## AFFINE STRUCTURES ON COMPLEX MANIFOLDS

## Yozô MATSUSHIMA

(Received May 9, 1968)

Let M be a complex manifold of complex dimension n and let  $C = \{U_i, \varphi_i\}_{i \in I}$ be the maximal atlas defining the complex structure on M. A subset  $A = \{U_j, \varphi_j\}_{j \in I}, J \subset I$ , of C is called an affine atlas of M, if  $\varphi_{jk} = \varphi_j \circ \varphi_k^{-1}$  is a complex affine transformation of  $C^n$  whenever  $U_j \cap U_k \neq \phi$ . We can define the notion of a maximal affine atlas of M and we say that each maximal affine atlas of M defines a complex affine structure of the complex manifold M. We shall denote by A(M) the totality of complex affine structures on M.

The aim of this note is to study the structure of A(M) in the case where M is compact and the complex structure of M is homogeneous and we shall prove the following theorems.

**Theorem 1.** Let M be a complex torus of complex dimension n. Then there exists a natural one-to-one correspondence between the set A(M) and the set of all commutative associative algebra structure over C in the complex vector space  $C^n$ . In particular A(M) is a complex affine variety.

More generally:

**Theorem 2.** Let M be a connected compact complex manifold and let Aut(M) be the group of all holomorphic transformations of M. Assume Aut(M) is transitive on M. Then A(M) is a complex affine algebraic variety.

1. A) Let M be complex manifold and let I be the tensor of the almost complex structure associated with M. For each point  $p \in M$ , the value  $I_p$  of I at p is an endomorphism of the tangent space  $T_p(M)$  such that  $I_p^2 = -1$ . Let  $T_p^+(M)$  (resp.  $T_x^-(M)$ ) be the subspace of the complexified tangent space  $T_p^{\ C}(M)$  consisting of all u such that  $I_p u = iu$  (resp.  $I_p u = -iu$ ) with  $i = \sqrt{-1}$ . Then we have

$$T_{p}^{C}(M) = T_{p}^{+}(M) \oplus T_{p}^{-}(M).$$

If  $\{z^1, z^2, \dots, z^n\}$  is a system of complex local coordinates on an open set U, then  $\{(\partial/\partial z^i)_p\}_{i=1,\dots,n}$  and  $\{(\partial/\partial \overline{z}^i)_p\}_{i=1,\dots,n}$  are bases of  $T_p^+(M)$  and  $T_p^-(M)$ respectively at each point  $p \in U$ . The totality of complex tangent vectors belonging to  $T_p^+(M)$  ( $p \in M$ ) form a holomorphic vector bundle  $T^+(M)$  over M. Y. MATSUSHIMA

Let X be a smooth vector field on M. Then we can write X uniquely in the form

$$X = X^{+} + X^{-}$$
,

where  $X^+(p) \in T_p^+(M)$  and  $X^-(p) \in T_p^-(M)$  at each point  $p \in M$  and  $X^-(p) = \overline{X^+(p)}$ , where—denotes the conjugation of  $T_p^-(M)$ .

A complex vector field W on M is, by definition, a smooth section of the vector bundle  $T^+(M) \oplus T^-(M)$ . Then we can write W uniquely in the form W = X + iY, where X and Y are smooth real vector fields on M. A complex vector field W is called holomorphic if W is a holomorphic section of  $T^+(M)$ . A smooth real vector field X is called holomorphic if  $X^+$  is holomorphic.

Let g=g(M) be the vector space of all holomorphic real vector fields on M. Then g is a complex Lie algebra and if M is compact, g is identified with the Lie algebra of the group Aut (M) of holomorphic transformations of M.

In the following we denote by  $\mathfrak{X}(M)$  the real vector space of all smooth vector fields on M. Then a complex vector field on M is identified with an element of  $\mathfrak{X}(M)^c$ .

B) A linear connection  $\nabla$  on M is defined by a bilinear mapping  $(X, Y) \rightarrow \nabla_X Y$  of  $\mathfrak{X}(M) \times \mathfrak{X}(M)$  into  $\mathfrak{X}(M)$  satisfying the following conditions:

- 1)  $\nabla_{fY}X \neq f(\nabla_Y X);$
- 2)  $\nabla_{\mathbf{Y}} f X = f(\nabla_{\mathbf{Y}} X) + Y f \cdot X.$

A linear connection  $\nabla$  on M is called a *holomorphic* linear connection if the following two conditions are satisfied:

- a)  $\nabla_Y IX = I(\nabla_Y X)$  for all X,  $Y \in \mathfrak{X}(M)$ ;
- b) if X and Y are holomorphic vector fields defined on an open set O of M, then ∇<sub>Y</sub>X is also holomorphic on O.

If  $\nabla$  is a linear connection, we can extend  $\nabla$  to a complex bilinear mapping of  $\mathfrak{X}(M)^{c} \times \mathfrak{X}(M)^{c}$  into  $\mathfrak{X}(M)^{c}$ . Then the conditions a) and b) are equivalent to the following two conditions a') and b').

- a')  $(\nabla_Y X)^+ = \nabla_Y X^+;$
- b') if U and W are complex holomorphic vector fields defined on an open set O, then  $\nabla_W U$  is also holomorphic.

C) Let us consider a complex affine structure on M defined by a maximal affine atlas  $\{(O, \varphi)\}$ . Let  $\{z^1, \dots, z^n\}$  be the local coordinates on O defined by the chart  $(O, \varphi)$ . On each of these open sets O we can define uniquely an linear connection  $\nabla^0$  on O by the conditions:  $\nabla_z^{0i} Z^{j} = \nabla_{\overline{Z}}^{0i} Z^{j} = 0$   $(i, j=1, 2, \dots, n)$ , where  $Z^i = \partial/\partial z^i$  and  $\overline{Z}^i = \partial/\partial \overline{z}^i$ . Then there exists a unique linear connection  $\nabla$  on M such that the restriction of  $\nabla$  on each O coincides with  $\nabla^0$ . This affine connection  $\nabla$  is holomorphic and locally flat, i.e. the torsion and the curvature of  $\nabla$  are 0. This means that

$$\nabla_X Y - \nabla_Y X = [X, Y];$$
  
$$\nabla_X (\nabla_Y Z) - \nabla_Y (\nabla_X Z) = \nabla_{[X, Y]} Z$$

for any X, Y,  $Z \in \mathfrak{X}(M)$ .

Thus to each affine structure on M there corresponds a locally flat, holomorphic linear connection on M and to the distinct affine structures there correspond distinct linear connections.

D) Let, conversely,  $\nabla$  be any locally flat, holomorphic linear connection on M and let  $\tilde{M}$  be the universal covering manifold of M. Then there is defined uniquely a connection  $\tilde{\nabla}$  on  $\tilde{M}$  such that, for smooth vector fields X and Y on M, we have  $\tilde{\nabla}_{Y^*}X^* = (\nabla_Y X)^*$ , where, for each vector field X on M,  $X^*$  denotes the lift of X.  $\tilde{\nabla}$  is also locally flat and holomorphic. Let  $\mathfrak{P}=\{P\}$  be the complex vector space of all parallel complex holomorphic vector fields on  $\tilde{M}$ . Then the map  $P \to P(\tilde{a})$  ( $\tilde{a} \in \tilde{M}$ ) is a bijection of  $\mathfrak{P}$  onto  $T^+_{\tilde{a}}(M)$ . In particular dim<sub>c</sub>  $\mathfrak{P}=n=\dim_c M$ .  $\mathfrak{P}$  form an abelian Lie algebra, because [P, P']= $\tilde{\nabla}_P P' - \tilde{\nabla}_{P'} P = 0$ .

Let  $\Gamma$  be the fundamental group of M. Then  $\Gamma$  acts from the right on  $\tilde{M}$  and the action of each element of  $\Gamma$  is holomorphic and affine.

Fix a point  $a \in M$  and let  $\tilde{a}$  be a point of  $\tilde{M}$  such that  $\pi(\tilde{a})=a, \pi$  denoting the projection of  $\tilde{M}$  onto. M. For each vector  $y \in T_a^+(M)$ , there exists one and only one  $P \in \mathfrak{P}$  such that  $d\pi \cdot P(\tilde{a})=y$ . We denote this vector field P by  $P_y$ .

Let  $\gamma \in \Gamma$ . Then  $\gamma$  is a holomorphic affine transformation and hence  $d\gamma \cdot \mathfrak{P} \subset \mathfrak{P}$ . Put

$$(1) d\gamma \cdot P_y = P_{f(\gamma)y}.$$

Then  $\gamma \rightarrow f(\gamma)$  is a representation of the group  $\Gamma$  in the vector space  $T^+_a(M)$ .

Now let  $\{P_1, \dots, P_n\}$   $(n=\dim_C M)$  be a basis of the complex vector space  $\mathfrak{P}$ . Then we can define *n* holomorphic 1-forms  $\{\omega^1, \dots, \omega^n\}$  on  $\widetilde{M}$  by the condition

$$\omega^{i}(P_{j}) = \delta^{i}_{j} \qquad (i, j = 1, \cdots, n) .$$

These 1-forms are closed. There exists a basis  $\{y_1, \dots, y_n\}$  of  $T_a^+(M)$  such that  $P_i = P_{y_i}$   $(i=1, 2, \dots, )$ . We can define a  $T_a^+(M)$ -valued holomorphic 1-form  $\theta$  on  $\tilde{M}$  by

$$\theta = \sum_{i=1}^n \omega^i y_i \, .$$

Then we have:

- (2)  $\theta(P) = d\pi \cdot P(\tilde{a}), \quad P \in \mathfrak{P},$
- and
- $(3) d\theta = 0,$

Y. MATSUSHIMA

Moreover,

(4) 
$$(d\gamma)^*\theta = f(\gamma)\cdot\theta, \quad \gamma \in \Gamma.$$

In fact, let  $P \in \mathfrak{P}$ . Then there exists  $y \in T_a^+(M)$  such that  $P = P_y$ . Then

$$((d\gamma)^*\theta)(P) = \theta(d\gamma P_y) = \theta(P_{f^{(\gamma)}y}) = f(\gamma) \cdot y$$
 by (2).

On the other hand, by (2)  $y = \theta(P)$  and hence  $((d\gamma)^* \cdot \theta)(P) = f(\gamma) \cdot \theta(P)$  and this proves the equality (4). For any  $\tilde{x} \in \tilde{M}$ , let

(5) 
$$\varphi(\tilde{x}) = \int_{\tilde{a}}^{\tilde{x}} \theta$$

Then  $\varphi$  is a holomorphic map of  $\tilde{M}$  into  $T_a^+(M)$ . Put

$$(6) q(\gamma) = \varphi(\gamma \tilde{a})$$

for all  $\gamma \in \Gamma$ . We have then

(7) 
$$\varphi(\gamma \tilde{x}) = f(\gamma)\varphi(\tilde{x}) + q(\gamma).$$

In particular for  $\tilde{x} = \sigma \tilde{a}$  ( $\sigma \in \Gamma$ ), we have

$$q(\gamma \sigma) = f(\gamma)q(\sigma) + q(\gamma)$$
.

This shows that q is a 1-cocycle of the group  $\Gamma$  and that, if we denote  $a(\gamma)$  the complex affine transformation  $x \to f(\gamma)x + q(\gamma)$  of  $T_a^+(M)$ , then  $\gamma \to a(\gamma)$  is a homomorphism of  $\Gamma$  into the group of complex affine transformations of  $T_a^+(M)$ . Moreover (7) shows that

(7') 
$$\varphi(\gamma \tilde{x}) = a(\gamma)\varphi(\tilde{x}).$$

By the definition of  $\varphi$ , we have

$$(d\varphi)(\tilde{x}) = \theta(\tilde{x})$$

and  $\theta(\tilde{x})$ :  $T_{\tilde{x}}^{+}(M) \to T_{a}^{+}(M)$  is bijective. Therefore  $\varphi$  is a holomorphic immersion of  $\tilde{M}$  into the *n* dimensional complex vector space  $T_{a}^{+}(M)$  which satisfies  $(7')^{*}$ . Let U be an open subset of M evenly covered by  $\pi$  such that each connected component of  $\pi^{-1}(U)$  is mapped bijectively by  $\varphi$  onto an open set in  $\mathbb{C}^{n} = T_{a}^{+}(M)$ . Let  $\tilde{U}$  be any one of the connected components of  $\pi^{-1}(U)$  and let  $\psi$  be the holomorphic bijective map of U onto  $\varphi(\tilde{U})$  defined by  $\psi = \varphi \circ (\pi | \tilde{U})^{-1}$ . Then it is easy to check that  $\{(U, \psi)\}$  defines a complex affine structure on M and that the locally flat holomorphic linear connection associated with this complex affine structure coincides with  $\nabla$ .

<sup>\*</sup> The mapping  $\varphi$  is the "development" of  $\tilde{M}$  in  $\mathbb{C}^n$ , The present way of defining the development  $\varphi$  is due to J.L. Koszul,

Thus there is a one-to-one correspondence between the set A(M) of all complex affine structures on a complex manifold M and the set of all locally flat, holomorphic linear connections on M.

2. In the following we shall denote by A(M) the set of all locally flat, holomorphic linear connections on M. We denote by g the complex Lie algebra of all holomorphic vector fields on M. From now on we assume that M is compact. Then g is identified with the Lie algebra of the group Aut (M).

Now let  $\nabla \in A(M)$ . Then the map  $(X, Y) \rightarrow -\nabla_Y X$  is a bilinear map of  $g \times g$  into g. Let

Then this multiplication in g defines an algebra structure on the complex vector space g and we denote this algebra by  $g(\nabla)$ .

DEFINITION. Let A be an algebra over a field k and set [x, y, z] = x(yz) - (xy)z and call it the associator of x, y and z. We call A a pre-Lie algebra, if the relation

$$[x, y, z] = [x, z, y]$$

holds for any x, y and z in A.

For example, an associative algebra A is a pre-Lie algebra. Let A be a pre-Lie algebra and set

$$[x, y] = xy - yx$$

for x,  $y \in A$ . Then we can show and that the bracket product [x, y] defines a Lie algebra. We call this Lie algebra the Lie algebra associated with A.

REMARK. The notion of pre-Lie algebras has been introduced by M. Gerstenhaber in connection with the deformation of algebras. See [1] and [3].

DEFINITION. Let g be a Lie algebra over a field k and A a pre-Lie algebra over k. We call A pre-Lie algebra over g if the associated Lie algebra of A is g.

**Lemma 1.** Let g be the algebra of all holomorphic vector fields on a compact complex manifold M and let  $\nabla$  be a locally flat holomorphic linear connection on M. Then the algebra  $g(\nabla)$  is a pre-Lie algebra over g.

This lemma follows easily from the definition of the multiplication in  $\mathfrak{g}(\nabla)$ and from the fact that the torsion and the curvature of  $\nabla$  are 0.

Let us denote by  $A(\mathfrak{g})$  the set of all pre-Lie algebra structures over  $\mathfrak{g}$ . Then the map  $\nabla \rightarrow \mathfrak{g}(\nabla)$  defines a map of A(M) into  $A(\mathfrak{g})$ .

Assume now that M is homogeneous. Then for any  $p \in M$ , the tangent vectors X(p) ( $X \in \mathfrak{g}$ ) span the tangent space  $T_p(M)$ . Then we see easily that

the map of A(M) into A(g) is injective.

Now let

 $\mathfrak{g}_p = \{Y \in \mathfrak{g} \mid Y(p) = 0\}, \quad p \in M.$ 

If  $Y \in \mathfrak{g}_p$ , then  $(\nabla_Y X)(p) = \nabla_{Y(p)} X = 0$  and hence  $\mathfrak{g}_p$  is a left ideal of  $\mathfrak{g}(\nabla)$ . Conversely we have the following lemma.

**Lemma 2.** Let M be a compact homogeneous complex manifold and let g be the Lie algebra of all holomorphic vector fields on M. Let A be a pre-Lie algebra over g such that  $g_p$  is a left ideal of A for every  $p \in M$ . Then there exists a locally flat holomorphic linear connection  $\nabla$  on M such that  $A = g(\nabla)$ .

Proof. Let  $p \in M$  and  $u \in T_p(M)$ . Then there exists a  $Y \in \mathfrak{g}$  such that Y(p)=u. For any  $X \in \mathfrak{g}$  define  $\nabla_u X \in T_p(M)$  by putting

$$\nabla_{\boldsymbol{u}} X = -(X \boldsymbol{\cdot} Y)(p) \, .$$

This definition does not depend on the choice of  $Y \in \mathfrak{g}$  such that Y(p) = u, because  $\mathfrak{g}_p$  is a left ideal of A. For any vector field Y and any  $X \in \mathfrak{g}$ ,  $\nabla_Y X$  will denote the vector field on M such that

$$(\nabla_{\mathbf{Y}} X)(p) = \nabla_{\mathbf{Y}(p)} X$$

Then the following equalities hold:

- 1)  $\nabla_{fy} X = f \nabla_Y X$ , where f is a smooth function on M;
- 2)  $\nabla_{Y+Y'}X = \nabla_Y X + \nabla_{Y'}X; \nabla_Y (X+X') = \nabla_Y X + \nabla_Y X',$

where Y and Y' are smooth vector fields on M and X,  $X' \in \mathfrak{g}$ ;

3)  $\nabla_y X$  is a smooth vector field; in fact, let  $p \in M$ . Then in a neighborhood U of p, Y is written uniquely in the form  $Y = f^1 Y_1 + \dots + f^n Y_n$ , where  $Y_1, \dots, Y_n$  are in  $\mathfrak{g}$  and  $f^1, \dots, f^n$  are smooth functions on U. At each point  $q \in U$ , we have  $(\nabla_Y X)(q) = \sum_{i=1}^n f^i(q)(\nabla_{Y_i} X)(q) = -\sum_{i=1}^n f^i(q)(X \cdot Y_i)(q)$  and hence  $\nabla_Y X$  is smooth on U.

Next let Y be a smooth vector field and  $u \in T_p(M)$ . Define  $\nabla_u Y \in T_p(M)$  by

$$\nabla_{\boldsymbol{u}} Y = \nabla_{\boldsymbol{Y}(\boldsymbol{p})} X + [X, Y](\boldsymbol{p}),$$

where X is a vector field in g such that u=X(p). We have to show that this definition is consistent. It suffices to show that

$$\nabla_{Y(p)}X + [X, Y](p) = 0$$

whenever X(p) = 0 and  $X \in \mathfrak{g}$ . To see this let  $Y = f^1 Y_1 + \cdots + f^n Y_n$  in a neighborhood U of p, where  $Y_1, \cdots, Y_n \in \mathfrak{g}$ . Then

$$\nabla_{Y(p)}X = \sum_{i=1}^{n} f^{i}(p) \nabla_{Y_{i}(p)}X$$
 and  $[X, Y] = \sum_{i=1}^{n} f^{i}[X, Y_{i}] + \sum_{i=1}^{n} Xf^{i} \cdot Y_{i}$ 

on U. Since X(p) = 0, we have  $(Xf^i)(p) = 0$  and, since X and  $Y_i$  are in g,  $[X, Y_i] = X \cdot Y_i - Y_i \cdot X$ . Therefore  $[X, Y_i](p) = (X \cdot Y_i)(p) - (Y_i \cdot X)(p) =$   $-\nabla_{Y_i(p)}X + \nabla_{X(p)}Y_i = -\nabla_{Y_i(p)}X$  and hence  $\nabla_{Y(p)}X + [X, Y](p) = \sum_{i=1}^{n} f^i(p)$   $\nabla_{Y_i(p)}X - \sum_{i=1}^{n} f^i(p)\nabla_{Y_i(p)}X = 0$ . Thus we have defined the tangent vector  $\nabla_u Y$  for any  $u \in T_p(M)$  and any smooth vector field Y. The following conditions hold:

- i)  $\nabla_{\boldsymbol{u}}(Y+Y') = \nabla_{\boldsymbol{u}}Y + \nabla_{\boldsymbol{u}}Y';$
- ii)  $\nabla_{u+v}Y = \nabla_{u}Y + \nabla_{v}Y; \ \nabla_{\lambda u}Y = \lambda \nabla_{u}Y \quad (\lambda \in \mathbf{R});$
- iii)  $\nabla_u(fY) = f(p) \nabla_u Y + uf \cdot Y$ , where f is a smooth function.

Thus we have defined a linear connection  $\nabla$  on M and it is easily seen that the torsion of  $\nabla$  is 0 and that  $\nabla_Y X = -X \cdot Y$  for  $X, Y \in \mathfrak{g}$ . Then  $\nabla_X (\nabla_Y Z) - \nabla_Y (\nabla_X Z) - \nabla_{[X,Y]} Z = [Z, X, Y] - [Z, Y, X] = 0$  for  $X, Y, Z \in \mathfrak{g}$ . It follows then that the curvature of  $\nabla$  is 0. Moreover it is easily seen that  $\nabla$  is holomorphic and  $\mathfrak{g}(\nabla) = A$  and the lemma is proved.

Assume now that  $\mathfrak{g}_p = \{0\}$  for all  $p \in M$ . This is the case if and only if M is of the form M = G/D, where G is a complex Lie group and D is a discrete subgroup of G. In this case, the map  $\nabla \to \mathfrak{g}(\nabla)$  establishes a one-to-one correspondence between the set of all complex affine structures on M and the set  $A(\mathfrak{g})$  of all pre-Lie algebra structures over  $\mathfrak{g}$ . In particular, this holds for a complex torus M. In this case the Lie algebra  $\mathfrak{g}$  is abelian and Theorem 1 follows from the following lemma and from what we have proved so far.

**Lemma 3.** Let A be a pre-Lie algebra over a Lie algebra g. Assume g is abelian. Then A is a commutative associative algebra.

In fact, xy-yx=[x, y]=0 and hence xy=yx for  $x, y \in A$ . Moreover, x(yz)-(xy)z=x(zy)-(xz)y and yz=zy and hence (xy)z=(xz)y. But (xy)z=z(xy) and (xz)y=(zx)y and hence z(xy)=(zx)y and this proves that A is associative.

Now each pre-Lie algebra structure over g is identified with an element of the vector space  $g^* \otimes g^* \otimes g$  and A(g) is identified with an algebraic subset of  $g^* \otimes g^* \otimes g$ . For each  $p \in M$ , Let  $A_p$  be the subset of A(g) consisting of all pre-Lie algebra over g such that  $g_p$  is a left ideal. Then  $A_p$  is an algebraic subset of A(g) and by Lemma 2, A(M) is identified with  $\bigcap_{p \in M} A_p$ :  $A(M) = \bigcap_{p \in M} A_p$ . Then there exists a finite number of points  $p_1, \dots, p_r$  in M such that  $A(M) = \bigcap_{i=1}^r A_{p_i}$  and hence A(M) is a complex affine variety. This proves Theorem 2.

UNIVERSITY OF NOTRE DAME

## Y. MATSUSHIMA

## References

- [1] M. Gerstenhaber: The cohomology structure on an associative ring, Ann. of Math. 78 (1963), 267–289.
- [2] R.C. Gunning: Special coordinate coverings of Riemann surfaces, Math. Ann. 170 (1967), 67-86.
- [3] A. Nijenhuis and R.W. Richardson: Cohomology and deformations in graded Lie algebras, Bull. Amer. Math. Soc. 72 (1966), 1-29.