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1 **Article Title:** Alzheimer's disease susceptibility gene apolipoprotein e (*APOE*) and blood
2 biomarkers in UK Biobank (N=395,769).

3 **Authors:** Amy C. Ferguson¹ PhD, Rachana Tank¹ MSc, Laura M. Lyall¹ PhD, Joey Ward¹ BSc,
4 Carlos Celis-Morales^{2,3} PhD, Rona Strawbridge^{1,4,5} PhD, Frederick Ho¹ PhD, Christopher D.
5 Whelan⁶ PhD, Jason Gill² PhD, Paul Welsh² PhD, Jana J. Anderson¹ PhD, Patrick B. Mark² PhD,
6 Daniel F. Mackay¹ PhD, Daniel J. Smith¹ MD, Jill P.Pell¹ PhD, Jonathan Cavanagh¹ PhD, Naveed
7 Sattar¹ FRSE, Donald M. Lyall¹ PhD.

8

9 ¹Institute of Health & Wellbeing, University of Glasgow, Scotland, UK.

10 ²Institute of Cardiovascular and Medical Sciences, University of Glasgow, Glasgow, Scotland, UK.

11 ³GEEAFyS, Universidad Católica del Maule, Talca, Chile

12 ⁴Health Data Research UK.

13 ⁵Cardiovascular Medicine, Department of Medicine Solna, Karolinska Institutet, Stockholm,
14 Sweden.

15 ⁶Research and Early Development (RED), Biogen Inc., Cambridge MA, 02139, USA.

16

17 **Corresponding author:**

18 Dr. Donald M. Lyall (Donald.Lyall@Glasgow.ac.uk)

19 1 Lilybank Gardens,

20 Institute of Health and Wellbeing,

21 University of Glasgow,

22 G12 8RZ,

23 Glasgow, UK.

24 Tel: +44 (0) 141 330 8547

25 Fax: +44 (0) 141 330 1874

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37 **Abstract**

38 Background

39 Alzheimer's disease (AD) is a neurodegenerative condition where the underlying aetiology is
40 still unclear. Investigating the potential influence of apolipoprotein e (*APOE*), a major genetic
41 risk factor, on common blood biomarkers could provide a greater understanding of the
42 mechanisms of AD and dementia risk.

43 Objective

44 Our objective was to conduct the largest (to date) single-protocol investigation of blood
45 biomarkers in the context of *APOE* genotype, in UK Biobank.

46 Methods

47 After quality control and exclusions, data on 395,769 participants of White European ancestry
48 were available for analysis. Linear regressions were used to test potential associations between
49 *APOE* genotypes and biomarkers.

50 Results

51 Several biomarkers significantly associated with *APOE* e4 'risk' and e2 'protective' genotypes
52 (vs. neutral e3/e3). Most associations supported previous data: for example, e4 genotype was
53 associated with elevated low-density lipoprotein cholesterol (LDL) (standardized beta [b] =
54 0.150 standard deviations [SDs] per allele, $p < 0.001$) and e2 with lower LDL ($b = -0.456$ SDs,
55 $p < 0.001$). There were however instances of associations found in unexpected directions: e.g. e4
56 and increased insulin-like growth factor (IGF-1) (standardized beta = 0.017, $p < 0.001$) where
57 lower levels have been previously suggested as an AD risk factor.

58 Conclusion

59 These findings highlight biomarker differences in non-demented people at genetic risk for
60 dementia. The evidence here in supports previous hypotheses of involvement from
61 cardiometabolic and neuroinflammatory pathways.

62 **Introduction**

63 Alzheimer disease (AD) is the most common form of dementia and an important public health
64 issue [1], hypothesized to be the result of interactions between genetic and environmental risk
65 factors [2]. *APOE* e4 genotype is the largest common single genetic risk factor for AD and
66 cognitive decline behind increasing age [3], with the e2 allele potentially protective [1]. The
67 exact mechanisms by which *APOE* genotype influences brain ageing are unclear but probably
68 due to pleiotropic pathways stemming from its core role in lipid metabolism [4].

69 Several studies have investigated serum biomarker differences between AD patients vs.
70 healthy individuals in order to identify potential risk factors, including low density lipoproteins
71 (LDL) and insulin-like growth factor 1 (IGF-1) with sometimes conflicting results potentially
72 due to methodological heterogeneity [5,6]. Many studies investigating serum levels in AD have
73 focussed on specific biomarkers involved in β -amyloid precursor protein metabolism and
74 phosphorylation [7]. There have been relatively few studies investigating a wide range of
75 biomarkers in a “hypothesis-free” approach; and those studies which have done this appear to
76 be limited by small sample size or have been cross-sectional in individuals with an extant
77 diagnosis of dementia [8–10]. Relatively few biomarkers have been investigated in the context
78 of AD-susceptibility gene *APOE*. Gaining a greater understanding of how *APOE* influences
79 biomarker serum levels could be extremely beneficial: highlighting factors significantly
80 associated with AD genetic risk could elucidate potential pathways involved in its development,
81 pathophysiology and ultimately treatment [11].

82 In this study *APOE* genotype status was tested vs. a range of circulating serum blood
83 biomarkers available for approximately 396,000 participants in UK Biobank. Two separate
84 analyses were undertaken to investigate the influence of genotypic status on biomarker levels:
85 differences per i) risk e4, or ii) protective e2 allele; each vs. neutral e3/e3 genotype. Further
86 analyses were undertaken to investigate the associations in males and females separately due to
87 *a priori* evidence for *APOE*-sex differences in AD pathophysiology [12]. To our knowledge, this is
88 the first large-scale investigation of the relationship between *APOE* genotype status and a wide
89 range of biomarkers in a population cohort.

90

91 **Methods**

92 Subjects

93 Over 502,000 UK residents aged 37-73 years were recruited to UK Biobank from 2006-2010. At
94 one of 22 assessment centres across the UK, participants completed a range of phenotypic
95 assessments and questionnaires, including genetic, urine and blood samples [13]. We focussed
96 on participants with White British ancestry because there is evidence of different e4 frequencies
97 across ethnicities[14].

98 Ethical approval

99 This secondary-data analysis study was conducted under generic approval from the NHS
100 National Research Ethics Service (approval letter dated 17th June 2011, ref 11/NW/0382).
101 Written informed consent was obtained from all participants in the study (consent for research,
102 by UK Biobank).

103

104 Genotyping

105 UK Biobank participants were genotyped using Applied Biosystems UK BiLEVE Axiom array by
106 Affymetrix and Applied Biosystems UK Biobank Axiom Array which share 95% marker content
107 [13]. *APOE* e status was based on two single nucleotide polymorphisms (SNPs): rs7412 and
108 rs429358. Stringent quality control and processing were applied to the data, detailed at
109 <http://www.ukbiobank.ac.uk/scientists-3/genetic-data> and [http://www.ukbiobank.ac.uk/wp-](http://www.ukbiobank.ac.uk/wp-content/uploads/2014/04/UKBiobank_genotyping_QC_documentation-web.pdf)
110 [content/uploads/2014/04/UKBiobank_genotyping_QC_documentation-web.pdf](http://www.ukbiobank.ac.uk/wp-content/uploads/2014/04/UKBiobank_genotyping_QC_documentation-web.pdf).

111

112 Biomarker collection and processing

113 Biomarker levels were analysed in UK Biobank from serum and packed red blood cell samples
114 obtained from all UK Biobank participants at baseline [15]. Stringent quality controls were
115 applied to the assays used measure biomarker levels, details of biomarker quality control,
116 instrumentation and analysis methods are available at:
117 https://biobank.ndph.ox.ac.uk/showcase/showcase/docs/biomarker_issues.pdf,
118 https://biobank.ndph.ox.ac.uk/showcase/showcase/docs/serum_biochemistry.pdf,
119 <http://biobank.ndph.ox.ac.uk/showcase/showcase/docs/haematology.pdf>, and
120 [http://www.ukbiobank.ac.uk/wp-](http://www.ukbiobank.ac.uk/wp-content/uploads/2018/11/BCM023_ukb_biomarker_panel_website_v1.0-Aug-2015-edit-2018.pdf)

121 [content/uploads/2018/11/BCM023_ukb_biomarker_panel_website_v1.0-Aug-2015-edit-](http://www.ukbiobank.ac.uk/wp-content/uploads/2018/11/BCM023_ukb_biomarker_panel_website_v1.0-Aug-2015-edit-2018.pdf)
122 [2018.pdf](http://www.ukbiobank.ac.uk/wp-content/uploads/2018/11/BCM023_ukb_biomarker_panel_website_v1.0-Aug-2015-edit-2018.pdf). Processing of very low levels of oestradiol and rheumatoid factor (RF) were recorded
123 as “missing” (in the original data); these missing values were recoded conservatively as the
124 square root of the minimum stated detectable value if individuals had data for a remaining
125 biomarker [16] and were not coded as ‘no data returned’ or having unrecoverable aliquot
126 problems. Oestradiol and RF require attention in this context because they were the biomarkers
127 highlighted by UK Biobank as the variables with by far the highest frequency of values below
128 reportable levels (75-90% of results); no assays had >0.1% of results above their reportable
129 range.

130

131 Dementia outcomes

132 We validated *APOE* genotype’s association with dementia/AD outcomes (in UK Biobank) as a
133 check, having previously shown associations in expected directions with brain structure [3] and

134 cognitive abilities [17]. Dementia and AD outcomes were generated using self-report, hospital
135 admission and death record data, with hospital and death record data utilising International
136 Classification of Diseases version 10 (ICD-10 codes). Individuals were designated as cases (“all-
137 cause dementia” or “Alzheimer disease”) if they had indicated dementia or AD in either self-
138 report, or through hospital or death records – derived by UK Biobank based on self-report,
139 hospital admission and death reports [18]. Those coded as missing were designated as controls
140 (i.e. did not self-report dementia/AD, and these diagnoses were not present in hospital/death
141 records).

142

143 Covariates

144 Participants self-reported their smoking history, and we collated past and current smokers into
145 ‘ever’ (vs. never). Participants self-reported medication use for cholesterol, high blood pressure
146 or insulin. We excluded those who did not know or preferred not to answer for these various
147 items (<5%). Townsend deprivation indices were derived from postcode of residence [19]. This
148 provides an area-based measure of socioeconomic deprivation derived from aggregated data on
149 car ownership, household overcrowding, owner occupation and unemployment. Higher
150 Townsend scores equate to higher levels of area-based socioeconomic deprivation. We
151 additionally controlled for potential population stratification using UK Biobank-derived
152 principal components (PCs) 1-5, and genotypic array[13]. Height was measured (Seca 202
153 stadiometer) and weight was measured to the nearest 0.1 kg (BC-418 MA body composition
154 analyser; Tanita Corp). Body mass index (BMI) was derived from weight in kilograms divided by
155 height in meters squared. All-cause cancer was derived based on self-report at baseline. Month
156 of assessment was recorded by UK Biobank. We have previously described and derived an ‘any
157 self-reported inflammatory condition’ variable[20], including 58 conditions described in an
158 open-access report. Participant assessment centre was recorded by UK Biobank, and
159 educational attainment was self-reported.

160

161 **Statistical analyses**

162 The linear regressions reported reflect average SD-changes per e4 or e2 allele vs. neutral e3/e3
163 genotype, i.e. e3/e3 vs. e3/e4 vs. e4/e4 (dose), and e2/e2 vs. e2/e3 vs. e3/e3 (dose).
164 Associations with *APOE* genotype vs. dementia/AD were tested using binary logistic regressions
165 reporting odds ratios (OR) and their 95% confidence intervals. We corrected for multiple
166 testing using False Discovery Rate (FDR)[21], conservatively collating all tests. Biomarkers
167 which were not normally distributed were log transformed prior to Z-score transformation and
168 reanalysed: the resulting effect sizes were unchanged and therefore we report the original
169 estimates.

170 Three linear regression models were used to investigate potential associations with
 171 each biomarker and adjusted for potential confounders. Model 1 ('minimally-adjusted') adjusted
 172 for age, sex, baseline assessment centre, principal components 1-5 for population stratification,
 173 and genotyping array. Model 2 ('partially-adjusted') also included self-reported diabetes, high
 174 blood pressure and coronary heart disease (comprised of angina plus myocardial infarction
 175 [22]). Model 3 ('fully adjusted') also controlled for self-reported cholesterol, hormone
 176 replacement therapy, oral contraceptive, insulin or hypertension medication, Townsend
 177 deprivation scores, and ever vs. never smoking. The cross-sectional association between *APOE*
 178 vs. dementia outcomes were also analysed using these models.

179 As additional sensitivity analyses we adjusted for dummy-variable 'any self-reported
 180 chronic inflammatory condition' (n=64,996; 17%); underweight (BMI<18.5; n=1,962 or 0.5%)
 181 or obesity (BMI≥30, n = 297,738 or 75.4%) vs. normal to overweight (18.5 to 30 BMI; n=95,001
 182 or 24.1%), month of assessment, university/college degree vs. not, and finally we additionally
 183 corrected any significant associations with IGF-1 for self-reported baseline cancer history
 184 (n=33,406; 8%) [23]. SNP data was collated and quality controlled with PLINK V1.90, and
 185 analysed with Stata V16.

186

187 **Results**

188 We excluded participants with non-white British ancestry (N=78,672; 16%), sex mismatch (self-
 189 report versus genetic), chromosomal aneuploidy, excessive heterozygosity and genotype
 190 missing rate >10%. We excluded the minority of participants with e2/e4 (n=2,556; 0.7%)
 191 genotype because this included potentially protective and risk alleles [24]. We removed outliers
 192 >5SDs from the mean for each biomarker. This left overall N=395,769 which varied slightly by
 193 biomarker: Table 1 shows sample size and key values per biomarker.

194

195

[Table 1 here]

196

197 *APOE* associations with dementia phenotypes

198 As a form of replication and to support the utility of investigating *APOE* genotype in the UK
 199 Biobank cohort, we tested for e4 and e2 allele count (vs. e3/e3) against all-cause dementia
 200 (n=1,852; 0.5%), and specific AD diagnosis (n=722; 0.2%). We found that e4 was associated
 201 with increased AD (fully-adjusted OR = 3.51 per allele, 95% CI = 3.14 to 3.92, P<0.001) and all-
 202 cause dementia (OR = 2.59, 95% CI = 2.40 to 2.79, P<0.001), whereas, e2 was correspondingly
 203 associated with decreased AD (OR = 0.59, 95% CI = 0.40 to 0.85, P = 0.005) and all-cause
 204 dementia (OR = 0.78, 95% CI = 0.65 to 0.93, P = 0.007). An unadjusted chi-square test showed
 205 64%% of people with AD had at least one e4 allele vs. 36% in the non-AD group.

206

207 APOE associations with biomarker values

208 Several significant associations (at nominal $p < 0.05$) were identified between *APOE* genotype
 209 status and biomarker values, as shown in Figure 1, which shows fully-adjusted estimates.
 210 Increasing e4 allele count associated with significant differences in several biomarker values
 211 (Supplementary Table S1). There were associations between e4 allele count vs. higher LDL, IGF-
 212 1, sex hormone binding globulin (SHBG), total bilirubin, triphosphate levels, ApoB and total
 213 cholesterol. Negative associations were found between e4 genotype and lower high-density
 214 lipoprotein (HDL), haemoglobin A1c (HbA1c), lipoprotein A, phosphate, C-reactive protein
 215 (CRP), gamma glutamyl transferase (GGT), vitamin D, creatinine, urate, and urea. The largest
 216 effect sizes – based on fully-adjusted model results- were seen for total cholesterol (0.13 SDs
 217 per allele in the fully adjusted model), ApoB (0.20), CRP (-0.12) and LDL (0.15), with the rest
 218 < 0.1 SDs per e4 allele. There was no evidence of a significant fully adjusted association between
 219 e4 and oestradiol, aspartate transaminase (AST), albumin, testosterone and RF levels.

220

221 **[Figure 1 here]**

222

223 Significant associations were seen between e2 allele count vs. lower LDL, HDL, IGF-1, total
 224 bilirubin, direct bilirubin, vitamin D, CRP, cystatin C (CysC), ApoA, ApoB, creatinine and alkaline
 225 phosphatase (Figure 1). These are in the opposite directions of effect reported for the
 226 associations to the e4 allele (vs. e3/e3) as expected. Associations between *APOE* e2 and HbA1c,
 227 lipoprotein A, SHBG and triphosphate levels were also identified, however, these effects were in
 228 the same direction as e4. The largest effect sizes were for LDL (-0.46 SDs per allele in the fully-
 229 adjusted model), triphosphate (0.13), ApoB (-0.61) and total cholesterol (-0.35), with the rest
 230 < 0.1 SDs per e2 allele.

231 There were instances of significant association for e2 but not e4 vs. e3/e3. These were:
 232 negative associations between e2 vs. protein and aspartate aminotransferase levels, and
 233 positive association between e2 and testosterone. There was no statistically significant
 234 association between e2 count and oestradiol, phosphate, GGT, urate, urea and alanine
 235 aminotransferase levels (Supplementary Table S1). Both e4 and e2 were also found to associate
 236 with increased SHBG, decreased protein and increased triphosphate (vs. e3/e3).

237

238 Sex-specific analyses

239 There were instances of male/female sex vs. genotype interactions for several biomarkers
 240 (Supplementary Table S2). Out of 33 biomarkers, 16 showed some interaction ($P < 0.05$): LDL,
 241 HDL, HbA1c, Oestradiol, RF, SHBG, Testosterone, protein, triphosphate, urate, ApoB, ApoA, total

242 cholesterol, AST and alanine transaminase (ALT). We provide complete sex-specific Z-score
243 associations in Supplementary Table S3. Most of the significant associations in the collated
244 analyses remained so in individual sexes. Certain associations were only significant in males: e4
245 vs. oestradiol and calcium, and e2 vs. IGF-1, SHBG, testosterone and cystatin c.

246

247 Sensitivity analyses

248 All nominally significant associations survived correction for FDR. Results were unchanged in
249 terms of effect size and P-value when individuals with incident dementia/AD were removed
250 from analyses. When we added presence of any self-reported inflammatory condition, month of
251 assessment, degree vs. not, underweight or obesity (vs. normal to overweight) to the final
252 model, no results were meaningfully changed (Supplementary Table S4). Results were
253 unchanged when we re-analysed all outcome variables with inverse-rank normalisation to
254 avoid potential false positives caused by outlying values (prior to removing values >5SD from
255 the mean), and when we used the maximum 40 PCs (vs. 5 reported). IGF-1 results were
256 unchanged when we additionally controlled for all-cause cancer. As a check, we re-ran all final-
257 model tests as 0 vs. 1 allele, and 0 vs. 2 allele contrasts (rather than a 0/1/2 dose effect); results
258 were consistently indicative of dose effects in the same direction.

259

260 **Discussion**

261 The *APOE* e4 allele is known to associate in UK Biobank with worse non-demented cognitive
262 abilities [17], cerebrovascular health [3] and here clinically-ascertained AD/dementia risk
263 (mostly; a small minority of cases were baseline self-report). In this study we found several
264 significant associations per e4 allele on circulating biomarker levels (vs. neutral e3/e3). In many
265 instances these were supported by corresponding associations between the putatively
266 protective e2 genotype in the opposite direction as would be expected. Gaining a greater
267 understanding of the biomarker profiles of individuals at genetic risk of AD may be useful in the
268 future for earlier detection of AD and could potentially highlight pathways as therapeutic
269 targets [11]. In some instances, the directions of effect for e4 ('risk') and e2 ('protective') alleles
270 conflicted with what would be expected based on levels reported elsewhere in people with
271 prevalent AD. For example: lower levels of IGF-1 have previously associated with increased risk
272 of AD and cognitive decline [6,25]. By contrast here we showed association between e4 and
273 increased IGF-1, and between e2 genotype and lower IGF-1. This is surprising as IGF-1
274 stimulates neurogenesis and promotes cell survival [26]. Previous reports could reflect some
275 degree of reverse causality or bias in cross-sectional AD patient sample studies showing lower
276 IGF-1 levels i.e. where disease onset affects biomarker health.

277 Interpretation

278 *Vitamin D*

279 Previous studies have reported *APOE* e4 association with higher vitamin D serum concentration
280 [27] however here e4 associated with decreased, and e2 with increased vitamin D. In e4
281 homozygotes, a higher vitamin D concentration has been associated with higher memory
282 function, suggesting higher vitamin D levels could be protective for people at risk for AD [28].

283

284 *Lipids*

285 Investigations into the effect of differing cholesterol levels on cognitive decline and AD have
286 produced conflicting results with both low and high cholesterol being associated [8,29–31]. The
287 lack of consensus could be partially due to the smaller sample sizes previously used. The three
288 *APOE* alleles encode for different ApoE protein isoforms with altered lipid interactions in serum;
289 the e3 encoded protein isoform is associated with “normal” plasma lipid levels [32]. *APOE* e4
290 and its associated higher LDL have been previously associated with early onset of AD [33] while
291 e2 has protective effects on the concentrations of cholesterol, lipids and phospholipids [34].
292 Here we reinforce those observations, particularly in the context of respective dose e2/e4
293 protective vs. deleterious associations [5,8,34,35].

294 It has been hypothesised that lipid metabolism is important in the pathophysiology of
295 AD [11]. The significant associations between *APOE* genotype status and ApoA/ApoB support
296 this. ApoA and ApoB proteins are major surface proteins of HDL and LDL, respectively [36];
297 previously ApoA has been associated with lower risk of cardiovascular disease [37], whereas,
298 ApoB is reported to be proatherogenic [36]. We identified lower ApoA levels in e4 carriers and
299 higher levels in e2 carriers; ApoA has reportedly neuroprotective effects by inhibiting β -amyloid
300 plaque aggregation [36,38]. Our findings are supported by previous associations reported
301 between decreased serum ApoA and increased AD risk [38]. With ApoB, we found e4 was
302 associated with elevated levels of ApoB and e2 with lower levels of ApoB compared to e3. This
303 is consistent with the direction of effect reported in most [10,33] but not all studies [36].

304 It is hypothesised *APOE* allele-encoded protein isoforms have different affinities for
305 lipoproteins [39]. It has been difficult to define the exact effects of *APOE* genotype on
306 lipoprotein A from previous studies’ many small sample sizes. Our data showed that both *APOE*
307 e2 and e4 were significantly associated with decreased levels of lipoprotein A (vs. e3)[39].
308 Elevated levels of lipoprotein A are known to have a causal relationship with myocardial
309 infarction, which has previously been associated with increased dementia risk [39]. The
310 influence of lower lipoprotein A on the pathophysiology of AD remains unclear.

311 *APOE* genotype status may modify the effect of sex hormones on dementia symptoms
312 [40]. We did not find any evidence for a significant association between *APOE* genotype and
313 oestradiol in the whole sample, although in males e4 associated with lower levels. Oestradiol

314 has suggested overall neuroprotective effects; however, evidence is conflicting, and this
315 relationship is not fully understood [40]. We found an association between *APOE* e2 and higher
316 testosterone levels, specific to males. There is conflicting evidence regarding the effect of
317 testosterone on AD risk [41,42]; some cross-sectional studies report lower levels of
318 testosterone in AD patients vs. healthy controls [42], consistent with our findings as e2 is
319 associated with decreased AD risk [1].

320

321 *Inflammation*

322 The underlying pathophysiology of AD has been suggested to be at least partially influenced by
323 neuroinflammation [43]. Serum CRP levels are a marker for inflammation but the evidence for
324 association with AD risk is conflicting. Interactions between *APOE* e4 and elevated CRP have
325 been reported to associate with early onset of AD [44]. However, consistent with our findings e4
326 has been associated with lower CRP levels, and e2 with higher CRP levels [10,45]. Further
327 research is required to elucidate the effects of CRP levels on the pathophysiology of AD,
328 particularly given it is a marker of acute rather than chronic inflammation [43]. Another
329 inflammatory marker associated with increased risk of AD is raised GGT [46]. We identified
330 lower levels of GGT in e4 carriers: this may reflect bias in cross-sectional studies of GGT and AD.

331 Alkaline phosphatase may have some involvement in the inflammatory/AD process [47].
332 We identified lower levels of alkaline phosphatase in e4 carriers and elevated levels in e2
333 carriers. It has been suggested that alkaline phosphatase could potentially be used as a therapy
334 to reduce neuroinflammation in AD [47]; our findings may support this but more in depth
335 investigations are required.

336 CysC, typically a marker of kidney dysfunction, is also involved in modulation of
337 inflammatory responses and reported to have neuroprotective effects in AD as it co-localizes
338 with β -amyloid and inhibits oligomerization [48]. We found that e4 associated with lower CysC
339 and e2 with higher CysC levels compared to e3. Lower baseline CysC has been reported to
340 precede AD onset in an 11-year longitudinal study of non-demented elderly men at baseline; in
341 one small study (N=82) [49] which suggested the finding may be due to attrition bias because
342 higher CysC is a risk factor for cardiovascular disease and earlier mortality. Our findings
343 potentially lend support to low CysC serum levels as an AD risk factor.

344

345 *Other biomarkers*

346 We report suggestive association between e4 and lower phosphate levels, potentially
347 contradicting prior research showing association between (age-dependent) higher serum
348 phosphorous and incident dementia [50]. We found significant associations between e4 and
349 higher total bilirubin and urea, and lower direct bilirubin, urate, creatinine, calcium, and alanine

350 aminotransferase. In the whole sample analysis, we found evidence of associations between e2
351 and higher direct bilirubin, creatinine and aspartate aminotransferase, and lower total bilirubin
352 and albumin. Both e4 and e2 associated in same direction with HbA1c, SHBG, protein and
353 triphosphate. This is unexpected: e4 and e2 tend to show opposing effects regarding AD. We
354 found proportionally moderate evidence of interaction between sex, genotype and biomarker;
355 this is a significant area of research and warrants further study along with investigation age-
356 and multimorbidity-related interactions [12].

357

358 Limitations and future research

359 A limitation of UK Biobank is that participants are overall likely to have fewer health conditions,
360 be better educated, of older age, female, and living in less socio-economically deprived areas
361 than the general population [13]. This study was conducted in individuals of White European
362 ancestry only and so these results may not be generalizable to a more mixed population.
363 Findings may not be truly representative of the effects of *APOE* genotype in the wider UK
364 population [13]. There may be conflicting biases at play in at least some of the results. For
365 example, confounding effects may exist where e4 carriers are of poorer health, affecting their
366 lifestyle and in turn influencing biomarker values, or by contrast selection bias, where the e4
367 carriers here are of particularly good health relative to the general population.

368 Although this study reports significant associations between serum biomarkers and
369 *APOE* genotype, which could influence the risk of AD, these alleles are unlikely to be entirely
370 responsible [1]. Associations may to some extent reflect early prodromal AD; future study may
371 investigate biomarkers in AD-by-proxy (i.e. family history) cases. Some biomarker levels may
372 only be pathogenic in combination with other biomarkers [8]. Some of the biomarkers may be
373 influenced by environmental factors such as seasonality, although this should to some extent
374 average out; sensitivity analyses showed no evidence of confounding. The effect sizes reported
375 here are in many cases small and the results require replication; the clinical applicability of
376 these findings remains unclear. It is not necessarily possible to identify the exact biological
377 pathways involved in the pathophysiology of AD from these analyses. Further work is required
378 to investigate the underlying pathways to identify processes which could be modified or
379 targeted to decrease the risk of AD, including longitudinal biomarker data [12,35]. Future
380 research e.g. using Mendelian randomization may investigate whether pharmaceutically
381 altering serum biomarker levels or implementing lifestyle changes to manage these biomarkers
382 may be beneficial to individuals at greater risk of developing AD.

383

384 **Conclusion**

385 The exact influence of *APOE* on AD and dementia pathophysiology is unclear. Through this study
386 we have identified associations between the high-risk AD gene locus *APOE* and a range of serum
387 blood biomarkers in UK Biobank. These associations highlight potential pathways involved in
388 the development of cognitive impairment and have potential to lead to earlier detection of AD
389 risk through the analysis of biomarkers and *APOE* genotype.

390

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410

411 **Author contributions**

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638 **Table 1:** Baseline descriptive values.

	Mean (SD)	Median	Min	Max	
Age	56.9 (8.0)	58	39	73	
Townsend deprivation score	-1.57 (2.9)	2.35	-6.26	10.8	
All-cause dementia	Cases N (%)		Controls N (%)		
	1,852 (0.47%)		393,917 (99.53%)		
Alzheimer's disease	Cases N (%)		Controls N (%)		
	722 (0.18%)		395,047 (99.82%)		
	Male		Female		
Sex	181,000 (45.7%)		214,771 (54.3%)		
	e3/e3	e3/e4	e4/e4	e3/e2	e2e2
APOE genotype	230,094 (59.7%)	94,395 (24.5%)	9,518 (2.4%)	49,082 (12.7%)	2,557 (0.6%)
	Non-smoker		Previously smoked		Current smoker
Smoking status	216,094 (54.8%)		138,744 (35.2%)		39,580 (10%)
	No		Yes		
CHD	466,843 (96.5%)		16,920 (3.5%)		
High BP	381,072 (78.8%)		102,691 (21.2%)		
Type 2 diabetes	376,233 (95.3%)		18,702 (4.7%)		
Medication	329,322 (83.4%)		65,634 (16.6%)		
Biomarkers (not standardised)	Mean	Standard deviation	Min	Max	N
Low-density lipoprotein	3.6	0.9	0.5	7.9	376,668
High-density lipoprotein	1.5	0.4	0.2	3.4	345,315
Insulin-growth like factor-1	21.4	5.5	1.4	49.6	375,135

Hemoglobin A1C	35.6	5.1	15.0	68.1	374,702
Lipoprotein A	44.1	49.5	3.8	189.0	300,249
Oestradiol	71.6	173.8	8.5	1313.3	349,998
Phosphate	1.2	0.2	0.4	2.0	344,894
Rheumatoid factor	4.4	4.9	3.2	47.4	374,322
Sex hormone-binding globulin	51.4	26.4	0.4	189.3	341,418
Total Bilirubin	9.0	3.9	1.1	31.2	374,181
Direct bilirubin	1.8	0.7	1.0	6.0	320,111
Testosterone	6.5	6.0	0.4	36.7	341,975
Protein	72.4	4.0	36.3	92.5	345,085
Triphosphate	1.7	1.0	0.2	6.9	375,888
Urate	309.1	79.9	89.1	708.8	376,905
Vitamin D	49.9	20.8	10.0	154.0	360,598
C-reactive protein	2.3	3.0	0.1	24.2	373,817
Gamma-glutamyltransferase	34.9	28.1	5.0	241.7	374,958
Cystatin C	0.9	0.1	0.3	1.8	376,382
ApoB	1.0	0.2	0.4	2.0	375,576
ApoA	1.5	0.3	0.4	2.5	343,506
Creatinine	72.0	14.5	10.8	161.4	376,640
Total cholesterol	5.7	1.1	1.4	11.4	377,349
Calcium	2.4	0.1	1.2	2.8	345,223
Urea	5.4	1.3	0.8	12.3	376,453
Aspartate transaminase (AST)	25.8	7.5	3.3	78.7	374,496
Alanine transaminase (ALT)	23.0	11.6	3.0	93.7	375,656
Alkaline phosphatase	83.1	22.9	8.0	215.0	376,658
Albumin	45.2	2.6	18.9	58.2	345,558

639 **Figure 1:** linear
 640 regression fully-
 641 adjusted
 642 standardized
 643 betas comparing
 644 *APOE* e4 and e2
 645 genotypes (per
 646 allele) vs. neutral
 647 e3/e3, on
 648 biomarker
 649 values. P<0.05,
 650 **P<0.001

