



## Seed dormancy in *Arabidopsis thaliana* is controlled by alternative polyadenylation of DOG1

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Alternative polyadenylation controls seed dormancy

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Research Area:  
Genes, Development, and Evolution  
Systems and Synthetic Biology

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**Seed dormancy in *Arabidopsis thaliana* is controlled by alternative polyadenylation of *DOG1***

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One-sentence summary:

*DOG1* is alternatively polyadenylated and proximally polyadenylated *DOG1* is required for seed dormancy.

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97 **Abstract**

98

99 DOG1 is a key regulator of seed dormancy in Arabidopsis and other plants.

100 Interestingly, the C-terminus of DOG1 is either absent or not conserved in many plant

101 species. Here, we show that in Arabidopsis, *DOG1* transcript is subject to alternative

102 polyadenylation. In line with this, mutants in RNA 3' processing complex display

103 weakened seed dormancy in parallel with defects in *DOG1* proximal polyadenylation

104 site selection, suggesting that the short *DOG1* transcript, is functional. This is

105 corroborated by the finding that the proximally polyadenylated short *DOG1* mRNA is

106 translated *in vivo* and complements the *dog1* mutation. In summary, our findings

107 indicate that the short DOG1 protein isoform produced from the proximally

108 polyadenylated *DOG1* mRNA is a key player in the establishment of seed dormancy

109 in Arabidopsis and characterize a set of mutants in RNA 3' processing complex

110 required for production of proximally polyadenylated functional *DOG1* transcript.

111

112

## 113 INTRODUCTION

114 The ability to postpone germination, to align it with permissive environmental  
115 conditions, greatly enhances the chances of plants survival (Koorneef et al., 2002;  
116 Penfield and King, 2009). Therefore, seed dormancy is extensively controlled by  
117 many factors including: humidity, temperature and light, and is also subject to  
118 parental memory (Chiang et al., 2011; Finch-Savage and Leubner-Metzger, 2006;  
119 Graeber et al., 2012; Nonogaki, 2014). *DOG1* (Delay of Germination 1) has been  
120 identified as a major QTL (Quantitative Trait Locus) for seed dormancy variability  
121 among natural *Arabidopsis* accessions and *dog1* T-DNA insertional mutants exhibit  
122 reduced seed dormancy (Bentsink et al., 2006). The expression of *DOG1* increases  
123 during seed maturation and the mRNA disappears quickly after imbibition, although  
124 the *DOG1* protein is more stable (Nakabayashi et al., 2012). A recent report indicated  
125 that *DOG1* enforces seed dormancy by strengthening the seed coat, which occurs  
126 via modulation of the expression of gibberellin metabolism genes (Graeber et al.,  
127 2014). The expression of *DOG1* is extensively regulated, being strongly induced by  
128 ABA (Abscisic acid) and low temperature during seed maturation (Chiang et al.,  
129 2011; Kendall et al., 2011). Other factors required for *DOG1* expression include  
130 histone-modifying enzymes such as the histone H2B ubiquitin transferase HUB (Liu  
131 et al., 2007) and H3 lysine 9 methyl transferase KYP (Zheng et al., 2012). *DOG1*  
132 expression is also highly dependent on transcription elongation factor TFIIS (Grasser  
133 et al., 2009).

134 The *DOG1* gene is comprised of 3 exons, and the exon 2-exon 3 junction is  
135 subject to alternative splicing, generating 4 different forms of mRNA (Bentsink et al.,  
136 2006). The function of these alternatively spliced transcript isoforms is unknown, and  
137 their relative ratio remains unchanged during seed development (Bentsink et al.,  
138 2006). Our recent analysis of the regulation of alternative splicing of *DOG1* in a  
139 mutant defective in PolIII elongation suggested that the rate of transcript elongation  
140 regulates alternative splice site selection in accordance with the kinetic coupling  
141 model and implies that *DOG1* splicing is co-transcriptional (Dolata et al., 2015).

142 To our knowledge complementation of the *dog1* mutation in *Arabidopsis* has  
143 not been achieved using a *DOG1* cDNA, while the seed dormancy phenotype of this  
144 mutant was complemented using a genomic *DOG1* clone from *Lepidium sativum* (*L.*  
145 *sativum*) (Graeber et al., 2014). Notably, the *L. sativum* *DOG1* gene lacks the exon 3,

146 so can only encode a short two-exonic mRNA, with no alternatively spliced exon 2-  
147 exon 3 isoforms.

148 Many alternatively spliced genes are also subject to alternative  
149 polyadenylation (APA) (Di Giammartino et al., 2011). Alternative polyadenylation  
150 leads to the generation of transcripts with different 3' ends, through a series of steps  
151 catalyzed by components of RNA 3' processing complexes, like: the Cleavage and  
152 Polyadenylation Specificity Factor (CPSF) and Cleavage Stimulation Factor (CstF)  
153 complexes, and poly(A) polymerases (Gruber et al., 2014; Proudfoot, 2011; Mandel  
154 et al., 2008). APA is common and widespread in animals, plants and other eukaryotic  
155 organisms (Tian et al., 2005; Pickrell et al., 2010; Sun et al., 2012; Wu et al., 2015;  
156 Shi, 2012). In animals APA is involved in a range of developmental processes  
157 including cell differentiation and has been implicated in cancer (Danckwardt et al.,  
158 2008; Mayr and Bartel, 2009; Lianoglou et al., 2013; Lin et al., 2012). Similarly, in  
159 plants APA has been shown to control key developmental processes.

160 In one case, the nuclear RNA-binding protein FCA interacts with FY, a  
161 component of CPSF complex, to promote the usage of a proximal polyadenylation  
162 site in its own gene, leading to the production of a non-functional RNA isoform  
163 (Simpson et al., 2003). In addition, FCA functions with CstF complex to promote  
164 proximal polyadenylation of the non-coding antisense transcript of *FLC* (Flowering  
165 Locus C), leading to suppression of *FLC* expression (Liu et al., 2010). Alternative  
166 polyadenylation has also been implicated in the control of pathogen resistance in  
167 Arabidopsis through the selection of proximal polyadenylation sites in the *RPP7*  
168 (Recognition Of Peronospora Parasitica 7) gene (Tsuchiya and Eulgem, 2013).

169 Given the key function of *DOG1* in Arabidopsis seed survival and the potential  
170 role of *DOG1* homologs in controlling seed dormancy in other species, it is important  
171 to understand both the mechanisms of *DOG1* locus regulation and the function of the  
172 encoded protein. Here we describe the process of *DOG1* alternative polyadenylation  
173 that produce two alternatively polyadenylated isoforms of the *DOG1* transcript. We  
174 characterize mutants in proteins that control this mechanism, and show that the  
175 proximally polyadenylated mRNA isoform is translated *in vivo*, and is functional as it  
176 complements the *dog1* mutant. Demonstrating that alternative polyadenylation of  
177 *DOG1* gene in Arabidopsis plays a fundamental role in regulation of seed dormancy.

178 **RESULTS**

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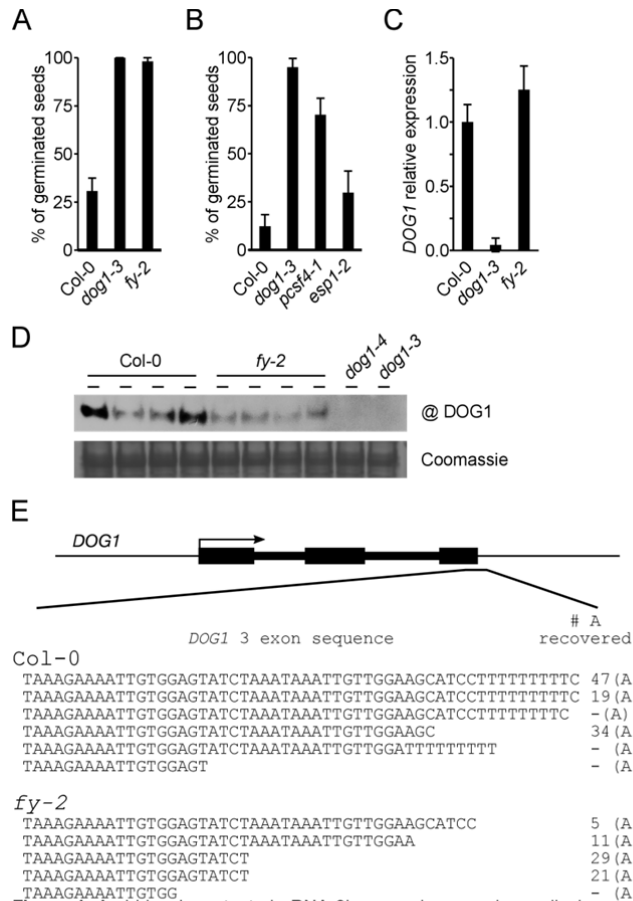
180 **Mutants of RNA 3' processing factors display weak seed dormancy**  
181 **phenotype**

182 The majority of Arabidopsis genes have multiple polyadenylation sites (Wu et  
183 al., 2011), but the biological consequences of the alternative polyadenylation are in  
184 most cases unknown. FY is the Arabidopsis homologue of the yeast RNA 3'  
185 processing factor *Pfs2p* (Simpson et al., 2003). A previous study demonstrated that  
186 the *fy-1* mutant in Landsberg erecta (Ler) background has weak seed dormancy  
187 (Jiang et al., 2012). To check if the function of FY in seed dormancy control is  
188 independent of genetic background, we assayed seed dormancy of *fy-2* mutant in  
189 Col-0 background. Compared to the Col-0 wild type, *fy-2* seeds showed very weak  
190 dormancy (Figure 1A) demonstrating that FY plays a significant role in controlling this  
191 process in Arabidopsis. Since FY functions with other RNA 3' processing factors in  
192 flowering time control (Liu et al., 2010; Manzano et al., 2009), we analyzed seed  
193 dormancy of the mutants of RNA 3' processing factors PCFS4 (PCF11P-Similar  
194 Protein 4) and ESP1 (Enhanced Gene Silence 1). Like *fy* mutants, the *pcsf4-1* and  
195 *esp1-2* mutants showed weakened seed dormancy (Figure 1B), suggesting that the  
196 observed seed dormancy defect is caused by misregulation of RNA 3' processing of  
197 a gene or genes involved in seed dormancy control.

198 Next, we examined whether the weak seed dormancy phenotype of *fy-2* may  
199 be due to misregulation of *DOG1* transcription. RT-qPCR (reverse transcription and  
200 quantitative PCR) analysis revealed no significant change of *DOG1* mRNA level in *fy-*  
201 *2* mutant (Figure 1C). However, western blot analysis using a *DOG1*-specific  
202 antibody revealed a consistent reduction in the *DOG1* protein level in *fy-2* seeds  
203 when compared with Col-0 wild type (*Wt*) (Figure 1D and Supplemental Figure S1).  
204 Thus, we concluded that FY is required for proper *DOG1* protein expression and  
205 hypothesized that the *fy-2* mutant may be defective in *DOG1* RNA processing, which  
206 leads to the suppression of translation. Therefore, we searched for potential *DOG1*  
207 polyadenylation defects in *fy-2*. Surprisingly, 3' RACE experiments initiated from exon  
208 3 of *DOG1* showed no obvious differences in either polyadenylation site selection or  
209 the length of the poly(A) tail, between Col-0 wild type and *fy-2* seeds (Figure 1E).

210





**Figure 1.** Arabidopsis mutants in RNA 3' processing complexes display weak seed dormancy, unchanged *DOG1* expression and a low *DOG1* protein level.

**(A)** Germination efficiency (%) of freshly harvested seeds of *fy-2* mutant. The graph shows the mean values from at least four biological replicates, with error bars representing SD. Each experiment was repeated at least three times. *dog1-3* mutant shown as positive control.

**(B)** Germination efficiency (%) of freshly harvested seeds of RNA 3' processing mutants. The graph shows the mean values from at least four biological replicates, with error bars representing SD. Each experiment was repeated at least three times.

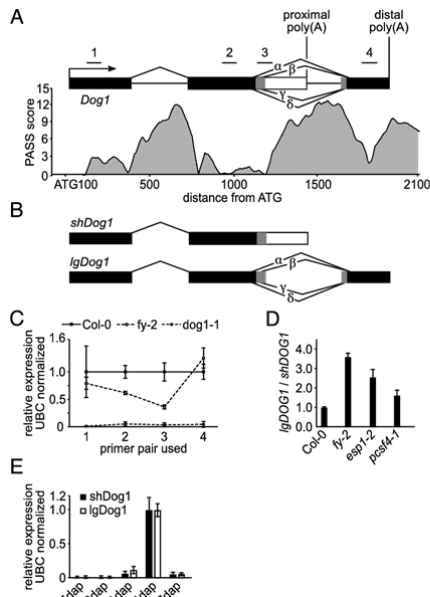
**(C)** Relative expression level of *DOG1* in freshly harvested seeds from Col-0, *dog1-3* and *fy-2* plants determined by RT-qPCR. Values were normalized to the level of *UBC* mRNA and are the means of at least three biological replicates, with error bars representing SD.

**(D)** The *fy-2* mutant exhibits a decreased level of *DOG1* protein in seeds. The *DOG1* protein level was determined by western blotting in Col-0, *fy-2*, *dog1-3* and *dog1-4* mutant seed extracts using a polyclonal *DOG1* antibody. The Coomassie blue-stained gel is shown as a loading control. Four biological replicates were analyzed for Col-0 and *fy-2* plants.

**(E)** 3' RACE initiated within *DOG1* exon3 reveals no clear defects in transcription termination. RACE was performed using RNA isolated from freshly harvested seeds of Col-0 and *fy-2* lines. The alignment shows the 3' end sequence of individual clones with the number of adenines (A) attached for each clone.

211 **Alternative polyadenylation of *DOG1* gene results in production of two**  
 212 **mRNA isoforms**

213 As we were unable to detect any defect in the use of the canonical  
 214 polyadenylation site of *DOG1* in the *fy* mutant, we searched for additional



**Figure 2.** The *DOG1* transcript is alternatively polyadenylated.

(A) Polyadenylation site prediction along the *DOG1* gene performed with PASPA software. Diagram of *DOG1* gene structure with alternatively spliced isoforms of intron 2 labeled as alpha, beta, gamma and delta, plus the position of the alternative polyadenylation site. Lines above represent the fragments amplified in RT-qPCR analysis using the numbered primer sets. Below shown in scale with the *DOG1* gene structure is the diagram of the quality score representing the likelihood of a polyadenylation site predicted by PASPA software.

(B) Schematic presentation of two alternatively polyadenylated *DOG1* mRNA isoforms.

(C) RT-qPCR along the *DOG1* gene to examine the expression of the different mRNA isoforms in *Col-0*, *fy-2* and *dog1-3* seeds. Values were normalized to the level of *UBC* mRNA. Expression in mutants is normalized to the *Col-0* value. Data represent the means of three biological replicates; error bars show SD.

(D) Long *DOG1*/short *DOG1* ratio in *Col-0* and mutants of RNA 3' processing factors. RT-qPCR results were normalized to the level of *UBC* mRNA, and the expression in mutants is then normalized to the *Col-0* value. Data represent the means of three biological replicates with error bars showing SD.

(E) Alternative *DOG1* polyadenylation site selection during seed development. RT-qPCR specific for *shDOG1* and *lgDOG1* was used to measure relative levels of each mRNA isoform. Manually pollinated flowers were collected at different days after pollination (dap). Values were normalized to the level of *UBC* mRNA. Data represent the means of three biological replicates with error bars showing SD.

215 polyadenylation sites within this gene. The most common polyadenylation signal  
 216 motif in plants is AAUAAA and UUGUUU positioned 19 and 7 nt respectively  
 217 upstream of the cleavage site (Sherstnev et al., 2012). The identification of likely  
 218 polyadenylation sites with PASPA (Ji et al., 2015), a software designed for mRNA

219 polyadenylation site prediction in plants, revealed three potential polyadenylation  
220 clusters in the *DOG1* gene. One cluster corresponds to the predicted full length  
221 polyadenylation site of *DOG1*, and the two others to additional internal  
222 polyadenylation sites: one in intron 1 and another in intron 2 (Figure 2A). To validate  
223 these predictions, we reanalyzed published Direct RNA Sequencing based mapping  
224 data to detect Arabidopsis polyadenylation sites in the *DOG1* locus (Supplemental  
225 Figure S2) (Sherstnev et al., 2012). We identified two distinct polyadenylation  
226 clusters: a distal one used to produce the predicted full-length mRNA, which is  
227 named hereafter as the long *DOG1* (*lgDOG1*) form, and a proximal one matching one  
228 of the predicted internal polyadenylation sites located in intron 2 that would produce a  
229 truncated mRNA, which we name short *DOG1* (*shDOG1*). The second predicted  
230 internal polyadenylation site lies within intron 1, but analysis of RNA sequencing data  
231 revealed no indication of its usage (Supplemental Figure S2).

232 In summary, the *lgDOG1* transcript is comprised of three exons and  
233 corresponds to the previously described Arabidopsis *DOG1* mRNA (Bentsink et al.,  
234 2006), whereas the newly identified *shDOG1* transcript comprises only exons 1 and  
235 2, and therefore lacks the alternative splicing isoforms described (Figure 2B).

236 Next, to quantify the different *DOG1* mRNA isoforms in Col-0 wild type and *fy-*  
237 *2* mutant seeds we designed sets of primers within the *DOG1* locus for use in RT-  
238 qPCR analysis. Amplification with primer set 4 showed no difference in the level of  
239 *lgDOG1* mRNA between *fy-2* and wild type seeds (Figure 2A and Figure 2C). In  
240 contrast, use of primer set 3 demonstrated a clear reduction of the *DOG1* transcript in  
241 *fy-2* seeds. The primers of set 3 span the exon 2-intron 2 border that is specific for  
242 the short alternatively polyadenylated *shDOG1* mRNA isoform. Therefore, this result  
243 suggested a reduction in usage of the proximal polyadenylation site in the *fy-2*  
244 mutant. To confirm this, we designed primers to amplify the full-length short and long  
245 *DOG1* transcript isoforms and obtained similar results (Supplemental Figure S3).

246 Different mRNA isoforms generated through APA often differ in their stability  
247 (Krol et al., 2015). However, a cordycepin-dependent RNA stability assay (Golisz et  
248 al., 2013) showed that both the short and long *DOG1* mRNA isoforms have a similar  
249 half-life of about 1 h (Supplemental Figure S4). This shows that usage of *DOG1*  
250 proximal polyadenylation site leads to the production of a stable transcript.

251 A change in the long/short *Dog1* transcript ratio, similar to that observed in *fy-*  
252 *2*, was also detected in mutants of other factors required for RNA 3' processing,

253 which showed weak seed dormancy (Figure 2D and Figure 1B). This finding led us to  
254 speculate that the reduction in the *shDOG1* mRNA level in these mutants, may be  
255 the underlying cause of their reduced seed dormancy.

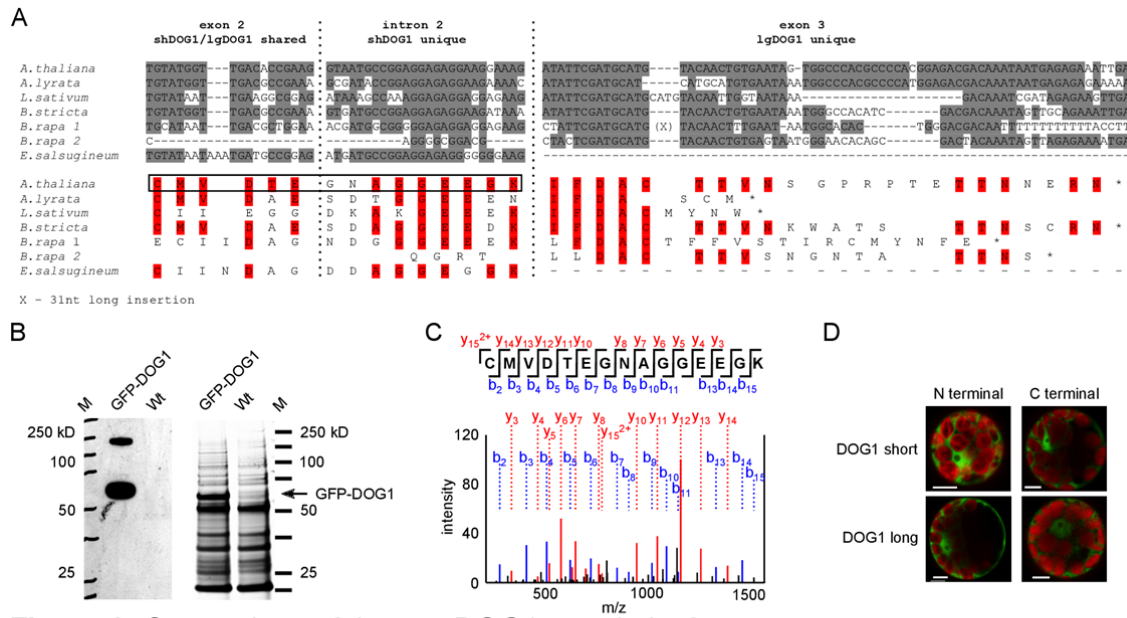
256 Having established that the *DOG1* gene is subjected to alternative  
257 polyadenylation, we questioned whether this process is developmentally regulated.  
258 *DOG1* expression is tightly controlled during seed development and has previously  
259 been shown to peak between 9-16 days after pollination and then slowly decay  
260 (Nakabayashi et al., 2012; Zhao et al., 2015). Alternative splicing of the *IgDOG1*  
261 mRNA isoform has been reported to be unaffected during seed development  
262 (Bentsink et al., 2006). Our analysis of short and long *DOG1* mRNA levels showed  
263 that both forms are induced during seed development and show a similar expression  
264 profile (Figure 2E).

265

### 266 **Short *DOG1* mRNA is translated *in vivo* and produce a conserved protein**

267 The previously recognized long *DOG1* mRNA transcript (*IgDOG1*) encodes a  
268 protein of ~32 kD. The proximally polyadenylated *shDOG1* transcript described in this  
269 study codes for a slightly smaller ~30 kD protein. These short and long *DOG1*  
270 proteins only differ by several amino acids at their C-terminal ends, encoded by exon  
271 3 in *IgDOG1* and a sequence from intron 2 in *shDOG1* (Figure 3A). Interestingly, a  
272 multiple sequence alignment with *DOG1* proteins from other species showed that the  
273 sequence unique to *shDOG1* is conserved at a similar level compared to that  
274 encoded by exon 2, while the amino acids unique to *IgDOG1* (exon 3) are either  
275 absent due to stop codons or are weakly conserved (Figure 3A). Evolutionary  
276 conservation is often considered an indication of functionality, suggesting that the  
277 *shDOG1* protein is functional.

278 Next, we determined which of the *DOG1* mRNA isoforms is translated. *DOG1*  
279 antibodies used previously and that described here do not distinguish the long and  
280 short *DOG1* proteins because they were raised to peptides shared by the two  
281 isoforms (Nakabayashi et al., 2012). In addition, the small difference in protein mass  
282 does not allow distinction between the *shDOG1* and *IgDOG1* proteins on a western  
283 blot: only one *DOG1* band is observed in samples from freshly harvested seeds  
284 (Figure 1D). Therefore, we created a *GFP::DOG1* genomic fusion driven by the  
285 *DOG1* promoter. Samples from transgenic plants expressing this fusion protein were  
286 used in a GFP pull-down assay (Figure 3B) with subsequent analysis by mass



**Figure 3.** Comparison of the two DOG1 protein isoforms  
**(A)** Alignment of *DOG1* genomic sequences from selected plant species used to construct the protein sequence alignment beneath. Nucleotides and amino acids shared with *A. thaliana* are highlighted by grey and red shading, respectively. Black box highlights peptide unique to shDOG1 identified below.

**(B)** Purification of DOG1 from green siliques extract of plants expressing a GFP-DOG1 fusion under the control of the native promoter and Col-0 (Wt) plants, using GFP Nano-trap beads. Isolated proteins were analysed with western blot using GFP specific antibodies (left panel) and on a SDS-PAGE gel followed by silver staining (right panel). GFP-DOG1 band is marked with an arrow.

**(C)** Purified proteins were subjected to mass spectrometry analysis. Short DOG1 specific peptide fragmentation with MS spectra of fragments derived, shown as intensity (Y-axis) sorted by mass-to-charge ratio (m/z) shown on X-axis.

**(D)** Short and long DOG1 show nuclear localization. Arabidopsis protoplasts were transformed with constructs encoding N- and C- terminal fusions of GFP with long and short DOG1, then analyzed using a confocal microscope. The green colour represents GFP and red the chlorophyll signal.

287 spectrometry. Notably, no long DOG1-specific peptides were identified, but we could  
 288 clearly detect peptides unique to the shDOG1 protein (Figure 3C and Supplementary  
 289 Figure S5). Although this does not exclude the possibility that the long DOG1 form is  
 290 expressed but not detected, this result clearly showed that use of the proximal

291 polyadenylation site leads to the production of a short *DOG1* mRNA that is translated  
292 *in vivo*.

293 A previous study examining Arabidopsis lines constitutively overexpressing  
294 *DOG1* suggested nuclear localization of this protein (Nakabayashi et al., 2012).  
295 However, this experiment did not distinguish between the short and long *DOG1*  
296 forms. Therefore, we compared the localization of these *DOG1* proteins. Both N- and  
297 C-terminal GFP fusions of the *shDOG1* and *IgDOG1* protein showed predominantly  
298 nuclear localization when transiently expressed in Arabidopsis protoplasts (Figure  
299 3D).

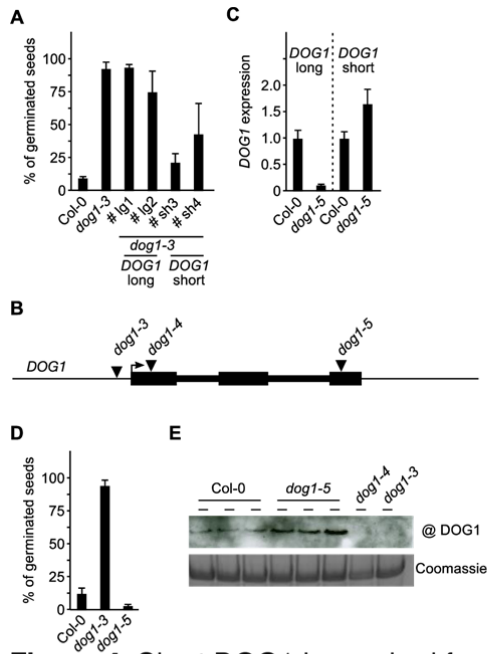
300 We conclude that the short alternatively polyadenylated *DOG1* mRNA isoform  
301 is translated *in vivo*, thus producing a short nuclear localized *DOG1* protein. The fact  
302 that the *shDOG1* is conserved whereas the *IgDOG1* is less together with our inability  
303 to find long *DOG1* specific peptides suggested that the *shDOG1* maybe the  
304 functional *DOG1* protein in respect to seed dormancy.

305

### 306 **Short *DOG1* is sufficient to inhibit germination**

307 To directly test the ability of the short and long *DOG1* proteins to control seed  
308 dormancy in Arabidopsis we created *dog1-3* mutant plants with constructs carrying  
309 the *shDOG1* and *IgDOG1* sequences driven by the *DOG1* promoter (Figure 4A). Only  
310 the *shDOG1* construct was able to partially complement the weak seed dormancy  
311 phenotype of *dog1-3* (Figure 4A), even though both showed a similar *DOG1*  
312 expression as measured by qRT-PCR (Supplementary Figure S6). This indicated that  
313 *shDOG1* protein is sufficient for seed dormancy establishment. To further support this  
314 conclusion we selected a *dog1-5* T-DNA insertion mutant allele. In this mutant a T-  
315 DNA is inserted in exon 3 of the *DOG1* gene (Figure 4B). RT-qPCR analysis with  
316 primers located at the exon 2-exon 3 junction, upstream of the T-DNA insertion,  
317 confirmed that *IgDOG1* is no longer expressed in this mutant (Figure 4C). In contrast,  
318 levels of the *shDOG1* transcript appear slightly increased in *dog1-5*. Accordingly, the  
319 *dog1-5* mutant exhibited an increase in the *DOG1* protein level, and increased rather  
320 than reduced seed dormancy (Figure 4D and Figure 4E). These findings confirmed  
321 that the short version of the *DOG1* transcript generated through APA is translated  
322 and functional in controlling seed dormancy.

323



**Figure 4.** Short *DOG1* is required for seed dormancy.

**(A)** Germination rate (%) of freshly harvested seeds of the *dog1-3* mutant and *dog1-3* complemented with long (# lg1, # lg2) and short (# sh3, # sh4) versions of the *DOG1* gene controlled by its native promoter. Data represent the means of at least 4 biological replicates with error bars showing SD.

**(B)** Schematic description of *DOG1* mutants: *dog1-3* (SALK\_000867), *dog1-4* (SM\_3\_20886) and *dog1-5* (SALK\_022748).

**(C)** Relative expression level of long and short *DOG1* mRNAs in freshly harvested seeds from Col-0 and *dog1-5* plants determined by RT-qPCR. Values were normalized to the level of *UBC* mRNA. Expression in the mutant is normalized to the Col-0 value. Data represent the means of three biological replicates; error bars show SD.

**(D)** Germination rate (%) of freshly harvested seeds of *dog1* mutants. The graph shows the means from three biological replicates, with error bars showing SD. The experiment was repeated at least three times.

**(E)** Western blot analysis of the *DOG1* protein level in freshly harvested seeds from Col-0, *dog1-5*, *dog1-4* and *dog1-3* plants. The Coomassie blue-stained gel is shown as a loading control. Three biological replicates were examined for Col-0 and *dog1-5* plants.

## 325 **DISCUSSION**

326 Alternative polyadenylation is widespread in eukaryotes. Whole genome  
327 polyadenylation site mapping has revealed that the vast majority of Arabidopsis  
328 genes harbour alternative polyadenylation sites, but the biological significance of this  
329 phenomenon is mostly unknown. In this study, we have shown that the Arabidopsis  
330 *DOG1* gene is subjected to alternative polyadenylation. The proximally  
331 polyadenylated short *DOG1* transcript is translated and functional, in contrast to the  
332 long *DOG1*. Our data clearly demonstrate that expression of the short *DOG1* isoform  
333 is sufficient to promote seed dormancy, thus providing an example where alternative  
334 polyadenylation is important in seed development.

335

### 336 ***DOG1* mRNA is alternatively polyadenylated**

337 *DOG1* is a major QTL for seed dormancy in Arabidopsis and an important  
338 regulator of this process in agriculturally important plants. Here, we show that the  
339 Arabidopsis *DOG1* gene produces two alternatively polyadenylated RNAs. The  
340 production of the short isoform is sensitive to mutations in RNA 3' processing  
341 complex components. RNA 3' processing complex mutants with defects in selection  
342 of the proximal polyadenylation site on *DOG1* show weak seed dormancy,  
343 suggesting that the proximal polyadenylation is required for *DOG1* function. This is  
344 corroborated by the finding that the RNA 3' processing mutant *fy-2* displays low  
345 *DOG1* protein levels, but no change, or increase, in the level of the full-length *DOG1*  
346 transcript. Taken together, these data indicate that the short alternatively  
347 polyadenylated *DOG1* isoform is functional in seed dormancy regulation. The most  
348 well characterized, developmental processes that involve a critical change in the  
349 polyadenylation site, is the global switch to the usage of proximal polyadenylation  
350 sites observed during mammalian brain development or in tumors, which has been  
351 proposed to cause a release from miRNA mediated control due to the loss of miRNA  
352 binding sites (Elkon et al., 2013; Di Giammartino et al., 2011). This is unlikely to be  
353 the underlying reason for the switch in polyadenylation site of *DOG1* since there is no  
354 dramatic difference in RNA stability between the long and short *DOG1* isoforms.

355

### 356 **Short *DOG1* protein is functional in seed dormancy establishment**



357 A reanalysis of published data together with our results show that the *DOG1*  
358 locus produces two alternatively polyadenylated forms of *DOG1* mRNA. Interestingly,  
359 the *DOG1* genes in *A. lyrata* and *L. sativum*, two species quite closely related to  
360 Arabidopsis, harbour mutations, including stop codons in exon 3, that encodes the  
361 specific C-terminal region of the long *DOG1* isoform. This shows that these species  
362 express only the short *DOG1* protein isoform encoded by exons 1 and 2 plus part of  
363 intron 2.

364 Our complementation analysis demonstrated that while the long *DOG1* is  
365 unable to complement the *dog1-3* mutation, the short version of *DOG1* is functional.  
366 In addition, we identified a novel mutant *dog1-5*, carrying a T-DNA insertion in exon  
367 3, a region that is only present in *lgDOG1*. This mutant lacks the long version of the  
368 *DOG1* transcript but it expresses the proximally polyadenylated *shDOG1* at higher  
369 level than wild type and exhibits stronger seed dormancy. This confirms that the  
370 *shDOG1* isoform produced by alternative polyadenylation in intron 2 is sufficient for  
371 seed dormancy.

372 The fact that the *fy-2* mutant shows a lower level of the *DOG1* protein without  
373 any reduction in the *lgDOG1* mRNA strongly suggests that this transcript does not  
374 produce a protein in this mutant. Examination of currently available proteomic  
375 datasets revealed *DOG1* peptides. However all of them correspond to *DOG1* exons 1  
376 and 2, and none are specific to either the short or long *DOG1* protein isoforms  
377 (Baerenfaller et al., 2008; Castellana et al., 2008). Nevertheless, using targeted mass  
378 spectrometry analysis of immunoprecipitated *DOG1*-GFP, *shDOG1*-specific peptides  
379 were clearly detectable, but we did not identify peptides specific for *lgDOG1*.

380 The short *DOG1* protein can be derived either through the use of a proximal  
381 polyadenylation site or by splicing of the long *DOG1* transcript at an alternative splice  
382 site (*DOG1*-beta mRNA isoform) (Bentsink et al., 2006). Analysis of splice sites in the  
383 *DOG1* gene reveals weak conservation of the exon 3 acceptor splice site used by the  
384 *DOG1*-beta mRNA isoform. This, together with the strong *DOG1* protein signal in  
385 mutant *dog1-5* that lacks the *lgDOG1* mRNA isoforms, suggests that the *shDOG1*  
386 protein is predominantly produced from the proximally polyadenylated short *DOG1*  
387 transcript isoform.

388 The function of the long *DOG1* transcript and *lgDOG1* protein is currently not  
389 clear. Lack of conservation of the *lgDOG1* protein specific sequence encoded by  
390 exon 3, together with the inability of *lgDOG1* to complement *dog1* mutant suggest

391 that long DOG1 protein may be not functional. However, extensive examples of  
392 alternative polyadenylation sites competition, including proximal and distal FCA  
393 polyadenylation sites (Simpson et al., 2003), imply that the *DOG1* distal  
394 polyadenylation site might acts as a decoy for transcripts that fail to terminate earlier.  
395 If so, then it could be expected that the *lgDOG1* mRNA is rapidly degraded. Due to  
396 technical limitations we were unable to perform RNA stability assays on intact seeds.  
397 However, mRNA half-life measurements in seedlings showed no clear difference  
398 between the stability of the long and short *DOG1* transcripts.

399 It is interesting to note, that the *dog1-5* mutant shows upregulation of both the  
400 proximally polyadenylated *shDOG1* mRNA isoform and the DOG1 protein,  
401 suggesting that the *lgDOG1* mRNA may act as a negative regulator of *shDOG1*  
402 mRNA expression.

403 In summary, our findings provide several lines of evidence demonstrating that  
404 *DOG1* nascent transcript is subjected to alternative polyadenylation and that the  
405 short form of this transcript is functional in seed dormancy establishment. Given that  
406 DOG1 is subject to elaborate transcriptional elongation control it would be interesting  
407 to test whether polyadenylation site selection is controlled by the rate of PolIII  
408 elongation on *DOG1*, as is the case for splice site selection (Dolata et al., 2015).

409



## 411 **METHODS**

### 412 **Plant materials and growth conditions**

413 *Arabidopsis thaliana* plants were grown on soil in a greenhouse with a 16-h light/8-h-  
414 dark photoperiod at 22°C/18°C. For expression analysis during seed development,  
415 flowers were emasculated and pollinated the following day. Plant material was  
416 collected at the indicated times representing days since manual pollination. The  
417 termination complex mutants used in this study have been described previously: *fy-2*  
418 (Simpson et al., 2003), *esp1-2* (SALK\_078793), *pcsf4-1* (SALK\_102934c) (Xing et  
419 al., 2008). Col-0 was used as *Wt* control. The *DOG1* T-DNA insertion mutants used  
420 were: *dog1-3* (SALK\_000867) (Bentsink et al., 2006), *dog1-4* (SM\_3\_20886) and  
421 *dog1-5* (SALK\_022748).

422

### 423 **Germination assay**

424 About 100-150 freshly harvested *Arabidopsis* seeds were sown on blue germination  
425 paper (Anchor) supported by 2 layers of thick fabric saturated with water. Plates were  
426 sealed and transferred to a growth chamber with 16h/8h light/dark at 22°C/18°C.  
427 Plates were photographed daily for 7 days and germinating seeds counted. At least  
428 three biological replicates were performed for each experiment. Data show a time  
429 point when the *dog1-3* mutant seed had fully germinated. Seed dormancy strength is  
430 strongly dependent on external conditions during seed maturation (Kendall et al.,  
431 2011) we therefore always included a set of controls: *dog1-3* and WT in all  
432 experiments. Small external condition fluctuations between separate experiments  
433 may therefore explain the difference in seed germination strength between Col-0  
434 plants in Figure 1A and 1B.

435

### 436 **RNA extraction, cDNA synthesis and PCR analysis**

437 Total RNA was isolated using a phenol-chloroform extraction procedure  
438 (Shirzadegan et al., 1991). The RNA was then treated using a TURBO DNA-free™  
439 Kit (Life Technologies), according to the manufacturer's protocol. The efficiency of  
440 DNA removal was monitored by *PP2A* PCR. Before reverse transcription, the RNA  
441 was examined by electrophoresis on a 1.2% agarose gel to determine its quality and  
442 quantified using a Nanodrop 2000 spectrophotometer. For cDNA synthesis, 2.5 µg of  
443 RNA were used with oligo(dT) primers and a RevertAid™ First Strand cDNA

444 Synthesis Kit (Fermentas). Diluted cDNA (10-fold) was used in qPCR (LightCycler ®  
445 480 Roche), with SYBR Green mix (Roche). A *UBC* gene was used for normalization  
446 (Czechowski et al., 2005).

447

#### 448 **Protein extraction**

449 Freshly harvested *Arabidopsis* seeds (30 mg) were frozen in liquid nitrogen and  
450 pulverized in 100 µl of acetone. The suspension was centrifuged for 1 min at 1000 g.  
451 The pelleted material was rinsed with 96% EtOH and centrifuged again as above.  
452 The pellet was then resuspended in 50% Percoll and centrifuged for 10 min at 1000  
453 g. Pelleted material was again washed in 96% EtOH and centrifuged for 1 min at  
454 1000g. The final pellet was resuspended in 8 M urea for analysis by SDS-PAGE.

455

#### 456 **Western blotting**

457 Proteins were extracted from freshly harvested seeds as described above. The  
458 protein concentration was determined using the Bradford method (Bradford, 1976)  
459 and samples were analyzed by standard SDS-PAGE. Proteins were then  
460 electrophoretically transferred to Hybond P membrane (Amersham) using a Trans-  
461 Blot Cell (Bio-Rad). A synthetic peptide (MGSSSKNIEQAQDSY) corresponding to  
462 amino acids 1 to 15 of *DOG1* was synthesized and used to produce an affinity  
463 purified *DOG1*-specific rabbit polyclonal antibody (Eurogentec). *DOG1*-specific  
464 (Eurogentec) and Goat Anti-Rabbit IgG H&L (HRP) (Abcam b7090) antibodies were  
465 also used for western blotting. Western blot was developed using a 1:1 mixture of  
466 SuperSignal West Pico and Femto Chemiluminescent Substrates (Pierce).

467

#### 468 **GFP-DOG1 Immunoprecipitation (IP) and mass spectrometry analysis**

469 p*DOG1::GFP::DOG1g* vector was constructed using primers shown in  
470 Supplementary Table 1 and a pCambia5408 modified to carry a Basta resistance  
471 under NOS promoter resulting in native *DOG1* gene with GFP inserted at ATG. Plant  
472 extracts from mixture of green and mature siliques of transgenic plants were used for  
473 IP with GFP Nano-trap beads (ChromoTek). MS followed by analysis using Mascot  
474 software was performed as described previously (Dolata et al., 2015).

475

#### 476 **Protoplast transformation**

477 The following plasmid constructs were prepared in the vectors pSAT6-eGFP-C1 and  
478 pSAT6A-eGFP-N1 using the short and long *DOG1* cDNAs: 35S::sDOG1-YFP,  
479 35S::YFP-sDOG1, 35S::lgDOG1-YFP and 35S::YFP-DOG1. To maximize the  
480 efficiency of protoplast isolation protoplasts were isolated from the leaves of 20-d-old  
481 late flowering Col-0 with backcrossed active *FRI* (*Frigida*) from SF2 ecotype  
482 (Michaels and Amasino, 1999), and transformed with 30 µg of purified construct  
483 DNAs according to a previously described method (Wu et al., 2009). Transformed  
484 protoplasts were incubated overnight under continuous light at 22°C prior to analysis  
485 by confocal microscopy.

486

### 487 **Confocal microscopy imaging**

488 Fluorescence images of transformed *Arabidopsis* protoplasts were visualized using a  
489 Nikon EZ-C1 laser scanning microscope mounted on an inverted Nikon TE 2000E  
490 epifluorescence microscope. Excitation was at 514 nm, and the emission signal was  
491 collected between 525 and 590 nm for YFP fluorescence, and between 622 and 700  
492 nm for chlorophyll autofluorescence. Untransformed protoplasts were examined as a  
493 negative control.

494

### 495 **3' RACE**

496 RACE was performed as described previously (Swiezewski et al., 2009). Total RNA  
497 from seedlings was ligated to 3' RACE oligo adapter (Gene Racer kit, Invitrogen)  
498 using T4 RNA Ligase 1 (New England Biolabs). After phenol/chloroform extraction  
499 RNA was precipitated and subjected to reverse transcription using adapter-specific  
500 primer and Superscript III (Invitrogen). 3' ends of *DOG1* mRNA were amplified using  
501 adapter specific primer and *DOG1* specific RACE primer. PCR products were purified  
502 from agarose gel and cloned to pJet1.2 vector and sequenced using plasmid specific  
503 primers in both directions.

504

### 505 **RNA stability assay**

506 Cordycepin dependent RNA stability assay was performed as previously described  
507 (Golisz et al., 2013). In brief, Col-0 plants were grown on ½ MS medium containing  
508 1% sucrose in a 16-h light/8-h-dark cycle at 22°C. About 100 two-week-old seedlings  
509 were transferred to 40 ml of buffer (1 mM PIPES pH 6.25, 1 mM Trisodium citrate, 1

510 mM KCl, 15 mM sucrose) and incubated for 30 min with shaking. Cordycepin was  
511 then added to a final concentration of 150 µg/ml and vacuum infiltration performed for  
512 30 s. At each time point thereafter, seedlings representing ~0.5 g were collected and  
513 frozen in liquid nitrogen. Samples were analyzed in triplicate. RNA extraction was  
514 performed as described above and RT-qPCR analysis with EIF4A and At3g45970  
515 was used mRNAs with respectively high and low stability (Golisz et al., 2013)

### 516 ***dog1-3* complementation**

517 For complementation with *lgDOG1*, *dog1-3* mutant was transformed with a *DOG1*  
518 genomic fragment with the sequence of the second intron deleted. For  
519 complementation with *shDOG1*, the *dog1-3* mutant was crossed with Col-0 plants  
520 expressing a construct containing the genomic fragment spanning *DOG1* exon 1-  
521 intron 1 and exon 2. The *DOG1* genes in both constructs are driven by the  
522 endogenous *DOG1* promoter. Two independent transformants were analyzed for  
523 each construct.

524

### 525 **Accession numbers**

526 The sequences of genes examined in this study can be found in The Arabidopsis  
527 Information Resource (TAIR) data library under the following accession numbers:  
528 *DOG1* (At5g45830), *FY* (At5g13480), *ESP1* (At1g73840), *PCSF4* (At4g04885).

529

530

531 **Supplemental Data**

532 **Supplemental Figure S1.** Western blot and Coomassie-stained gel used for Figure  
533 1D.

534 **Supplemental Figure S2.** *DOG1* polyadenylation site identified using Direct RNA  
535 sequencing data (Sherstnev et al., 2012).

536 **Supplemental Figure S3.** RT-PCR to examine the expression of full-length *shDOG1*  
537 and *IgDOG1* mRNA transcripts in Col-0 and *fy-2* seeds.

538 **Supplemental Figure S4.** RNA stability assay of *shDOG1* and *IgDOG1* transcripts.

539 **Supplemental Figure S5.** Characterization of short *DOG1*-specific peptides.

540 **Supplemental Figure S6.** *DOG1* expression in freshly harvested seeds of Col-0  
541 (WT) and *dog1-3* or *dog1-3* mutants complemented with *IgDOG1* (# Ig1, # Ig2) or  
542 *shDOG1* (# sh3, # sh4) expressing constructs.

543 **Supplemental Table 1.** List of primers.

544

545

546

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552

553

554 **AUTHOR CONTRIBUTIONS**

555

556 S.S. and F.L. designed the project and planned the program of research. H.F., M.C.  
557 and A.S. performed the germination tests and expression level measurements. H.F.  
558 and M.C. performed the western blots. L.B. did the RACE experiment. S.S. and S.K.  
559 performed the bioinformatics analysis. A.C. and H.F. examined localization. G.Y.  
560 performed the *DOG1*-GFP IP. L.B. and A.S. performed the RNA stability assay. K.K  
561 and Z.P. prepared the short and long *DOG1* lines for complementation. S.S. and  
562 M.C. wrote the manuscript.





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