

ON SOME EXTREMAL QUASICONFORMAL MAPPINGS OF DISC

TAKEHIKO SASAKI

(Received June 9, 1970)

1. Introduction

A quasiconformal mapping $w(z)$ of the unit disc $\Delta = \{z \mid |z| < 1\}$ onto itself is known to have continuous boundary values, hence we may consider the class $Q(w; \Delta, \Delta)$ of all quasiconformal mappings of Δ onto itself that coincide with $w(z)$ on the boundary $\partial\Delta = \{z \mid |z| = 1\}$. In $Q(w; \Delta, \Delta)$ there is at least one quasiconformal mapping whose maximal dilatation is a minimum. Such a quasiconformal mapping is called extremal in the class $Q(w; \Delta, \Delta)$. If there exists a regular single-valued analytic function φ defined on Δ and if the complex dilatation μ of a quasiconformal mapping is written in the form

$$\mu = k \frac{\bar{\varphi}}{|\varphi|} \quad (0 < k < 1), \quad (1)$$

except at zeros of φ , then it is called a Teichmüller mapping corresponding to φ . It was studied by K. Strebel [4] whether a quasiconformal mapping $f(z)$ with the complex dilatation of the form (1) is extremal in the class $Q(f; \Delta, \Delta)$ or not.

In section 2 and section 3 we prove two distortion theorems which serve to show some extremality. In section 4 some extremal quasiconformal mappings which are not Teichmüller mappings in general are considered.

2. Distortion of argument (1)

Let $w(z)$ be a K -quasiconformal mapping which maps $|z| < 1$ onto $|w| < 1$ with $w(0) = 0$ and $w(1) = 1$ and let $\arg w(z) = \arg w(re^{i\theta})$ a continuous branch with $\arg w(1) = 0$. Then we have

Theorem 1. *For all K -quasiconformal mappings which map $|z| < 1$ onto $|w| < 1$ with $w(0) = 0$ and $w(1) = 1$, we have*

$$\overline{\lim}_{r \rightarrow 0} \left| \frac{\arg w(r)}{\log r} \right| \leq \frac{1}{2} \left(K - \frac{1}{K} \right). \quad (2)$$

This bound is best possible.

We begin with some preliminary considerations. For $|w(r)|$ it is well known that $\frac{1}{4^K}r^K < |w(r)| < 4r^{K-1}$. Therefore for each r we have

$$|w(r)| = c(r)r^{f(r)} \text{ with } \frac{1}{4^K} < c(r) < 4, \frac{1}{K} \leq f(r) \leq K. \tag{3}$$

Also the next inequality is known to hold (see for example [1])

$$\frac{\max_{0 \leq \theta < 2\pi} |w(re^{i\theta})|}{\min_{0 \leq \theta < 2\pi} |w(re^{i\theta})|} \leq \lambda(K) = \frac{1}{16}e^{\pi K} - \frac{1}{2} + O(e^{-\pi K}),$$

so that we see at once

$$\begin{aligned} \max_{0 \leq \theta < 2\pi} |w(re^{i\theta})| &\leq \lambda(K)c(r)r^{f(r)}, \\ \min_{0 \leq \theta < 2\pi} |w(re^{i\theta})| &\geq \lambda(K)^{-1}c(r)r^{f(r)}. \end{aligned} \tag{4}$$

In order to estimate $\max_{0 \leq \theta < 2\pi} |\arg w(re^{i\theta}) - \arg w(r)|$, we need

Lemma. *For K -quasiconformal mapping $w(z)$ which maps $|z| < 1$ onto $|w| < 1$ with $w(0)=0$ we have*

$$\max_{0 \leq \theta < 2\pi} |\arg w(re^{i\theta}) - \arg w(r)| < N_1 < \infty, \tag{5}$$

where N_1 is a constant depending only on K .

Proof. The lemma is a consequence of the following

Theorem ([2], theorem 2). *Suppose that w is a K -quasiconformal mapping of the extended plane and that $w(\infty)=\infty$. Then for each triple of distinct finite points z_1, z_0, z_2*

$$\sin \frac{1}{2} \beta \geq \varphi_K \left(\sin \frac{1}{2} \alpha \right),$$

where $\varphi_K(r) = \mu^{-1}(K\mu(r))$ and

$$\alpha = \arcsin \left(\frac{|z_1 - z_2|}{|z_1 - z_0| + |z_2 - z_0|} \right), \beta = \arcsin \left(\frac{|f(z_1) - f(z_2)|}{|f(z_1) - f(z_0)| + |f(z_2) - f(z_0)|} \right). \tag{*}$$

Here $\mu(r)$ is the modulus of the unit disc slit along the real axis from 0 to r , and μ^{-1} is the inverse of μ .

Proof of lemma. The mapping $w(z)$ in our lemma is extended by reflexion

to a K -quasiconformal mapping of the extended plane with $w(\infty)=\infty$, and we apply the above theorem to the inverse w^{-1} .

Suppose that w satisfies the inequalities;

$$n\pi \leq \max_{0 \leq \theta < 2\pi} |\arg w(re^{i\theta}) - \arg w(r)| < (n+1)\pi,$$

where n is a positive integer. Then there exists at least $2n-1$ points such that $0=\theta_1 < \theta_2 < \dots < \theta_{2n-1}=2\pi$ and that $|\arg w(re^{i\theta}) - \arg w(re^{i\theta_2})| = |\arg w(re^{i\theta_2}) - \arg w(re^{i\theta_3})| = \dots = |\arg w(re^{i\theta_{2n-2}}) - \arg w(re^{i\theta_{2n-1}})| = \pi$. If we put $w(re^{i\theta_\nu})$, $w(0)$, $w(re^{i\theta_{\nu+1}})$ in place of z_1, z_0, z_2 in (*) respectively and $z_\nu=re^{i\theta_\nu}$, $z_0=0$, $z_{\nu+1}=re^{i\theta_{\nu+1}}$ in place of $f(z_1), f(z_0), f(z_2)$ in (*) respectively, ($\nu=1, 2, \dots, 2n-2$), then

$$\begin{aligned} \alpha &= \arcsin \left(\frac{|w(re^{i\theta_\nu}) - w(re^{i\theta_{\nu+1}})|}{|w(re^{i\theta_\nu}) - w(0)| + |w(re^{i\theta_{\nu+1}}) - w(0)|} \right) = \arcsin 1 = \frac{\pi}{2}, \\ \beta &= \arcsin \left(\frac{|re^{i\theta_\nu} - re^{i\theta_{\nu+1}}|}{|re^{i\theta_\nu} - 0| + |re^{i\theta_{\nu+1}} - 0|} \right) = \arcsin \frac{1}{2} |1 - e^{i(\theta_{\nu+1} - \theta_\nu)}| \\ &= \frac{\theta_{\nu+1} - \theta_\nu}{2}, \end{aligned}$$

and

$$\sin \frac{\theta_{\nu+1} - \theta_\nu}{4} \geq \varphi_K \left(\sin \frac{\pi}{4} \right) = \varphi_K \left(\frac{1}{\sqrt{2}} \right), \quad (\nu = 1, 2, \dots, 2n-2).$$

Therefore we have

$$\theta_{\nu+1} - \theta_\nu \geq 4 \arcsin \varphi_K \left(\frac{1}{\sqrt{2}} \right), \quad (\nu = 1, 2, \dots, 2n-2).$$

On adding these $2n-2$ inequalities we obtain

$$2\pi \geq 4(2n-2) \arcsin \varphi_K \left(\frac{1}{\sqrt{2}} \right)$$

or

$$n+1 \leq \frac{\pi}{4 \arcsin \varphi_K \left(\frac{1}{\sqrt{2}} \right)} + 2.$$

Putting $N_1 = \left(\frac{\pi}{4 \arcsin \varphi_K \left(\frac{1}{\sqrt{2}} \right)} + 2 \right) \pi$ we have

$$\max_{0 \leq \theta < 2\pi} |\arg w(re^{i\theta}) - \arg w(r)| < N_1,$$

thus the lemma is proved.

Proof of theorem 1. By $Z = \log z$ and $W = \log w$ we map $|z| < 1$ and $|w| < 1$

conformally onto half strips of height 2π such that $Z(1)=\log 1=0$ and $W(1)=\log 1=0$, in the Z and W planes. Then a quadrilateral $q=\{z|r<|z|<1, 0<\arg z<2\pi\}$ in the z plane will be mapped onto a quadrilateral $Q=\{Z|\log r<X<0, 0<Y<2\pi\}$ in the $Z=X+iY$ plane. Let Q' be the quadrilateral in the W plane to which Q corresponds, and let $M(Q)$ and $M(Q')$ denote the moduli of Q and Q' . Then

$$\frac{1}{K}M(Q)\leq M(Q')\leq KM(Q), M(Q) = \frac{1}{2\pi} \log \frac{1}{r}. \tag{6}$$

On the other hand, applying Rengel's inequality ([3], p. 24) to Q' , we have

$$\frac{(S_b(Q'))^2}{m(Q')} \leq M(Q') \leq \frac{m(Q')}{(S_a(Q'))^2}, \tag{7}$$

where $S_a(Q')$ and $S_b(Q')$ denote the distances between a-sides and b-sides in Q' respectively and $m(Q')$ the area of Q' .

The lemma and (4) imply that left one of b-sides in Q' must lie in $\{W=U+iV | U\leq \log \lambda(K)c(r)r^{f(r)}, |V|>|\arg w(r)-N_1\}$ when $|\arg w(r)|>N_1$. Put $N_2=(N_1+2\pi) \operatorname{sgn} \arg w(r)$, when $|\arg w(r)|>N_1+2\pi$. Then it follows by use of the Pythagorus equality that

$$(S_b(Q'))^2 \geq |\arg w(r)-N_2|^2 + |\log \lambda(K)c(r)r^{f(r)}|^2.$$

For $m(Q')$ we have by (4)

$$m(Q') \leq 2\pi |\log \lambda(K)^{-1}c(r)r^{f(r)}|.$$

On using these inequalities, (7) becomes

$$\frac{|\arg w(r)-N_2|^2 + |\log \lambda(K)c(r)r^{f(r)}|^2}{2\pi |\log \lambda(K)^{-1}c(r)r^{f(r)}|} \leq M(Q').$$

If we combine above inequality with (6), it holds that

$$\begin{aligned} |\arg w(r)-N_2|^2 &\leq f(r)(K-f(r))|\log r|^2 + (2|\log \lambda(K)c(r)|f(r) \\ &\quad + K|\log \lambda(K)^{-1}c(r)|)|\log r| + |\log \lambda(K)c(r)|^2. \end{aligned}$$

Dividing both sides by $|\log r|^2$ and letting r tend to 0, we have

$$\overline{\lim}_{r \rightarrow 0} \left| \frac{\arg w(r)}{\log r} \right| \leq \overline{\lim}_{r \rightarrow 0} \sqrt{f(r)(K-f(r))} \leq \overline{\lim}_{r \rightarrow 0} \frac{K}{2} = \frac{K}{2}. \tag{8}$$

Next, we suppose that

$$\overline{\lim}_{r \rightarrow 0} \left| \frac{\arg w(r)}{\log r} \right| = \frac{1}{2} \left(K - \frac{1}{K} + \delta \right), \quad \delta \geq 0.$$

We introduce an auxiliary K_1 -quasiconformal mapping $w_1(re^{i\theta})=r^\alpha e^{i(\theta-\beta \log r)}$, where $\beta^2=-\alpha^2+\left(K_1+\frac{1}{K_1}\right)\alpha-1$, $\frac{1}{K_1}\leq\alpha\leq K_1$ and $\text{sgn } \beta=\pm 1$ according as

$$\overline{\lim}_{r \rightarrow 0} \frac{\arg w(r)}{|\log r|} = \frac{1}{2}\left(K-\frac{1}{K}+\delta\right) \text{ or } \lim_{r \rightarrow 0} \frac{\arg w(r)}{|\log r|} = -\frac{1}{2}\left(K-\frac{1}{K}+\delta\right).$$

The composed KK_1 -quasiconformal mapping $w \circ w_1$ maps $|z| < 1$ onto $|w| < 1$ so that $w \circ w_1(0)=0$ and $w \circ w_1(1)=1$. On account of (8) it satisfies

$$\overline{\lim}_{r \rightarrow 0} \left| \frac{\arg w \circ w_1(r)}{\log r} \right| \leq \frac{KK_1}{2}.$$

By (5) its argument is written in the form $\arg w \circ w_1(r)=\arg w(r^\alpha)+\arg w_1(r)+A(r)$, where $A(r)$ satisfies $|A(r)| < N_1$. There is a sequence $\{r_i\}$ such that

$$\begin{aligned} \frac{KK_1}{2} &\geq \lim_{i \rightarrow \infty} \left| \frac{\arg w(r_i^\alpha)+\arg w_1(r_i)+A(r_i)}{\log r_i} \right| = \overline{\lim}_{r \rightarrow 0} \left| \frac{\arg w(r^\alpha)}{\log r} \right| + |\beta| \\ &= \frac{\alpha}{2}\left(K-\frac{1}{K}+\delta\right) + |\beta| \end{aligned}$$

or

$$\delta \leq \frac{KK_1}{\alpha} - \frac{2|\beta|}{\alpha} - K + \frac{1}{K}.$$

If we put $\alpha = \frac{K^2}{K^2+1}K_1$, $\left(\alpha \geq \frac{1}{K_1}\right)$ then

$$\frac{KK_1}{\alpha} = K + \frac{1}{K}, \quad \frac{2|\beta|}{\alpha} = \frac{2(K^2+1)}{K^2} \sqrt{\left(\frac{K}{K^2+1}\right)^2 + \left(\frac{K^2}{K^2+1}-1\right)\frac{1}{K_1^2}}.$$

Letting $K_1 \rightarrow \infty$ we have

$$\delta \leq K + \frac{1}{K} - \frac{2(K^2+1)}{K^2} \frac{K}{K^2+1} - K + \frac{1}{K} = 0$$

Hence

$$\overline{\lim}_{r \rightarrow 0} \left| \frac{\arg w(r)}{\log r} \right| \leq \frac{1}{2}\left(K-\frac{1}{K}\right).$$

The bound $\frac{1}{2}\left(K-\frac{1}{K}\right)$ is attained by $w(re^{i\theta})=r^\alpha e^{i(\theta-\beta \log r)}$, where $\alpha = \frac{1}{2}\left(K+\frac{1}{K}\right)$ and $\beta = \frac{1}{2}\left(K-\frac{1}{K}\right)$.

q. e. d.

3. Distortion of argument (2)

Theorem 2. *Suppose that $w(z)$ is a K -quasiconformal mapping of $|z| < 1$ onto $|w| < 1$ with $w(0)=0$ and $w(1)=1$ and that*

$$|w(r)| = c(r)r^a, \log c(r) = o(\log r). \tag{9}$$

Then

$$\overline{\lim}_{r \rightarrow 0} \left| \frac{\arg w(r)}{\log r} \right| \leq \sqrt{a\left(K + \frac{1}{K}\right) - (a^2 + 1)}. \tag{10}$$

For each a this bound is best possible.

Proof. We remark first that a lies between $\frac{1}{K}$ and K . We use the same reasoning as in section 2 to obtain

$$|w(r)| = c(r)r^a, \log c(r) = o(\log r) \tag{3'}$$

$$\begin{aligned} \max_{0 \leq \theta < 2\pi} |w(re^{i\theta})| &\leq \lambda(K)c(r)r^a, \log c(r) = o(\log r) \\ \min_{0 \leq \theta < 2\pi} |w(re^{i\theta})| &\geq \lambda(K)^{-1}c(r)r^a, \log c(r) = o(\log r). \end{aligned} \tag{4'}$$

Putting $f(r)=a$ in (8) we have

$$\overline{\lim}_{r \rightarrow 0} \left| \frac{\arg w(r)}{\log r} \right| \leq \sqrt{a(K-a)}. \tag{8'}$$

We suppose that

$$\overline{\lim}_{r \rightarrow 0} \left| \frac{\arg w(r)}{\log r} \right| = \sqrt{a\left(K + \frac{1}{K}\right) - (a^2 + 1)} + \delta, \delta \geq 0.$$

Using an auxiliary K_1 -quasiconformal mapping $w(re^{i\theta})=r^\alpha e^{i(\theta - \beta \log r)}$, we have in the same manner as in section 2

$$\alpha\left(\sqrt{a\left(K + \frac{1}{K}\right) - (a^2 + 1)} + \delta\right) + \sqrt{\alpha\left(K_1 + \frac{1}{K_1}\right) - (\alpha^2 + 1)} \leq \sqrt{a\alpha(KK_1 - a\alpha)},$$

because the composed KK_1 -quasiconformal mapping satisfies (9) with $a\alpha$ in place of a . Therefore we have

$$\delta \leq \sqrt{a\left(K\frac{K_1}{\alpha} - a\right) - \frac{K_1}{\alpha} + \frac{1}{\alpha K_1} - \left(1 + \frac{1}{\alpha^2}\right)} - \sqrt{a\left(K + \frac{1}{K}\right) - (a^2 + 1)}. \tag{11}$$

For $a \neq \frac{1}{K}$ we put $\alpha = \frac{aK-1}{a(K-K^{-1})} K_1$ and let $K_1 \rightarrow \infty$, so that we have

$$\delta \leq \sqrt{\frac{a^2 K(K-a)}{aK-1}} - \sqrt{\frac{1-aK^{-1}}{aK-1}} - \sqrt{a\left(K + \frac{1}{K}\right) - (a^2 + 1)} = 0.$$

Hence we have the desired inequality (10). For $a = \frac{1}{K}$ (11) becomes

$$\delta \leq \sqrt{\frac{K_1}{\alpha} - \frac{1}{K^2}} - \sqrt{\frac{K_1}{\alpha} + \frac{1}{\alpha K_1} - \left(1 + \frac{1}{\alpha^2}\right)}. \tag{11'}$$

On putting $\alpha = \sqrt{K_1}$ and letting $K_1 \rightarrow \infty$, we have also $\delta = 0$. For each a the bound is attained by $w(re^{i\theta}) = r^a e^{i(\theta - b \log r)}$, $b^2 = a\left(K + \frac{1}{K}\right) - (a^2 + 1)$. q. e. d.

4. Some extremal mappings

Let $w(z)$ be an extremal quasiconformal mapping in $Q(w; \Delta, \Delta)$. If we map Δ conformally onto a Jordan region D by φ and map Δ onto another Jordan region D' by ψ , then we obtain a boundary correspondence given by $\psi \circ w \circ \varphi^{-1}$ between D and D' . In $Q(\psi \circ w \circ \varphi^{-1}; D, D')$, $\psi \circ w \circ \varphi^{-1}$ is again extremal and if W is extremal in $Q(\psi \circ w \circ \varphi^{-1}; D, D')$ then $\varphi^{-1} \circ W \circ \psi$ is extremal in $Q(w; \Delta, \Delta)$. By this reason extremal quasiconformal mappings which we are going to deal with are those which have the boundary correspondence between D and D' .

Theorem 3. *Let $W_0(Z) = f(X) + i(Y + g(X))$ be a K -quasiconformal mapping of $D = \{Z = X + iY \mid X < 0, 0 < Y < 2\pi\}$ such that $f(0) = g(0) = 0$ and that $f(X) \rightarrow -\infty$ as $X \rightarrow -\infty$. If*

$$\overline{\lim}_{X \rightarrow -\infty} \left| \frac{g(X)}{X} \right| = \frac{1}{2} \left(K - \frac{1}{K} \right).$$

then $W_0(Z)$ is extremal in $Q(W_0; D, D')$, where $D' = W_0(D)$.

Proof. Let $W(Z)$ be a K' -quasiconformal mapping in $Q(W_0; D, D')$. We map D into Δ by $z = e^Z$ and D' into another Δ . Then $e^{W(\log z)}$ with $\log 1 = 0$ is a K' -quasiconformal mapping of Δ onto Δ with $e^{W(\log 0)} = 0$ and $e^{W(\log 1)} = 1$, because it is topological on Δ and K' -quasiconformal in $\Delta - \{z = x + iy \mid 0 \leq x < 1, y = 0\}$, ([3], I. Satz 8, 3). Theorem 1 asserts that

$$\begin{aligned} \frac{1}{2} \left(K' - \frac{1}{K'} \right) &\geq \overline{\lim}_{r \rightarrow 0} \left| \frac{\arg e^{W(\log r)}}{\log r} \right| = \overline{\lim}_{r \rightarrow 0} \left| \frac{\arg e^{W_0(\log r)}}{\log r} \right| = \overline{\lim}_{X \rightarrow -\infty} \left| \frac{g(X)}{X} \right| \\ &= \frac{1}{2} \left(K - \frac{1}{K} \right). \end{aligned}$$

Therefore we have $K' \geq K$ and it is shown that $W_0(Z)$ is extremal in $Q(W_0; D, D')$. q. e. d.

The complex dilatation of $W_0(Z)$ is of the form as follows;

$$\mu_{w_0}(Z) = \frac{f'(X) + ig'(X) - 1}{f'(X) + ig'(X) + 1}.$$

If $\mu_{w_0}(Z) = k \frac{\overline{\varphi(Z)}}{|\varphi(Z)|}$, $k = \frac{K-1}{K+1}$, with analytic φ in D , then $\arg \varphi = \text{constant}$ on each $X=c$, $-\infty < c < 0$, and $\varphi = e^{aZ+b}$. But it is not difficult to see that $a=0$. We conclude that $W_0(Z)$ is not Teichmüller mapping except the case when $W_0(Z)$ is an affine mapping.

By the same reasoning as before and by theorem 2 we obtain the following

Theorem 4. Let $W_a(Z) = f(X) + i(Y + g(X))$ be K -quasiconformal mapping of $D = \{Z = X + iY \mid X < 0, 0 < Y < 2\pi\}$ such that $f(0) = g(0) = 0$, $f(X) \rightarrow \infty$ as $X \rightarrow -\infty$ and that $\lim_{X \rightarrow -\infty} \left| \frac{f(X)}{X} \right| = a$, $\frac{1}{K} \leq a \leq K$. If

$$\overline{\lim}_{X \rightarrow -\infty} \left| \frac{g(X)}{X} \right| = \sqrt{a \left(K + \frac{1}{K} \right) - (a^2 + 1)},$$

then $W_a(Z)$ is extremal in $Q(W_a; D, D')$, where $D' = W_a(D)$.

OSAKA CITY UNIVERSITY

References

- [1] S.B. Agard: *Distortion theorems for quasiconformal mappings*, Ann. Acad. Sci. Fenn. **413** (1968).
- [2] S.B. Agard and F.W. Gehring: *Angles and quasiconformal mappings*, Proc. London Math. Soc. (3) **14A** (1965), 1-12.
- [3] O. Lehto und K.I. Virtanen: *Quasikonforme Abbildungen*, Springer-Verlag, Berlin-Heidelberg-New York, 1965.
- [4] K. Strebel: *Zur Frage der Eindeutigkeit extremal quasikonformer Abbildungen des Einheitskreises*, Comment. Math. Helv. **36** (1962), 306-323.