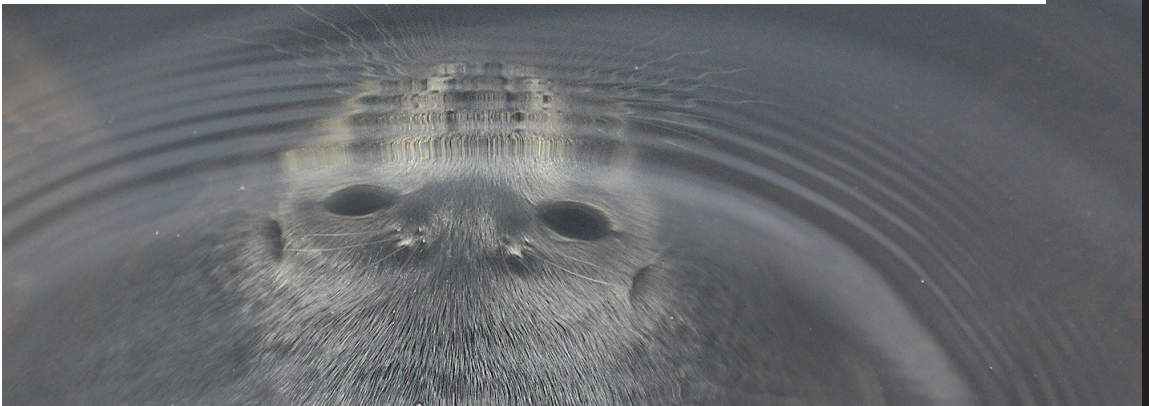


DISSERTATIONS IN  
**FORESTRY AND  
NATURAL SCIENCES**

MIA VALTONEN

*Conservation genetics of  
the Saimaa ringed seal*

*– insights into the history of a critically  
endangered population*



PUBLICATIONS OF THE UNIVERSITY OF EASTERN FINLAND  
*Dissertations in Forestry and Natural Sciences No 159*



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Academic Dissertation

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## ABSTRACT

Small and isolated populations lose genetic diversity, the raw material of evolution, more rapidly than do large populations, which may make them more vulnerable to demographic and environmental stochasticity. Fragmentation of an already small population may further increase its extinction risk by intensifying such effects in the even smaller subpopulations.

The Saimaa ringed seal (*Phoca hispida saimensis*) represents an ideal study system for investigating the genetic and demographic effects of long isolation, small population size, and spatial subdivision. This critically endangered subspecies of *c.* 300 seals inhabits the highly fragmented Lake Saimaa in southeastern Finland. The population has remained completely isolated for *c.* 9,500 years and is currently threatened by anthropogenic factors, such as high by-catch mortality and climate change. This thesis examines spatial and temporal variation in genetic diversity of the Saimaa ringed seal. For this, tissue samples collected from seal carcasses ( $N = 212$ ) in 1980–2008 and placentas ( $N = 66$ ) collected from birth-lair sites during 2000–2011 were examined for mtDNA and microsatellite variation. A new method of non-invasive genetic sampling was developed, demonstrating the utility of placentas for reliable DNA genotyping. The diversity of the Saimaa population was contrasted with the levels found in populations sharing the same ancestry, Baltic (*P. h. botnica*;  $N = 21$ ) and Ladoga (*P. h. ladogensis*;  $N = 16$ ) ringed seals.

The results show that genetic diversity of the Saimaa ringed seal is extremely low, with observed microsatellite heterozygosity for this subspecies ( $H_E = 0.36$ ) being the lowest recorded within the order Pinnipedia. Effective population sizes estimated for the total population and regional subpopulations were also very low ( $N_E = 5$ –113), suggesting that the population is too small to maintain its current diversity in the long term. Although coalescent simulations indicated that most of the original diversity was lost during the long isolation, we

observed a decrease in diversity also during the past decades, which suggests ongoing diversity loss in the population.

Moreover, Bayesian clustering analyses revealed significant differentiation among the breeding areas. The fine-scaled structuring of the Saimaa population is surprising, because in marine ringed seals only weak differentiation has been detected even among subpopulations located thousands of kilometres apart. In the Saimaa ringed seal, the population structure is most likely induced by the small subpopulation sizes and fragmented lacustrine habitat, but also by behavioural patterns of the seals. Overall gene flow within the lake is limited, as females are philopatric and, although males appear to be more prone to disperse, gene flow mediated by males is insufficient for counteracting the effects of genetic drift.

The findings of the present study indicate that genetic diversity of the Saimaa ringed seal will inevitably continue to decrease unless its population size can be increased substantially. Additionally, the observed fine-scaled structuring of the population raises concerns about the viability of subpopulations. Therefore, as rapid population growth is improbable in this slowly reproducing species, short-term conservation efforts (e.g., translocations of adult seals) should focus on facilitating gene flow among breeding areas.

*Universal Decimal Classification: 574.3, 575.113.2, 575.17, 575.22, 599.745.3*

*CAB Thesaurus: seals; Phoca hispida; effective population size; gene flow; genetic diversity; genetics; monitoring; placenta; mitochondrial DNA; microsatellites; heterozygosity; genotypes; population structure; spatial variation; temporal variation*

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# *Preface*

There are so many people that I wish to thank for their help, encouragement and support during this long journey. First of all, I wish to acknowledge my numerous supervisors located in different parts of the country, from whom each I learned so much. I want to thank Tommi Nyman for providing me the opportunity to study Saimaa ringed seal genetics and all his help during the preparation of this thesis. Your high standards taught me what it requires to make proper science. I am grateful to Mervi Kunnasranta, whose idea this PhD project originally was. You taught me how important it is to translate my results into common sense – what do they mean and what is their real-world relevance, if any. I also wish to express my gratitude to Jukka Palo, whose PhD work provided the basis for this study. Your enthusiasm for the subject has been extremely inspiring and your endless support invaluable along the sometimes not so smooth road. I also want to acknowledge Minna Ruokonen, who patiently guided my first steps into the field of population genetics. Sadly, she passed away two years ago. Jouni Aspi replaced Minna as my supervisor. I deeply appreciate that you shared your broad experience with me, your expert advice and never-failing encouragement.

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## LIST OF ABBREVIATIONS AND SYMBOLS

<i>a</i>	haplotypic richness
<i>A</i>	number of alleles
<i>A<sub>R</sub></i>	allelic richness
CR	mitochondrial control region
<i>F<sub>IS</sub></i>	inbreeding coefficient; departure from Hardy-Weinberg proportions within subpopulations
<i>F<sub>ST</sub></i>	index of population differentiation; proportion of genetic diversity due to differences among populations
<i>h</i>	haplotypic diversity
<i>hn</i>	number of haplotypes
<i>H<sub>E</sub></i>	expected heterozygosity
<i>H<sub>O</sub></i>	observed heterozygosity
HV	Haukivesi area
IBD	isolation by distance
KV	Kolovesi
MHV	Main Haukivesi area
mtDNA	mitochondrial DNA
<i>N</i>	sample size
<i>N<sub>C</sub></i>	census population size
<i>N<sub>E</sub></i>	effective population size
<i>N<sub>P</sub></i>	number of polymorphic loci
NS	Northern Saimaa
<i>pn</i>	number of polymorphic sites
PV	Pihlajavesi area
SD	standard deviation
SS	Southern Saimaa
<i>uh</i>	number of unique haplotypes
yob	year of birth
yod	year of death
$\Phi_{ST}$	index of population differentiation; proportion of genetic diversity (measured as the expected squared evolutionary distance between alleles) due to differences among populations
$\pi$	nucleotide diversity

## LIST OF ORIGINAL PUBLICATIONS

This thesis is based on data presented in the following articles, referred to by the Roman numerals I-IV.

- I** Valtonen M, Palo J U, Ruokonen M, Kunnasranta M, Nyman T. Spatial and temporal variation in genetic diversity of an endangered freshwater seal. *Conservation Genetics* 13: 1231–1245, 2012.
- II** Nyman T, Valtonen M, Aspi J, Ruokonen M, Kunnasranta M, Palo J U. Demographic histories and genetic diversities of Fennoscandian marine and landlocked ringed seal subspecies. *Ecology and Evolution* 4: 3420–3434, 2014.
- III** Valtonen M, Palo J U, Aspi J, Ruokonen M, Kunnasranta M, Nyman T. Causes and consequences of fine-scale population structure in a critically endangered freshwater seal. *BMC Ecology* 14: 22, 2014.
- IV** Valtonen M, Heino M, Aspi J, Buuri H, Kokkonen T, Kunnasranta M, Palo J U, Nyman T. The utility of field-collected placentas for genetic monitoring of a critically endangered freshwater seal population. Submitted manuscript.

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## **AUTHOR'S CONTRIBUTION**

The present author contributed to the planning and to a minor part of the sample collection of all papers. She did the laboratory analyses for papers I-III, and was responsible for most data analyses and writing the original manuscripts for papers I, III and IV. She also participated in data analyses and writing of paper II.

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# 1 Introduction

*“The world is changed. I feel it in the water. I feel it in the earth.*

*I smell it in the air. Much that once was is lost; for none now  
live who remember it. [...] But there were some who resisted.”*

– Galadriel (The Lord of the Rings: The Fellowship of the Ring, 2001)

The importance of genetic diversity for the persistence of species and populations is nowadays commonly recognized (McNeely *et al.*, 1990; Reed & Frankham, 2003; Frankham, 2005). Genetic diversity reflects the evolutionary potential of organisms, *i.e.*, their capability to adapt to environmental changes. Small and endangered populations usually exhibit lower levels of genetic diversity than do closely related non-endangered ones (Spielman *et al.*, 2004) and, thus, are expected to have reduced adaptation capacity in a changing environment (Willi *et al.*, 2006). Moreover, fitness of individuals is often reduced due to inbreeding (Reed & Frankham, 2003) and environmental stress (Willi *et al.*, 2006), further elevating the extinction risk of small populations.

The correlation between genetic diversity and viability of populations is, however, not always straightforward, particularly in stable and favourable environments, and there are examples of populations that thrive despite low diversity (Weber *et al.*, 2000; Reed, 2010; Kekkonen *et al.*, 2012). At present, many previously stable habitats are globally threatened by anthropogenic impacts, such as fragmentation, introduction of alien species, and climate change, that pose a challenge for many populations by altering the environmental conditions that they are adapted to. Unless a population is able to respond to environmental changes or to move to a more favourable habitat, its viability is severely compromised, which may lead to

extinction (Hoffmann & Sgrò, 2011). Therefore, knowledge on the levels of, and changes in, genetic diversity of small and isolated populations facing environmental changes is essential for efficient conservation management.

### **1.1 GENETIC DIVERSITY IN SMALL POPULATIONS**

The current genetic diversity of any given population has been shaped by evolutionary forces during the history, and is also influenced by factors such as demographic history and reproductive biology of the species. The evolutionary forces influencing genetic diversity of a population include mutation, gene flow, selection, and genetic drift (*e.g.*, Charlesworth, 2009). All genetic diversity is originally generated by mutations, but as only a minority of them are beneficial and the rate at which they occur is very low, lost adaptive diversity is regenerated extremely slowly. New alleles may also be brought into a population by immigrants arriving from other populations. Natural selection increases the frequency of alleles that are beneficial in prevailing conditions and reduces the frequency of those that are deleterious, while having no effect on neutral alleles and loci. Loss of genetic diversity is also caused by genetic drift, an inevitable, random process that causes allele frequencies to fluctuate from one generation to the next owing to sheer chance.

In small populations, genetic diversity is lost through genetic drift more rapidly than is created by mutations, as the rate at which diversity is lost is inversely proportional to population size (Willi *et al.*, 2006). At the same time, slightly negative mutations act as effectively neutral, and their fate is determined by genetic drift instead of natural selection, with the result that they may become fixed due to chance. Also inbreeding, *i.e.*, mating between related individuals, which is unavoidable in small populations, reduces individual genetic diversity (heterozygosity), although does not directly influence the number of alleles. As homozygosity increases, deleterious

recessive alleles are exposed, resulting in fitness reduction of individuals. In consequence of both genetic drift and inbreeding, small populations often face an elevated risk of extinction due to reduced environmental adaptability (Frankham, 2005; Willi *et al.*, 2006; Liao & Reed, 2009) and lowered fitness of individuals (Madsen *et al.*, 1996; Reed & Frankham, 2003; Blomqvist *et al.*, 2010; Mattila *et al.*, 2012).

Gene flow counteracts the effects of genetic drift and inbreeding by equalizing differences in allele frequencies among populations (Slatkin, 1985). If a small population is further divided into even smaller subunits, gene flow among subpopulations is essential for maintaining genetic diversity and alleviating the negative genetic consequences of small population size (Keller & Waller, 2002; Tallmon *et al.*, 2004). Gene flow among and within populations may be impaired or even prevented by geographic and ecological barriers. This typically applies to island populations (Hoeck *et al.*, 2010; Runemark *et al.*, 2012), but also to species with specialised habitat requirements (Ferchaud *et al.*, 2011; Gottelli *et al.*, 2012) or limited dispersal capacity (Louy *et al.*, 2007) living in fragmented landscapes. However, species-specific behavioural patterns may also influence the level of gene flow, for example, due to sex-dependent differences in dispersal. For example, in many mammals females are philopatric, while males are more prone to disperse (Greenwood, 1980; I, III).

## **1.2 GENETIC MONITORING OF WILDLIFE POPULATIONS**

Introduction of genetic methods into population monitoring has considerably facilitated conservation and management of elusive species and small, endangered populations. Today, molecular methods are used for assessing the levels of genetic diversity and other genetic parameters of species and populations (*e.g.*, Aspi *et al.*, 2006; Schultz *et al.*, 2009; Segelbacher *et al.*, 2014). They also provide a means for examining many aspects of the species' biology, such as

dispersal, mating patterns, reproductive success, and survival (Fedy *et al.*, 2008; Brøseth *et al.*, 2010; Ford *et al.*, 2011), which are often difficult to study using traditional approaches, such as mark–recapture and telemetry methods.

Estimating the level of genetic diversity is essential for management and conservation decisions. Assessing population structure and patterns of gene flow is also important, for example, when identifying management units (Palsbøll *et al.*, 2007) and planning translocations among subpopulations (De Barba *et al.*, 2010; Latch *et al.*, 2011). In addition, identification of individuals from DNA samples can be used for estimating, for example, population census size ( $N_C$ ) and individual dispersal patterns and survival (Schwartz *et al.*, 2007). Especially for species of conservation concern, effective population size ( $N_E$ ) is a much more important measure than is  $N_C$ , as  $N_E$  reflects the number of individuals contributing genes to the next generation. In most natural populations,  $N_E$  is far lower than  $N_C$  (Palstra & Ruzzante, 2008; Palstra & Fraser, 2012). Moreover, investigating the level of inbreeding, kinship, mating patterns, and individual reproductive success is often possible only by using genetic data.

Genetic approaches can also be used for studying ecological and demographic changes in a population over time (Schwartz *et al.*, 2007). This requires a time series of archived genetic data (tissue samples, extracted DNA, or records of genetic information from previous studies) with information on collection time and place of samples, but also multiple samples from each period (Jackson *et al.*, 2011). Using such sample archives, it is possible to detect, for example, changes in genetic diversity of a population (Pichler & Baker, 2000, I, III), which may provide information on factors influencing the diversity and, hence, assist in designing appropriate management strategies. Technical advances have also enabled extraction of DNA from historical samples (*e.g.*, hair, feather, skin, and bone) from hundreds to thousands of years old and, thus, direct assessments of historical levels of genetic diversity (*e.g.*, Welch *et al.*, 2012; Foote *et al.*, 2012; Jansson *et al.*, 2014; Segelbacher *et al.*, 2014). However, past events can also be inferred from genetic

information obtained from current samples using coalescent approaches (Nordborg, 2010, I, II).

Non-invasive samples that can be collected without catching or even seeing the animal itself, such as hair, feather, shed skin, and faeces, provide a means for studying rare, elusive and endangered species without causing disturbance, danger, or stress to the animals (Swanson *et al.*, 2006). At the same time, the use of such samples often enables obtaining large numbers of samples for monitoring purposes. Today, many terrestrial populations, including large carnivores (*e.g.*, Brøseth *et al.*, 2010; Kopatz *et al.*, 2012; Davoli *et al.*, 2013), are routinely monitored using non-invasive genetic methods. Collection of non-invasive samples in aquatic environments is often more challenging than in terrestrial habitats, but this approach is being increasingly utilised also in studies of marine mammals. For example, genetic information has been obtained from samples of shed skin in ringed seals (Martinez-Bakker *et al.*, 2013) and humpback whales (Baker *et al.*, 2013), and from faeces in dolphins (Parsons *et al.*, 2006) and marine otters (Valqui *et al.*, 2010).

### **1.3 THE STUDY SPECIES**

#### **1.3.1 The ringed seal as a species**

The ringed seal (*Phoca hispida*) is a holarctically distributed species numbering a few million individuals in total, being at the same time the most northern and the most abundant of northern seals (Reeves, 1998). The species is one of the few pinnipeds capable of inhabiting fast ice areas during winter, as they can maintain breathing holes by their fore flipper claws. Not only can ringed seals survive in icy conditions, but ice and snow are indispensable for them as a breeding habitat. In comparison to other phocid seals, the ringed seal is genetically very diverse (Palo *et al.*, 2001; Davis *et al.*, 2008). Five different subspecies of ringed seal are recognised worldwide (Amano *et al.*, 2002; but see Berta & Churchill, 2012), three of which are found in Fennoscandia: the Baltic (*P. h. botnica*), Ladoga (*P. h.*

*ladogensis*) and Saimaa (*P. h. saimensis*) ringed seals (Hyvärinen & Nieminen, 1990).

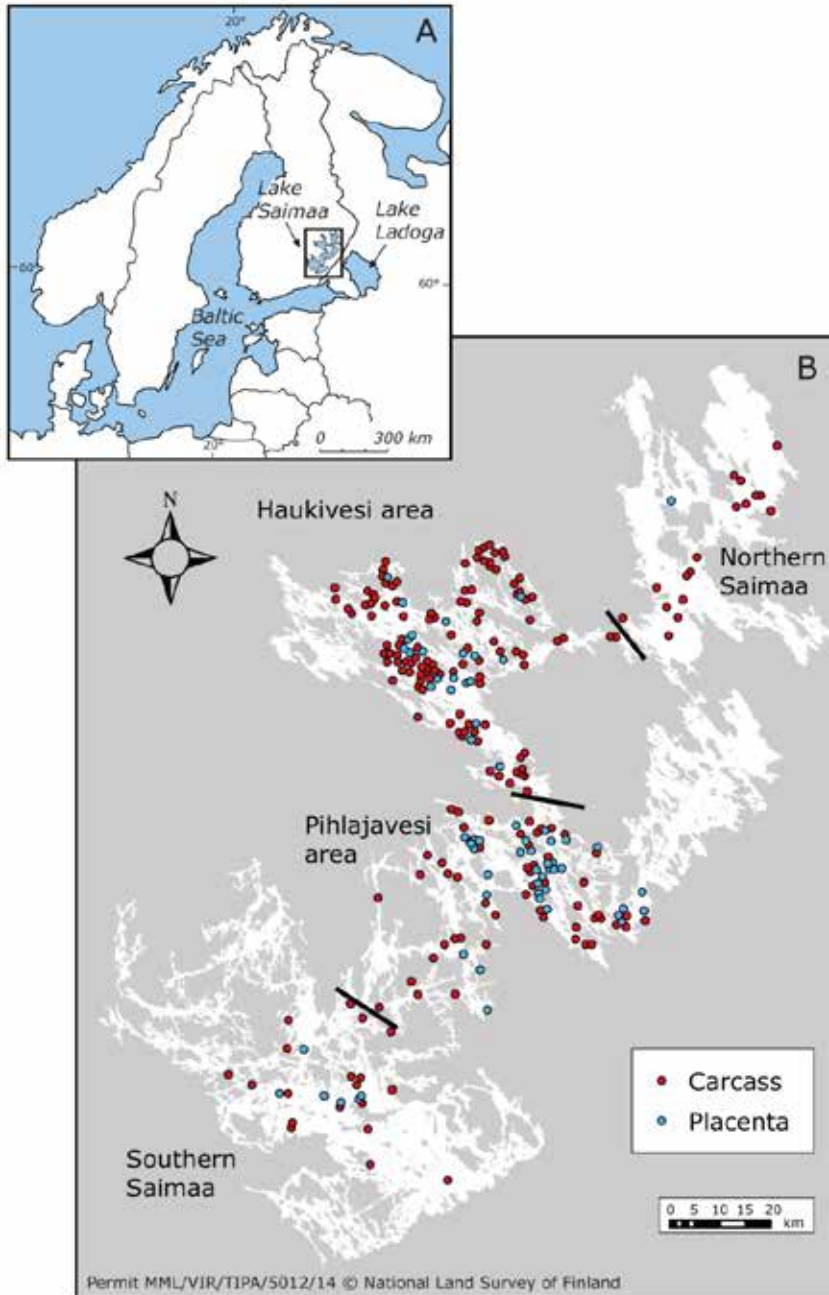
### **1.3.2 History of the Saimaa ringed seal population**

The current Fennoscandian ringed seal populations in Lake Saimaa, Lake Ladoga, and the Baltic Sea (Fig. 1A) descend from Arctic ringed seals (*P. h. hispida*) that colonized the Baltic basin from the Atlantic during the deglaciation, *c.* 10,000 years ago (Forstén & Alhonen, 1975; Ukkonen, 2002). Isostatic land-uplift gave rise to numerous lakes, including lakes Saimaa and Ladoga, where parts of the Baltic population were trapped. The ringed seals in Lake Saimaa have lived in complete isolation for *c.* 9,500 years, during which they have evolved into a morphologically, ecologically, and genetically distinct subspecies (Hyvärinen & Nieminen, 1990; Kunnasranta, 2001; Palo, 2003; Palo *et al.*, 2003; I, II).

During its long isolation, the Saimaa ringed seal population has undergone substantial changes in size: it has been estimated that there were a few thousand seals in the lake before human impact (Hyvärinen *et al.*, 1999), and still up to 1,000 seals at the turn of the 20<sup>th</sup> century (Kokko *et al.*, 1999). During the last hundred years, the population experienced a human-induced bottleneck: despite being placed under protection in 1955, the population continued to decrease mainly due to high by-catch mortality and environmental pollutants (Hyvärinen *et al.*, 1999) and reached its ultimate low of fewer than 150 individuals in the 1980s (Sipilä *et al.*, 1990).

### **1.3.3 Current status of the population**

Since the end of the 20<sup>th</sup> century, the Saimaa ringed seal population has slowly increased, and it currently numbers slightly over 300 seals (Metsähallitus, 2014). However, the population is still very small and threatened by human activities (including by-catch mortality and disturbance), and also by deterioration of breeding conditions associated with warming



**Figure 1.** The three water basins inhabited by ringed seals in Fennoscandia (A) and collection locations of Saimaa ringed seal specimens and the initial regional division of Lake Saimaa used in this study (B). Dot colours denote the type of the sample: red = carcass, blue = placenta.

winters. Hence, the subspecies is classified as critically endangered (Rassi *et al.*, 2010; Kovacs *et al.*, 2012).

As is often the case in small and isolated populations, the level of genetic diversity of the Saimaa ringed seal is extremely low (Palo, 2003; Palo *et al.*, 2003; Martinez-Bakker *et al.*, 2013; I–III), which may have an effect on the long-term survival prospects of the subspecies. It has also been assumed that the population may be divided into several semi-isolated subpopulations, since its habitat, Lake Saimaa, is naturally fragmented, with only narrow inlets connecting the main water basins (Fig. 1B). Additionally, behavioural studies have shown that although the seals are potentially very mobile, they exhibit a high degree of site fidelity, and no long-distance migrations among different breeding areas have been observed (Kunnasranta, 2001; Koskela *et al.*, 2002; Niemi *et al.*, 2012; 2013a; 2013b). Division of this small population into even smaller units may hasten the loss of the remaining genetic diversity and, thus, make the Saimaa ringed seal even more vulnerable to environmental changes. However, in their study based on microsatellite variation of the Saimaa ringed seal, Palo *et al.*, (2003) found no evidence of significant differentiation between the northern and southern parts of the lake, but this could be due to the limited numbers of markers and samples in the analysis. Therefore, more extensive surveys were needed for assessing the current levels of divergence among regional subpopulations, and also for evaluating the effect of the anthropogenic bottleneck on the genetic diversity of the population.



#### **1.4 AIMS OF THE STUDY**

The main aims of this work were to examine spatial and temporal changes in genetic diversity and population structure of the Saimaa ringed seal. This knowledge is essential in designing and allocating conservation measures for this critically endangered population. The specific objectives were to:

1. Study the genetic diversity of the Saimaa ringed seal in relation to larger populations of the same origin, *i.e.*, the Baltic and Ladoga ringed seals (I, II)
2. Examine genetic structure and gene flow within the lake (I, III)
3. Investigate temporal changes in genetic diversity of the population (I–III)
4. Develop a method for genetic identification of Saimaa ringed seal individuals (IV)
5. Study the utility of non-invasively collected placentas for genetic monitoring of the population (IV)



# 2 *Materials and methods*

A general outline of the materials and methods is presented here. Detailed descriptions of laboratory procedures and analytical methods are found in the original papers I–IV.

## **2.1 SAMPLES**

The majority of the Saimaa ringed seal specimens used in this study were tissue samples that had been collected from carcasses found in different parts of Lake Saimaa during the years 1980–2008 ( $N = 212$ ; I–VI). The samples had been deposited into a tissue bank maintained by the University of Eastern Finland and Natural Heritage Services of Metsähallitus, and stored at  $-20^{\circ}\text{C}$ .

Systematic searches for Saimaa ringed seal placentas were conducted in three consecutive springs during 2009–2011 (I, IV), as placentas can often be found from the vicinity of birth lairs situated along shorelines of islands and islets (Sipilä 2003) after the breeding season. A total of 59 placentas were found from 124 known birth lair sites, *i.e.*, from nearly half of the inspected sites. Placentas collected during the years 2000–2007 were used as additional samples ( $N = 7$ ; IV).

Tissue samples from Baltic ( $N = 21$ , provided by the Finnish Game and Fisheries Research Institute) and Ladoga ( $N = 16$ , obtained from the tissue bank maintained by the University of Eastern Finland and Natural Heritage Services of Metsähallitus) ringed seals were used as reference, in order to compare the level of genetic diversity in the Saimaa population to those of the larger populations of the same origin (I, II).

### 2.1.1 Sample division

The Saimaa ringed seal specimens were initially divided into four regional samples based on the topography of the lake (Northern Saimaa, Haukivesi area, Pihlajavesi area, Southern Saimaa), as well as into three temporal samples based on the collection decade of the seals (1980s, 1990s, 2000s; I, III). A decade is close to the estimated 11-year generation time of ringed seals (Palo *et al.*, 2003 after Smith, 1973) and, therefore, was considered appropriate for examining temporal changes in the genetic composition of the Saimaa population. In some analyses (I, III), the temporal division was based on the birth decade of seals, yielding five temporal samples (1963–1969, 1970s, 1980s, 1990s, 2000s; I, III).

## 2.2 MOLECULAR MARKERS

The molecular markers used in this study were mitochondrial DNA (mtDNA) sequences and nuclear microsatellites, both of which are considered neutral, *i.e.*, they are typically not affected by selection. MtDNA is a haploid, circular molecule located in mitochondria, and many copies are found in each cell (Ballard & Whitlock, 2004). In most animals, mtDNA is maternally inherited, meaning that it is transmitted from mothers to their offspring and, thus, mtDNA can be used to study female lineages. The control region (CR) is a non-coding region that is involved in regulation of mtDNA replication. Due to its high mutation rate, the CR usually shows a high level of polymorphism and, hence, multiple genetic lineages are often found both within and among populations (I). MtDNA is therefore widely used in phylogeographic and population-genetic studies. The effective population size of the haploid and uniparentally inherited mtDNA is only a quarter of that of diploid nuclear DNA (Ballard & Whitlock, 2004), which makes it particularly sensitive to demographic changes.

Microsatellites are tandemly repeated DNA sequences that consist of 1–6 base pairs and are found at a high frequency

throughout nuclear genomes (Schlötterer, 2000). Polymorphism in microsatellites mainly results from variation in allelic length, which is due to differing numbers of repeats among alleles. Microsatellites have a high mutation rate as compared to base substitution rates in nuclear DNA. However, the flanking sequences surrounding microsatellite loci are often conserved, enabling the use of similar microsatellite-amplifying primers across related species (II–IV). Microsatellites are biparentally inherited, *i.e.*, each individual receives one allele from each parent, providing information on both maternal and paternal contributions to gene flow within and among populations. Because of their codominant inheritance and typically high polymorphism, microsatellites are frequently used as markers in population-genetic studies as well as in identification of individuals and analyses of kinship (see, *e.g.*, Chistiakov *et al.*, 2006).

## **2.3 GENETIC ANALYSES**

### **2.3.1 Genetic diversity and inbreeding coefficient**

Genetic diversity was estimated for the three Fennoscandian ringed seal subspecies and for regional and temporal samples of the Saimaa population. MtDNA diversity was estimated by numbers of different haplotypes ( $hn$ ), unique haplotypes ( $uh$ ), and polymorphic loci ( $pn$ ), haplotypic richness ( $a$ ), as well as haplotype ( $h$ ) and nucleotide ( $\pi$ ) diversities (I, III, IV). Haplotypic richness is the mean number of haplotypes per locus estimated using the rarefaction method (Kalinowski, 2004) taking the sample size into account. Haplotype diversity reflects numbers and frequencies of different haplotypes, and nucleotide diversity differences between haplotypes.

Microsatellite diversity was estimated by numbers of polymorphic loci ( $N_P$ ) and alleles ( $N_A$ ), rarefied allelic richness ( $A_R$ ), and observed ( $H_O$ ) and expected ( $H_E$ ) heterozygosities (II–VI). Observed heterozygosity is the observed proportion of heterozygous individuals at a given locus, while expected

heterozygosity reflects the proportions estimated based on allele frequencies in the focal population. The level of inbreeding within each subspecies and Saimaa subsample was assessed by the inbreeding coefficient ( $F_{IS}$ ), *i.e.*, the probability that both alleles at given locus of an individual are identical by descent (Wright, 1951).

### **2.3.2 Present and historical effective population sizes**

Effective population size ( $N_E$ ) is the size of an idealized Fisher–Wright population (*i.e.*, a population with constant size, equal sex ratio, random mating, equal reproductive success of individuals, and non-overlapping generations) that loses genetic diversity or becomes inbred at the same rate as the observed population (Waples, 2002). Current  $N_E$  was estimated for the total Saimaa ringed seal population as well as for regional and temporal samples using two different approaches (III). The method based on linkage disequilibrium provides an  $N_E$  estimate for a single population sample at a single point in time (Waples, 2006; Waples & Do, 2008), whereas the temporal method is based on the extent of changes in allele frequencies between samples taken at different time points (Jorde & Ryman, 2007).

The trajectory of genetic diversity and past effective population sizes were estimated for the Saimaa, Baltic, and Ladoga subspecies using coalescent approaches (I, II). The coalescent framework was utilised to simulate the changes that have occurred in the genetic composition of each population after separation from the common ancestral population (Nordborg, 2010). As the separation time of the populations is known based on Fennoscandian geological history (Forstén & Alhonen, 1975; Ukkonen, 2002), past events could be inferred from the present-day data. Firstly, the Bayesian serial coalescent model (Excoffier *et al.*, 2000; Anderson *et al.*, 2005) was used to infer mutation and population size parameters in the Saimaa ringed seal (I). Secondly, a Markov Chain Monte Carlo method under the isolation-with-migration model (Hey & Nielsen, 2007;

Hey, 2010) was used to estimate demographic parameters for the Saimaa and Baltic populations (I). Thirdly, an approximate Bayesian computation approach (Cornuet *et al.*, 2008; 2010) was used to explore historical  $N_{ES}$  and to assess the best-fitting scenario for changes in  $N_E$  through time for the Saimaa, Baltic, and Ladoga subspecies (II).

### 2.3.3 Population differentiation and gene flow

Differences among mtDNA haplotypes of the three ringed seal subspecies were studied by constructing a haplotype network illustrating relationships and distances among haplotypes (I). Genetic differentiation among subspecies and Saimaa subsamples was estimated using  $F$ -statistics (I–IV), describing the distribution of genetic diversity among different levels of the sampling hierarchy (individuals, subpopulations, and total population) (Wright, 1951; see also Excoffier *et al.*, 1992). Differentiation based on both mtDNA and microsatellite variation for all sampling schemes was evaluated using  $F_{ST}$ , which measures differences in allelic frequencies among populations. For assessing mtDNA differentiation among subspecies, we also estimated  $\Phi_{ST}$ , which takes differences between haplotypes into account. Genetic differences among seals originating from different populations (II) and Saimaa subpopulations (III) were examined using factorial correspondence analysis (FCA), which illustrates the distribution of genetic variation across individuals based on their microsatellite genotypes.

Spatial structuring of the Saimaa ringed seal population was also investigated using Bayesian clustering analyses (Guillot *et al.*, 2009; François & Durand, 2010; III). The analysis in general consists of two phases. First, the issue of model choice (*i.e.*, how many subpopulations are most appropriate for interpreting the data) is considered without prior information of the number of locations at which the individuals were sampled, and into which location each individual belongs. Second, the individuals in the sample are assigned probabilistically to the selected number of

subpopulations on the basis of their multilocus genotypes. The basic hierarchical structure of the Saimaa population was inferred utilising only microsatellite genotypes of individuals without knowledge on sampling locations (Pritchard *et al.*, 2000; Falush *et al.*, 2003; Evanno *et al.*, 2005), and finer-scale structuring was examined by using an approach that incorporates information on the collection locations as well as the topography of the lake into the analysis (Chen *et al.*, 2007; Durand *et al.*, 2009).

The presence of an isolation-by-distance (IBD) pattern was investigated for the Saimaa population. A finding of IBD indicates that dispersal of individuals is limited and, thus, individuals found close to each other tend to be more related to each other than those with greater geographic distances (Wright, 1943). Asymmetric migration rates among Saimaa regions were estimated using the Bayesian method of Wilson & Rannala (2003), which uses multilocus genotypes of individuals for inferring recent migration rates among subpopulations. As the data included few adults (< 14%), direct assessments of dispersal of different sexes could not be made. Therefore, the relative amounts of male- and female-mediated gene flow were calculated indirectly based on  $F_{ST}$  values of maternally inherited mtDNA and biparentally inherited microsatellites (González-Suárez *et al.*, 2009).

#### **2.3.4 Identification of individuals**

A method for genetic identification of Saimaa ringed seal individuals was developed based on multiple microsatellite loci. The reliability of the method was evaluated by estimating the probability of identity ( $PI$ ), *i.e.*, the probability that two randomly chosen individuals have identical multilocus genotypes, as well as the corresponding value for siblings ( $PI_{SIB}$ ; Taberlet & Luikart, 1999; Waits *et al.*, 2001). Because the resolution of the method improves with increasing number of markers, but the probability of genotyping errors increases at the same time, the optimal number of loci was assessed by



computing expected and observed mismatch distributions for the marker system (Waits & Paetkau, 2005). In addition, we examined whether the marker system developed for individual identification was adequate also for inferring parentage and kinship using full-pedigree likelihood methods utilising multilocus genotype data (Jones & Wang, 2010).



# 3 Results and discussion

## 3.1 TRAJECTORY OF GENETIC DIVERSITY IN THE SAIMAA RINGED SEAL IN RELATION TO THE BALTIC AND LADOGA SUBSPECIES

The three Fennoscandian ringed seal subspecies inhabiting the Baltic Sea and lakes Saimaa and Ladoga (Hyvärinen & Nieminen, 1990; Amano *et al.*, 2002) descend from the same ancestral population that colonised the Baltic basin after the last glacial period (Forstén & Alhonen, 1975; Ukkonen, 2002), but they currently retain very different levels of genetic diversity (Table 1; I, II, see also Palo, 2003; Palo *et al.*, 2003). The genetic diversity of the Baltic ringed seal is close that observed in Arctic ringed seals, possibly due to a large historical population size (I, II) and/or occasional incoming gene flow (Palo *et al.*, 2001; Martinez-Bakker *et al.*, 2013).

The populations of lakes Saimaa and Ladoga became isolated at roughly the same time (Donner, 1995; Saarnisto, 2011), but their genetic diversities differ considerably: the Saimaa ringed seal is genetically very uniform, whereas the Ladoga subspecies is nearly as diverse as the Baltic population (Table 1; I–III). This is most likely due to differences in their population sizes and habitats: the shallow and highly fragmented Lake Saimaa is currently inhabited by only some 300 seals (Metsähallitus, 2014), while Ladoga is deeper, more continuous, and four times larger, and maintains a population of a few thousand individuals (Sipilä *et al.*, 1996; Trukhanova *et al.*, 2013). Assuming that the diversity observed in the Baltic population today represents the original level in the lacustrine populations, the Saimaa ringed seal has lost 55% of its overall microsatellite heterozygosity, and 34% and 89% mtDNA haplotypic and nucleotide diversities, respectively (I, II). For the Ladoga subspecies, the diversity loss has been substantially milder, so that the corresponding

Table 1. Mitochondrial and microsatellite diversity in the three Fennoscandian ringed seal subspecies, and in the spatial and temporal subsamples of the Saimaa ringed seal population.

	mtDNA diversity						microsatellite diversity						
	<i>N</i>	<i>hn</i> ( <i>uh</i> )	<i>a</i>	<i>pn</i>	<i>h</i> ± <i>SD</i>	$\pi$ ± <i>SD</i>	<i>N</i>	<i>N<sub>p</sub></i>	<i>N<sub>A</sub></i> ± <i>SD</i>	<i>A<sub>R</sub></i>	<i>H<sub>o</sub></i> ± <i>SD</i>	<i>H<sub>E</sub></i> ± <i>SD</i>	<i>F<sub>IS</sub></i>
Baltic Sea	19	16 (15)	13.77	46	0.98 ± 0.03	0.047 ± 0.038	21	17	9.00 ± 3.20	8.30	0.74 ± 0.20	0.80 ± 0.08	0.07**
Lake Ladoga	16	13 (12)	13.00	29	0.97 ± 0.04	0.015 ± 0.017	16	17	7.65 ± 2.76	7.65	0.69 ± 0.22	0.74 ± 0.16	0.06*
Lake Saimaa	215	8 (8)	4.04	10	0.65 ± 0.02	0.005 ± 0.005	172	17	3.47 ± 3.32	2.77	0.33 ± 0.21	0.36 ± 0.22	0.07***
NS	19	3 (0)	3.00	6	0.43 ± 0.12	0.004 ± 0.004	15	14	2.29 ± 1.16	2.27	0.34 ± 0.27	0.33 ± 0.24	-0.00
HV	116	4 (0)	3.06	7	0.60 ± 0.02	0.005 ± 0.005	99	15	3.24 ± 3.15	2.7	0.35 ± 0.23	0.38 ± 0.23	0.07***
KV	21	2 (0)	2.00	5	0.18 ± 0.10	0.001 ± 0.003	20	13	2.41 ± 1.66	2.32	0.37 ± 0.31	0.36 ± 0.28	-0.03
MHV	95	4 (0)	3.11	7	0.58 ± 0.03	0.005 ± 0.005	79	15	3.18 ± 2.92	2.63	0.34 ± 0.23	0.35 ± 0.24	0.02
PV	61	5 (1)	3.78	9	0.63 ± 0.03	0.006 ± 0.005	43	15	2.59 ± 1.42	2.26	0.31 ± 0.25	0.30 ± 0.23	-0.03
SS	19	5 (2)	5.00	8	0.78 ± 0.06	0.005 ± 0.004	15	14	2.47 ± 1.42	2.45	0.31 ± 0.24	0.30 ± 0.22	-0.05
yod 1980s	79	6 (0)	5.55	8	0.56 ± 0.05	0.005 ± 0.005	59	17	3.29 ± 2.62	3.21	0.35 ± 0.23	0.37 ± 0.23	0.05*
yod 1990s	54	7 (0)	7.00	9	0.61 ± 0.04	0.005 ± 0.005	48	17	3.29 ± 2.85	3.29	0.34 ± 0.22	0.37 ± 0.23	0.08*
yod 2000s	82	7 (1)	6.50	10	0.67 ± 0.04	0.005 ± 0.005	65	17	3.06 ± 2.38	2.96	0.32 ± 0.20	0.35 ± 0.22	0.09***
yob 1963-79	21	5 (0)	5.00	7	0.74 ± 0.06	0.005 ± 0.004	14	16	2.94 ± 1.75	2.94	0.38 ± 0.25	0.38 ± 0.23	-0.02
yob 1980s	67	5 (0)	3.31	8	0.49 ± 0.06	0.004 ± 0.005	54	17	3.06 ± 2.38	2.71	0.34 ± 0.23	0.37 ± 0.23	0.07***
yob 1990s	51	6 (0)	4.04	9	0.62 ± 0.04	0.005 ± 0.005	47	17	3.29 ± 2.85	2.69	0.33 ± 0.22	0.36 ± 0.23	0.07*
yob 2000s	71	7 (1)	5.07	10	0.69 ± 0.04	0.005 ± 0.005	54	17	3.06 ± 2.38	2.58	0.32 ± 0.19	0.35 ± 0.22	0.10**

NS = Northern Saimaa, HV = Haukivesi area, KV = Kolovesi, MHV = Main Haukivesi area, PV = Pihlajavesi area, SS = Southern Saimaa, yod = year of death, yob = year of birth, *N* = nr of samples, *hn* = nr of haplotypes, *uh* = nr of unique haplotypes, *a* = haplotypic richness, *pn* = nr of polymorphic sites, *h* = haplotype diversity,  $\pi$  = nucleotide diversity, *N<sub>p</sub>* = nr of polymorphic loci, *N<sub>A</sub>* = nr of alleles, *A<sub>R</sub>* = allelic richness, *H<sub>o</sub>* = observed heterozygosity, *H<sub>E</sub>* = expected heterozygosity, *F<sub>IS</sub>* = fixation index, \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001.

figures are 7%, 1%, and 68%, respectively. Consequently, the Saimaa population differs conspicuously from the other two populations (mtDNA: pairwise  $\Phi_{ST} > 0.900$ ,  $P < 0.001$ ; microsatellites:  $F_{ST} > 0.340$ ,  $P < 0.05$ ), while differentiation between the Baltic and Ladoga subspecies is clearly weaker (mtDNA: pairwise  $\Phi_{ST} = 0.157$ ,  $P < 0.001$ ; microsatellites:  $F_{ST} = 0.041$ ,  $P < 0.05$ ).

Coalescent simulations were used to assess the historical population size of the Saimaa ringed seal, *i.e.*, to find out how large the population must have been in the past to retain the level of genetic diversity observed today, and also to investigate the effects of a possible colonisation bottleneck (= founder effect) and the recent anthropogenic bottleneck (I, II). The analyses based on mtDNA and microsatellite data both suggested a historical population size of around ten thousand seals. This number clearly exceeds the estimated present-day carrying capacity of Lake Saimaa (a few thousand seals; Hyvärinen *et al.*, 1999). However, some 8,000 years ago the present-day lake was part of an enormous lake complex (Saarnisto *et al.*, 1999; Tikkanen, 2002; Oinonen *et al.*, 2014) that could have supported even such a large population (Hyvärinen *et al.*, 1999). Furthermore, the ABC analyses suggested that the colonisation bottleneck of the population during the formation of Lake Saimaa was severe and lasted for a long time, but indicated, as expected, that the recent, 20<sup>th</sup>-century bottleneck has as of yet had a negligible effect on the genetic diversity of the Saimaa ringed seal (II). In contrast, both the colonisation and anthropogenic bottlenecks in the Ladoga population were suggested to have been less severe, with only a minor effect on the level of diversity.

Hence, the Saimaa ringed seal has evidently lost most of its genetic diversity during its isolation of nearly 10,000 years (I, II, see also Palo, 2003; Palo *et al.*, 2003). For the Ladoga population, which is separated from the Baltic Sea by a river only 70 km long, the possibility of occasional gene-flow from the marine population cannot be ruled out (II).

### **3.2 ONGOING DIVERSITY LOSS AND EXTREMELY LOW EFFECTIVE POPULATION SIZES IN THE SAIMAA RINGED SEAL**

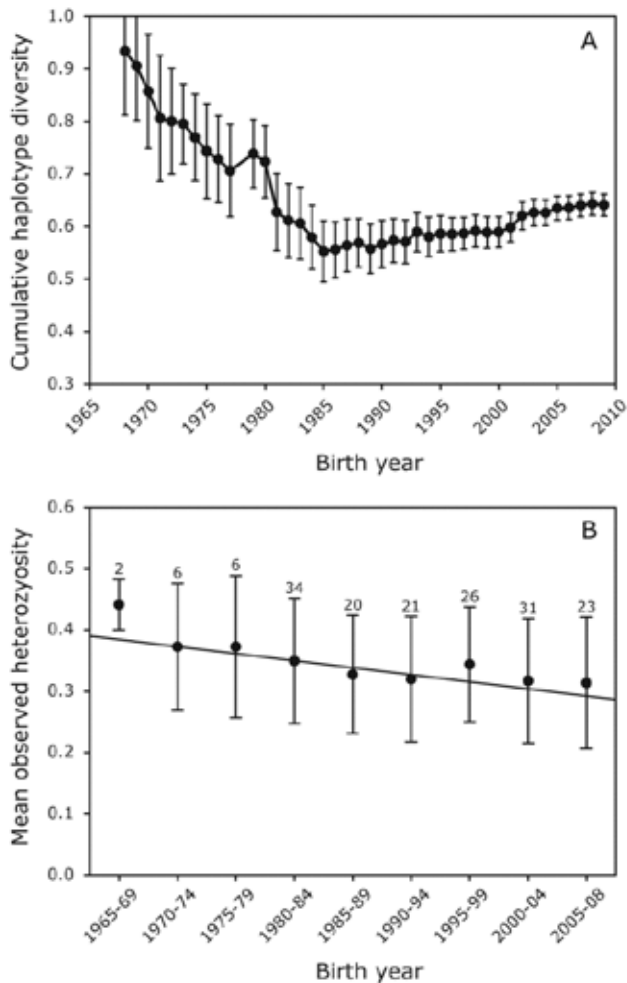
Mammalian populations that have experienced demographic challenges exhibit > 20% lower levels of genetic diversity than do non-endangered ones (Garner *et al.*, 2005). Genetic variation in the Saimaa ringed seal (Table 1; I–III) was drastically lower than that of marine ringed seals (Palo *et al.*, 2001, 2003; Palo, 2003; Davis *et al.*, 2008; Martinez-Bakker *et al.*, 2013). Moreover, microsatellite diversity of this subspecies (Table 1; III) is among the lowest thus far reported for pinnipeds (Pastor *et al.*, 2004; Schultz *et al.*, 2009; Han *et al.*, 2010; Sanvito *et al.*, 2012).

Also mtDNA diversity of the Saimaa ringed seal was notably low (Table 1; I). Nevertheless, as many as eight haplotypes were detected in the population. This is somewhat surprising for a population that has experienced a severe bottleneck of fewer than 150 individuals (Sipilä *et al.*, 1990), as other mammalian populations that have undergone a comparable reduction in size retain only one or two mtDNA haplotypes (*e.g.*, Pichler & Baker, 2000; Randi *et al.*, 2000; Weber *et al.*, 2000). With respect to other Fennoscandian ringed seal subspecies, the Saimaa haplotypes were all located in a single clade, with very small distances among them, which was reflected in the notably low nucleotide diversity (Table 1; I). As the geological history of Lake Saimaa and, thus, the origin of its ringed seal population are reasonably well established, the high number of haplotypes could only be explained by unusually high mutation rates and/or large historical population size, as indicated by coalescent simulations (I).

When examining temporal changes in mtDNA haplotype frequencies, we detected high differentiation among the last three decades (overall and pairwise  $F_{ST}$  values  $\geq 0.356$ , all  $P < 0.001$ ; I). This result suggests that the population is currently so small that the effect of genetic drift is pronounced, causing haplotype frequencies to fluctuate even over such a short time span. Indeed, the total and regional effective population sizes

estimated for the Saimaa ringed seal based on microsatellites were extremely low ( $N_E = 5 - 113$ ; III). Comparable  $N_{ES}$  have been estimated for other endangered and/or bottlenecked populations of large mammals (e.g., Aspi *et al.*, 2006; Ortego *et al.*, 2011; Casas-Marce *et al.*, 2013).

Furthermore, a slight, but evident decrease was observed in both mtDNA variation and individual microsatellite



**Figure 2.** Cumulative mtDNA haplotype diversity (past-to-present) in the Saimaa population (A) and observed heterozygosity of Saimaa ringed seal individuals (B) in relation to year of birth. Error bars are  $\pm 1$  SD. In (B), the number of seals in each 5-year category is given above the error bar.

heterozygosity based on the birth year of seals during the past few decades (Fig. 2AB; I, III), suggesting ongoing diversity erosion in the population. Hence, in addition to major diversity loss caused by the colonisation bottleneck and the long postglacial period of isolation (see above), the anthropogenic bottleneck in the 20<sup>th</sup> century together with subdivision of the population into small subpopulations (see below) seems to have had an effect on the genetic diversity of this landlocked subspecies.

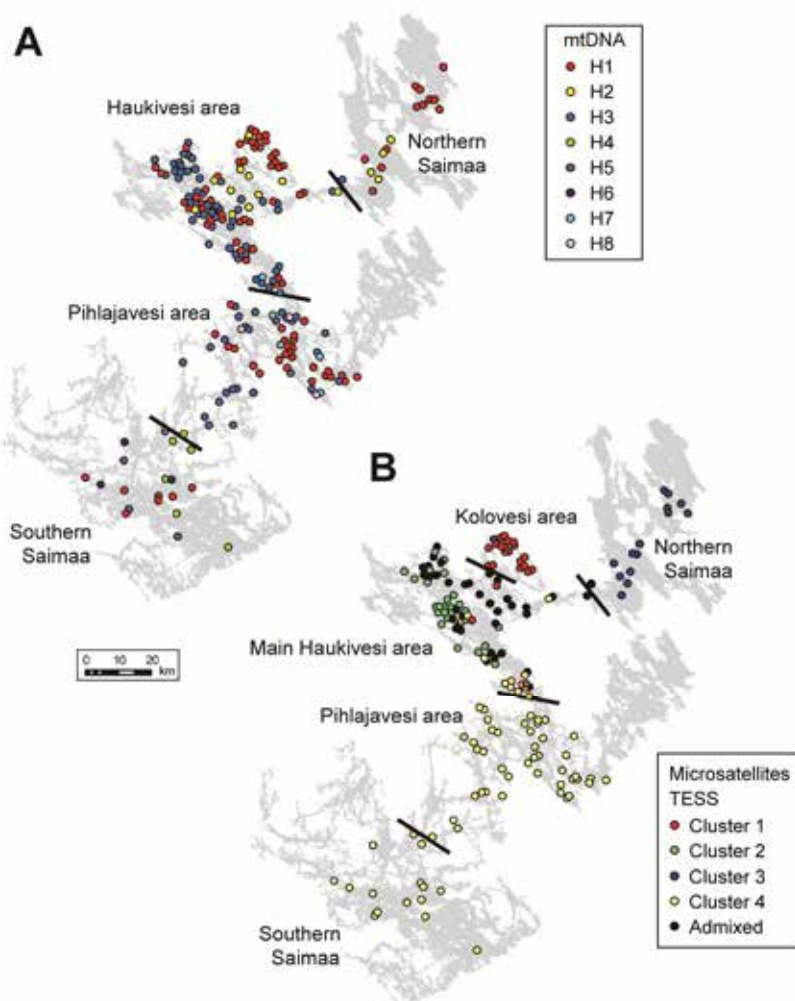
### **3.3 FINE-SCALE POPULATION STRUCTURE AND LIMITED GENE FLOW WITHIN LAKE SAIMAA**

Ringed seals are potentially highly mobile: Arctic ringed seals make seasonal migrations of hundreds to thousands of kilometres (Kelly *et al.*, 2010; Harwood *et al.*, 2012; Crawford *et al.*, 2012; Martinez-Bakker *et al.*, 2013), and individual Baltic ringed seals have been observed travelling comparable distances (Oksanen S., unpublished). High mobility of the species is further supported by genetic studies, which have shown near-panmixia in marine ringed seals: only weak differentiation was detected between Arctic and Baltic populations located thousands of kilometres apart (Palo *et al.*, 2001; Martinez-Bakker *et al.*, 2013). In contrast, Saimaa ringed seals are considered fairly sedentary (Kunnasranta, 2001; Koskela *et al.*, 2002; Niemi *et al.*, 2012; 2013b), although individual seals, especially juveniles, have been observed to regularly travel some tens of kilometres, a relatively long distance within the lake (Niemi *et al.*, 2012; 2013a). However, no migrations between the main basins of the lake have been detected, which has raised concerns of isolation of breeding areas.

Indeed, analyses based on both mitochondrial and nuclear markers indicated limited gene flow within the lake: differentiation among the four main basins (Fig. 3A) was statistically highly significant for both marker types (I, III). In contrast, a previous study based on eight microsatellite loci



suggested only weak differentiation between the northern and southern parts of the lake (Palo *et al.*, 2003), which was evidently due to limited numbers of samples and loci in that study. Furthermore, a statistically significant isolation-by-distance pattern was detected for both markers (III). This result reflects



**Figure 3.** Collection sites of Saimaa ringed seal specimens used in mtDNA (A) and microsatellite (B) analyses. Initial (A) and updated (B) division of the lake. Different colours denote different mtDNA haplotypes (A) and different clusters indicated by a Bayesian genotype-assignment analysis conducted using the program TESS (B).

closer relatedness of individual seals that had been found close to each other as compared to that of individuals with a greater distance from each other, further supporting the finding of restricted gene flow within the lake.

Structuring of the Saimaa ringed seal population was also examined using only data on the microsatellite genotypes of individual seals, with no presumption of spatial division of the lake (III). Bayesian clustering analyses revealed surprisingly fine-scaled structuring of the population (Fig. 3B). The analysis of the upper hierarchical population structure suggested two clusters within Lake Saimaa, one of which, quite surprisingly, almost exclusively included seals from the relatively small, labyrinthine Kolovesi basin, whereas the other represented the rest of the lake (III). A further analysis incorporating also the sampling-site locations and topography of the lake (*i.e.*, the actual dispersal routes of seals) as prior information indicated the presence of four clusters (Fig. 3B). The semi-isolation of the Kolovesi basin was confirmed and, additionally, seals from Northern Saimaa formed one cluster, as was expected in the initial division of the lake (Fig. 3A). However, individuals from the Pihlajavesi area and Southern Saimaa were suggested to belong to a single cluster. The rest of the Haukivesi area (Kolovesi excluded) mainly formed one cluster, but also seemed to represent an admixture zone. Thus, we reassessed the initial spatial division of Lake Saimaa: we retained Northern Saimaa, the Pihlajavesi area, and Southern Saimaa in their original form based on significant  $F_{ST}$  values among them, but split the Haukivesi area into two subregions (Kolovesi and Main Haukivesi; Fig. 3B). Notably, the significant heterozygote deficit found in the total population ( $F_{IS} = 0.075$ ,  $P < 0.001$ ) disappeared after division into the aforementioned five regions (Table 1; III).

Migration rates estimated among these five regions based on microsatellite data were very low, except for the rate from the Pihlajavesi area to Southern Saimaa, which was 20.4% and the only rate significantly different from zero (III). However, the migration rate in the opposite direction was low and did not significantly depart from zero, suggesting that the Pihlajavesi

area, which is the most productive breeding area of the Saimaa ringed seal (Metsähallitus, 2014), serves as a source for Southern Saimaa. This is a particularly important finding, since identifying productive subpopulations, which may serve as sources, from less productive ones acting as sinks, is essential in designing and allocating conservation measures (Hansen, 2011).

The regional differentiation revealed by maternally inherited mtDNA was very strong (overall and pairwise  $F_{ST}$  values  $\geq 0.311$ , all  $P < 0.001$ ; I, III), indicating that Saimaa ringed seal females have a tendency to stay in their natal region to reproduce (I). Female philopatry has not been reported in marine ringed seals, but it has been observed in related species, such as grey seals (*Halichoerus grypus*) (Allen *et al.*, 1995; Pomeroy *et al.*, 2000) and harbour seals (*Phoca vitulina*) (Stanley *et al.*, 1996).

In contrast, spatial differentiation in biparentally inherited microsatellites was moderate (overall five-region  $F_{ST} = 0.107$ , pairwise  $F_{ST}$  values = 0.039 – 0.236, all  $P < 0.01$ ; III). The difference in the level of differentiation in microsatellites and mtDNA could be due to differences in effective sizes of these markers. However, it more probably results from sex-biased dispersal, as turned out to be the case also for the Saimaa ringed seal: gene flow mediated by males was estimated to be over sevenfold compared to that by females (III). The ratio is close to values reported, for example, for harbour seals (Herreman *et al.*, 2009) and California sea lions (*Zalophus californianus*) (González-Suárez *et al.*, 2009).

### **3.4 IDENTIFICATION OF INDIVIDUALS AND THE UTILITY OF PLACENTAS IN GENETIC MONITORING OF THE SAIMAA POPULATION**

Monitoring Saimaa ringed seals is challenging, as they not only are very few in number, but also extremely elusive animals that spend about 80% of their time submerged (Hyvärinen *et al.*, 1995; Niemi *et al.*, 2013b). We developed a method for

identification of individuals from DNA samples for this genetically very uniform subspecies (IV). The marker system based on 17 microsatellite loci proved to be adequate for identifying individual Saimaa ringed seals: the probability that two randomly chosen individuals share identical genotypes at the studied loci was  $PI = 4.8 \times 10^{-7}$ , and the corresponding value for siblings was  $PI_{SIB} = 1.2 \times 10^{-3}$ . This means that, in the population of around 300 seals (Metsähallitus, 2014), the expected number of genotype matches is as low as 0.0001 for unrelated individuals and 0.36 for siblings. Although the corresponding values were slightly higher for the 11-locus panel, it could be sufficient for spatially and/or temporally restricted surveys of the population. However, there is not enough power in the 17-locus marker system for conducting kinship analyses, owing to the low genetic diversity of the subspecies (III, IV).

Collecting non-invasive samples of marine mammals is often challenging, although shed skin and faeces have been successfully utilised in genetic studies (Parsons *et al.*, 2006; Valqui *et al.*, 2010; Martinez-Bakker *et al.*, 2013; Baker *et al.*, 2013). Our study using Saimaa ringed seal placentas in genetic analyses (IV) is the first to describe the use of placentas as non-invasive samples from a natural population. Postnatal consumption of the placenta (placentophagia), is routine behaviour among female mammals, but it does not occur within the order Pinnipedia (Kristal *et al.*, 2012). Hence, we found a placenta at nearly half of the inspected birth-lair sites, even though the collection was conducted two to three months after parturition.

As the pinniped placenta is composed of tissues of both the female and its offspring in close union (Stewart & Stewart, 2009), we aimed at finding the optimal sampling spot for genotyping both the mother and the pup from the placentas. The pup's genotype was found to be reliably obtainable from the umbilical cord (IV). Thus, identification of the pup from a placenta and later encounter of the adult individual would yield information on the dispersal of seals. Additionally, genetic

diversity and differentiation indices acquired using data on umbilical cord samples (*i.e.*, samples of pups) were highly correspondent with those based on the reference datasets (I, III), indicating that placentas can be used for inferring standard population-genetic parameters. However, we did not succeed in obtaining the mother's genotype separately, which is most likely due to a high level of intermingling between the maternal and foetal tissues in the areas of contact. Nevertheless, fine-tuning the sampling method together with the use of next-generation sequencing technology may enable inferring also the mother's genotype from the placenta in the near future.



## 4 *Conclusions and challenges for conservation*

This study, based on an extensive survey of neutral genetic variation of the Saimaa ringed seal, shows that the genetic diversity of this small and isolated population is extremely low and, worryingly, still declining (I, III). Microsatellite diversity in this subspecies ( $H_E = 0.36$ ) is the lowest thus far recorded within the order Pinnipedia (III). The present effective population size estimates ( $N_e = 5\text{--}113$ , III) were remarkably low, also indicating that the population is too small to maintain the current diversity in the long term. This was further seen in high temporal differentiation at mtDNA haplotype frequencies among the past few decades, which demonstrates strikingly the strong effect of genetic drift within such a short time span (I). Although most of the original diversity of the Saimaa ringed seal undoubtedly was lost during its long isolation of nearly 10,000 years (I, II, see also Palo *et al.*, 2003), we observed evident decreases in both mtDNA variation and individual microsatellite heterozygosity even within the past few decades (Fig. 2AB, I, III). The ongoing loss of diversity suggested by our results is disconcerting given the critically endangered status of the population.

Fragmentation of a population into even smaller units may further reduce its genetic diversity and increase the level of inbreeding (*i.e.*, autozygosity) of the total population (Keyghobadi 2007). This seems to be the case for the Saimaa ringed seal, as we detected unexpectedly fine-scaled differentiation among regional subpopulations (I, III). The structuring of the population is undoubtedly induced by the small population size and fragmented topography of the lake, but also by behavioural patterns of the seals. The present study demonstrated that Saimaa ringed seal females have a tendency to stay in their natal area for breeding (I), whereas males are

more prone to disperse. Thus, gene flow within the lake is predominantly male-mediated, but nevertheless limited and insufficient to counteract the effect of genetic drift (III).

Despite of the low genetic diversity of this small, landlocked population, no clear signs of inbreeding depression have been detected. However, environmental changes may drive populations to extinction, unless they are able to adapt to altered conditions (Hoffmann & Sgrò, 2011). In this respect, the low neutral genetic diversity of the Saimaa ringed seal is a cause of concern, as its adaptive variation may be similarly affected (*cf.* Bollmer *et al.*, 2007; Babik *et al.*, 2009; Smith *et al.*, 2009), which could reduce its ability to respond to a warming climate and other environmental changes (Willi *et al.*, 2006; O’Corry-Crowe, 2008). Further, as small population size along with low diversity may weaken population-level resilience to both demographic and environmental stochasticity (Lacy, 1997), the significant differentiation among breeding areas raises concerns about the viability of the even smaller subpopulations and, in particular, that of the Kolovesi basin (Fig. 1B).

Genetic rescue, *i.e.*, introduction of unrelated individuals into an inbred population, is often suggested and increasingly being implemented as a conservation measure for small and isolated populations (*e.g.*, Hogg *et al.*, 2006; Bouzat *et al.*, 2009; Hedrick & Fredrickson, 2010). For safeguarding the Saimaa population, Saarnisto (2011) suggested translocations of Ladoga ringed seals to Lake Saimaa. However, as the Saimaa ringed seal is recognized as a morphologically and ecologically distinct subspecies (Hyvärinen & Nieminen, 1990; Kunnasranta, 2001), and differs also genetically from the other Fennoscandian subspecies (I, II), such actions would most likely be highly risky. Interbreeding between genetically diverged individuals may result in outbreeding depression (Tallmon *et al.*, 2004), which could compromise the adaptation of the Saimaa subspecies to its unique lacustrine habitat. In addition, the immigrants might carry diseases towards which Saimaa ringed seals are not resistant. Therefore such extreme measures should only be taken as a last resort.



Hence, along with mitigating the most acute threats posed by by-catch mortality and breeding-habitat deterioration due to warming winters (Niemi 2013; Auttila *et al.*, 2014), future conservation efforts should concentrate on preserving the current diversity of the Saimaa ringed seal and enhancing gene flow among subpopulations. The most efficient method for this would be increasing the overall population size, which would simultaneously restore both genetic diversity and gene flow. However, because rapid population growth is improbable in this slowly reproducing species, translocations of adult seals, especially females, should be considered as a short-term conservation measure. For designing such actions, this study has helped in identifying management units within the population, *i.e.*, distinguishing subpopulations that are productive and could serve as sources from ones that are semi-isolated and possibly not viable on their own in the long term. Furthermore, the non-invasive genetic sampling method based on placentas developed here can provide an efficient means not only for monitoring the population in general, but also for evaluating the success of conservation efforts.



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**MIA VALTONEN**

*Conservation genetics of  
the Saimaa ringed seal*

*– insights into the history of a  
critically endangered population*

A critically endangered subspecies of the ringed seal has remained isolated in Lake Saimaa in Finland since the last glacial period, *i.e.*, for nearly 10,000 years. The small population of ~300 seals is currently threatened by anthropogenic factors, such as high by-catch mortality and climate change. This thesis examines changes in genetic diversity and population structure of the Saimaa ringed seal, and provides new information for conservation.



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