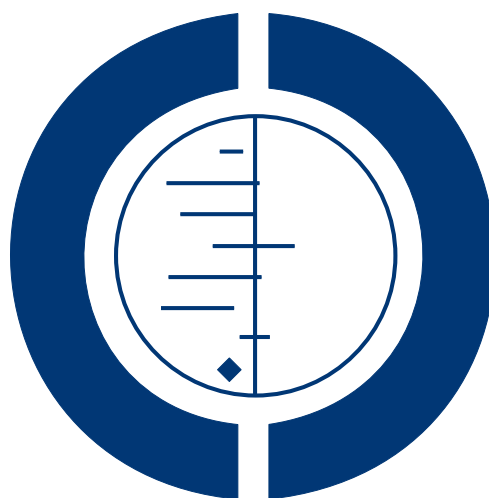


Family-based programmes for preventing smoking by children and adolescents (Review)

Thomas RE, Baker PRA, Thomas BC, Lorenzetti DL



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[Intervention Review]

Family-based programmes for preventing smoking by children and adolescents

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Editorial group: Cochrane Tobacco Addiction Group.

Publication status and date: New search for studies and content updated (conclusions changed), published in Issue 2, 2015.

Review content assessed as up-to-date: 3 October 2014.

Citation: Thomas RE, Baker PRA, Thomas BC, Lorenzetti DL. Family-based programmes for preventing smoking by children and adolescents. *Cochrane Database of Systematic Reviews* 2015, Issue 2. Art. No.: CD004493. DOI: 10.1002/14651858.CD004493.pub3.

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ABSTRACT

Background

There is evidence that family and friends influence children's decisions to smoke.

Objectives

To assess the effectiveness of interventions to help families stop children starting smoking.

Search methods

We searched 14 electronic bibliographic databases, including the Cochrane Tobacco Addiction Group specialized register, MEDLINE, EMBASE, PsycINFO, CINAHL unpublished material, and key articles' reference lists. We performed free-text internet searches and targeted searches of appropriate websites, and hand-searched key journals not available electronically. We consulted authors and experts in the field. The most recent search was 3 April 2014. There were no date or language limitations.

Selection criteria

Randomised controlled trials (RCTs) of interventions with children (aged 5-12) or adolescents (aged 13-18) and families to deter tobacco use. The primary outcome was the effect of the intervention on the smoking status of children who reported no use of tobacco at baseline. Included trials had to report outcomes measured at least six months from the start of the intervention.

Data collection and analysis

We reviewed all potentially relevant citations and retrieved the full text to determine whether the study was an RCT and matched our inclusion criteria. Two authors independently extracted study data for each RCT and assessed them for risk of bias. We pooled risk ratios using a Mantel-Haenszel fixed effect model.

Main results

Twenty-seven RCTs were included. The interventions were very heterogeneous in the components of the family intervention, the other risk behaviours targeted alongside tobacco, the age of children at baseline and the length of follow-up. Two interventions were tested

by two RCTs, one was tested by three RCTs and the remaining 20 distinct interventions were tested only by one RCT. Twenty-three interventions were tested in the USA, two in Europe, one in Australia and one in India.

The control conditions fell into two main groups: no intervention or usual care; or school-based interventions provided to all participants. These two groups of studies were considered separately.

Most studies had a judgement of 'unclear' for at least one risk of bias criteria, so the quality of evidence was downgraded to moderate. Although there was heterogeneity between studies there was little evidence of statistical heterogeneity in the results. We were unable to extract data from all studies in a format that allowed inclusion in a meta-analysis.

There was moderate quality evidence family-based interventions had a positive impact on preventing smoking when compared to a no intervention control. Nine studies (4810 participants) reporting smoking uptake amongst baseline non-smokers could be pooled, but eight studies with about 5000 participants could not be pooled because of insufficient data. The pooled estimate detected a significant reduction in smoking behaviour in the intervention arms (risk ratio [RR] 0.76, 95% confidence interval [CI] 0.68 to 0.84). Most of these studies used intensive interventions. Estimates for the medium and low intensity subgroups were similar but confidence intervals were wide. Two studies in which some of the 4487 participants already had smoking experience at baseline did not detect evidence of effect (RR 1.04, 95% CI 0.93 to 1.17).

Eight RCTs compared a combined family plus school intervention to a school intervention only. Of the three studies with data, two RCTs with outcomes for 2301 baseline never smokers detected evidence of an effect (RR 0.85, 95% CI 0.75 to 0.96) and one study with data for 1096 participants not restricted to never users at baseline also detected a benefit (RR 0.60, 95% CI 0.38 to 0.94). The other five studies with about 18,500 participants did not report data in a format allowing meta-analysis. One RCT also compared a family intervention to a school 'good behaviour' intervention and did not detect a difference between the two types of programme (RR 1.05, 95% CI 0.80 to 1.38, n = 388).

No studies identified any adverse effects of intervention.

Authors' conclusions

There is moderate quality evidence to suggest that family-based interventions can have a positive effect on preventing children and adolescents from starting to smoke. There were more studies of high intensity programmes compared to a control group receiving no intervention, than there were for other comparisons. The evidence is therefore strongest for high intensity programmes used independently of school interventions. Programmes typically addressed family functioning, and were introduced when children were between 11 and 14 years old. Based on this moderate quality evidence a family intervention might reduce uptake or experimentation with smoking by between 16 and 32%. However, these findings should be interpreted cautiously because effect estimates could not include data from all studies. Our interpretation is that the common feature of the effective high intensity interventions was encouraging authoritative parenting (which is usually defined as showing strong interest in and care for the adolescent, often with rule setting). This is different from authoritarian parenting (do as I say) or neglectful or unsupervised parenting.

PLAIN LANGUAGE SUMMARY

Do interventions in families prevent children and adolescents from starting to smoke

Review question: This review asks whether family interventions can influence children and adolescents not to smoke, compared to no-intervention controls or as an add-on to a school intervention. We particularly focused on children who had never smoked.

Background: Preventing children from starting to smoke is important to avoid a lifetime of addiction, poor health, and social and economic consequences. Family members influence whether children and adolescents smoke. We wanted to know if there is enough evidence to justify funding interventions in families to prevent children starting smoking.

Last search: April 2014.

Study Characteristics: We identified 27 trials; 23 in the USA and one each in Australia, India, the Netherlands, and Norway. The focus varied amongst the studies. Fifteen trials focused on substance use prevention: six focused only on tobacco prevention; one focused on alcohol; one on general substance abuse; three on tobacco, alcohol and marijuana; two on alcohol and tobacco; and two on tobacco and cardiovascular health. Two trials focused on HIV and unsafe sex prevention. Ten trials focused on family functioning, child development and modifying adolescent behaviour. Duration of follow-up after the intervention was very varied, ranging from 6 months to over 15 years for the studies which intervened with mothers of very young children.

Key Results: Nine trials provided data to compare a family tobacco intervention to no intervention on future smoking behaviour for those who did not smoke at the start of the study. We could not include data from a further eight trials. The results showed a significant benefit of family-based interventions over the control comparison on preventing experimentation with or taking up regular smoking. Our estimate suggested that family interventions could reduce the number of adolescents who tried smoking at all by between 16 and 32%.

Two trials provided data to compare a combined family plus school intervention to a school intervention and also favoured the family-based intervention. The estimate suggested that the addition of a family intervention might reduce the onset of smoking by between 4 and 25%. We could not include data from a further five trials.

Our interpretation is that the common feature of the effective interventions was encouraging authoritative parenting (which is usually defined as showing strong interest in and care for the adolescent, often with rule setting). This is different from authoritarian parenting (do as I say) or neglectful or unsupervised parenting.

Quality of the Evidence: Because most of the randomised controlled trials included in the review did not report their methods in sufficient detail to be confident that the results were not biased, we judged the quality of the evidence to be moderate, which means that the estimate of effect is uncertain.

Conclusions: There is moderate quality evidence that family-based interventions can prevent children and adolescents from starting to smoke. Intensive programs may be more likely to be successful than those of lower intensity. There is also evidence to suggest that adding a family-based component to a school intervention may be effective. As the interventions and settings in the review differed considerably, it is important that family-based programmes continue to be evaluated.

SUMMARY OF FINDINGS FOR THE MAIN COMPARISON *[Explanation]*

Family interventions for preventing smoking by children and adolescents						
Patient or population: Children or adolescents at risk for smoking uptake Intervention: Family intervention Comparison: No intervention control						
Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)	Comments
	Assumed risk	Corresponding risk				
	Control	Family intervention versus non intervention control group				
New smoking at follow-up. Baseline never smokers only	Study population		RR 0.76 (0.68 to 0.84) ¹	4810 ² (9 studies)	⊕⊕⊕○ Moderate ³	There was no evidence of statistical heterogeneity despite clinical heterogeneity in the characteristics and focus of the interventions, the age range targeted and the duration of follow-up
	230 per 1000	174 per 1000 (156 to 193)				

*The basis for the **assumed risk** (e.g. the median control group risk across studies) is provided in footnotes. The **corresponding risk** (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI).

CI: Confidence interval; RR: Risk ratio

GRADE Working Group grades of evidence

High quality: Further research is very unlikely to change our confidence in the estimate of effect.

Moderate quality: Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.

Low quality: Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.

Very low quality: We are very uncertain about the estimate.

* Assumed risk based on average for control group participants reached at follow-up. There was large variation between studies in the rate of new smoking behaviour.

¹ RR \triangleleft favours family intervention.

² Eight studies with about 5,000 participants did not present data in a format that could be used in meta-analysis.

³ Most studies have low or unclear risk of bias. Downgraded one level.

BACKGROUND

Tobacco use is the main preventable cause of death and disease worldwide, and the five million deaths annually attributable to tobacco use are predicted to increase to eight million annually by 2030 (Warren 2009). Smoking in adolescence continues to rise in many countries, with 23% of American high school students smoking in 2000, up from 18.5% in 1991 (Johnston 2000). Adult smoking begins in adolescence: in US studies 89% of adult smokers began regular tobacco use by the age of 18 (Bricker 2003). If poorer countries follow the trajectory of the more affluent countries, it is to be expected that 20% to 30% of 13 to 15 year olds may smoke, depending on the culture of the country and the activities of the tobacco companies (Warren 2009). Intervening to prevent smoking uptake during adolescence is critical to slowing or halting the trend towards increased tobacco-related illness (USDHHS 1994).

A number of reviews, surveys and cohort studies have identified three broad classes of influences for smoking in adolescence: individual characteristics (e.g. gender, concerns with body weight, attitudes to smoking), family factors (parental smoking, number of smokers in the family, parental permissiveness and approval) and peer-group or friends (number who smoke, academic expectations by friends) (Mayhew 2000). Ethnicity (Proescholdbell 2000), levels of affluence (Jarvis 1997) and level of education also affect smoking, with tertiary education being associated with lower rates of smoking (Chassin 1984; Chassin 1996). In a long-term cohort study, Jarvis 1997 found that as adolescent smokers moved into young adulthood they were more likely to quit if they assumed adult responsibilities such as marriage and employment.

Parental behaviour also emerges as a significant determinant of adolescent smoking in a number of studies (Mounts 2002). A cohort study nested within the Hutchinson Smoking Prevention Project (Bricker 2003) found that the children of parents who had never smoked were the least likely to smoke (odds reduced by 71% compared with both parents currently smoking), while children of parents who had quit smoking also had reduced odds of smoking themselves (reduced by 39%). Several studies reported that parental advice not to smoke or explicit disapproval of smoking could be effective in young teens (Eisner 1989; Huver 2007; Krosnick 1982; Newman 1989) and in unmarried pregnant teenagers (Hussey 1992). Parenting style and parental restrictions on smoking at home also appeared to have an impact, with permissive home policies increasing the likelihood of experimentation, while authoritative parenting (combining demanding and responsive management of children's behaviour) was the least likely to prompt uptake of smoking (Jackson 1998; Proescholdbell 2000). The influence of friends and peers has also been shown to be associated with smoking behaviour (Krosnick 1982; Simons-Morton 2002), but smoking uptake is negatively related to perceived social competence and parental monitoring. Smoking is associated with other risk behaviours (DuRant 1999).

There are some non-modifiable family characteristics that affect the likelihood of smoking. Living in an intact two-parent family is associated with less smoking by children (Botvin 1993; Covey 1990; Isohanni 1991; Turner 1991) while parental socio-economic status and education are generally inversely correlated with children's smoking (Tyas 1998). However, Darling 2003 has pointed out that the focus of the literature on predicting the risk of adolescent smoking (which is a continuous process of change) from stable family characteristics such as structure may be one reason why understanding of the developmental processes involved in tobacco initiation is limited.

Further background and theoretical issues concerning adolescent smoking initiation are covered in a companion review of school-based interventions (Thomas 2013). A Cochrane review of smoking prevention for Indigenous youth identified only two RCTs (Carson 2012). There are also Cochrane reviews of community interventions (Carson 2011) and mentoring to prevent adolescents smoking (Thomas 2011).

OBJECTIVES

To assess the effectiveness of interventions to help family members to strengthen non-smoking attitudes and promote non-smoking by children or adolescents or their family members.

METHODS

Criteria for considering studies for this review

Types of studies

Studies were included in which students and/or family members were randomised to receive interventions or be in the control group, and were excluded if they did not state that allocation to intervention and control groups was randomised. We assessed whether studies used analytic methods appropriate to both the level of allocation and the level of measurement of the outcomes. We excluded those studies that presented only cross-sectional data that permitted neither individuals nor clusters nor cohorts to be followed to the conclusion of the study.

Types of participants

Children (aged 5 to 12) and adolescents (aged 13 to 18) and family members. The search strategy chosen also located studies that follow these children beyond age 18.

Types of interventions

Interventions with children and family members intended to deter starting to use tobacco. Those with school- or community-based components were included provided the effect of the family-based intervention could clearly be measured and separated from the wider school- or community-based interventions. Interventions that focused on preventing drug or alcohol use were included if outcomes for tobacco use were reported. The family-based intervention could include any components to change parenting behaviour, parental or sibling smoking behaviour, or family communication and interaction.

For each study we determined whether during the study the participants received any co-interventions such as the standard health or tobacco education curriculum taught in the school, or interventions that occurred in their community, and whether the control group received any interventions.

Types of outcome measures

The **primary outcome** was the effect of the intervention on the smoking status of children who reported no use of tobacco at baseline.

We excluded studies that:

- did not assess baseline smoking status in the pre-test survey;
- measured attitudes and intentions to smoke, and did not measure smoking behaviour;
- did not allow us to separate the effects of the family intervention from those of other co-interventions;
- focused primarily on cessation rather than prevention; and
- did not follow up participants for at least six months from the start of the intervention.

Any measure of smoking behaviour was considered. Studies may use different measures of tobacco use, either frequency (monthly, weekly, daily), or the number of cigarettes smoked, or an index constructed from multiple measures. These measures attempt to capture the trajectories of smoking uptake in which there is a progression from initial experimentation (e.g., once a month in a younger child) to becoming a regular smoker. Not all experimenters make the transition to regular smoking, and interventions that reduce the likelihood of progression may be as useful as those that deter any experimentation. Previous reviews have noted that few studies use biochemical validation (by saliva thiocyanate or cotinine or expired air carbon monoxide levels) of self-reported tobacco use for inclusion, and we did not require such validation here but recorded its use.

Search methods for identification of studies

We searched the Cochrane Tobacco Addiction Group Specialized Register (compiled by regular searching of electronic databases and specialist conference proceedings), and the Cochrane Central

Register of Controlled Trials (CENTRAL). We performed ad hoc searches of the main electronic databases, including MEDLINE, EMBASE, PsycINFO, CINAHL, Web of Science, and ERIC. The MEDLINE search terms are given as an example in Appendix 1. We also searched the 'grey' literature (unpublished reports and conference proceedings), the web sites of relevant organizations, and the reference lists of key articles. Full details of the databases and websites searched are given in Appendix 2. The most recent search was performed on 3 April 2014. At the time of the search the Register included the results of searches of the Cochrane Central Register of Controlled trials (CENTRAL), issue 3, 2014; MEDLINE (via OVID) to update 20140321; EMBASE (via OVID) to week 201413; and PsycINFO (via OVID) to update 20140317. See the [Tobacco Addiction Group Module](#) in the Cochrane Library for full search strategies and list of other resources searched.

Data collection and analysis

Selection of studies

We reviewed all the studies retrieved from the literature searches to determine whether they were RCTs, and whether they matched our inclusion criteria. Details of those studies which did not meet the criteria are given in the Table of Excluded Studies, with the reasons for their exclusion.

Data extraction and management

One reviewer (RET) extracted data from the included studies, and the other reviewers (BCT, PB, DLL) independently checked them. We corresponded with authors to clarify study details. Any disagreements were resolved by discussion and consensus. The Co-ordinating Editor of the Tobacco Addiction Group was available to assist with persistent disagreements.

After entering the studies in the Included Studies Table we noted they varied greatly in intensity. Programme intensity was measured using four dimensions (Baker 2015) and rated High, Medium or Low: **Proximity:** local [H] - personal (on site, in-home, face-to-face); distant [L] (e.g. mailing, telephone); **Direction:** programme directed [H] (with consistent prompts and contact, accountability to participate and engage), self-directed [L] (up to the individual to work through the materials); **Exposure period:** duration of provision of the intervention and number of components; **Unit of delivery:** to family in groups [H], individual families [H] or community [L]. Two other aspects were considered: **Cost** per family to deliver the programme, and **Authors' description of intensity**, but data were rarely provided. Summary judgments were independently made whether the intervention was high, medium, or low intensity.

Assessment of risk of bias in included studies

Studies were independently assessed by RET, BCT, PB, and DLL for sources of bias that the Cochrane Collaboration Reviewers' Handbook identifies as potential threats to validity.

We also assessed three statistical criteria:

- A reported power calculation with attainment of the desired sample size. If a non-significant result is obtained it may be due either to inadequate sample size or a true negative result.
- The statistical analysis was deemed appropriate to the unit of randomisation for the family intervention. Intra-class correlations (ICCs) in smoking behaviour vary by group, school grade, frequency of smoking, gender, ethnicity, and time of school year. ICCs typically inflate the required sample size, and failure to take account of these may lead to inadequate sample size and the risk of drawing false negative conclusions (Type II error) (Dielman 1994; Murray 1990; Murray 1997; Palmer 1998). We considered statistical analysis to be appropriate if the analysis used the same unit as randomisation (for example, if the family intervention was delivered at the level of the school then the school was the unit of analysis), or if other methods were used to account for cluster effects, such as multi-level modelling.
- An intention-to-treat analysis.

Data synthesis

Data were extracted from randomised controlled trials that reported smoking prevention (number or percentage of non-smoking children at baseline that remained non-smokers at follow-up) and a minimum follow-up time of six months. The outcomes used were the proportion prevented from smoking and we used the longest available follow-up time for the analysis and computed risk ratios. Adjusted risk ratios from cluster-randomised trials were obtained directly from those trials that reported them. If there is a large degree of heterogeneity in study design, type of outcome measure and statistical reporting, quantitative synthesis is not appropriate. Where trials could be pooled we estimated the effects using a fixed effect (Mantel-Haenszel) model.

RESULTS

Description of studies

Twenty-seven trials met the inclusion criteria, of which 12 were randomised controlled trials (RCTs) and 15 were cluster RCTs (C-RCTs). We identified eight new trials for this update. Full details of included studies are given in the [Characteristics of included studies](#) table. We excluded three previously included studies; [Knutsen 1991](#) was excluded as there were no baseline smoking data for children; [Nutbeam 1993](#) was excluded as it was not possible to evaluate the minimal family intervention separately from the school

intervention in which it is included; and [Salminen 2005](#) was excluded as, on closer examination, allocation was not randomised. Including these three, we now list 76 excluded studies, details of which can be found in the [Characteristics of excluded studies](#) table.

Twenty-three trials were conducted in the USA and one each in Australia, India, the Netherlands, and Norway.

All RCTs tested a family intervention, though the interventions were heterogeneous. The Family Resource Center intervention was tested in two trials ([Connell 2007](#) and [Fosco 2013](#)), the Smoke-Free Kids programme was also tested in two trials ([Hiemstra 2014](#) and [Jackson 2006](#)), and the Strengthening Families Program (SFP 10-14) was tested in three trials ([Spoth 2001](#), [Spoth 2002](#) and a short version by [Riesch 2012](#)). Twenty other interventions were each tested by only one RCT. Interventions typically addressed family functioning in order to prevent multiple risky behaviours including tobacco use and substance abuse. A smaller number focused on tobacco alone, and two ([Prado 2007](#); [Wu 2003](#)) primarily addressed HIV and unsafe sex but assessed tobacco use outcomes. Nineteen studies had a control group which offered either no intervention, usual care, or a very minimal intervention such as a leaflet, or used a control that targeted different risk behaviours. Eight studies tested a family intervention as an adjunct to a school-based prevention programme offered to both intervention and control groups.

In addition to heterogeneity of intervention design, focus, and comparator condition there was also variation in the length of follow-up, ranging from 6 months to 29 years. Key features of the studies are summarised in the following two tables. [Table 1](#) lists studies that compared a family intervention to no intervention, and [Table 2](#) shows studies that tested a family intervention as an adjunct to a school intervention.

Clustering was controlled for in the following C-RCTs: [Ary 1990](#); [Biglan 1987](#); [Forman 1990](#); [Fosco 2013](#); [Hiemstra 2014](#); [Jackson 2006](#); [Jøsendal 1998](#); [Reddy 2002](#); [Riesch 2012](#); [Spoth 2001](#); and [Spoth 2002](#). Only three trials provided intraclass correlations (ICCs) ([Guilamo-Ramos 2010](#) < 0.01, [Hiemstra 2014](#), ICC = "zero" and [Wu 2003](#), ICC = 0.0000). Only one RCT ([Dishion 1995](#)) did not control for clustering, and as the ICCs for the three trials which provided them were zero, we did not apply any correction to [Dishion 1995](#). All other trials involved individual interventions with parents or youth and correction for clustering was not required. Ten studies reported good adherence to training (where relevant) and adherence to intervention, 13 reported intermediate levels and four had no evidence about adherence, or evidence of minimal adherence (fidelity and adherence summarised in [Table 3](#)). We were unable to extract data from thirteen study reports in a format that could be included in meta-analysis.

We grouped the studies according to the intensity of the family component into three levels of intensity. In the descriptions below, the studies contributed to the comparison between a family intervention and a non intervention or usual care control, unless

noted otherwise.

(a) High Intensity

[Connell 2007](#) compared: (1) the provision of a Family Resource Center in schools with (a) brief consultations with parents; (b) telephone consultations; (c) feedback to parents on their children's behaviour at school; (d) access to videotapes and books; (e) the SHAPe Curriculum for students with 6 lessons (school success, health decisions, building positive peer groups, cycle of respect, coping with stress and anger, and solving problems peacefully), and (2) the Family Resource Center + Family Check Up (interviews exploring parent concerns, assessment including videotaping the family at home, feedback by the therapist using motivational interviewing strategies and exploring interventional services the family could use, which were delivered over two years by therapists). This study could not be included in a meta-analysis.

[Cullen 1996](#) tested the effect of 20-30 minute interviews (four annually in the 1st year and two annually for the next four years) by a general practitioner with new mothers to enhance self-worth, self-acceptance, foster gentle physical interaction with her child, and adopt a positive attitude to modifying her child's behaviour. Children were followed up as adolescents or young adults.

[Dishion 1995](#) tested "alternative strategies to reduce escalation in problem behaviours among high-risk young adolescents." Strategies were to "target parents' use of effective and non-coercive family management practices (parent focus) and young adolescent's self-regulation and competence in family and peer environments (teen focus)." Parent sessions focused on four key skills: monitoring; positive reinforcement; limit setting and problem solving. Twelve 90-minute counselling sessions based on scripted materials and videotapes were tested in four formats: (1) Parent focus: the parent's family management practices and communication skills (monitoring, positive reinforcement, limit setting, and problem solving, with discussion of home practices and demonstration of the skills, with exercises, role-plays, and discussions); (2) Teen focus: teen self-regulation and pro-social behaviour in parental and peer environments (self-monitoring and tracking, pro-social goal setting, developing peer environments supportive of pro-social behaviour; setting limits with friends and problem solving and communication skills with parents and peers); (3) combined parent and teen intervention and (4) self directed change (the six newsletters and five brief videos that accompanied the parent- and teen-interventions). Interventions 1-3 were classified as high intensity. Results could not be included in a meta-analysis

[Forman 1990](#) compared (1) a school intervention (10 session small groups with Borvin's Life Skills Training), and (2) the school intervention + a parent intervention (parents participated in five weekly two-hour sessions to teach parents the coping skills their children were learning in the student groups, teach parents behaviour management skills, and develop a small group support system for parents to encourage each other to take positive, constructive action regarding their adolescents). This study of a family intervention as

adjunct to a school intervention could not be included in a meta-analysis.

[Fosco 2013](#) compared (1) use of Family Resource Center in schools to (2) control (no intervention). A parent consultant was trained in the Family Check-Up model to facilitate collaboration with parents, identify youth at risk, and refer at-risk students for counselling. At risk adolescents and families participated in three motivational interviewing sessions to identify family strengths and weaknesses, motivate parents to improve parenting, and to engage in intervention services. Feedback about assessment results provided opportunity to select interventions tailored to unique needs of each family.

[Guilamo-Ramos 2010](#) compared (1) the Project Towards No Tobacco Use (TNT) risk reduction smoking intervention (10 modules modified for inner city schools and two face-to-face sessions of 2.5 hours each addressing: effective listening and tobacco information; course and consequences of tobacco use; self esteem; being true to oneself; changing negative thoughts; effective communication; assertiveness and refusal skills; advertising and social activism), and (2) the "Linking Lives" intervention (consisting of: "Raising Smoke-Free Kids" (manual of nine short modules, two tobacco-related homework assignments for parents to use with adolescent); two one-day sessions (Day 1 discussed module topics, concept parents could make a difference in their adolescent's tobacco-related behaviour, strategies for effective communication, topics parents might consider discussing in their conversations with their adolescents and the importance of setting limits; Day 2 consisted of two tobacco-related homework assignments on consequences of smoking and ways to resist peer pressure)). Mothers received two booster calls one and six months after the intervention. This study contributes to the analysis of family interventions used as adjuncts to school interventions.

[Haggerty 2007](#) compared two formats (self-administered with telephone facilitator support, and a parent and adolescent format) for a seven session "Parents Who Care" programme and control (no treatment). The seven chapters of the workbook were: Relating to your teen; Risks: Identifying and reducing them; Protection: Bonding with your teen to strengthen resilience; Tools: Working with your family to solve problems; Involvement: Allowing everyone to contribute; Policies: Setting family policies on health and safety issues and Supervision: Supervising without invading. In each session parents and adolescents watched a video, practised skills separately and then as families and were asked to continue practice at home.

[Olds 1998](#) provided for infants (1) free sensory and developmental screening performed at 12 and 24 months, with referrals for further evaluation and treatment where necessary, and (2) the same assessments and nurse home visits (nurses taught positive health-related behaviours, competent care of the child, and personal development for the mother including family planning, educational achievement, and return to the workforce). Children's smoking was assessed at age 15 years. This study could not be included in

a meta-analysis.

[Pierce 2008](#) tested the Parenting to Prevent Problem Behaviors Project, including a self-help manual (with 12 chapters including building positive behaviours, setting effective limits and relationship building) and a lay facilitator to help participants to work through the manual who followed a computer-assisted structured counselling script using motivational interviewing and searched the internet and study library for answers to parents' problems. Previously researched information sheets were sent to parents electronically or by mail, and there was a computer-assisted structured counselling protocol for parents who needed additional help to implement best practices.

[Prado 2007](#) assessed whether providing an intervention to focus on and strengthen Hispanic family-centred values was required for a substance, sexual behaviour and HIV risk intervention to be effective. He compared: (1) an intervention to improve family functioning to reduce substance use and unsafe sexual behaviour (the Familias Unidas intervention to increase parental involvement, positive parenting and family support in Hispanic families (high intensity) combined with PATH [Parent pre-adolescent training for HIV prevention]); (2) PATH and an intervention unrelated to parenting (English language lessons); and (3) PATH and a different intervention unrelated to parenting (American Heart Association programme).

[Riesch 2012](#) tested a short version of the Strengthening Families Program (SFP 10-14), during which a youth and parent attended the seven-week, two-hour-per-week programme with videotapes and discussions. This study could not be included in a meta-analysis.

[Spoth 2001](#) compared two family interventions: (1) the full length SFP 10-14, now renamed ISF (six two-hour session and one one-hour sessions); (2) the Preparing for the Drug-Free Years Program (five two-hour sessions) and (3) a control group which received mailed information. The two family interventions are shown separately in the analysis, dividing the control group to avoid double counting

[Spoth 2002](#) tested the SFP programme of seven one-hour weekly sessions for parents and children to strengthen parental skills in nurturing, setting limits and communication about substances and strengthen children's prosocial and peer resistance skills, and four booster sessions offered one year later. All study participants received the Life Skills Training (LST) intervention at school, so this contributes to the analysis of family interventions used as adjuncts to school intervention.

[Storr 2002](#) compared: (1) the Classroom-Centered (CC) Intervention (language and mathematics curricula enhanced to encourage skills in critical thinking, composition, listening and comprehension, whole-class strategies to encourage problem solving by children in group contexts, decrease aggressive behaviour, and encourage time on task, strategies for children not performing adequately; plus teams of children received points for good behaviour and lost points for behaviours such as starting fights - the points

could be exchanged for classroom activities, game periods and stickers), and (2) the Family-School Partnership (FSP) intervention (consisting of multiple components: (a) the 'Parents on Your Side Program' trained teachers to communicate with parents and build partnerships, with a three-day workshop, training manual and follow-up supervisory visits; (b) weekly home-school learning and communicating activities and (c) nine workshops for parents (first two workshops to establish an effective and enduring parent-staff relationship and facilitate children's learning and behaviour; next five workshops focused on effective disciplinary strategies). This was classified as high intensity for the amount of contact, but there was no description of the amount of tobacco-focused content. The FSP intervention was also compared to a usual curriculum condition, which is used as the comparator in the family versus no intervention analysis.

(b) Medium intensity

[Bauman 2001](#) tested the Family Matters intervention: four booklets were mailed to participants, and two weeks after each booklet was posted a health educator telephoned a parent, encouraged the participation of all family members in the programme and answered questions.

[Elder 1996](#) compared: (1) a school intervention (15 sessions in third grade about diets healthy for hearts and exercise, 12 in fourth grade about exercise, and 16 about exercise in fifth grade plus eight about tobacco; the tobacco intervention consisted of 'F.A.C.T.S. for 5' (Facts and Activities about Chewing Tobacco and Smoking) with four 50 minutes sessions on: short- and long-term effects of tobacco use; motivations and fallacies about tobacco use; economic costs of tobacco use and the efforts of the tobacco companies to promote use; dangers of passive smoking and being supportive of those who want to quit), as well as a policy component, encouraging the adoption of policies for the school to be tobacco-free and (2) the school intervention plus a family intervention consisting of a home-based programme, using 'The Unpuffables' (four sessions with stories about adolescents who combat tobacco use, and games to play with parents) (moderate intensity). This study of a family intervention as adjunct to a school intervention could not be included in a meta-analysis.

[Fang 2013](#) tested an online nine session (each 35-45 minutes) substance abuse prevention programme to strengthen the quality of girls' relationships with mothers and increase girls' resilience to resist substance use (consisting of audio, graphics, animation, activities, skill demonstrations, guided rehearsal and immediate feedback).

[Schinke 2004](#) compared a social learning and problem solving curriculum on CD-ROM (consisting of goal setting, coping, peer pressure, refusal skills, norm correcting, self-efficacy, problem-solving (Stop, Options, Decide, Act, Self-praise), decision-making, effective communication and time management), and (2) the CD-ROM + parent intervention (videotape, printed materials on the goals of the youth intervention, showed how parents could

help avoid problems with alcohol, and the importance of family rituals, rules and bonding, a two-hour parent workshop, and a parent CD-ROM how to reduce youth alcohol use). This study could not be included in a meta-analysis.

(c) Low intensity (usually written materials or brief contact)

[Ary 1990](#) compared (1) the tobacco social skills Project PATH (Programs to Advance Teen Health), and (2) PATH + parent messages (three mailed brochures to support the classroom messages about refusal skills). This study of a family intervention as adjunct to a school intervention could not be included in a meta-analysis.

[Biglan 1987](#) compared (1) a programme of information about the health effects and short-term effects of tobacco, including sensitization to pressures to smoke, training in refusal skills including modelling, rehearsal, reinforcement, practice, video practice, and supporting peers in refusals, and (2) the programme plus four messages mailed to parents following the programme to encourage parents to discuss their views of smoking with their child and set clear rules about smoking. This study of a family intervention as adjunct to a school intervention could not be included in a meta-analysis.

[Curry 2003](#) tested the 'Steering Clear Project, which included: (a) a 12-chapter parent handbook, a videotape on the experiences of a former tobacco model, a Centers for Disease Control videotape and a comic book, pen and stickers for the child; (b) two calls from a counsellor; (c) a six-page newsletter 14 months later; (d) access to a website and (e) prompts to physicians during appointments to encourage families to use the videos and website and talk about staying smoke-free.

[Hiemstra 2014](#) and [Jackson 2006](#) compared (1) the home-based Smoke-Free Kids programme (six printed activity modules containing general communication about smoking, influence of smoking messages, rule setting and non-smoking agreement, creating a smoke-free house and environment, and peer influences), and (2) five fact sheets on youth smoking available in the media.

[Jøsendal 1998](#) tested three formats (classroom programme with (1) involvement of parents and teachers, (2) involvement of parents only, or (3) involvement of teachers only) for an eight-session intervention focused on personal freedom, the freedom to choose, freedom from addiction, making one's own decisions, tobacco-resistance skills, and the short-term consequences of smoking. Students brought two brochures home, teachers involved parents in discussions on 'appropriate occasions', and students and parents signed non-smoking contracts. This study contributes to the analysis of family interventions used as adjuncts to a school intervention.

[Reddy 2002](#) compared (1) the school-based Project HRIDAY (Health-Related Information and Dissemination Among Youth), consisting of posters, a booklet on heart health, classroom activi-

ties addressing influences to smoke, ways to refuse offers to smoke, and passive smoke, and round table discussions, and (2) HRIDAY plus a family intervention (consisting of six booklets, one of which was about tobacco, brought back to school with parents' signed opinions about the booklets). This study of a family intervention as adjunct to a school intervention could not be included in a meta-analysis.

[Stevens 2002](#) compared the effect of paediatrician/nurse practitioner advice about (1) alcohol and tobacco and (2) advice about gun safety, bicycle helmets and car seatbelts. Interventions encouraged family communication and rule setting, there was a brochure on effective communication, and children and parents each received 12 quarterly newsletters to reinforce the messages.

[Wu 2003](#) compared (1) Focus on Kids (FOK), an eight session HIV small-group risk reduction programme focusing on decision making, goal setting, communication, negotiating, and consensual relationships and information regarding safe sex, drugs, alcohol and drug selling, conducted in small groups (5-10), led by two older peers with no parental involvement, (2) FOK + ImPACT (Informed Parents and Children Together) which included a 20-minute video about parental monitoring and communicating, role-playing vignettes in the child's home between the parent and youth with instructor critique and a condom demonstration from the instruction, and (3) FOK + ImPACT + booster sessions at 6 and 10 months. FOK has a minor informational component about tobacco and no family component. ImPACT is 20 minute video followed by role plays between parent and youth but has no tobacco focus. Baseline and 24 months smoking status were measured for all three programmes. We assessed ImPACT as low intensity, without tobacco intervention but with tobacco data collection.

Risk of bias in included studies

Fifty-two per cent of trials were assessed to be at low risk of selection bias due to the method of randomisation, 44% at unknown risk (because only the words "randomised" were used with no method stated) and 4% at high risk. Eleven per cent of trials were at low risk for allocation concealment, 85% at unknown risk (no statement if performed) and 4% at high risk. Eleven per cent were at low risk for blinding of participants and personnel, 85% at unknown risk (no statement if performed) and 4% at high risk. (Note: It would have not been possible to blind participants to which programme they were in). Twenty-two per cent of studies were at low risk for blinding of outcome assessment and 78% at unknown risk (no statement if performed). Forty-one per cent were at low risk for incomplete outcome data, 52% at unknown risk (insufficient information provided to assess if at risk), and 7% at high risk. All were judged to be at low risk for selective reporting (see [Figure 1](#) and [Figure 2](#)).

Figure 1. Risk of bias graph: review authors' judgements about each risk of bias item presented as percentages across all included studies.

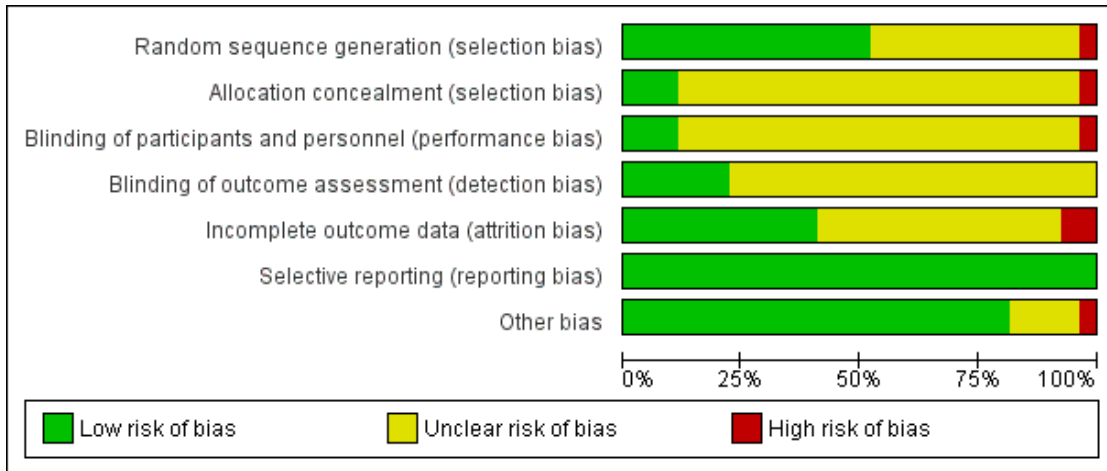


Figure 2. Risk of bias summary: review authors' judgements about each risk of bias item for each included study.

	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Blinding of outcome assessment (detection bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Ary 1990	?	?	?	?	?	+	?
Bauman 2001	?	?	?	+	-	+	+
Biglan 1987	+	?	?	?	+	+	+
Connell 2007	?	?	?	?	?	+	+
Cullen 1996	+	-	+	+	?	+	+
Curry 2003	?	?	?	?	?	+	+
Dishion 1995	-	?	?	+	+	+	?
Elder 1996	?	?	?	?	+	+	+
Fang 2013	+	+	?	+	?	+	+
Forman 1990	?	?	?	?	-	+	+
Fosco 2013	?	?	?	?	?	+	+
Guilamo-Ramos 2010	+	?	?	?	+	+	?
Haggerty 2007	+	?	?	?	+	+	-
Hiemstra 2014	+	+	+	?	?	+	+
Jackson 2006	?	?	?	?	+	+	+
Jøsendal 1998	+	?	+	?	?	+	+
Olds 1998	?	?	?	+	?	+	+
Pierce 2008	+	+	-	+	+	+	+
Prado 2007	+	?	?	?	?	+	?
Reddy 2002	+	?	?	?	?	+	+
Riesch 2012	?	?	?	?	?	+	+
Schinke 2004	?	?	?	?	?	+	+
Spoth 2001	+	?	?	?	+	+	+
Spoth 2002	?	?	?	?	+	+	+
Stevens 2002	+	?	?	?	?	+	+
Storr 2002	+	?	?	?	+	+	+
Wu 2003	+	?	?	?	+	+	+

Effects of interventions

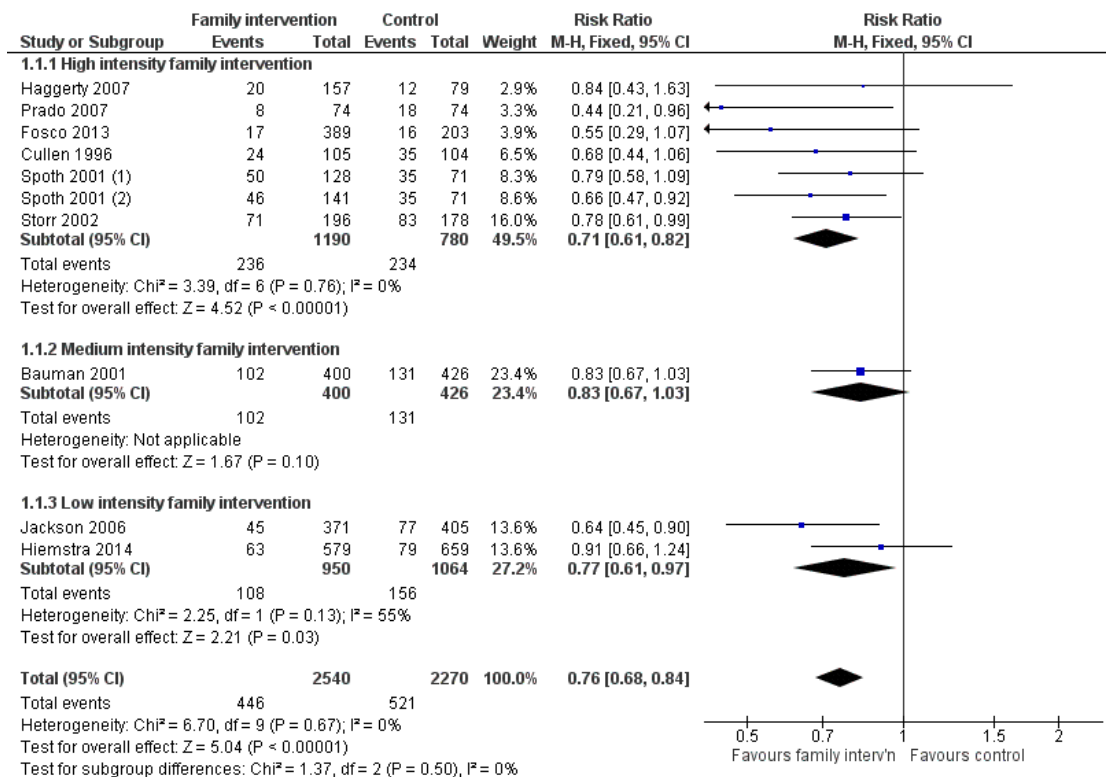
See: [Summary of findings for the main comparison Family interventions compared to no intervention](#); [Summary of findings 2 Family and school intervention compared to school intervention](#)
The outcome for all analyses was smoking behaviour at longest follow-up. Smoking behaviour could include even a puff, or more regular use.

Analysis 1. Family intervention compared to no intervention

Nine studies (4810 participants at follow-up) reported the impact of a family intervention on smoking uptake for baseline never smokers in a format suitable for meta-analysis. The pooled estimate detected a reduction in smoking behaviour in the intervention arm

(risk ratio [RR] 0.76, 95% confidence interval [CI] 0.68 to 0.84) [Figure 3](#) (Analysis 1.1). When the trials were analysed by intensity of family intervention there was a significant effect in the subgroup of six which used a high intensity intervention ([Cullen 1996](#); [Fosco 2013](#); [Haggerty 2007](#); [Prado 2007](#); [Spath 2001](#) (two arms: PDFY and ISFP); [Storr 2002](#)) (RR 0.71, 95% CI 0.61 to 0.82). Only one study was categorised as using a medium intensity intervention ([Bauman 2001](#)). Two used a low intensity intervention ([Hiemstra 2014](#); [Jackson 2006](#)) with a RR of 0.77, 95% CI 0.61 to 0.97. Three of the studies individually reported significant effects; [Spath 2001](#) (using the Iowa Strengthening Families intervention) and [Storr 2002](#) which were high intensity, and [Jackson 2006](#), which was low intensity.

Figure 3. Family intervention versus non intervention control group: New smoking at follow-up. Baseline never smokers only.



Footnotes

(1) Preparing for Drug Free Years arm. Control divided to avoid double counting.

(2) Iowa Strengthening Families Program arm. Control divided to avoid double counting.

Two studies provided data for meta-analysis but included some participants who already had experience of smoking at baseline. One used a high intensity family intervention (Pierce 2008) and one a low intensity intervention (Curry 2003). When pooled, these studies (4487 participants) did not detect evidence of any intervention effect (RR 1.04, 95% CI 0.93 to 1.17, Analysis 1.2) Eight studies (approximately 5000 participants) compared a family intervention to control, but did not report outcomes in a format suitable for inclusion in the meta-analysis. Effects are summarised in Analysis 1.3. Four used a high intensity intervention (Connell 2007; Dishion 1995; Olds 1998; Riesch 2012), two a medium intensity (Fang 2013; Schinke 2004) and two a low intensity intervention (Stevens 2002; Wu 2003). Only one of these studies reported a significant positive effect (Wu 2003); most of the remainder reported non significant effects favouring the intervention.

Analysis 2. Combined family plus school intervention compared to school intervention

Two studies (Jøsendal 1998 and Spoth 2002, 2301 participants at follow-up) evaluated the effect of a family intervention added to a school-based intervention and reported suitable data for meta-analysis. There was evidence of a benefit of the additional intervention over the school component alone (RR 0.85, 95% CI 0.75

to 0.96, Analysis 2.1), with Jøsendal 1998 detecting a significant benefit.

One high intensity intervention study (Guilamo-Ramos 2010, 1096 participants) provided data for meta-analysis but included some participants who already had experience of smoking at baseline. There was evidence of a benefit of the additional intervention over the school component alone (RR 0.60, 95% CI 0.38 to 0.94, Analysis 2.2).

Five studies (approximately 18,500 participants) evaluated the effect of a family intervention added to a school-based intervention, but did not report outcomes in a format suitable for inclusion in the meta-analysis. Effects are summarised in Analysis 2.3. One used a high intensity intervention (Forman 1990), one a medium intensity intervention (Elder 1996) and three a low intensity intervention (Ary 1990; Biglan 1987; Reddy 2002). None of these studies reported significant effects.

Analysis 3. Other comparisons

One trial (Storr 2002) contributing to Analysis 1 also had a school-based comparison arm. The family-school partnership arm and the classroom centred 'Good Behavior Game' arms had similar effects on behaviour (RR 1.05, 95% CI 0.80 to 1.38, n = 388, Analysis 3.1).

ADDITIONAL SUMMARY OF FINDINGS *[Explanation]*

Family and school intervention compared to school intervention only for preventing smoking by children and adolescents						
Patient or population: Children or adolescents at risk for smoking uptake Intervention: Family intervention in addition to school intervention Comparison: School intervention only						
Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)	Comments
	Assumed risk	Corresponding risk				
	School intervention	Family and school intervention				
New smoking at follow-up. Baseline never smokers only	230 per 1000	196 per 1000 (172 to 221)	RR 0.85 (0.75 to 0.96) ¹	2301 ² (2 studies)	⊕⊕⊕○ moderate ³	

*The basis for the **assumed risk** (e.g. the median control group risk across studies) is provided in footnotes. The **corresponding risk** (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI).
CI: Confidence interval; **RR:** Risk ratio

GRADE Working Group grades of evidence
High quality: Further research is very unlikely to change our confidence in the estimate of effect.
Moderate quality: Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.
Low quality: Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.
Very low quality: We are very uncertain about the estimate.

* Assumed risk based on same average for control group participants reached at follow-up as used in Analysis 1. There was large variation between studies in the rate of new smoking behaviour.

¹ RR <1 favours family intervention.

² Five studies with approximately 18,500 participants did not present data in a format that could be used in meta-analysis, so estimate does not reflect all the evidence.

³ Most studies have low or unclear risk of bias. Downgraded one level.

DISCUSSION

Summary of main results

We divided studies into two groups. The first group evaluated family-based interventions used on their own, compared to a no-intervention control. The second group evaluated family-based interventions used as adjuncts to school-based prevention interventions; these were compared to school-based interventions alone. Pooling nine trials with baseline never-smokers (six trials used a high, one a medium and two a low intensity intervention) found fewer participants in the intervention arms began smoking than those in no-intervention control groups. Pooling two trials with baseline never-smokers comparing a family intervention plus a school intervention to a school intervention alone (one high and one low intensity) found fewer participants in the combined arms began smoking than those only receiving the school-based programmes. No study reported any possible harms from the interventions.

Thus, there was moderate quality evidence of benefit for family interventions used on their own, and when used as adjuncts to school interventions. For stand alone interventions, a family intervention might reduce new smoking behaviour, including experimenting or trying 'just a puff', by between 16 and 32%. Based on an average prevalence of new smoking across study control groups of 230 per 1000 this would translate to a reduction to between 156 and 193 per 1000 with the intervention ([Summary of findings for the main comparison](#)). However, the prevalence of new smoking that occurred by the time of follow-up differed across studies and the absolute effect of an intervention would depend on the setting. For interventions used as adjuncts to school programmes the estimated benefit would be a reduction in new smoking behaviour of between 4 and 25%. Based on the same assumed control group rate of 230 per 1000 this would translate to a reduction in new behaviour to between 172 and 221 per 1000 from the addition of a family component to a school intervention ([Summary of findings 2](#)).

The common feature of the effective high intensity interventions was encouraging authoritative parenting (interest in and care for the adolescent, often with rule setting). [Cullen 1996](#) used 12 visits by a general practitioner with new mothers to enhance self-worth, self-acceptance, foster gentle physical interaction with her child, and adopt a positive attitude to modifying her child's behaviour. [Fosco 2013](#) provided a Family Resource Center in schools and a consultant used motivational interviewing to identify family strengths and weaknesses, motivate parents to improve parenting and engage in intervention services tailored to the unique needs of each family. [Haggerty 2007](#) provided telephone facilitator support as parents and teens worked through a workbook to identify risks and reduce them, bond with the teen, solve family problems, set family policies and supervise without invading. [Prado 2007](#) provided an intervention to strengthen Hispanic family-centred values and increase parental involvement, positive parenting and fam-

ily support. [Spoth 2001](#) provided sessions for parents and children to strengthen parental skills in nurturing, setting limits and communication about substances, and strengthen children's prosocial and peer resistance skills. [Storr 2002](#) provided workshops to facilitate children's learning and behaviour and focus on effective disciplinary strategies. In a medium intensity intervention [Bauman 2001](#) sent Family Matters booklets to parents and a health educator telephoned a parent, encouraged the participation of all family members in the programme and answered questions.

The common feature of the effective high intensity interventions used as adjuncts to school interventions was again encouraging authoritative parenting. [Guilamo-Ramos 2010](#) encouraged parents to think they could make a difference in their adolescent's tobacco-related behaviour, including strategies for effective communication, topics parents might consider discussing in their conversations with their adolescents, the importance of setting limits, and ways to resist peer pressure. [Spoth 2002](#) encouraged parents to strengthen their skills in nurturing, setting limits and communicating about substances, and strengthen their children's prosocial and peer resistance skills. The classroom intervention in [Jøsendal 1998](#) focused on personal freedom, the freedom to choose, freedom from addiction, and making one's own decisions and the low intensity family component focused on teachers involving parents in discussions and students signing non-smoking contracts.

Overall completeness and applicability of evidence

The key purpose of the review is to assess whether interventions in families prevent adolescent smoking, and we did find 27 trials that addressed this question. However, only half (fourteen) were meta-analysable. Twelve of the 13 that were not meta-analysable found no significant results. The evidence is predominantly from the USA (23 trials), two from Europe, one from India and one from Australia. The evidence is thus mainly from one country on one continent. One trial studied children as young as five, and most trials focused on adolescents aged 11-18. Few studies analysed data separately by gender. We were unable to test whether socio-economic characteristics may have confounded the results, as there were too few studies and details within the studies to determine whether the effects of the intervention were related to socio-economic characteristics. However, randomisation should have prevented differential confounding.

Quality of the evidence

The review identified twenty seven studies RCTs involving over 36,000 participants. Many studies were rated as unclear for most risk of bias domains. For this reason we downgraded the quality of evidence for all outcomes to moderate. Only 14 of the studies had outcomes reported in a way that could be extracted for meta-analysis, and these studies only included about a third of the participants. All but one of the non-meta-analysable studies reported

non significant effects on tobacco use, but the direction of effect favoured the intervention arm in all cases that gave data. However it is possible that this group of studies had smaller effects than those includable in the meta-analysis. Although there multiple possible sources of heterogeneity there was little evidence of statistical heterogeneity. Most studies had point estimates indicative of small benefits of interventions.

A limitation may have been combining interventions with differing aims (e.g., tobacco compared to bicycle helmet, gun and seatbelt safety) and that these unrelated aims caused 'noise' which masked the basic message to prevent smoking. It is possible that some of the combination studies might have shown larger effects if they had limited themselves to a strong tobacco intervention.

Unrecorded co-interventions may have occurred during the study, reducing the apparent effect of the family intervention. Possible co-interventions could include other mandated school anti-smoking programmes, social marketing campaigns using mass media, restriction of smoking locations, enforcement of legislation to prohibit the sale and supply of tobacco to those under 18, increasing taxation and cost of cigarettes, and changes in tobacco promotion by tobacco companies. Another possible confounder was the selection of schools because the teachers were enthusiastic, and although the schools may later have been randomised (as in [Biglan 1987](#)) the co-intervention of teacher enthusiasm could augment the effect of the school component. Most of the studies do not report co-interventions, and if these operated effectively during the study an incremental effect of the family intervention may not have been perceptible.

Potential biases in the review process

There were no limitations of date or language in the literature search, and all titles, abstracts and full-texts were read independently, and data entered independently by two reviewers. Each study was read on multiple occasions and data verified. We did not receive replies to some of our requests for baseline never-smoking cohorts from some authors.

The studies span the period 1990-2014, and trial methodology, analysis and reporting changed over the period. However, some recent studies presented data in non-metanalysable format.

Agreements and disagreements with other studies or reviews

There are no other systematic reviews focusing on family interventions to prevent smoking. A systematic review ([Petrie 2007](#)) identified 16 RCTs, three controlled before and after (CBA) studies and one controlled trial about parenting programmes to prevent tobacco, alcohol or drugs misuse by children under 18 years. They included only seven of the RCTs we identified ([Bauman 2001](#); [Forman 1990](#); [Jackson 2006](#); [Jøsendal 1998](#); [Spoth 2001](#); [Spoth 2002](#); [Storr 2002](#)), and our review excluded four of the RCTs they included ([Lochman 2002](#) (because there was no tobacco inter-

vention) and [Johnson 1990](#), [Perry 2003](#) and [Severson 1991](#) (because the effects of the family intervention could not be separated from those of the school intervention). The authors did not conduct a meta-analysis, but concluded that parenting programmes can be effective in preventing substance use, and noted that more research is needed in this area. A U.S. Preventive Services Task Service review of primary care interventions to prevent adolescent smoking ([Patnode 2012](#)) identified some of the family RCTs we identified, and concluded that behaviour-based prevention interventions could prevent smoking; these findings are not directly comparable with ours due to the wide range of behavioural interventions considered. In general, although parents are important in influencing smoking by children and adolescents, most interventions have focused directly on youth in schools ([Thomas 2013](#)) and there are fewer RCTs of family interventions. This may reflect the difficulties of conducting interventions in families.

Previous literature reviews that have not focused on trials have identified the contribution of family, individual and social factors in adolescent smoking, and have also identified several problems in studying how families influence adolescent smoking. [Darling 2003](#) noted three problems in identifying the causes of adolescent smoking: the transitional nature of adolescent smoking, the multiple forms of family structure and influences, and the relationship of families to other developmental processes. [Avenevoli 2003](#) identified 87 studies of the relationship between adolescent and parental or sibling smoking, of which 43 assessed smoking by both parents and siblings. Most studies were of US Caucasian students. The studies lacked standardized instruments, did not measure important confounding and mediating variables (smoking-specific socialization practices, and the influences of parents on their children's health beliefs, choice of peers, susceptibility to peer pressure, values, and association with peers who smoke), and used cross-sectional designs. Avenevoli was able to identify only five methodologically rigorous studies, and noted that when effects of parental smoking are found the odds ratios are generally less than 2.0, and the effects are often eliminated when other variables are included in models. Most studies of siblings predict current and life-time smoking by adolescents. [Mayhew 2000](#) identified 11 cross-sectional studies and found that adolescent smoking was associated with individual factors (male, Caucasian, positive attitudes to smoking, concerns with body weight, affect regulation, and cigarette availability); family factors (number of family members who smoke, perceptions of parental permissiveness and approval of smoking); and the number of friends in the adolescent's network who smoked, but these cross-sectional studies are methodologically weak in assessing a developmental process. Mayhew identified 19 prospective studies which aggregated the experimenting, regular and established smokers into one group and identified individual factors (number of cigarette offers, beliefs about the positive functions of smoking, minimization of risks, intentions to smoke, tolerance for deviance and drug use, and high estimates of smoking prevalence); family factors (parents and

siblings who smoked, and the level of parental involvement and support); and non-family factors (number of friends who smoked, approval of smoking by friends, low academic expectations by friends, and a commitment to part-time work while in school). Nine prospective studies that identified discrete stages of smoking found that smoking by parents, family, and best friend, and school performance were factors that predicted moving from non-smoking to experimenting; and positive intentions to smoke and lack of commitment not to smoke were related to the transitions between non-smoking and experimenting and experimenting and regular use. Seven developmental studies which specifically tried to study the development of smoking stages found that for individual factors positive attitudes to smoking predicted high initial rates of smoking and faster rates of smoking; high estimates of the prevalence of tobacco use and alcohol use predicted the transition from trying to experimenting; and marijuana use predicted transitions from non-smoking to trying, trying to experimenting, and experimenting to regular use. For family factors, having parents who smoked predicted the transition from non-smoking to experimenting, and parental divorce predicted the transition from non-smoking to regular smoking. For non-family factors the number of peers who smoked predicted the transitions from never to trying and from trying to experimenting. [Tyas 1998](#) found that adolescent smokers who begin at younger ages are more likely to become regular smokers and less likely to quit; parental indifference, lack of supervision and lack of knowledge about their children's friends increases the risk of smoking, as does the perception that friends smoke. Participating in sports is associated with lower rates of smoking.

AUTHORS' CONCLUSIONS

Implications for practice

The evidence of this review shows that family-based interventions have the potential to prevent children and adolescents from start-

ing to smoke. There was more evidence that high intensity programmes were likely to be effective because more studies used interventions that were classified as high intensity, but there was not strong evidence of a dose response.

The implications for practice are to choose one of these authoritative parenting interventions most suited to the families who may be involved and the intervention resources available. Given the heterogeneity in the intervention and settings, caution is warranted. When implemented, it may be important monitor both implementation integrity and outcomes.

Implications for research

The implications for research are to conduct focus groups to assess how the theoretically best grounded interventions with significant results identified in the meta-analysis could be further improved and then test them head-to-head and against a control group. Consortia of researchers could collaborate to test them in fully powered trials with different adolescent and family populations, carefully executed with minimal attrition, maximum programme fidelity and analysed to assess any effects of clustering. The majority of studies were undertaken in the USA and studies in other countries and including their different cultural groups are much needed. The inclusion of an economic evaluation would be useful in understanding the potential cost-effectiveness of the interventions.

ACKNOWLEDGEMENTS

We thank David Olds, Cheryl Perry and Nicholas Jalongo for additional data and clarification Paul Aveyard and Bruce Simons-Morton for commenting on an earlier version of this review, and Tim Lancaster, Lindsay Stead and Monaz Mehta for excellent editorial support. For this edition of the review we thank Dr. Lin Fang, Dr. John Pierce, Dr. Beth Stormshak and Dr. Sharlene Wolchik (for data for [Soper 2010](#)).

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* Indicates the major publication for the study

CHARACTERISTICS OF STUDIES

Characteristics of included studies *[ordered by study ID]*

Ary 1990

Methods	Study design: C-RCT. Schools matched on urban/rural status, level of tobacco use, ethnicity and school size, then randomised. In the 12 intervention schools, parents randomised to receive (n = 509) or not receive (n = 400) parent messages. No power computation. Analysis: ANCOVA Total study duration: 1 year	
Participants	Total number: 4891 parents randomised to receive 3 parent messages. At baseline 7837 elementary, middle and high school students provided questionnaire and biochemical data; 6263 of these provided follow-up data 9-12 months later. In one school district with 12 schools 509 parents received 3 parent messages and 400 did not and in 2 other school districts 4382 parents received 3 parent messages. Setting: 22 middle/elementary & 15 high schools from 13 districts in Oregon, USA Age 6-11th graders; Gender not stated.	
Interventions	Focus: tobacco, alcohol and marijuana prevention Intervention (1): Project PATH (Programs to Advance Teen Health) Components: At each grade level (a) awareness of social influences to engage in substance use (b) refusal skills training (c) health facts, and (d) contracting not to use cigarettes and other substances. Duration: 25 classroom sessions (5 in each of grades 6 through 10), typically taught over a 1 week period ('focused most heavily on cigarette smoking and smokeless tobacco use, it was designed to deter the use of marijuana and alcohol'). Sessions taught by classroom teachers (who received 2 to 3 hours of training), and in grades 7 and 9 by peers nominated by their classmates. Program different for each grade. Intervention (2): (a) PATH + (b) "Three brochure-like messages were mailed on separate occasions to parents ... designed to support components of the classroom intervention, including refusal skills, health effects information and commitment not to smoke or chew." (low intensity) (3) Control: typically received 10 classroom sessions of standard tobacco/drug use education. (Outcomes not considered for this review)	
Outcomes	Smoking: Pechacek's self-reported smoking index to yield an estimate of the no. cigs smoked in last month (composite of no. in last 6m, last month, last week, and last 24 hours): Dichotomised on >1 cig in previous month. Expired air CO tested before survey completion. Follow-up: 9-12m after pre-test. Only results for grades 6-9 given in Ary 1990	
Notes	Performance bias: No assessment whether letters to parents received or read	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	In 12 intervention schools 509 parents randomly assigned to receive and 400 to not receive messages (imbalanced group num-

		bers) and in the other intervention schools all 4382 parents received messages. Method of randomisation not specified. Schools were blocked on urban/rural status then tobacco and drug use, ethnicity and school size
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No information as to whether parents aware of alternate conditions or whether contamination could occur
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	No information given for receipt of parental messages
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Unclear risk	Family intervention consisted of letters to parents at their homes, so no adjustment for clustering needed for this intervention component. However, no adjustment for clustering in the school intervention

Bauman 2001

Methods	Study design: RCT. 64,811 telephone numbers representative of all telephone numbers in the US; then by random digit dialling found 2,395 (3.7%) where there was a household with an eligible adolescent age 12-14 and parent pair; then randomised to intervention or control. No power computation. Analysis: GEE Total study duration: follow-up 12 months after completion of program
Participants	Total number: Of 2395 eligibles, 1,326 (55%) completed a baseline interview, and of these 549 (46%) began the program, and 407 (34%) completed Setting: National telephone survey, USA; Age 12-14; Gender not stated
Interventions	Focus: tobacco and alcohol prevention Intervention: The Family Matters intervention: 4 booklets mailed to participants: (a) booklet 1: discuss the consequences to the family of adolescent tobacco or alcohol use; (b) booklet 2: record normal adolescent behaviours, and understand the importance of supervision, support, communication skills, attachment and conflict resolution (c) booklet 3: list parental behaviours that might encourage substance abuse, identify rules that could influence their child's substance use, monitor use, and agree on rules and sanctions for substance use; (d) booklet 4: adults and adolescents to consider what the adolescent could do to resist peer and media pressures to use substances, to practise

	<p>refusals of tobacco and alcohol, and to watch favourite TV shows together to discuss the messages of the programmes about alcohol and tobacco use. 2 wks after each booklet was posted, a health educator telephoned a parent, encouraged the participation of all family members in the programme, and answered questions; Parent consultants delivered programme and were trained with manual over entire 2 years (moderate intensity). (2) Control; No active programme, only data collection</p>
Outcomes	<p>One question: 'How much have you ever smoked cigarettes in your life?': Likert-scale responses collapsed to never-smoked or had smoked even a puff. Smokeless tobacco determined by 'Have you ever tried chewing tobacco (such as Redman, Levi Garrett, or Beechnut) or snuff (such as Skoal, Skoal Bandits, or Copenhagen)?'. Follow-up at 3m and 12m. "Families who completed the entire program (74% ...) spent an average total 4 1/2 hours doing the program and parents spent an additional hour talking with the health educator by telephone. The majority of families completed all activities associated with each booklet." Data are for baseline never-smokers (identified from Figure 1)</p>
Notes	<p>Only cigarette use used in meta-analysis. Smokeless tobacco use low and did not differ by condition</p>

Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	"telephone numbers selected to be representative of all telephone numbers in the contiguous states were generated by random-digit dialling....As baseline interviews were completed, parent-adolescent pairs were matched by date and time of completion and then allocated randomly either to receive Family Matters or to serve as control subjects." Method of randomisation not stated
Allocation concealment (selection bias)	Unclear risk	"As baseline interviews were completed, parent-adolescent pairs were matched by date and time of completion and then randomly allocated." No details reported who matched pairs
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	Not possible with this intervention
Blinding of outcome assessment (detection bias) All outcomes	Low risk	Interviewers and health educators were different people, and their interaction was minimized. Interviewers and health educators were blinded from study findings until

		all data had been collected
Incomplete outcome data (attrition bias) All outcomes	High risk	'86.2% of baseline respondents participated at follow-up'. 'To assess attrition bias after baseline, we compared respondents who did and did not complete follow-up interviews... respondents lost to follow-up were more likely to be baseline users ..'
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	

Biglan 1987

Methods	Study design: C-RCT. In one school district (6 schools) whole schools assigned to conditions. For the remaining 7 schools, "classes of teachers who agreed teach the experimental curriculum ... were randomly assigned to intervention or control" and 7th grade students were randomly assigned to have parents receive or not receive parent messages. Power computation for parent messages performed but not reported. No power computation for main study. Analysis: factorial analysis of covariance. Separate analyses for those reporting smoking in previous week at baseline and others. A combined within- and between- schools design was used to investigate contamination effects, classroom unit of analysis, Total study duration: 9-12 months after initial assessment.
Participants	Total number. At pre-test: 3387 in 135 classrooms (4.9% weekly smokers); Setting: 13 middle, junior & high schools, Oregon, USA; Age 7-10th grades; Gender 51% F; majority white
Interventions	Focus: Preventing and reducing smoking Intervention (1): Information about health effects and short-term effects of tobacco; sensitization to pressures to smoke; training in refusal skills including modelling, rehearsal, reinforcement, practice, video practice, and supporting peers in refusals. Duration: 5 sessions; 4 on consecutive days + booster at 2 wks. Providers: regular science or health teachers, trained for 2-3 hrs. Intervention (2): (a) same as (1) + (b) 7th graders in 6 schools randomised to have 4 messages mailed to their parents following the programme to encourage parents to discuss their views of smoking with their child and set clear rules about smoking (low intensity). (3) Control: no intervention
Outcomes	Weighted index of self-reported smoking (Pechacek) based on no. smoked in previous week and yesterday. Nonsmoking=no cigs in previous week. Expired CO measured and saliva collected prior to questionnaire completion. Follow-up: 9m and 1 yr
Notes	

Biglan 1987 (Continued)

<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Randomisation method not stated
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	Not possible with this intervention
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	Assessment in class. No statement if assessors blinded.
Incomplete outcome data (attrition bias) All outcomes	Low risk	18.7% attrition (19.8% in treatment, 24.1% in control, ns); no differential attrition
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	No effects of clustering were detected by the factorial ANOVA, which included grade as one covariate. Students were the unit of analysis for the parent messages

Connell 2007

Methods	Study design: C-RCT. 998 6th graders randomised to either control or 'universal intervention' classrooms. No power computation. Analysis: "we use CACE [Complier Average Causal Effect] analysis to identify predictors of intervention engagement and to examine the effect of engagement with the selected and indicated levels of ATP [Adolescent Transitions Program] intervention on the development of problem behavior..." Total study duration: 6th grade to age 22
Participants	Total number: 998 (all 6th graders in the three middle schools; 498 allocated to control, 500 to intervention, 115 received an additional family intervention) Setting: 3 middle schools in a NW metropolitan area, USA; Age 6th graders; Gender 47.3%F
Interventions	Focus: Preventing and reducing smoking and problem behaviour Intervention: Adolescent Transitions Programme. Schools provided with a Family Resource Center (a) brief consultations with parents; (b) telephone consultations; (c) feedback to parents on their childrens' behaviour at school; (d) access to videotapes and books; (e) SHAPe Curriculum for students with 6 lessons (school success, health decisions, building positive peer groups, cycle of respect, coping with stress and anger, and solving problems peacefully. A Family Check Up (FCU) was offered (interview exploring parent

Connell 2007 (Continued)

	<p>concerns, assessment including videotaping family at home, feedback by the therapist using motivational interviewing strategies and exploring interventional services the family could use, which were delivered over two years by therapists). Although all families could receive the FCU, families of high-risk youths, determined by teacher ratings, were specifically offered the FCU in seventh and eighth grades. The 115 who received this component were designated as “engagers” in the FCU. These families received average 8.9 hours direct contact with intervention staff. (high intensity)</p> <p>Control: no intervention.</p>
Outcomes	Tobacco from 1 (never) to 6 (more than 20 times) Follow-up to age 16-17 in Connell 2007, to age 22 in Connell 2009
Notes	Connell 2009 reports sub group analysis of ‘engagers’ matched to control youth using CACE analysis. Data could not be extracted for meta-analysis, reported narratively CACE analysis is intended to control for non-compliance; minimal details are provided; results for tobacco are stated as “significant” but no levels of significance are given or n’s

Risk of bias

Bias	Authors’ judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	‘Youths were randomly assigned at the individual level to either control (498 youths) or intervention (500 youths) classrooms’ no other details provided. “Although all families could receive the Family Check Up, families of high-risk youths, determined by teacher ratings, were specifically offered the FCU.” Method of randomisation not stated
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	Attrition 21% by age 18; no analysis if differential attrition occurred
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	

Cullen 1996

Methods	<p>Study design: RCT. 246 newborns 1964-7 stratified by gender and birth order in their family, then allocated by alternate births to either intervention or control; No power computation. Analysis: tests of proportions using normal approximation to the binomial distribution</p> <p>Total study duration: 27-29 years</p>
Participants	<p>Baseline: cohort of 246 newborns 1964-7, 124 randomised to intervention, 122 to control. Follow-up in 1993: 209 (90%) adults aged 27-29 years; intervention 105, control 104</p> <p>Setting: alternate births in Busselton Hospital, Busselton, WA, Australia; 53% female at follow-up</p>
Interventions	<p>Focus: prevention of behaviour disorders</p> <p>Intervention: 20-30 min interviews by GP (4 per yr in 1st yr, 2 per yr for next 4 yrs) with mothers to enhance self-worth, self-acceptance, foster gentle physical interaction with child, and adopt a positive attitude to modifying child's behaviour (assessed as high intensity)</p> <p>Control: the study secretary maintained contact with the parents, asked about family events in preceding year and took photos of children at 6 months;</p> <p>No researcher contact with either group 1975-1993 'other than sporadic visits' to one author as their GP</p>
Outcomes	<p>Current smoking (not further defined);</p> <p>Personality, language and learning ability tests at 6 yrs of age</p>
Notes	

Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Alternate allocation by birth in hospital (stratified by gender and position in family). alternate allocation is usually a weak method, but alternate allocation of births may not involve bias as there are no intrinsic characteristics that would cause newborns with specific characteristics to alternate time of birth;
Allocation concealment (selection bias)	High risk	246 families at baseline in 1964-7 received counselling about child rearing. 209 (90%) of the then newborns were followed up by postal questionnaire as adults aged 27-29 years in 1993. There is no statement about allocation concealment
Blinding of participants and personnel (performance bias) All outcomes	Low risk	"The original blind nature of this therapeutic trial was maintained for the current

Cullen 1996 (Continued)

		study.” No statement or process analysis if all GP interviews were conducted and all according to Protocol
Blinding of outcome assessment (detection bias) All outcomes	Low risk	“The original blind nature of this therapeutic trial was maintained for the current study.”
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	10% attrition; no attrition analysis
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	

Curry 2003

Methods	Study design: RCT. families stratified by child’s age, site, and subcohort (assessment or only follow-up) then randomised to intervention or control. No power computation. Analysis: Chi squared to compare nominal data; t-tests to compare means on ordinal and interval data; logistic regression for comparisons adjusting for parent baseline survey data, and “tested for effect modification by fitting logistic regression models containing treatment interaction terms.” Total study duration: 20 months
Participants	Total number: Eligibles were 7,337 families with a child 10-12 yrs identified in the membership files of 2 HMOs in Seattle and Portland; 4,026 [55%] gave consent and 3,563 (88% of enrolled) completed the 20m follow-up; at the 20m assessment the response rate was 86% in the intervention and 90% in control (P<0.001). Random sample of 12.5% in each group assigned to assessment cohort in which parent and child provided data at baseline, 6,12 and 20 months Setting: Health Maintenance Organisation, Portland, Seattle, USA; Age; 10-12; Gender 52%F
Interventions	Focus: smoking prevention. Intervention: ‘Steering Clear Project: (1) intervention: (a) 12-chapter parent handbook with information and activities to encourage, motivate and reinforce parent-child communication about tobacco; a videotape on the experiences of a former tobacco model; a CDC videotape; and a comic book, pen and stickers for the child; (b) two calls from a counsellor; (c) a 6-page newsletter 14m later; (d) access to a website; and (e) physicians were prompted during appointments to encourage families to use the videos and website and talk about staying smoke-free; trained telephone counsellors. Authors describe programme as ‘minimal intensity’. Control: ‘usual care’. Exposure to school-based tobacco prevention curricula; tobacco marketing; and media-based tobacco prevention messages was assessed at baseline, 6m, 12m, and 20 month follow-ups

Curry 2003 (Continued)

Outcomes	Ever smoking and smoking in the past 30 days. Follow-up at 20m	
Notes		
Risk of bias		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Method of randomisation not stated; groups were similar at baseline in family characteristics; 2.5% of children in intervention and 0% in control reported smoking in prior 30 days ($p = 0.02$);
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	Blinding of participants not possible. Blinding of personnel not addressed
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	at 20m assessment response rate was 86% (I) and 90% (C) ($P < 0.001$); no differential attrition analysis
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	Interventions were to individual parents, so no effects of clustering. No other biases ascertained

Dishion 1995

Methods	<p>Study design: RCT. Self-recruitment through advertisements, then randomly assigned to intervention or control. No power computation. Analysis: MANCOVA.</p> <p>“Omnibus multivariate effects (within domain) were calculated to determine if outcome variables varied by intervention condition. Significant effects were followed by orthogonal planned contrasts to determine whether any of the three intervention groups ...were more effective.” [i.e., clustering was not assessed]</p> <p>Total study duration: 1 year follow-up</p>
Participants	<p>Total number: 158 families recruited into the study after screening, 147 children at 1 year follow-up (89% child interviews, 84% mother ratings, 88% teacher ratings).</p> <p>Setting: Eugene, Oregon, USA; Age 10-14, avg, 12; Gender: 47%F</p>

Interventions	<p>Purpose: “test alternative strategies to reduce escalation in problem behaviours among high-risk young adolescents.” Strategies are to “target parents’ use of effective and non-coercive family management practices (parent focus) and young adolescent’s self-regulation and competence in family and peer environments (teen focus).” Parent sessions focused on 4 key skills; monitoring, positive reinforcement, limit setting and problem solving</p> <p>“All families were initially visited at home by therapists from their group.” Interventions 1, 2 & 3 were 12 x 90-min counselling sessions based on scripted materials and videotapes:</p> <p>Intervention 1: Parent focus (n = 26): the parent’s family management practices and communication skills (monitoring, positive reinforcement, limit setting, and problem solving, with discussion of home practices and demonstration of the skills, with exercises, role-plays, and discussions);</p> <p>Intervention 2 (n =32): Teen focus: teen self-regulation and pro-social behaviour in parental and peer environments (self-monitoring and tracking, pro-social goal setting, developing peer environments supportive of pro-social behaviour; setting limits with friends; and problem solving and communication skills with parents and peers);</p> <p>Intervention 3 (n=31): combined parent and teen intervention; (Interventions 1-3 high intensity)</p> <p>Intervention 4 (n=29): self directed change (the 6 newsletters and 5 brief videos that accompanied the parent- and teen-interventions);</p> <p>(5) Control (n=39): separately recruited by advertisements, no intervention offered. [data not included in this review as non-random]</p>	
Outcomes	<p>(1) Tobacco use over previous 3m; ‘the raw frequencies of use reported over 3 months were transformed (log +1) to yield a distribution for outcome analyses’; (2) expired CO; (3) parent-child problem solving; (4) parent reports of family conflict; (5) parent reports of child behaviour. Follow-up at 1 yr</p>	
Notes		
Risk of bias		
Bias	Authors’ judgement	Support for judgement
Random sequence generation (selection bias)	High risk	Method of randomisation not stated; “A cluster sampling approach was used to achieve random assignment. This procedure provided a pre-established order of assignment of families to each of the four intervention conditions until all conditions were filled. Boys and girls received assignments separately to assure equal distribution of gender across conditions.” [The control was described as quasi-experimental and recruited separately, so any comparison with the control is high risk]
Allocation concealment (selection bias)	Unclear risk	No statement

Dishion 1995 (Continued)

Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement
Blinding of outcome assessment (detection bias) All outcomes	Low risk	Biochemical validation used
Incomplete outcome data (attrition bias) All outcomes	Low risk	No attrition analysis. An inspection of differential dropout of users and nonusers by condition revealed no differences using either parent or child data
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Unclear risk	No analysis for any effects of clustering

Elder 1996

Methods	Study design: C-RCT. 10 schools at each site randomised to control, 7 to school-based intervention, 7 to school and family. Analysis: repeated measures ANCOVA, multiple logistic regression to identify predictors of smoking experimentation, school random effect in all analyses, but school effects not stated. Study was not designed to find a difference in smoking prevalence Total study duration: 3 years
Participants	Total number: Eligibles: all 3rd grade children 1991-2 (n not stated). Average of 9087 children evaluated 1992-1994, and 7827 children at end of 5th grade, of whom 6527 gave complete information. Setting: 96 schools (24 each in Texas, California, Louisiana and Minnesota) USA; Age 3rd grade at baseline; Gender 51% F; Ethnicity, 71% White, 16% Hispanic; 14% African-Americans
Interventions	Focus: CATCH trial (Child and Adolescent Trial for Cardiovascular Health) Intervention 1: School intervention, 15 sessions in 3rd grade about diets healthy for hearts and exercise, 12 in 4th grade about exercise, and 16 about exercise in 5th grade plus 8 about tobacco. The tobacco intervention (only offered in 5th grade) was 'F.A. C.T.S. for 5' (Facts and Activities about Chewing Tobacco and Smoking). 4 x 50 min sessions: Session 1: short- and long-term effects of tobacco use; Session 2: motivations and fallacies about tobacco use; Session 3: economic costs of tobacco use and the efforts of the tobacco companies to promote use; Session 4: dangers of passive smoking and being supportive of those who want to quit. Policy component, encouraging the adoption of policies for the school to be tobacco-free (Minnesota schools already had a policy of 100% smoke-free schools at all time periods. Intervention 2: (a) School intervention as above, plus (b) Family intervention, Home-based programme, using 'The Unpuffables' from the ALA: 4 sessions with stories about adolescents who combat tobacco use, and games to play with parents Teachers received 1 or 1 1/2 sessions of training;

Elder 1996 (Continued)

	Control; no statement.	
Outcomes	% of schools with smoke-free policies; Smoking prevalence. Duration of follow-up: 3 yrs.	
Notes		
Risk of bias		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Method not stated
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	Not possible to blind participants to intervention. Researcher blinding not stated
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Low risk	Data not available from one school
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	The family component consisted of attending 2 Family Fun Nights, and 15 individual parent and child activity packets to be completed as dyads, so there are no concerns about clustering effects for the principal part of the family programme

Fang 2013

Methods	Study design: RCT (mother-daughter dyads). No power computation. Analysis: general linear model repeated-measure analyses, intention-to-treat Total study duration: 2 years
Participants	Total number: Baseline 206 mother-daughter dyads eligible, 98 excluded, 108 randomised (56 intervention, 52 control) Setting: recruited from 19 states from social network sites and social service agencies, USA; Age 10-14; Gender 100%F

Interventions	Focus: Substance use and modifying risk and protective factors at individual, family and peer levels Intervention: online 9 session (each 35-45 minutes) + 1 booster substance abuse prevention programme to strengthen quality of relationships with mothers and increase girls' resilience to resist substance use (audio, graphics, animation, activities, skill demonstrations, guided rehearsal, immediate feedback) (moderate intensity) Control: no intervention	
Outcomes	Number of occasions smoked cigarettes past 30 days Follow-up: 2 years	
Notes	Fidelity assessment: Computer automatically returned participants to last place they logged off and participants could not log onto next module until previous one completed; only data from participants who answered 3 of 4 fidelity check questions were included	
Risk of bias		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	"Assigned by blocked random number sequence using computer random number generator"
Allocation concealment (selection bias)	Low risk	"research staff member who was not involved in participant enrolment and intervention assignment generated the sequence using a computer random number generator"
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement
Blinding of outcome assessment (detection bias) All outcomes	Low risk	"Investigators and recruiting staff were blinded to the assignment procedure"
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	Of 56 mother-daughter dyads 54 (96.4%) fully attended 9 session web-based programme, 50 (89.2%) completed 2 year follow-up; of 52 control arm dyads 9 lost at 2 year follow-up; no analysis if differential attrition [outcome data on never smokers provided by Dr Fang via e-mail]
Selective reporting (reporting bias)	Low risk	No selective reporting

Other bias	Low risk	The intervention was online and individual, so no concerns about clustering. No other biases ascertained
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Forman 1990

Methods	Study design: C-RCT. Schools matched on level (middle vs. high school) ethnic composition, % of students receiving free lunches, and school size, and within each cluster randomised to the school intervention, school plus parent intervention or comparison group. No power computation. Analysis: Repeated measures multivariate ANOVA, analysed separately with the school and the individual as unit of analysis (results showed no differences by unit of allocation) Total study duration: 1 year.	
Participants	Total number: Eligibles: 327 students, referred by teachers if had two or more of: high number of disciplinary incidents, low grades, high number of unexcused absences, drug or alcohol use by most friends, drug or alcohol use by family members, low self-esteem, social withdrawal, or experimental alcohol or drug use; 279 (85%) completed 20 hour training group and pre- and post-assessment sessions; 201 completed booster and assessment at 1 year Setting: all 30 secondary schools in a SE metropolitan area, USA; Age avg 15 yrs; Gender no statement; Ethnicity 75% White, 24% Black, 1 Hispanic, 3 Other	
Interventions	Focus: tobacco, alcohol and marijuana prevention Intervention 1: School intervention (10 session small groups with Botvin's Life Skills Training, with 2 hr booster 1 year later). Students learned behavioural self-management, emotional self-management, decision-making, and interpersonal communication and "substance information was addressed." Students provided with handbook with summaries of concepts, facts, and skills discussed during group sessions, material for group exercises, and directions for completing homework assignments. Intervention 2: (a) School intervention + (b) Parent intervention: parents participated in 5 weekly 2-hr sessions to teach parents the coping skills their children were learning in the student groups, teach parents behaviour management skills, and develop small group support system for parents to encourage each other to take positive, constructive action regarding their adolescents. (high intensity) Control: 10 x 2-hr sessions in structured small groups with substance abuse programme adapted from that provided by the state drug and alcohol commission	
Outcomes	Lifetime, monthly, weekly and 24-hr tobacco use; saliva samples were collected but not analysed Follow-up: 1 year	
Notes	Performance bias: 74% avg completion of coping skills sessions; 44% students in School Plus Parent intervention group had a parent participate in parent training sessions	

Risk of bias

Bias	Authors' judgement	Support for judgement
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Forman 1990 (Continued)

Random sequence generation (selection bias)	Unclear risk	“All secondary schools (N = 30) in a seven-school-district, two-county, southeastern metropolitan area were matched into groups of three on the basis of secondary level (middle vs. high school), racial composition, percentage of students receiving free lunch, and school size so that each matched cluster contained schools that were most similar to each other with regard to these characteristics. Within each cluster, schools were randomly assigned to three treatment conditions.” No statement of method
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	High risk	15%; 279 of 327 students completed the 20 hour training and pre-and post-treatment assessment sessions, and of these 200 (72%) completed the booster one year later; no differential attrition analysis
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	Clustering assessed with analyses with the individual and the school as unit of analysis, Repeated measures multivariate ANOVA. No other biases ascertained

Fosco 2013

Methods	Study design: RCT. No power computation. Analysis: structural equation modelling Mplus 6.1, models estimated using full information maximum likelihood estimation to reduce bias from missing data Total study duration: 3 years
Participants	Total number: 593 adolescents and their families (386 intervention, 207 control) Diagnostic criteria: adolescents and families could participate in family resources through school Family Check-Up programme; Setting: 3 public middle schools in urban area Pacific NW, USA; Age: 6-8 grade; Gen-

	der: intervention 48.2% F, control 49.3 % F; Ethnicity; Intervention 35.8% European American, 17.9% Latino/Hispanic, 15.5% African-American, 7.5% Asian American, 2.6% American Indian/Native American, 1.8 % Pacific Islander, 18.9% Biracial/mixed ethnicity; control 36.7%, 18.4%, 14.5%, 6.3%, 1.9%, 1.9%, 20.3%	
Interventions	<p>Focus: Behavioural problems (anti-social behaviour, deviant peer group affiliation, substance use)</p> <p>Intervention: Family resource centre at school. Parent consultant trained in Family Check-Up model to facilitate collaboration with parents, identify youth at risk, and refer at-risk students for counselling. At risk adolescents and families participate in 3 motivational interviewing sessions to identify family strengths and weaknesses, motivate parents to improve parenting and to engage in intervention services. Feedback about assessment results provides opportunity to select interventions tailored to unique needs of each family. Of 386 families in intervention group, 51% received consultation from parent consultant, 42% full FCU intervention; of those receiving FCU, 78% received additional follow-up assistance such as parent skills training, education-related concerns, support in success with homework, attendance and grades, improving school behaviour, and facilitating parent-teacher communication. Of 180 families, 36% received positive behaviour support, 68% support in limit setting and monitoring skills, 73% support for communication and problem-solving, 67% school-related support. Intervention families received an average 94.2 minutes of intervention time. (assessed as high intensity)</p> <p>Control: no access to Family Check-Up and its intervention services</p>	
Outcomes	Number of cigarettes previous month Follow-up: 3 years post intervention	
Notes		
Risk of bias		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	adolescents "randomly assigned" blocked on school
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	Baseline 593 (intervention group 386, control 207). [E-mail from Dr Stormshak 26 January 2014: Intervention group (compliers baseline 138 never smokers; final evalu-

		ation 122 never smokers, 11 smokers) and (non-compliers baseline 208 never smokers and 3 smokers; final evaluation 130 never smokers and 13 smokers); Control group (compliers baseline 23 never smokers, final evaluation 7 never smokers and 9 smokers) and (non-compliers baseline 160 never smokers and 3 smokers; final evaluation 126 never smokers and 9 smokers). No differential attrition analysis
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	Structural equation modelling assessed any clustering effects. No other biases noted

Guilamo-Ramos 2010

Methods	Study design: RCT. Analysis; linear regression, logistic regression, odds ratio Total study duration: 15 months
Participants	Total number: Eligibles 1734 African-American and Latino mother-adolescent pairs, children enrolled in grade 6 or 7; 1386 randomised (695 intervention vs. 691 control) ; at 15 month follow-up 1,096 included in analysis (542 intervention vs. 554 control). Mothers eligible if they were aged 18 years or older and were primarily responsible for providing care for the target child Setting: 6 middle schools in the Bronx and Harlem communities of New York, USA; Age: Grades 6-8; Gender 50.4%F
Interventions	Purpose: “We evaluated the effectiveness of a parent-based add-on component to a school-based intervention to prevent cigarette smoking among African American and Latino middle school youths.” Intervention 1: Project Towards No Tobacco Use (TNT) smoking intervention: 10 modules modified for inner city schools to two face-to-face sessions each 2.5 hours (effective listening and tobacco information, course and consequences of tobacco use, self esteem, being true to oneself, changing negative thoughts, effective communication, assertiveness and refusal skills, advertising, and social activism) PLUS the “Linking Lives” intervention (a) “Raising Smoke-Free Kids” (manual of 9 short modules, two tobacco-related homework assignments for parents to use with adolescent), (b) two one-day sessions. Day 1: discussed modules, concept parents could make a difference in their adolescent’s tobacco-related behaviour, strategies for effective communication, topics parents might consider discussing in their conversations with their adolescents, importance of setting limits. Day 2: Tobacco-related homework assignments: consequences of smoking, and ways to resist peer pressure. Mothers received 2 booster calls 1 & 6 months after the intervention Control: Project Towards No Tobacco Use (TNT) smoking intervention

Outcomes	Ever smoking. Analyses include baseline smokers. Total study duration: 15 months: measures at baseline and at 15 months	
Notes	Research was supported by funding from the Centers for Disease Control and Prevention (CDC; cooperative agreement U87/CCU220155-3-0). Odds ratio for ever smoking 0.58 (0.36 to 0.94) based on logistic regression, also reports that 5% TNT-plus and 10% TNT only reported ever smoking at follow-up. Numbers quit estimated from these percentages to approximate the reported OR. Power computation: not reported	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	"randomly assigned by computer."
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement. Not possible to blind participants to intervention
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Low risk	22% of those interviewed at baseline did not complete the study. Included in analysis only those who reported data Incomplete data points for participants Analysis if differential attrition could affect outcomes
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Unclear risk	Linear and logistic regression and covariates included grade, but no assessment of clustering. Some contamination of the control was observed, 25% stated they had given their child a handout that had been distributed solely to parents in the TNT plus parent condition. Higher level of contamination than expected, however it does not seem to have been enough to dilute the intervention effect. Smoking behaviour of adolescents was based on self-reports

Haggerty 2007

Methods	Study design: RCT. Power computation: No details; sample size in each of the cells prohibited conducting interaction analyses for race×gender×intervention condition Statistics: repeated measure mixed model regression. Intention-to-treat analysis Total study duration: 2 years.
Participants	Total number: 331 Grade 8 youth; Self-Admin & Telephone support (SA): 107 families; Parent and Adolescent Administered (PA) format 118 families; Control: 106 Setting: Grade 8 students, Seattle Public Schools, USA; Age: 13.7 years; Gender 49%F; Ethnicity 168 European-American; 163 African-American
Interventions	<p>Purpose: multifaceted family-based prevention approach to address common risk and protective factors for initiation into cigarette, alcohol, other drug use or sexual activity, delinquent and violent behaviour so that each teen's particular vulnerabilities are addressed. Sought to test for overall effects on initiation of problem behaviours in the first 2 years of high school when initiation is likely to occur, but levels are still relatively low. Strategies designed to help families reduce risk factors. Programme teaches families to reduce family management problems by increasing parental supervision and effective consequences for misbehaviour</p> <p>Intervention 1: Self-administered with Telephone Support. Video and activities completed within 10 weeks. Written instructions and 62 key activities to complete as a family. Receipt of \$100 for completion of program activities. Trained telephone facilitators</p> <p>Intervention 2: Parent and Adolescent (PA) Format (assessed as high intensity). Met for 7 consecutive weeks, sessions conducted once per week over 7 weeks in middle school. 1,3 & 7 session 2.5 h long, remaining 2 h. Group and home practice exercises, video segments and workbook. Reimbursement provided for Childcare and transportation Receipt of \$100 for participation. Trained leaders</p> <p>Program workbook (common to both): Chapter One Roles: Relating to your teen; Chapter Two Risks: Identifying and reducing them; Chapter Three Protection: Bonding with your teen to strengthen resilience; Chapter Four Tools: Working with your family to solve problems; Chapter Five Involvement: Allowing everyone to contribute; Chapter Six Policies: Setting family policies on health and safety issues; Chapter Seven Supervision: Supervising without invading</p> <p>(3) Control: No treatment follow-up only</p> <p>Integrity of Intervention: Self-administered with telephone support: Mean level of reported completion of the family activities was 81%. On average, family consultants made 16.9 call attempts resulting in 9.7 completed calls during the 10 weeks; phone calls lasted about 10.5 min per week. Families received up to \$100 for their completion of program activities</p> <p>Parent and Adolescent (PA) Format: Group leaders called families each week to remind them of the upcoming session. Of the 118 families assigned to the PA condition, 92 (77.9%) initiated the parent and teen sessions. The mean number of sessions attended was 4.56. Family sessions were led by two workshop leaders with prior experience conducting parent or teen workshops, and received 20 h of training</p>
Outcomes	Initiation of cigarette use from post-test to 24 month follow-up Sex, Alcohol, Marijuana, other illegal drugs also assessed
Notes	Grant # R01-DA121645-05 from the National Institute on Drug Abuse Results from both formats of programme combined and compared to control

<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	"Participating families were stratified on race and gender". The unit of assignment was the family, not school or neighborhood." Authors stated: "Comparisons at baseline revealed no significant differences on demographic characteristics or outcome variables by intervention condition, indicating the integrity of randomisation."
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Low risk	Attrition of participants: 331 baseline, 313 at post-tests, 306 at 1 year, 304 at 2 years = 92%. "No significant differences between attriters and attriters on key outcomes . . . Among both child outcome and demographic measures, there was no evidence of differential attrition."
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	High risk	The interventions were self-administered, so there is no concern for clustering Selection bias: Consent rates were higher for Afro American families (55%) than for European American families (40%). Of those who refused, 53% completed a refusal survey which suggested that those who declined participation were significantly more educated and were more likely to be married and to be European American than parents who consented

Hiemstra 2014

Methods	Study design: C-RCT. Power computation: 428 per condition to detect 10% absolute difference in initiation of smoking over 36 months alpha = 0.05, 2 tailed, power = 80% Analysis: logistic regression to assess baseline covariates; intention to treat, missing data replaced by multiple imputations; outcome differences between conditions by chi-squared; ICCs zero so no adjustment for school effect Total study duration: 36 months
Participants	Total number: 1478 children and mothers (728 intervention, 750 control); then those who had already puffed a cigarette (80) were excluded from analysis, leaving 1398 never-smoking children. [630 of 1347 school boards were willing to give recruitment letters to children to pass to parents] Setting: 418 schools, Netherlands; Age: 9-11; Gender: Intervention 56.6% F; control 48.7% F
Interventions	Purpose: to prevent smoking initiation Intervention: "Smoke-Free Kids" programme: 5 printed activity modules + booster (general communication about smoking, influence of smoking messages, rule setting and non-smoking agreement, creating smoke free house and environment, peer influence). Booster module 12 months after baseline. (assessed as low intensity) Control: "Factsheets provided information on youth smoking and directed parents' attention towards macro-level variables relevant to youth smoking."
Outcomes	Outcome measured: 1 = never smoked to 9 once daily, dichotomised to 0 and 1 (any smoking) Follow-up: 36 months after intervention
Notes	

Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Independent statistician using SPSS
Allocation concealment (selection bias)	Low risk	Independent statistician using SPSS
Blinding of participants and personnel (performance bias) All outcomes	Low risk	"Participants were blind to randomisation."
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	1398 baseline never smokers; 1238 completers at 36 months (89%); no differential attrition analysis

Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	Intention-to-treat analysis. Intraclass correlation (ICC) = 0, so no concern for clustering

Jackson 2006

Methods	Study design: RCT. Parent-child dyads randomised to experimental or control group. No power computation. Analysis: intention to treat; X2 to test for attrition bias; logistic regression to test whether the programme affected initiation of smoking
Participants	Total number: 1147 parents who smoked and had a 3rd grade child submitted consent forms; 135 not contactable; 125 not eligible; 887 parent-child (3rd grader) dyads completed baseline assessment, 776 (89%) completed 3 year assessment Setting: 28 school districts in N. Carolina, S. Carolina and Colorado, USA; Age; 3rd grade; Gender 53%F
Interventions	Focus: tobacco prevention Intervention (n = 371): "Smoke Free Kids" programme: 6 guides mailed to home (5 at 2 week intervals, one after 1 year) with tips on parenting skills; newsletters; gifts to participating children (low intensity) Control (n = 405): 5 fact sheets about tobacco mailed to home
Outcomes	Ever having puffed on a cigarette Follow-up: 3 years
Notes	

Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	"parent-offspring pairs randomly assigned." Method not stated
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement

Jackson 2006 (Continued)

Incomplete outcome data (attrition bias) All outcomes	Low risk	873 parent-child dyads completed baseline interviews and randomised; 776 (89%) children completed interview 3 years later. "There was no association between attrition and demographic attributes."
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	No assessment of any clustering effects. No other biases ascertained

Jøsendal 1998

Methods	Study design: C-RCT. Power computation: power 80% alpha = 0.05 required n = 757 in each group, with sample sizes achieved. Analysis: no adjustment for clustering in Jøsendal (1998), but multilevel multiple logistic regression for changes in smoking rates allowed for clustering and adjusting for gender and baseline smoking for 3 yr follow-up (Jøsendal 2005) Total study duration: 3 years	
Participants	Total number; National representative sample of every 11th school by ascending postal code: 99 schools, 195 classes, 4441 students (grade 7), of whom 4215 provided written consent. 2230 in relevant arms. Programme administered by classroom teachers Setting: 195 classes in 99 schools, Norway; Age, 13; Gender no statement	
Interventions	Focus: Tobacco Intervention 1: Model programme: 8-session Be smokeFREE intervention focused on personal freedom, the freedom to choose, freedom from addiction, making one's own decisions, tobacco-resistance skills, and the short-term consequences of smoking. The classroom teachers received 2 days training, detailed programme manuals to secure fidelity, and filled in a questionnaire after each lesson to evaluate programme fidelity. Students brought 2 brochures home; teachers involved parents in discussions on 'appropriate occasions', and students and parents signed non-smoking contracts. Parental component assessed as low intensity Intervention 2: Same school programme without parental involvement Intervention 3: Same school and parent programme, teachers not trained Control; Usual smoking & health classes (Intervention 3 and control do not contribute to this review)	
Outcomes	Daily, weekly, <weekly smoking, and non-smoking. Follow-up at 6m, 18m, 30m. Longest follow-up used here.	
Notes		
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement

Jøsendal 1998 (Continued)

Random sequence generation (selection bias)	Low risk	“Schools were chosen as sampling units and as units for allocation to groups. Schools were drawn from a list containing all Norwegian schools in order of ascending zip-code. Control schools were first selected (every <i>n</i> th school, starting with a randomly selected number between 1 and <i>n</i>), then the first three following schools with a similar number of students ($\pm 10\%$) on the school list were chosen”. Clusters: Schools Cluster constraints: Not stated. Baseline comparability: Not stated
Allocation concealment (selection bias)	Unclear risk	No statement.
Blinding of participants and personnel (performance bias) All outcomes	Low risk	Process analysis conducted but results not stated; also, the programme was varied and no process analysis of the variations as time progressed: Verbal assurances of compliance from Grade 8 pupils and teachers and Grade 9 pupils
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	After 30 months, 11.2% attrition in intervention (all 3 arms) and 5.8% in control. “. . .smokers were more likely to drop out than non-smokers. This tendency was slightly stronger in the comparison group than the intervention group. Due to this, a separate survey of approximately 100 students who dropped out of the project was conducted approximately three years after the intervention had been finished (data not shown). Results from this survey showed that more smokers had left the comparison group than the model intervention group.”
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	Effects of clustering assessed by multilevel modelling. No other biases ascertained

Olds 1998

Methods	<p>Study Design: RCT, women stratified by socio-demographic characteristics and randomly assigned to one of four interventions or control. Analysis: intention to treat. General linear model and adjustment for covariates (maternal age, maternal education, locus of control, support from partner, maternal employment status, paternal .public assistance status)</p> <p>Total study duration: 15 years</p>	
Participants	<p>Total number: 500 consecutive pregnant women with no previous live births recruited. Abstract and text says 400 newborns enrolled (but intervention groups total to 390) of whom 315 followed to age 15 if "mother and child were still alive and the family had not refused participation.'</p> <p>Setting: semi-rural community (Chemung County) in NY State, USA;</p> <p>Diagnostic criteria: Women "actively recruited" from free antepartum clinic if no previous live births, < 25 weeks pregnant, 19 years, unmarried or of low socioeconomic status; also enrolled if no previous live births but without these risk factors (85% of sample were young, unmarried or of low socioeconomic status)</p> <p>Diagnostic criteria: children of participants in a randomised trial of 400 consecutive primiparous pregnant women, 85% <19, or unmarried or low SES. 89% white</p> <p>Age (315 adolescents followed up at 15 yrs of age); Gender no statement</p>	
Interventions	<p>Focus: Effect of prenatal and early childhood nurse visits on children's antisocial behaviour</p> <p>Intervention 1 (n=94): Free sensory and developmental screening at 12m and 24m, with referrals for further evaluation and treatment where necessary;</p> <p>Intervention 2 (n=90): As (1), + free taxi transport for pre-natal and well-child care until child was 2;</p> <p>Intervention 3 (n=100): as (2), + nurse home visits during the pregnancy;</p> <p>Intervention 4 (n=116) as (3), + nurse home visits until child's 2nd birthday.</p> <p>The nurses taught positive health-related behaviours; competent care of the child, and personal development for the mother (family planning, educational achievement, and return to the workforce)</p>	
Outcomes	<p>Cigarettes smoked/day in the preceding 6m. Groups 1 and 2 combined as comparison, since no differences between them.</p> <p>Follow-up at 15 yrs.</p>	
Notes	<p>Performance bias: wide ranges in the number of visits (families visited at home received an average of 9 (range 0 -16) visits during pregnancy and 23 (range 0 - 59) from birth through child's 2nd birthday); no process analysis of the content of the visits</p>	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Participants randomised by selecting treatment assignment from decks of cards composed to ensure proportional treatment assignment within stratification blocks based upon women's race, marital status, and ge-

Olds 1998 (Continued)

		ographic region of residence at registration. To ensure balanced subclasses during the 2.5-yr recruitment phases, card decks were periodically reconstituted to over-represent treatment conditions that had smaller numbers of subjects; groups were similar at baseline and at 15 yrs;
Allocation concealment (selection bias)	Unclear risk	Not clear that assignment was fixed once women selected card
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement
Blinding of outcome assessment (detection bias) All outcomes	Low risk	“Persons involved in data gathering were blinded to the women’s treatment conditions.”
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	“500 consecutive pregnant women with no previous live births were recruited, and 400 were enrolled. A total of 315 adolescent offspring participated in a follow-up study when they were 15 years old.” “intention to treat approach.” No statement if differential attrition occurred
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	Home visitation by nurses, then follow-up interviews conducted with adolescents and mothers/guardians so no concerns for clustering. No other biases identified

Pierce 2008

Methods	<p>Study design: RCT. Power computation: sample to test whether encouraging parents to maintain best parenting practices is associated with reduction of target behaviours by age 18 will have 80% power to detect 25% effect size (allowing for 6% loss/year), and 30% effect size (allowing for loss of 12%/year). Sample was recruited by random digit dialling commencing May-August 2003. Baseline equivalence: equivalent on all variables related to smoking outcomes. Analysis: logistic regression, using maximum likelihood framework adjusting for baseline covariates known to be predictors of study outcome and loss to follow-up</p> <p>Study duration: "Six adolescent and four parent interviews are completed with each participating family from baseline through age 18 years of the target adolescent." Eligibility was "families had an eldest child between the ages of 10-13 years." Screening and enrolment were conducted by the survey firm between May 2003 and October 2004. Interviews were conducted quarterly. The authors provided us with data from waves 1 and waves 2-6 combined</p>
Participants	<p>Total number: From random sample of 57,000 households enumerated, 4781 identified with oldest child 10-13 years, letters sent to 3079 (64%) who provided an address, mailings sent to "systematic" sub-sample of 220 each month August 2003 through October 2004; unable to reach 1006 (non-response to 18 callbacks or disconnected phone), 819 outside eligibility range (e.g., adolescent already 14 years), unable to complete both parent and child interviews with 218 families,</p> <p>Baseline: final enrolment 1036 families (36%), 514 intervention, 522 control; sample compared to US Census Bureau 2001/2 slightly under-sampled Hispanic (sample 16%, census 18%) and slightly over-sampled Caucasians (69%, census 65%) and African-Americans (18%, census 16%)</p> <p>Setting: national sample of households, USA</p> <p>Diagnostic criteria: Households with eldest child 10-13 years</p> <p>Age at baseline 12 years: Gender; 49%F</p>
Interventions	<p>Purpose: "Parenting to Prevent Problem Behaviors Project"</p> <p>Intervention: (a) Training phase to ensure all participants would have similar best-parenting practices knowledge base: self-help manual (12 chapters with 3 modules: building positive behaviours, setting effective limits, and relationship building). A lay facilitator called to help participants to work through the manual, (b) Implementation phase to ensure best parenting habits maintained in face of situational stressors: lay facilitator phoned at 3 months and followed a computer-assisted structured counselling script to identify 10 major issues with teen on substance use, antisocial behaviour and moodiness, and use of best parenting practices using motivational interviewing. Facilitator also searched Internet and study library for answers to parents' problems, and previously researched information sheets sent to parents electronically or by mail; computer-assisted structured counselling protocol for parents who needed additional help to implement best practices; family management questionnaire. Parent counsellors completed 60 hours training including role playing. Tapes were reviewed for fidelity (no statement of fidelity outcomes)</p> <p>Control: no-contact</p>
Outcomes	<p>Tobacco use assessed by 15 questions from national and state telephone surveys. Categorized as Committed Never, Susceptible, Ever experimented, Smoked in past 90 days</p> <p>Six telephone interviews with adolescent and four with parents from baseline through 18 years by trained assessor blinded to study group</p>

Pierce 2008 (Continued)

Notes	Trainers received 60 hours training with role-playing and case management reviews with clinical psychologist, and tapes reviewed for fidelity Results not yet published. E-mail 24 February 2014 from Dr. John Pierce, who kindly computed outcome data through waves 2-6	
Risk of bias		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Random number generator and permuted block design to allocate to intervention and control by region of country, parental smoking, child smoking risk and hours out at night
Allocation concealment (selection bias)	Low risk	No statement, but random number generator described above
Blinding of participants and personnel (performance bias) All outcomes	High risk	Trainers had to know that they were counselling the intervention group
Blinding of outcome assessment (detection bias) All outcomes	Low risk	"Six telephone interviews with adolescent and four with parents from baseline through 18 years by trained assessor blinded to study group"
Incomplete outcome data (attrition bias) All outcomes	Low risk	(e-mail from Dr. Pierce 24 Feb 2014): 1036 smokers (Intervention 514, control 522) at baseline including ever smokers. 64 (12.5%) intervention, 37 (7.1%) control missing for waves 2-6
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	Intervention self-help at home so no concern for clustering. No other biases noted

Prado 2007

Methods	<p>Study Design: RCT. Power computation: “with 80% power, 240 participants were required across the 3 study conditions to detect an Intervention x Time effect size equivalent to $d=.28$.” Analysis: Growth curve modelling</p> <p>Total study duration: 36 months (2 cohorts: May 2001-July 2004 and May 2002-July 2005); Interval between intervention and outcome measure: 6, 12 (post-intervention), 24 and 36 months</p>
Participants	<p>Total number: 266 (128 boys, 138 girls) and their primary caregivers (34 men, 232 women)</p> <p>Setting: 3 middle schools in Miami, Florida, USA</p> <p>Diagnostic criteria: Children entering grade 8 in next school year and attending one of the three participating schools, at least one parent born in a Spanish-speaking country in the Americas, adolescent living with a primary caregiver who is participating in the study, neither students nor family member ever hospitalised for psychiatric condition, the family would reside in Miami for the 1st year of the study and South Florida for the duration of the study, and the primary caregiver was available to attend weekly evening meetings</p> <p>Age: avg age 13; Gender: 52%F; Caregivers mean age 41.</p>
Interventions	<p>Purpose: “Consistent with Hispanic cultural expectations, Familias Unidas places parents in positions of leadership and expertise and builds on pan-Hispanic values, such as primacy of family, sanctity of parental authority, and roles of parents as the family’s leaders and educators.” “It was therefore important to test whether the efficacy of PATH in preventing substance use and unsafe sex in Hispanic adolescents depends on whether it is embedded within a family-strengthening intervention.”</p> <p>Goal: To “investigate whether Familias Unidas + PATH [Parent pre-adolescent training for HIV prevention] would be efficacious relative to two control conditions in preventing substance use and unsafe sexual behaviour in Hispanic adolescents and improving family functioning.....[and] ”examine whether and to what extent improvements in family functioning would mediate the effects of intervention condition on substance use and unsafe sexual behavior“</p> <p>Intervention 1: Familias Unidas + PATH (15 group sessions, 8 family visits and 2 parent-adolescent circles). (high intensity)</p> <p>Control 1: ESOL (English for Speakers of Other Languages) + PATH (8 ESOL classes, 6 group sessions, 2 family visits)</p> <p>Control 2: ESOL + HEART (American Heart Association HeartPower! for Hispanics) (8 ESOL classes, 7 group sessions)</p> <p>Familias Unidas ”strives to increase parental involvement, positive parenting, and family support in Hispanic families“ as ”essential to promoting positive adolescent development and to preventing substance use and unsafe sex“. Intervention included family visits focused on parents and parent-adolescent facilitated discussion circles</p> <p>Facilitators had average 5 years experience working with low- income Hispanic immigrant families, certified in Familias Unidas and PATH, trained in general group process facilitation and conducted 54 pilot sessions. All sessions taped. Adherence to Familias Unidas 3.72/6, PATH 3.70/6, interrater reliability $k = .75$</p>
Outcomes	<p>Outcome measured: 90 day cigarette use</p> <p>”Growth curve analyses indicated significant differences in past 90 day cigarette use between Familias Unidas + PATH and ESOL +PATH ($z=3.25$, $p<.002$, $d=0.54$) as well as between Familias Unidas + PATH and ESOL + HEART ($z=2.66$, $p<.008$, $d=0.80$). A</p>

Prado 2007 (Continued)

	total of “1.4% of the adolescents in Familias Unidas + PATH reported smoking in the 90 days prior to assessment, compared to 10% in ESOL + PATH and 14.3% in ESOL + HEART.” Time points from the study that are considered in the review or measured or reported in the study: baseline, 6, 12 (post-intervention), 24 and 36 months	
Notes	Funding: National Institute of Mental Health Grant MH63402, National Institute on Drug Abuse Grant 19101	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Sequence generation: The research coordinator randomised participants to one of three conditions using an urn randomisation (Wei & Lachin, 1988) computer program that balanced on the following adolescent characteristics: gender; years in the United States (i.e., 0-3, 3-10, or more than 10); having initiated substance use (yes, no); and having initiated (yes, no) oral, vaginal, or anal sex
Allocation concealment (selection bias)	Unclear risk	“The research coordinator randomised participants to one of the three conditions using an urn randomisation...” Unclear whether there was a strategy to conceal the sequence
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	Blinding was only undertaken in the process evaluation, not in the measurement of outcome. Measures were by self-report
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	Blinding was only undertaken in the process evaluation, not in the measurement of outcome
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	Unidas + Path baseline 91, 71 completed 36 month assessment; ESOL + PATH 84 and 70; ESOL + HEART 91 and 70; no statement of differential analysis of attrition; “intent-to-treat design, such that participants continued to be assessed at each time point, whether or not they had dropped out of the intervention.”
Selective reporting (reporting bias)	Low risk	No selective reporting

Prado 2007 (Continued)

Other bias	Unclear risk	The total intervention differed between the three groups by intention: “In the Familias Unidas + PATH condition, there were 15 group sessions, 8 family visits, and 2 parent-adolescent circles. In the ESOL + PATH condition there were 8 ESOL classes, 6 group sessions, and 2 family visits. In the ESOL + HEART condition, there were 8 ESOL classes and 7 group sessions.” CACE analysis. No assessment of clustering effects for group sessions. No other biases ascertained
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Reddy 2002

Methods	<p>Study Design: C-RCT. Schools blocked on type (private, government) and gender (males only, females only, and co-educational) and randomised by coin toss. No power computation. Analysis: F-tests and t-tests to assess for baseline differences between intervention groups. Mixed effects regression. Individual student survey data could not be matched from pre-to post-test, but school populations 'fairly stable during the study period'</p> <p>Total study duration: Intervention lasted for 1 school yr (September-June); Follow-up 1-8m post-intervention</p>
Participants	<p>Total Number: At baseline, 5752 students, 5043 (88%) provided consent, 4776 (83%) participated in the baseline survey</p> <p>Setting: 30 elementary schools in New Delhi, India</p> <p>Age: aged 12 (7th grade); Gender: 49.5%F.</p>
Interventions	<p>Project HRIDAY [Health-Related Information and Dissemination Among Youth]: reduction in cardiovascular risk factors (diet, physical activity, tobacco use)</p> <p>1. School Intervention (10 schools, n=1439): (a) 10 posters in schools on cardiovascular health, (b) distribution of the HRIDAY project booklet with information on heart health, (c) classroom activities selected by teachers from a list of 20 [including 3 on influences to smoke, ways to refuse offers to smoke, and passive smoke], (d) round table discussions on food policy and nutrition, (e) invitation to sign a petition requesting a ban on tobacco advertising to be presented to the Prime Minister of India.</p> <p>2. School/Family intervention (10 schools, n= 1863): as (1), + 6 booklets (1 on tobacco use, the rest on dietary patterns and exercise) taken home by pupils, and brought back parents' signed opinions about the booklets. (low intensity)</p> <p>3. Control (10 schools, n=1474): Usual curriculum</p> <p>teachers and selected peer leaders received training (duration not stated)</p>
Outcomes	<p>Ever use of cigarette or bidi, and likelihood of tobacco use when adult.</p> <p>Knowledge of and attitudes to smoking also surveyed.</p>
Notes	<p>Performance bias: no process analysis; 2/30 schools had shorter follow-up; 14/20 schools displayed all 10 posters, 6 displayed 7-9; 6/20 schools implemented all 20 activities from the teachers' manual; 8/10 schools in Family intervention group distributed at least 5 of</p>

the 6 booklets		
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	<p>“The 30 schools in the study were representative of all schools in the urban area of New Delhi and were randomly selected from a sampling frame of all New Delhi schools (Government vs Private, same sex vs. coed).”</p> <p>Randomisation by coin toss (e-mail from Dr. Cheryl Perry)</p> <p>Clusters: schools. Cluster constraint: blocked on type (private, government) and gender (males only, females only, and co-educational)</p> <p>Baseline comparability: groups equivalent at baseline.</p>
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	Present after 1yr: 4452 (77% of eligible students); no attrition analysis; no linkage of pre- and post student responses. (e-mail from Dr. Cheryl Perry states there was insufficient funding for process evaluation and assessment of attrition)
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	e-mail from Dr. Cheryl Perry states there was adjustment for clustering, No other biases ascertained

Methods	<p>Study design; RCT. Parent-child dyad (schools only used to recruit dyads). No power computation: “We reasoned from past studies that approximately 20% of invited families would respond. An average of 60 children was enrolled in the fifth grade at each elementary school. To attain the 150 dyads expected as part of the SAMHSA contract, we needed to include a minimum of 13 schools. We randomly selected 17 schools from the high and low minority enrolment schools.” Intention-to-treat. Analysis: “a clustered randomized trial, the data included multilevel or hierarchically structured samples. Adult-youth dyads were clustered within each school. A two-level regression model was used (Rasbash, Charlton, Browne, Healy, & Cameron, 2009) with a dummy variable for treatment effects to avoid underestimating standard errors of regression coefficients from fitting a model that did not recognize clustering. Baseline measures were used as covariates in each analysis. The model for assessing change was a multilevel model for fixed-occasion repeated outcomes (Goldstein, 1995; Yang, Health, & Goldstein, 2000).”</p> <p>Total study duration: Study was conducted from April 2003 through December 2005 (10 weeks for the intervention, then Interval between intervention and when outcome was measured, 6 months to Post 2 follow-up)</p>
Participants	<p>Total number: From 16 randomly selected schools recruited 167 parent youth dyads (86 intervention; 81 from comparison). Recruitment: In Madison, 396 letters of invitation were sent; 66 parents indicated an interest in enrolling by telephone, return of a form to the school, or e mail; 57 were eligible for the study; and 55 consented to participate, for an enrolment rate of 14%. In Indianapolis, 520 letters of invitation were sent; 148 parents responded as interested in enrolling by telephone, return of a form to the school, or e-mail; 140 were eligible for the study; and 112 consented to participate, for an enrolment rate of 22%. Of those eligible, reasons for not enrolling were lack of time or unwillingness to make the commitment to study procedures</p> <p>Setting: 2 Midwestern cities (Madison, Wisconsin; Indianapolis, Indiana), USA</p> <p>Gender: Youth 50% female. Adults: 91% intervention & 88% control female, tended to be educated beyond high school, employed, and married</p> <p>Age: youth 9 to 11 (avg 10.8 years); Adults were in their late thirties</p> <p>Ethnicity: 55% in the intervention African American and 56% in the control</p> <p>Consent: No details of informed consent process - “consented to participate”</p>
Interventions	<p>Purpose: Assessing the short version of the Strengthening Families Program (SFP 10-14), a major revision of the 14 session SFP. Based on the Bio-psychosocial Vulnerability Model. Designed to reduce risk factors and build family capacity and coping skills to access and use resources within their school and community to achieve child socialization goals</p> <p>(1) Intervention: Youth and one parent attended the 7-week, 2-hr-per-week program together at community locations in the evenings or weekends in each city. Didactic content was presented by videotape, discussion sessions were timed, and the curriculum was detailed carefully in a manual that contained all the required handouts. In each session youth and parents or legal guardians were separated for the first hour to work on goal-oriented, developmentally appropriate activities and dyads were reunited in the second hour for family-oriented activities. (high intensity)</p> <p>(2) Control: <i>Minimal contact comparison condition</i>. Comparison group families participated only in the data collection procedures. No comparison families reported participation in another family skill building or parenting program</p>

	Integrity of Intervention: Used bachelor's prepared or university students who were trained to facilitate the SFP 10-14. Trainers from the Iowa State University Extension conducted 2-day sessions on three separate occasions. Analyses of the checklists, more than 90% of the content was consistently covered in the adult groups and 87% for the youth groups. Analysis made by intensity of the intervention (partial dose/reduced completion)	
Outcomes	"Smoked a cigarette- even 1 puff"	
Notes	<p>A paradoxical result was: "significant outcomes among youth who received a partial dose were in the direction opposite than expected, that is, youth who received a partial dose perceived their communication with their father as statistically significantly less open at Post 2 and their involvement in the family as statistically significantly less at Post 1 than youth in the comparison condition."</p> <p>The work was supported by the Substance Abuse and Mental Health Services Administration (UD1 SPO-9460,Susan K. Riesch Project, Director and Janie Canty-Mitchell Project Co-Director)</p>	
Risk of bias		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	"Schools were randomly assigned to the intervention or comparison conditions. Schools served only as a recruitment site for adult-youth dyads." Method not described. Separate randomisation for 7 schools with "predominantly high" and 9 schools with "predominantly low" minority involvement
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No description of blinding. Unlikely blinded.
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No description of blinding. Unlikely blinded.
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	Baseline: 167 adult-youth dyads. Retention strategies employed: Families participating in both study conditions received three newsletters directed toward games, activities, and issues of interest to parents and children. Cash or gift cards rewards provided on completion of surveys: \$10, \$15, and \$20 for youth and \$20, \$30, and

		<p>\$40 for adults at Times 1, 2, and 3, respectively</p> <p>35 families withdrew between baseline and Post 2. "No significant differences among sociodemographic characteristics were found between those who completed the study and those who did not at each site." which would place the study at low risk of bias</p> <p>Participation in the ATOD data collection was low. For the question at follow-up about "smoked even a puff," 63 in the Intervention and 66 in the Comparison group provided an answer, and only 47 in the Intervention and 51 in the Control group provided an answer whether they had "smoked a whole cigarette." These data did not provide sufficient numbers for modelling. The low rate of ATOD data collection and no comment whether differential attrition in data collection places the study at unclear risk of bias</p>
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	Clustering effects assessed by multilevel modelling. No other biases ascertained

Schinke 2004

Methods	<p>Study design: RCT. No power computation. Analysis: MANOVA, youths did the CD-ROMs individually so no adjustment for clustering needed</p> <p>Total study duration: 3 years (Follow-up at 1, 2, 3 years)</p>
Participants	<p>Total number: Baseline: 514 youths</p> <p>Setting: Recruited from 43 community agencies in New York City, New Jersey and Delaware, USA</p> <p>Diagnostic criteria: youth attending community agencies</p> <p>Age: avg 11.5 years; Gender: 51.4%F.</p>
Interventions	<p>Focus: Alcohol reduction</p> <p>Intervention (1): Social learning and Problem solving using CD-ROM: ten 45 minute sessions on goal setting, coping, peer pressure, refusal skills, norm correcting, self-efficacy, problem-solving (Stop, Options, Decide, Act, Self-praise), decision-making, effective communication, and time management,</p> <p>Intervention (2): CD-ROM + Parent intervention: (a) parents received a 30 minute videotape with printed materials on the goals of the youth intervention, showed how parents could help avoid problems with alcohol, and the importance of family rituals, rules and bonding (b) 2 hour parent workshop; (c) parent CD-ROM how to reduce</p>

	youth alcohol use (3) Control: (no further description)	
Outcomes	Number of cigarettes in the last 30 days	
Notes	Performance bias: minimal risk: usage of CD-ROMs was recorded by a code; 95% of youths completed the CD-ROM in the CD-ROM intervention group, and 91% in the CD-ROM + parent intervention group; 83% of parents watched the videotape; 67% attended the workshop, and 79% completed the parent CD-ROM. Detection bias: research assistants administered questionnaires individually by phone;	
Risk of bias		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	"Randomly within strata, sites were divided among three study groups: CD-ROM intervention, CD-ROM plus parent intervention and control." (no statement of method)
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	Attrition CD-ROM intervention 7.9%, CD-ROM plus parent intervention 11.8% and control 6.7%. No differences in pretest scores. No differential attrition analysis
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	Intervention delivered by CD-ROM so no concern for clustering. No other biases identified

Spoth 2001

<p>Methods</p>	<p>Study design: C-RCT. Schools blocked on size and proportion in lower income households, then randomly assigned to one of 3 groups. No power computation. Analysis: multilevel mixed model ANCOVA; dichotomous outcomes by z tests; groups were equivalent at baseline and multilevel analyses with logistic growth curve techniques controlled for the effects of clustering; for 4 and 6 yr follow-up growth curve analysis was used; Total study duration: grade 6 to age 21</p>
<p>Participants</p>	<p>Total number: Baseline: 1,309 eligible families (index child in 6th grade); 6th graders, age 11, 55% F; of the 309 eligible families 667 (51%) completed the pretest [238 ISF, 221 PDFY, 208 Control]; 6th grade posttest 188,177,186; 7th grade follow-up 161, 155, 156; 8th grade follow-up 152, 145, 141; 10 grade follow-up 152, 144, 151; 12th grade follow-up 151, 157, 149; age 21 follow-up 170, 161, 152): at 10th grade follow-up at age 15 447 (67%); and 373 families (56%) completed all 5 data assessments across 4 years; Setting: 33 rural schools in 19 contiguous counties in Iowa, USA. Schools were selected on basis of school lunch eligibility program (15% or more of families eligible for free or reduced cost lunch) and community size (8,500 or less) Diagnostic criteria: Criteria were for schools (15% or more of district families eligible for free or reduced-cost lunches) Age: grade 6; gender: not stated.</p>
<p>Interventions</p>	<p>Focus: tobacco, alcohol, marijuana prevention Intervention (1): Iowa Strengthening Families Program (ISFP, subsequently called "Iowa Strengthening Families Program for Parents and Youth") (11 schools, n=117): 7-session programme (1 hour separate training for parent and child, second hour is a family session) , and the 7th session is a one hour family session. Parents taught to clarify expectations, use appropriate discipline, manage strong emotions regarding their child, effectively communicate with their child; Children's sessions paralleled the parents' sessions but added peer resistance and peer relationship skills training. In the family sessions family members practised conflict resolution and communication skills and engaged in activities to increase family cohesiveness and positive involvement of the child in the family. (high intensity); Each team of leaders observed 2-3 times; reliability checks on 50% of family sessions, 30% of youth sessions and 25% of parent (paired observers differed by average of 10%); coverage of topics 89% in youth, 87% in family, and 83% in parent sessions Intervention (2): Preparing for the Drug-Free Years Program (PDFY, subsequently called "Guiding Good Choices") (11 schools, n=124): Five 2- hour sessions, with 4 parents-only sessions: parents instructed on risk factors for substance abuse, developing clear guidelines on substance-related behaviours, enhancing parent-child bonding, monitoring compliance with their guidelines and providing appropriate consequences, managing anger and family conflict; and enhancing positive child involvement in family tasks; 1 child session instructed in peer resistance skills. (high intensity) Each team of group leaders observed for 2 of the 5 sessions; 50% of sessions observed by two observers (average difference on ratings 6%); average 69% coverage. (3) Control (11 schools, n=208): 4 mailed booklets (physical and emotional changes in adolescence, and parent-child relationships)</p>
<p>Outcomes</p>	<p>Past year cigarette frequency on 7 point scale from 1 = not at all to 7 "about 2 packs/day" [also assessed were: Ever smoked, ever used chewing tobacco, cigarettes/day, and no. of times chewed tobacco in the past month]. Follow-up at 4 yrs and 6 yrs</p>

Notes		
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	<p>“Schools were blocked on the proportion of students who resided in lower income households and on school size. Within blocks, each school was randomly assigned to one of the three experimental conditions. .. Random assignment was computer-generated by a data-analyst...”</p> <p>Clusters: schools; Cluster constraint: blocked on the proportion of students who resided in lower income households and on school size</p> <p>Baseline comparability: no differences (Spoth 2001, Guyll 2004)</p>
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Low risk	<p>1,309 eligible families recruited, and 667 (51%) completed pretest. Although only 447 (67%) remained at 4 years, there was no differential attrition across groups; a multiple imputation Monte Carlo software programme (NORM) showed that attrition did not affect the findings; there was also no differential attrition after 6 years (Spoth 2004)</p>
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	<p>Performance bias: minimal risk: (a) for ISFP programme, 94% of attending families were represented by 1 family member in 5 or more sessions, and all key programme concepts were covered; (b) for PDFY programme all teams covered all key concepts, and completed 69% of the detailed tasks in</p>

Spoth 2001 (Continued)

		the group leaders' manual. 93% of families attended at least 4/5 sessions. 87% of activities covered in the family sessions, 83% in the parent sessions, and 89% in the youth sessions
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Spoth 2002

Methods	Study design: C-RCT, randomised block design. Analysis: multilevel analyses of covariance (hierarchical linear modelling) , with school as a random effect and dual biological parent families as a covariate (only significant difference between groups at baseline). Allocation was at the school level and multilevel analysis controlled for the effects of clustering. Repeated measures with linear slope contrasts. Intent-to-treat analysis. No power computation. Post-test measures used as baseline. Total duration of study: 5 1/2 years	
Participants	Total number: 1677 7th graders randomly assigned and 1664 completed pretest Setting: 36 rural schools in 22 contiguous counties in Iowa, USA (selected from 43 eligible schools, those selected were those with 20% of more of households eligible for free or reduced cost lunches, all middle grades taught at one location, and school district enrolment < 1200); Age: 7th graders; Gender 47%F; 96% white	
Interventions	Focus: family- and school-based competency training to prevent uptake of alcohol, tobacco and marijuana. Intervention (1): Strengthening Families Program for Parents and Youth 10-14 (SFP 10-14): revision of the Iowa Strengthening Families Program; 7 1-hr weekly sessions for parents and children to strengthen parental skills in nurturing, setting limits and communication about substances, and strengthen children's prosocial and peer resistance skills. 4 booster sessions offered 1 yr later. Each team of facilitators observed on 2-3 occasions; observers differed by average 2.4%; average adherence 92% (high intensity) Intervention (2): Life Skills Training (LST): 15 x 45-min classes + homework to provide knowledge about substance abuse, and promote youth skills in social resistance, self-management and general social skills, using coaching, facilitating, role modelling, feedback and reinforcement. 5 booster sessions in 8th grade. Each classroom teacher observed on 2-3 occasions; observers differed by average 13.6%; average adherence 85% 12 schools received LST (n=621), 12 received LST + SFP 10-14 (n=549). (3) Control (n=494): no statement if received any anti-tobacco intervention	
Outcomes	Self-reported never smoking at 1 yr after post-test assessment; 'bogus pipeline' CO monitoring at all assessments (i.e. data collected but not assessed, to encourage honest reporting)	
Notes		
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement

<p>Random sequence generation (selection bias)</p>	<p>Unclear risk</p>	<p>“Within each school, children and teachers were randomly assigned to one of two intervention or control classrooms.” (an e-mail from Dr. Ialongo states that a SAS programme generated the class lists and randomly assigned students; that children and teachers were randomly assigned to 1st grade within each of the 9 participating schools; and that there was balancing for gender and kindergarten teacher ratings of aggressive disruptive behaviour and academic readiness)</p> <p>Baseline comparability: Children in control group somewhat less likely to be male, and African American, more likely to be from 2 parent households, teacher ratings of problem behaviour higher in CC group; these differences were statistically adjusted in the analyses</p> <p>Clusters were classrooms and cluster constraints were: “A randomised block design was employed, with each of the nine schools serving as a blocking factor...” “Criteria for selection of the initial pool of schools were: 20% or more of households in the school district within 185% of the federal poverty level; community size (school district enrolment under 1,200, and all middle school grades (6-8) taught at one location... After we matched the schools and randomly assigned them to conditions...” (Spoth 2002); “... experimental assignment, which was guided by a randomised block design. Based on school-level risk measures calculated from data collected through a prospective telephone survey of randomly selected parents of eligible children, the schools were split into 12 matched sets of three.” (Spoth 2008)</p> <p>Baseline comparability: groups equivalent at baseline on smoking</p>
<p>Allocation concealment (selection bias)</p>	<p>Unclear risk</p>	<p>No statement</p>
<p>Blinding of participants and personnel (performance bias) All outcomes</p>	<p>Unclear risk</p>	<p>No statement</p>

Spoth 2002 (Continued)

Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Low risk	<p>“No significant Dropout x Condition interactions for pre-to posttesting or from posttesting to the follow-up assessment, for any outcome or socio-demographic measure.”</p> <p>Spoth 2002 (used “listwise deletion of missing data”): totals: baseline 1664, posttest 1563, 1 yr follow-up in 8th grade 1372 (LST pretest 621, posttest 583, 1 yr follow-up 503) (LST+SFP: pretest 549, posttest 517, 1 yr follow-up 453) (Control: pretest 494, posttest 463, 1 yr follow-up 416); Trudeau 2003 - same data</p> <p>Spoth 2008: (used multiple imputation for missing data, so N’s larger than for Spoth 2002): totals: baseline 1677, posttest 1690, 1 yr follow-up 1633; 12 th grade follow-up 1237) (LST pretest 622, posttest 618, 1 yr follow-up 583, 12th grade 428)(LST+SFP: pretest 543, posttest 554, 1 yr follow-up 539, 12 th grade follow-up 450), (control: pretest 489, posttest 496, 1 yr follow-up 488, 2th grade follow-up 347), (“undetermined” pretest 23, 8th grade 23, 12th grade 12)</p> <p>No differential drop out between groups Expired air samples were collected but not analysed</p>
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	Performance bias: adherence to the SFP programme was 92%, and to the LST programme 85%. Of the students who participated, the percentages attending 50% or more of the lessons were 100% for the LST programme + 100% for the boosters; 90% for the SFP 10-14 programme + 89% for the boosters

Stevens 2002

Methods	Study design: RCT. Practices matched by size and randomised within each pair using computer-generated random numbers. Two intervention arms, no usual-care control group. No power computation. Analysis: Chi squared and t tests to check for baseline differences, baseline factors potentially related to outcomes controlled for by logistic regression analyses
Participants	Total number: 4096 families approached by participating primary care physicians; 3525 (86%) agreed to participate; 3094 (77%) 5th and 6th graders and their parents completed the baseline assessment; 2183 36 month follow-up (71% of those completing baseline questionnaire) Setting: 12 primary care paediatric practices in Massachusetts, New Hampshire and Vermont, USA; Age avg 11; Gender 48% F; 5% ever smokers at baseline
Interventions	Focus: Dartmouth Prevention Cohort Study: prevention of risky adolescent behaviours (smoking , drinking) and bicycle helmet use, gun storage and seatbelt use, by office-based paediatric interventions Intervention (1): Clinician advice about alcohol and tobacco. (low intensity). Intervention (2): Clinician advice about gun safety, bicycle helmets and car seatbelts. Paediatricians and nurse practitioners received 3 hr training session. All the practice staff encouraged family communication and rule setting about the issues. Families received a brochure on effective communication and pens, card games or fridge magnets to reinforce the message; children and parents each received 12 quarterly newsletters to reinforce the messages. The practices received a monthly message based on chart audits, phone calls and visits from the research co-ordinator. Paediatrician, parent and child signed a contract committing family to discuss the issues at home and to develop a policy about the relevant behaviours. Families received a follow-up signed letter from clinician, and a fridge magnet to 'post' the policy document
Outcomes	Ever smoking at 12m, 24m, 36m follow-up, on 2183 child-parent pairs
Notes	Performance bias: minimal risk: High level of process evaluation by research staff. After the initial intervention visits 95% of children were seen for subsequent visits, during which prevention messages were delivered in only 47% of the practices allocated to the safety intervention and 51% of those allocated to the alcohol/tobacco intervention

Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	12 paediatric practices paired by practice size and computer assigned randomly within pairs
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement

Stevens 2002 (Continued)

Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	Baseline 3,525 5th/6th grade children and parents. 36 month follow-up on 2,183 child parent pairs. Monthly and end of project chart audits of at least 10% of charts to check number of encounters recorded. Parents and children surveyed whether they had read newspapers, liked them, and found them useful. No statement if differential attrition or implementation. 99% of charts labelled with project sticker, 95% of children had been seen for an office visit, and in 51% of the tobacco/alcohol arm and 47% of safety arm visits prevention message was documented
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	Individual counselling by paediatrician or nurses so no concern for clustering. No other biases identified

Storr 2002

Methods	Study design: C-RCT, schools as blocks, pupils randomly assigned within each school. Power computation: estimated that 150 children per group would be needed. With an average 30% cumulative risk of initiating smoking, between-group relative risk of initiating smoking is 1.75; and alpha 0.05, 2-tailed for 80% power. Analysis: Life table and survival analysis to compare risk of starting to smoke across study groups. Cox regression model for time to event data to estimate effect of interventions. Statistical adjustment for baseline covariates with Cox regression models. Intention to treat analysis. Discrete Time Survival Analyses. No adjustment for clustering for CC data, no need for clustering adjustment for individual FSP data., Total study duration: 7 years
Participants	Total number: Baseline: 678 first graders Setting: 9 public primary schools in Baltimore, Maryland, USA. Age avg 5.7 yrs; Gender 53% M; 86% African-American.
Interventions	(1): Classroom-Centered (CC) Intervention (n=230): 'Universal Preventive intervention' targeting attention problems, aggressive & shy behaviour (a) language and mathematics curricula enhanced to encourage skills in critical thinking, composition, listening and comprehension; (b) whole-class strategies to encourage problem solving by children in group contexts, decrease aggressive behaviour, and encourage time on task; (c) strategies for children not performing adequately. Teams of children received points for good

	<p>behaviour and lost points for behaviours such as starting fights; the points could be exchanged for classroom activities, game periods and stickers. CC implementation mean score 59.9%, median score 64.4% (range 30-78%)</p> <p>Intervention (2): Family-School Partnership (FSP) intervention (n=229): (a) the 'Parents on Your Side Program' trained teachers to communicate with parents and build partnerships, with 3-day workshop, training manual and follow-up supervisory visits; (b) weekly home-school learning and communicating activities; (c) 9 workshops for parents (first two workshops to establish an effective and enduring parent-staff relationship and facilitate children's learning and behaviour; next 5 workshops focused on effective disciplinary strategies). (although high intensity for contact, is assessed as moderate intensity as no description of tobacco-focused content). Parents attended average 4/7, median 5/7 core parenting sessions, 13% attended none</p> <p>First grade CC and FSP teachers received 60 hours training and certification.</p> <p>(3) Control group (n=219): usual curriculum and parent-teacher communications</p>
Outcomes	Self-reported smoking assessed 7 years after initiation of project, when they were 13 years of age; time to initiation of smoking (longest follow-up reported in Furr-Holden 2004)
Notes	Performance bias: implementation scores for the CC intervention averaged 60% (range 30 to 78%); 7/9 CC teachers implemented > 50% of the Protocol. Parents in the FSP intervention attended an average of 4/7 sessions (range 13% attended no workshops, 35% attended 6/7)

Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	<p>"Within each school, children and teachers were randomly assigned to one of two intervention or control classrooms." (an e-mail from Dr. Ialongo states that an SAS programme generated the class lists and randomly assigned students; that children and teachers were randomly assigned to 1st grade within each of the 9 participating schools; and that there was balancing for gender and kindergarten teacher ratings of aggressive disruptive behaviour and academic readiness)</p> <p>Clusters: classrooms; Cluster constraints: "A randomised block design was employed, with each of the nine schools serving as a blocking factor..."</p> <p>Baseline comparability: Children in control group somewhat less likely to be male, and African American, more likely to be from 2 parent households, teacher ratings of problem behaviour higher in CC group;</p>

Storr 2002 (Continued)

		these differences were statistically adjusted in the analyses
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No statement
Incomplete outcome data (attrition bias) All outcomes	Low risk	Storr 2002 (Figure 1): Of the 678 pupils who entered Grade 1, 549 at 6 year (7th grade) follow-up (189 CC, 192 FS, 168 control); Furr-Holden 2004 reported - "At follow-up, 5, 6, 7 years after randomisation (sixth through eighth grades), approximately 84% (566/678) of the sample was available." No differential attrition among groups across baseline characteristics or smoking status
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	Generalized Estimating Equations assessed for clustering. No other biases ascertained

Wu 2003

Methods	Study design: C-RCT, randomised at level of site. Analysis: Chi squared and Kruskal-Wallis tests to assess baseline equivalence of demographic characteristics. General linear modelling for differences in behaviour and perceptions among intervention groups. Independent sample t tests corrected for ICCs for each behaviour to adjust for clustering. No power computation Total study duration: 24 months
Participants	Total number; 817 African-American youths Setting: 35 low income housing developments, community and recreation centres in Baltimore, Maryland, USA Diagnostic criteria: Housing development tenant association members and recreation centre staff recruited "eligible" youth and parents Age 12-16 years (median 14 years); Gender 58% F
Interventions	Focus: Effect of adding parental monitoring and booster sessions to small-group risk reduction interventions for adolescents Intervention (1): Focus on Kids (FOK), (n = 321): 8 session (each 1.5 hours) HIV small-

	<p>group risk reduction programme on decision making, goal setting, communicating, negotiating, and consensual relationships and information regarding safe sex, drugs, alcohol and drug selling. Conducted in small groups (5-10), led by 2 older peers, with games, discussions, homework assignments and videotapes.</p> <p>Intervention (2): (n = 258) (a) FOK + (b) ImPACT (Informed Parents and Children Together): 20-min video emphasising concepts of parental monitoring and communicating with 2 instructor-led role-playing vignettes between the parent and youth in the child's home. The interventionist critiques the role play according to the main talking points of the videotape and conducts a condom demonstration</p> <p>Intervention (3): (n= 238) (a) FOK + (b) 4 FOK booster sessions at 6m and 10m + (c) + ImPACT</p> <p>Focus on Kids has a minor informational component about tobacco and no family component. ImPACT is 20 minute video followed by role plays between parent and youth, then criticised by interventionist. It has no tobacco focus, but baseline and 24 months smoking were measured for all 3 programmes. ImPACT assessed as low intensity</p>
Outcomes	<p>Cigarette use: self-reported smoking in last 6m (not further defined) measured as 0 = no, 1 = yes</p> <p>Other outcomes: sexual intercourse; unprotected sex; alcohol, drugs, selling or delivering drugs; carrying a knife, fighting, beating someone up, or intention to take a risk. Assessment on Parent Adolescent Communication Scale</p> <p>Follow-up at 6m,12m, 24m (Reported in Stanton 2004).</p>

Notes	Performance bias: no process analysis
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Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Randomised using random number table. "Intervention groups were similar for sex and age at baseline."
Allocation concealment (selection bias)	Unclear risk	No statement
Blinding of participants and personnel (performance bias) All outcomes	Unclear risk	No statement
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	"Questionnaires administered orally and visually by computer." No statement about blinding
Incomplete outcome data (attrition bias) All outcomes	Low risk	Baseline: 817 youths 12-16 years (Intervention 1 = 321; Intervention 2 = 496). Of 496 intervention, 238 randomised to receive booster session, 238 no booster. At 12 months follow-up Intervention 1 = 243, Intervention 2 = 337. Stanton 2004: "

		..the baseline demographic and risk-protective behaviours of youths absent at 24 months were comparable across intervention groups. That is, despite the dropout rate, the baseline risk profile remained equivalent across intervention groups.”
Selective reporting (reporting bias)	Low risk	No selective reporting
Other bias	Low risk	Informed Parents and Children Together (ImPACT) is delivered as videotape then interactive role playing between parent and youth, so no concern for clustering for the family component. The Focus on Kids (FOK) component was delivered in groups. All outcomes adjusted for clustering. ICC for tobacco = 0.0000. No other biases identified

ALA: American Lung Association
 CDC: Centers for Disease Control
 CO: carbon monoxide
 C: control
 cig.: cigarette
 F: female
 GEE: Generalized Estimating Equations
 GP: general practitioner
 HDL: high density lipid
 HMO: Health Maintenance Organization
 I: intervention
 m: month
 M: male
 no.: number
 SES: socio-economic status

Characteristics of excluded studies [ordered by study ID]

Study	Reason for exclusion
Abdullah 2005	RCT; but intervention is to help parents of young children stop smoking; no assessment of children’s smoking
Albrecht 2006	RCT; tobacco outcomes; no prevention, only cessation
Allendorf 1985	RCT; parent intervention, but no outcome data on tobacco

(Continued)

Ariza 2008	Not RCT; cannot separate effects of family intervention
Beatty 2008	Study did not assess smoking status
Biglan 2000	Family intervention not separately analysable
Broning 2014	RCT; tobacco use results not reported
Brotman 2008	RCT; no tobacco intervention or outcomes
Byrnes 2010	RCT; family and tobacco intervention; no tobacco outcomes
Charlier 2009	Not RCT, no tobacco outcomes
Cohen 1989	Effects of parental interventions cannot be separated from school interventions
Cohen 1995	RCT; Only 6% of families began the intervention
Cruz 2009	Not RCT; tobacco intervention; cannot separate effect of parental component
DeGarmo 2009	RCT. Not possible to separate family and school effects in the school-based “Linking the Interests of Families and Teachers Multimodal Preventive Intervention.”
Eckenrode 2010	Intervention was delivered to mothers during the first 2 years after birth only
Ellickson 2003	Effects of parental interventions cannot be separated from school interventions
Faggiano 2008	RCT; school based; no family intervention
Flay 1988	Family intervention not separately analysable
Glover 2009	Not RCT
Gordon 2008	Family intervention effects not separately analysed
Hahn 2007	RCT; parent intervention; Babies, hence no tobacco outcomes.
Hansen 1987	Family intervention not separately analysable
Hansen 1991	Cannot separate effects of parent interview homework from schools intervention
Hawkins 1999	Not RCT (CCT). New for 2008 update.
Hawkins 2009	RCT, Tobacco outcomes, community intervention; cannot separate effects of family component on tobacco outcomes
Horn 2007	RCT; smoking cessation. New for 2008 update.

(Continued)

Jackson 1994	Survey, not RCT
Johnson 1990	RCT; tobacco outcomes; cannot separate effects of family intervention from school intervention. New for 2008 update
Jowers 2007	RCT; Keep A Clear Mind programme; no tobacco outcomes
Knutsen 1991	Fathers were randomised, and children with them. No baseline smoking data for children
Komro 2008	RCT; schools, no specific tobacco intervention; tobacco, alcohol and marijuana outcomes combined; no reply to e-mail to authors 13 December 2013 asking if could provide separate tobacco outcomes
Kristjansson 2010	Not RCT; National community-wide intervention programme, no family intervention; tobacco outcomes, assessed by a series of national surveys
Krohn 1983	Survey, not RCT
Kumpfer 2012	Not RCT
Litrownik 2000	RCT; pre- and post -assessment at 8 weeks. Follow-up not long enough
Lochman 2002	RCT; family intervention; no tobacco outcomes. New for 2008 update
Moncher 1994	RCT; cannot separate outcomes of family from community intervention
Nilsson 2006	RCT; tobacco intervention; cannot separate effect of parents in the “Tobacco Free Duo” as adolescents could be linked with school staff, a parent or a significant other adult
Nutbeam 1993	Excluded from 2015 update. Not possible to separate the effects of the minimal family intervention from the school intervention. The second school intervention had different components so cannot be used as a non-family control
O’Byrne 2002	Survey, not RCT
Olds 2010	Intervention was delivered to mothers during the first 2 years of birth only. Smoking not measured in children
Parsai 2009	RCT; secondary analysis of RCT, tobacco outcomes; parental monitoring was not randomly allocated but used as an analytic variable
Patten 2006	RCT, cessation
Pentz 1989	Not RCT (CBA)
Pentz 2013	RCT; adolescents; tobacco is included in substance use outcome measure; no reply to e-mail 13 December 2013 to authors requesting separate outcome data for tobacco
Perry 1990	Not an RCT

(Continued)

Perry 2003	RCT; D.A.R.E. Plus program consists of: (a) 4 session classroom program "On the Verge," (b) home team activities with parents, (c) theatre productions in classrooms, (d) 3 postcards to students, and (e) 10 postcards to parents. Cannot separate effects of parental from school components
Perry 2009	RCT; tobacco intervention; cannot separate effects of family intervention
Piper 2000	No parental intervention
Ramchand 2006	Not RCT (follow-up of cohort); tobacco outcomes; no family intervention. New for 2008 update
Rohrbach 1994	RCT; Cannot separate out effect of parental intervention from school intervention
Rohrbach 2002	Parents not randomly assigned to experimental control groups
Rosati 2012	No tobacco outcomes
Salminen 2005	Not an RCT. "the members of the ethics committee felt that the randomization of the high-risk families into an intervention and a control group would be unethical." "this lack of randomization is a drawback of the study,"
Schinke 1988	RCT; cannot separate outcomes of family from community intervention
Schinke 2000	RCT; cannot separate outcomes of family from community intervention
Schinke 2009	RCT; family intervention; no tobacco intervention or outcomes
Severson 1991	Effects of quiz given to parents by students, and messages mailed to parents cannot be separated from the school intervention
Simons-Morton 2005	RCT; but cannot separate effects of parent component
Soper 2010	RCT; e-mail from Dr. Wolchik indicated smoking status not ascertained at baseline; RCT randomised families to: (1) Mother program (MP) n = 81; Program for mothers: strategies to improve mother-child relationship quality, effective discipline, interparental conflict, father-child contact; Program for children: active coping, avoidant coping, coping efficacy, negative errors, quality of mother-child relationship (2) Mother program plus Child Coping program (MPCP) n =83; MP program plus: books and syllabi related to coping with divorce (3) Control: Literature Comparison condition n = 76
Spoth 2007	RCT; tobacco outcomes; cannot separate effects of family from school interventions. E mail to Dr. Spoth 13 December 2013 enquiring if could obtain data before school intervention was commenced and no reply
Stevens 1993	Not RCT (CBA)
Tang 1997	Not RCT (CBA)
Tingen 2006	Not an RCT; cannot separate effects of family component from Georgia Quit Line telephone help line

(Continued)

Vartiainen 2007	RCT; cannot separate effects of family intervention from schools intervention
Vitória 2011	Tobacco outcomes but cannot separate effects of family intervention. Design: authors state “A quasi-experimental study was then conducted, randomly selecting areas (groups of neighbouring cities) to define control and intervention conditions. A total of two areas with comparable sociogeographic characteristics were established, although physically separated from each other to prevent results from being contaminated. In these areas, there were 64 schools, all invited to participate. Through random selection, the southern area became the control condition (11 schools from the counties of Moita, Seixal and Barreiro) and the northern area became the intervention condition (14 schools from the counties of Loures and Odivelas). ... All 7th grade classes of these 25 schools participated.”
Wakschlag 2011	Not RCT: observational substudy of the large “Social Emotional Contexts of Adolescent Smoking Patterns” longitudinal study of 1,263 youth
Wen 2007	Not RCT; intervention is to reduce parental smoking
Werch 1991	RCT; did not measure children’s smoking behaviour, only intentions to smoke
Werch 2005	RCT; but no family intervention: the flyer mailed to the home did not involve the parents or other family members explicitly, and the effects of the flyer cannot be separated from the individual counselling in school
Werch 2010	RCT; tobacco intervention and outcomes; only 3 month follow-up
Wilson 2012	Parents provided consent, only family involvement is discussing Fruit & Vegetable preparation
Wilson 2013	Interviews with 17 mothers in Scotland about reducing second-hand smoke at home
Yilmaz 2013	RCT; physician intervention with families to create smoke-free house; urinary cotinine levels measured after 12 months
Young 1996	RCT; did not measure children’s smoking behaviour, only intentions to smoke
Zavela 2004	Not an RCT; cannot separate effect of family intervention

CBA: controlled before and after

DATA AND ANALYSES

Comparison 1. Family intervention versus non intervention control group

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 New smoking at follow-up. Baseline never smokers only	9	4810	Risk Ratio (M-H, Fixed, 95% CI)	0.76 [0.68, 0.84]
1.1 High intensity family intervention	6	1970	Risk Ratio (M-H, Fixed, 95% CI)	0.71 [0.61, 0.82]
1.2 Medium intensity family intervention	1	826	Risk Ratio (M-H, Fixed, 95% CI)	0.83 [0.67, 1.03]
1.3 Low intensity family intervention	2	2014	Risk Ratio (M-H, Fixed, 95% CI)	0.77 [0.61, 0.97]
2 Smoking at follow-up. Baseline not restricted to never-smokers	2	4487	Risk Ratio (M-H, Fixed, 95% CI)	1.04 [0.93, 1.17]
2.1 High intensity family intervention	1	935	Risk Ratio (M-H, Fixed, 95% CI)	0.95 [0.82, 1.11]
2.2 Low intensity family intervention	1	3552	Risk Ratio (M-H, Fixed, 95% CI)	1.12 [0.94, 1.33]
3 Smoking at follow-up. Results not in meta-analysable format			Other data	No numeric data
3.1 High intensity family intervention			Other data	No numeric data
3.2 Medium intensity family intervention			Other data	No numeric data
3.3 Low intensity family intervention			Other data	No numeric data

Comparison 2. Family and school intervention compared to school intervention

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 New smoking at follow-up. Baseline never smokers only	2	2301	Risk Ratio (M-H, Fixed, 95% CI)	0.85 [0.75, 0.96]
1.1 High intensity	1	600	Risk Ratio (M-H, Fixed, 95% CI)	0.86 [0.57, 1.30]
1.2 Low intensity	1	1701	Risk Ratio (M-H, Fixed, 95% CI)	0.85 [0.74, 0.97]
2 Smoking at follow-up. Baseline not restricted to never-smokers	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected
2.1 High intensity	1		Risk Ratio (M-H, Fixed, 95% CI)	0.0 [0.0, 0.0]
3 Smoking at follow-up. Results not in meta-analysable format			Other data	No numeric data
3.1 High intensity interventions			Other data	No numeric data

3.2 Medium intensity interventions	Other data	No numeric data
3.3 Low intensity interventions	Other data	No numeric data

Comparison 3. Family Intervention vs. School Good Behaviour intervention

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 New smoking at follow-up. Baseline never smokers only	1		Risk Ratio (M-H, Random, 95% CI)	Totals not selected

ADDITIONAL TABLES

Table 1. Summary of studies of family versus no intervention

Study	In MA	Intensity	Focus	Age/ grade at baseline	Duration of follow-up	Control
Cullen 1996	Y	High	Family functioning	New born	27-29 years	No intervention/ 'usual care'
Fosco 2013	Y	High	Family functioning	6-8 th grade	3 years	No intervention/ 'usual care'
Haggerty 2007	Y	High	Family functioning	8 th grade	2 years	No intervention/ 'usual care'
Prado 2007	Y	High	HIV & Unsafe sex	Average age 13	3 years	Attention control
Spoth 2001	Y	High	Tobacco, alcohol, marijuana	6 th grade	6 years	Fact sheets/booklets
Storr 2002	Y	High	Child attention problems	1 st grade	7 years (8 th grade)	No intervention [^]
Pierce 2008	Y*	High	Family functioning	12 years	6 years (age 18)	No intervention
Connell 2007	N	High	Family functioning	6 th grade	11 years (age 22)	No intervention
Dishion 1995	N	High	Family functioning	Age 10-14	12 months	Teen focus
Fang 2013	N	High	Substance abuse	Age 10-14	2 years	No intervention/ 'usual care'

Table 1. Summary of studies of family versus no intervention (Continued)

Olds 1998	N	High	Family functioning	New born	15 years	No intervention/ 'usual care'
Riesch 2012	N	High	Family functioning	Age 9-11	6 months	No intervention/ 'usual care'
Bauman 2001	Y	Medium	Tobacco & alcohol	Age 12-14	12 months	No intervention/ 'usual care'
Schinke 2004	N	Medium	Alcohol	Average age 11.5	3 years	No intervention/ 'usual care'
Hiemstra 2014	Y	Low	Tobacco	Age 9-11	3 years	Fact sheets/booklets
Jackson 2006	Y	Low	Tobacco	3 rd grade	3 years	Fact sheets/booklets
Curry 2003	Y*	Low	Tobacco	Age 10-12	20 months	No intervention/ 'usual care'
Stevens 2002	N	Low	Tobacco & Alcohol	Average age 11	3 years	Prevention of differ- ent risky behaviours
Wu 2003	N	Low	HIV & Unsafe sex	Age 12-16	2 years	Teen only focus

* Includes baseline smokers

^ Also compared to school programme alone

Table 2. Summary of studies of family & school versus school alone

Study	In MA	Intensity	Focus	Age/ grade at base- line	Duration of follow- up	Control
Spoth 2002	Y	High	Family Functioning	7 th grade	1 year	School only
Guilamo-Ramos 2010	Y*	High	Tobacco	6-8 th grade	15 months	School only
Forman 1990	N	High	Tobacco, alcohol, marijuana	Average age 15	1 year	School only
Elder 1996	N	Medium	Tobacco & cardio- vascular	3 rd grade	3 years	School only
Jøsendal 1998	Y	Low	Tobacco	13 years	30 months	School only

Table 2. Summary of studies of family & school versus school alone (Continued)

Ary 1990	N	Low	Tobacco, alcohol, marijuana	6-9 th grade	9-12 months	School only
Biglan 1987	N	Low	Tobacco	7-10 th grade	12 months	School only
Reddy 2002	N	Low	Tobacco & cardiovascular	Age 12	1-8 months	School only

* Includes baseline smokers

Table 3. Classification of fidelity of training & intervention adherence

Study	Fidelity of training/ adherence	Description
Bauman 2001	Good	Provided the consultants to the parents with manualised training throughout the two year programme. "Families who completed the entire program (74%) spent an average total 4 1/2 hours doing the program and parents spent an additional hour talking with the health educator by telephone. The majority of families completed all activities associated with each booklet."
Elder 1996	Good	Provided classroom teachers with 1 or 1.5 training sessions. He found that of the children who began in a school which offered the school + family intervention, 47% attended such a school for the entire period. For the FACTS tobacco curriculum 87% of teachers participated in the classroom sessions, checklists were returned for 96% of classroom sessions, 96% completed the entire lesson and 87% were implemented without modification. For the Family Intervention for tobacco 97% of session-specific activities were completed, and 78% of adults participated in the home activities. However, only 48% of home team activity cards were returned, 40% of schools participated in 'Great American Smokeout' activities, 33% of schools held assemblies about tobacco and 25% sponsored anti-tobacco or anti-drug clubs
Fang 2013	Good	The intervention was delivered by Internet and fidelity was assured because the computer automatically returned participants to the last place at which they logged off and participants could not log on to the next module until the previous one was completed; only data from participants who answered 3 of 4 fidelity check questions were included
Forman 1990	Good	All sessions were tape recorded and independent raters achieved inter-coder agreement > 90%. In the coping skills training group half of the sessions covered > 80% of the planned activities, the average completion rate across all coping sessions was 74%, 2/3 of the students completed 9 or 10 of the intervention sessions and 92% completed at least 7. In the School-Plus-Parent intervention 44% of the students had at least one parent participate in the parent training sessions and of the parents who

Table 3. Classification of fidelity of training & intervention adherence (Continued)

		attended 74% attended at least 4 meetings
Haggerty 2007	Good	The intervention was self-administered with telephone support. The mean level of reported completion of the family activities was 81%. On average, family consultants made 16.9 call attempts (resulting in 9.7 completed calls during the 10 weeks) and phone calls lasted about 10.5 minutes/week. In the parent and adolescent format group leaders called families each week to remind them of the upcoming session and 77.9% of families initiated the parent and teen sessions. The mean number of sessions attended was 4.56. Family sessions were led by two workshop leaders with prior experience conducting parent or teen workshops who received 20 hours of training
Hiemstra 2014	Good	81% of intervention group children read and completed ≥ 3 modules and 73% of control families read and completed 3 fact sheets
Riesch 2012	Good	Students received three 2-day training sessions. On their checklists more than 90% of the content was consistently covered in the adult groups and 87% in the youth groups
Schinke 2004	Good	CD-ROM usage was recorded by code: 95% of youths completed the CD-ROM in the CD-ROM intervention group, and 91% in the CD-ROM + parent intervention group, 83% of parents watched the videotape, 67% attended the workshop and 79% completed the parent CD-ROM
Spoth 2001	Good	ISFP intervention: each team of leaders was observed 2-3 times and there were reliability checks on 50% of family, 30% of youth and 25% of parent sessions (paired observers' scores differed by an average of 10%); coverage of topics was 89% in youth, 87% in family, and 83% in parent sessions. PDFY intervention: each team of group leaders was observed for 2/5 sessions and 50% of these sessions were observed by two observers (average ratings difference 6%) and there was an average 69% coverage of topics
Spoth 2002	Good	SFP 10-14 intervention: each team of facilitators was observed on 2-3 occasions (observers' ratings differed by an average of 2.4%) and average adherence to programme components was 92%. LST intervention: each classroom teacher was observed on 2-3 occasions (observers' ratings differed by an average 13.6%) and average programme component adherence was 85%
Ary 1990	Intermediate	Provided teachers with 2-3 hours of classroom instruction. Surveys of teachers indicated that the control group received 10 sessions of standard tobacco and drug education (with 97% recognizing peer pressures, 97% short-term effects on the body and brain, 96% long-term health consequences, 84% decision-making skills, 72% media pressures, and 67% refusal skills practice), and the intervention schools received a median of 5 sessions of other drug education in addition to PATH. There was

Table 3. Classification of fidelity of training & intervention adherence (Continued)

		no assessment whether the letters to parents were received or read
Connell 2007	Intermediate	Of the 500 participants, only 115 chose to participate in the Family Check Up. These families received an average 8.9 hours of direct contact with intervention staff
Cullen 1996	Intermediate	Same general practitioner provided the counselling throughout the intervention, standard questions were used to introduce new ideas but there is no statement that a manualised protocol was followed
Curry 2003	Intermediate	After 6 months 83% of the parents in the intervention group said they had read the handbook, completed one or more activities and spoken with a counsellor; 51% reported they had watched the videotape and 42% the CDC tape and 47% of the intervention and 45% of the control group children had visited a physician in the previous 6 months. However, of these only 22% in the intervention and 15% in the control group said tobacco use was discussed with the child; and 17% in the intervention and 3% in the control group said the 'Steering Clear' project was discussed
Dishion 1995	Intermediate	All participants were visited by a therapist at home but there was no process analysis
Fosco 2013	Intermediate	Of 386 families in the intervention group, 51% received a consultation from a parent consultant and 42% in the full FCU intervention. Of those receiving FCU 78% received additional follow-up assistance such as parent skills training, education-related concerns, support in success with homework, attendance and grades, improving school behaviour, and facilitating parent-teacher communication. Of 180 families, 36% received positive behaviour support, 68% support in limit setting and monitoring skills, 73% support for communication and problem-solving, 67% school-related support. Intervention families received an average 94.2 minutes of intervention time
Jackson 2006	Intermediate	Interviews with children were by staff with 2 years experience and 30 hours of training and parent interviews were computer-assisted by a contracted survey unit. There was no process analysis whether parents received, read and discussed tip sheets, or if the control group received and read the fact sheets
Jøsendal 1998	Intermediate	A process analysis was conducted but the results were not stated, and there was no process analysis of the intervention variations as time progressed: There were "verbal assurances of compliance from Grade 8 pupils and teachers and Grade 9 pupils."
Pierce 2008	Intermediate	parent counsellors completed 60 hours of training including role playing and tapes were reviewed for fidelity (no statement of fidelity outcomes)

Table 3. Classification of fidelity of training & intervention adherence (Continued)

Prado 2007	Intermediate	Facilitators had an average 5 years experience working with low-income Hispanic immigrant families, were certified in Familias Unidas and PATH, were trained in general group process facilitation and conducted 54 pilot sessions. All sessions were taped. Adherence to Familias Unidas was 3.72/6 and to PATH 3.70/6 (interrater reliability $k = .75$)
Reddy 2002	Intermediate	There was no process analysis; 2/30 schools had shorter follow-up; 14/20 schools displayed all 10 posters, 6 displayed 7-9; 6/20 schools implemented all 20 activities from the teachers' manual, and 8/10 schools in the Family intervention group distributed at least 5 of the 6 booklets
Stevens 2002	Intermediate	All paediatricians and nurse practitioners received 3 hours of training. After the initial intervention visits 95% of children were seen for subsequent visits, during which prevention messages were documented as delivered in only 47% of the safety intervention and 51% of the alcohol/tobacco intervention practices
Storr 2002	Intermediate	First grade CC and FSP teachers received 60 hours training and certification. In the CC Intervention the implementation mean score was 59.9% and median score 64.4% (range 30-78%). In the FSP intervention parents attended an average 4/7 and median 5/7 of the core parenting sessions (and 13% attended none)
Biglan 1987	No/minimal evidence	Provided classroom teachers with 2-3 hours of training. No statement if the parent messages were received or read
Guilamo-Ramos 2010	No/minimal evidence	No statement about training or fidelity of implementation.
Olds 1998	No/minimal evidence	Wide ranges in the number of visits (families visited at home received an average of 9 [range 0 -16] visits during pregnancy and 23 [range 0 -59] from birth through the child's 2nd birthday). There was no process analysis of the content of the visits
Wu 2003	No/minimal evidence	No process analysis.

WHAT'S NEW

Last assessed as up-to-date: 3 October 2014.

Date	Event	Description
6 January 2015	New citation required and conclusions have changed	Eight new RCTs added, three studies in the 2008 version re-assessed and excluded. Studies that reported data in suitable format now pooled in meta-analysis, moderate quality evidence of benefit for some subgroups
6 January 2015	New search has been performed	Searches updated. All the RCTs in the 2008 version have been re-assessed and risk of bias tables expanded. Abstract, Plain Language Summary, Results, Conclusions, and Recommendations for Practice and Research sections rewritten, Background updated and Risk of Bias graphs added

HISTORY

Protocol first published: Issue 4, 2003

Review first published: Issue 1, 2007

Date	Event	Description
12 August 2014	Amended	Third edition (see “What’s new” above).
18 December 2007	New search has been performed	Updated for 2008 issue 2, with two new included studies (Forman 1990 and Connell 2007) and 14 new excluded trials. Conclusions strengthened but unchanged

CONTRIBUTIONS OF AUTHORS

RET conceived and designed the review. RET, PB, BCT and DL checked titles and abstracts for inclusion, retrieved studies and extracted data. Lindsay Stead performed multiple searches. RET performed the data analyses and wrote the text. All authors contributed to the content of the review.

DECLARATIONS OF INTEREST

None to declare

DIFFERENCES BETWEEN PROTOCOL AND REVIEW

None

NOTES

None

INDEX TERMS

Medical Subject Headings (MeSH)

*Family; Randomized Controlled Trials as Topic; Smoking [*prevention & control; psychology]

MeSH check words

Adolescent; Child; Humans