$

we have $a = 0.43474$, $b = 7.3471$ and $r = 241.8582$. Note that $a < b \ll r$ thus the orthogonal matrix O_l diagonalizing $M_l M_l^T$ is approximatively

$$O_{l_{12}} \approx \frac{b}{a} r^{-1}, \quad O_{l_{13}} \approx \frac{a}{b} r^{-1}, \quad O_{l_{23}} \approx \frac{a}{b} r^{-2}. \quad (15)$$

The element, $O_{l_{12}}$, give a contribution to the reactor mixing angle, θ_{13} , see section 5.1. The analytical expression for this element is given as

$$O_{l_{12}} \approx \sqrt{\frac{m_e}{m_\mu}} \left[1 - \left(\frac{m_\mu}{m_\tau} \right)^2 \right]. \quad (16)$$

The numerical expression for the matrix O_l is

$$O_l = \begin{pmatrix} 0.997 & 0.069 & 2.44 \times 10^{-4} \\ -0.069 & 0.997 & 1.075 \times 10^{-6} \\ -2.439 \times 10^{-4} & -1.800 \times 10^{-5} & 0.999 \end{pmatrix}. \quad (17)$$

4. Neutrinos

The mass matrix for the neutrinos in eq. (10) with the vevs in eq. (11) takes the form

$$M_\nu = \begin{pmatrix} xr^2 + ye^{-2i\alpha} + ze^{2i\alpha} & \kappa re^{-i\alpha} & \kappa re^{i\alpha} \\ \kappa re^{-i\alpha} & zr^2 + xe^{-2i\alpha} + ye^{2i\alpha} & \kappa \\ \kappa re^{i\alpha} & \kappa & yr^2 + ze^{-2i\alpha} + xe^{2i\alpha} \end{pmatrix}. \quad (18)$$

From the charged lepton sector we know that r is fixed as shown in eq. (14), and $r \gg 1$, then we can neglect in the diagonal the terms not proportional to r^2 . With this the mass matrix in eq. (18) can be written as

$$M_\nu = \begin{pmatrix} xr^2 & \kappa re^{-i\alpha} & \kappa re^{i\alpha} \\ \kappa re^{-i\alpha} & zr^2 & \kappa \\ \kappa re^{i\alpha} & \kappa & yr^2 \end{pmatrix}. \quad (19)$$

Note that there are 4 complex free parameters, x, y, z, κ and one extra phase α coming from the Higgs sector. We can absorb two phases in the fields, then it remains 7 free parameters in the neutrino mass matrix. Neutrino oscillation experiments determine two mass square difference $\Delta m_{12}^2 \equiv m_2^2 - m_1^2$ and $\Delta m_{13}^2 \equiv |m_3^2 - m_1^2|$ with the corresponding three mixing angle [1]. If θ_{13} is different from zero, the Dirac phases could be probed in future experiments [7]. The absolute neutrino mass scale can be probed in future tritium beta decay [8] and neutrinoless double beta decay [9] experiments. While it will be hard to measure the two Majorana phase. There are seven measurable physical observable plus two Majorana physical phases.

5. Phenomenology of the model

In our Model we have six free parameters, $x, y, \theta, \phi_{xy}, \delta$ and α in the neutrino sector for nine physical parameters, $\Delta m_{12}^2, \Delta m_{13}^2, m_{ee}$, three mixing angles and two Majorana phases and the Jarlskog invariant J , for Dirac CP violation in the neutrino sector. We can construct the expressions for Δm_{12}^2 and Δm_{13}^2 and find x and y as functions of the observables, $\Delta m_{12}^2, \Delta m_{13}^2$, the mixing parameter θ and its relative phase ϕ_{xy} . This model is only compatible with inverted hierarchy neutrino mass spectrum [6].

In the next subsections we present the predictions for the allowed region for mixing angles, the Jarlskog invariant as well as the neutrinoless double beta decay.

5.1. Neutrino mixing angles

Recently has been given an indication that the reactor neutrino angle θ_{13} could be different from zero [1]

$$\sin^2 \theta_{13} = 0.016 \pm 0.010 (1\sigma). \quad (20)$$

The θ_{13}' angle coming from the diagonalization of the neutrino mass matrix, is exactly zero in the limit $\delta, \alpha = 0$. However the reactor angle resulting from the product of the unitary matrix that diagonalize the charged lepton matrix, eqs. (16) and (17) and the neutrino mass matrix, is different from zero and we have

$$\sin^2 \theta_{13} \approx \frac{m_e}{2m_\mu} \approx 0.0024. \quad (21)$$

The solar mixing angle is given by the θ parameter up to corrections coming from the charged lepton sector of the order $\mathcal{O}(\sqrt{m_e/m_\mu})$. The parameters α and δ are related with the deviations of θ_{13} and θ_{23} from the zero and maximal values respectively.

In the left side of figure 1, we show the allowed region for the atmospheric mixing angle. The deviation from its maximal value is small. The reactor mixing angle, θ_{13} , can be large. In the

right figure 1 side of we show the magnitude of the Jarlskog invariant [10], J , of CP violation in neutrino oscillation defined as

$$J = \text{Im}(V_{11}V_{22}V_{12}^*V_{21}^*). \quad (22)$$

J is correlated to the $\sin^2 \theta_{13}$ that can be measured in next experiments like Double Chooz [7].

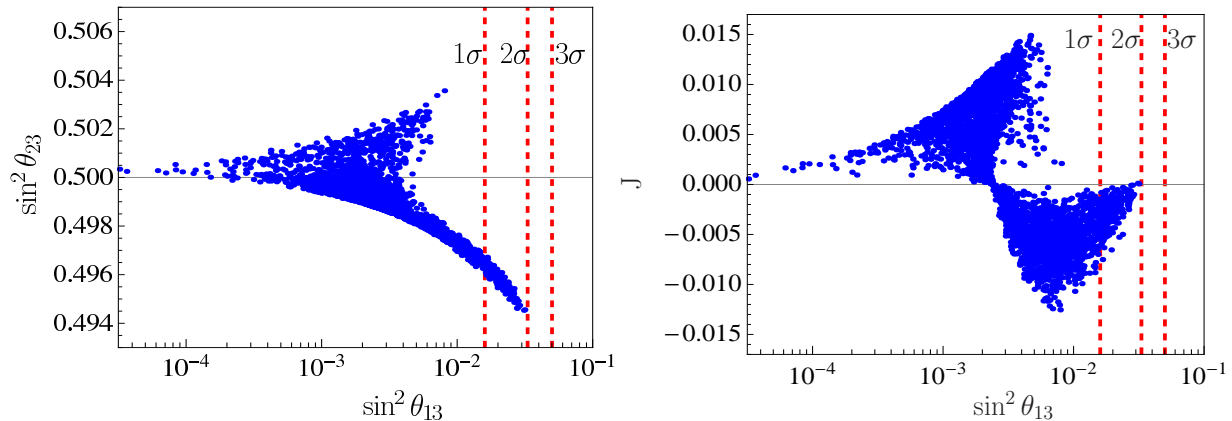


Figure 1. The figure in the left side shows the allowed region for θ_{23} vs. θ_{13} . The figure in the right side shows allowed region for J vs. θ_{13} .

5.2. Neutrinoless double beta decay

Neutrinos are guaranteed to have a non-zero Majorana mass if the neutrinoless double beta decay $0\nu\beta\beta$ is observed [11]. The $0\nu\beta\beta$ decay rate m_{ee} is proportional to the $\nu_e - \nu_e$ entry of the Majorana neutrino mass matrix M_ν . For an introduction to the phenomenology of $0\nu\beta\beta$ see for instance [12].

We observed that the parameter ϕ_{xy} is related with the Majorana phase β and the m_{ee} is predicted in our model. The allowed region for the neutrino double beta decay is shown in figure 2. We show the dependence of the m_{ee} as function of the Majorana physical phase β . As can be seen from the figure, the values for $\beta = 0$ and $\beta = \pi$ of m_{ee} are forbidden by the upper limits obtained by the HM collaboration. Other models in literature excluding $\beta = 0$ but not $\beta = \pi$ has been studied [13]. We note that $m_{\text{light}} > 0.008$.

6. Conclusion

We have studied a model for lepton mixing based on a A_4 flavor symmetry. This constraints the model, reducing the number of free parameters with respect to the case of the Standard Model. In the scalar sector we introduce three Higgs doublets that belong to a triplet representation of A_4 . If the vevs of the Higgs fields are assumed to be real, there are only two possible solutions: *i*) the three vevs are all equal, or, *ii*) two vevs are equal to zero. In this paper we consider the most general case with complex vevs. This solution is different from that of real vevs, it is found that one of the vevs is real and the other two are the complex conjugate one of each other, that is, $\langle H \rangle = (v_1, v, v^*)$, where v_1 is different from v as noted also in [5]. This fact opens an interesting scenarios in the model building due to the extra CP phase in the Higgs sector. We studied the phenomenological implications of the neutrino masses and mixings. The charged lepton mass matrix arises only from renormalizable Yukawa interactions, while the Majorana neutrino mass matrix arises from a dimension five operator. We do not enter into details how this dimension five operator is generated. In order to fit the data we assumed a moderate fine tuning between the free parameters in the neutrino mass matrix. We found that the model is

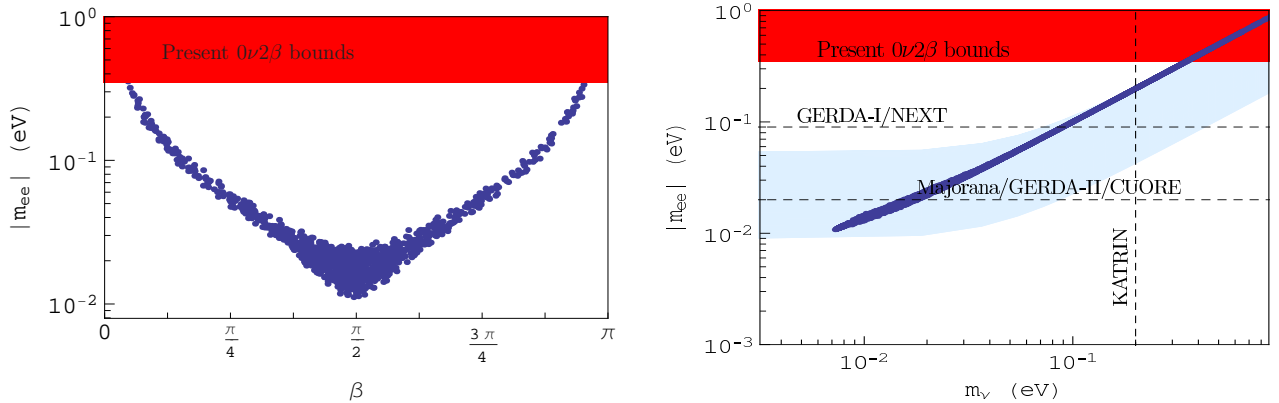


Figure 2. The figure in the left side shows the allowed range for the $0\nu\beta\beta$ as function of the physical Majorana phase β . The figure in the right side shows $|m_{ee}|$ as function of the lightest neutrino mass m_{ν_3} . We also present here the future experimental sensitivity [9].

compatible with inverse hierarchy only. The atmospheric angle is very close to the maximal value, $\sin^2 \theta_{23} \sim 0.5$ and the maximum allowed value for the reactor angle is close to the current 2σ upper bound, that is $\sin^2 \theta_{13} \sim 0.03$. The solar mixing angle can be fitted in the allowed experimental range at 3σ . The maximal value for the CP Jarlskog invariant is $|J| \approx 0.015$. We also found that the current $0\nu\beta\beta$ upper bound restricts the physical Majorana phase β , to be slightly different from zero and π . The power predictivity of this model in the leptonic sector make it interesting enough to make an attempt to extend this model to the quark sector. An important point is that the alignment we obtain here is incompatible with the hierarchy of the quark masses and the charged lepton masses simultaneously. A supersymmetric version of this model is being investigated [14] in order to solve this problem.

7. Acknowledgments

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