

1 **Reduced carbon emission estimates from fossil fuel** 2 **combustion and cement production in China**

3 Zhu Liu^{1,2*}, Dabo Guan^{3,4*}, Wei Wei^{5*}, Steven J. Davis⁶, Philippe Ciais⁷, Jin Bai⁸, Shushi Peng^{7,9},
4 Qiang Zhang³, Klaus Hubacek¹⁰, Gregg Marland¹¹, Robert J. Andres¹², Douglas
5 Crawford-Brown¹³, Jintai Lin¹⁴, Hongyan Zhao³, Chaopeng Hong^{3,15}, Thomas A. Boden¹²,
6 Kuishuang Feng¹⁰, Glen P. Peters¹⁶, Fengming Xi², Junguo Liu^{17,18}, Yuan Li⁴, Yu Zhao¹⁹, Ning
7 Zeng²⁰ and Kebin He^{15*}

8 **Affiliations:**

9 ¹ John F. Kennedy School of Government, Harvard University, Cambridge, MA 02138, USA

10 ² Institute of Applied Ecology, Chinese Academy of Sciences, Shenyang, 110016, China

11 ³ Ministry of Education Key Laboratory for Earth System Modeling, Center for Earth System Science,
12 Tsinghua University, Beijing, 100084, China

13 ⁴ School of International Development, University of East Anglia, Norwich NR4 7TJ, UK

14 ⁵ Shanghai Advanced Research Institute, Chinese Academy of Sciences, Shanghai, 201203, China

15 ⁶ Department of Earth System Science, University of California, Irvine, Irvine, CA, 92697, USA

16 ⁷ Laboratoire des Sciences du Climat et de l'Environnement, CEA-CNRS-UVSQ, CE Orme des Merisiers,
17 91191 Gif sur Yvette Cedex, France

18 ⁸ State Key Laboratory of Coal Conversion, Institute of Coal Chemistry, Chinese Academy of Science,
19 Taiyuan, 030001, China

20 ⁹ CNRS and UJF Grenoble 1, Laboratoire de Glaciologie et Geophysique de l'Environnement (LGGE,
21 UMR5183), 38041 Grenoble, France

22 ¹⁰ Department of Geographical Sciences, University of Maryland, College Park, MD 20742, USA

23 ¹¹ Research Institute for Environment, Energy, and Economics, Appalachian State University, Boone, NC
24 28608 USA

25 ¹² Carbon Dioxide Information Analysis Center, Oak Ridge National Laboratory, Oak Ridge, TN 37831,
26 USA

27 ¹³ Cambridge Centre for Climate Change Mitigation Research, Department of Land Economy, University of
28 Cambridge, 19 Silver Street, Cambridge CB3 9EP, United Kingdom

29 ¹⁴ Laboratory for Climate and Ocean-Atmosphere Studies, Department of Atmospheric and Oceanic
30 Sciences, School of Physics, Peking University, Beijing, 100871, China

31 ¹⁵ State Key Joint Laboratory of Environment Simulation and Pollution Control, School of Environment,
32 Tsinghua University, Beijing 100084, China

33 ¹⁶ Center for International Climate and Environmental Research-Oslo (CICERO), N-0318, Oslo, Norway

34 ¹⁷ School of Nature Conservation, Beijing Forestry University, Beijing, 10083, China

35 ¹⁸ Ecosystems Services & Management Program, International Institute for Applied Systems Analysis,
36 Schlossplatz 1, A-2361, Laxenburg, Austria

37 ¹⁹ State Key Laboratory of Pollution Control & Resource Reuse and School of the Environment, Nanjing
38 University, Nanjing, 210023, China

39 ²⁰ Department of Atmospheric and Oceanic Science and Earth System Science Interdisciplinary Center
40 University of Maryland, College Park, MD 20742-2425, USA

41 *Correspondence to: Zhu Liu (liuzhu@iae.ac.cn), Dabo Guan (dabo.guan@uea.ac.uk), Wei Wei
42 (weiwei@sari.ac.cn) or Kebin He (hekb@tsinghua.edu.cn)

43 **Abstract:**

44 **Nearly three-quarters of the growth in global carbon emission from burning of fossil fuels**
45 **and cement production between 2010 and 2012 occurred in China^{1,2}. Yet estimates of**
46 **Chinese emissions remain subject to large uncertainty; inventories of China's total fossil**
47 **fuel carbon emissions in 2008 varied by 0.3 GtC, or 15 per cent^{1,3-5}. The primary sources of**
48 **this uncertainty are conflicting estimates of energy consumption and emission factors, yet**
49 **none of these estimates are based upon actual measurements of Chinese emission factors.**
50 **Here, we re-evaluate China's carbon emissions using updated and harmonized energy**
51 **consumption and clinker production data and two new and comprehensive sets of measured**
52 **emission factors for Chinese coal. We find that total energy consumption in China was 10**
53 **per cent higher in 2000-2012 than the value reported by China's national statistics⁶, that**
54 **emission factors for Chinese coal are on average 40 per cent lower than the default values**
55 **recommended by the Intergovernmental Panel on Climate Change-IPCC⁷ and that**
56 **emissions from China's cement production are 45 per cent less than recent estimates^{1,4}.**
57 **Altogether, our revised estimate of China's CO₂ emissions from fossil fuel combustion and**
58 **cement production is 2.49 GtC (2 σ = \pm 7.3 per cent) in 2013, which is 14 per cent lower than**
59 **the emissions reported by other prominent inventories^{1,4,8}. Over the full period 2000 to 2013,**
60 **our revised estimates are 2.9 GtC less than previous estimates of China's cumulative carbon**
61 **emissions^{1,4}. Our findings suggest that overestimation of China's emissions in 2000-2013**
62 **may be larger than China's estimated total forest sink in 1990-2007 (2.66 GtC)⁹ or China's**
63 **land carbon sink in 2000-2009 (2.6 GtC)¹⁰ and implies additional 25-70 per cent quota¹¹ in**
64 **the cumulative future emissions that can be emitted by China under a 2C warming target**
65 **relative to the preindustrial era.**

66 Reports of national carbon emissions^{7,12-15} are based on activity data (i.e., amounts of fuels
67 burned) and emission factors (i.e. amount of carbon oxidized per unit of fuel consumed), with
68 these factors estimated as the product of the net carbon content (i.e. tons carbon per joule), net
69 heating value (i.e. joules per ton coal), total carbon content (i.e. tons carbon per ton coal) and
70 oxidation rate (i.e. carbon oxidized per carbon content, see Methods). The uncertainty of China's
71 emissions estimates is typically reported as ± 5 to $\pm 10\%$ ^{4,14,16}, but this range is somewhat arbitrary
72 because neither the activity data nor the accuracy of emission factors is well known. For instance,
73 national activity data is substantially different from the sum of provincial activity data¹⁷, and the
74 emissions factors used are not based on up-to-date measurements of the fuels actually being
75 burned in China, of which the quality and mix are known to vary widely from year to year,
76 especially for coal¹⁸. Indeed, using different official sources of activity data and emissions factors
77 can result in estimated emissions that vary by up to 40% in a given year (see Methods).

78 Here, we present revised estimates of Chinese carbon emissions from burning of fossil fuels
79 and cement production during the period 1950-2013 using independently assessed activity data
80 and two sets of comprehensive new measurements of emission factors. Results suggest that
81 Chinese CO₂ emissions have been substantially overestimated in recent years; 14% less than the
82 estimates by EDGAR 4.2 (EDGAR being adopted by IPCC as the emission baseline) in 2013 and
83 12% less than the latest inventory China reported to the UNFCCC (in 2005). The difference is
84 due primarily to the emission factors used to estimate emissions from coal combustion; our
85 measurements indicate that the factors applicable to Chinese coal are in average about 40% lower
86 than the defaults values recommended by the IPCC^{7,15} and used by previous emissions
87 inventories^{1,4,19}.

88 In re-evaluating Chinese energy consumption, we adopt the “apparent consumption”
89 approach^{14,16}, which does not depend upon energy consumption data (which previous studies have
90 shown to be not very reliable^{17,20}). Instead, apparent energy consumption is calculated from a
91 mass balance of domestic fuel production, international trade, international fueling, and changes
92 in stocks which data are less subject to “adjustment” by reporting bodies and accounting errors
93 related to either energy consumed during the fuel processing or assumptions about the mix of fuel
94 types (especially coal) being used by individual consumers. Further, this approach allows
95 imported and domestically-produced fuels to be tracked separately so that appropriate emission
96 factors can be applied to these fuels (See Methods).

97 Apparent consumption of coal, oil and natural gas in China in 2013 was 3.84 Gt, 401.16 Mt,
98 and 131.30 Gm³, respectively. Between 1997 and 2012, we estimate that cumulative energy
99 consumption was 10% greater than the national statistics and 4% lower than provincial statistics
100 (Extended Data Figure 3). In addition, our results indicate a higher annual growth rate of energy
101 consumption than national statistics between 2000 and 2010 (9.9% yr⁻¹ instead of 8.8% yr⁻¹); the
102 high growth rate is consistent with satellite observations of NO_x^{21,22}, although NO_x to fuel
103 emission factors change with time as well.

104 Given the large fraction of CO₂ emissions from coal combustion (80% between 2000 and 2013),
105 estimates of total emissions are heavily dependent on the emission factors used to assess coal
106 emissions. Thus, we re-evaluate each of the variables that determine these emission factors. The
107 mean total carbon content of raw coal samples from 4,243 state-owned Chinese coal mines
108 (which 4,243 mines represent 36% of Chinese coal production in 2011²³; Fig. 1) is 58.45% (Fig
109 2a), and the production-weighted total carbon content is 53.34%.

110 These results straddle the result of an independent set of 602 coal samples from the 100 largest
111 coal-mining areas in China (which areas represent 99% of Chinese coal production in 2011²³; Fig.
112 1) reveal a similarly low mean carbon content of 55.48% (Fig. 2b), and a production-weighted
113 mean total carbon content of 54.21%. The net carbon content of these same samples is 26.59 tC
114 TJ⁻¹, or 26.32 tC TJ⁻¹ if weighted by production (Fig. 2c), and their net heating value is 20.95 PJ
115 Mt⁻¹, or 20.6 PJ Mt⁻¹ if weighted by production (Fig. 2d). Although the measured net carbon
116 content of these samples is within 2% of the IPCC default value (25.8 tC TJ⁻¹), the heating value
117 from these coal samples (20.95 PJ Mt⁻¹) is significantly less than either the IPCC default value of
118 28.2PJ Mt⁻¹ or the mean value of US coal of 26.81PJ Mt⁻¹²⁴. The lower heating value of Chinese
119 coal reflects its generally low quality and high ash content (Fig. 2e and Fig. 2f). For example, the
120 average ash content of our 602 coal samples was 26.91% compared to the average ash content of
121 US coal, 14.08%²⁴, but consistent with recent studies²⁵.

122 Finally, we assessed the oxidation rate (carbon oxidized per carbon content) of the fossil fuels
123 consumed by 15 major industry sectors in China with 135 different combustion technologies (See
124 Supplementary Data) as analyzed by the National Development and Reform Commission (NDRC)
125 in 2008²⁶. We calculate a production-weighted average oxidation rate for coal of 92%, somewhat
126 lower than the IPCC default value of 98%, but generally consistent with China-specific values
127 reported by the NDRC (94%)²⁶, China’s National Communication (NC) that reported to
128 UNFCCC (92%)⁸, and Peters et al., 2006 (in average 93%)²⁷. Our estimates of the oxidation
129 values of oil and natural gas in China (98% and 99%, respectively) are each within 1% of the
130 IPCC default value.

131 Combining our revised estimates of carbon content, heating value, and oxidation value, we
132 derive new emission factors for coal, natural gas, and oil burned in China. The revised emission

133 factors are different than IPCC defaults by -40%, +13%, and -1%, respectively (Fig. 3). In turn
134 applying these lower emission factors to our revised estimates of energy consumption, our best
135 estimate of Chinese carbon emissions from fossil fuel combustion in 2013 is 2.33 GtC using the
136 carbon content of 4243 coal mine samples and 2.31 GtC if the carbon content of 602 coal samples
137 is used. Based on the residual scatter of carbon contents from these independent sets of coal
138 samples (Fig. 1), the associated 2σ uncertainty related to coal carbon content is on the order of
139 3%. Additional uncertainty on Chinese emissions is provided by varying estimates of coal
140 consumed, by $\pm 10\%$ as evidenced by the range between national and provincial activity data¹⁵.
141 Combining these two numbers gives the 7.3% uncertainty range of Chinese fossil fuel carbon
142 dioxide emissions.

143 We also used clinker production data²⁸ to re-calculate CO₂ emissions from cement production
144 (which accounts for roughly 7%-9% of China's total annual emissions in recent years⁴). This
145 direct method avoids use of default clinker-to-cement ratios (e.g., 75% and 95% in IPCC
146 Guidelines^{7,12}), and results in emissions estimates that are 32%-45% lower than previous
147 estimates (0.17 Gt C yr⁻¹ in 2012 compared to 0.30 reported by the CDIAC and 0.24 by EDGAR;
148 Extended Data Fig. 5). The clinker-to-cement ratio calculated by clinker production is 58%, or
149 ~23% lower than the latest IPCC default values. The new, lower estimated cement emissions are
150 consistent with factory-level investigations²⁹ and several other recent studies^{30,31}.

151 Together, our revised estimates of fossil fuel and cement emissions in 2013 is 2.49 GtC ($2\sigma =$
152 $\pm 7.3\%$), the new estimates (1.46 GtC in 2005) is 12% less than the latest inventories China
153 reported to the UNFCCC (1.63 GtC in 2005, $2\sigma = \pm 8$) and 14% less than the estimates by
154 EDGARv4.2 (2.84 GtC in 2013, $2\sigma = \pm 10\%$) (Fig. 4). By t-test, our revised estimates of fossil
155 fuel and cement emissions during 2000-2013 is in generally lower (at 90% level) than estimates
156 by EDGAR (P=0.016) and CDIAC (P=0.077).

157 Our new estimate represents a progression for improving estimate of annual global carbon
158 emissions, reducing the global emissions in 2013 by 0.35 GtC, an amount larger than the reported
159 increase in global emissions between 2012 and 2013³². A systematic reduction of fossil fuel and
160 cement emissions of 0.35 GtC translates into a 15% smaller land sink, when this term is
161 calculated as a residual between anthropogenic carbon emissions, atmosphere carbon growth and
162 the ocean carbon sink³², and is two times of the estimated carbon sink in China's forests (0.18
163 GtCy⁻¹)⁹. Thus it implies a significant revision of the global carbon budget³². Over the full period
164 2000 to 2013, the downward revision of cumulative emissions in China by 2.9 GtC (13%) is
165 larger than the cumulative forest sink in 1990-2007 (2.66 GtC)⁹ or China's land carbon sink in
166 2000-2009 (2.6GtC)¹⁰. Depending upon how the remaining quota of cumulative future carbon
167 emissions is shared among nations, a correction of China's current annual emissions by 10%
168 suggests a 25% (Inertia basis) or 70% (Blended basis) difference in the cumulative future
169 emissions that can be emitted by China under a 2°C warming target¹¹. Evaluating progress toward
170 national commitments to reduce CO₂ emissions depends upon improving the accuracy of annual
171 emissions estimates and reducing related uncertainties.

172 **[1796 words including abstract]**

173

175 **References**

- 176 1 Boden, T. A., Marland, G., and Andres, R. J. Global, Regional, and National Fossil-Fuel CO₂ Emissions.
177 (Oak Ridge National Laboratory, US Department of Energy, 2013).
- 178 2 Liu, Z. *et al.* A low-carbon road map for China. *Nature* **500**, 143-145 (2013).
- 179 3 International Energy Agency(IEA). CO₂ Emission from Fuel Combustion. (2013).
- 180 4 Olivier, J. G., Janssens-Maenhout, G. & Peters, J. A. *Trends in global CO₂ emissions: 2013 report.* (PBL
181 Netherlands Environmental Assessment Agency, 2013).
- 182 5 Kurokawa, J. *et al.* Emissions of air pollutants and greenhouse gases over Asian regions during 2000–
183 2008: Regional Emission inventory in ASia (REAS) version 2. *Atmos. Chem. Phys.* **13**, 11019-11058,
184 doi:10.5194/acp-13-11019-2013 (2013).
- 185 6 National Bureau of Statistics of China -NBSC. *Chinese Energy Statistics Yearbook.* (China Statistics,
186 1990-2013).
- 187 7 Intergovernmental Panel on Climate Change (IPCC). *2006 IPCC Guidelines for National Greenhouse Gas
188 Inventories.* (Intergovernmental Panel on Climate Change, 2006).
- 189 8 National Development and Reform Commission (NDRC). Second National Communication on Climate
190 Change of the People's Republic of China. (2012).
- 191 9 Pan, Y. *et al.* A Large and Persistent Carbon Sink in the World's Forests. *Science* **333**, 988-993,
192 doi:10.1126/science.1201609 (2011).
- 193 10 Piao, S. *et al.* The carbon balance of terrestrial ecosystems in China. *Nature* **458**, 1009-1013 (2009).
- 194 11 Raupach, M. R. *et al.* Sharing a quota on cumulative carbon emissions. *Nature Clim. Change* **4**, 873-879
195 (2014).
- 196 12 Intergovernmental Panel on Climate Change (IPCC). Revised 1996 IPCC Guidelines for National
197 Greenhouse Gas Inventories. (1997).
- 198 13 Gregg, J. S., Andres, R. J. & Marland, G. China: Emissions pattern of the world leader in CO₂ emissions
199 from fossil fuel consumption and cement production. *Geophys. Res. Lett.* **35**, L08806,
200 doi:10.1029/2007gl032887 (2008).
- 201 14 Andres, R. J., Boden, T. A. & Higdson, D. A new evaluation of the uncertainty associated with CDIAC
202 estimates of fossil fuel carbon dioxide emission. *Tellus B* **66** (2014).
- 203 15 Fridley, D. Inventory of China's Energy-Related CO₂ Emissions in 2008. *Lawrence Berkeley National
204 Laboratory* (2011).
- 205 16 Andres, R. J. *et al.* A synthesis of carbon dioxide emissions from fossil-fuel combustion. *Biogeosciences*
206 **9**, 1845-1871 (2012).
- 207 17 Guan, D., Liu, Z., Geng, Y., Lindner, S. & Hubacek, K. The gigatonne gap in China's carbon dioxide
208 inventories. *Nature Climate Change*, 672–675 (2012).
- 209 18 Sinton, J. E. & Fridley, D. G. A guide to China's energy statistics. *Journal of Energy Literature* **8**, 22-35
210 (2002).
- 211 19 BP. BP statistical review of world energy 2014. (2014).
- 212 20 Zhao, Y., Nielsen, C. P. & McElroy, M. B. China's CO₂ emissions estimated from the bottom up: Recent
213 trends, spatial distributions, and quantification of uncertainties. *Atmospheric Environment* **59**, 214-223
214 (2012).
- 215 21 Reuter, M. *et al.* Decreasing emissions of NO_x relative to CO₂ in East Asia inferred from satellite
216 observations. *Nature Geoscience* (2014).
- 217 22 Lin, J.-T. & McElroy, M. Detection from space of a reduction in anthropogenic emissions of nitrogen
218 oxides during the Chinese economic downturn. *Atmospheric Chemistry and Physics* **11**, 8171-8188
219 (2011).

- 220 23 National Bureau of Statistics. *China Statistical Yearbook 2013*. (China Statistics Press, 2013).
- 221 24 Hatch, J. R., Bullock, J. H. & Finkelman, R. B. Chemical analyses of coal, coal-associated rocks and coal
222 combustion products collected for the National Coal Quality Inventory. (2006).
- 223 25 Zhao, Y., Wang, S., Nielsen, C. P., Li, X. & Hao, J. Establishment of a database of emission factors for
224 atmospheric pollutants from Chinese coal-fired power plants. *Atmospheric Environment* **44**, 1515-1523
225 (2010).
- 226 26 National Development and Reform Commission (NDRC). Guidelines for China's provincial GHG
227 emission inventories. (NDRC, Beijing, 2012).
- 228 27 Peters, G., Weber, C. & Liu, J. Construction of Chinese energy and emissions inventory. (2006).
- 229 28 China Cement Association. China Cement Almanac (2005-2012).
- 230 29 Shen, L. *et al.* Factory-level measurements on CO₂ emission factors of cement production in China.
231 *Renewable and Sustainable Energy Reviews* **34**, 337-349 (2014).
- 232 30 Liu, M. *et al.* Refined estimate of China's CO₂ emissions in spatiotemporal distributions. *Atmospheric*
233 *Chemistry and Physics* **13**, 10873-10882 (2013).
- 234 31 Ke, J., McNeil, M., Price, L., Khanna, N. Z. & Zhou, N. Estimation of CO₂ emissions from China's cement
235 production: Methodologies and uncertainties. *Energy Policy* **57**, 172-181 (2013).
- 236 32 Le Quéré, C. *et al.* Global carbon budget 2014. *Earth System Science Data Discussions* **7**, 521-610
237 (2014).

238

239 **Supplementary Information** is available in the online version of the paper

240

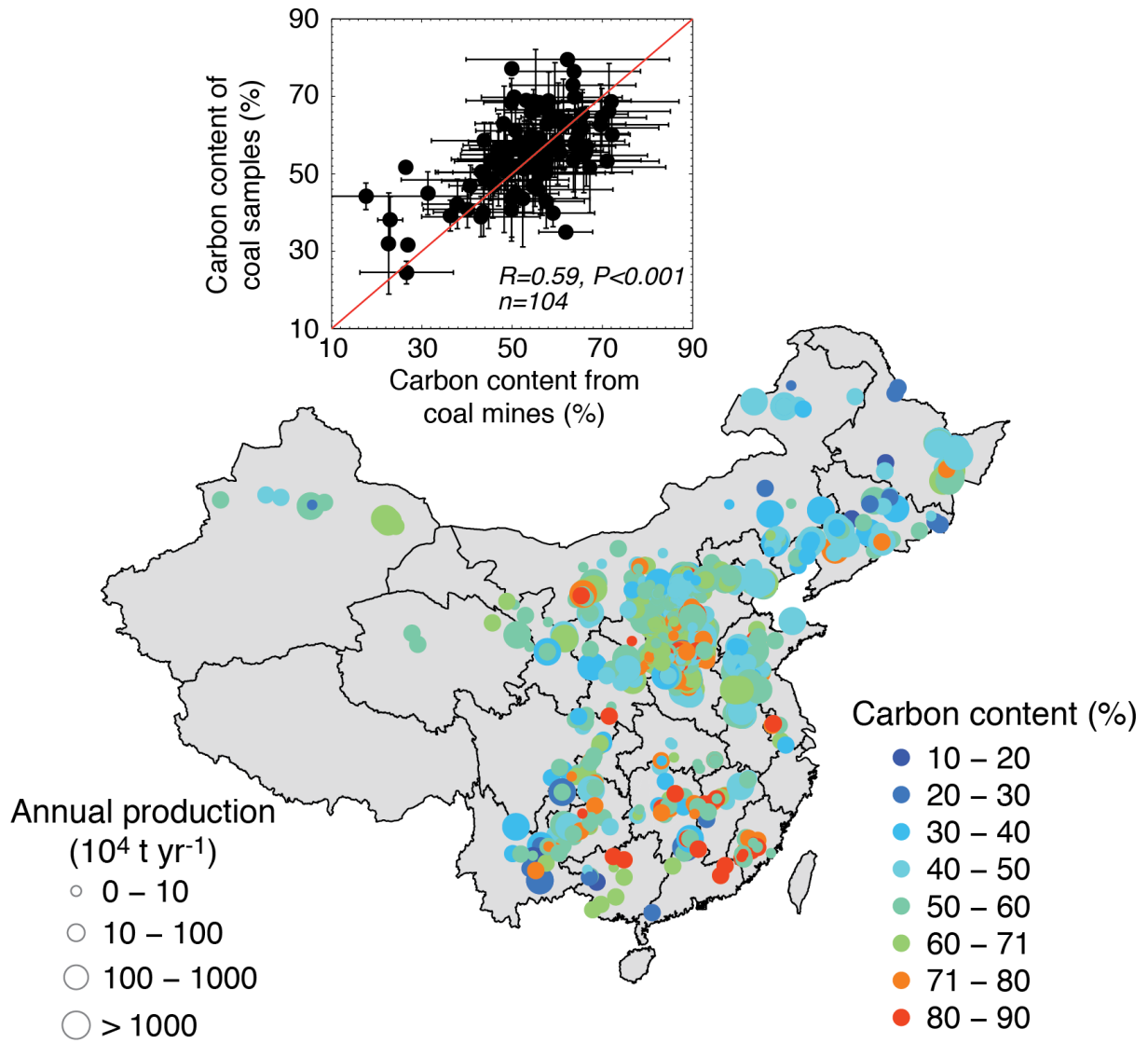
241 **Acknowledgments:** This work has been supported by the Strategic Priority Research Program “Climate
242 Change: Carbon Budget and Relevant Issues” of Chinese Academy of Sciences and the China's National
243 Basic Research Program and National Natural Science Foundation of China (NSFC) funded projects. The
244 grants are: XDA05010109, 2014CB441301, XDA05010110, XDA05010103, XDA05010101, 41328008
245 and 41222036). Z.L. acknowledges Harvard University Giorgio Ruffolo fellowship and the support from
246 Italy's Ministry for Environment, Land and Sea. D.G. acknowledges the Economic and Social Research
247 Council (ESRC) funded project “Dynamics of Green Growth in European and Chinese Cities”
248 (ES/L016028) and Philip Leverhulme Prize. S.J.D acknowledges support from the Institute of Applied
249 Ecology, Chinese Academy of Sciences Fellowships for Young International Distinguished Scientists. R.J.A
250 was sponsored by U.S. Department of Energy, Office of Science, Biological and Environmental Research
251 (BER) programs and performed at Oak Ridge National Laboratory (ORNL) under U.S. Department of
252 Energy contract DE-AC05-00OR22725. J. Lin acknowledges the NSFC (41422502 and 41175127). J. Liu
253 acknowledges the International Science & Technology Cooperation Program of China (2012DFA91530),
254 the NSFC (41161140353, 91425303), The Natural Science Foundation of Beijing, China (8151002), the
255 National Program for Support of Top-notch Young Professionals, and the Fundamental Research Funds for
256 the Central Universities (TD-JC-2013-2). F.X. acknowledges the NSFC (41473076). G.P.P. acknowledges
257 funding from the Norwegian Research Council (235523). The authors are grateful to Shilong Piao, Long
258 Cao and Jinyue Yan for insightful comments.

259

260 **Author Contributions:** Z.L. and D.G. designed the paper. Z.L. conceived the research. Z.L. provided the
261 data of 4,243 coal mines. W.W. and J.B. provided the measurement data of 602 coal samples. S.D., J.B. Q.Z,
262 R.J.A, and T.B provided the reference data. Z.L., D.G, S.D., P.C., S.P., J.L., H.Z., C.H., Y.L. and Q.Z.
263 performed the analysis. S.D., S.P., Z.L., H.Z. and K.F. drew the figures. All authors contributed to writing
264 the paper.

265

266 **Online Content** Methods, along with any additional Extended Data display items and Source Data, are
267 available in the online version of the paper; references unique to these sections appear only in the online
268 paper



270

271 **Figure 1 | Total carbon content and production of coal mines.** The inset shows the comparison between carbon

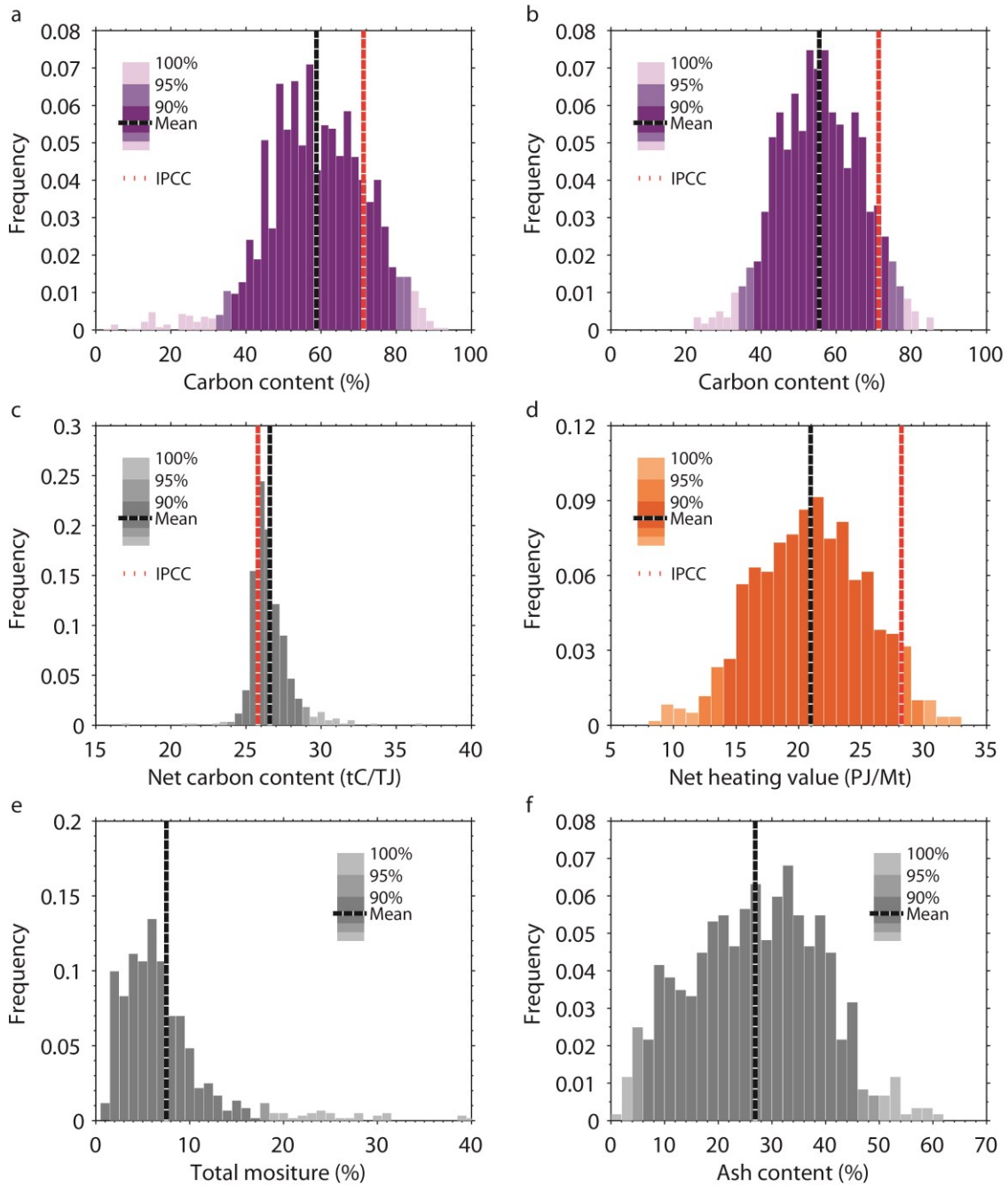
272 content from 602 coal samples and 4243 coal mines ($R=0.59, P<0.001, n=104$). Each dot in the inset indicates the

273 average of carbon content from 602 coal samples and 4243 coal mines in the same 1 degree by 1 degree grid. The

274 nearly one-to-one correlation indicates that samples and mines capture the same spatial variability of coal carbon

275 content across China.

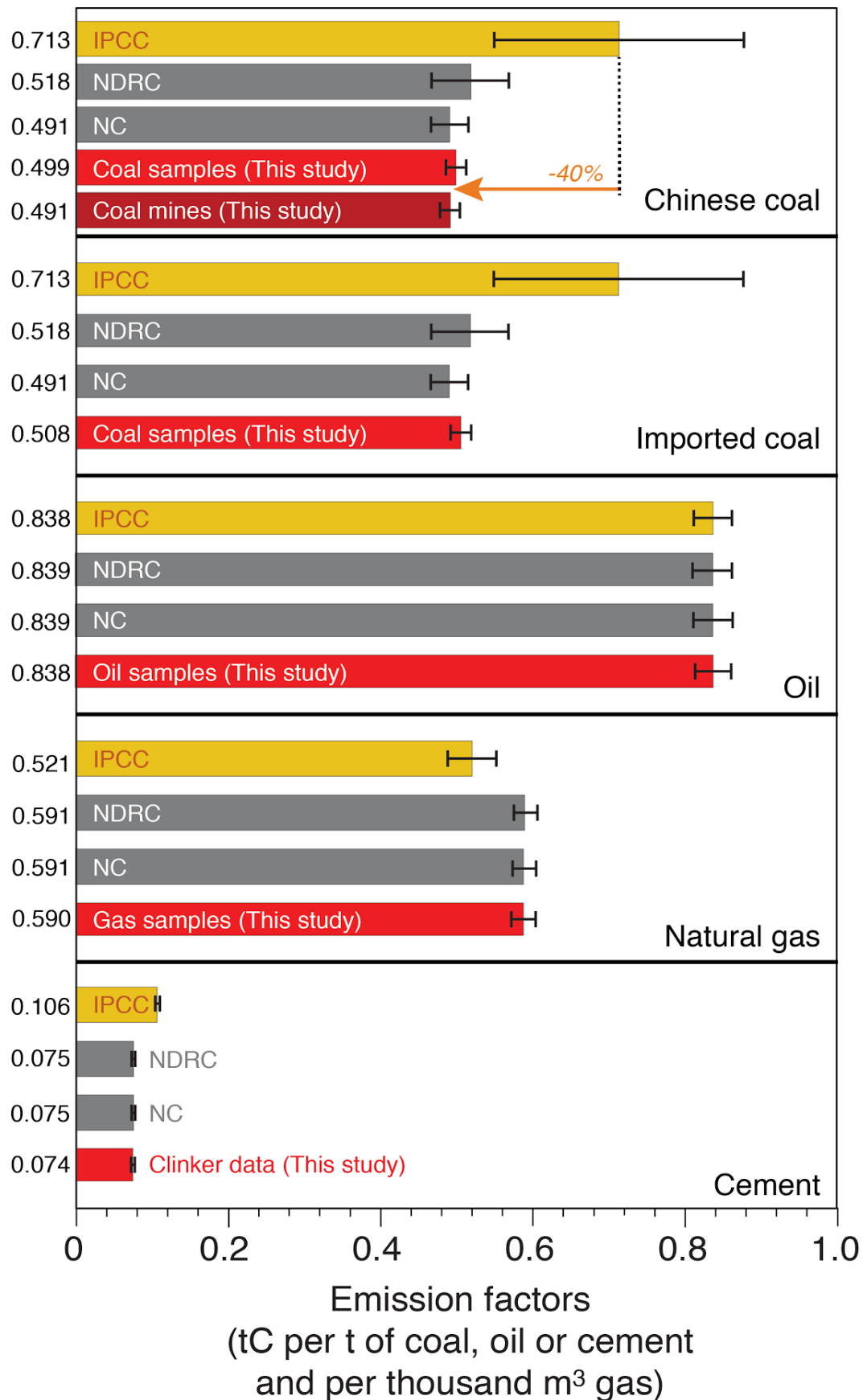
276



277

278 **Figure 2 | Histograms of Chinese coal properties.** Total carbon content of 4243 coal mines (a) and 602 coal
 279 samples (b). Dashed lines show mean, and shading indicates 90% and 95% intervals. c and d, show net carbon content
 280 (c) and net heating values of the 602 coal samples, respectively. Carbon content for coal mines (a) and samples (b)
 281 are significant lower than IPCC value, which is mainly because of the lower heating values, v , of China's coal (d), net
 282 carbon content is close to the IPCC value (c). Total moisture (e) and ash content (f) further proved the low quality of
 283 China's coal, which is in general with high ash content but low carbon content.

284



285

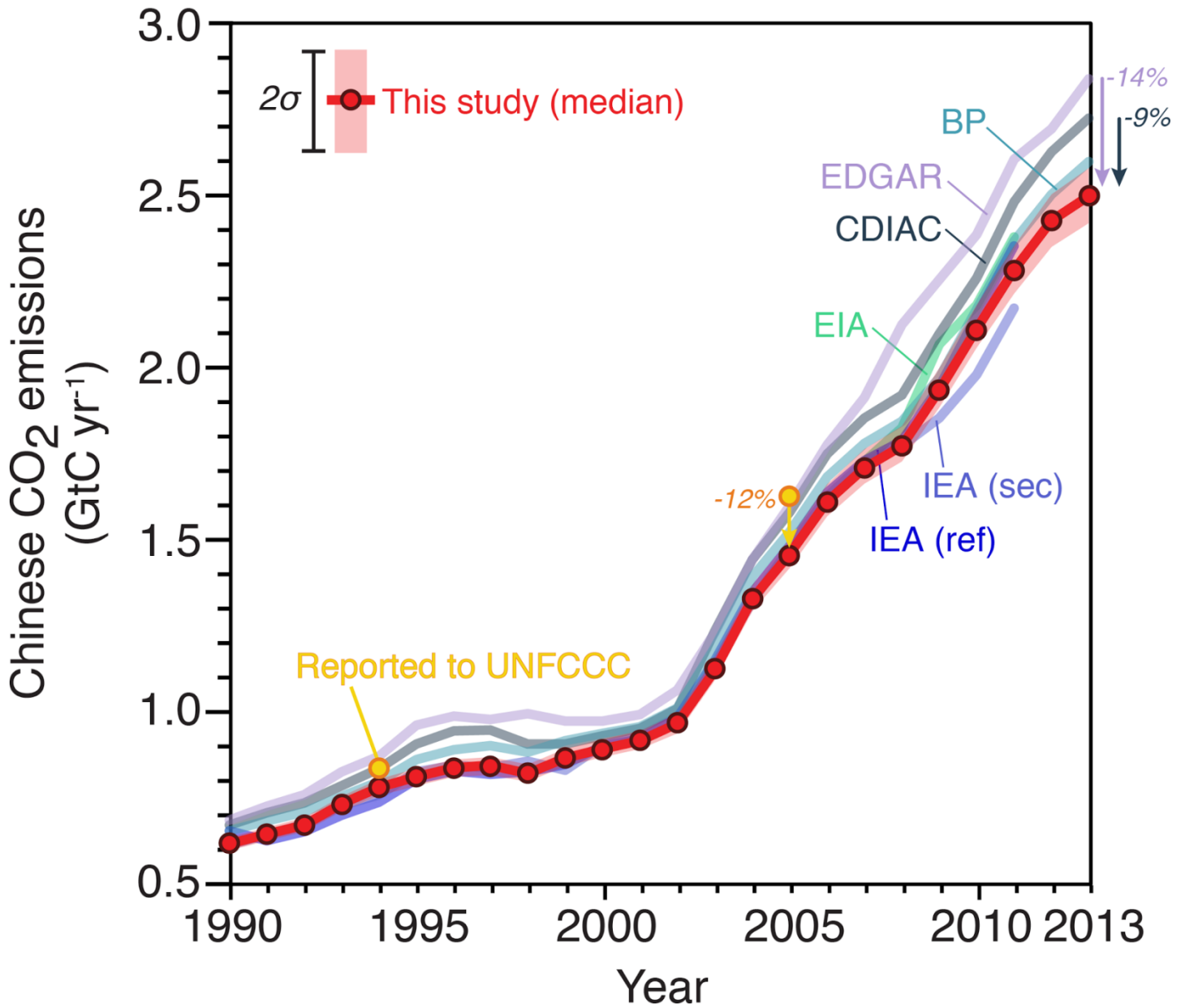
286 **Figure 3 | Comparison of emission factors.** (in 2012).

287 IPCC: default value from IPCC guidelines for national emission inventories (1996, 2006).

288 NDRC: value reported by National Development and Reform Commission (NDRC) in 2008²⁶

289 NC: China's National Communication (NC) that reported to UNFCCC (2012 for value in 2005)⁸

290 All error bars are 2σ errors



292 **Figure 4 | Estimates of Chinese CO₂ emissions 1990-2013.** Total carbon emissions from combustion of fossil fuels
 293 and manufacture of cement in China from different sources (IEA, EIA and BP estimates do not include the emission
 294 from cement production). The yellow dots are the numbers China reported to UNFCCC in year 1994 and 2005. The
 295 red-shaded area indicates the 95% uncertainty range of carbon emissions calculated by this study, assuming the
 296 emission factors during the period 1990-2013 are the same as those determined in the 2012 in this study.
 297

298 Methods

299 1 Calculation of carbon emissions from fossil fuel combustion and cement production

300 Carbon emissions are calculated by using activity data, which are expressed as the amount of fossil
301 fuels in physical units used during a production processes (activity data $_{clinker}$ is the amount of
302 clinker produced) multiplied by the respective emission factor (EF).

$$303 \text{ Emission} = \text{activity data} \times \text{emission factor } (EF) \quad (1)$$

304 Emissions from cement manufacturing are estimated as:

$$305 \text{ Emission}_{cement} = \text{activity data}_{clinker} \times EF_{clinker} \quad (2)$$

306 If data on sectorial and fuel-specific activity data and EF are available, total emission can be
307 calculated by:

$$308 \text{ Emission} = \sum \sum \sum (\text{Activity data}_{i,j,k} \times EF_{i,j,k}) \quad (3)$$

309 Where i is an index for fuel types, j for sectors, and k for technology type. Activity data is measured in
310 physical units (tons of fuel expressed as t fuel).

311 EF can be further separated into net heating value of each fuel v , the energy obtained per unit of fuel
312 (TJ per t fuel), carbon content c (t C TJ⁻¹ fuel) and oxidization rate o the fraction (in %) of fuel
313 oxidized during combustion and emitted to the atmosphere. The value of v , c and o are specific for fuel
314 type, sector and technology.

$$315 \text{ Emission} = \sum \sum \sum (\text{Activity data}_{i,j,k} \times v_{i,j,k} \times c_{i,j,k} \times o_{i,j,k}) \quad (4)$$

316 For the coal extracted in China (e.g., for the 4,243 coal mines analyzed in this study) net heating v and
317 carbon content c values are not directly available, and a more straightforward emission estimate for
318 coal emissions can be obtained using the mass carbon content (C_{ar} in t C per t fuel) of fuels defined by
319 $C_{ar} = c \times v$ so that the total emission can be calculated as:

$$320 \text{ Emission} = \sum \sum \sum (\text{Activity data}_{i,j,k} \times C_{ar_{i,j,k}} \times o_{i,j,k}) \quad (5)$$

321 **Apparent energy consumption calculation.** The activity data can be directly extracted as the final
322 energy consumption from energy statistics, or estimated based on the mass balance of energy, the
323 so-called apparent energy consumption estimation:

$$324 \text{ Apparent energy consumption} = \text{domestic production} + \text{imports} - \text{exports} + /- \text{change in stocks} - \text{non} \\ 325 \text{ energy use of fuels } (6)$$

326 **Calculation of carbon emission from cement production.** The carbon emission from cement
327 production is due to the production of clinker, which is the major component of cement. When clinker
328 is produced from raw materials, the calcination process of calcium carbonate (CaCO_3) and cement kiln
329 dust (CKD) releases CO_2 :



331 The amount of emission can be calculated from the molar masses of CaO (55.68 g mole⁻¹) and carbon
332 (12 g mole⁻¹) and the proportion of their masses in clinker production. Furthermore, the emission
333 associated with CKD that is not recycled to the kiln is calculated using the CKD correction factor,
334 CF_{cdk} .

335 Carbon emission from cement production can be calculated by clinker emission factor ($EF_{clinker}$) and
336 clinker production.

$$337 \quad \text{Emission}_{Cement} = \text{Activity data}_{Clinker} \times EF_{clinker} \quad (7)$$

$$338 \quad EF_{clinker} = EF_{CaO} \times (1 + CF_{cdk}) \quad (8)$$

$$339 \quad EF_{CaO}_{clinker} = \text{Fraction CaO} \times (12/55.68) = \text{Fraction CaO} \times 0.2155 \quad (9)$$

340 Fraction CaO is the mass proportion of CaO per unit clinker (in %).

341 $EF_{CaO}_{clinker}$ is the mass of total carbon emission released as CaO per unit of clinker (unit: t C per t
342 clinker).

343 CF_{cdk} is the CKD correction factor (in %).

344 $EF_{clinker}$ is the mass of total carbon emission per unit of clinker (t C per t clinker)

345 Clinker is the major component of cement. However, data on clinker production is less widely
346 reported than that of cement production. When the data of clinker production is not available, the
347 clinker-to-cement ratio " $R_{clinker-cement}$ " (in %) can be used for estimating the cement emission factor
348 (EF_{cement}) and further estimate the emission based on cement production.

$$349 \quad R_{clinker-cement} = \text{activity data}_{clinker} / \text{activity data}_{cement} \quad (10)$$

$$350 \quad EF_{cement} = R_{cement-clinker} \times EF_{clinker} \quad (11)$$

$$351 \quad \text{Emission}_{Cement} = EF_{cement} \times M_{Cement} \quad (12)$$

352 The IPCC default Fraction CaO (clinker) is 64.6%, and the Fraction CaO (cement) is 63.5%; thus, the
353 IPCC default $EF_{clinker}$ is 0.1384 (t C per t clinker). In the IPCC 1996 guideline, the clinker-to-cement
354 ratio is 95%, which assumes that most cement is Portland cement and that the corresponding default
355 EF_{cement} is 0.1360 (t C per t clinker). In the IPCC 2006 guideline, the clinker-to-cement ratio is 75%
356 when no direct clinker production data are available, and the corresponding default EF_{cement} is 0.1065
357 (t C per t clinker). In this study, the clinker-to-cement ratio is calculated using clinker production
358 statistics and cement production statistics. The cement production and clinker production statistics are
359 listed in the SI.

360 It should be noted that the non-energy use of fossil fuels and other industrial process such as ammonia
361 production, lime production and steel production will also produce carbon emissions. To keep consistent
362 with the scope of international dataset we are comparing, those emissions are not included in this study.
363 Based on previous study the total emission of these non-energy fuel use and industry processes was
364 equivalent to 1.2% of China's emissions from fossil combustion in 2008¹.

365 **2 The uncertainty range of China's emission estimates**

366 We conduct analysis to show the uncertainty range of China's emission estimates based on emission factors
367 (EF) reported in the literature. We collected 12 sets of EF data for fossil fuel combustion from the six
368 following official sources: IPCC (1996, 2006)^{2,3}, China National Development and Reform Commission
369 (NDRC)⁴, UN Statistics (UN)⁵, China National Communication on Climate Change (NC)⁶, China National
370 Bureau of Statistics (NBS)⁷ and Multi-resolution Emission Inventory for China (MEIC)⁸. There are 3 sets of EF
371 in the NDRC data, corresponding to 3 tiers of fuel classifications, 4 sets in NC and 2 sets in UN. We combined
372 these 12 sets of EF with 2 sets of energy statistics derived from national and provincial data^{7,9}. This yielded 24
373 possible inventories for China's carbon emissions of fossil fuel combustion for 1997-2012 (Extended Data
374 Table 1). The underlying data used in the commonly used datasets (IEA, CDIAC, BP, EDGAR) is either listed

375 in this data assembly (NBS and IPCC) or not publically available.

376 The mean value of 24 possible inventories is 2,490 MtC in 2012, and the standard deviation is 372 MtC (15%),
377 the detailed data is listed in the Extended Data Table 1. The 2σ standard deviation range suggested by 24
378 possible inventories is 30%, which is larger than the reported range of 10% by current emission datasets such as
379 EDGAR.

380 A Monte Carlo (Extended Data Fig.1) approach was adopted to assess the distribution range of the emissions
381 by assuming that all reported *EF* values have the same probability (values have been randomly selected with
382 equal probabilities and calculated for 100,000 times). The mean value of the 24 members' ensemble is 2.43 Gt
383 C in 2012 (95% confidence interval is +20%, -11% and max-min range of +27%, -15%). The uncertainty is
384 attributed to the activity data (about 40% of total uncertainty) and *EF* (60%). The variability of *EF* for coal
385 dominates the total uncertainty (55% for total uncertainty and 90% for the uncertainty by *EF*), whereas the *EF*
386 for other fuels are more comparable (Extended Data Fig. 2). Different *EF* values for coal mainly reflect
387 variation in ν and hence C_{ar} ($C_{ar} = \nu \times c$) values, whereas the variation of c and o are comparatively smaller
388 (less than 10%).

389 The distribution range of the emissions was listed in Extended Data Fig. 1.

390 We assumed the equal possibility for various *EF* when conducting the Monte Carlo analysis, this will expand
391 the uncertainty range. However, both the standard deviation of 24 possible inventories and the Monte Carlo
392 analysis show the significant uncertainty range, implying the considerable system error of the emission
393 estimates by using reported *EF*, thus it is critical to perform the emission estimates based on measurement
394 based *EF*.

395 **3 Apparent consumption calculation**

396 We adopted the "Apparent Consumption" approach^{10,11} to re-calculate China's energy consumption. The
397 apparent energy consumption is the mass balance of fuels produced domestically for energy production, trade,
398 international fuelling and change in stocks:

399 Apparent energy consumption= domestic production + imports – exports +/- change in stocks – non energy use
400 of fuels

401 The calculated apparent energy consumption is usually different than the reported energy consumption in
402 China. For example, our re-calculated energy consumption is higher (17% for coal, 2% for oil and 3% for
403 gas) than the national reported energy consumption for 2013.

404 We believe the resulting estimates of energy consumption to be more accurate than both national and
405 provincial energy statistics, because:

406 1) National energy statistics may be biased^{12,13} because of under-reported fuel use in boilers from small
407 factories and workshops¹²⁻¹⁴. In addition, the adjustment of national statistics by the Chinese government
408 has been discussed in the literature^{12,15-17}.

409 2) Provincial energy statistics are also not reliable because the significant inconsistencies in provincial
410 aggregated final-consumption energy statistics. When comparing energy consumption with total available
411 energy supply (production plus imports and changes in stocks) in provincial statistics for 2012, coal and oil
412 show differences of 0.25 Gt coal and 81 Mt oil⁷, respectively. In addition, after removing international trade,
413 the amount of exported and imported coal within all provinces should be equal to each other, whereas, in
414 fact, we found an unexplained mismatch of 0.37 Gt coal in provincial aggregated energy statistics, equal to
415 21% of total domestically traded coal.

416 3) The apparent energy consumption is based on production and trade statistics. Chinese data of fuel
417 production and trade statistics are more reliable and consistent than data of final energy consumption. After

418 many years of policy to reduce or close private coal mines, 97% of the coal production in China (3.40 Gt
419 coal in 2011) is from government-owned companies (including central and local governments) that keep
420 good records of the mass of coal extracted^{18,19}. This reliability is supported by the fact that national and
421 provincial statistics of coal production differed by only 10% in 2012⁷, while the same sources reported
422 coal consumption that differed by 37% (3.19 Gt for national data vs. 4.36 Gt for provincial data). Moreover,
423 coal production and trade data is consistently released earlier than coal consumption data, suggesting that
424 the production data is the original data and therefore less prone to “adjustment” for political or other
425 proposes. Finally, trade data has also been monitored internationally, the numbers can be verified by
426 different nations.

427 4) Compared with the final energy consumption approach that involves 20 kinds of primary and secondary
428 energy products, the apparent consumption approach is much simpler: it considers only three primary fuel
429 types (raw coal, crude oil and natural gas) in order to avoid accounting errors due to energy consumed
430 during the fuel processing (e.g., mass loss in coal washing and coking).

431 5) The apparent energy consumption approach using energy production data, which avoids having to deal
432 with uncertain estimations of the mix of different coal types used by each final consumption category.
433 When considering the variation of EF for different fuel types and sectors, analysis of the sources of
434 uncertainty is more complex. It is difficult to assess specific coal-burning EF for a myriad of small
435 consumers, and to scale these data up to the national level. Large energy consumers such as power plants
436 continuously mix coal from different sources, which also makes it very difficult to assess national
437 consumption-weighted average EF (weighted by share of different kinds and quality of coal consumed)
438 from a consumption point of view. In contrast, production data can provide the national
439 production-weighted average EF, and thus the national consumption-weighted average EF can be calculated
440 by excluding the coal used for exports, non-energy use and stock changes.

441 6) The apparent consumption approach allows us to track imported and domestically produced fuels, so that
442 a different EF can be applied.

443 Between 1997 and 2012, the calculated apparent energy consumption was 10% greater (14% for 2012) than
444 the one reported in national statistics and 4% lower than provincial statistics (Extended Data Fig. 3). The
445 growth rate of apparent energy consumption is consistent with the growth rate of industrial productions
446 (Extended Data Fig. 2).

447 **4 Sample measurements**

448 4.1 Sample selection

449 China’s coal resources are mainly concentrated in 100 major coal mine areas from 24 coal mine bases, and
450 there are about 4,000 stable coal mines among these 100 coal mine areas that record coal production. The
451 location of coal sampling is consistent with the distribution of coal mines (Extended Data Figure 6).

452 By collecting the coal samples, following principles are adopted:

- 453 a) The sampling spot is based on coal seams under production in one coal mine district, because
454 the properties of coal from within a coal seam are almost the same. It is guaranteed that at least
455 one sample is collected from each coal seam in one coal mine district.
- 456 b) Every coal mine area is sampled, so the 602 samples are across 100 mine areas that cover the
457 majority of the nation’s coal production.
- 458 c) There are at least 3 samples for each coal mine with a production is over 5 million tons.
- 459 d) In the same coal mine district, coal mines with high production are selected preferentially.
- 460 e) For the sampling within a location, if the samples are collected from a coal pile, they should be
461 collected from at least 3 different coal piles. If the samples are collected from conveyor belt,
462 they should be collected 3 times with several hour intervals from each other. All these

463 three-times collected samples are merged together and considered as one sample data point (in
464 total 602 sample data points) for further analysis. All samples are stored in sealed plastic bags
465 for further analysis.

466 4.2 Sample analysis

467 For the sample measurements, we measured the air dry moisture, total moisture, net heating value, and the
468 ash, carbon, hydrogen, nitrogen and total sulfur content. Carbon, hydrogen, nitrogen and total sulfur are
469 determined by combustion using an Elementar elemental analyzer. Coal samples are weighed into a tin
470 capsule and burned in a tube furnace at 1350°C. Carbon dioxide, water, nitrogen dioxide and sulfur oxide
471 are released from the samples and measured by a TCD (Thermal conductive detector). Two parallel
472 samples were tested together each time. The analysis is performed based on ISO standard:

473 Measurements process (ISO 18283:2006 Hard coal and coke -- Manual sampling)

474 Air dry moisture (ISO 11722: 2013-Solid mineral fuels- hard coal -determination of moisture in the general
475 analysis test sample by drying in nitrogen).

476 The total moisture (ISO 589: 2008- Hard coal - Determination of total moisture).

477 Carbon, hydrogen and nitrogen contents: (ISO 625:1996 Solid mineral fuels -Determination of carbon and
478 hydrogen- Liebig method; ISO 29541:2010 Solid mineral fuels -Determination of total carbon, hydrogen
479 and nitrogen content -Instrumental method).

480 Ash content and volatile matter: (ISO 11722:2013, Solid mineral fuels- Hard coal- Determination of
481 moisture in the general analysis test sample by drying in nitrogen; ISO 1171:1997 Solid mineral
482 fuels-Determination of ash; and ISO 562:2010 Hard coal and coke-Determination of volatile matter).

483 The net calorific value (ISO 1928:2009, Solid mineral fuels- Determination of gross calorific value by the
484 bomb calorimetric method and calculation of net calorific value).

485 Total sulfur contents: (ISO 334:2013 Solid mineral fuels -Determination of total sulfur -Eschka method)

486

488 **References in methods:**

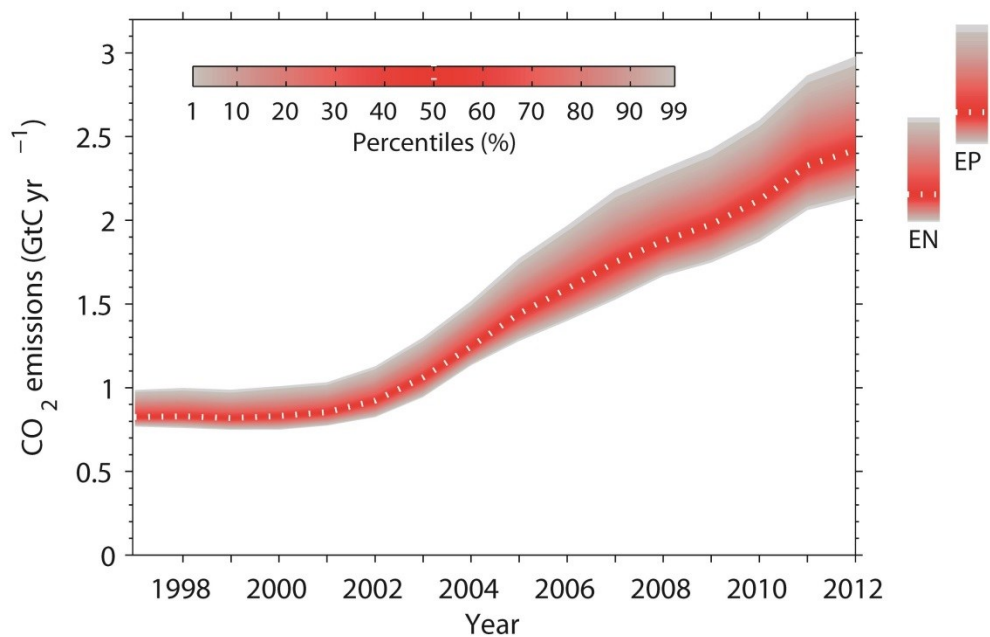
- 489 1 Fridley, D. Inventory of China's Energy-Related CO₂ Emissions in 2008. *Lawrence Berkeley*
490 *National Laboratory* (2011).
- 491 2 Intergovernmental Panel on Climate Change (IPCC). Revised 1996 IPCC Guidelines for
492 National Greenhouse Gas Inventories. (1997).
- 493 3 Intergovernmental Panel on Climate Change (IPCC). *2006 IPCC Guidelines for National*
494 *Greenhouse Gas Inventories*. (Intergovernmental Panel on Climate Change, 2006).
- 495 4 National Development and Reform Commission (NDRC). *The People's Republic of China*
496 *National Greenhouse Gas Inventory*. (China Environmental Science Press 2007).
- 497 5 The United Nations. The United Nations Energy Statistics Database. (2010).
- 498 6 National Development and Reform Commission (NDRC). Second National Communication
499 on Climate Change of the People's Republic of China. (2012).
- 500 7 National Bureau of Statistics of China -NBSC. *Chinese Energy Statistics Yearbook*. (China
501 Statistics, 1990-2013).
- 502 8 Tsinghua University, Multi-resolution Emission Inventory for China (MEIC),
503 <http://www.meicmodel.org> (2014).
- 504 9 Fridley, E. D. China Energy Databook -- User Guide and Documentation, Version 7.0.
505 (Lawrence Berkeley National Laboratory, Lawrence Berkeley National Laboratory, 2008).
- 506 10 Andres, R. J. *et al.* A synthesis of carbon dioxide emissions from fossil-fuel combustion.
507 *Biogeosciences* **9**, 1845-1871 (2012).
- 508 11 Andres, R. J., Boden, T. A. & Higdson, D. A new evaluation of the uncertainty associated with
509 CDIAC estimates of fossil fuel carbon dioxide emission. *Tellus B* **66** (2014).
- 510 12 Sinton, J. E. Accuracy and reliability of China's energy statistics. *China Economic Review* **12**,
511 373-383 (2001).
- 512 13 Marland, G. Emissions accounting: China's uncertain CO₂ emissions. *Nature Clim. Change* **2**,
513 645-646 (2012).
- 514 14 Sinton, J. E. & Fridley, D. G. A guide to China's energy statistics. *Journal of Energy Literature*
515 **8**, 22-35 (2002).
- 516 15 Liu, J. & Yang, H. China fights against statistical corruption. *Science (New York, NY)* **325**, 675
517 (2009).
- 518 16 Holz, C. A. The quality of China's GDP statistics. *China Economic Review* **30**, 309-338
519 (2014).
- 520 17 Rawski, T. G. What is happening to China's GDP statistics? *China Economic Review* **12**,
521 347-354 (2001).
- 522 18 Tu, J. Industrial organisation of the Chinese coal industry. (Freeman Spogli Institute for
523 International Studies. , 2011).
- 524 19 State Administration of Coal Mine Safety. *China Coal Industry Yearbook*, (2013).

528 **Extended Data Table**

529 **Extended Data Table 1** 24 inventories of fossil fuel combustion based on reported emission factors (IPCC,
530 MEIC, UN, NBS, NC, NDRC) and fuel inventories (EN, EP) in China, Unit Mt C.

531

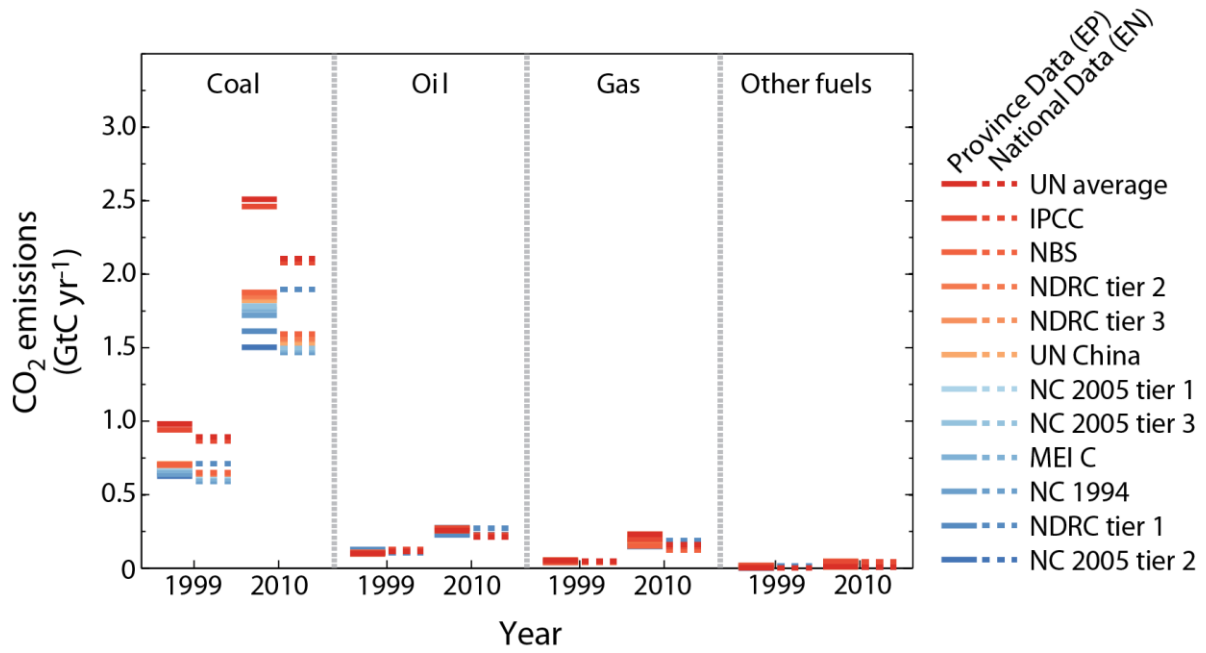
532 **Extended Data Figures**



533

534 **Extended Data Figure 1. Uncertainty distribution of Chinese CO₂ emissions 1997-2012.** Monte Carlo
535 simulations of the Chinese carbon emissions based on a blended activity data set where national and provincial
536 data are assigned equal probabilities (n=100,000). Chinese carbon emissions based on national energy activity
537 data (EN) and provincial activity energy data (EP) in 2012 are shown on the right bar.

538



541

542 **Extended Data Figure 2. Carbon emissions (Gt C yr⁻¹) from fossil fuel combustion based on different**
543 **data sources**

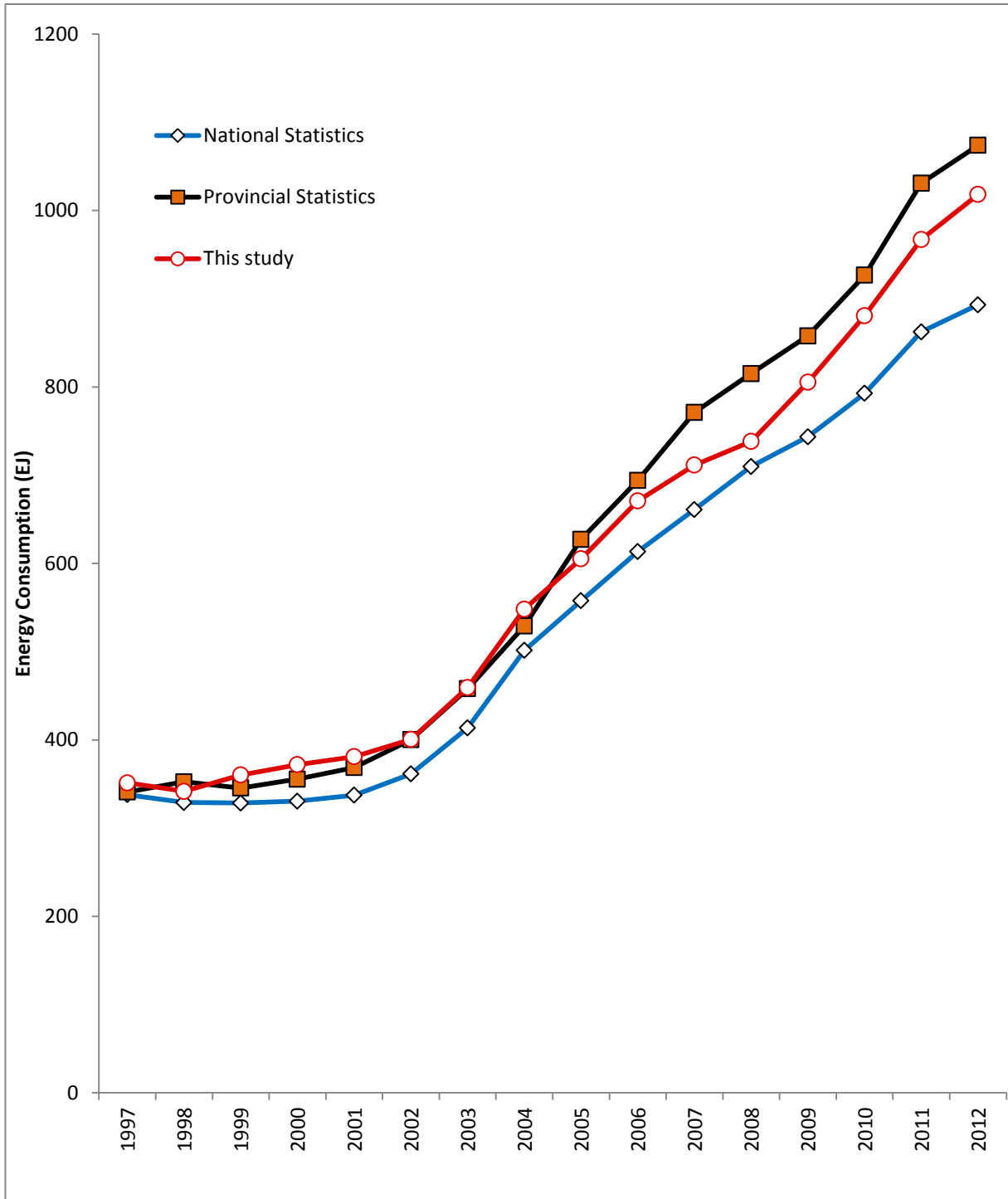
544 Figure 2. Carbon emissions (Gt C yr⁻¹) from fossil fuel combustion based on 12 reported emission factors
545 (from 6 sources: IPCC, MEIC, UN, NBS, NC, NDRC) and 2 sets of activity data (EP: Aggregated
546 Provincial statistics of energy consumption, EN: national statistics of energy consumption) in China during
547 two periods 1997-2001 and 2008-2012. Years on the horizontal axis indicate the central year of a 5-year
548 period. In general, the total uncertainty can be mainly attributed to the different estimates of emissions from
549 coal consumption.

550

551

552

553



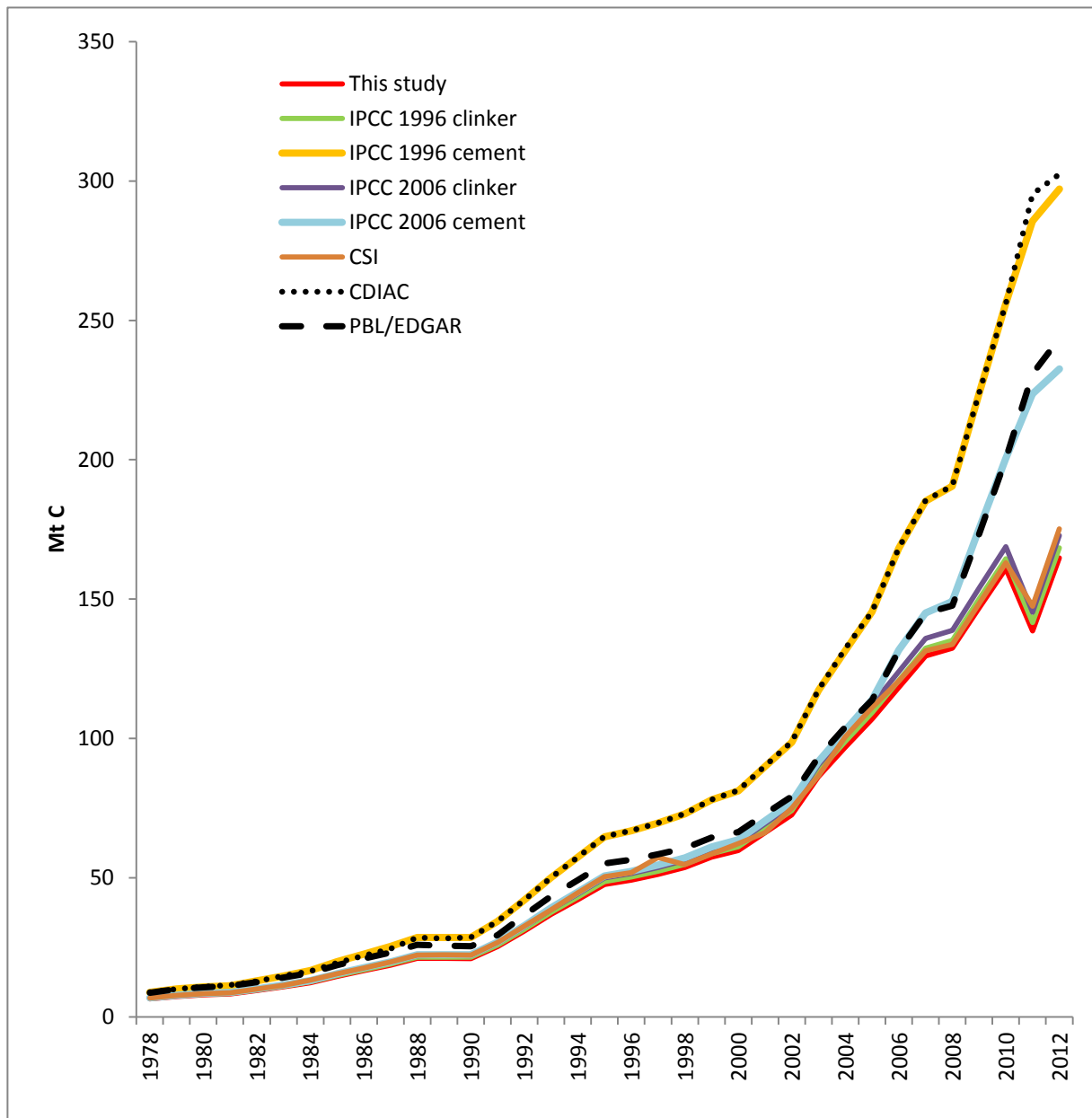
555 **Extended Data Figure 3. Total fossil fuel energy consumption (in PJ) based upon national statistics,**
 556 **provincial statistics and own calculations in this study**

557

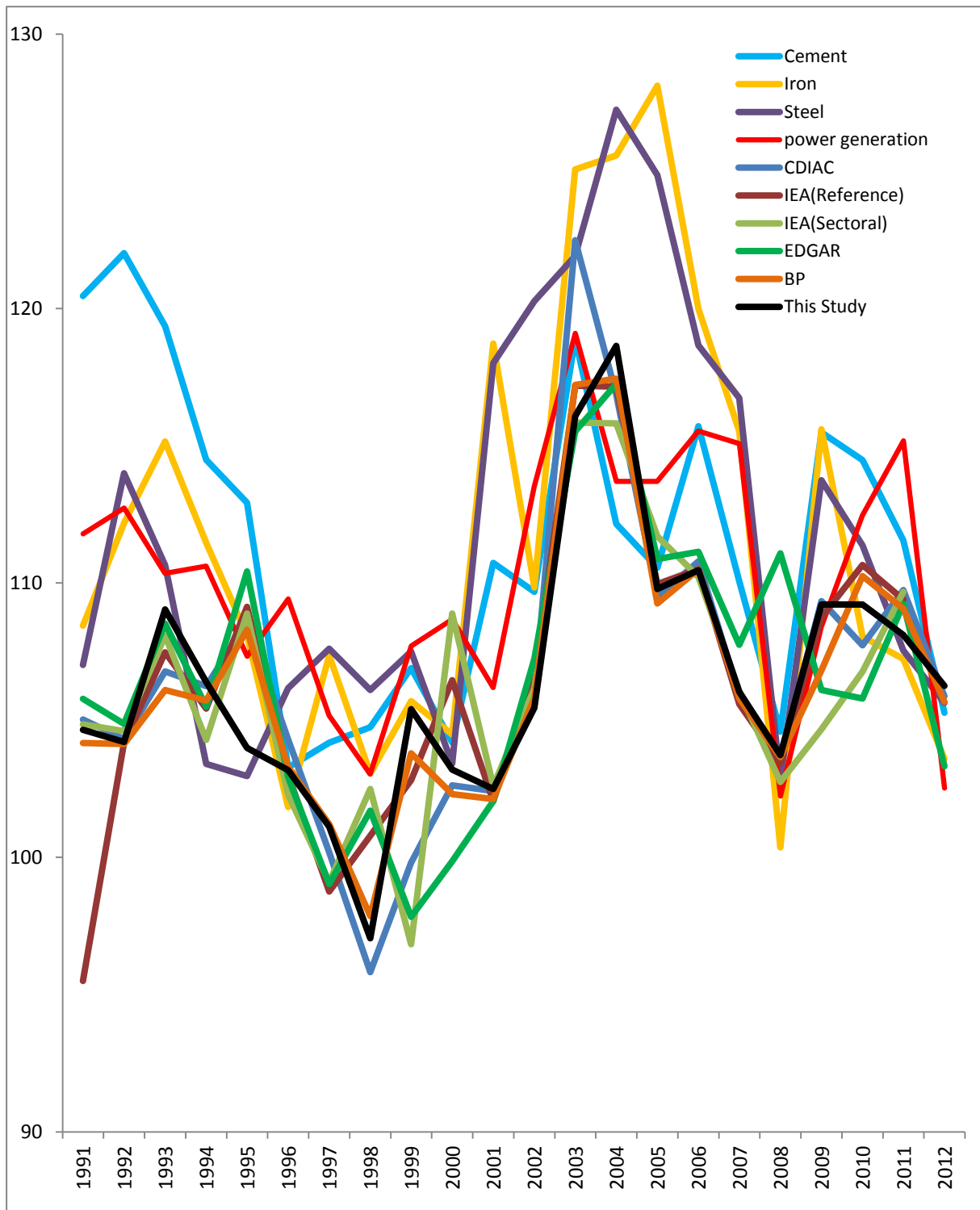
558



559 **Extended Data Figure 4. Location of 4243 coal mines (with annual production) and 602 coal samples.**
 560 The coal samples and mines are consistent with spatial distribution.
 561
 562



563 Extended Data Figure 5. Emission estimates of China's cement production emissions by different
 564 sources
 565
 566



567

568 **Extended Data Figure 6. Growth rate of carbon emissions (based upon BP, EGDAR, IEA and**
 569 **own calculations in this study) and industrial products (production of cement, iron, steel and**
 570 **power generation). The emission trends calculated in this study are consistent with the trends of**
 571 **industrial production**