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## THE ARF INVARIANT OF PROPER LINKS IN SOLID TORI

Dedicated to Professor Junzo Tao on his 60 th birthday

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Let  $L=K_1\cup\cdots\cup K_n$  be a tame oriented link with *n* components in a 3-space  $R^3$ . *L* is said to be *proper* if the linking number of a knot  $K_i$  and  $L-K_i$ , denoted by  $Link(K_i, L-K_i) (= \sum_{1 \le j \le n, j \ne i} Link(K_i, K_j))$ , is even for  $i=1, \cdots, n$ . The total linking number of *L*, denoted by Link(L), means  $\sum_{1 \le i \le n} Link(K_i, K_j)$ .

For two links  $L_1, L_2$  in  $\mathbb{R}^3[a]$ ,  $\mathbb{R}^3[b]$  respectively for a < b,  $L_1$  is said to be related to  $L_2$  (or  $L_1$  and  $L_2$  are said to be related) if there is a locally flat proper surface F of genus zero in  $\mathbb{R}^3[a, b]$  with  $F \cap \mathbb{R}^3[a] = L_1$  and  $F \cap \mathbb{R}^3[b] = -L_2$ , where  $-L_2$  means the reflective inverse of  $L_2$ .

The Arf invariant of a proper link L, denoted by  $\varphi(L)$ , is defined to that of a knot related to L which is well-defined by Theorem 2 in [4].

Let  $V^*$ , V be solid tori with longitudes  $\lambda^*$ ,  $\lambda$  respectively and  $\mu$  a meridian of  $\partial V$  in  $\mathbb{R}^3$ , where  $\lambda^*$  is a trivial knot, and  $f_m$  an orientation preserving onto homeomorphism of  $V^*$  onto V such that  $f_m(\lambda^*) = \lambda + m\mu$  for an integer m. Especially  $f_0$  is said to be *faithful*. For a link  $\ell^*$  in  $V^*$ ,  $f_m(\ell^*)$  is called a link Tcongruent to  $\ell = f_0(\ell^*)$  (in V) and denoted by  $\ell(m)$ . The winding number of  $\ell$  in Vmeans the (algebraic) intersection number of  $\ell$  and a meridian disk of V and is denoted by  $w_V(\ell)$  or simply by  $w(\ell)$ .

**Theorem 1.** Let l, l(m) and p=w(l) be those of the above. Suppose that p is odd or both p and m are even. Then l is proper if and only if l(m) is proper. Let l be a proper link.

(1) Assume that p is odd. Then  

$$\varphi(l(m)) = \varphi(l)$$
 if m is even, or m is odd and  $p=8r\pm 1$   
 $\equiv \varphi(l)+1 \pmod{2}$  if m is odd and  $p=8r\pm 3$ .  
(2) Assume that p and m are even. Then  
 $\varphi(l(m)) = \varphi(l)$  if  $p=4r$   
 $\equiv \varphi(l)+1 \pmod{2}$  if  $p=4r+2$ ,

for an integer r.

If p is even and m is odd in Theorem 1, l(m) is not always proper even though l is proper.

Let  $V_1^*, \dots, V_n^*$  be mutually disjoint solid tori in  $\mathbb{R}^3$  with cores  $c_1^*, \dots, c_n^*$  respectively such that  $\Gamma^* = c_1^* \cup \dots \cup c_n^*$  is a trivial link. An orientation preserving homeomorphism f of  $\mathbb{C}V^* = V_1^* \cup \dots \cup V_n^*$  onto  $\mathbb{C}V = V_1 \cup \dots \cup V_n$  is said to be *faithful* if  $f |_{V_i^*} : V_i^* \to V_i$  is faithful for  $i=1, \dots, n$ . For a link  $\ell^* = \ell_1^* \cup \dots \cup \ell_n^*$  in  $\mathbb{C}V^*$ , we write  $f(\ell^*)$  (or  $f(\ell_i^*)$ ) by  $\ell$ (or  $\ell_i$ ), where  $\ell_i^*$  is a link in  $V_i^*$ .

**Theorem 2.** Let  $l^*$ ,  $l = l_1 \cup \cdots \cup l_n$  and  $\Gamma = f(\Gamma^*)$  be those of the above. Suppose that  $w(l_i) \equiv w(l_j) (=p) \pmod{4}$  for  $i, j=1, \dots, n$  and  $q = Link(\Gamma)$ . If  $l^*$  and  $\Gamma$  are proper, then l is also proper and

(1)  $\varphi(l) \equiv \varphi(l^*) + \varphi(\Gamma) \pmod{2}$  if p is odd (2)  $\varphi(l) = \varphi(l^*)$  if p and q are even, or q is odd and p = 4m $\equiv \varphi(l^*) + 1 \pmod{2}$  if q is odd and p = 4m + 2

for some integer m.

**Corollary 1.** Let  $l^*$ , l,  $\Gamma$ , p and q be those of Theorem 2. If q is even, then

$$\varphi(l) \equiv \varphi(l^*) + \varphi(\Gamma) \pmod{2} \quad if \ p \ is \ odd \\ = \varphi(l^*) \qquad if \ p \ is \ even.$$

If n=1 in Theorem 2, namely  $\Gamma$  is a knot, we define that  $Link(\Gamma)=0$ . Hence we obtain the following.

**Corollary 2.** If 
$$\Gamma$$
 is a knot,  
 $\varphi(l) \equiv \varphi(l^*) + \varphi(\Gamma) \pmod{2}$  if  $p$  is odd  
 $= \varphi(l^*)$  if  $p$  is even.

**Theorem 3.** Let  $l^*$ ,  $l=l_1 \cup \cdots \cup l_n$  be those of the above. Suppose that  $w(l_i) \equiv w(l_j) (=p) \pmod{2}$  and  $Link(c_i, \Gamma - c_i) \equiv 0 \pmod{4}$  for  $i=1, \dots, n$ . If  $l^*$  is proper, then l is proper and

 $\varphi(l) \equiv \varphi(l^*) + \varphi(\Gamma) \pmod{2} \quad if \ p \ is \ odd$  $= \varphi(l^*) \qquad if \ p \ is \ even.$ 

**Theorem 4.** Let  $l = l_1 \cup \cdots \cup l_n$  and  $\Gamma$  be those of the above. If  $\Gamma$  is a boundary link and  $l_i$  is proper for  $i=1, \dots, n$ , then  $\varphi(l) \equiv \sum_{i=1}^{n} \varphi(l_i) \pmod{2}$ .

The author thanks to Doctor H. Murakami for his helpful advice.

## **Proof of Theorems.**

Lemma 1 is easily obtained by Theorem 2 in [4].

**Lemma 1.** If two proper links  $L_1$  and  $L_2$  are related, then  $\varphi(L_1) = \varphi(L_2)$ .

484

For a knot K,  $\vec{K}$  means the knot orientation reversed to K. For a 2component link  $L_0 = K_1 \cup K_2$ , let  $L'_0 = \vec{K}_1 \cup K_2$  and  $s = Link(K_1, K_2)$ .

Lemma 2 ([2]).  $V_{L'_0}(t) = t^{-3s} V_{L_0}(t)$  for Jones polynomials of  $L_0, L'_0$ .

For a link L, a relation between Jones polynomial and the Arf invariant of L is known by [3].

Lemma 3 ([3]). For a n-component link L,

$$V_{L}(\sqrt{-1}) = \begin{cases} (\sqrt{2})^{n-1} \times (-1)^{\varphi(L)} & \text{if } L \text{ is proper} \\ 0 & \text{if } L \text{ is non-proper.} \end{cases}$$

By using the above Lemmas, we prove Lemma 4 which is effective to prove Theorems 1, 2 and 3.

Let  $L=L_1 \cup L_2$  be a link, where  $L_1$ ,  $L_2$  consist of  $m_1$ ,  $m_2$  knots  $K_1$ ,  $\dots$ ,  $K_{m_1}$ ,  $K_{m_1+1}$ ,  $\dots$ ,  $K_{m_1+m_2}$  respectively. The linking number of  $L_1$  and  $L_2$ , denoted by  $Link(L_1, L_2)$ , means  $\sum_{i=1}^{m_1} \sum_{j=m_1+1}^{m_1+m_2} Link(K_i, K_j)$ . For a link  $L_1=K_1 \cup \dots \cup K_{m_1}$ , we denote that  $L_1=\overline{K_1} \cup \dots \cup \overline{K_{m_1}}$ .

**Lemma 4.** Let  $L=L_1 \cup L_2$  be a proper link and  $L'=\overline{L}_1 \cup L_2$ . Then L' is also proper and  $Link(L_1, L_2)$  is even. Moreover

$$\begin{aligned} \varphi(L') = \varphi(L) & \text{if } Link(L_1, L_2) \equiv 0 \pmod{4} \\ \equiv \varphi(L) + 1 \pmod{2} & \text{if } Link(L_1, L_2) \equiv 2 \pmod{4}. \end{aligned}$$

Proof. Let  $L_1 = K_1 \cup \cdots \cup K_{m_1}$  and  $L_2 = K_{m_1+1} \cup \cdots \cup K_{m_1+m_2}$ . As L is proper,  $Link(K_h, L-K_h) = 2r_h$  for  $K_h \subset L$  and some integer  $r_h$ . Then we see that  $Link(\bar{K}_i, L'-\bar{K}_i) = 2 (r_i - Link(K_i, L_2))$  for  $K_i \subset L_1$  and  $Link(K_j, L'-K_j) = 2 (r_j - Link(K_j, L_1))$  for  $K_j \subset L_2$  and that  $Link(L_1, L_2) = 2 (r_1 + \cdots + r_{m_1} - Link(L_1))$ . Hence L' is also proper and  $Link(L_1, L_2)$  is even.

Let  $L_0 = \kappa_1 \cup \kappa_2$  be a 2-component link related to L such that  $\kappa_1, \kappa_2$  are obtained by fusion (band sum) of  $L_1, L_2$  respectively and let  $L'_0 = \bar{\kappa}_1 \cup \kappa_2$  which is related to L'. As  $Link(\kappa_1, \kappa_2) = Link(L_1, L_2) (=s)$  is even,  $L_0$  and  $L'_0$  are proper. So by Lemma 1,  $\varphi(L_0) = \varphi(L)$  and  $\varphi(L'_0) = \varphi(L')$ . As  $Link(L_1, L_2) = Link(\kappa_1, \kappa_2) = s$ ,  $V_{L'_0}(t) = t^{-3s} V_{L_0}(t)$  by Lemma 2 and hence  $V_{L'_0}(\sqrt{-1}) = (\sqrt{-1})^{-3s} V_{L_0}(\sqrt{-1})$ . Therefore if  $s \equiv 0 \pmod{4}$ , then  $\varphi(L') = \varphi(L'_0) = \varphi(L_0) = \varphi(L)$  and if  $s \equiv 2 \pmod{4}$ , then  $\varphi(L') = \varphi(L'_0) = \varphi(L_0) + 1 \equiv \varphi(L) + 1 \pmod{2}$ .

For a link L in a solid torus V, the minimum of intersection of L and a meridian disk in V is called the *order* of L (in V) and denoted by  $o_V(L)$  or simply by o(L).

To prove Theorem 1, we prepare Lemma 5.

**Lemma 5.** Let  $\mathcal{L}_1, \mathcal{L}_2$  and  $\mathcal{L}_3, \mathcal{L}_4$  be torus links of type  $(8m \pm 1, 8m \pm 1)$ ,  $(8m \pm 3, 8m \pm 3)$  and (4m, 8m), (4m + 2, 8m + 4) for some integer m respectively.

T. SHIBUYA

Then  $\mathcal{L}_1$ ,  $\mathcal{L}_2$  and  $\mathcal{L}_3$ ,  $\mathcal{L}_4$  are proper. Furthermore if we orient  $\mathcal{L}_i$  so that  $o(\mathcal{L}_i) = w(\mathcal{L}_i)$  for each *i*, then  $\varphi(\mathcal{L}_1) = \varphi(\mathcal{L}_3) = 0$  and  $\varphi(\mathcal{L}_2) = \varphi(\mathcal{L}_4) = 1$ .

Proof. It is easily seen that  $\mathcal{L}_i$  is proper for i=1, 2, 3, 4.

Next suppose that  $o(\mathcal{L}_i) = w(\mathcal{L}_i)$  for each *i*.  $\mathcal{L}_1$  consists of  $(8m\pm 1)$ component. Let  $\mathcal{L}_{11}, \mathcal{L}_{12}$  be disjoint sublinks of  $\mathcal{L}_1$  with 4m,  $(4m\pm 1)$ -components respectively. Then  $Link(\mathcal{L}_{11}, \mathcal{L}_{12}) = 4m$   $(4m\pm 1)$ . Hence  $\varphi(\mathcal{L}_1) = \varphi$  $(\bar{\mathcal{L}}_{11} \cup \mathcal{L}_{12})$  by Lemma 4. As  $\bar{\mathcal{L}}_{11} \cup \mathcal{L}_{12}$  is related to a torus knot of type  $(\pm 1, \pm 1)$ ,  $\varphi(\mathcal{L}_1) = 0$ . By the same way as above, we see that  $\varphi(\mathcal{L}_2) = 1$ , for the Arf invariant of torus link of type  $(\pm 3, \pm 3)$  is 1.

 $\mathcal{L}_3$  consists of 4*m*-component and let  $\mathcal{L}_{31}, \mathcal{L}_{32}$  be disjoint sublinks of  $\mathcal{L}_3$  with 2*m*, 2*m*-components. Then  $Link(\mathcal{L}_{31}, \mathcal{L}_{32}) = 8m^2$ . Hence  $\varphi(\mathcal{L}_3) = \varphi(\bar{\mathcal{L}}_{31} \cup \mathcal{L}_{32})$  by Lemma 4. As  $\bar{\mathcal{L}}_{31} \cup \mathcal{L}_{32}$  is related to a trivial knot,  $\varphi(\mathcal{L}_3) = 0$ . By the same way as above, we easily see that  $\varphi(\mathcal{L}_4) = 1$ .

Proof of Theorem 1. We easily see that, when p is odd or both p and m are even, l is proper if and only if l(m) is proper.

Let *n* be o(l). Then l(m) is obtained by a fusion of l and a torus link  $\mathcal{L}_0$ of type (n, mn) split from l in V and hence l(m) is related to  $l \circ \mathcal{L}_0$ , where  $\circ$ means that l is split from  $\mathcal{L}_0$ . By the way,  $l \circ \mathcal{L}_0$  is related to  $l \circ \mathcal{L}$ , where  $\mathcal{L}$ is a torus link of type (p, mp) for  $p = w(\mathcal{L})$ . If l and l(m) are proper,  $\mathcal{L}_0$ ,  $\mathcal{L}$  are also proper and  $\varphi(l(m)) = \varphi(l \circ \mathcal{L}_0) = \varphi(l \circ \mathcal{L})$  by Lemma 1. Hence we obtain Theorem 1 by Lemma 5.

Let  $CV = V_1 \cup \cdots \cup V_n$  be the union of mutually disjoint solid tori in  $\mathbb{R}^3$  and  $\Gamma$  that of Theorem 2. For a core  $c_i$ , take a  $p_i$ -component link, denoted by  $p_i c_i$ , in  $V_i$ , each of which is parallel and homologous to  $c_i$  and non-twisted, namely  $p_i c_i$  is contained on a non-twisted annulus  $A_i$  in  $V_i$  with  $\partial A_i \supset c_i$ , in  $V_i$  for  $i=1, \dots, n$ . Especially if  $p_i = p_j (=p)$ , we denote  $pc_1 \cup \cdots \cup pc_n$  by  $p\Gamma$ .

In Lemma 6, we consider the case that p=2 which is used to prove Lemma 7.

**Lemma 6.**  $\varphi(2\Gamma) = \begin{cases} 0 & \text{if } q \text{ is even} \\ 1 & \text{if } q \text{ is odd, where } q = Link(\Gamma). \end{cases}$ 

Proof. Let  $2\Gamma = \Gamma \cup \Gamma'$ . As  $c_i \cup c'_i (\subset \Gamma \cup \Gamma')$  is non-twisted,  $Link(\Gamma, \Gamma') = 2q$ . Hence if q is even,  $\varphi(2\Gamma) = \varphi(\overline{\Gamma} \cup \Gamma')$  and if q is odd,  $\varphi(2\Gamma) \equiv \varphi(\overline{\Gamma} \cup \Gamma') + 1$  (mod 2) by Lemma 4. As  $\overline{\Gamma} \cup \Gamma'$  is related to a trivial knot, we obtain Lemma 6 by Lemma 1.

Lemma 7. If  $\Gamma$  is proper,  $p\Gamma$  is also proper and (1)  $\varphi(p\Gamma) = \varphi(\Gamma)$  if p is odd (2)  $\varphi(p\Gamma) = \begin{cases} 0 & \text{if } p \text{ and } q \text{ are even, or } q \text{ is odd and } p=4m \\ 1 & \text{if } q \text{ is odd and } p=4m+2 \end{cases}$ 

486

for some integer m and  $q=Link(\Gamma)$ . Hence if q is even,

$$\varphi(p\Gamma) = \begin{cases} \varphi(\Gamma) & \text{if } p \text{ is odd} \\ 0 & \text{if } p \text{ is even.} \end{cases}$$

Proof. As  $pc_i$  is non-twisted, we easily see that if  $\Gamma$  is proper,  $p\Gamma$  is also proper.

Lemma 7 is clear if p=0. Hence we assume that p>0. Each  $pc_i$  consists of p components, say  $c_{i_1}, \dots, c_{i_p}$ . Let  $L_1=c_{11}\cup c_{21}\cup\dots\cup c_{n1}$  and  $L_2=p\Gamma-L_1$ . Then we see that  $Link(L_1, L_2)=2(p-1)q$ .

If p is odd or q is even,  $\varphi(p\Gamma) = \varphi(L_1 \cup L_2)$  by Lemma 4. As  $L_1 \cup L_2$  is related to  $(p-2) \Gamma$ ,  $\varphi(p\Gamma) = \varphi((p-2) \Gamma)$  by Lemma 1. By doing this successively, if p is odd,  $\varphi(p\Gamma) = \varphi(\Gamma)$  and if both p and q are even,  $\varphi(p\Gamma) = \varphi(\mathcal{O}) = 0$  for a trivial knot  $\mathcal{O}$ .

Next we consider the case that q is odd and p is even. Then,

$$\varphi(p\Gamma) \equiv \varphi((p-2)\Gamma) + 1 \equiv \varphi((p-4)\Gamma) \pmod{2}$$

by Lemma 4. Hence if p=4m,  $\varphi(p\Gamma)=\varphi(\mathcal{O})=0$  and if p=4m+2,  $\varphi(p\Gamma)=\varphi(2\Gamma)=1$  by Lemma 6.

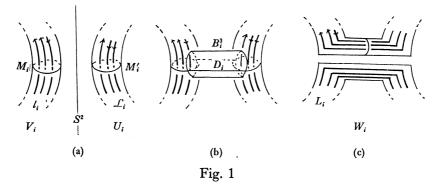
By the similar proof of Lemma 7, we obtain Lemma 8.

**Lemma 8.** Let  $p_i \equiv p_j \pmod{4}$  and  $p = Min \{p_1, \dots, p_n\}$ . Then  $\varphi(p\Gamma) = \varphi(p_1 c_1 \cup \dots \cup p_n c_n)$  for a proper link  $\Gamma = c_1 \cup \dots \cup c_n$ .

Let  $\mathcal{L}_i$  be a link in  $V_i$  with  $r_i$  components for some integer  $r_i$  such that  $\mathcal{L}_i$  is non-twisted and parallel to  $c_i$  and  $w(\mathcal{L}_i)=p_i(\leq r_i)$  for  $i=1, \dots, n$ . Then as  $\mathcal{L}=\mathcal{L}_1\cup\cdots\cup\mathcal{L}_n$  is related to  $p_1c_1\cup\cdots\cup p_nc_n$ , we obtain Lemma 9.

**Lemma 9.** If  $\Gamma$  is proper and  $p_i \equiv p_j \pmod{2}$ ,  $\mathcal{L}$  is also proper and  $\varphi(\mathcal{L}) = \varphi(p_1 c_1 \cup \cdots \cup p_n c_n)$ .

Proof of Theorem 2. Let  $\mathcal{U} = U_1 \cup \cdots \cup U_n$  be the union of mutually disjoint solid tori in  $\mathbb{R}^3$  with core  $-\Gamma = (-c_1) \cup \cdots \cup (-c_n)$ , the reflective inverse of  $\Gamma$ , split from  $\mathcal{V}$  by a 2-sphere  $S^2$  and symmetric with respect to  $S^2$ . For  $\ell = \ell_1 \cup \cdots \cup \ell_n$  in  $\mathcal{V}$ , let  $\tilde{\mathcal{L}}_i$  be a link with  $r_i (=o(\ell_i))$  components in  $U_i$  such that  $\tilde{\mathcal{L}}_i$  is non-twisted and parallel to  $-c_i$  and  $w(\tilde{\mathcal{L}}_i) = p_i(=w(\ell_i)), i=1, \cdots, n$ . Attach a 3-ball  $B_i^3$  to  $V_i \cup U_i$  such that  $V_i \cup U_i \cup B_i^3$  is symmetric with respect to  $S^2$ , Fig. 1(b) for each *i*. Let  $M_i, M'_i$  be meridian disks of  $V_i, U_i$  respectively such that  $\sharp(\ell_i \cap M_i) = \sharp(\tilde{\mathcal{L}}_i \cap M'_i) = p_i$  and  $M_i \cap B_i = \partial M_i \cap \partial B_i (= \{\text{an arc } \alpha_i\}), M'_i \cap B_i = \partial M'_i \cap \partial B_i (= \{\text{an arc } \beta_i\})$ , where  $\sharp(X)$  means the number of points of X, see Fig. 1(a). Let  $D_i$  be a proper non-twisted disk in  $B_i$  with  $\partial D_i \supset \alpha_i \cup \beta_i$  and  $\Delta_i = M_i \cup M'_i \cup D_i$ . For each *i*, perform the fusion of  $\ell_i \circ \tilde{\mathcal{L}}_i$  along  $\Delta_i$  and we obtain a link  $L_i$  which is contained in a solid torus  $W_i = \overline{V_i \cup U_i \cup B_i} - \Delta_i \times [-\mathcal{E}, \mathcal{E}]$ 



for a small positive number  $\mathcal{E}$ , Fig. 1(c). Then  $\mathcal{W}=W_1\cup\cdots\cup W_n$  is the union of disjoint solid tori which is symmetric with respect to  $S^2$  by the construction. So the core of  $\mathcal{W}$  is cobordant to zero by [1] and hence  $L=L_1\cup\cdots\cup L_n$  is cobordant to  $L^*=L_1^*\cup\cdots\cup L_n^*$  by [5], [6] for a faithful homeomorphism  $f_0$  of  $\mathcal{W}^*$ onto  $\mathcal{W}$ , where  $L=f_0(L^*)$ . As  $\tilde{\mathcal{L}}_i$  is non-twisted,  $L^*$  is ambient isotopic to  $\ell^*$ . As L is cobordant to  $\ell^*$  and  $\ell^*$  is proper, L is also proper. Moreover as  $\Gamma$  is proper,  $\tilde{\mathcal{L}}=\tilde{\mathcal{L}}_1^{-}\cup\cdots\cup\tilde{\mathcal{L}}_n^{-}$  is proper. Hence we easily see that  $\ell$  is also proper. As L and  $\ell \circ \tilde{\mathcal{L}}$  are related,

$$\varphi(\ell) + \varphi(\mathcal{L}) \equiv \varphi(L) = \varphi(L^*) = \varphi(\ell^*) \pmod{2}.$$

So we obtain Theorem 2 by Lemmas 7, 8 and 9.

REMARK 1. In Theorem 2, if we replace the condition " $p_i \equiv p_j \pmod{4}$ " by " $p_i \equiv p_j \pmod{2}$ ", the conclusion is not true. For example, we consider the links  $\Gamma, \ell$  illustrated in Fig. 2. Then  $\varphi(\Gamma)=0$  and  $\varphi(\ell)=1$ , hence  $\varphi(\ell) \equiv \varphi(\ell^*) + \varphi(\Gamma) \pmod{2}$ .

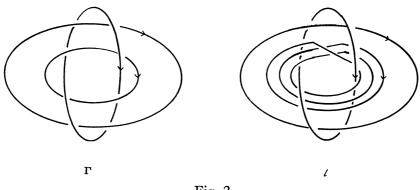


Fig. 2

Proof of Theorem 3. As  $Link(c_i, \Gamma - c_i) \equiv 0 \pmod{4}$ ,  $Link(c_i, \Gamma - c_i) = 4r_i$ for some integer  $r_i$  for each i. Then  $2Link(\Gamma) = \sum_{i=1}^{n} Link(c_i, \Gamma - c_i) = 4(r_1 + \dots + 1)$   $r_n$ ). Hence  $Link(\Gamma)$  is even. Therefore we obtain Theorem 3 by Lemma 7 and the proof of Theorem 2.

REMARK 2. The link in Fig. 2 is an example that the conclusion of Theorem 3 is not true if we replace that " $Link(c_i, \Gamma - c_i) \equiv 0 \pmod{4}$ " by " $Link(c_i, \Gamma - c_i) \equiv 0 \pmod{2}$ ".

EXAMPLE 1. Let  $\Gamma$ ,  $\ell$  be links illustrated in Fig. 3. As  $Link(\Gamma)=3$  and  $\ell^*$  is a trivial link,  $\varphi(\ell)=\varphi(\ell^*)+1=1$  by Theorem 2.

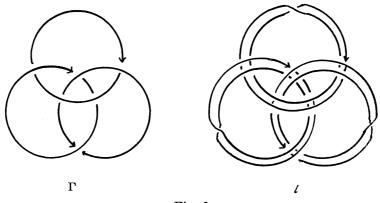


Fig. 3

EXAMPLE 2. Let l be a link illustrated in Fig. 4. As  $\Gamma$  is the Whitehead link,  $Link(\Gamma)=0$  and  $\varphi(\Gamma)=1$  and  $l^*$  is a trivial link. Hence  $\varphi(l)\equiv\varphi(l^*)+\varphi(\Gamma)$ =1 by Theorem 3.

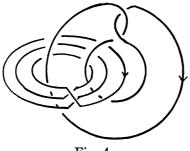


Fig. 4

Proof of Theorem 4. For a proper link  $l_i$  in  $V_i$ , let  $k_i$  be a knot obtained by a fusion of  $l_i$  in  $V_i$  for each *i*. As  $l_i$ , *l* are related to  $k_i$ ,  $l_0 = k_1 \cup \cdots \cup k_n$  respectively,  $\varphi(l_i) = \varphi(k_i)$  and  $\varphi(l) = \varphi(l_0)$  by Lemma 1. Furthermore as  $\Gamma$  is a boundary link, there are mutually disjoint surfaces  $\mathcal{F} = F_1 \cup \cdots \cup F_n$  with  $\partial \mathcal{F} = l_0$ ,  $\partial F_i = k_i$ . Then  $\varphi(l_0) = \sum_{i=1}^n \varphi(k_i) \pmod{2}$  by Theorem 3 in [4]. Hence we obtain that T. SHIBUYA

$$\varphi(\ell) = \varphi(\ell_0) \equiv \sum_{i=1}^n \varphi(k_i) \equiv \sum_{i=1}^n \varphi(\ell_i) \pmod{2}$$
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